Preparing Cities for Climate Change:

An International Comparative Assessment of Urban Adaptation Planning MIT-ICLEI Climate Adaptation Survey Instrument

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MIT-ICLEI Climate Adaptation Survey

Welcome to the MIT- ICLEI Climate Adaptation Survey!

We have invited communities like yours – those that are members of ICLEI, affiliates of ICLEI networks, or active in an ICLEI or ICLEI-related program – to participate in a survey about urban climate adaptation. By adaptation, we are referring to any activity you are pursuing to address the impacts climate change could have on your community. We know that not every community receiving this questionnaire is pursuing climate adaptation at this time. Whether or not you are engaged in any type of climate adaptation activity, your responses are important. The results of this survey will help us learn why some communities are initiating climate adaptation initiatives and others are not, the successes communities have achieved, challenges you are encountering, and the resources and support you need to prepare for the impacts of climate change.

The questionnaire is divided into 6 sections and contains a total of 40 questions. Although we are able to determine whether you have completed the survey, the name of your community is not linked in any way to your responses. In addition, all of your answers will be kept confidential and will be aggregated with the responses of other communities. Therefore, when we report the findings from the survey there will be no way to identify your community from any of the others. While you should feel free to skip questions you prefer not to answer, we hope that you will complete the entire questionnaire.

The survey is funded by the United States National Science Foundation and is being conducted by Professor JoAnn Carmin from the Department of Urban Studies and Planning at the Massachusetts Institute of Technology (MIT) in cooperation with ICLEI-Local Governments for Sustainability.

The results of this research will be used to inform ICLEI's adaptation programs. In addition, the results will be disseminated in a report to the practitioner, policy, and donor communities. Since this will be the first global study of climate adaptation initiatives and challenges to conform to rigorous social science methodology, the results will be an influential basis for policy decisions as well as provide new insights about the types of resources and support communities need to prepare for the impacts of climate change.

If you participate in the survey, we will send you a summary of the results when they are available. In the meantime, if you have questions, you can contact us by email at adaptationsurvey@mit.edu.

Thank you for participating in the climate adaptation survey!

I. Climate Change Risk and Vulnerability

1. Please indicate whether you have noted or exp community in the past five years, as compared to all that apply):	
 □ a. Increased frequency of natural disasters □ b. Increased damage to local government property and assets as a result of natural disasters □ c. More deaths from natural disasters □ d. Less precipitation □ e. Water shortages □ f. Longer periods of drought □ g. Inland flooding □ h. Coastal flooding □ i. Increase in landslides □ j. Increased magnitude and frequency of wind speed 	k. Higher temperatures than usual I. Longer periods of intense hot weather m. Lower temperatures than usual n. More precipitation o. Increased frequency of storms p. Increased intensity of storms q. Increased coastal erosion r. Sea level rise s. Increase in storm surges t. Emergence of new diseases u. Increased rural to urban migration v. Changes in local flora and fauna
II. Climate Risk and Vulnerability Ass	sassmante
ii. Climate Kisk and Vullerability Ass	sessments
2. Which one of the following best describes clim community?	nate risk and/or vulnerability assessments in your
 □ a. We completed an assessment (Go to Question □ b. We are presently conducting an assessment (Go to Question □ c. We are planning to conduct an assessment (Go to Question □ d. We have not conducted and have no plans to conducted and have no plans to conducted 	Go to Question 4) to Question 7)
3. Based on the assessments you have conducte address as a consequence of climate change? (c	
□ a. Coastal erosion □ b. Heat island effect □ c. Stormwater runoff □ e. Drought □ f. Saline intrusion □ g. Disruptions in service delivery □ h. Storm surges □ i. Changing disease vectors □ j. Solid waste management □ k. Storm water management □ l. Loss of jobs □ m. Loss of economic revenue □ n. Human migration	 □ o. Housing insecurity □ p. Housing safety □ q. Degradation of historic or cultural monuments □ r. Illness among vulnerable populations □ s. Changes in electricity demand □ t. Exposure to elements among vulnerable populations □ u. Loss of natural systems □ v. Loss of tourism □ w. Disruptions to transportation systems □ x. None, we do not expect any effects of climate change

4. Which <u>one</u> of the following best describes the range of climate addressing in your climate risk or vulnerability assessment?	impacts you	ı addressed or are
 □ a. Detailed analysis of all potential climate impacts in your com □ b. Detailed analysis of one or a limited number of impacts in your co □ c. Summary overview of all potential climate impacts in your co □ d. Summary overview of one or a limited number of impacts in 	our community	•
5. Which <u>one</u> of the following best describes the group or individ risk or vulnerability assessment?	ual who led o	or is leading your
□ a. Consultants □ b. Local government staff □ c. Researchers in universities □ d. Researchers in think tanks or institutes □ e. State and regional agency representatives □ f. Development agencies (e.g. DANIDA, DFID, USAID) □ g. Multilateral development banks (e.g., World Bank, ADB) □ h. United Nations (e.g. UN-HABITAT, UNEP, UNDP) □ i. Private foundations □ j. International nongovernmental organizations □ k. National nongovernmental organizations □ l. Community-based organizations □ m. Local residents 6. For each of the following groups and organizations, please ind or are assisting in conducting the assessment:		
	Yes	No
a. Consultants	The state of the s	
h Local gavernment stoff		
b. Local government staff		
c. Researchers in universities		
c. Researchers in universities d. Researchers in think tanks or institutes		
c. Researchers in universities d. Researchers in think tanks or institutes e. State and regional agency representatives		
c. Researchers in universities d. Researchers in think tanks or institutes		
c. Researchers in universities d. Researchers in think tanks or institutes e. State and regional agency representatives f. Development agencies (e.g. DANIDA, DFID, USAID) g. Multilateral development banks (e.g., World Bank, ADB)		
c. Researchers in universities d. Researchers in think tanks or institutes e. State and regional agency representatives f. Development agencies (e.g. DANIDA, DFID, USAID)		
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c. Researchers in universities d. Researchers in think tanks or institutes e. State and regional agency representatives f. Development agencies (e.g. DANIDA, DFID, USAID) g. Multilateral development banks (e.g., World Bank, ADB) h. United Nations (e.g. UN-HABITAT, UNEP, UNDP) i. Private foundations		
c. Researchers in universities d. Researchers in think tanks or institutes e. State and regional agency representatives f. Development agencies (e.g. DANIDA, DFID, USAID) g. Multilateral development banks (e.g., World Bank, ADB) h. United Nations (e.g. UN-HABITAT, UNEP, UNDP)		
c. Researchers in universities d. Researchers in think tanks or institutes e. State and regional agency representatives f. Development agencies (e.g. DANIDA, DFID, USAID) g. Multilateral development banks (e.g., World Bank, ADB) h. United Nations (e.g. UN-HABITAT, UNEP, UNDP) i. Private foundations j. International nongovernmental organizations		

III. Planning for Climate Change

7. Have you completed or are you working on some form of 'yes' even if you are in the earliest phases of planning or a discussions and meetings)	of climate ad ection, such	laptation plar as having inf	nning? (answe formal
☐ Yes (Go to Question 8) ☐ No (Go to	Question 18)	
8. In what year did you first initiate climate adaptation plan9. From the list below, rank up to three items that reflect the			led you to
initiate adaptation planning:			
	First	Second	Third
a. Gain a competitive advantage			
b. Enhance the visibility of our community			
c. Demonstrate global leadership			
d. Demonstrate regional leadership			
e. Demonstrate national leadership			
f. Create stronger ties to national government			
g. Advance economic development			
h. Address inequities			
i. Support the agenda of the department leading the process			
j. Keep up with peer communities			
k. Be prepared for the future			
I. Promote urban development			
m. Reduce impacts from natural hazards			
n. Secure municipal services for the longer term			
o. Demonstrate that we are a caring government			
p. Help achieve local government goals			
q. Support departmental activities			
r. Address the needs of the poor			
s. Advance community livability			
t. Attract funding to pursue community priorities			
u. Attract funding to pursue climate mitigation			
10. Which one of the following best describes the current scommunity? a. Gathering information about adaptation planning b. Assessing adaptation options c. Holding informal discussions about adaptation, but not describe to assess the potential for adaption of the community of the community of the community of the community of the current section of the current sec	ot taken any a otation		ning in your

11. Which of the following best d plan development? (check all that		ach you are taking or e	expect to take toward
 □ a. Develop a strategic (gene □ b. Develop a detailed adapt □ c. Develop sector plans for a □ d. Integrate adaptation into □ e. Integrate adaptation into 	ation plan adaptation existing community _l	olan	
12. Which of the following activit (check all that apply)	ies have you done	as part of your adaptat	ion planning process?
 □ a. Held public meeting(s) □ b. Created inventory of current a initiatives □ c. Created a task force □ d. Met with local government de □ e. Met with national government □ f. Searched the web or literature information on adaptation □ g. Formed advisory group 	partments t agencies	 h. Formed commiss i. Reviewed progres j. Wrote grant propo k. Formed partnersh l. Formed partnersh m. Formed partners groups n. Formed partnersh o. Set priority areas 	ss psals hips with other cities hips with business ships with community hips with NGOs
13. For each of the following ground adaptation planning process (che		ner they have been invo	olved in any way in the
a. Local government elected offi b. Local government departmen c. National government agencies d. State or regional government e. University researchers f. Research institutes g. Private consultants h. Community groups i. Local NGOs j. Business or industry association k. Private firms and corporations	its s ons	DFID, USAID) ☐ p. Multilateral devel World Bank, ADI	ns encies (e.g. DANIDA, opment banks (e.g., B) e.g. UN-HABITAT, UNEP,
14. Which one of the following re agencies support you in your ada		•	
a. Strongly support	b. Slightly support	C. Do not support	☐ d. Do not know

15. Which one of the following best describes the position title or affiliation of the person or department taking the lead on adaptation planning in your local government? (select one)
☐ a. Mayor
☐ b. Deputy Mayor
c. City or town manager
d. City or town council member
e. Environmental department representative
f. Planning department representative
g. Public health department representative
h. Emergency management representative
i. Public works department representative
i. Nonprofit
k. No one
U Other (Please describe)

16. On a scale of 0-4, where 4 represents a great deal and 0 represents not at all, please indicate the extent to which you rely on each of the following groups and organizations for information and guidance with your climate adaptation planning activities:

	Not at all				Greatly
	0	1	2	3	4
a. Networks with other communities					
b. Professional associations					
c. Professional colleagues					
d. Development agencies (e.g., DANIDA, DFID, USAID)					
e. Multilateral development banks (e.g., World Bank, ADB)					
f. United Nations programs (e.g., UN-HABITAT, UNEP, UNDP)					
g. International NGOs (e.g., ICLEI)					
h. National government agencies					
i. Regional or state agencies					
j. Local government departments or agencies					
k. Universities					
I. Research institutes					
m. Local consultants					
n. International consultants					
o. Local nonprofits					
p. Local citizens groups					

17. For each of the following, please indicate the extent to which the source of information is influential in advancing your adaptation planning. Indicate 4 if the source is highly influential and 0 if it is not at all influential. Please indicate N/A if the source is not available.

	Not influential				Highly influentia	1
	0	1	2	3	4	N/A
a. Internet websites						
b. Webinars						
c. International conferences						
d. National conferences						
e. National associations for cities and local						
governments		100			<u> </u>	
f. Professional associations						
g. Peer-reviewed literature						
h. NGO reports						Ħ
i. Professional reports						
j. Government reports						

IV. Support for an	d Influences on Ad	aptation Plani	ning	7.5 kg 1/15
18. Has your national ☐ Yes (Go to Question	government developed n 19)		olan? ☐ Don't know (Go to C	Question 20)
19. Has the national p ☐ Yes	olan been adopted? ☐ No			
	onal government polici or integrate climate con ☐ No			
21. How would you ra point in time?	te the commitment of e	each of the follow	ving to adaptation plar	nning at this
a. Local elected offici	als ☐ High		Low	☐ Very Low
b. Local government of Very High	departments ☐ High	☐ Moderate	Low	☐ Very Low
22. Has your local gov	vernment initiated eithe	er of the following	g?	
a. Climate adaptation Yes	demonstration or pilot ☐ No	projects		
b. Community-based	adaptation ☐ No			

23. Does your local government participate in any climate mitigation p	orog	ram	s dev	elope	ed c	or	
24. Does your local government participate in any climate adaptation managed by external organizations (e.g., ACCCRN, UN-HABITAT – CC							
25. Have you received funding from any of the following sources for p projects specifically designated to promote or support adaptation?	lanr	ing	, prog	ırams	S, OI	r	
	Yes			1	No		
a. National government							
b. International sources							
c. Sub-national sources							_11.11
d. Official development assistance	\Box						
e. Development agencies (e.g. DANIDA, DFID, USAID, CIDA)							
f. Multilateral development banks (e.g. World Bank, ADB)	Ц						
g. United Nations (e.g. UN-HABITAT, UNEP, UNDP)	Ц						
h. Private foundations	H						
i. Nonprofit organizations i. Research institutes	H	_		-	H		
k. Other (Please describe)					<u> </u>		
			Sec. 1989.	- (a)			
26. To the best of your knowledge, have one or more government emp from your local government attended any of the following in the last the				cted	offi		<u> </u>
		yea	ars? 		offi	Doi	n't
from your local government attended any of the following in the last the			ars? 	cted	offi		n't
from your local government attended any of the following in the last the action of the following in the last the action of the following in the last the action of the following in the last the following in the following in the last the following in t	hree	yea	ars? 		offi	Doi	n't
a. UNFCCC sponsored events (e.g., COP) b. International climate adaptation conferences, other than UNFCCC events	hree	yea	ars? 		offi	Doi	n't
from your local government attended any of the following in the last the action of the following in the last the action of the following in the last the action of the following in the last the following in the following in the last the following in t	hree	yea	ars? 		offi	Doi	n't
a. UNFCCC sponsored events (e.g., COP) b. International climate adaptation conferences, other than UNFCCC events c. Regional climate adaptation conferences d. National climate adaptation workshops	hree	yea	ars? 		offi	Doi	n't
a. UNFCCC sponsored events (e.g., COP) b. International climate adaptation conferences, other than UNFCCC events. c. Regional climate adaptation conferences	hree	yea	ars? 		offi	Doi	n't
a. UNFCCC sponsored events (e.g., COP) b. International climate adaptation conferences, other than UNFCCC events. c. Regional climate adaptation conferences d. National climate adaptation workshops e. Sector-based adaptation training seminars or workshops	hree	yea	ars? 		offi	Doi	n't
a. UNFCCC sponsored events (e.g., COP) b. International climate adaptation conferences, other than UNFCCC events. c. Regional climate adaptation conferences d. National climate adaptation workshops e. Sector-based adaptation training seminars or workshops f. General climate adaptation meetings	hree	Yea	ars?	No	over	Doi kno	n't ow

30. Where is the clima a. Environmenta b. Mayor's office c. City or town n d. Planning office e. Other (Please	al department e nanager's offic e e describe)	e			
climate issues (adapta				ne staff members who w	ork solely on
				ptions listed below (1-6 erall activities related to	
1. They are wor 2. They are coo 3. They are adv 4. They are not 5. This type of c 6. I do not know 7. Other	operating with loocating that loot taking any actoriganization is	ocal govern cal governi tion related	nment ment pursue to climate ad	adaptation daptation	
	a. Environmeb. Humanitaric. Developme	an NGOs			
	d. Local resid e. Community	ents			
V. Challenges and	Benefits				
faced when trying to a	ddress or pro	omote clim	ate adaptati	is a challenge your loca on in your community (is not an applicable iss	0 is not a
a. Getting people to und	derstand the na	ature of the	problem 3	4 Major challenge	□ N/A
b. Obtaining accurate so 0 No challenge	cientific data	□ 2	□ 3	☐ 4 Major challenge	□ N/A
c. Obtaining sufficient so 0 No challenge	cientific data	<u>2</u>	3	☐ 4 Major challenge	□ N/A
d. Securing funding for a	adaptation	□ 2	□ 3	☐ 4 Major challenge	□ N/A
e. Learning how to cond 0 No challenge	luct a risk or vi	ulnerability 2	assessment 3	4 Major challenge	□ N/A
f. Getting information ab	oout how to pla	n for climat	te impacts	☐ 4 Major challenge	□ N/A
g. Learning from other of	communities w	orking on a	daptation	☐ 4 Major challenge	□ N/A

h. Mainstreaming adaptation into existing work 0 No challenge 1 2	3 ☐ 4 Major challenge ☐ N/A
i. Generating interest in adaptation among political decis	
j. Generating interest in adaptation among local governm 0 No challenge 1 2	nent staff
k. Generating interest in adaptation among business 0 No challenge 1 2	3
Allocating the staff time to work on adaptation □ 0 No challenge □ 1 □ 2 □ :	3 ☐ 4 Major challenge ☐ N/A
m. Reallocating existing resources to work on adaptation 0 No challenge 1 2	
n. Connecting to the international community 0 No challenge 1 2	3 4 Major challenge N/A
o. Communicating the need for climate adaptation to elect 0 No challenge 1 2 ::	cted officials 3
p. Gaining commitment to adaptation from political decisi	ion-makers 3
q. Gaining commitment to adaptation from local government 0 No challenge 1 2 :	nent staff 3
r. Communicating the need for climate adaptation to the	public 3
s. Generating interest among local residents to work on a	
t. Other (Please describe)	
34. Based on your experience, to what extent do you generally understand the realities local governments impacts of climate change (select one): Fully understand Somewhat understand Do not understand To not understand Do not understand Somewhat understand Do not understand To not understand Somewhat understand Do not understand To not understand Somewhat understand To not un	face when it comes to preparing for the
	Strongly Strongly
	Agree Disagree 5 4 3 2 1
a. Provide a competitive advantage b. Draw attention away from more critical issues	
c. Enhance the visibility of your community	
d. Facilitate coordination among departments	
e. Demonstrate global leadership	

	Strongly Agree 5	4	3	2	Strongly Disagree 1
f. Demonstrate regional leadership					
g. Demonstrate national leadership					100
h. Create stronger ties to national government					
i. Advance economic development					
j. Take resources away from more pressing issues					П
k. Advance environmental justice concerns					
I. Support the agenda of department leading the process					
m. Ensure you keep up with peer cities					
n. Help you be prepared for the future					П
o. Create conflict among departments					
p. Promote urban development					П
q. Reduce impacts from natural hazards					
r. Secure service provision for the longer term					
s. Demonstrate that you are a caring government					
t. Help achieve local government goals					
u. Undermine the agenda of elected officials					
v. Support departmental activities					
w. Improve health conditions					
x. Address the needs of the poor					
y. Advance community livability					
z. Attract funding to pursue community priorities					
aa. Attract funding to pursue climate mitigation					

VI. Location Characteristics

36. From the options below, indicate the top three priorities for your local government in rank order. Put a check in the column marked 1 to indicate the top priority, 2 for the second ranked priority and 3 for the third ranked priority.

	1	2	3
a. Attract business			
b. Promote sustainability			
c. Reduce poverty			
d. Ensure environmental quality			
e. Create jobs			
f. Mitigate greenhouse gas emissions			
g. Reduce impacts of disasters			
h. Improve healthcare			
i. Upgrade slums			
j. Provide housing			
k. Prepare for disasters			
I. Increase social services			
m. Promote equity			
n. Reduce violence			
o. Relocate informal settlers			
p. Reduce crime			
q. Improve community services			
r. Provide better access to municipal services			T

s. Enhance educational systems				
37 To which of the following networks does a	our local government belong or affiliate? (check all			
that apply)	our local government belong or amiliate? (check all			
a. ICLEI				
C. UCLG				
d. National networks for local government				
e. Regional networks for local governmen	its			
☐ f. Regional environmental networks☐ g. International environmental networks				
h. Domestic networks or associations for	local governments			
i. No affiliated with any networks				
☐ j. Other networks (Please describe)				
20 Hen your local management best at the first of the fir				
38. Has your local government hosted any of the following in the past three years? (check all that apply)				
-PP-3/				
a. International conferences or special events that focused on climate change or climate adaptation				
□ b. Regional conferences or special events that focused on climate change or climate adaptation				
□ c. International expositions (non-climate)	,			
d. International sporting events				
39. Which of the following describe the geographic features of your community? (check all that				
apply)				
∐ a. Coastal	☐ d. Delta			
☐ b. Mountain ☐ c. Plains	e. Lowlands			
L. C. Fidilis	☐ f. Highlands			
40. Which one of the following best describes the administrative structure of your local				
government? (select one)	—			
☐ a. Metro	☐ e. Village			
☐ b. City ☐ c. Town	f. Borough			
d. County	g. Indigenous or Tribal			
<u> </u>				