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# Inkjet printing of ceramic colloidal suspensions: Filament growth and breakup

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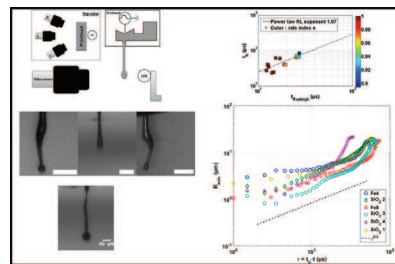
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## HIGHLIGHTS

- Ceramic colloidal suspensions were formulated for drop-on-demand inkjet deposition.
- Filament formation, growth rate, thinning and breakup were investigated.
- The breakup time and the minimum radius evolution both scale with the Rayleigh time.
- The actuation voltage and dwell time have the strongest effect on thread growth.
- Rheological viscoelastic parameters and their influence on drop formation were also obtained.

## GRAPHICAL ABSTRACT



## ABSTRACT

Filament growth and breakup are investigated in the context of ceramic inkjet printing. Several inks were formulated and ejected on a printer dedicated to ceramic materials. They consisted of six colloidal inks, four simple fluids and two graphic inks. For each, stroboscopic snapshots were acquired and the filament shape was extracted and analysed, for different nozzle actuation pulses. The filament length and the thread minimum radius were measured during the ejection process. A scaling of the breakup time with the Rayleigh number was obtained, as well as a general behaviour for the filament growth rate during the ejection process.

### Keywords:

Inkjet printing  
Drop-on-demand  
Colloidal suspensions  
Ceramics  
Fluid mechanics  
Filament breakup

## 1. Introduction

Inkjet printing (IJP) is a shaping process allowing us to generate three-dimensional objects in a fast, precise and versatile way. First, some amount of fluid is pressed through each nozzle of the

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printhead. A filament appears, undergoing thinning and eventually breakup into droplets. The generated droplet impacts a substrate and dries. In order to create a three-dimensional object, several layers of droplets of fluid are deposited, according to a design defined by a Computer-Aided Design (CAD) file. Thus, complex architectures can be achieved, with a resolution of the order of 50–100 microns. Recently, this technique has been successfully extended to ceramic materials (Noguera et al., 2005; Blazdell et al., 1995; Xiang et al., 1997; Bhatti et al., 2001; Mohebi and Evans, 2002; Zhao et al., 2002). In contrast to pure polymer printing, the specificity is to eject a colloidal suspension, *i.e.* a two-phase fluid consisting of small particles dispersed in an organic medium (solvent and organic additives). The inkjet printing technology offers new possibilities for 3D architectures of ceramic electronic devices including metallic layers (tracks, electrodes or vias) and functional ceramic materials (capacitive, dielectric, magnetic, etc.). In fact, compared to standard routes such as screen-printing or tape-casting, the IJP process could improve productivity by decreasing the number of manufacturing steps through multimaterial deposition: ceramic and metallic layers would be deposited in one single step, by using two printheads. In addition, the use of CAD fabrication files offers the possibility to achieve complex 3D architectures improving the performances of microelectronic devices such as multi-layer ceramic capacitors (MLCC), high and low temperature ceramic capacitor (HTCC and LTCC) (Dossou-Yovo et al., 2012; Beaudrouet et al., 2014; Singlard et al., 2014). Finally, IJP is a fully additive technology and can also reduce material consumption. Some authors focus on ceramic ink formulation adjustment for this process for their specific system (Dossou-Yovo et al., 2012; Gratton and Witelski, 2008; Noguera et al., 2005). A major interest for fabrication is to maximize particle loading (Ainsley et al., 2002; Bhatti et al., 2001; Seerden et al., 1999; Reis et al., 1999; Seerden et al., 2001), where inks up to 40 vol% solid content were achieved in paraffine dispersions, with an acceptable viscosity for the resulting ink thanks to additives such as dispersants. The waveform actuation has also to be adjusted depending on the physical properties of the ejected material (Seerden et al., 1999; Reis et al., 1999; Singlard et al., 2014; Seerden et al., 2001).

The framework of the present study was a project which aimed to build microtransformers by ejecting selectively all the different materials involved. To do so, several inks containing insulating, conductive and magnetic materials have been formulated. To obtain good results, ink formulation plays a key role, in order to have repeatable and stable drop ejection. In the case of particulate inks, specific additives are required, such as surfactants, plasticizers, binders and humectants (Wang et al., 2012). Until now, ceramic ink formulations have been adjusted according to required specifications in terms of viscosity, surface tension and stability. However, despite being within the required operating ranges, some particulate inks cannot lead to successful ejection, or require additional adjustments. In order to improve this crucial step in the fabrication process, a closer insight in the filament breakup and drop formation for this specific case is necessary.

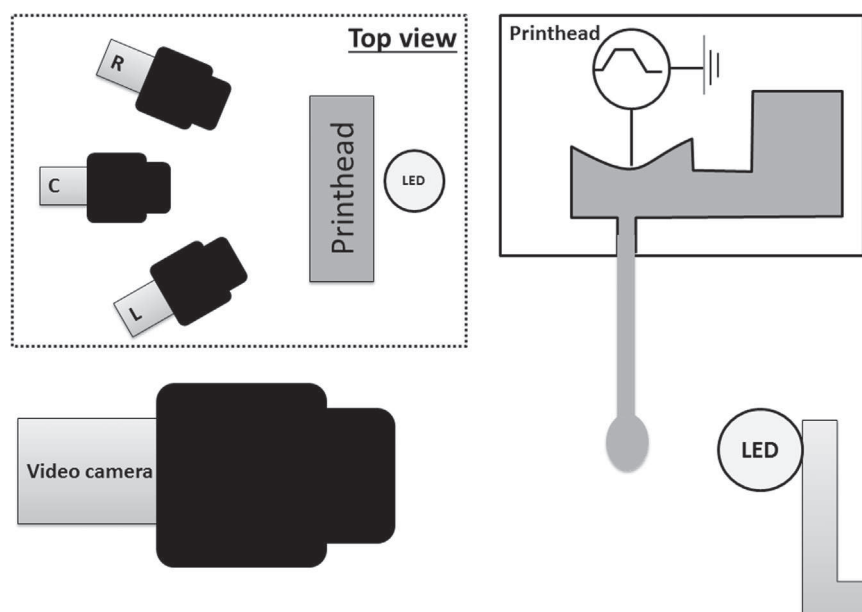
The investigation of inkjet behaviour has to deal with several aspects. The first are the general dynamics of filament growth and breakup leading to drop formation. Filament breakup can occur in several geometries: for example, liquid bridging where the fluid is sandwiched between parallel plates, or gravity dripping (Eggers, 1997). In the case of jetting, an external force is applied in order to generate a high speed filament, and can be obtained using a printhead. The second aspect is thus related to printhead operation, and on the impact of the actuation parameters on the ejection process.

The phenomena involved with the use of a printhead have been thoroughly investigated, both experimentally (Pierron et al., 2001;

Wijshoff, 2010; Meinhart and Zhang, 2000) and numerically (Liou et al., 2009; Yildirim and Basaran, 2006). Drop-on-demand shaping by three-dimensional printing implies a very precise deposition method. Thus, the ejection parameters need to be adjusted in order to result in a single drop travelling straight to the substrate. Inkjet printing nozzles are piezo-electric pumps, usually tens of microns in diameter. Since the size of the printing nozzle is very small, inkjet occurs at micronic time and lengthscales, which makes the observation quite difficult given the limitations of the observation techniques. The fast timescale requires a stroboscopic setup.

As stated before, the ejection criteria have been expressed considering Newtonian systems, *i.e.* with a single value of viscosity. Filament thinning and breakup of non-Newtonian or viscoelastic polymeric fluids have been investigated by several authors (Cooper-White et al., 2002; Christanti and Walker, 2001; German and Bertola, 2010), where the behaviour for the minimum radius versus time was characterized, as well as extensional properties. In these studies, inertial effects were negligible, as opposed to the current work. The case of ceramic inks is more complex. Ceramic inks are colloidal suspensions, where the rheological behaviour is often non-Newtonian. The presence of particles and of other additives influencing their interaction potential often lead to shear thinning or shear thickening effects (Larson, 1998; Seerden et al., 2001). The shear stress applied by the nozzle induces a “destruction” of the equilibrium state of the ink resulting from these interactions. Even in minute amount, polymeric additives may also confer some viscoelastic properties (Basaran et al., 2013). As two-phase fluids, the presence of particles can play a role, especially at the high shear rates involved in inkjet printing. An example of a setup allowing a good characterization at higher shear rates is described in Wang et al. (2010), using the capillary rheometer technique. The authors compared the behaviour at low and high shear rates and showed that the latter may be significantly different from the former one, an effect which increases with particle loading. Another major interest from this approach for ink characterization is that the flow configuration is similar to what happens in the nozzle. A fundamental study of filament breakup in high shear rate generation regime for particulate fluids is scarce. As an example, nozzle clogging has been investigated by Lee et al. (2012) for nanometric ZnO suspensions, where they found printing parameters allowing us to reduce this phenomenon by reducing the elongational contribution to the flow, and by increasing flow velocity, thanks to a novel nozzle design. Other works considered micron sized particles (van Deen et al., 2013; Bonnoit et al., 2012; Mathues et al., 2015) and showed that the particles accelerated the breakup compared with a simple fluid with similar viscosity. Below a critical neck radius, the continuum medium approach fails, particles rearrange themselves in the pinch-off region and the interstitial fluid has to be considered. Lastly, the wetting properties have to be considered. Simulations of particulate inks in Connington et al. (2015) focused on the wetting properties of the particles in the surrounding medium. The authors found that neutrally wetting particles (*i.e.* particles with a 90° angle with the solvent) increased the rupture length. The shape and orientation of the adsorbed particles can also have a stabilizing or destabilizing effect on thin films, as discussed in Morris et al. (2015). These effects depend on the equilibrium configuration of the particles at the fluid/air interface and the resulting meniscus shape.

The aim of this study is to give further insight into the filament breakup and thinning behaviour for the specific case of particulate ceramic suspensions in the context of inkjet printing. Filament thinning and breakup is the first stage of drop formation, and a thorough characterization is still missing for this specific context. The major objectives are to extend filament thinning studies



**Fig. 1.** Schematic of the experimental setup, side and top views. Drops are ejected downwards from a printhead. LED pulses and video camera acquisitions are triggered to acquire successive snapshots of the ejection process stroboscopically. Three cameras (L, R and C) are connected but only one is used at a time.

towards colloidal suspensions, and to find if particulate inks can still be treated as any other single component fluid with non-Newtonian behaviour or if unexpected effects occur at the high shear rates occurring during drop ejection. To do so, several inks were ejected on a ceramic printer, and the time evolution of the filament length and minimum radius was studied *in situ*.

## 2. Experimental approach

### 2.1. Ink components

Several aqueous ink formulations were used for this study. As stated in the Introduction, the inks were formulated in order to build by inkjet printing microelectronic components (micro-transformers), made of insulating, conductive and magnetic parts. Therefore, the formulations were adjusted not only to comply with the printhead specifications, but also to give appropriate mechanical properties for the green materials (after drying), and optimal electric performances for the final device (after sintering). These aspects are out of the scope of the present paper. Six colloidal suspensions were ejected: four silica formulations (15 vol%), and two ferrite formulations (4 and 8 vol%). Silica inks were obtained from commercial suspensions (Aldrich Ludox AS-40). Magnetic inks were obtained from ferrite powders synthesized at the CIRIMAT Laboratory (Toulouse, France). In order to obtain superparamagnetic particles, the size distribution for ferrite crystallites was adjusted to fall in the nanometric range to avoid remanent magnetization by carefully controlling the calcination process. The particle size ( $a$ ) was obtained by DLS measurements on a Nanosizer (Malvern). Silica are monodisperse spheres 10–12 nm in radius with a specific area of 135 m<sup>2</sup>/g. Ferrite particles have a bimodal radius size distribution of 80 and 170 nm. For both materials, the particles are of nanometric size, which helps avoid nozzle clogging and slow down sedimentation. Considering the use of the inks for actual 3D printing, the volume fraction for each particulate ink has been chosen to maximize solid content still leading to successful ejection. The dispersion was also optimized, for best ejectability.

The suspensions contain additives in order to be compatible

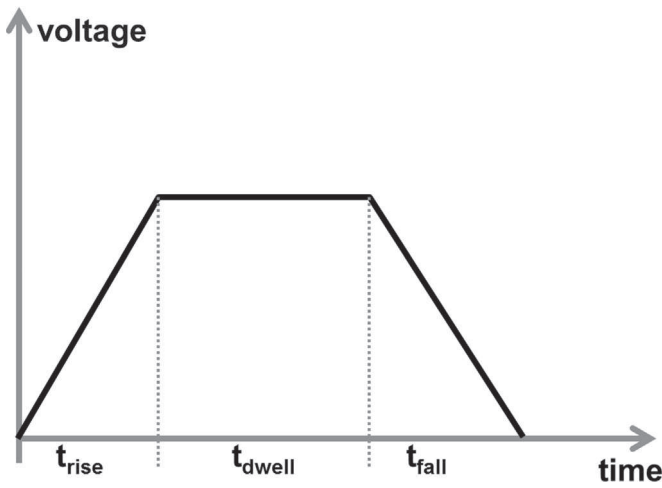
with the printhead specifications for the viscosity and surface tension ranges. Several non-particulate inks were also ejected in order to compare the behaviour with the particulate case. Two standard commercial printing inks red and black (Model Fluid MF, Spectra) were studied as model inks that can be easily ejected on the apparatus. The other fluids were poly-ethylene glycol (PEG) and glycerol (gly), which are commonly used as plastifying additives for ink formulation. Two different formulations were tested in the typical range used for inkjet applications: glycerol diluted at 60 and 38 percent, and PEG at 8 and 11 percent weight in water.

### 2.2. Ink characterization

Shear viscosity measurements were carried out using a 1° cone 60 mm in diameter geometry on a stress controlled ARG2 rheometer (TA Instruments). This low angle geometry was chosen in order to attain high shear rates (1000 s<sup>-1</sup>) without ejecting the probed fluid. The measuring procedure was a steady state flow sweep with increasing shear stress. The results were fitted with a power law model  $\sigma = K\dot{\gamma}^n$ , with  $\sigma$  being the shear stress (Pa),  $\dot{\gamma}$  the shear rate (s<sup>-1</sup>),  $n$  the rate index and  $K$  the consistency (Pa s<sup>n</sup>). Surface tension ( $\lambda$ ) was measured using a platinum Wilhelmy plate on a DCAT21 (DATA PHYSICS). Density ( $\rho$ ) was measured by weighting a known volume on a precision scale (DENVER).

### 2.3. Ceramic printer

The experimental setup is sketched in Fig. 1 in top and side views. The apparatus has been designed and constructed by CER-ADROP (Limoges, France) for this study. It consists of a printhead with a stainless steel plate of 256 nozzles equipped with a stroboscopic image acquisition system. The latter consists in cameras, a light emitting diode (LED), controlled by a computer software. Three different cameras are connected to the setup, each with a different angle. However, only one can be used at a time for the stroboscopic sequence acquisition. A trapezoidal voltage waveform consisting of a rise  $t_{rise}$ , a peak hold at the maximum voltage  $V$  during a dwell time  $t_{dwell}$  and a fall to 0 V during a time  $t_{fall}$  is applied to the nozzle to pump and expel the liquid (see Fig. 2), working in the shear mode. The duration of each segment of the



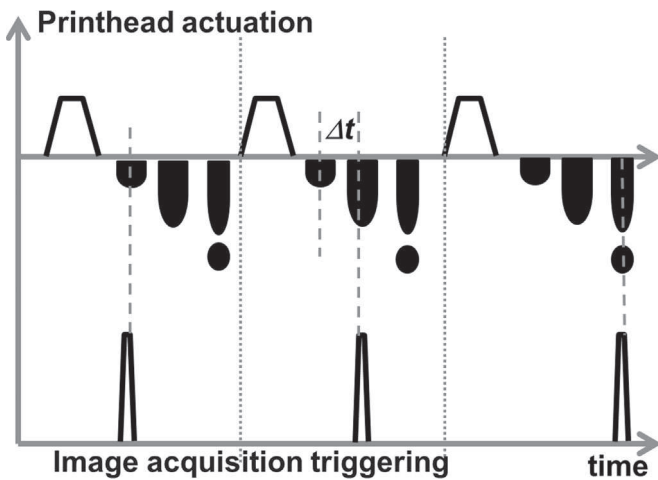
**Fig. 2.** Schematic of the actuation pulse for the nozzle. The voltage increases during  $t_{\text{rise}}$ , then stays at a fixed value during  $t_{\text{dwell}}$ , and then decreases to zero during  $t_{\text{fall}}$ . The duration of each segment of the waveform can be selected independently, but the total time cannot exceed  $15 \mu\text{s}$ .

waveform can be selected independently, but the total time cannot exceed  $15 \mu\text{s}$  due to printhead limitations. Jetting is a continuous process, where the ejection frequency, *i.e.* the time between two subsequent drops, can be selected and usually lies in the kHz range.

#### 2.4. Image acquisition

Filament ejection, thinning and breakup are recorded as a collection of single frames. Image acquisition is triggered and synchronized with lighting to obtain a stroboscopic reconstruction of the jetting process, according to the timing diagram in Fig. 3. However, each image belongs to a different jetting sequence. The smallest apparent time lapse between two frames  $\Delta t$  is one microsecond.

Some typical snapshots are shown in Fig. 4. The shape of the thread is asymmetric about the minimum (Wang et al., 2012; Eggers, 1997), which can be located either just below the droplet head, or near the nozzle. After background subtraction, for each frame the length  $L$  and minimum radius  $R_{\text{min}}$  are obtained from a Gaussian curve fit to each horizontal line of pixels.



**Fig. 3.** Principle of stroboscopic sequence reconstruction. On top of the timing diagram, the consecutive actuation pulses are shown, with each drop emission. The bottom of the diagram shows the camera triggering sequence. The apparent time lapse between images  $\Delta t$  is illustrated.

### 3. Results and discussion

#### 3.1. Inks

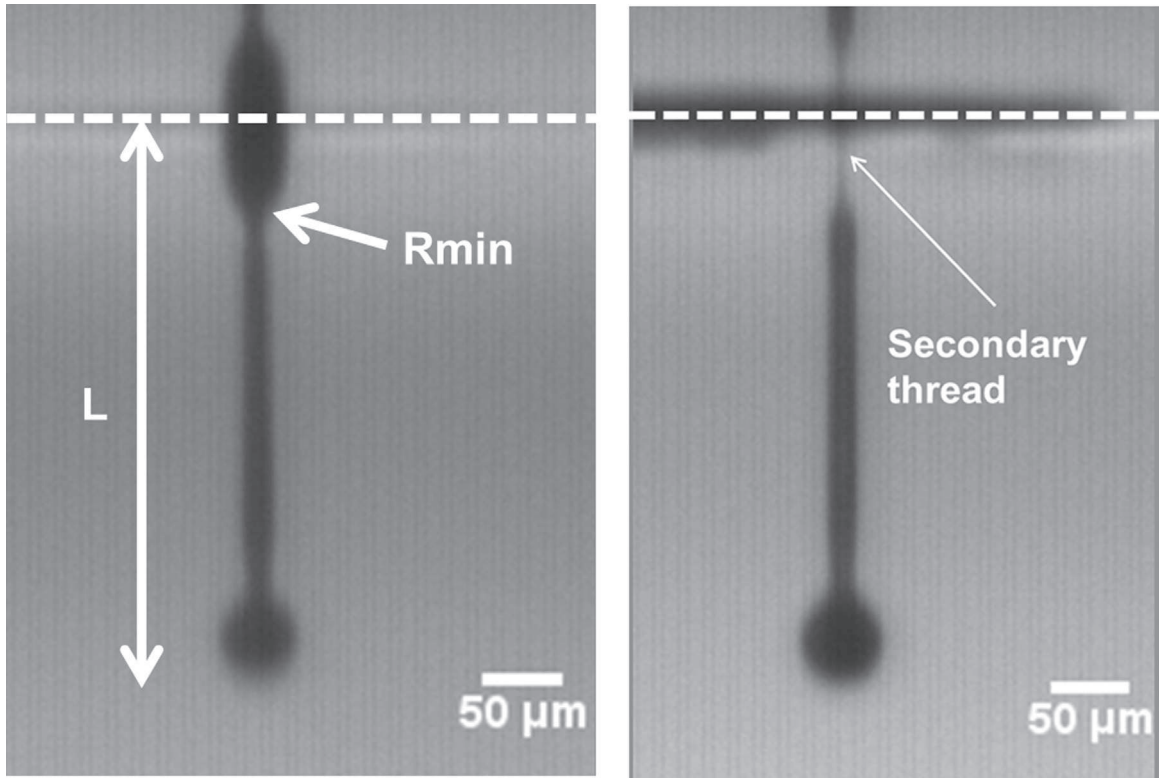
The physical parameters of interest for all inks have been carefully measured. They are summarized in Table 1, with the recommended ranges given by the printhead manufacturer. The densities are very similar for all the inks. The same can be said for surface tension values except for the non-particulate inks made from glycerol and PEG. The main difference lies in the shear viscosity values. All inks have a viscosity rate index  $n$  rather close to one, which is representative for shear-thinning particulate inks. A lower exponent would indicate strong interactions within the fluid, which is often not compatible with colloidal suspension stability. Moreover, the rheological behaviour has been measured only up to  $\dot{\gamma} = 1000 \text{ s}^{-1}$ , and it is not excluded that the properties may change at higher shear rates, such as the ones exerted during fluid ejection from the printhead (Wang et al., 2010).

A general approach to the dynamics of filament growth and breakup in different configurations has been conducted in the literature, leading to several hypotheses for the thinning behaviour (McKinley, 2005; Cooper-White et al., 2002; Rothert et al., 2001). The physical parameters involved are viscosity, inertia and capillarity. The relative importance of these three forces is represented by the Ohnesorge number  $Oh = \eta / \sqrt{L_0 \rho \lambda}$ , with  $\eta$  being the shear viscosity,  $\rho$  the density,  $\lambda$  the surface tension and  $L_0$  a characteristic length.  $Oh^3$  can also be viewed as the ratio of a characteristic viscous time  $t_{\text{visc}} = \eta^3 / \rho \lambda^2$  over the Rayleigh inertial time, defined as  $t_{\text{Ray}} = \sqrt{\rho L_0^3 / \lambda}$ . The characteristic non-dimensional parameter used in the literature is called the ejection ratio  $Z$ , and is defined as  $Z = Oh^{-1}$ . Early studies claimed that only a limited range of ejection ratios was available:  $1 < Z < 10$  (Reis and Derby, 2000). However, recently wider ranges have also been reported (Mogalicherla et al., 2013), indicating that this criterion does not seem relevant. It should be noted that  $Z$  is calculated with the assumption of a simple fluid. The particulate nature of the ink, or viscoelastic effects that may arise at higher frequencies are not considered, and may account for this discrepancy between the reports in the literature. Moreover, ink viscosity and surface tension have to fall within given ranges in order for the ink to be ejected on a given printhead. If viscosity is too high, the fluid cannot be ejected at all. On the other hand, the filament breaks in multiple points and satellite droplets are formed if viscosity is too low and surface tension is high. In the case of low surface tension, the ink will wet the printhead instead of forming a filament. The overall operating range of  $Z$  falls into an intermediate regime, where inertia plays an important role.

Several characteristic time and lengthscales could be calculated with the physical parameters reported in Table 1, as summarized in Table 2. The viscous length is defined as follows:  $L_{\text{visc}} = \eta^2 / \rho \lambda$ . The characteristic length in  $Oh$  and  $t_{\text{Ray}}$  was taken as the nozzle radius, *i.e.*  $L_0 = R_0 = 21$  or  $26 \mu\text{m}$  depending on the printhead used for the experiment. Wherever the viscosity is required, the value at  $1 \text{ s}^{-1}$ , corresponding to the value of the consistency  $K$  is used. For all inks,  $L_{\text{visc}} \leq R_0$ , confirming that  $R_0$  is indeed the relevant lengthscales. All ejection ratios fall into the ejectability range  $1 < Z < 10$  except for redMF and gly38, which could nevertheless be ejected successfully. This confirms that ejectability ranges in terms of  $Z$  are not relevant.

#### 3.2. General behaviour

For each ink, several ejections were performed. All parameters of the driving waveform for the nozzle actuation were varied: pulse rise and fall durations, dwell time, and voltage amplitude.



**Fig. 4.** Typical snapshots of a filament, near breakup. The dotted line indicates the position of the nozzle. Left: Ferrite 4, right: SiO<sub>2</sub> 4 (see Table 1). The total length  $L$  and the minimum radius  $R_{min}$  are shown. On the right, the case with a secondary thread is highlighted.

**Table 1**

Physical parameters for the ejected fluids: particle radius  $a$ , solid content, density  $\rho$ , surface tension  $\lambda$ , consistency  $K$  and rate index (viscosity exponent)  $n$ . The particles sizes fall in the nanometric range, with rather low volume content. The deviation from a Newtonian viscosity (exponent 1) is 0.1 at the most. The printhead recommended ranges are also given for reference.

Ink label	$a$ (nm)	vol% (-)	$\rho$ (kg/m <sup>3</sup> )	$\lambda$ (mN/m)	$K$ (mPa s <sup><math>n</math></sup> )	$n$ (-)
redMF	-	-	1063	34.3	31.4	1
blackMF	-	-	917	36	16	1
gly38	-	-	1100	68.3	3.38	1
gly60	-	-	1127	27.4	14.4	0.97
PEG8	-	-	1050	60.1	8	1
PEG11	-	-	1070	59.7	16	1
SiO <sub>2</sub> 1	10	15	1259	30.2	31	0.94
SiO <sub>2</sub> 2	10	15	1200	32.2	17.5	0.97
SiO <sub>2</sub> 3	10	15	1210	33.1	24.1	0.94
SiO <sub>2</sub> 4	10	15	1205	31.7	25.1	0.98
Ferrite 4	80 & 170	4	1230	33.9	8.4	0.98
Ferrite 8	80 & 170	8	1390	33	25	0.90
Recommended ranges				24–36	10–20	1

Due to the stroboscopic measurement procedure, only stable ejections can be analysed. Thus, all the fluids were not ejected with the same set of actuation pulses. A snapshot just before filament breakup is shown in Figs. 5 and 6 for the different inks. The lowest voltage pulse was chosen for each ink.

Commercial inks (redMF and blackMF) and Ferrite 4 formulations formed straight filaments, whereas non-axisymmetric profiles could be observed for all the other inks. A secondary thin thread as shown in Fig. 4 was visible near the nozzle in the case of blackMF, Ferrite 4 and SiO<sub>2</sub> 1, 2 and 3. During ferrite inks ejection, a secondary bulge appeared at the nozzle exit. These observations will be discussed in more detail.

Despite similar properties as seen in Table 1, the overall

**Table 2**

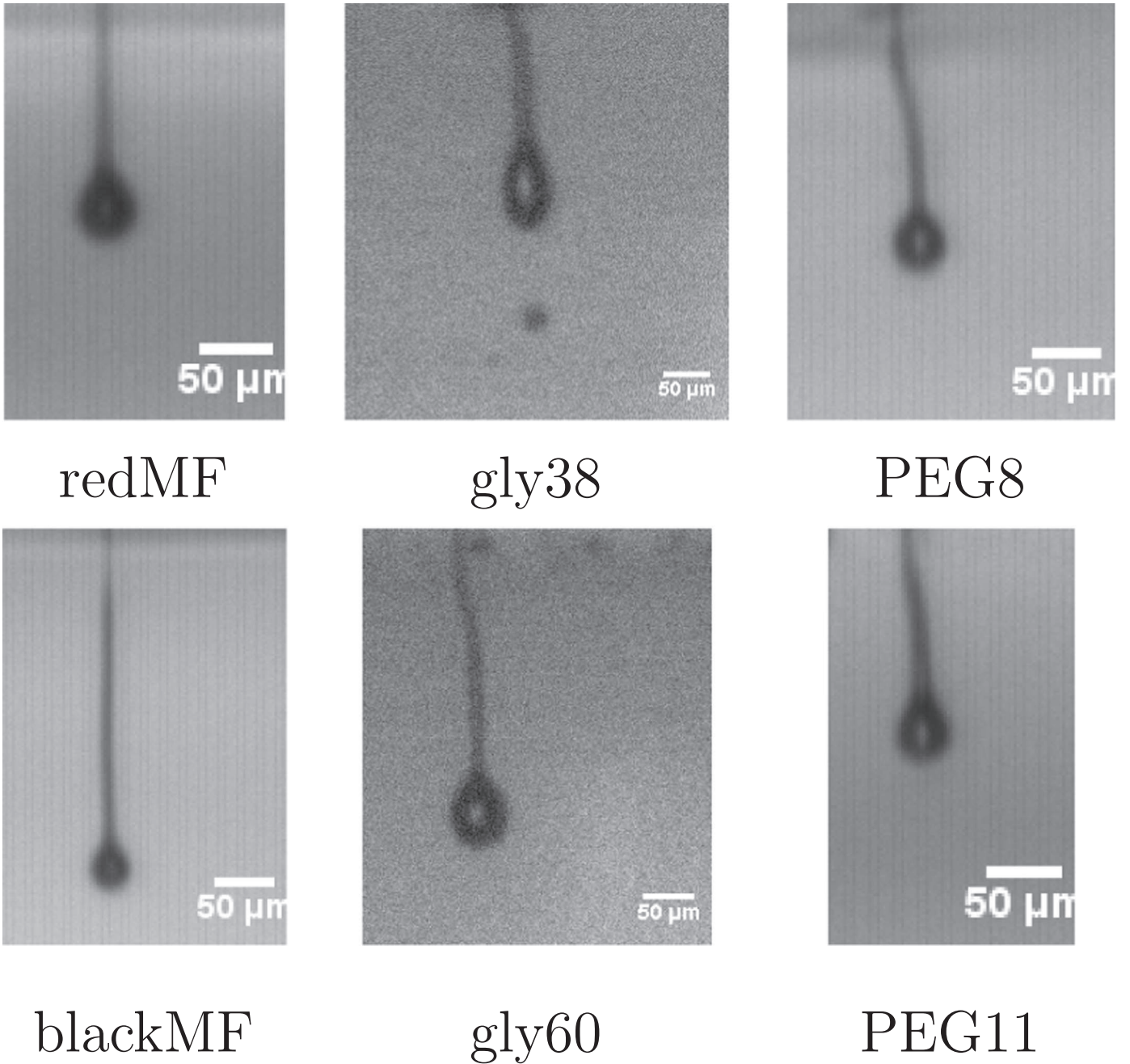
Characteristic time and lengthscales for the ejected fluids: viscous length  $L_{visc}$ , viscous time  $t_{visc}$ , Rayleigh time  $t_{Ray}$ , Ohnesorge number  $Oh$  and its inverse the ejection ratio  $Z$ .

Ink label	$L_{visc}$ ( $\mu$ m)	$t_{visc}$ ( $\mu$ s)	$t_{Ray}$ ( $\mu$ s)	$Oh$ (-)	$Z$ (-)
redMF	27	25	17	1.13	0.9
blackMF	8	3	15	0.61	1.6
gly38	0.152	0.008	12	0.09	11.8
gly60	7	4	20	0.56	1.8
PEG8	1	0.1	13	0.22	4.5
PEG11	4	1	13	0.44	2.3
SiO <sub>2</sub> 1	25	26	27	0.99	1
SiO <sub>2</sub> 2	8	4	26	0.55	1.8
SiO <sub>2</sub> 3	15	11	25	0.75	1.3
SiO <sub>2</sub> 4	17	13	19	0.89	1.1
Ferrite 4	2	0.422	25	0.26	3.9
Ferrite 8	14	10	27	0.72	1.4

filament shape is different for every ink, indicating possible effects related to their particulate nature, or to the non-Newtonian character of the rheological behaviour. Let us estimate a shear rate in the nozzle by considering a steady state Poiseuille flow in a cylindrical duct of size  $R_0$ . Based on a power law fluid, the maximum shear rate considering a Poiseuille flow in a cylindrical duct is:

$$\dot{\gamma}_{max} = \left( \frac{AR_0}{2K} \right)^{1/n} = \frac{v_0}{R_0} \frac{3n+1}{n} \quad (1)$$

Since the typical velocity is of the order of 10 m/s as will be shown later, the shear rate varies from  $10^6 \text{ s}^{-1}$  in the nozzle to 0 in the filament. Even though the rate index is close to one, viscosity can vary by a factor two during the jetting process due to the large shear rate variation. The typical range of shear rate of  $10^6 \text{ s}^{-1}$  can in turn be used to estimate a Péclet number in the particulate inks



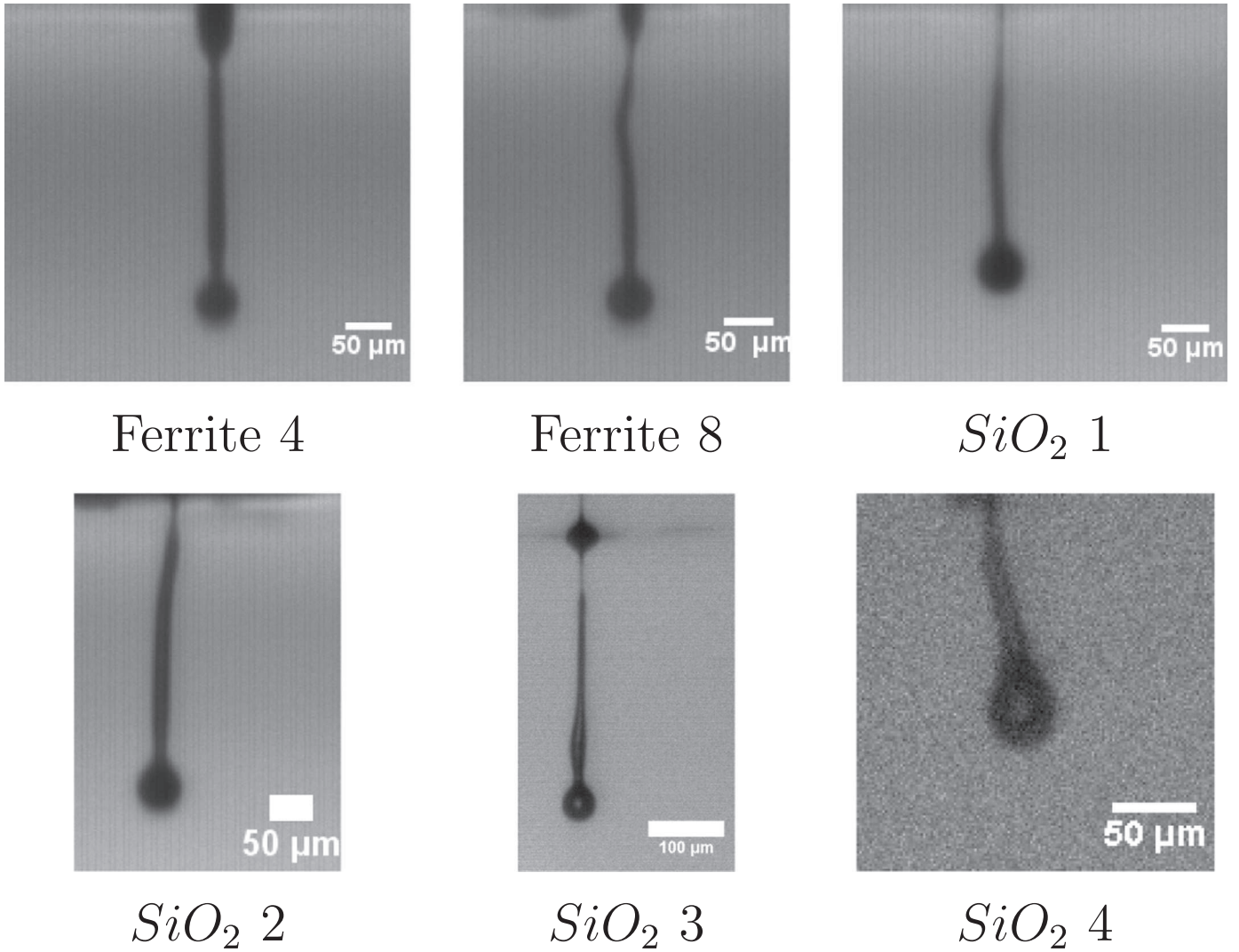
**Fig. 5.** Snapshots just before filament breakup for non-particulate inks (scale: 50  $\mu\text{m}$ ). The nozzle is at the top of the image. The fluids show straight profiles for both black and red MF, but non-axisymmetric ones for all the other inks. A secondary thread is observed for blackMF and PEG8.

$Pe = \frac{\dot{\gamma}_{max}}{D_0 |a|^2}$ , where  $D_0$  is the diffusion coefficient calculated with a solvent viscosity of 10 mP s. They are summarized in Table 3. Both ferrite size populations have a high  $Pe$ . Silica has a rather lower  $Pe$  number, but still superior to one. These values indicate that the behaviour is dominated by flow and not by Brownian motion.

The minimum radius evolution as a function of time for the Ferrite 8 formulation is plotted in Fig. 7. For each of the curves obtained with different actuation pulses (50 V with a waveform 2–11–2  $\mu\text{s}$ , 69 V and 2–10–2, 2–6–2, 10–2–2, 2–2–10  $\mu\text{s}$ ), the pulse duration  $t_{pulse} = t_{rise} + t_{dwell} + t_{fall}$  has been subtracted such that  $t - t_{pulse} = 0$  is the end of the pulse. As can be seen, except for data dispersion arising from the uncertainty in the minimum detection, all the data collapse on a single master curve. We observe no effect of the driving waveform on the thinning process. This is the case for all the inks. For each fluid, the average minimum radius over all

the experiments was calculated and is subsequently called  $R_{min}$  for simplicity. It appears that the filament thinning behaviour is the same whatever the drop generation method.

The breakup time  $t_b$  was obtained from the last time  $t_{end}$  where the thread is connected to the printhead by calculating  $t_{end} + 0.5\Delta t$ .  $t_b$  was plotted against  $t_{Ray}$  in order to find a scaling behaviour, as presented in Fig. 8. The data show a linear relationship, as can be seen from the slope of almost one on the log–log scale. Thus, the time to breakup is controlled mainly by inertial effects, as there is no viscosity in the Rayleigh time. Moreover, it appears that both Newtonian and non-Newtonian fluids obey the same law, indicating no effect of the viscosity power law exponent. The linear coefficient for the breakup time was obtained from a linear fit of the type  $y=ax$ , and the slope was found to be 2.69 (see Fig. 8). The linear stability analysis for a symmetric perturbation

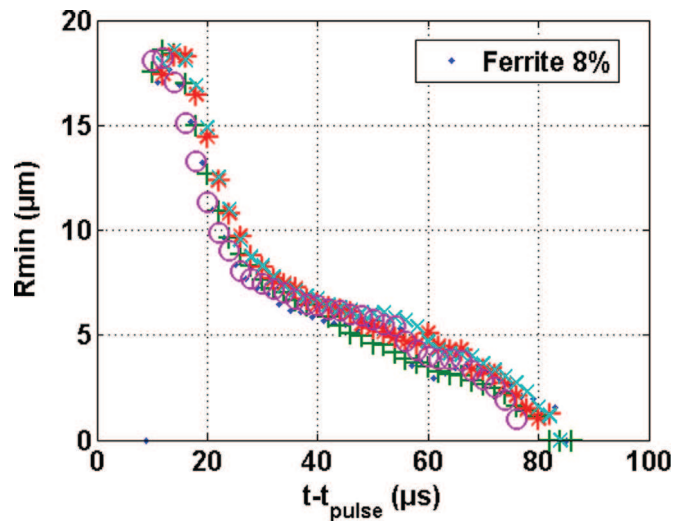


**Fig. 6.** Snapshots just before filament breakup for particulate inks (scale: 50  $\mu\text{m}$ ). The nozzle is at the top of the image. As for the non-particulate inks in Fig. 5, both straight (Ferrite 4) and non-axisymmetric profiles can be observed, as well as secondary threads located near the nozzle.

**Table 3**  
Estimates of Péclet numbers for the particulate inks. All inks are flow-dominated.

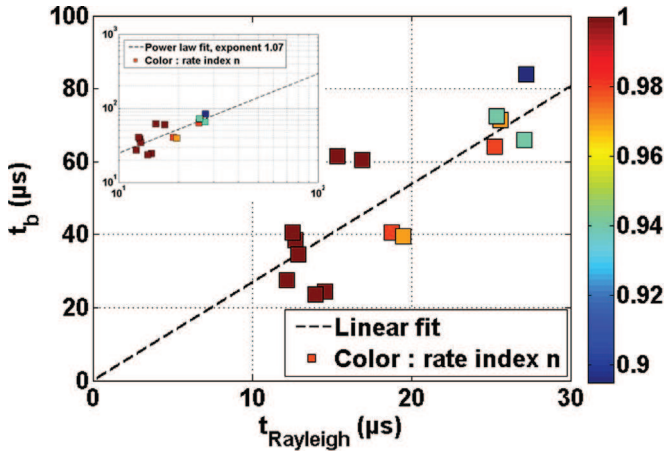
Ink	$Pe$
$\text{SiO}_2$	46
Ferrite	$2 \cdot 10^3$
	$22 \cdot 10^3$

growth in the systems gives  $t_{breakup} \approx 2.74t_{Rayleigh}$  (see for example Dumouchel, 2008), which is very close. However, for most of the inks a non-axisymmetric breakup is observed, which is in contradiction with Rayleigh's work which states that axisymmetric disturbances should grow faster than non-axisymmetric ones. Contrary to the findings of van Deen et al. (2013) and Bonnoit et al. (2012), no acceleration due to the presence of particles can be observed. However, the colloids used in this study are three orders of magnitude smaller than those used in the cited literature (tens of nanometers in diameter versus a few micrometers). Thus, at the length scales considered here, the inks can still be described as a continuum medium, as far as viscosity but also surface tension are concerned. The liquid draining and particle reorganization may thus still occur, but only when the filament is much thinner than

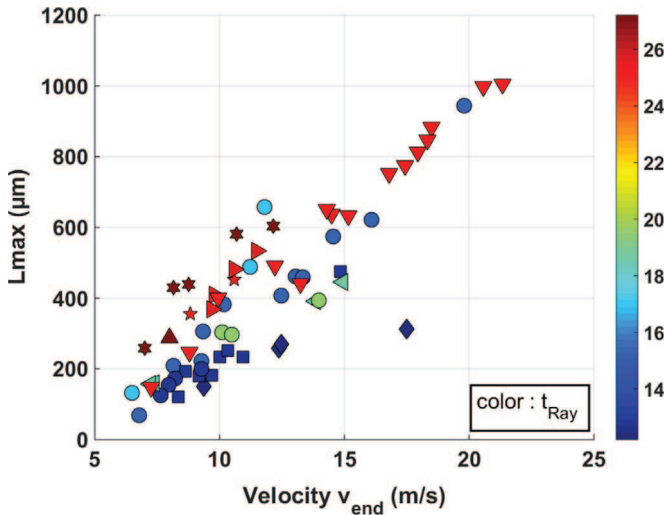


**Fig. 7.** Minimum radius  $R_{min}$  as a function of time shifted by the pulse duration, here for Ferrite 8%. Each symbol represents a different nozzle actuation pulse: 50 V with a waveform 2–11–2  $\mu\text{s}$ , 69 V and 2–10–2, 2–6–2, 10–2–2, 2–2–10  $\mu\text{s}$  (+, \*, x, o). All the experiments fall on the same master curve.





**Fig. 8.** Breakup time  $t_b$  as a function of the Rayleigh time  $t_{Ray}$  for the different inks. A power law fit with an exponent close to 1 can be obtained as shown on the loglog inset, further demonstrated on the linear plot, where a slope of 2.69 can be fitted to the data. The symbol colour represents the viscosity rate index of the ink. (For interpretation of the references to colour in this figure caption, the reader is referred to the web version of this paper.)



**Fig. 9.** Maximum thread length as a function of  $v_{end}$  for all the fluids. Each symbol corresponds to a different fluid, the symbol size corresponds to  $t_{Ray}$ . There is a monotonic increase of the length with velocity, indicating that all inks break up in the Rayleigh regime according to Dumouchel (2008). (For interpretation of the references to colour in this figure caption, the reader is referred to the web version of this paper.)

that can ever be observed with optical techniques, and also at timescales below the microsecond. The studies of the stability of liquid jets have been reviewed in detail in reference Dumouchel (2008), in relation with surrounding gas and fluid Weber numbers  $We_{f,g}$  defined as  $We = \frac{2\rho_f g v^2 R_0}{\lambda}$ . In our case,  $0.05 < We_{air} < 1$ , and  $39 < We_{ink} < 886$ . According to the classification from Dumouchel (2008), the system belongs to the Rayleigh regime (straight filament). Fig. 9 shows the evolution of maximum thread length as a function of maximum velocity, for all the inks. The Rayleigh regime can be inferred from the monotonic behaviour: the breakup length increases with the velocity. However, we observe almost always a non-axisymmetric filament.

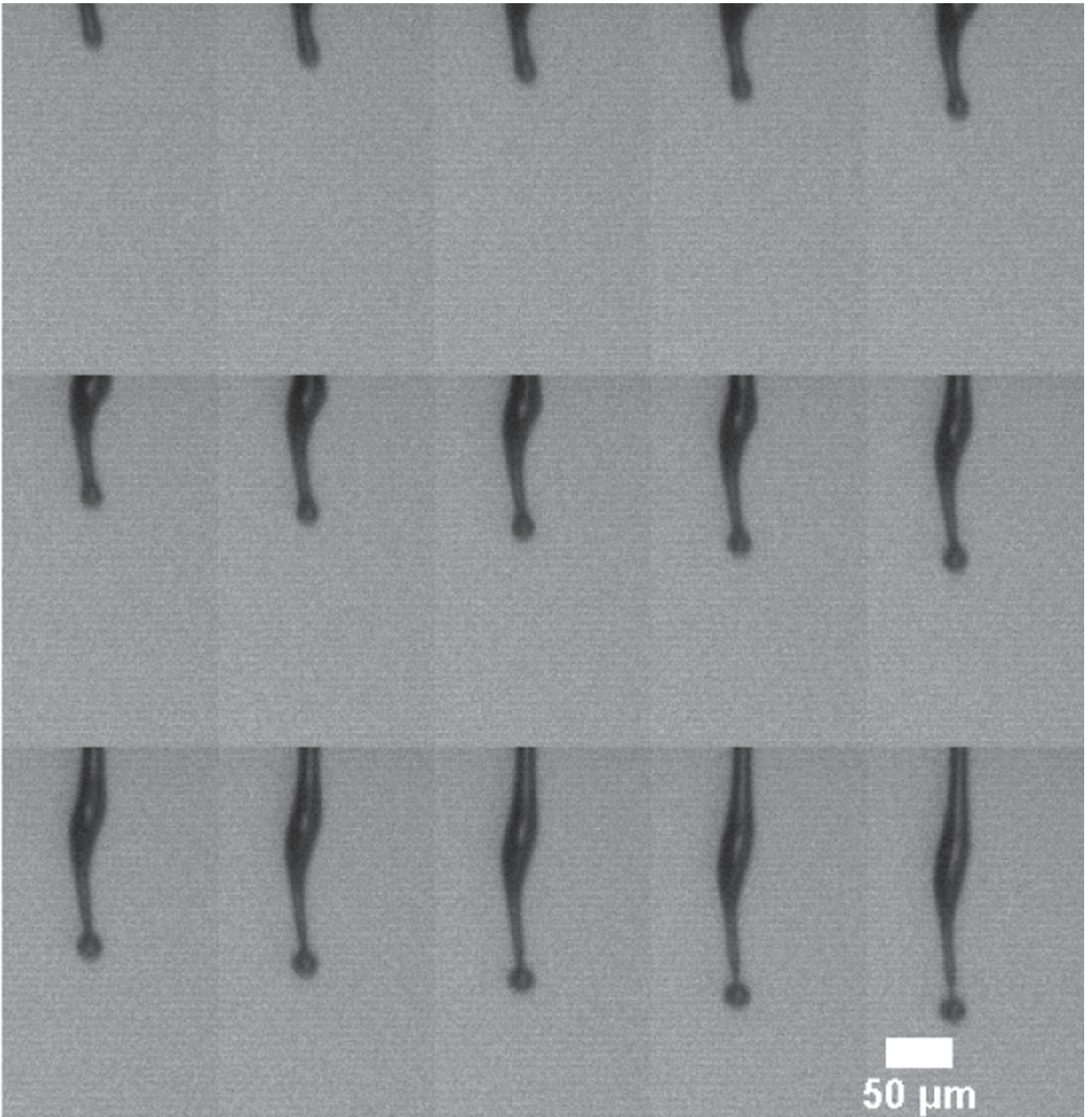
The loss of axisymmetry has been attributed to different factors for the case of complex fluids, such as particle migration towards the edge of the contact line occurring when the ink dries around the nozzle (coffee stain effect), resulting in non-symmetric wetting properties (Wang et al., 2012). Imperfections in the nozzle, caused

for example by partial clogging, may also induce specific jet shapes. This often leads to poor jetting quality. However, in our case the jetting proved to be perfectly stable, since the stroboscopic sequence shows no discrepancy in the shape of the filament between two adjacent frames. Since every frame belongs to a different jetting sequence, this finding indicates that the non-axisymmetric shape is perfectly reproducible. The loss of axisymmetry occurs for the lower part of the filament, whereas the part attached to the nozzle is perfectly straight. This deformation may thus be attributed to viscoelastic effects. Some authors investigated the non-axisymmetric breakup of liquid columns or columns of compound fluids (Ruo et al., 1992; Chen et al., 2008). Yang et al. focus on viscoelastic fluids (Yang et al., 2013), and show that the instability grows faster for this type of rheological behaviour. Thus, it appears that the viscoelastic properties of the inks are more important than expected at first. This behaviour has been reported for particulate inks (Wang et al., 2012; Connington et al., 2015), and has also been investigated in relation with the surrounding air flow for ejection of viscoelastic fluids (Yang et al., 2013). In the situation investigated in the literature, the non-axisymmetric disturbance growth led to an overall filament breakup in a non-symmetric way (Dumouchel, 2008; Zhao et al., 2014). For fluid with micron size particles such as coal-water slurry (Zhao et al., 2014), a clear link was established between viscoelastic properties and the breakup length during primary atomization of the jet. In our case, there is a single bulge in the filament profile. This may be due to the propagation of an acoustic wave originated from the pulse, or the elastic response to fluid elongation. For the sake of comparison, Fig. 10 shows the profile obtained for a strongly viscoelastic ink formulated with poly-vinyl alcohol (PVA) at 25 wt% in water. In the case of PVA, the ink is clearly viscoelastic due to high PVA content, and the deformation is very pronounced. For the other inks studied, there can be a small viscoelastic contribution originating from the different additives (surfactants, humectants) required for ink formulation. Fig. 11 shows the profile taken at the same (apparent) time in the jetting sequence, with three different cameras. Each camera looks at the printhead with a different angle, and it is clear that the central picture of the montage does not exhibit the bulge. This camera is perfectly perpendicular to the printhead (see top view in Fig. 1, camera C). Thus, after considering all the observation angles for the fluids, it appears that the non-axisymmetric filament profile is observed for all the inks except Ferrite 4. The viscoelastic behaviour can be highlighted by studying the thinning of the filament.

### 3.3. Filament thinning

The thinning behaviour of the minimum thread radius  $R_{min}$  with time has been reported to depend on the relative magnitude of the different contributions. The time-to-breakup  $\tau = t_b - t$  is the relevant time axis. For viscosity dominated regimes,  $R_{min} \propto \tau^\alpha$ . If viscosity obeys a power law type behaviour of the form  $\eta = K\dot{\gamma}^{n-1}$ , the minimum radius decays as  $R_{min} \propto \tau^n$ . As the filament width decreases, inertia and capillarity become more important, and the system can enter a different regime. Eventually, close to breakup all contributions become equal and a final regime is reached where  $R_{min} \propto \tau^{2/3}$  (McKinley, 2005; McKinley and Tripathi, 2000; Cooper-White et al., 2002).

The filament thinning passes through several regimes, highlighted on the graphs in Fig. 12. Let us first consider the particulate fluids. In the first column (A),  $R_{min}$  is plotted as a function of time  $t$  on a lin-log scale. A very clear exponential decay region can be seen for all the fluids, followed by another regime. The first regime, where  $R_{min} \propto e^{-t/\lambda_c}$  is predicted when the elastic contribution is large (Cooper-White et al., 2002; McKinley, 2005), with  $\lambda_c = \eta/G$  the characteristic relaxation time of the fluid, with  $G$  being the

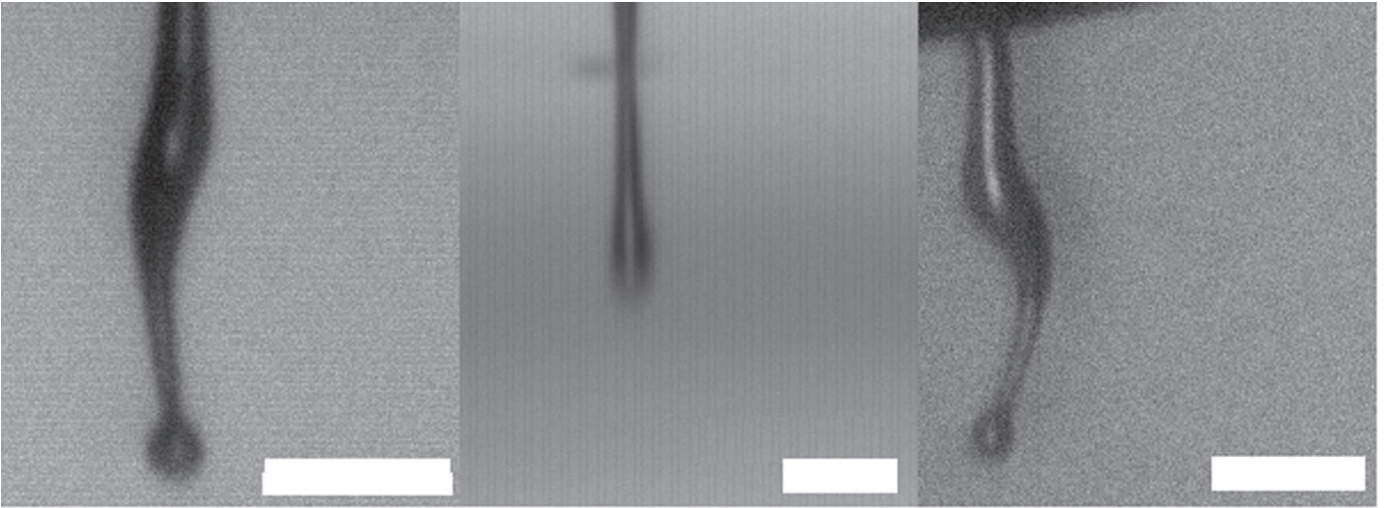


**Fig. 10.** Montage of consecutive stroboscopic snapshots of the ejection process of a viscoelastic ink (PVA, 25 wt% in water), shown for the sake of comparison. The actuation pulse rise, dwell and fall times are 5  $\mu$ s, and the voltage is 120 V. The distorted profile is perfectly reproducible as there is no discrepancy between the frames, which belong in reality to different jetting sequences. Scalebar: 50  $\mu$ m.

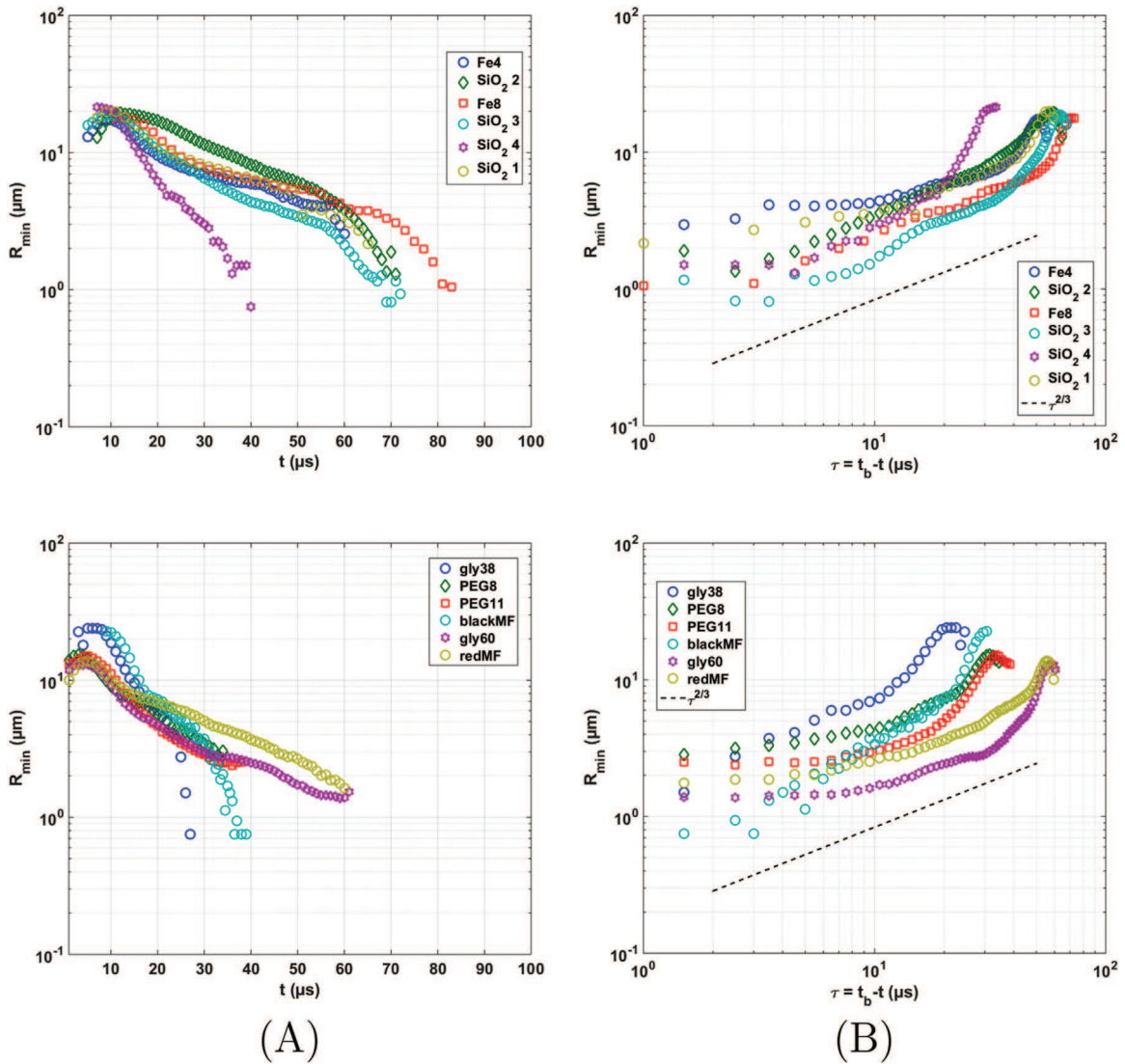
elastic modulus.

The second regime is highlighted on the second graph (B), where  $R_{min}$  is plotted as a function of the time-to breakup  $\tau$ . On this graph, the power decay region, where  $R_{min}$  varies as  $\tau^{2/3}$ , can also clearly be seen. This regime starts when the exponential decay ends, and was predicted for inviscid fluids (Yildirim and Basaran, 2006; Cooper-White et al., 2002). SiO<sub>2</sub> 4 seems to be decaying as  $\tau^1$ , which is in turn predicted for regions where viscosity dominates, or conversely very close to breakup when all the contributions become important. This tail can be seen for the other

inks except for SiO<sub>2</sub> 2, which has the lowest viscous time. This last regime can thus happen at even shorter timescales than what can be observed in this setup. Thus, we found that despite the small amount of additives in the ink formulation, the viscoelastic properties become visible at the time and lengthscales involved in inkjet printing. This is also the case for the non-particulate inks, as can be seen from the second line in Fig. 12. Despite PEG being a polymer, viscoelastic effects were not supposed to be strong since the concentration is low. The elastic moduli obtained from the fit  $R_{min} \propto e^{-t/\lambda_c}$  are summarized in Table 4. Ferrite 8 has a large value



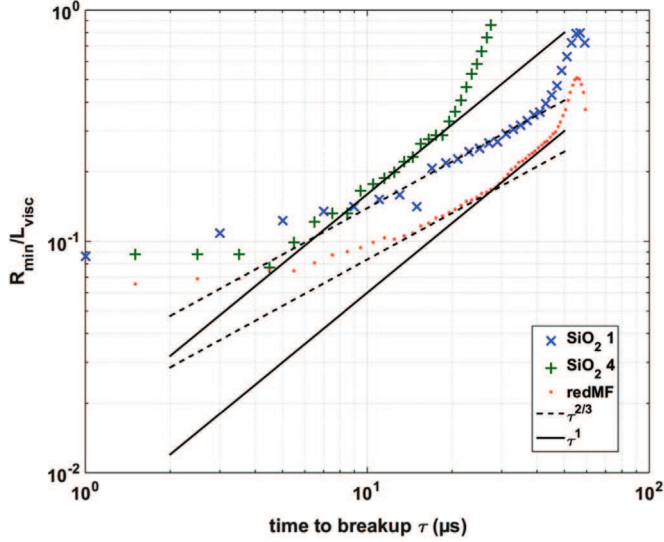
**Fig. 11.** Montage of snapshots taken at the same step of the sequence with three different cameras at different orientations. When the deformation is perfectly perpendicular to the camera, it cannot be seen clearly (central image). Scalebars: 100  $\mu\text{m}$ .



**Fig. 12.** Minimum radius plotted against (A) the time  $t$ , and (B) the time-to breakup  $\tau$ . On the first graph, an exponential decay region is observed, followed by a rapid thinning. This second regime is more clearly seen on the second graph, with a decay  $R_{\min} \propto \tau^{2/3}$  or  $\tau^{-1}$ . The first line is for particulate fluids, and the second for solutions.

**Table 4**Elastic moduli obtained from a fit  $R_{min} \propto e^{-t/\lambda_c}$ , with  $\lambda_c = \eta/G$ .

Ink	PEG8		PEG11	
G (MPa)	1.67		4.02	
Ink	SiO <sub>2</sub> - 2	SiO <sub>2</sub> - 3	Ferrite 4	Ferrite 8
G (MPa)	1.82	4.84	0.84	4.49



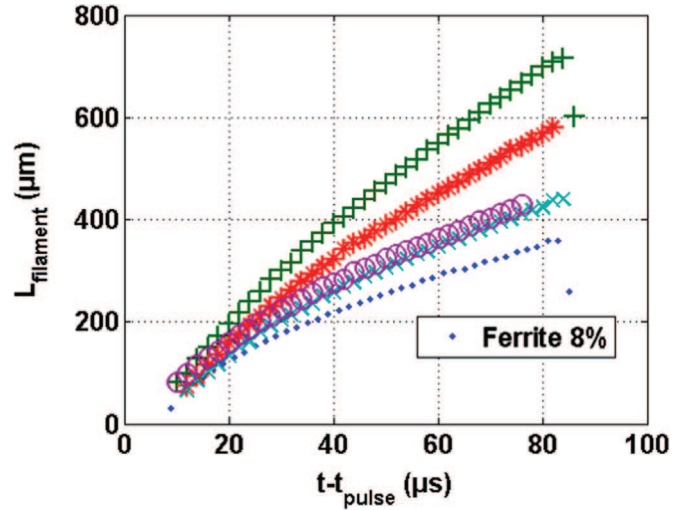
**Fig. 13.** Evolution of the dimensionless minimum radius  $R_{min}/L_{visc}$  as a function of the time to breakup  $\tau$ , for fluids with  $Z$  close to 1. The lines correspond to different theoretical power laws: 1 for viscosity-dominated regime,  $2/3$  for inertial regime. (For interpretation of the references to colour in this figure caption, the reader is referred to the web version of this paper.)

of elastic modulus, which confirms the origin of the axisymmetry loss observed in Fig. 6. On the other hand, Ferrite 4 has the lowest elastic modulus, and displays axisymmetric profiles.

Solutions and particulate fluids of similar ejection ratio  $Z$  were then compared in order to detect non-Newtonian effects. Fig. 13 shows the thinning behaviour as a function of the time to breakup  $\tau = t_{break} - t$  for three fluids: SiO<sub>2</sub> - 1, SiO<sub>2</sub> - 4, and redMF with  $Z \simeq 1$ . The minimum radius was divided by  $L_{visc}$  to obtain a dimensionless parameter. The log-log scale helps us to identify the scaling behaviour. The data points close to zero are obtained when the filament is at its thinnest, resulting in a worse signal-to-noise ratio, and may not be completely reliable. Thus, the apparent slowing of the break-up process cannot be fully trusted. This difficulty arises from the fact that the filament width becomes less than one pixel. Only SiO<sub>2</sub> - 1 and SiO<sub>2</sub> - 4 exhibit a  $\tau^{-1}$  decay; all fluids decay with a  $\tau^{2/3}$ . Despite  $Z$  being very close, the behaviour is clearly different. However, this is the case even for two similar SiO<sub>2</sub> inks, showing that a slight formulation change can result in a completely different ejection scenario.

### 3.4. Actuation pulse

The pulse waveform parameters have been reported to have complex effects on the ejected drop characteristics (Reis and Derby, 2000; Jang et al., 2009). Fig. 14 shows the variation of the filament length with time for Ferrite 8. Since the pulse does have some influence on drop velocity, there is a strong disparity in the thread lengths. The effect of the driving pulse on the filament growth has been studied by calculating the instantaneous growth

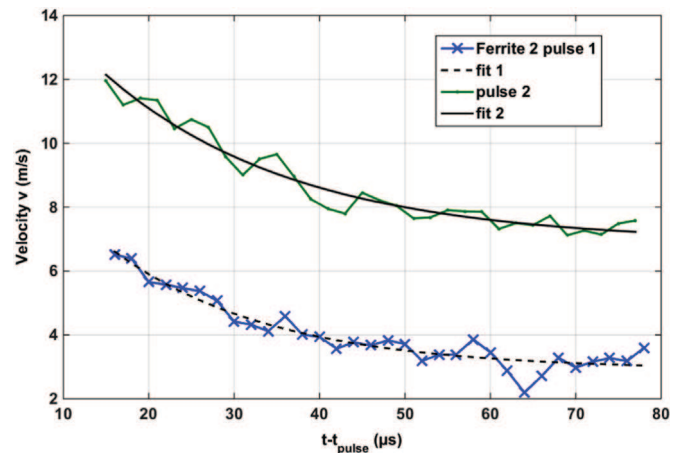


**Fig. 14.** Filament length  $L_{fil}$  as a function of time shifted by the pulse duration, for Ferrite 8. Each symbol represents a different nozzle actuation pulse:  $\bullet$ : 2-11-2  $\mu$ s 50 V,  $+$ : 2-10-2  $\mu$ s 69 V,  $*$ : 2-6-2  $\mu$ s 69 V,  $\times$ : 10-2-2  $\mu$ s 69 V,  $\circ$ : 2-2-2-10  $\mu$ s 69 V. For this parameter, the actuation waveform has a strong influence.

rate  $v(t) = \frac{dL_{fil}}{dt}$  for all fluids and pulses.  $v(t)$  was obtained from the slope of piecewise affine fitting to the filament length data. This procedure allowed us to smooth out the results. A typical result is shown in Fig. 15, for two different pulses with Ferrite 4. From the data, it can be seen that the growth rate decreases with time, starting at a value  $v_0$  and decreasing to reach a plateau  $v_{end}$ . In some cases, an increase of the velocity can be observed at first, before this exponential decay. It is the case for SiO<sub>2</sub> 3, as shown in Fig. 16. A curve of the form  $Ae^{-\lambda t} + v_{end}$  was fitted to all growth rate data in the decreasing region, with a very good agreement as can be seen in Figs. 15 and 16.

With the viscosity exponents reported in Table 1, the viscosity variation over the shear rates involved ranges from around 75 to 25% of the consistency values. Since thinning and breakup do not involve high shear rates, all characteristic times were calculated using the low shear viscosity (consistency in Table 1). However, the viscosity range criterion for ink ejectability should use a higher shear rate viscosity value because ejectability is controlled by the flow in the nozzle.

Fig. 17 shows the growth rate variation for Silica 2 as a function of voltage, for different pulses. The growth rate seems proportional to the peak voltage, with a shift of the curves for different pulse



**Fig. 15.** Filament growth rate  $v$  as a function of time shifted by the pulse duration, for Ferrite 2. Two different pulses are shown. The growth rate curves can be fitted using  $Ae^{-\lambda t} + v_{end}$ .

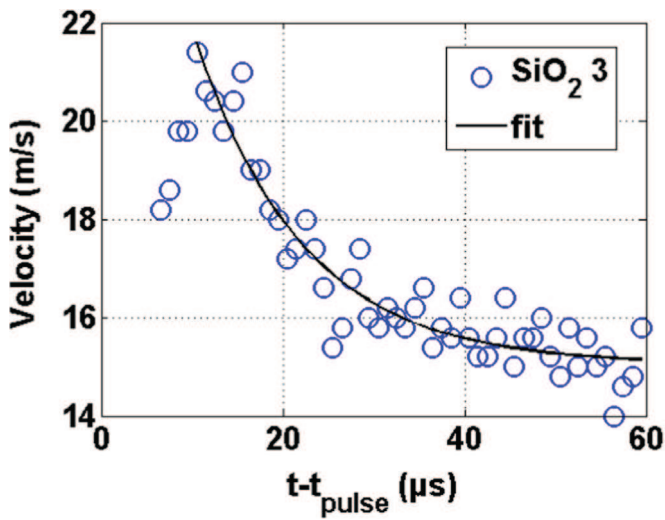


Fig. 16. Filament growth rate  $v$  as a function of time shifted by the pulse duration, for  $\text{SiO}_2$  3. At the beginning, the velocity increases, then decreases exponentially.

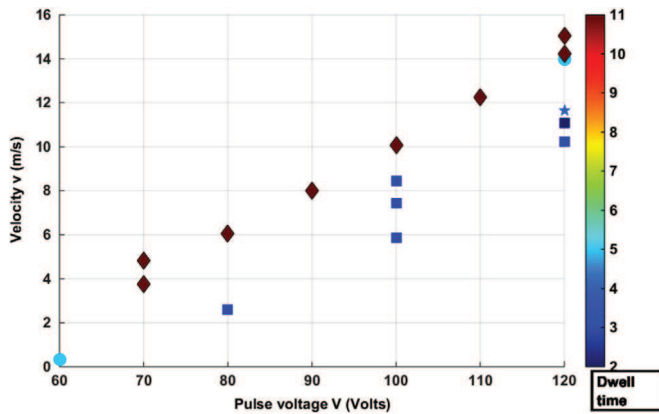


Fig. 17. Filament growth rate  $v_0$  as a function of peak voltage  $V$ , for the Silica 2 ink. Each symbol corresponds to a different dwell time, also indicated on the colorbar. Ae seems to lead to a larger end velocity. An affine relation  $v_{end} = \beta(V - V_{min})$  can be fitted to the data. (For interpretation of the references to colour in this figure caption, the reader is referred to the web version of this paper.)

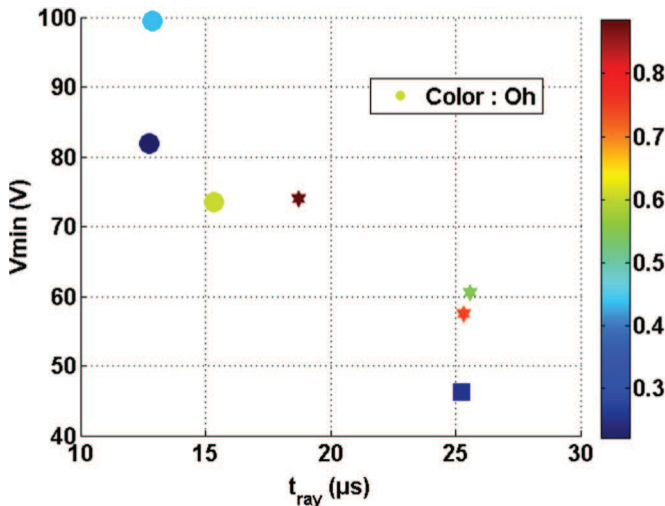


Fig. 18. Minimum driving pulse voltage as a function of Rayleigh time, grayscale:  $Oh$  number.  $V_{min}$  is obtained from an affine fit for velocity as a function of voltage pulse (see Fig. 17). Non-particulate fluids are represented as circles, silica inks as stars and ferrite as squares. (For interpretation of the references to colour in this figure caption, the reader is referred to the web version of this paper.)

durations, as expected from literature (Jang et al., 2009).

The same pulse did not necessarily lead to successful ejection for all the fluids. When several driving pulses were available for a given fluid, an affine fit  $v = \beta(V - V_{min})$  was performed for the growth rate data as a function of driving voltage. Every pulse dwell time was considered separately. This allows us to determine the minimum driving voltage for each fluid, on a given nozzle. The results are plotted in Fig. 18. It appears that a single value of  $V_{min}$  can be determined for each fluid. Except for the PEG 8 solution, the behaviour seems to be linear with  $t_{Ray}$ .

#### 4. Conclusion

In this study, several inks consisting of both solutions and particulate suspensions were ejected on an inkjet printing device, and the filament growth and breakup were analysed in detail. Original results for ceramic inks originally formulated for actual 3D shaping were obtained. Due to the micronic time and lengthscales involved, a stroboscopic approach, with a specific image analysis technique, had to be set up. All inks were formulated with their high shear viscosity and their surface tension values within the acceptable range specified by the printhead supplier.

The general behaviour was different for all the tested inks: some presented a secondary microthread, others non-axisymmetric profiles, or a secondary bulge near the nozzle. The first finding was the confirmation that the nozzle actuation pulse had no effect on the thinning behaviour itself. The effect of the pulse could be seen on the filament growth rate.

Due to the time and lengthscales involved, not all the breakup stages could be observed. The fluids were mainly controlled by inertia, thus the breakup time scaled as the Rayleigh time. As far as the current analysis is concerned, it seems that the non-Newtonian nature of the fluid played no particular role on the scaling of the breakup time. However, the viscoelastic behaviour seems to have a larger impact than expected from the ink formulation alone, where viscoelastic additives are non-existent or in very low amount. In the current study only moderately non-Newtonian fluids could be ejected due to the restrictions on the printhead. It would be interesting to test more complex fluids. In this case, a live observation setup might be necessary, in order to observe unsteady ejection.

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