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# ELECTRON CYCLOTRON HEATING STUDIES OF THE COMPACT IGNITION TOKAMAK(CIT)

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## I. Introduction

The Compact Ignition Tokamak (CIT) operating scenario calls for ramping the toroidal magnetic field from  $B_T = 7.0$  (8.0) to 10.0 Tesla in a few seconds, followed by a burn cycle and a ramp-down cycle. Simultaneously, the plasma must be heated from an initial low beta equilibrium ( $\bar{\beta} \simeq 0.44\%$  at 7.0 to 8.0 Tesla) to a final burn equilibrium ( $\bar{\beta} = 2.8\%$ ) having 10.0 Tesla on the magnetic axis [1]. Since the toroidal plasma current will be ramped at the same time and since the available time for flat-top magnetic field must be reserved for the burn cycle, it is imperative that densification and heating be carried out as the magnetic field is ramped.

Here we examine an approach which is applicable to ECR heating. The frequency remains constant, while the angle of injection is varied by simply rotating a reflecting mirror placed in the path of the incident microwave beam. The rotating mirror permits one to launch waves with sufficiently high  $N_{\parallel}$  so that the Doppler broadened resonance of particles on the magnetic axis with  $f = 280$  GHz and  $B_T = 7.0 - 8.0$  Tesla can provide adequate absorption [2]. As the resonance layer moves toward the magnetic axis the beam is swept toward perpendicular to reduce the Doppler width and avoid heating the plasma edge. At  $B_T = 10.0$  Tesla the beam will be at normal incidence with strong absorption immediately on the high field side of the resonance (relativistic regime) [3]. We envisage using the ordinary mode (O-mode,  $\vec{E}_{RF} \parallel \vec{B}$ ) of polarization which is accessible from the outside (low-field side) of the torus provided the density is such that  $\omega_{pe} \leq \omega \approx \omega_{ce}$  (max). Considering  $f = 280$  GHz for central heating at  $B(0) = 10.0T$ , the maximum cutoff density is at  $n_{crit} \approx 9.7 \times 10^{20}m^{-3}$  which is above the maximum central density in CIT. We note that recent advances in source technology (gyrotrons and FELs) make ECR heating of CIT at 280 GHz a viable option. Equilibration of temperature between electrons and ions ( $\tau_{EQ}$ ) is expected to be significantly shorter than typical energy confinement times,  $\tau_E$ . For example, at  $n_e \approx 2.0 \times 10^{20}m^{-3}$ ,  $T_e = 5$  keV,  $Z_{eff} \approx 1.5$ , we estimate  $\tau_{EQ} \approx 30$  msec, while at  $n_e(0) \approx 8 \times 10^{20}m^{-3}$ ,  $T_e \approx T_i \approx 20$  keV,  $\tau_{EQ} \approx 60$  msec, both significantly shorter than the expected energy confinement time.

## II. Ray Tracing Results

Here we study single pass absorption of waves in equilibria representative of the CIT plasma. In terms of a normalized poloidal flux  $\psi$ , the temperature and density profiles are taken to be  $T_e(\psi) = T_e(0)[1 - \psi]$  and  $n_e(\psi) = n_e(0)[1 - \psi]$ . The ray tracing and absorption simulation was performed using the Toroidal Ray Tracing, Current Drive and Heating Code (TORCH) developed by Smith and Kritz[4]. We first present the results of ray tracing calculations for the nonresonant magnetic field of  $B(0) = 7.5T$  in the Doppler regime.

In Figures 1 (a,b) we show cases of wave penetration and absorption for  $B_T = 7.5$  T,  $\theta = 30^\circ$ ,  $T_e(0) = 5, 10$  keV and  $n_e(0) = (2.2 \text{ and } 1) \times 10^{20}m^{-3}$ , respectively. The large dots shown in these figures represent the locations where the power in each ray decreases by 20%. We find 100% single pass absorption for  $T_e(0) \geq 5.0$  keV, with absorption peaking at  $r/a \simeq 0.3$  at  $T_e(0) = 5.0$  keV, and  $r/a \gtrsim 0.2$  at  $T_e \lesssim 10$  keV. Notice that the half width of the absorption layer is typically  $\Delta r \simeq 10$  cm. We find that relativistic effects (which are included

in this code) shift the absorption toward the cyclotron resonance layer by amounts  $\Delta r \gtrsim 10$  cm.

Since the width of the particle resonance and the location of maximum absorption in the Doppler regime is directly proportional to  $N_{\parallel}$ , the power deposition profile can be controlled by changing the incident wave propagation angle. We find that for the 7.5 Tesla case, the optimum angle is approximately  $30^\circ$  to the normal. For  $B_T = 7.0$  T, the absorption shifts far to the high field side of the plasma column, whereas for  $B_T > 7.5$  T, the absorption shifts toward the low field side. In order to keep the absorption close to the magnetic axis as the beta and magnetic fields are increasing during the ramp-up, we find that the angle must be swept toward normal incidence at  $B_T = 10.0$  Tesla. This ensures wave penetration to the center at full field and beta (relativistic regime,  $N_{\parallel} < (T_e/m_e c^2)^{1/2}$ ) [3]. While strong off-axis absorption may be appropriate in a burning plasma for controlling MHD activity, in the low beta regime central heating may be preferable. Typical results of power deposition at  $B_T = 10$  T,  $\theta = 10^\circ$ ,  $T_e = 10$  keV are shown in Figure 1(c). As we see, central wave penetration and absorption occurs at  $\theta \leq 10^\circ$ . For normal incidence ( $\theta = 0$ ), complete wave absorption results in a few centimeter radial distance beyond the cyclotron resonance layer. This gives us confidence that these waves can penetrate to the core of even a burning plasma ( $n_e(0) \gtrsim 8 \times 10^{20} \text{m}^{-3}$ ,  $T_e(0) \simeq 20$  keV), and be absorbed near the center.

The efficiency of coupling to the O-mode at the edge of the plasma as a function of the angle of incidence has been calculated, and the result is

$$\frac{P_O}{P_T} = \frac{1}{4} \left( 1 + \frac{\sin^2 \theta}{\eta} \right)^2 + \frac{\cos^2 \theta}{\beta \eta^2}, \quad (1)$$

where  $\beta = \omega_{ce}^2/\omega^2$  and  $\eta = (\sin^4 \theta + 4 \cos^2 \theta/\beta)^{1/2}$ . For nonresonant heating ( $B_T \geq 7$  T,  $\theta \leq 30^\circ$ ), Eq. 1 predicts that at least 68% of the power injected will couple to the O-mode at the edge.

We have also examined the importance of scattering of EC rays by low frequency density fluctuations [5], and find that for  $\langle \delta n_e/n_e \rangle \gtrsim 0.1$ , scattering is not important.

### III. Transport Code Simulations

A version of the combined equilibrium and transport code BALDUR1-1/2D originally developed by G. Bateman [6] has been used to simulate some important aspects of the ECH heating scenario for CIT. In our initial investigations, we have held constant the total plasma current, toroidal field, and parabolic particle density profile, and followed the time evolution of the electron and ion temperature distributions. We have assumed that the electron heat flux can be written as  $q_e = -M\kappa_e \nabla T_e - (M-1)\alpha_T \kappa_e T_e \nabla V/V(a)$  (conduction and inward heat pinch), with  $\kappa_e = [CI(\rho)V^2(a)A^{-3/2}/T_e(\rho)|\nabla V|^2][1 + \gamma_0(1 - P_{OH}/P_{TOT})^2 < \beta_p >]$  [7, 8]. Here  $\rho$  is a flux surface label,  $I_p$  is the current within  $\rho$ ,  $A$  is the total cross-sectional area,  $V = V(\rho)$  is the volume within  $\rho$ ,  $\rho = a$  designates the plasma boundary, and  $\alpha_T$  describes a "canonical" profile shape  $T_e \propto \exp(-\alpha_T V/V(a))$  which the transport model seeks to enforce. The constant  $C$  is chosen to fit low density Ohmic experiments, and  $\gamma_0 \simeq 5(10)$  reproduces H-(L-) mode experimental results with auxiliary heating. We have taken  $\alpha_T = 3.33$  and  $M = 3$ . Ion thermal transport is assumed to be 0.5 times that of the electrons in addition to a neoclassical contribution. ECH absorption per unit volume by electrons is represented by the form  $P(\rho) = P_0 \exp[-(x - x_0)^2/225]$ , where  $x(\rho)$  is the half-width in cm of a flux surface in the meridian plane,  $x_0$  designates the location of maximum absorption, and  $P_0$  is proportional to the total power launched in the O-mode.

We note that our transport implies an essentially offset linear dependence of the total plasma energy on total input power,  $W \simeq a + bP$ . It is thus more optimistic for ignition than

empirical scalings such as Kaye-Goldston or Goldston which have been obtained by assuming  $W \propto P^\alpha$  with  $\alpha < 1$ .

In Figure 2(a) we show the time development of the central temperatures when 10 MW of ECH is input for 3 seconds into an ohmic target plasma with  $B_T = 7.5$  T,  $I_p = 8$  MA,  $Z_{eff} = 1.5$ , and  $n_e(0) = 2.2 \times 10^{20} \text{m}^{-3}$ . In agreement with ray tracing results, maximum absorption is taken one third of the way out from the magnetic axis. Here we have assumed H-mode (auxiliary) confinement and no sawteeth. Figure 2(b) illustrates the same case with a sawtooth repetition time of 0.3 sec. The plasma does not ignite because of the low density used in the simulations. For L-mode confinement and no sawteeth,  $T_e$  and  $T_i$  saturate at 14 keV and 12 keV, respectively. Shifting the location of maximum absorption to the magnetic axis results in a 40% enhancement of central electron temperatures, but the ion temperatures remain similar for the above cases. Neglecting the inward pinch term in the heat transport model ( $M \rightarrow 1$ ) has little effect for the off-axis cases, but enhances electron and ion temperatures by 100% and 50% respectively for on-axis heating.

We have also investigated the behavior of a high density ( $n_e(0) = 6.6 \times 10^{20} \text{m}^{-3}$ ) CIT plasma with  $B_T = 10$  T,  $I_p = 10$  MA, and  $Z_{eff} = 1.5$  when ECH absorption peaks at the magnetic axis. For the case of 10 MW input power, H-mode confinement, 1.5 sec. pulse length, and no sawteeth (Figure 3(a)), ignition occurs with  $\langle \beta \rangle = 1.3\%$  and  $\tau_E = 0.9$  sec. Sawteeth with a 0.3 sec. repetition time prevent ignition at the 10 MW power level, but not at 17 MW. Lowering the power to 5 MW, ignition conditions are again achieved after a 3 sec. RF pulse length with H-mode confinement and no sawteeth (Figure 3(b)). Finally, using L-mode confinement, ignition is obtained with 17 MW of input power applied for 3 sec. ( $\tau_E = 0.6$  sec.,  $\langle \beta \rangle = 2.2\%$ ). For a flatter density profile ( $n(\psi)/n(0) \simeq (1 - \psi)^{1/2}$ ),  $n(0) = 6.6 \times 10^{20} \text{m}^{-3}$ , and L-mode confinement,  $P_{RF} \approx 25$  MW is required for ignition within 3 sec. at  $\langle \beta \rangle = 2.8\%$ , and  $\tau_E = 0.6$  sec. We note that under the above conditions  $\tau_{Kaye} \leq 0.40$  sec.,  $\tau_{Goldston} \leq 0.27$  sec. and for the latter, ignition would not occur.

#### Figure Captions

Fig. 1. ECH ray trajectories. Each dot represents 20% power absorption. a)  $B_T = 7.5$  T,  $\theta = 30^\circ$ ,  $T_e = 5$  keV; b)  $B_T = 7.5$  T,  $\theta = 30^\circ$ ,  $T_e = 10$  keV; c)  $B_T = 10$  T,  $\theta = 10^\circ$ ,  $T_e = 10$  keV.

Fig. 2. CIT discharge evolution for  $n_e(0) = 2.2 \times 10^{20} \text{m}^{-3}$ .  $P_{RF} = 10$  MW, deposited at  $r_0/a = 0.3$ ,  $\Delta t_{RF} = 3$  sec., H-mode confinement a) without sawteeth; b) with sawteeth,  $\tau_{saw} = 0.3$  sec.

Fig. 3. CIT discharge evolution for  $n_e(0) = 6.6 \times 10^{20} \text{m}^{-3}$ , on-axis deposition, H-mode confinement, no sawteeth; a)  $P_{RF} = 10$  MW,  $\Delta t_{RF} = 1.5$  sec.; b)  $P_{RF} = 5$  MW,  $\Delta t_{RF} = 3$  sec.

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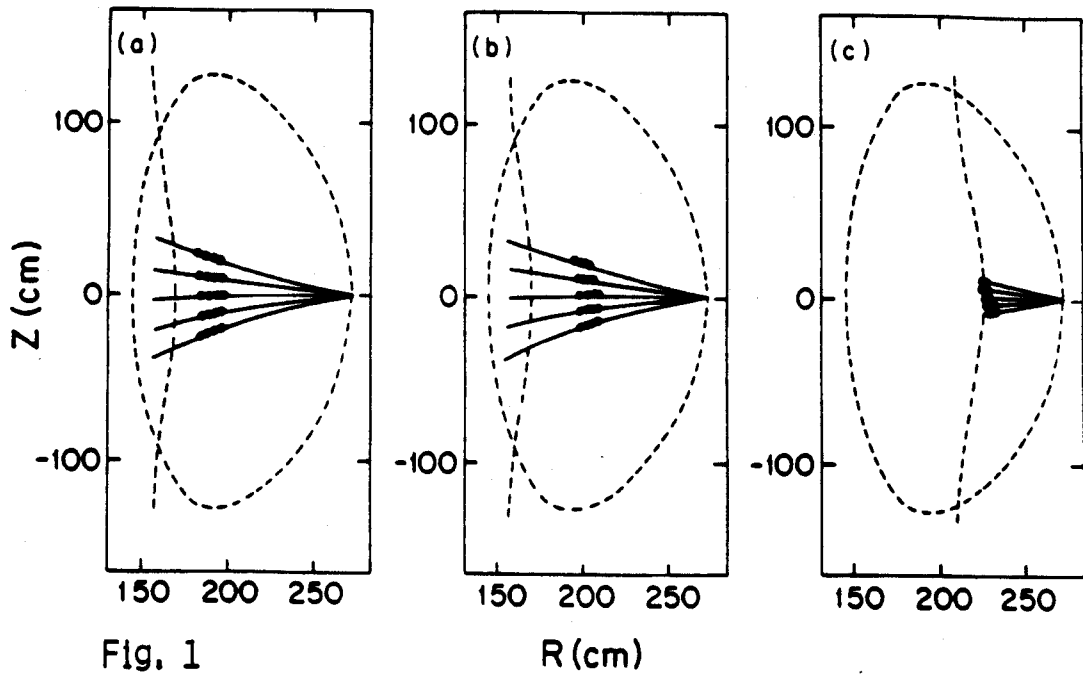


Fig. 1

$R$  (cm)

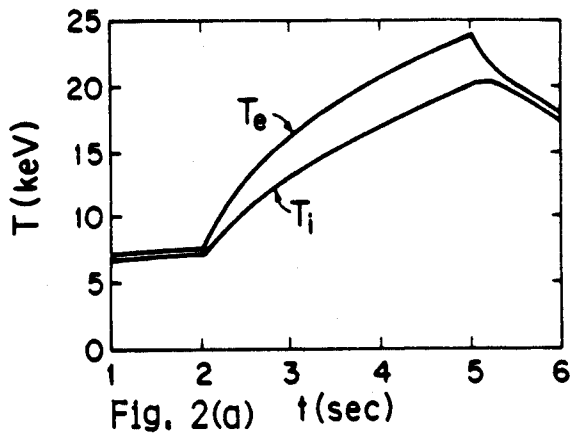


Fig. 2(a)  $t$  (sec)

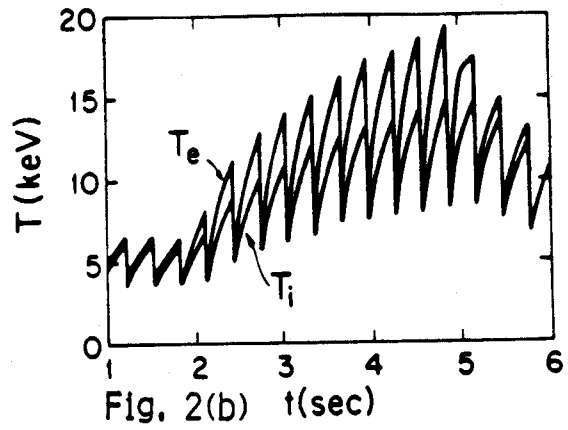


Fig. 2(b)  $t$  (sec)

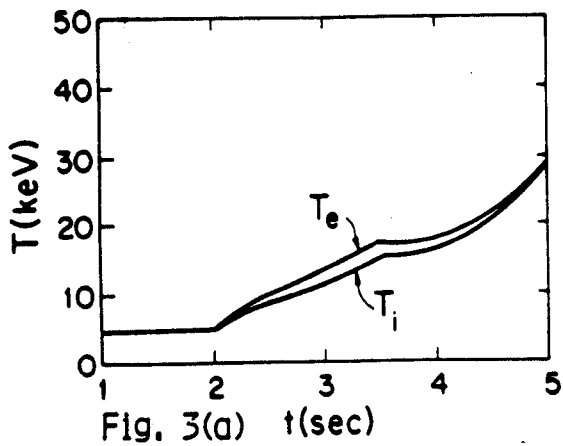


Fig. 3(a)  $t$  (sec)

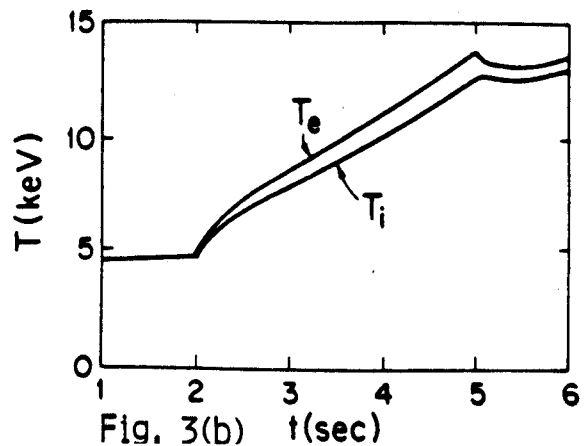


Fig. 3(b)  $t$  (sec)