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Automated identification of an aspirin-exacerbated respiratory disease cohort

Cahill, Katherine N; Johns, Christina B; Cui, Jing; Wickner, Paige; Bates, David W; Laidlaw, Tanya M; Beeler, Patrick E

Abstract: BACKGROUND Aspirin-exacerbated respiratory disease (AERD) is characterized by 3 clinical features: asthma, nasal polyposis, and respiratory reactions to cyclooxygenase-1 inhibitors (nonsteroidal anti-inflammatory drugs). Electronic health records (EHRs) contain information on each feature of this triad. OBJECTIVE We sought to determine whether an informatics algorithm applied to the EHR could electronically identify patients with AERD. METHODS We developed an informatics algorithm to search the EHRs of patients aged 18 years and older from the Partners Healthcare system over a 10-year period (2004-2014). Charts with search terms for asthma, nasal polyps, and record of respiratory (cohort A) or unspecified (cohort B) reactions to nonsteroidal anti-inflammatory drugs were identified as "possible AERD." Two clinical experts reviewed all charts to confirm a diagnosis of "clinical AERD" and classify cases as "diagnosed AERD" or "undiagnosed AERD" on the basis of physician-documented AERD-specific terms in patient notes. RESULTS Our algorithm identified 731 "possible AERD" cases, of which 638 were not in our AERD patient registry. Chart review of cohorts A (n = 511) and B (n = 127) demonstrated a positive predictive value of 78.4% for "clinical AERD," which rose to 88.7% when unspecified reactions were excluded. Of those with clinical AERD, 12.4% had no mention of AERD by any treating caregiver and were classified as "undiagnosed AERD." "Undiagnosed AERD" cases were less likely than "diagnosed AERD" cases to have been seen by an allergist/immunologist (38.7% vs 93.2%; P < .0001). CONCLUSIONS An informatics algorithm can successfully identify both known and previously undiagnosed cases of AERD with a high positive predictive value. Involvement of an allergist/immunologist significantly increases the likelihood of an AERD diagnosis.

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1 **Title:** Automated identification of an aspirin-exacerbated respiratory disease cohort

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24

25 **Abstract:**

26 Background: Aspirin-exacerbated respiratory disease (AERD) is characterized by three clinical
27 features: asthma, nasal polyposis, and respiratory reactions to cyclooxygenase-1 inhibitors
28 (NSAIDs). Electronic health records (EHRs) contain information on each feature of this triad.

29 Objective: To determine if an informatics algorithm applied to the EHR could electronically
30 identify patients with AERD.

31 Methods: We developed an informatics algorithm to search the EHRs of patients age 18 and
32 older from the Partners Healthcare system over a 10 year period (2004-2014). Charts with search
33 terms for asthma, nasal polyps and record of respiratory (Cohort A) or unspecified (Cohort B)
34 reactions to NSAIDs were identified as “possible AERD”. Two clinical experts reviewed all
35 charts to confirm a diagnosis of “clinical AERD” and classify cases as “diagnosed AERD” or
36 “undiagnosed AERD” based on physician documented AERD-specific terms in patient notes.

37 Results: Our algorithm identified 731 “possible AERD” cases, of which 638 were not in our
38 AERD patient registry. Chart review of cohorts A (n=511) and B (n=127) demonstrated a
39 positive predictive value (PPV) of 78.4% for “clinical AERD”, which rose to 88.7% when
40 unspecified reactions were excluded. Of those with clinical AERD, 12.4% had no mention of
41 AERD by any treating caregiver and were classified as “undiagnosed AERD”. “Undiagnosed
42 AERD” cases were less likely to have been seen by an allergist/immunologist than “diagnosed
43 AERD” cases (38.7% vs. 93.2%, $P<.0001$).

44 Conclusion: An informatics algorithm can successfully identify both known and previously
45 undiagnosed cases of AERD with a high PPV. Involvement of an allergist/immunologist
46 significantly increases the likelihood of an AERD diagnosis.

47

48 **Key Messages:**

- 49 ▪ An informatics algorithm can be used to search electronic health records to identify
- 50 diagnosed and previously undiagnosed cases of clinical aspirin-exacerbated respiratory
- 51 disease (AERD).
- 52 ▪ Incomplete recording of drug reaction data by caregivers limits the PPV of this algorithm.
- 53 ▪ Involvement of allergy/immunology specialists in the care of subjects with asthma, nasal
- 54 polyposis, and NSAID allergy increases the likelihood that a diagnosis of AERD will be
- 55 made.

56

57 **Capsule Summary:**

58 An informatics search algorithm can successfully identify diagnosed and undiagnosed cases of

59 aspirin-exacerbated respiratory disease (AERD) in the electronic health record.

60 **Key Words:**

61 Aspirin-exacerbated respiratory disease

62 Electronic health record

63 Asthma

64 Nasal polyps

65 Non-steroidal anti-inflammatory drugs

66 Chronic rhinosinusitis

67 Structured query language

68 Clinical decision support

69

70 **Abbreviations:**

71 Aspirin-exacerbated respiratory disease (AERD)

72 Electronic health record (EHR)

73 Non-steroidal anti-inflammatory drugs (NSAIDs)

74 Cyclooxygenase-1 (COX-1)

75 Chronic rhinosinusitis with nasal polyposis (CRSwNP)

76 International classification of diseases 9 (ICD-9)

77 Partners Research Patient Data Repository (RPDR)

78 Structured query language (SQL)

79 Positive predictive value (PPV)

80 Interquartile range (IQR)

81 Confidence interval (CI)

82

83 **Introduction:**

84 Electronic health records (EHR) provide the advantage of an electronically searchable
85 patient chart and are now being widely used in North American and Europe. One of the ways
86 EHRs can be used to improve patient care is to develop informatics algorithms for disease
87 diagnosis. Using this approach, cohorts of patients with disease-specific characteristics can be
88 identified for diagnosis (1). Identified patients may then benefit in a variety of ways, such as
89 from disease-targeted therapeutics and from participation in clinical trials and translational
90 research investigations. This may be particularly important in the field of clinical allergy and
91 immunology where many of the common diseases encountered lack accurate disease-specific
92 coding in our current systems.

93 In the classic triad form, aspirin-exacerbated respiratory disease (AERD), also referred to
94 as Samter's Triad, is the unique clinical combination of chronic rhinosinusitis with nasal
95 polyposis (CRSwNP), asthma, and respiratory reactions to all inhibitors of cyclooxygenase
96 (COX)-1. The syndrome affects 7.2% (95% CI, 5.26% to 9.03%) of adults with asthma and
97 14.9% (95% CI, 6.48% to 23.29%) of those with severe asthma, and therefore may affect up to 2
98 million U.S. adults (2). Ingestion of aspirin or any COX-1 inhibitor elicits hypersensitivity
99 reactions within 30 minutes to 3 hours that include worsening upper respiratory symptoms and
100 acute bronchoconstriction, sometimes requiring emergency medical care. Although there are
101 patients with respiratory reactions to COX-1 inhibitors who do not have all three components of
102 this disease (3-5), we will consider the classic triad for the duration of this manuscript. AERD is
103 a chronic medical condition that dramatically impacts quality of life and medical resource
104 utilization beyond that of most aspirin-tolerant patients with asthma or CRSwNP (6). Despite the
105 morbidity of the syndrome and its frequency in the adult asthmatic population, our clinical

106 experience is that there is a delay of many months to years between the onset of AERD
107 symptoms and a formal diagnosis (4), and research efforts in AERD are hampered by modest
108 sample sizes.

109 Unfortunately, AERD lacks a unifying ICD-9 or ICD-10 code. Since AERD is
110 characterized by a unique triad, we hypothesized that the simultaneous use of ICD-9 codes for
111 asthma and nasal polyps, problem list entries, and medication allergy entries would automatically
112 identify a cohort of possible AERD cases. Therefore, we developed and tested an EHR algorithm
113 to identify subjects with AERD.

114 **Methods:**

115 **Informatics Algorithms**

116 Applying an informatics algorithm to the Partners Research Patient Data Repository
117 (RPDR) (1, 7), the EHRs at 2 academic hospitals (Massachusetts General Hospital and Brigham
118 and Women's Hospital [BWH]) and one community hospital (Faulkner Hospital) affiliated with
119 the Partners Healthcare system were searched over a 10 year period (12/2004-11/2014). IRB
120 approval was obtained for this study. The EHR at the institutions searched is entirely electronic
121 and included both inpatient and outpatient data from any affiliated hospital or clinic. All charts of
122 patients age 18 or older who had one or more encounters during this time period were searched
123 for AERD-relevant features. One RPDR query (Repository Table E1) was designed to find
124 patients with ICD-9 codes, problem list entries, laboratory values (eosinophils >500/ μ L) or
125 medications associated with asthma *and* with ICD-9 codes, problem list entries, intranasal
126 steroids or surgical billing codes related to nasal polyposis. The second RPDR query was

127 designed to find patients with NSAID allergy. The union of the two RPDR queries resulted in
128 datasets including 168,126 patients, which were further processed as described below.

129 The datasets obtained from RPDR were preprocessed, i.e. decrypted and decompressed,
130 and aggregation algorithms were used to summarize the resulting raw data tables, enabling first
131 reviews of the data. Because the RPDR queries were designed to capture all patients of potential
132 interest, structured query language (SQL) statements were used to filter and analyze patient data
133 and allow for the identification of the most important structured terms used in the final
134 algorithm.

135 Three preliminary SQL queries were developed for each characteristic of AERD,
136 searching the data tables for specific terms, e.g. “asthma”, and misspellings such as
137 “amaphylaxis” were also considered. Each query returned one patient population with asthma
138 (Repository Figure E1), one population with nasal polyps (Repository Figure E2) and one
139 population with NSAID allergy (Repository Figure E3). The NSAID allergy SQL was designed
140 to identify charts that reported reactions typical of the respiratory symptoms triggered by
141 NSAIDs in AERD or charts that reported unspecified (“unknown”) reactions to NSAIDs.
142 Reaction types not classically associated with AERD, e.g. gastritis or urticaria, were excluded.
143 The results (patient sets) of each query were used to further refine the SQL queries filtering more
144 specific data about the identified populations. The BWH AERD patient registry (n=96), a well-
145 phenotyped database of patients with aspirin-challenge confirmed AERD, was also used to
146 identify information of increased significance, and the SQL queries were iteratively revised
147 several times. In the example of nasal polyps, if a problem was noted by a clinician that did not
148 contain the necessary key words but one of the terms “sinus”, “nasal” or “allergic rhinitis”, then
149 the problem-associated comment was searched for “polyp”.

150 Over the course of these iterations, it became clear that *diagnoses* (ICD-9 codes),
151 *problems* including associated *comments*, and *allergens*, focusing only on those with specified
152 respiratory (e.g. bronchospasm, wheeze, nasal congestion) reactions, or unspecified reactions to
153 any inhibitor of COX-1, were the most important components to identify potential AERD
154 patients. The intersection of the three populations identified “possible AERD” cases (Figure 1),
155 which were further stratified by the type of reaction to an NSAID recorded in the EMR; Cohort
156 A included cases where specific respiratory symptoms were recorded and Cohort B included
157 cases where the reaction symptoms were unspecified, i.e. recorded as “unknown”.

158 A number of cases identified as “possible AERD” were already recorded as having
159 known AERD within structured information in the EHR e.g. problem lists and allergies and/or
160 through involvement in the BWH AERD patient registry. Therefore a fourth SQL query
161 (Supplementary File E5) was set up that searched only for AERD-specific terms within
162 structured information in the EHR, to determine if that more simplified approach would be
163 sufficient to identify cases of AERD from the EHR.

164 **Chart Reviews**

165 Two allergy/immunology experts with a clinical focus on AERD independently
166 performed chart reviews. All charts from Cohort A and Cohort B were reviewed by at least one
167 reviewer, with 20 charts from each cohort reviewed by both reviewers to assess the inter-rater
168 agreement (Kappa). Reviewers defined “clinical AERD” as the presence of an asthma diagnosis,
169 nasal polyps and a report of a classical respiratory reaction to one or more NSAIDs. The
170 presence of nasal polyposis was confirmed during chart review if one of the following criteria
171 were met: 1) documentation of rhinoscopic evidence of nasal polyposis, 2) surgical/pathologic

172 report confirming nasal polyposis, or 3) radiologic evidence of nasal polyposis. Cases which
173 carried a diagnosis of cystic fibrosis, sinus malignancy, or unilateral sinus disease or were
174 determined by chart review to either not meet criteria for a diagnosis of AERD or not have
175 sufficient information recorded within their chart to determine the diagnosis, were labeled “Not
176 AERD”. During this review, unstructured EHR data, including progress, hospital visit, and
177 surgical procedure notes, were reviewed using a queryable patient inference dossier (8) to
178 identify if a caregiver had made a prior diagnosis of AERD (or another term for the disease,
179 including Samter’s triad, aspirin-sensitive asthma, aspirin-intolerant asthma, or triad asthma) that
180 was not recorded in the structured data. These cases were defined as “diagnosed AERD.” Cases
181 established by expert review as having “clinical AERD” but lacking any documentation of
182 AERD in either the structured or unstructured data within the EHR were considered
183 “undiagnosed AERD.” Whether the patient had ever had clinical involvement of pulmonary,
184 allergy/immunology and otolaryngology specialists in each case was noted.

185 **Statistical Analyses**

186 All data are represented as mean \pm standard deviation (SD) unless otherwise noted.
187 Cohen’s kappa coefficient was used to measure inter-rater agreement on the clinical diagnosis of
188 AERD by our expert reviewers. Positive-predictive values (PPV) were calculated from chart
189 reviews of Cohort A, B, and the BWH AERD registry. Fisher’s exact test was used to assess
190 differences in gender and race between “diagnosed” and “undiagnosed” AERD; a Mann-
191 Whitney U test was employed to determine difference in age. Differences in rates of specialty
192 physician evaluations were assessed using a contingency table and Fisher’s exact test. T tests
193 were performed to determine differences in number of encounters. GraphPad Prism version 6.07
194 for windows, GraphPad Software, La Jolla California USA, www.graphpad.com, SAS software,

195 version 9.4, Cary, North Carolina, USA, and/or R version 3.2.1, R Foundation for Statistical
196 Computing, Vienna, Austria was used to complete these analyses.

197 **Results**

198 A total of 2,647,842 charts were queried using RPDR between 12/1/2004 and
199 11/30/2014. The cohort defined by the intersection of the asthma (SQL #1), nasal polyp (SQL
200 #2) and NSAID allergy (SQL #3) queries was considered to contain “possible AERD” cases
201 (n=732, Figure 1). One case was identified as a test patient, a virtual patient generated for
202 training purposes, and excluded and 93 cases participated in the AERD registry and had known
203 confirmed AERD. Of the remaining 638 cases, Cohort A (n=511) included cases with record of a
204 respiratory reaction to NSAIDs and Cohort B (n=127) included cases with an unspecified
205 reaction to an NSAID (Figure 2).

206 Cohorts A and B were independently reviewed by both reviewers. The inter-rater
207 agreement value, kappa, for each cohort was 100%. The PPV for the identification of “clinical
208 AERD” cases using this informatics algorithm is 81.1% (Cohorts A, B, and the BWH AERD
209 Registry). The PPV excluding the AERD registry charts (Cohort A and B) is 78.4% which rises
210 to 88.7% if only cases with a specified respiratory reaction to an NSAID (Cohort A) are
211 considered. After expert review of progress notes, 12.4% of “clinical AERD” cases identified
212 (11.9% in Cohort A and 17.0% in Cohort B) were labeled “undiagnosed AERD”, indicating that
213 the expert review agreed they had the triad of clinical symptoms consistent with AERD but there
214 was no mention of AERD or a similar term in the EHR (Figure 2). Significantly less involvement
215 from allergy/immunology specialists was noted in the care of “undiagnosed AERD” cases as
216 compared with “diagnosed AERD” cases (38.7% vs. 93.2%, $P<..0001$; Table I). Among those

217 “clinical AERD” patients who had been evaluated by only one type of specialty provider, 100%
218 of the 6 cases seen by only allergy, 40.9% of the 44 cases seen by only ENT, and 33.3% of the 3
219 cases seen by only pulmonary were recorded in the EHR as having been diagnosed with AERD
220 ($P<.05$).

221 The patient demographics of “diagnosed” and “undiagnosed AERD” and the BWH
222 AERD patient registry are reported in Table II. The diagnosed AERD cohort median age
223 (interquartile range (IQR)) was slightly younger than the undiagnosed cohort (54 (IQR=45-65),
224 58 (IQR=51-72), respectively, $P<.01$). There was no significant difference in sex or race between
225 cohorts. The median number of patient encounters with the Partners Healthcare system was not
226 different between those with “diagnosed” and “undiagnosed” AERD (37.5 (IQR=11-101) and
227 54.5 (IQR=19-126), respectively, $P=.31$).

228 Application of SQL #4 ($n=255$) identified only 28.9% ($n=211$) of the “possible AERD”
229 cases (Figure 3) and an additional 44 cases not identified by the EHR algorithm. Of the 42 charts
230 in SQL#4 not identified by the EHR algorithm or included in the BWH AERD registry, 20
231 lacked one or more components of the triad and were considered “Not AERD” and 22 (52.4%)
232 were labeled “clinical AERD” after expert chart review. Application of the primary EHR search
233 algorithm to just the BWH AERD patient registry identified 93 of 96 patients (96.9%). Of the 3
234 cases from the BWH AERD patient registry that were not identified by the AERD algorithm, 2
235 had no NSAID allergy recorded, representing serious omissions that impact patient safety, and
236 one lacked appropriate documentation of nasal polyps. Taken together, our primary algorithm
237 failed to identify 3.7% [23 of 618 (Clinical AERD ($n=500$) + BWH AERD Registry ($n=96$) +
238 SQL#4 Clinical AERD ($n=22$)] of the known patients with AERD in the EHR.

239 Discussion

240 We demonstrate that an informatics algorithmic approach can be used to identify both
241 diagnosed and previously undiagnosed cases of AERD. Our approach identified 593 known or
242 expert-confirmed cases of AERD with a PPV of 81.1% while missing only 3.7% of the known
243 patients with AERD in the EHR. Among those cases identified by our algorithm and confirmed
244 by expert review as having “clinical AERD”, 12.4% (n=62) carried no mention of AERD or an
245 equivalent term in the medical chart. As far as could be determined from their medical chart, no
246 caregiver had ever realized the connection between their clinical triad of symptoms and therefore
247 these cases had never been given the diagnosis of AERD (Figure 2). Patients in this
248 “undiagnosed AERD” category were less likely to have been evaluated by an
249 allergy/immunology specialist (Table I), highlighting the role of allergist/immunologists in
250 correctly identifying this disease. Cases of “undiagnosed AERD” identified by the algorithm
251 have not yet been exposed to the gold standard for diagnosis of AERD, aspirin challenge, to
252 confirm the assessment made by our expert clinicians. The current literature suggests up to 15%
253 of those cases meeting clinical criteria for AERD may have a negative aspirin challenge (9, 10).
254 However, the clinical experience from our institution involving more than 150 aspirin challenges
255 is that <5% of patients with asthma, nasal polyposis and a historical respiratory reaction to an
256 NSAID go on to have a negative aspirin challenge (4). This suggests our informatics algorithm
257 can identify new diagnoses of AERD and could facilitate access to disease-specific treatments
258 for these patients, which have been shown to improve their care (11-13).

259 Algorithm-identified cases of AERD, both “diagnosed” and “undiagnosed”, demonstrate
260 the classical female predominance (9, 10, 14). The slightly younger age in the “diagnosed
261 AERD” cases cannot easily be explained with the data generated in this study (Table II). One

262 hypothesis drawn from our clinical experience is that younger patients with AERD are using
263 electronic resources to connect their triad of symptoms and may present to their providers
264 questioning a diagnosis of AERD, leading to greater consideration and confirmation of AERD.
265 Previously there has been no racial predilection for the development of AERD reported and our
266 racial demographics reflect the racial distribution of the Partners Healthcare patient population.
267 Race does not predict if a case is diagnosed or not. No data about asthma severity/control was
268 collected/analyzed and no conclusions can be made about the nature of the upper or lower
269 respiratory disease in the cohorts. The lack of a difference in number of encounters between the
270 groups suggests both groups utilize the healthcare system at similar rates, had similar amounts of
271 data available for chart review, and that the number of encounters with the healthcare system did
272 not bias towards identifying an “undiagnosed” case of AERD.

273 The benefit of using such an algorithm to identify patients with AERD is multi-factorial.
274 In the short-term, patients with AERD would have better access to disease-specific therapy
275 including zileuton which improves nasal symptoms and FEV1(15) and high-dose aspirin therapy
276 which improves sinus and asthma symptom scores and decreases nasal congestion, corticosteroid
277 use (oral and inhaled), the number of sinus infections per year, and the need for repeat
278 polypectomy (16, 17). Additionally, of the cases of AERD we identified, less than 20% are
279 participating in the BWH AERD patient registry. As patients who participate in the registry are
280 provided with formal educational materials about their disease and are offered involvement in
281 research opportunities, this highlights the potential to engage 500 new subjects in clinical or
282 translational research focused on AERD at our or any other institution. Use of an informatics
283 algorithm at any institution employing an electronic medical record to identify patients with
284 AERD, a disease lacking a unifying ICD-9 or 10 code or diagnostic laboratory test, has the

285 power to improve patient care immediately and to support the research endeavors that will yield
286 future advances in patient care.

287 The algorithm we present used commonly-coded information for diagnosis, billing and
288 allergy information that is captured in any electronic medical record. Our development of an
289 EHR-based phenotyping algorithm for AERD can be deployed in other electronic medical record
290 programs, both nationally and internationally, which are capturing data on the diagnosis of
291 asthma, nasal polyps and allergy to NSAIDs (18). Similar algorithms for rheumatoid arthritis,
292 drug-induced liver injury and genomic phenotyping have been successfully employed across 2-
293 13 different EHR platforms (19-21). The data model employed by our EHR does not differ
294 substantially from other EHRs both nationally and internationally. Minor adjustments for
295 language and regional differences in terminology (e.g. NERD, i.e. NSAID-exacerbated
296 respiratory disease, which is commonly used in Europe) would be required to maximize the
297 success of adapting this algorithm. Although we have generated this algorithm and searched the
298 patient charts from two large referral-based tertiary care centers with active research programs in
299 asthma, nasal polyps, and AERD, the data used to identify potential cases of AERD is basic
300 information that should be captured by primary care and specialist providers even if they have no
301 knowledge of AERD.

302 As with all informatics algorithms, our algorithm is limited by the amount and the quality
303 of the data contained within the EHR, specifically among the details of drug allergy recordings
304 (22). The PPV of our algorithm drops from 88.7% (Cohort A) to 78.4% (Cohort A and B) if we
305 include cases in which the symptoms of reaction to NSAIDs are not specified. Of those cases in
306 Cohort A determined not to have AERD, 21 of 58 of them were classified as such because they
307 lacked a sufficient NSAID allergy history in the chart to meet our pre-specified criteria for

308 characterization as AERD. The inclusion of SQL#4 confirms that use of AERD-specific search
309 terms alone vastly underestimates the potential cases of AERD in the EHR (Figure 3). A closer
310 look at those 42 charts identified by SQL #4 which were not found by the primary EHR AERD
311 algorithm or included in the BWH AERD registry highlights the danger of incomplete and
312 inaccurate information contained within the EHR. 47.6% (n=20) of these charts were eventually
313 classified as “Not AERD” due to one of two reasons: 1) AERD had initially been considered
314 and/or recorded by a provider but then ruled out by a negative aspirin challenge or 2) the EHR
315 did not have enough information to confirm a diagnosis of AERD. Because of these data quality
316 limitations, use of any algorithm is likely to under-detect possible cases of AERD and no
317 conclusions about the prevalence of AERD can be drawn from this study. In primary care
318 settings, relying on a single ICD-9 or 10 code for the diagnosis of asthma lacks specificity (23).
319 The requirement for multiple ICD-9/10 codes and/or additional data, e.g. concomitant
320 prescriptions for disease-targeted therapy such as β -agonists, may be necessary to improve the
321 specificity of this algorithm. However, no improvement in the algorithm methods can make up
322 for the omission of information in the EHR. Our work underscores the need for complete and
323 specific data entry in the EHR in order to maximize the patient safety and research potential.

324 In our healthcare system with more than 2,000,000 patient records between 11/2004-
325 11/2014, given the known prevalence of asthma in US adults is 7% (24), and the prevalence of
326 AERD is estimated at 7% of adults with asthma (2), we would have predicted to find >10,000
327 cases of AERD. In addition to the data quality issues our algorithm identified, patients referred
328 from an outside provider to a tertiary care center for specialty care may lack complete EHR data,
329 specifically ICD-9 coding or problem list entries for asthma or nasal polyps, if those problems
330 are not being addressed by the specialty provider. We focused our efforts on the identification of

331 the classic triad of AERD (25), and did not focus on identifying those cases which lack either
332 asthma or nasal polyposis but demonstrate the stereotypical respiratory reaction following the
333 ingestion of a COX-1 inhibitor (3-5), likely missing these non-classic presentations of AERD.
334 Additionally, our hospital system is known for oncology, rheumatology, and obstetric care and
335 our starting population likely is over represented for these conditions which do not have any
336 association with asthma.

337 The patient population searched presents two unique characteristics about the charts
338 queried. First, the tertiary care setting may result in incomplete health records, as discussed
339 above, and bias the algorithm and the chart review against assigning a diagnosis of AERD.
340 Given the lack of disease-unique therapeutics or laboratory values in AERD, no other recorded
341 data points can be depended upon to adequately replace missing diagnoses. Second, our cohort is
342 likely to have more AERD-specific information available within the EHR, specifically in the
343 problem list where an “aspirin-intolerant asthma with nasal polyposis” problem has been created
344 at the request of BWH AERD Center physicians. We anticipate higher rates of “undiagnosed
345 AERD” would be identified by application of this algorithm to another setting that does not have
346 an active AERD clinical and research program. The algorithm we present does not require an
347 AERD-specific term, which SQL#4 demonstrated was neither sensitive nor specific for AERD,
348 and application of this algorithm approach to another EHR should have no impact on the
349 clinically significant identification of cases which fall into Cohorts A and B.

350 New strategies employing the EHR to increase identification of patients with AERD and
351 other allergic diseases hold great promise for improving clinical care and expanding access to
352 specialists in the field. A recent survey of subjects with AERD highlighted the disconnect
353 between beneficial therapies and their use in patients with AERD. 91% of AERD subjects

354 reported aspirin therapy was beneficial but <50% of the survey population had been offered
355 aspirin therapy (6). The present algorithmic approach could be used to display automatic alert
356 notifications to physicians in order to promote the consideration of AERD and improve
357 documentation of AERD (26), while offering evidence-based information and detailed advice
358 including referral options (27). Providing patients with an accurate diagnosis may empower them
359 to seek out effective treatments for their disease and/or engage in clinical trials that have the
360 potential to transform the future of AERD-specific care. The high PPV of our algorithm would
361 likely generate notifications at low risk for inducing alert fatigue (28). In addition, this algorithm
362 could be used to prioritize the generation of medication alerts for NSAID prescriptions in those
363 patients who have a record of NSAID allergy in conjunction with a history of asthma and/or
364 nasal polyps (29). Future work assessing the gains in patient care and safety from such an
365 approach is needed.

366 AERD is an under-recognized but important disease in which current technology can be
367 employed to better serve the needs of our patients. Leveraging the power of the EHR to identify
368 new diagnoses has the potential to shorten the length of time between symptom onset and
369 diagnosis and to positively affect care for patients with AERD.

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456

457 **Table I. Allergist/immunologist involvement in undiagnosed and diagnosed clinical AERD.**
 458 The charts of undiagnosed (n= 62) and diagnosed AERD (n= 438) cases were assessed for
 459 involvement by allergy/immunology specialists.

460

	AERD		461
	Diagnosed	Undiagnosed	Total
Allergist/immunologist Involvement	408	24	462
No Allergist/immunologist Involvement	30	38	
Total, n	438	62	500 ³
Allergy Involvement %	93.2	38.7	464

465 **Table II. Demographics of diagnosed and undiagnosed AERD cases and the Brigham and**
 466 **Women’s Hospital AERD registry.** Statistical analyses run between diagnosed and
 467 undiagnosed AERD. The BWH AERD registry demographics have been included for reference.
 468 n – sample size; IQR – interquartile range; # - Fisher’s exact test; ^ - Mann-Whitney U test; * - T
 469 test.

	Diagnosed AERD	Undiagnosed AERD	p-value	AERD Registry
Sample size, n	438	62		96
Male, n (%)#	179 (40.9)	26 (41.9)	0.9	42 (43.8)
Median age, years (IQR)^	54 (45-65)	58 (51-72)	<.01	(42-52 60)
Race, n (%)#			0.7	
White/Caucasian	356 (81.3)	53 (85.5)		87 (90.6)
Black/African American	27 (6.2)	2 (3.2)		3 (3.1)
Hispanic/Latino	16 (3.7)	3 (4.8)		2 (2.1)
Asian	5 (1.1)	1 (1.6)		3 (3.1)
Other/Unknown	34 (7.8)	3 (4.8)		1 (1.0)
Encounters, total, median (IQR)*	37.5 (11-101)	54.5 (19-126)	0.3	

470 **Figure 1. Venn diagram of the clinical characteristics of cases identified by an AERD**
471 **bioinformatics algorithm.** From 2,647,842 patients seen within the Partners Healthcare system
472 between 12/2004 and 11/2014 aged 18 and older, we identified cases with a diagnosis of asthma,
473 nasal polyps, and/or NSAID allergy. NSAID allergy was restricted to only those with a specified
474 respiratory reaction to NSAIDs or an unspecified (“unknown”) reaction. The cohort of “possible
475 AERD” cases, in yellow, lies at the intersection of all three clinical characteristics. n – sample
476 size.

477 **Figure 2. Flow chart for the assessment of the possible AERD cohort.** PPV for identifying
478 AERD in subjects with asthma, nasal polyposis and a recorded respiratory reaction to an NSAID
479 (Cohorts A) = 88.7%. PPV for identifying AERD subjects having a recorded respiratory or
480 unspecified reaction to an NSAID (Cohort A+B) not previously enrolled in the AERD registry =
481 78.4%. PPV for algorithm identifying all patients with AERD (Cohort A+B+AERD registry) =
482 81.1%. n – sample size. * - 732 charts were initially identified by the algorithm and one test chart
483 was excluded.

484 **Figure 3. Venn diagram of the possible AERD cases identified by the AERD algorithm**
485 **(SQL#1-3), AERD specific search terms (SQL#4), and the BWH AERD Registry.**

486

Figure 1. Venn diagram of the clinical characteristics of cases identified by an AERD bioinformatics algorithm.

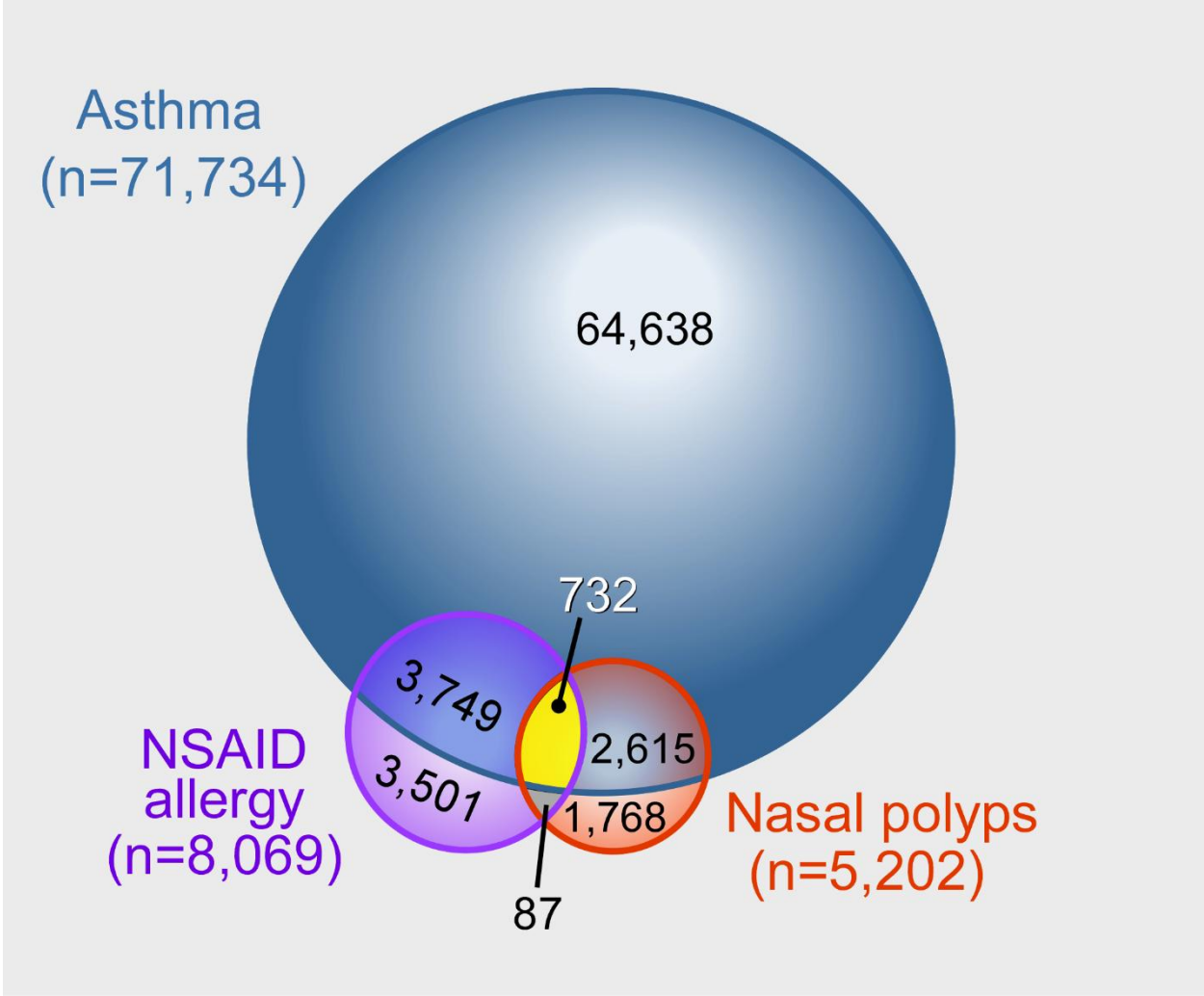


Figure 2. Flow chart for the assessment of the possible AERD cohort.

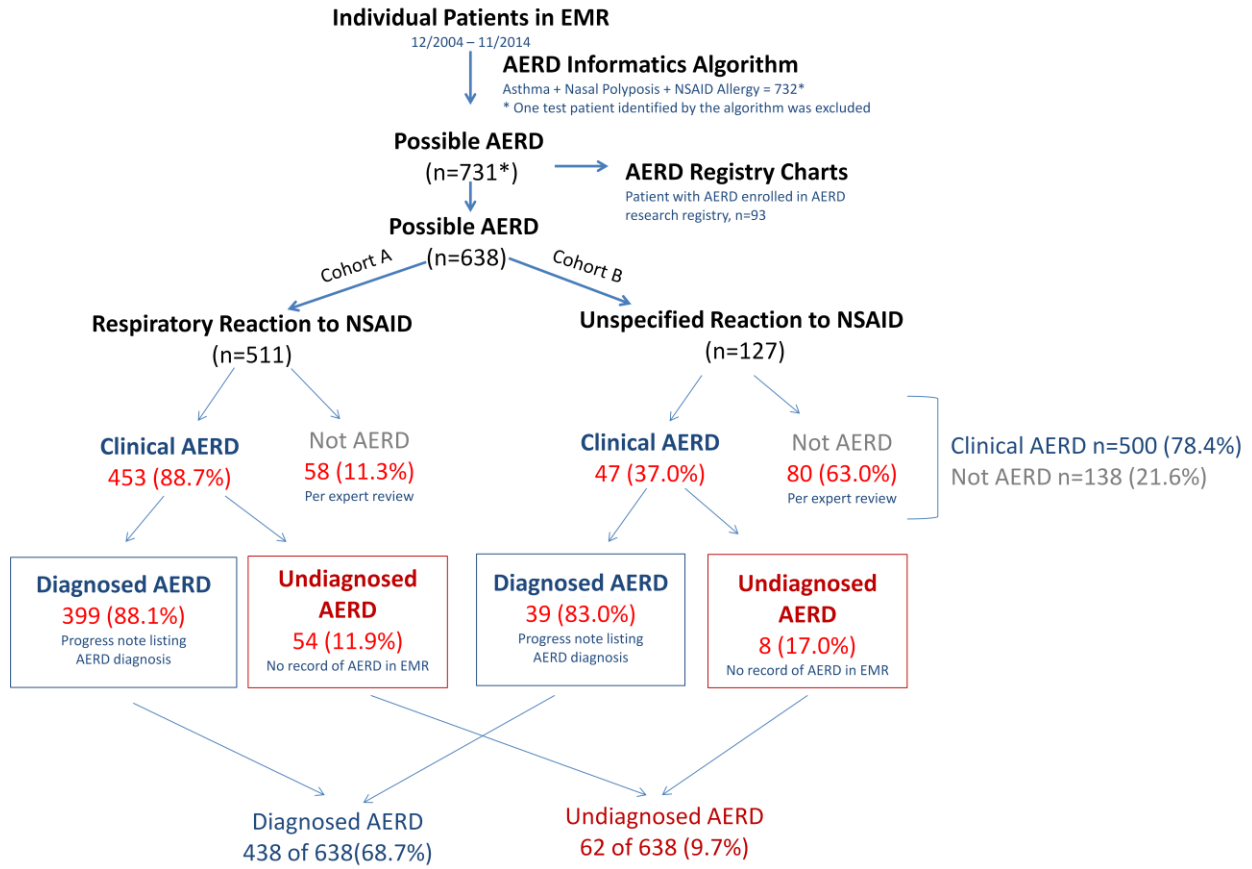
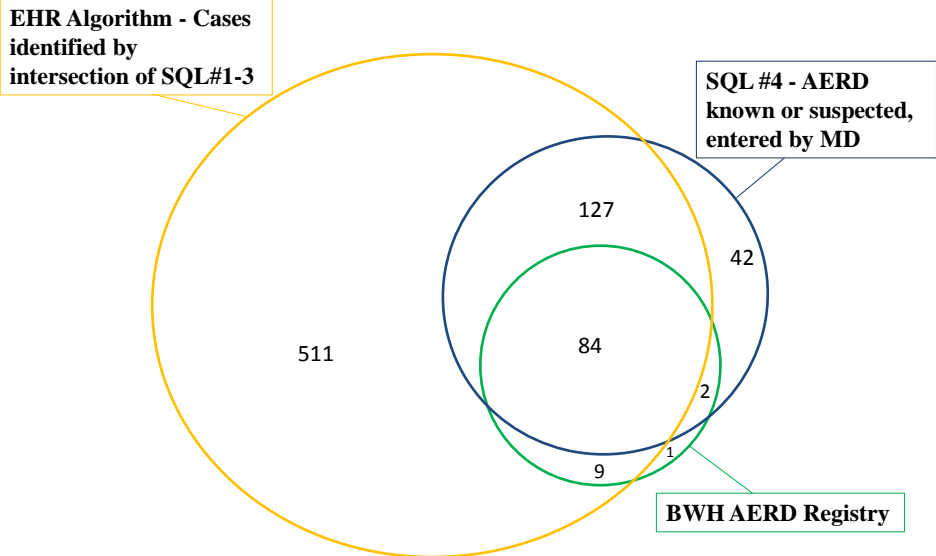


Figure 3. Venn diagram of the possible AERD cases identified by the AERD algorithm (SQL#1-3), AERD specific search terms (SQL#4), and the BWH AERD Registry.



1 **Repository Legends:**

2 **Repository Table E1.** Partners Research Patient Data Repository (RPDR) queries used to
3 identify charts containing information supporting a diagnosis of asthma/nasal polyps and
4 aspirin/non-steroidal anti-inflammatory drug (NSAID) allergy.

5 **Repository Figure E1.** Structured query language (SQL) query #1 developed to identify a
6 patient population with asthma.

7 **Repository Figure E2.** Structured query language (SQL) query #2 developed to identify a
8 patient population with nasal polyps.

9 **Repository Figure E3.** Structured query language (SQL) query #3 developed to identify a
10 patient population with non-steroidal anti-inflammatory drug (NSAID) hypersensitivity reactions
11 typical of the respiratory symptoms triggered by NSAIDs in aspirin-exacerbated respiratory
12 disease (AERD) or charts that reported unspecified (“unknown”) reactions to NSAIDs . Asa –
13 aspirin. Sob – shortness of breath.

14 **Repository Figure E4.** Structured query language (SQL) query #4 developed to identify a
15 patient population with known or suspected aspirin-exacerbated respiratory disease (AERD). Asa
16 – aspirin. Sob – shortness of breath.

17

Repository Table 1:

Asthma/Nasal polyps RPDR query:

Wheezing 786.07-.09 OR
Asthma, all types and exacerbation states – 493.0-99 OR
Diagnosis-Related Groups for bronchitis and asthma age >17 OR
Prescription, inpatient or outpatient, in all forms:
 Albuterol– inhaler and nebulizer OR
 Ipratropium plus albuterol OR
 Levalbuterol OR
 Zileuton OR
 Budesonide and all other inhaled corticosteroids (ICS) in all formulation including
 ICS/Long-acting beta-agonist combo OR
 Montelukast OR
 Zafirlukast

AND

Anosmia 781.1 OR
Chronic rhinitis 472.0 OR
Nasal polyp 471 OR
Prescriptions for any nasal steroid – generic and brand name OR
Any procedure code for polypectomy - CPT 31288/30110, P2252/2264 OR
Any procedure code for nasal endoscopy - CPT31231

Aspirin/NSAID allergy RPDR query:

Personal history of aspirin allergy – V14.6 code OR
Desensitization – V071.XX code OR
Drug allergy NOS – 995.3 OR
Adverse effect of drug 995.27, 995.29 OR
Anaphylactic shock NOS 995.0 OR
Peripheral blood eosinophil count >500/ μ l OR
Eosinophilia 288.3

Repository Figure E1.

```
-- ASTHMA
select distinct patient_id
from (
select patient_id
from diagnoses
where (
diagnosis like "*bronchitis and asthma age >17*" or
diagnosis like "*asthma, unspecified without mention of status asthmaticus*" or
diagnosis like "*extrinsic asthma without mention of status asthmaticus*" or
diagnosis like "*asthma, unspecified type, with acute exacerbation*" or
diagnosis like "*extrinsic asthma with acute exacerbation*" or
diagnosis like "*chronic obstructive asthma, without mention of status asthmaticus*"
or
diagnosis like "*intrinsic asthma without mention of status asthmaticus*" or
diagnosis like "*extrinsic asthma with status asthmaticus*" or
diagnosis like "*chronic obstructive asthma with acute exacerbation*" or
diagnosis like "*asthma, unspecified type, with status asthmaticus*" or
diagnosis like "*intrinsic asthma, with acute exacerbation*" or
diagnosis like "*cough variant asthma*" or
diagnosis like "*intrinsic asthma with status asthmaticus*" or
diagnosis like "*chronic obstructive asthma, with status asthmaticus*" or
diagnosis like "*asthma, unspecified*" or
diagnosis like "*asthma*" or
diagnosis like "*extrinsic asthma*" or
diagnosis like "*chronic obstructive asthma*" or
diagnosis like "*asthma-lmr 29*" or
diagnosis like "*asthmatic bronchitis-lmr 30*" or
diagnosis like "*exercise-induced asthma-lmr 1586*" or
diagnosis like "*asthma, acute exacerbation-lmr 1288*" or
diagnosis like "*asthma-oncall*" or
diagnosis like "*asthmatic bronchitis-oncall*" or
diagnosis like "*exercise induced asthma*" or
diagnosis like "*exercise induced bronchospasm*"
) and not (
diagnosis like "*bronchitis and asthma age 0-17*" or
diagnosis like "*family history of asthma*" or
diagnosis like "*antiasthmatics causing adverse effects in therapeutic use*" or
diagnosis like "*asthma care model patient-oncall*"
)
union
select patient_id
from problems
where (
problem = "asthma" or
problem = "h/o asthma" or
problem = "allergic asthma" or
problem = "cough variant asthma" or
problem = "asthma - resolved" or
problem = "asthma, acute exacerbation" or
problem = "asthma/allergic rhinitis" or
problem = "moderate persistent asthma" or
problem = "severe persistent asthma" or
problem = "asthmatic breathing" or
problem = "extrinsic asthma" or
problem = "asthma - or eosinophilic bronchitis" or
problem = "asthma, severe" or
problem = "chronic obstructive asthma" or
problem like "*asthma, aspirin sensitive*" or
problem like "*asthma, frequent steroids*" or
problem like "*asthma, intubated*"
))
```

Repository Figure E2.

```
-- NASAL POLYPS
select distinct patient_id
from (
select patient_id
from diagnoses
where (
diagnosis like "*polyp of nasal cavity*" or
diagnosis like "*nasal polyp*" or
diagnosis like "*other polyp of sinus*" or
diagnosis like "*polypoid sinus degeneration*" or
diagnosis like "*sinus surgery, polyp*" or
diagnosis like "*sinus polyp*"
)
union
select patient_id
from problems
where (
problem like "*polyp of nasal cavity*" or
problem like "*nasal polyp*" or
problem like "*other polyp of sinus*" or
problem like "*polypoid sinus degeneration*" or
problem like "*sinus surgery, polyp*" or
problem like "*sinus polyp*"
or ((
problem like "*sinus*" or
problem like "*nasal*" or
problem like "*allergic rhinitis*"
) and (
comments like "*polyp*"
))
)
)
```

Repository Figure E3.

```
-- NSAID HYPERSENSITIVITY
select distinct patient_id
from allergies
where (
allergen like "*aspirin*" or
allergen = "asa" or
allergen like "* asa *" or
allergen like "**+asa *" or
allergen like "asa *" or
allergen like "**+asa+" or
allergen like "asa-*" or
allergen like "* asa,*" or
allergen like "* asa" or
allergen like "asa,*" or
allergen like "asa/*" or
allergen like "*/asa/*" or
allergen like "* asa,*" or
allergen like "*,asa,*" or
allergen like "*nsaid*" or
allergen like "*ibuprofen*" or
allergen like "*ibuprophen*" or
allergen like "*advil*" or
allergen like "*motrin*" or
allergen like "*naproxen*" or
allergen like "*naprosyn*" or
allergen like "*indomethacin*" or
allergen like "*ketorolac*" or
allergen like "*toradol*" or
allergen like "*salicylic acid*" or
allergen like "*sulfasalazin*" or
allergen like "*olsalazin*" or
allergen like "*sulindac*" or
allergen like "*etodolac*" or
allergen like "*flurbiprofen*" or
allergen like "*ketoprofen*" or
allergen like "*fenoprofen*" or
allergen like "*oxaprozin*" or
allergen like "*mefenamic acid*" or
allergen like "*meclofenamic acid*" or
allergen like "*piroxicam*" or
allergen like "*meloxicam*" or
allergen like "*diclofenac*"
) and (
reaction like "*bronchospasm*" or
reaction like "*brochospasm*" or
reaction like "*bronchoconstriction*" or
reaction like "*shortness of breath*" or
reaction like "*sob*" or
reaction like "*chest tightnes*" or
reaction like "*asthma*" or
reaction like "*ashtma*" or
reaction like "*anaphyla*" or
reaction like "*amaphyla*" or
reaction like "*anaphylla*" or
```

```
reaction like "*anaphlaxis*" or
reaction like "*cough*" or
reaction like "*wheez*" or
reaction like "*nasal polyp*" or
reaction like "*nasla polyp*" or
reaction like "*nasalpolyp*" or
reaction like "*asthma, polyp*" or
reaction like "*nasal stuffines*" or
reaction like "*nasal congestion*" or
reaction like "*congestion/nasal*" or
reaction like "*develops polyps*" or
reaction like "*rash*" or
reaction like "*flushing*" or
reaction like "*sneezing*" or
reaction like "*resp. react*" or
reaction like "*respiratory distres*" or
reaction like "*unable to breath*" or
reaction like "*difficulty breathing*" or
reaction like "*difficult to breath*" or
reaction like "*trouble breathing*" or
reaction like "*aerd*" or
reaction like "*sampter*" or
reaction like "*santer*" or
reaction like "*samter*" or
reaction like "*exacerbated respiratory disease*" or
reaction like "*unknown*"
)
```

Repository Figure E4.

```
-- KNOWN OR SUSPECTED AERD
select distinct patient_id
from (
select patient_id, problem as feature
from problems
union
select patient_id, comments as feature
from problems
union
select patient_id, problem_code_description as feature
from problems
union
select patient_id, allergen as feature
from allergies
union
select patient_id, reaction as feature
from allergies
)
where (
feature like "*aerd*" or
feature like "*aspirin-induced asthma*" or
feature like "*aspirin induced asthma*" or
feature like "*aspirin-induced respiratory*" or
feature like "*aspirin induced respiratory*" or
feature like "*aspirin exacerbated respiratory*" or
feature like "*aspirin-exacerbated respiratory*" or
feature like "*exacerbated respiratory disease*" or
feature like "*aspirin-sensitive asthma*" or
feature like "*aspirin sensitive asthma*" or
feature like "*aspirin causes shortness of breath*" or
feature like "*aspirin causes sob*" or
feature like "*nsaids, bronchospasm or wheezing*" or
feature like "*nsaid- breathing difficulty/bronchospasm*" or
feature like "*samter*" or
feature like "*sampter*" or
feature like "*santer*" or
feature like "*triad asthma*" or
feature like "*motrin, ibuprofen in high doses over a prolonged
periodbronchospasm, wheezing*" or
feature like "*tartrazine (yellow dye#5) - anaphylaxis, asa - asthma*" or
feature like "*intolerant to asa as it worsens her asthma symptoms*" or
feature like "*avoids nsaids because of effect on asthma*" or
feature like "*aspirin cuz asthma attack*" or
feature like "*asa and nsaids cause hives and sob*" or
feature like "*asthma*nasal polyp*intoleran*nsaid*" or
feature like "*asa sensitivity and nasal polyp*" or
feature like "*asa-sensitivity and nasal polyp*" or
feature like "*aspirin allergy*nasal*polyp*" or
feature like "*aspirin-allergy*nasal*polyp*" or
feature like "*aspirin sensitivity*nasal*polyp*" or
feature like "*aspirin-sensitivity*nasal*polyp*" or
feature like "*asa allergy*nasal*polyp*" or
feature like "*asa-allergy*nasal*polyp*" or
feature like "*motrine and tylenol gets sob*")
```