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Single-cell and metagenomic analyses indicate a fermentative and saccharolytic lifestyle for members of the OP9 lineage**Jeremy A. Dodsworth¹, Paul C. Blainey², Senthil K. Murugapiran¹, Wesley D. Swingle^{3,*}, Christian A. Ross¹, Susannah G. Tringe⁴, Patrick S. G. Chain^{4,5}, Matthew B. Scholz^{4,5}, Chien-Chi Lo^{4,5}, Jason Raymond⁶, Stephen R. Quake², and Brian P. Hedlund^{1,†}**¹School of Life Sciences, University of Nevada, Las Vegas, Las Vegas, NV, 89154-4004, USA²Department of Bioengineering, Stanford University, and Howard Hughes Medical Institute, Stanford, CA, 94305, USA³School of Natural Sciences, University of California, Merced, Merced, CA, 95343, USA⁴US Department of Energy Joint Genome Institute, Walnut Creek, CA, 94598, USA⁵Genome Science Group, Los Alamos National Laboratory, Los Alamos, NM, 87545, USA⁶School of Earth and Space Exploration, Arizona State University, Tempe, AZ, 85287, USA**Abstract**

OP9 is a yet-uncultivated bacterial lineage found in geothermal systems, petroleum reservoirs, anaerobic digesters, and wastewater treatment facilities. Here we use single-cell and metagenome sequencing to obtain two distinct, nearly-complete OP9 genomes, one constructed from single cells sorted from hot spring sediments and the other derived from binned metagenomic contigs

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Reprints and permission information is available online at <http://npg.nature.com/reprintsandpermissions/> (pending). [†]Corresponding author: brian.hedlund@unlv.edu.

[€]Current address: Broad Institute and Massachusetts Institute of Technology, Department of Biological Engineering, Cambridge, MA 02142, USA

^{*}Current address: Northern Illinois University, Department of Biological Sciences, DeKalb, IL, 60115 USA

These authors contributed equally to this work

Author contributions J.A.D., P.C.B., S.R.Q. and B.P.H. conceived and designed the experiments. J.A.D. and B.P.H. collected and processed the samples. J.A.D. and P.C.B. sorted single cells and sequenced amplified DNA. J.A.D., P.C.B., S.K.M., and C.A.R. assembled and annotated single-cell genomes. S.G.T. and P.S.G.C. sequenced, assembled, and annotated metagenomes. S.K.M. and J.A.D. conducted phylogenomic and 16S rRNA gene phylogenetic analyses. S.K.M., J.A.D., and W.D.S. carried out bioinformatic predictions of cell structure. J.A.D. interpreted cell physiology. J.A.D. and B.P.H. wrote the paper with input and approval by all authors.

Accession numbers: 16S rRNA gene sequences of individual OP9 SCGs (Accession numbers KC110876 to KC110890) have been deposited in GenBank. Nearly-complete OP9 assemblies have been deposited as Whole Genome Shotgun projects at DDBJ/EMBL/GenBank under the accession numbers APCU00000000 (OP9-77CS) and APKF00000000 (OP9-cSCG). The versions described in this paper are the first versions, APCU01000000 (OP9-77CS) and APKF01000000 (OP9-cSCG). Chimera-filtered 454 reads used in the cSCG assembly have been deposited in the Short Read Archive (SRR609896). Annotated assemblies and predicted proteins for the OP9-cSCG (Genome ID 6666666.23228) and OP9-77CS (ID 6666666.23137) are available on the RAST guest account (<http://rast.nmpdr.org>, using login and password 'guest'). Complete 77CS metagenome data are also available in the Integrated Microbial Genomes with Microbiome Samples (IMG/M, <http://img.jgi.doe.gov/m>) database, taxon object ID 3300000106.

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from an *in situ*-enriched cellulolytic, thermophilic community. Phylogenomic analyses support the designation of OP9 as a candidate phylum for which we propose the name ‘Atribacteria’. Although a plurality of predicted proteins is most similar to those from Firmicutes, the presence of key genes suggests a diderm cell envelope. Metabolic reconstruction from the core genome suggests an anaerobic lifestyle based on sugar fermentation by Embden-Meyerhof glycolysis with production of hydrogen, acetate, and ethanol. Putative glycohydrolases and an endoglucanase may enable catabolism of (hemi)cellulose in thermal environments. This study lays a foundation for understanding the physiology and ecological role of the ‘Atribacteria’.

Introduction

Over the last ~20 years, cultivation-independent approaches in microbial ecology have dramatically expanded our view of the microbial world^{1,2} and have revealed that our ability to isolate novel organisms out of the milieu for study remains limited. While this problem is evident at all taxonomic levels, it is most glaring at the phylum level. Currently, <50% of phylum-level lineages of Bacteria and Archaea have been cultivated and studied in the laboratory^{2,3}. The vast diversity of these uncultured groups represents an enormous genetic reservoir that has been described as ‘biological dark matter’ to call attention to our profound ignorance of these groups⁴. It can be difficult to discover even the most basic facts about the biology of candidate microbial phyla because they are often outnumbered in nature.

Metagenomics and single-cell genomics are powerful cultivation-independent approaches for probing the nature of so-called ‘dark matter’ organisms by facilitating access to their genomes^{5,6}. While metagenomics has allowed the recovery of partial or nearly-complete genomes from several candidate phyla in habitats where they are naturally abundant⁷⁻⁹, access to less abundant ‘dark matter’ groups has been enhanced by the advent of single-cell genomics, where individual cells are isolated by fluorescence activated cell sorting (FACS) or micromanipulation techniques including microfluidic sorting, optical trapping, and micropipetting; isolated cells are then lysed and the femtogram quantities of DNA released are amplified and sequenced^{5,6}. Through containment of the sorting and amplification steps within nanoliter reaction volumes, microfluidic approaches ameliorate amplification bias, minimize the amplification of trace DNA contaminants in the sample, laboratory environment, and reagents, and allow for detailed observation of cell morphology¹⁰⁻¹². Complete or partial genomes can be obtained from single cells^{10,13,14} and single-cell genomics has shed light on the possible functions of several candidate phyla^{4,15-18}.

Here, we take advantage of the complementarity of metagenomics and single-cell genomics to assemble nearly-complete genomes of two members of candidate bacterial phylum OP9. Since its discovery in Obsidian Pool in Yellowstone National Park (YNP)¹, OP9 has been found in other geothermal springs, petroleum reservoirs, thermal bioreactors and digesters, and wastewater sludge treatment plants¹⁹⁻²³. This distribution demonstrates an affinity for thermal, anaerobic environments, but no genetic data pertaining to OP9 other than PCR-amplified 16S rRNA gene sequences have been reported. We compare single-cell genomic data from 15 OP9 cells isolated from hot spring sediments near Little Hot Creek, CA, (LHC)¹⁹ with a metagenomic dataset from an *in situ*, ~77 °C cellulolytic enrichment in

Great Boiling Spring, NV (GBS)²⁰ to obtain distinct draft genomes of the OP9 population from each environment. Analysis of the shared features of these two genomes offers the first insights into the cell structure and metabolic capabilities of OP9 lineages present in geothermal systems and suggests that they play a role in biomass degradation in these environments.

Results

Morphology-based single-cell sorting targeting OP9

Single-cell sorting, lysis, and whole genome amplification using an optical trap and microfluidic device^{14,17}, as described in Methods, was performed on cells separated from ~80 °C sediments of the hot spring LHC4 (Supplementary Figure S1), which were previously shown to be dominated by novel Archaea and Bacteria¹⁹. Initial efforts surveyed the morphological diversity, with the aim of identifying morphologically distinct ‘dark matter’ groups that could be targeted in subsequent sorting efforts. Rod-shaped cells (average 0.5 µm × 4.5 µm) were identified as members of the candidate phylum OP9. This morphology was distinctive in the sample and was targeted in two subsequent sorting efforts, the second of which resulted in 15 of 21 OP9 single cell genomes (SCGs), as assessed by PCR screens. Thus, the morphology-based sorting approach was effective for this lineage and sample, even though it was present at a relatively low abundance (~0.5% of total cells). Half of the OP9 SCGs obtained had 16S rRNA gene fragments identical to the OP9 sequence previously described from LHC4 (LHC4_L1_A09)¹⁹, while the others differed by 1-2 bases over the ~600 bp sequenced region. This indicated that the sorted OP9 cells all represented a closely related group and that this lineage was a stable member of the microbial community in LHC4 sediments, given that OP9 was recovered from samples collected on three dates spanning ~22 months.

Assembly of a composite OP9 single cell genome

After pyrosequencing, filtering, and assembly as described in Methods, 15 SCGs with uniform read %G+C content and contig tetranucleotide word frequencies (TNF) were chosen for further sequencing and reassembly (Supplementary Figure S2). Resulting individual *de novo* SCG assemblies ranged from 110-872 kb (Supplementary Table S1). The average nucleotide identity (ANI) between overlapping regions of the SCGs ranged from 96.2-99.4% with an average of 98.8% per SCG, greater than the genomic 95% ANI empirically determined to delineate species²⁴. A neighbor-joining tree using a distance matrix based on pairwise %ANI did not reveal distinct clusters within the SCGs (Supplementary Figure S3). Along with high 16S rRNA gene identity (>99.6%), these data indicate that the 15 SCGs represent a single, species-level group within the OP9 lineage. Given the small initial *de novo* assembly sizes and the relatively low sequencing depth for SCGs¹⁰ (1.5-20x, assuming a 1.5-3.0 Mb genome typical of thermophiles), we determined that it would be difficult to assemble nearly-complete single-cell genomes from these datasets individually. However, because the 15 OP9 SCGs represented a single species, we combined the datasets to construct a composite SCG (cSCG) assembly. Pooling data from multiple cells alleviates problems inherent in single-cell genomics, such as the random bias in genomic coverage of individual SCGs arising from whole genome amplification by

multiple strand-displacement amplification (MDA)¹⁰, and resulted in an assembly of ~2.24 Mb (Table 1). Integration of the multiple SCG datasets also enabled application of a jackknifing procedure for removal of chimeric sequences¹⁴, which occur at a frequency of one chimera per ~10 kb during MDA¹⁰. This reference-independent chimera filtering procedure significantly improved the assembly, as evidenced by a >2-fold increase in contig N50 with only a small decrease in overall assembly size despite removal of ~18.5% of total reads (Figure 1, Table 1).

Identification of OP9 contigs in a cellulolytic metagenome

Principal components analysis of TNF (TNF-PCA) was used to probe metagenomes derived from LHC and another hot spring in the US Great Basin, GBS¹⁸, located ~400 km north of LHC. Although OP9 reads were present in extremely low abundance in the LHC metagenome, pyrosequencing of 16S rRNA gene fragments amplified from three cellulolytic enrichments incubated at ~77 °C in GBS indicated significant enrichment of OP9 in comparison to undisturbed GBS sediments²⁵. TNF-PCA revealed a distinct cluster of contigs from one of these metagenomes (corn stover incubated in spring sediment, ‘77CS’) overlapping with OP9 SCG contigs (Figure 2A), suggesting that this cluster represented genomic fragments from the enriched OP9 lineage, and allowed designation of an OP9 bin (‘OP9-77CS’; Figure 2A, B) of 315 contigs containing ~2.23 Mb. The majority of OP9-77CS contigs displayed a high level of identity to the OP9-cSCG by BLASTN and exhibited a defined read depth within the metagenome (213 ± 50 s.d.; Figure 2C), suggesting a single OP9 phylotype closely related to the OP9-cSCG. While a portion of OP9-77CS contigs had lower read depth, ~40% of these had >85% identity to the OP9-cSCG, and may be enriched for genes unique to an OP9 strain present at lower abundance in the enrichment. Although some of the contigs in the OP9-77CS bin without BLASTN hits to the cSCG may have originated from organisms other than OP9, they could also represent portions of the OP9 genome that were either not covered or not present in the OP9-cSCG, and were thus retained.

Comparison and estimation of completeness of the OP9 genomes

The OP9-cSCG and OP9-77CS assemblies displayed a high level of identity and likely represent distinct but closely related species. Each contained full-length 16S rRNA genes that were 98.6% identical. BLASTN revealed reciprocal cSCG-to-77CS coverage of 87.7% and 81.6% with an ANI of 91.9%. These were below the accepted thresholds for 16S rRNA gene identity (98.7%) and genomic ANI (95%) delineating microbial species³; thus, co-assembly of the cSCG and OP9-77CS genomes was not justified. However, the homology and high coverage between the two datasets allowed us to use the OP9-77CS contigs as scaffolds for the cSCG. This scaffolding identified short overlaps among existing contigs and improved the cSCG assembly significantly (Table 1). Because of the high degree of coverage and identity between the two datasets, cross-comparison allowed a rigorous filtering of potential contaminating sequences that could not be accomplished with either dataset in isolation. Such filtering, described in Methods, resulted in removal of 9 and 13 contigs from OP9-77CS and OP9-cSCG, respectively, representing ~1% of the assemblies. We have conservatively restricted our prediction of structure and function to genes and

pathways present in both the OP9-cSCG and OP9-77CS, representing the core genome from the OP9 lineage present in Great Basin hot springs.

Analysis of the predicted protein-encoding genes (coding DNA sequences, CDSs) in the OP9-cSCG and OP9-77CS supported their close relationship and their novelty compared to other genomes (Table 2). 1760 CDSs were identified as best-bidirectional hits between the two genomes, with an average predicted protein sequence identity of 94.3%; most CDSs with no BLASTP hit in the other OP9 genome were annotated as hypothetical proteins (73% and 82% for comparison of the 77CS-to-cSCG and cSCG-to-77CS, respectively). The number of CDSs assigned EC numbers by RAST, KAAS and Markov clustering was similar (22.8-35%). The percentages of OP9 CDSs assigned to Clusters of Orthologous Groups (COGs)²⁶ were 64.6-68%, notably lower than the average of ~80% for genomes of cultivated microbes²⁷ but comparable to other genomes from previously unsequenced phyla, which are typically enriched with novel CDSs¹⁷.

The presence of essential features and highly conserved genes in the OP9-cSCG and OP9-77CS suggested that both datasets represent nearly-complete genomes (Table 2). Each contains a complete set of aminoacyl-tRNA synthetases, at least one tRNA gene for each amino acid, and full-length 5S, 16S and 23S rRNA genes. Additionally, all 31 highly conserved genes recognized by AMPHORA²⁸ were present. An approximate quantification of genome completeness was obtained by comparison to a set of 181 conserved, typically unlinked genes²⁹; the OP9-cSCG and OP9-77CS contained 164 (90.6%) and 167 (92.3%) of these markers, respectively (Supplementary Table S2). However, a majority of the absent markers were genes involved in synthesis of pantothenate and riboflavin, precursors of coenzyme-A (Co-A) and flavin adenine dinucleotide (FAD), respectively. The presence of energy-coupling factor (ECF) transporters specific for these precursors, as well as all necessary genes to subsequently convert them into Co-A and FAD, suggests that the absence of genes for synthesis of pantothenate and riboflavin is due to dependence of OP9 on uptake of these substrates. If these 13 markers are excluded from the analysis, estimated completeness of the cSCG and OP9-77CS are >96% (Table 2), providing a level of confidence for inference of the presence and absence of metabolic capabilities based on gene content. Of the markers detected, only a small minority were present in greater than one copy in each assembly. In approximately half of these cases the extra markers were present on large contigs and were conserved (>90% identical and in the same genomic context) in both OP9 datasets, suggesting that they are genuinely present in multiple copies. When these are excluded, only 1.8% (3/164) and 4.8% (8/167) of the observed markers were present in greater than one copy. Given that not all of the markers are present in a single copy in all organisms, this analysis suggests a high fidelity for the assemblies, particularly for the OP9-cSCG.

Phylogeny and cell envelope structure

Phylogenies inferred from 16S rRNA gene sequences (Figure 3A) and 31 conserved protein-coding genes (Figure 3B) support the designation of OP9 as a phylum-level lineage in the Bacteria. OP9 is comprised of four distinct clades in the 16S rRNA gene-based phylogeny. The OP9-cSCG and OP9-77CS fall within one family-level clade, which inhabits terrestrial

geothermal environments world-wide, including the US Great Basin, YNP, China, and Kamchatka (Figure 3A; additional OP9 sequences and references in Supplementary Table S3 footnote). Although the OP9 lineage clustered with the JS1 clade with weak bootstrap support, JS1 has been defined as a candidate phylum in its own right by some analyses³⁰. Rigorous determination of whether these two clades represent distinct phyla or classes within a single phylum will require analysis of additional phylogenetic markers from JS1, which are currently unavailable.

Genome-wide comparison of the OP9-cSCG and OP9-77CS with other Bacteria suggested a relationship with Gram-positive members of the phylum Firmicutes, although key genes indicated that OP9 is diderm (i.e. has an outer membrane). A plurality of predicted proteins in the OP9 genomes had top BLASTP hits to members of the Firmicutes, both when all CDSs were considered (Figure 3C) and when CDSs assigned to COGs were first divided into 20 major functional COG categories, ranging from 13 to 244 CDSs for a given category. The majority (>85%) of these top BLASTP hits were to proteins in members of the Firmicutes known or expected to have a monoderm, Gram-positive-like cell envelope structure. However, several genetic markers found exclusively in diderm Bacteria were present in both OP9 genomes, including proteins involved in outer membrane protein assembly (BamA/YaeT, OmpH), secretion across the outer membrane (TolC, TonB, secretin), and the flagellar P- and L-rings (along with other flagellar and chemotaxis genes) associated with the peptidoglycan and outer membrane in diderm Bacteria (Supplementary Table S4)³¹. Top BLASTP hits (average of 48% identity) of CDSs in contigs or scaffolds containing these markers were distributed in both monoderm and diderm taxa (Figure 3D) and were generally similar to the distribution of top BLASTP hits of CDSs throughout the assemblies, suggesting that these markers are genuine parts of the OP9-cSCG and OP9-77CS lineages and not recently obtained by horizontal gene transfer from known diderm taxa. Genes involved in synthesis and export of lipid A (*lpxABCD*; *msbA*), a core component of the outer membrane of many diderm Bacteria, were present, but no recognizable homologs of genes required for production and attachment of keto-deoxyoctulosonate and the liposaccharide inner core were found. The OP9 outer membrane structure therefore likely differs from that of other diderm Bacteria containing typical lipopolysaccharide.

Potential role of OP9 in (hemi)cellulolysis

The presence of CDSs for both extracellular and cytoplasmic glycohydrolases indicate the potential for degradation and utilization of cellulose or hemicellulose by OP9. Both genomes encode an endo-1,4- β -glucanase with a putative N-terminal secretion signal peptide, indicating potential for extracellular activity (Figure 4). The closest characterized homologs of this gene (31-38% amino acid identity) are an endoglucanase in *Pyrococcus furiosus* (EglA)³² and a cellulase in *Thermotoga maritima* (CelA)³³, both of which belong to the GH12 family of glycohydrolases³⁴. Alignment of the OP9 endo-1,4- β -glucanases with members of GH12 indicate that two glutamates predicted to be involved in catalysis are conserved in the OP9 CDSs (positions 167 and 256). The *T. maritima* CelA is active on soluble glucans, while the *P. furiosus* EglA is active on crystalline cellulose and glucan oligosaccharides; both enzymes displayed weak activity on xylan^{32,33}. Several members of

the GH12 family have the capacity for hydrolysis of xyloglucan, a major component of hemicellulose in primary plant cell walls, and it has been suggested that xyloglucanase activity may be an ancestral trait of the GH12 family³⁵. While it is difficult to predict the precise activities of the OP9 endo-1,4- β -glucanase, it is likely involved in hydrolysis of one or more components of (hemi)cellulose. OP9 apparently lacks the capacity for degradation of the lignin component in lignocellulose, as only a single putative dioxigenase (COG1355) and no feruloyl esterases (e.g. pfam07519) were observed in the assemblies.

Several cytoplasmic glycohydrolases encoded in the OP9 genomes could allow for utilization of oligosaccharides derived from cellulose and hemicelluloses degradation, including xyloglucan. Both OP9 genomes encode an α -xylanase and β -glucosidase for degradation of isoprimeverose subunits of xyloglucan into glucose and xylose³⁶, as well as an α -N-arabinofuranosidase that could act on the L-arabinose-substituted isoprimeverose. The OP9-77CS additionally encodes a β -galactosidase and α -L-fucosidase, which would allow for complete breakdown of all major types of xyloglucan oligomers into hexoses and pentoses. The β -glucosidase would also mediate hydrolysis of cellobiose and longer chain β -glucans. Both genomes additionally encode a β -xylanase, β -mannosidase, and amylopullulanase that could facilitate hydrolysis of xylan, mannan, and alpha-linked glucan oligosaccharides, respectively.

Central metabolism

Catabolic capacity of the OP9-cSCG and OP9-77CS appears mainly limited to utilization of hexoses and pentoses via Embden-Meyerhof glycolysis and the pentose phosphate pathway (Figure 4). The resulting pyruvate could be converted by pyruvate-ferredoxin oxidoreductase (PFOR) to acetyl-coenzyme A (acetyl-CoA), which could either be processed to acetate with concomitant production of ATP via acetate kinase and phosphoacetyl transferase or be reduced to ethanol by aldehyde and alcohol dehydrogenases. An incomplete tricarboxylic acid cycle and the absence of most genes for NADH:ubiquinone oxidoreductase (Respiratory Complex 1), cytochromes, cytochrome oxidases, and quinone synthesis suggests a reliance on fermentation, while the absence of catalase and presence of oxygen-sensitive enzymes such as PFOR indicate an anaerobic lifestyle. Ethanol and acetate, along with carbon dioxide and H₂ (see below) are likely the major fermentation products, as genes involved in production of lactate and butyrate and other common fermentation pathways were not detected. Pathways for catabolism of amino acids and fatty acids are largely absent. While prediction of the full metabolic capacity is difficult due to the novelty of OP9, these data nonetheless suggest a prominent role for saccharide fermentation and are consistent the enrichment of OP9 on (hemi)cellulose substrates in GBS.

The OP9 genomes encode anabolic pathways for most important metabolites, but appear to be dependent on uptake of several vitamins. Neither genome contained evidence for carbon fixation pathways, indicating a heterotrophic lifestyle. Complete or nearly complete biosynthetic pathways for all amino acids except methionine were detected, as well as apparent capacity for de novo synthesis of purines, pyrimidines, NAD(P), and fatty acids. While OP9 has the capacity for synthesis of pyridoxine, it lacks pathways for synthesis of

most other vitamins. The uptake of biotin, folate, pantothenate, riboflavin, and dimethylbenzimidazole is likely mediated by ECF transporters, as substrate binding domains specific for these vitamins are all present³⁷. Ammonia uptake and assimilation appears to be mediated by an Amt transporter and the glutamine synthetase/glutamate-2-oxoglutarate amino transferase (GS/GOGAT) pathway, respectively. Two CDSs in the GS/GOGAT locus with similarity to assimilatory nitrite reductases may allow utilization of nitrite as an alternative nitrogen source, but no transporters for nitrite were detected. OP9 appears to be dependent on sulfide as a sulfur source because genes encoding assimilatory sulfate reductase were not present.

Energy conservation

In addition to ethanol production, regeneration of oxidized electron carriers could be mediated by several cytoplasmic hydrogenases, including one NiFe hydrogenase and four FeFe hydrogenases (Figure 4). The large subunit of the tetrameric NiFe hydrogenase clusters with group 3b bifunctional hydrogenases (Supplementary Figure S4)³⁸, which includes sulfhydrogenases of thermophilic Archaea that can catalyze reduction of sulfur to sulfide in addition to hydrogen production³⁹. Two of the FeFe hydrogenases cluster with group A1 and A8 trimeric NAD(P)-linked hydrogenases (Supplementary Figure S5)⁴⁰. The subunits of one of these shares 44%-56% identity to those of a recently described ‘bifurcating’ hydrogenase in *Thermotoga maritima*, which couples H₂ production to concomitant oxidation of NADH and reduced ferredoxin (Fd_{red})⁴¹ and may allow for proton reduction at relatively high H₂ concentrations. The remaining two FeFe hydrogenases cluster with groups C and D, which are poorly characterized but may have a regulatory role due to the presence of PAS domains (Group C) and their association with protein kinases and phosphatases⁴⁰.

Maintenance of a chemiosmotic membrane potential appears to be mediated primarily by an RNF complex, a membrane-associated pyrophosphatase (PPase), and two ATP synthases. RNF is a membrane-bound, six-subunit complex capable of energy conservation by coupling ferredoxin:NAD⁺ oxidoreductase activity with H⁺ or Na⁺ transport⁴². Depending on the intracellular redox state (balance of NADH and Fd_{red}), the RNF complex could either allow production of a membrane potential by oxidation of NAD⁺ with Fd_{red}, or production of Fd_{red} from NADH by reverse electron transport. Accordingly, two ATP synthases (F-type and V-type) could allow for either ATP production from a chemiosmotic membrane gradient generated by the RNF complex or generation of membrane potential by ATP hydrolysis. The PPase and F-type ATP synthase appear to mediate Na⁺ and H⁺ transport, respectively, based on several conserved residues (Supplementary Figure S6)^{43,44}, suggesting that both Na⁺ and H⁺ are involved in maintenance of membrane potential.

Discussion

The OP9-cSCG and OP9-77CS genomes described here offer the first significant insights into the metabolic capabilities and ecology of this ‘dark matter’ group. Our analysis of the core genome of hot spring OP9 lineages, which focus on basic cell structure and central metabolism, indicate an anaerobic, fermentative, saccharolytic lifestyle with the potential for

degradation of (hemi)cellulose. This is consistent with the observed enrichment of OP9 on cellulosic biomass incubated in the hot spring GBS²⁵ and supports the hypothesis that OP9 plays a role in thermophilic, cellulolytic microbial consortia. It is tempting to extend this prediction to other members of the OP9 lineage, which tend to be found in thermal, anaerobic environments with large amounts of biomass. The potential for utilization of xyloglucan may carve out a niche for OP9, and could explain its low-level but consistent presence in these environments. The apparent reliance of OP9 on exogenous vitamins suggests a dependence on other organisms in the *in situ* enrichments, corroborated by the presence of COGs involved in *de novo* vitamin synthesis elsewhere in the 77CS metagenome. These results facilitate enrichment strategies targeted at cultivation of OP9 and pave the way to understanding the role of this candidate phylum. This study also illustrates the utility of combining single-cell and metagenomics approaches, even in cases where datasets originate in distinct species or environments.

Based on data presented here, we propose the taxonomic epithets '*Candidatus* Caldatribacterium californiense' and '*Ca.* Caldatribacterium saccharofermentans' to refer to the OP9-cSCG and OP9-77CS phylotypes, respectively. The descriptions of the taxa are as follows: 'Caldatribacterium' (Cald.atribac.te'ri.um. L. adj. caldus, hot; L. adj. ater -trum, black; L. neut. n. bacterium, rod or staff. N.L. neut. n. Caldatribacterium refers to a rod-shaped bacterium from a hot environment, where 'black' or 'dark' references both microbial 'dark matter' and the dark, anaerobic environments where the lineage is found), 'saccharofermentans' (sac.cha.ro. fer'men.tans. Gr. n. sakchâr, sugar; L. v. fermento, to ferment; N.L. part. adj. saccharofermentans, sugar-fermenting), and 'californiense' (ca.li.for.ni.en'se. N.L. neut. adj. californiense, of or belonging to California). 16S rRNA gene phylogenetics firmly places '*Ca.* Caldatribacterium saccharofermentans' and '*Ca.* Caldatribacterium californiense' within candidate bacterial phylum OP9 along with 16S rRNA gene phylotypes recovered from environments including geothermal systems, petroleum reservoirs, anaerobic digesters, and wastewater treatment facilities. In addition, phylogenetic analyses support the proposal for the candidate phylum '*Atribacteria*' (A.tribac.te'ri.a. N.L. n. Atribacteria, the 'dark' bacterial phylum) inclusive of members of the OP9 lineage.

Methods

Single-cell sorting, whole genome amplification, and sequencing

An overview of methods used for a combined single-cell genomic and metagenomic analysis targeting OP9 is shown in Supplementary Figure S1. Samples for single-cell sorting were prepared from source pool sediment from LHC4 in the Long Valley Caldera, CA, USA¹⁹. Cells were separated from sediment by centrifugation over a Nycodenz density cushion⁴⁵, stored either on ice/4 °C in ethanol (10%, volume/volume) or on dry ice/-80 °C in betaine (6%, weight/volume), and sorted within 1 week of collection. Measurements of temperature, pH, and conductivity of spring water at the collection site were performed using hand-held meters that were calibrated in the field prior to sampling (LaMotte 5 Series, Chestertown, MD or YSI Model 30, Yellow Springs, OH and WTW Model pH330i, Weilheim, Germany) as described previously^{18,19}.

Single-cell sorting was performed on three separate weeks in October 2009, April 2010, and August 2011 using a microfluidic device mounted on a phase-contrast microscope equipped with a 1 W, 976 nm laser for optical trapping as previously described¹⁷, except that an updated but functionally analogous microfluidic device with 48 sorting chambers was used. Briefly, the cell sorting system involves loading a mixture of cells into each of two central channels, using the laser to transfer individual cells through gated channels into each of the 48 chambers, lysing them, and amplifying their genomes using MDA. Prior to loading into the microfluidic device, cells were diluted to $\sim 10^4$ cells/ μL in PPT buffer (phosphate-buffered saline pH 7.4 with 0.01% pluronic F127 and 0.01% Tween-20) containing 0.1 mg/mL BSA (New England Biolabs, Ipswich, MA). In some cases, cells were pretreated with 0.5 $\mu\text{g}/\text{mL}$ proteinase K to weaken proteinaceous cell envelopes and potentially enhance on-chip lysis⁴⁶; this pretreatment did not apparently have a significant impact on lysis of cells containing OP9 16S rRNA genes. Additionally, cell samples sorted in April 2010 were mixed with 10^4 copies/ μL of a traceable plasmid (pBK-CMV; Agilent Technologies, La Jolla, CA) to control for the presence of extra-cellular DNA. Cell lysis and whole genome amplification by MDA were performed in the microfluidic device as described¹⁷. MDA product subsamples (0.5 μL) were used as template in PCR to amplify either bacterial (primers 9bF and 1512uR) or archaeal (primers 8aF and 1406uR) 16S rRNA genes¹⁹. PCR products were sequenced from the forward primer using the Sanger method (Functional Biosciences, Madison, WI, USA) and compared to the NCBI non-redundant nucleotide (nr-nt) database using BLASTN⁴⁷. Amplification products from April 2010 were additionally screened for the presence of the traceable plasmid containing a CMV promoter using primers 5'-CATATATGGAGTTCCGCGTTAC, 5'-CGTACTTGGCATATGATACTTG, and a hydrolysis probe BHQ1-5'-CTGGCTGACCGCCCAACGA-HEX (vector reads were not detected in the MDA product mixtures from cells). Amplified SCGs belonging to the OP9 lineage were further amplified by a second round of amplification, and library preparation and shotgun sequencing was carried out as previously described¹⁷ using custom bar-coded adaptor oligonucleotides to enable pooling of multiple libraries and the 454 FLX platform with Titanium chemistry (Roche, Branford, CT) or alternatively, using the Nextera 454 titanium kit (Epicentre, Madison, WI). In all cases, purified libraries were quantified by digital PCR and normalized prior to sequencing⁴⁸.

Assembly and co-assembly of single cell genomes

All pyrosequence reads from SCG libraries were initially filtered for quality using mothur⁴⁹ and reads corresponding to trace contamination with human DNA were identified by BLASTN⁴⁷ against the human genome database (<ftp://ftp.ncbi.nlm.nih.gov/blast/db/>) and removed. Assembly of pyrosequence reads from individual SCGs was performed with the “Newbler” GS De Novo Assembler v2.6 (Roche), using default parameters with an expected coverage of 500 and the -urt option. FastQC (<http://www.bioinformatics.babraham.ac.uk/projects/fastqc/>) was used to examine the %G+C distribution of reads, and the JCVI Multi-Dimensional Scatter Plot Viewer (<http://gos.jcvi.org/openAccess/scatterPlotViewer.html>)⁵⁰ was used for TNF-PCA in assembled contigs. A subset of 10 SCGs with nearly identical %G+C read distributions and single, homogenous clustering of contigs by TNF-PCA, thus likely largely free of contamination, were selected. Contigs from these 10 SCGs were used

to identify potential contaminants in four other SCGs using hierarchical average correlation clustering of TNF-PCA, with contigs cut into 2 kb fragments with 1 kb overlap. Reads mapping to contigs with one or more fragment falling outside the cluster overlapping with the 10 SCGs were removed, and filtered datasets were reassembled. Percent ANI of these 14 SCGs plus data from an additional, more recently sequenced OP9 SCG, were determined by pairwise BLASTN. The resulting distances (100% - %ANI) were used to construct a neighbor-joining tree using Phylip⁵¹. After further 454 sequencing on a subset of the 15 SCGs chosen for analysis, reads from these SCGs were pooled and a composite SCG (cSCG) assembly was made using Newbler. Additionally, a jackknifing procedure was used to remove chimeric reads from the cSCGs¹⁴.

Metagenome sequencing and assembly

Cellulosic biomass was incubated at various locations in the hot spring GBS, near Gerlach, NV, USA¹⁸. A full description of the cellulolytic enrichments is described in Peacock et al. (in review)²⁵; methods pertaining to the metagenome used in this study are described below. 20 g of ammonia fiber explosion (AFEX)-treated corn stover (CS) sealed in a 100 micron mesh nylon filter bag was incubated buried ~1 cm in the sediment near the outflow of GBS for 64 days. Because the average water temperature was 77 °C at the site of incubation of the CS, this sample was designated “77CS”. After incubation, the 77CS substrate was harvested, frozen immediately on dry ice and stored at -80°C. DNA was extracted using the FastDNA Spin Kit for Soil (MP Biomedicals, Solon, OH), ethanol precipitated, and resuspended in TE buffer (10 mM Tris pH 8, 1 mM EDTA). 454 Rapid and Illumina standard libraries were prepared from purified DNA according to manufacturer’s protocols and sequenced on the 454 FLX platform (Roche) using Titanium chemistry and the Illumina GAIIx platform (Illumina, San Diego, CA) using paired-end 150 cycle reads, respectively. This produced a total of 179 Mb of 454 sequence and 13.1 Gb of Illumina data, which were co-assembled using a tiered assembly methodology. In brief, after trimming and quality filtering, the 454 and Illumina reads were initially assembled with Newbler and SOAPdenovo (<http://soap.genomics.org.cn/soapdenovo.html>), respectively. Contigs from the Newbler assembly and multiple SOAPdenovo assemblies using different k-mer lengths were dereplicated and merged using Newbler (contigs <2kb and remaining unassembled reads) followed by merging of all contigs with Minimus²⁵². Thresholds for merging contigs was limited to overlaps of 60 bases or greater with >98% identity of the overlap. Validation of the assembly and estimation of contig coverage were performed using read mapping with Burrows-Wheeler Aligner and analyzed using Samtools⁵³.

Metagenome binning and scaffolding of the composite single cell genome

TNF-PCA of the 77CS assembly with the same subset of 10 OP9 SCG contigs described above was performed. 77CS contigs falling within an ellipsoid with a centroid and semi-axis lengths defined by the mean and standard deviation of the first three principal components of the OP9 SCG contigs were binned as OP9-like, with the added constraint that 77CS contigs <2 kb were required to have a region of homology (>85% identity over >100 nucleotides) with the OP9-cSCG assembly. This subset of the metagenome, referred to as OP9-77CS, was compared to the OP9-cSCG by BLASTN to determine the reciprocal coverage and percent ANI. Further assembly and scaffolding of the cSCG contigs was

performed manually using the output of the BLASTN analysis. In cases where cSCG contigs overlapped by comparison to the OP9-77CS contigs, the contigs were joined, using the region of the cSCG contig with a higher overall quality score in the region of overlap.

Single-cell genome and metagenome analysis

The OP9-cSCG and OP9-77CS contigs were submitted to RAST⁵⁴ for gene calling and annotation. OP9-cSCG and OP9-77CS datasets were further filtered by BLASTP of predicted CDSs from one OP9 genome into a database containing the other OP9 genome, the RefSeq protein database (Release 52), and a recently sequenced *Thiovulum* single-cell genome¹⁴. Contigs where no CDSs had top BLASTP hits to the other OP9 genome, and where at least one CDS had a BLASTP hit with >70% identity to the RefSeq database or the *Thiovulum* genome, were removed. A single contig in the OP9-77CS containing a portion of a 23S rRNA gene with 98% identity to that of *Thermodesulfobacterium* sp. OPB45 was also removed; only 10 CDSs on 9 contigs in the OP9-77CS assembly had top BLASTP hits to *Thermodesulfobacteria*, all of which contained CDSs with top BLASTP hits to OP9-cSCG or other Bacteria, suggesting minimal contamination from this group in the OP9-77CS. Additional annotation of coding sequences predicted by RAST was performed using the KEGG Automatic Annotation Server (KAAS)⁵⁵ using a BLAST bit score cutoff of 60, the Conserved Domain Database (CDD)⁵⁶ with an e-value cutoff of $1e^{-5}$, and by comparison to a database of proteins assigned to Enzyme Commission (EC) numbers by Markov clustering using BLASTP with a $1e^{-20}$ cutoff⁵⁷. A set of single copy genes conserved in Bacteria were detected and aligned using AMPHORA²⁸, and phylogenies were inferred by maximum likelihood using RAxML V. 7.2.6⁵⁸. 16S rRNA genes from OP9 and other Bacteria were initially aligned using the Greengenes NAST server⁵⁹. Distance matrices, bootstrapping, and maximum likelihood and neighbor-joining methods were performed using PHYLIP V. 3.6.9⁵¹. A list of species and accession numbers of sequences used for phylogenetic analyses are shown in Supplementary Table S3. CDSs from OP9-cSCG and OP9-CS77 were analyzed by BLASTP against RefSeq protein database and the results were used to infer the phylogeny of the hits using CHNOSZ V. 0.9-7⁶⁰.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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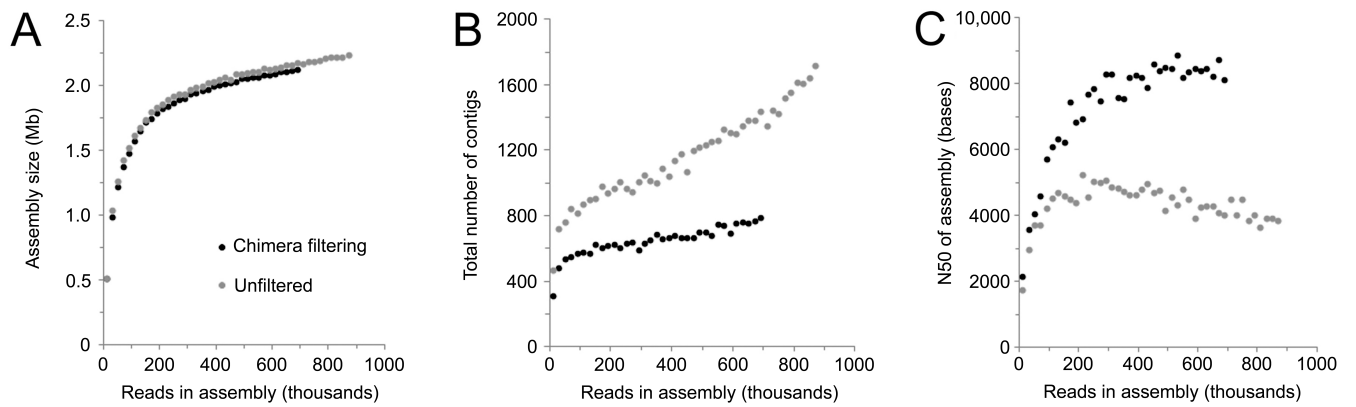


Figure 1. Chimera-filtering increases contig N50 in the cSCG assembly. Stepwise assembly of composite OP9 SCG before (grey points) or after (black points) filtering out potentially chimeric reads. The increase in (A) total assembly size, (B) number of contigs, and (C) contig N50 are shown as a function of the number of reads (sampling with replacement) used.

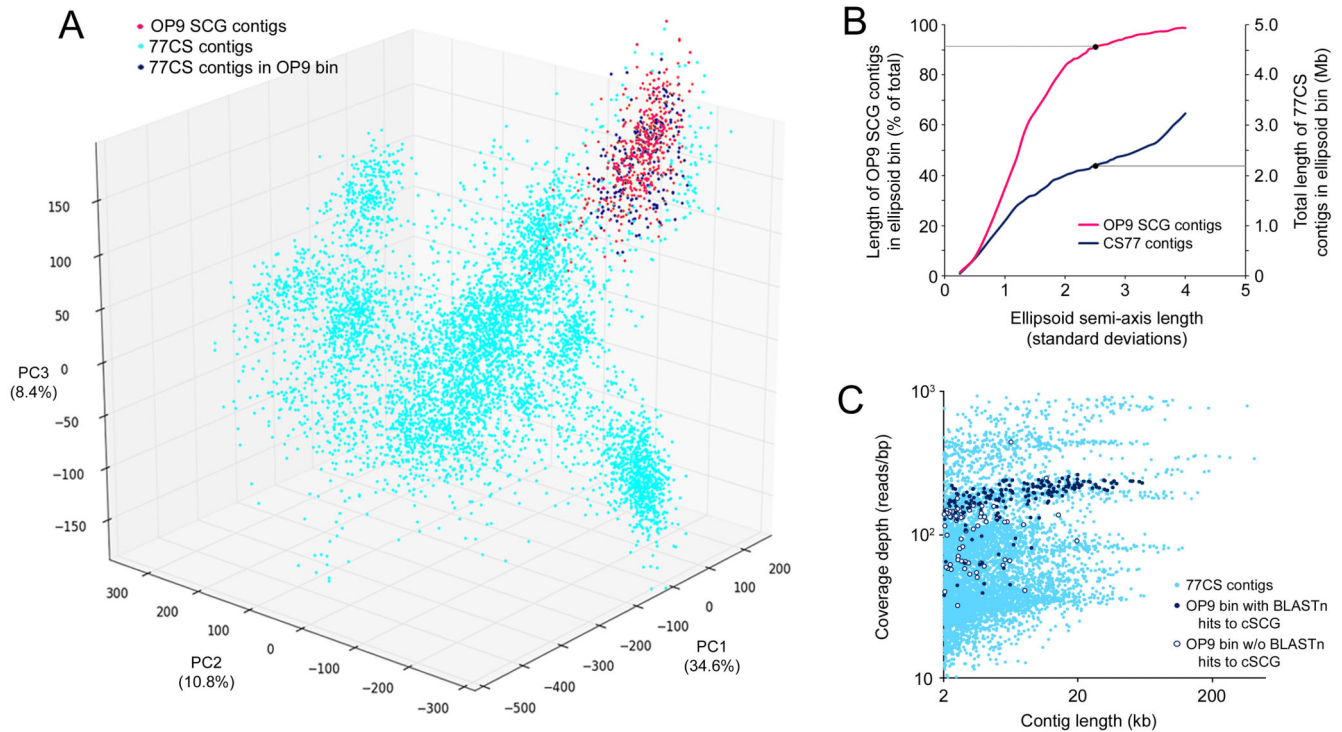


Figure 2.

Identification of OP9-like contigs from the 77CS metagenome. (A) Principal component analysis (PCA) of tetranucleotide frequency of contigs in the 77CS metagenome and ten individual OP9 SCG assemblies. 77CS contigs in dark blue were binned as OP9-like, as defined by their placement within an ellipsoid with a centroid and semi-axis lengths equal to the mean and 2.5 times the standard deviation, respectively, of the first three principle components (PC1-PC3, with the percent variation explained by each in parentheses) of the OP9 SCG contigs. Only contigs greater than 2 kb in length are shown. Contigs <2kb inside this ellipsoid were also included in the OP9 bin if they had significant nucleotide identity (>85% identity over >100 nt) to contigs in the OP9-cSCG by BLASTn. (B) Total length of OP9 SCG and 77CS contigs >2kb contained within ellipsoids with different semi-axis lengths defined by multiplication of the standard deviation of PC1-PC3 of the OP9 SCG contigs by increasing scalar quantities (x-axis). Black points on the curves indicate the multiplier (2.5) used to define the OP9 bin, chosen at an approximate inflection point of the CS77 contig length curve to maximize inclusion of metagenome contigs in the cluster overlapping the OP9 SCGs but minimize inclusion of contigs in adjacent clusters. (C) Plot of coverage depth vs. contig length for the CS77 metagenome assembly, highlighting contigs in the OP9 bin with (dark blue circles) and without (open circles) >85% nucleotide identity over >100 bp to the OP9-cSCG assembly.

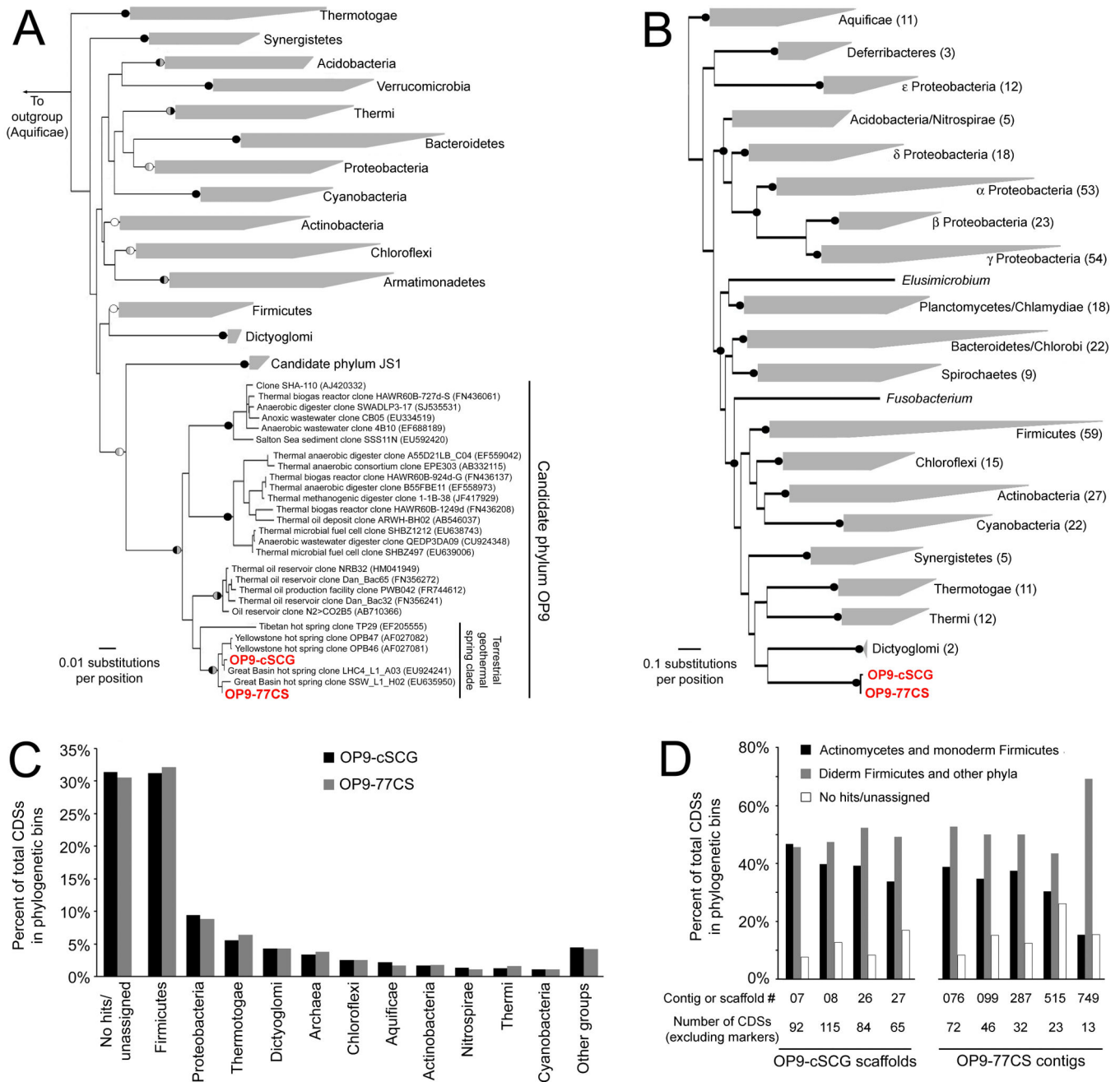


Figure 3. Relationship of OP9-SCG and OP9-CS77 to other bacterial groups. (A) Neighbor-joining tree based on a distance matrix of 1349 aligned positions of 16S rRNA genes from selected bacterial phyla with cultured representatives and the candidate phyla JS1 and OP9, including those in the OP9-SCG and OP9-CS77 assemblies. Black (100%), grey (>80%), and white (>50%) circles indicate bootstrap support (100 pseudoreplicates) for selected nodes in phylogenies inferred using neighbor-joining (left half of circle) and maximum-likelihood (right half) methods. (B) Maximum-likelihood phylogeny inferred from concatenated alignments of predicted amino acid sequences of 31 housekeeping genes identified by

AMPHROA in genomes representing a variety of bacterial phyla and the OP9-SCG and OP9-CS77 assemblies. The number of genomes represented in each wedge is indicated in parentheses, and black circles indicate bootstrap support of >80% for 100 pseudoreplicates. (C) Binning of CDSs in the OP9 assemblies based on the phylogenetic affiliation of their top BLASTP hit to a database of sequenced bacterial and archaeal genomes. Phylogenetic groups with fewer than 1% of top hits were aggregated ('other groups'). (D) Phylogenetic binning of CDSs on contigs or scaffolds containing markers diagnostic for a diderm cell envelope structure³¹, emphasizing that top BLASTP hits were distributed among both monoderm and diderm Bacteria. Diderm Firmicutes includes members of the Negativicutes and Halanaerobiales.

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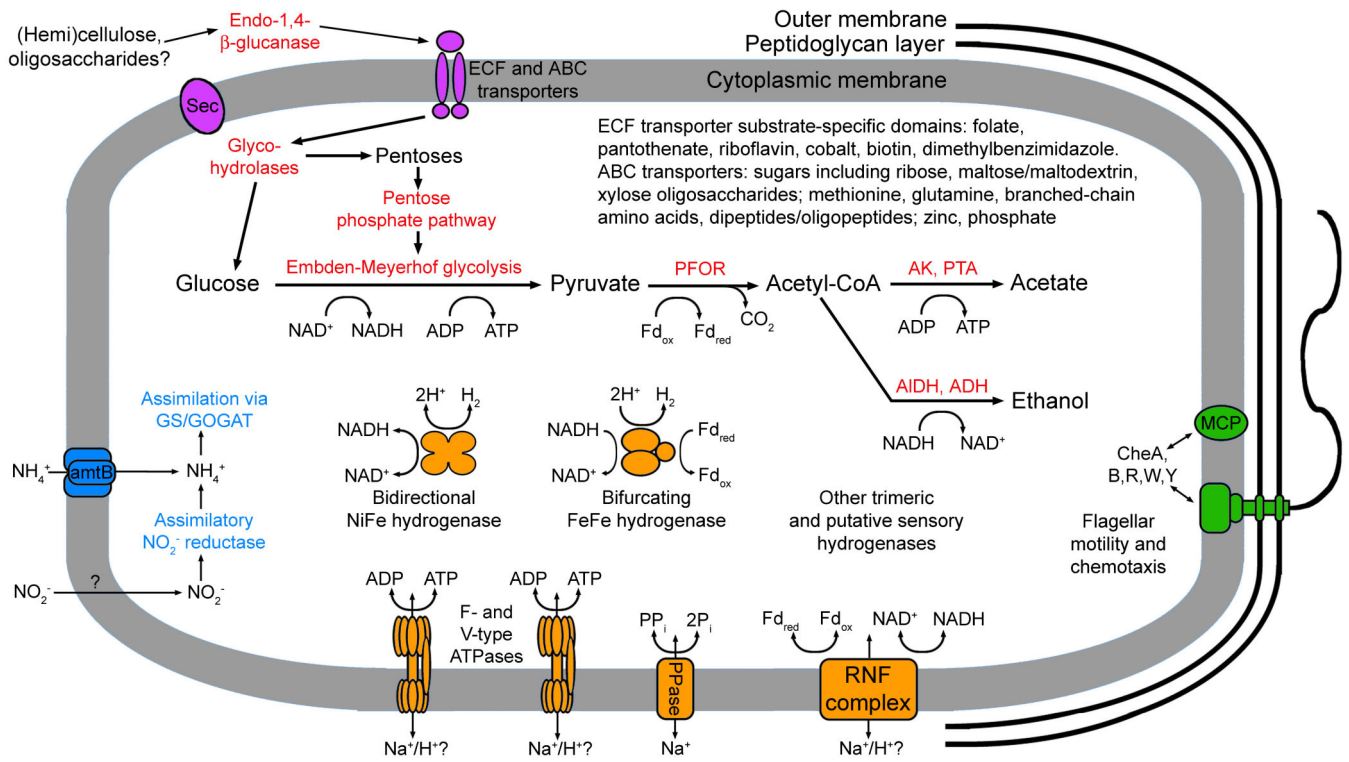


Figure 4.

Overview of features and potential metabolic capabilities of the OP9 lineage represented by the OP9-SCG and OP9-CS77 genomes as discussed in the text. CDSs in the OP9-cSCG and OP9-77CS associated with predicted functions are listed in Supplementary Table S5. Proteins involved in specific processes are identified by color: secretion and transporters (purple); saccharide catabolism and fermentation (red); energy conservation (orange); flagellar motility and chemotaxis (green); and nitrogen transport and assimilation (blue). Substrates and products are not necessarily balanced in the reactions depicted. Abbreviations not indicated in the text: ABC, ATP-binding cassette; Fd_{ox}, oxidized ferredoxin; AK, acetate kinase; PTA, phosphotransacetylase; AIDH, aldehyde dehydrogenase; ADH, alcohol dehydrogenase; PP_i, pyrophosphate; P_i, inorganic phosphate.

Table 1

Assembly statistics for the OP9-cSCG and OP9-77CS

Assembly	# of reads	Assembly size, total # of bases	# of contigs (scaffolds) ^c	Contig (or scaffold) ^c N50, bases	Largest contig (or scaffold) ^c , bases
Unfiltered OP9-cSCG	862139	2241199	1718	3838	27213
Chimera-filtered OP9-cSCG	702720	2124461	780	8210	38188
			545	15714	78011
Scaffolded OP9-cSCG ^a	n.d. ^b	2098790	(390)	(63552)	(216971)
OP9-77CS metagenome bin ^a	n.d. ^b	2252356	306	14273	59788

^a After removal of potentially contaminating contigs as described in Results; these filtered assemblies were used for analyses.

^b Not determined

^c Numbers in parentheses refer to the number or sizes of scaffolds in the scaffolded assembly.

Table 2

Genomic features of OP9-cSCG and OP9-77CS

	OP9-cSCG	OP9-77CS
G+C content	55.4%	55.8%
Number of predicted CDSs	2289	2478
PEGs with predicted function		
Non-"hypothetical" (RAST)	1545	1789
COG assigned (CDD)	1557	1601
Pfam(s) assigned (CDD)	1423	1581
KO assigned (KAAS)	1068	1161
EC # assigned (RAST)	523	585
EC # assigned (KAAS)	545	601
EC # assigned (Markov)	748	858
No. of RNAs		
tRNAs	44	57
23S rRNAs	1	3 ^b
16S rRNAs	1	1
5S rRNAs	1	1
Estimated completeness ^a	96.4%	98.2%

^aBased on presence of conserved markers exclusive of those involved in de novo riboflavin and pantothenate synthesis, see text.

^bOne full length 23S rRNA gene was observed, in addition to two partial sequences present on small contigs; all three were >99% identical over their entire length to the corresponding gene in the OP9-cSCG.