

1	
2	
3	Coupling of A Regional Atmospheric Model (RegCM3) and
4	A Regional Oceanic Model (FVCOM) Over the Maritime Continent
5	
6	
7	
8	Jun Wei <sup>1,2</sup> ; Dongfeng Zhang <sup>2</sup> ; Paola Malanotte-Rizzoli <sup>2,3</sup> ; and Elfatih A B Eltahir <sup>2,3</sup>
9	
10	1: Peking University, Beijing, China
11	2: Singapore-MIT Alliance for Research and Technology, Singapore
12	3: Massachusetts Institute of Technology, Cambridge, MA, USA
13	
14	
15	*Corresponding Author: Jun Wei (junwei@pku.edu.cn)
16	

#### 17 Abstract

We describe a successful coupling of two regional models of the atmosphere and the ocean: 18 Regional Climate Model version 3 (RegCM3) and Finite Volume Coastal Ocean Model 19 (FVCOM). RegCM3 includes several options for representing important processes such as moist 20 21 convection and land surface physics. FVCOM features a flexible unstructured grid that can match complex land and islands geometries as well as the associated complex topography. The coupled 22 23 model is developed and tested over the Southeast Asian Maritime Continent, a region where a 24 relatively shallow ocean occupies a significant fraction of the area and hence atmosphere-ocean 25 interactions are of particular importance. The coupled model simulates a stable equilibrium climate without the need for any artificial adjustments of the fluxes between the ocean and the 26 atmosphere. We compare the simulated fields of sea surface temperature, surface wind, ocean 27 currents and circulations, rainfall distribution, and evaporation against observations. While 28 29 differences between simulations and observations are noted and will be the subject for further investigations, the coupled model succeeds in simulating the main features of the regional climate 30 over the Maritime Continent including the seasonal north-south progression of the rainfall 31 32 maxima and associated reversal of the direction of the ocean currents and circulation driven by the surface wind. Our future research will focus on addressing some of the deficiencies in the coupled 33 34 model (e.g. wet bias in rainfall and cold biases in sea surface temperature) and on investigating the predictability of the regional climate system. 35

36 Keywords: Air-sea interactions, regional atmosphere-ocean coupled model, climate variability,
37 Southeast Asia monsoon

#### **39 1. Introduction and Background**

The maritime continent is highly complex with relatively large ocean coverage and chains 40 41 of islands that cover a range of different sizes. One significant challenge in simulating rainfall over the region is how to represent accurately the atmosphere-ocean-land interactions for a range 42 43 of spatial and temporal scales. Due to the large ocean areas, air-sea feedbacks processes will be important in modeling the climate of this region, since the local sea surface temperature (SST) is 44 45 among the major factors that shape rainfall variability across the Maritime Continent, and is in 46 turn shaped by heat and moisture fluxes. Uncoupled atmospheric models prescribe spatially and 47 temporally interpolated SST fields, while uncoupled ocean models prescribe ocean surface wind stress and heat and moisture fluxes. The latter ones are calculated either using bulk formulae or, 48 more recently, taken from community atmospheric datasets such as the NCEP reanalysis (Kalnay 49 et al., 1996). However, such models configurations ignore the dynamical interactions that occur 50 51 at the atmosphere-ocean boundary. An integrated or coupled atmosphere-ocean model should be capable of simulating more realistic dynamics close to the ocean surface, where atmosphere-ocean 52 53 exchanges take place, at a high frequency determined by the nature of the coupling.

Several research groups were successful in coupling regional models of the atmosphere 54 55 and the ocean in the last decade. Early progress in building a regional coupled model was made within the Baltic Sea Experiment. Gustafsson et al. (1998) coupled a high-resolution atmospheric 56 57 model to a lower solution ice-ocean model with the purpose of improving accuracy of weather forecasting over the Baltic Sea. Hagedorn et al. (2000) coupled the Max Plank Institute (MPI) 58 Regional Atmospheric Model (REMO) to the 3D Kiel ocean model over the same area. The 59 accuracy of the SST simulated by the coupled model was improved, even without any flux 60 correction. Schrum et al. (2003) coupled the same atmospheric model to the 3D Hamburg Ocean 61

Model. Their results showed that the coupled atmosphere–ocean simulations produced betterresults compared to the same atmospheric model simulations forced by prescribed SST.

64 Similar studies were carried over other European domains. Döscher et al. (2002) developed a regional coupled ocean-atmosphere-ice model (RCAO) with the aim of simulating 65 regional coupled climate scenarios over northern Europe. In order to explicitly resolve the two-66 way interactions at the air-sea interface over the Mediterranean region, Somot et al. (2008) 67 coupled the global atmospheric model ARPEGE with the regional ocean model OPAMED. Since 68 69 the ARPEGE spatial resolution was locally increased over the region of interest, the simulations 70 are effectively comparable to a regional model simulation. Their results showed that the climate change signal in the coupled model simulations was generally more intense over large areas, with 71 wetter winters over northern Europe and drier summers over Southern and Eastern Europe. The 72 better simulated Mediterranean SST appears to be one of the factors responsible for such 73 74 differences. In a similar study, RegCM3-MITgcm coupled model has been employed over the Mediterranean area (Artale et al., 2009). The model is able to capture the inter-annual variability 75 of SST and also correctly describes the daily evolution of SST under strong air-sea interaction 76 conditions. On the other hand, coupled models have been used to study extreme weather events. 77 Loglisci et al. (2004) applied their coupled model to study the effect of a "bora" wind event on the 78 79 dynamics and thermodynamics of the Adriatic Sea. They found that accurate heat flux from the sea surface is necessary for better representation of air-sea interactions associated with this high 80 wind event, and for improved simulations of SSTs response. Pullen et al. (2006) developed a 81 regional coupled system comprising the Navy Coastal Ocean Model (NCOM) coupled to the 82 Coupled Ocean–Atmosphere Mesoscale Prediction System (COAMPS) in the same region. They 83 84 focused on the effects of fine-resolution SST on air properties, in particular during the course of a 6 "bora" wind event. They found that the simulated SST after such event had a stabilizing effect on6 the atmosphere, thus reducing atmospheric boundary layer.

87 Coupled models also were used for studying regional atmosphere-ocean interactions in Atlantic and Pacific Oceans using basin-scale models. Huang et al. (2004) applied a regional 88 89 coupling strategy in a global coupled atmosphere–ocean GCM, where active air–sea coupling is allowed only in the Atlantic Ocean basin. This study was able to isolate the effects of local 90 91 feedbacks on the resulting mean SST fields. Xie et al. (2007) constructed the regional 92 atmosphere-ocean coupled system (iROAM) which couples a regional atmospheric model (iRAM) to a basin-scale ocean model in the Pacific, with interactive coupling permitted only in 93 the eastern half of the basin. The model was specifically developed to reduce biases in the eastern 94 tropical Pacific climate, where many coupled GCMs face significant challenges. A major 95 advantage of iROAM is that by using a reasonably high resolution  $(0.5^{\circ})$  in the atmosphere and 96 97 ocean) compared to most coupled GCMs, it can effectively explore the role of local air-sea feedbacks arising from mesoscale ocean processes and land topography while allowing significant 98 internal coupled variability free from the prescribed lateral boundary conditions. 99

Within Asian domains, Aldrian et al. (2005) developed an advanced high-resolution coupled models consisting of REMO atmospheric model and a global MPI ocean model to study the effect of air-sea coupling on Indonesian rainfall. Ratnam et al. (2008) coupled the regional atmospheric model RegCM3 with the regional ocean model POM over the Indian Ocean and found that the coupling considerably improved the simulation of the Indian monsoon rain band both over the ocean and land. A regional coupled model also has been shown to be useful in simulating the East Asia summer monsoon (Ren and Qian 2005) despite the presence a cold drift in SST in their model. Li and Zhou (2010) used a coupled model RegCM3-HYCOM, to improve
the rainfall simulation of the East Asian monsoon.

109 In contrast to the coupling studies in abovementioned maritime continents, fewer coupled regional atmosphere-ocean modeling studies have been carried over the Southeast Asian monsoon 110 region, a region where a relatively shallow ocean occupies a significant fraction of the area and 111 hence atmosphere-ocean interactions are of particular importance. This domain comprises the 112 South China Sea (SCS) and its through-flow (SCSTF) and the Indonesian through-flow (ITF), the 113 114 latter one constituting the major conduit of volume and property transports (heat, salinity, nutrients) from the Western Pacific to the Eastern Indian oceans (Figure 1). Most importantly, the 115 ITF transfers coupled modes of climate variability, such as El Nino-Southern Oscillation (ENSO). 116 The SCSTF and especially the ITF are subdivided into many pathways through both wide and 117 narrow straits separated by the numerous islands of the Indonesian Archipelago. For a review of 118 119 the ITF see Gordon (2005). Surface heat and moisture fluxes are especially important for the SCS which gains heat from the atmosphere at a rate in the range 20 - 50  $W/m^2$  per year and is also a 120 recipient of heavy rainfall with an annual mean value of  $0.2 \sim 0.3$  Sv (1 Sv=10<sup>6</sup> m<sup>3</sup>/s) over the 121 entire basin. On the long time average this heat and freshwater gain is balanced by horizontal 122 advection by the mean circulation. The cold, salty water of the western tropical Pacific entering 123 124 through the Luzon strait in the northern SCS is transformed into warm, fresh water exiting from the southern Mindoro and Karimata straits. For a review of the SCS properties, see Qu et al. 125 (2009). In a word, this complex geometry, together with equally complex atmosphere-ocean 126 interactions, makes the modeling of the climate in this region a very challenging task. 127

#### 129 2. Regional Atmospheric Model: RegCM3

Regional Climate Model (RegCM) was originally developed at the National Center for 130 131 Atmospheric Research (NCAR) and is now maintained by the International Center for Theoretical Physics (ICTP). It is a three-dimensional, hydrostatic, compressible, primitive equation,  $\sigma$ -132 coordinate regional climate model. The dynamical core of RegCM Version 3 (RegCM3) is based 133 134 on the hydrostatic version of the Pennsylvania State University / NCAR Mesoscale Model Version 5 (MM5; Grell et al. 1994) and employs NCAR's Community Climate Model Version 3 135 (CCM3) atmospheric radiative transfer scheme (described in Kiehl et al. 1996). Planetary 136 boundary layer dynamics follow the non-local formulation of Holtslag et al. (1990; described in 137 Giorgi et al. 1993a). Ocean surface fluxes are handled by Zeng's bulk aerodynamic ocean flux 138 139 parameterization scheme (Zeng et al. 1998). The Subgrid Explicit Moisture Scheme (SUBEX) is 140 used to handle large-scale, resolvable, non-convective clouds and precipitation (Pal et al. 2000). Finally, three different convective parameterization schemes are available for representation of 141 non-resolvable rainfall processes (Giorgi et al. 1993b): Kuo (Anthes 1977), Grell (Grell 1993) 142 with Fritsch-Chappell (Fritsch and Chappell 1980) or Arakawa-Schubert (Grell et al. 1994) 143 closures, and Emanuel (Emanuel 1991; Emanuel and Zivkovic-Rothman 1999). Further details of 144 the developments and description of RegCM3 are available in Pal et al. (2007). 145

To represent the land surface physics, RegCM3 is coupled to the land surface scheme Biosphere Atmosphere Transfer Scheme Version 1e (BATS1e; described in Dickinson et al. 1993). BATS1e uses a one-layer canopy with two soil layers and one snow layer to perform eight major tasks, including: calculation of soil, snow or sea-ice temperature in response to net surface heating, calculation of soil moisture, evaporation and surface and groundwater runoff, calculation of the plant water budget, including foliage and stem water storage, intercepted precipitation and transpiration, and calculation of foliage temperature in response to energy-balance requirements and consequent fluxes from the foliage to canopy air (Dickinson et al. 1993). Additional modifications have been made to BATS1e to account for the subgrid variability of topography and land cover as described in Giorgi et al. (2003).

Winter et al. (2009) coupled RegCM3 to an additional land surface scheme - the 156 Integrated Biosphere Simulator (IBIS; described in Foley et al. 1996). IBIS uses a hierarchical, 157 158 modular structure to integrate a variety of terrestrial ecosystem phenomena. IBIS contains four modules, operating at different time steps, and includes a two-layer canopy with six soil layers 159 and three snow layers. The four modules simulate processes associated with the land surface 160 (surface energy, water, carbon dioxide and momentum balance), vegetation phenology (winter-161 deciduous and drought-deciduous behavior of specific plant types in relation to seasonal climatic 162 163 conditions), carbon balance (annual carbon balance as a function of gross photosynthesis, 164 maintenance respiration and growth respiration), and vegetation dynamics (time-dependent changes in vegetation cover resulting from changes in net primary productivity, carbon allocation, 165 biomass growth, mortality and biomass turnover for each plant functional type) (Foley et al. 166 1996). 167

168

169 **3. Regional Ocean Model: FVCOM** 

FVCOM is a three dimensional, free surface, primitive equation, finite volume coastal ocean model, originally developed by Chen et al. (2003). The model adopts a non-overlapping unstructured (triangular) grid and finite volume method. The unstructured grid combines the

advantages of finite-element methods for geometric flexibility and finite-difference methods for 173 174 computational efficiency. FVCOM solves the momentum and thermodynamic equations using a 175 second order finite-volume flux discrete scheme that ensures mass conservation on the individual control volumes and the entire computational domain (Chen et al., 2006a,b). The Mellor and 176 Yamada level 2.5 turbulent closure scheme is used for vertical eddy viscosity and diffusivity 177 (Mellor and Yamada, 1982) and the Smagorinsky turbulence closure for horizontal diffusivity 178 179 (Smagorinsky, 1963). The heat fluxes are assumed to occur at the ocean surface and the short wave radiation penetrated into the water column is approximated following Simpson and Dickey 180 181 (1981). For details of FVCOM see http://fvcom.smast.umassd.edu/FVCOM/index.html.

In order to better represent the oceanic processes in the Southeast Asian region, the 182 flexible unstructured grid is capable of designing a model domain with varied resolutions 183 according to its complex geometry and topography. Our model domain covers the entire SCS, the 184 185 western Pacific and the eastern Indian Ocean (Figure 3), with two open boundaries at the Pacific 186 Ocean and the Indian Ocean respectively. This regional domain with open boundaries is chose to be large enough to prevent possible boundary effects, such as spurious wave reflection, from 187 affecting the interior circulation. The grid contains 67,716 non-overlapping triangular cells and 188 189 34,985 nodes. The sigma coordinate is used in the vertical and is configured with 31 layers (finer 190 at surface and coarser at depth), which provides a vertical resolution of <1 m near surface on the shelf, and about 10 m in the open ocean. The water depth at each grid point is interpolated from 191 ETOPO5 (Figure 1). The horizontal resolution is ~10 km along the coast of the islands of the 192 Indonesian Archipelago, ~50 km in the central SCS and ~200 km along the open boundaries. 193

For ocean-only simulations, such as during the spin-up phase, FVCOM is embedded with one way coupling in the global ocean MITgcm (Hill and Marshall, 1995; Marshall et al., 1997)

which is a component of the MIT Integrated Earth System Model. The latter one comprises the 196 ocean GCM, a primitive equation, three-dimensional model with the resolution of  $2.5^{\circ} \times 2^{\circ}$  and 22 197 vertical z-levels (layer thickness ranging from 10 m to 765 m). It includes a prognostic carbon 198 199 model. The atmosphere is represented by a statistical-dynamical two-dimensional (zonally averaged) model with the resolution of 4° and 11 vertical z-levels. Land, sea-ice and an active 200 201 chemistry model are also included. Flux adjustment is also used by restoring the SST to observations. A "spreading" technique is used for the two-dimensional air-sea heat flux to 202 reconstruct the longitudinal dependence, i.e.  $dQ/dT^*delta(T)$ , where Q, latitude-dependent Q(y) 203 only, is the modeled calculated heat flux, and delta(T) is the difference of local temperature from 204 205 the zonal mean. Four decade simulations (60s-70s-80s-90s) are available from the MITgcm with the full fields of currents, temperature, salinity and sea level. The atmospheric model provides the 206 surface heat and moisture fluxes. The wind stress however is given by the NCEP reanalysis with 207 6-hourly date for the entire period 1948-2000. The complex spin-up procedure of the MITgcm can 208 be found in http://mitgcm.org/public/r2 manual/latest/. 209

210

# 211 4. Coupling of RegCM3 and FVCOM

Here we developed a regional coupled atmosphere–ocean model in order to investigate the climate over the Maritime Continent. The coupled model is developed using RegCM3 as the atmospheric component and FVCOM as the oceanic component. The two models are coupled using the OASIS3 software (http://www.cerfacs.fr/globc/software/oasis/oasis.html), which allows flexible coupling for different model configurations and is suitable to run on massively parallel computers. 218 In order to keep synchronization of RegCM3 and FVCOM, the two models are integrated 219 forward simultaneously and OASIS3 interpolates and transfers the coupling fields of different resolution from the source grid to the target gird at a specified interval. At run time, RegCM3 and 220 FVCOM are respectively driven by lateral boundary forcing. In FVCOM, lateral forcing includes 221 SSH, temperature, and salinity along the open boundaries which are interpolated from simulations 222 of the MITgcm. In RegCM3, the lateral forcing includes temperature, winds, relative humidity 223 224 along the boundaries, interpolated from the European Centre for Medium-range Weather Forecasts (ECMWF) 40-year Re-Analysis (ERA40) dataset (Uppala et al. 2005). The coupling 225 226 fields at the atmosphere-ocean interface were calculated in each model and exchanged through the 227 coupler, that is, RegCM3 supplies the solar heat fluxes, latent heat flux, sensible heat flux, surface 228 wind to FVCOM, and FVCOM provides SST for RegCM3. The timing of the exchange is shown in Figure 2. While at each time step the coupler is automatically requesting the coupling fields 229 230 from the individual model, the exchange is actually taken place at every 6 hours, which is the same frequency at which lateral boundary conditions are provided to the atmospheric model. The 231 details of the coupling process are described in the Appendix. 232

233

# **5.** Results of Simulations using the Coupled Model

In order to investigate the decadal variability of climate over the Southeast Asian monsoon region (Figure 1), the RegCM3-FVCOM coupled model was integrated for from 1960-1980 and the results are validated with observations. The first decade simulation (60s) is for model spin-up and the results of the second decade (70s) are summarized and presented.

The coupled model was first spun up from 1960 to 1969. For RegCM3, the SST is 241 initialized with GISST data and then updated every 6 hours by SST obtained from FVCOM. 242 FVCOM started from rest condition with initial temperature and salinity from the MITgcm 243 simulation which is the first weekly average field of 1960. Figure 4 shows such the initial 244 condition for SST with the MITgcm resolution  $(2.5^{\circ} \times 2^{\circ})$  evident. The temperature (salinity) at the 245 boundaries is relaxed to the temperature (salinity) of the MITgcm simulation. Heat fluxes were 246 updated every 6 hours from RegCM3. To establish a reasonable atmosphere-ocean interface 247 thermal structure a flux correction is used during the model spin-up for the decade of the 60s. 248 Specifically, the SST is relaxed towards the SODA SST analysis (Carton et. al 2000a,b) with a 249 depth dependent nudging factor, ranging from  $0.2 \text{ s}^{-1}$  in shallow water and decreasing to  $0.001 \text{ s}^{-1}$ 250 in the open ocean. Thus in the open ocean the flux correction is negligible and the RegCM3 heat 251 fluxes dominate. In shallow water the flux correction dominates to keep the ocean model from 252 drifting from the climatology of the 60s. Furthermore, to obtain a stable reversal monsoon 253 circulation, sea level along the open boundaries at the Pacific and Indian Oceans is forced 254 255 perpetually by 10-year averages of weekly SSHA simulation from the MITgcm, and the surface wind is gradually ramped up and updated every 6 hours from RegCM3. 256

257

258 *5.2 Results of the 70s:* 

The simulation of the 70s was restarted from the model conditions saved at the end of the 60s. The model configuration of the 70s is the basically same as of the 60s except two changes. First, differently from the spin-up phase, no flux correction is used in the simulation of the 70s, hence in FVCOM relaxation of the SST to the observations is turned off. Second, the sea level at open boundaries is driven by real time SSHA of the 70s.

The Southeast Asian monsoon circulation, especially in the South China Sea, is driven by 264 surface wind and boundary sea level pressure gradient. Figure 5 show decadal average (70s) 265 surface circulations for winter (DJF) and summer (JJA) seasons. The coupled model successfully 266 reproduces the seasonal reversal of the SCSTF associated with the seasonality of the Southeast 267 268 Asian monsoon, North-Eastern in winter and South-Western in summer (Figure 6, also see Qu, 2000). Figures 7a-b show 10-year time series of domain average wind from RegCM3 and surface 269 eddy kinetic energy (EKE) from FVCOM. The wind speed clearly shows two peaks in each 270 annual cycle associated with the winter northeast monsoon and the summer southwest monsoon. 271 The surface EKE shows a very stable annual cycle throughout the 10-year simulation and is 272 273 highly correlated with the wind fluctuation, which implies the strong monsoon-driven southwestward flow in winter and northeastward flow in summer (Figure 5). Similarly, the 274 domain average net heat flux also shows a clear cyclicity (Figure 7c). There are two maxima 275 occurred in summer when the incidence of the solar radiation is perpendicular to the earth equator 276 due to earth revolution. Since the SST relaxation is turned off, the ocean SST in the 70s 277 278 simulation is mainly driven by the heat fluxes as evident from Figure 7d.

279

280 5.3 Comparison with observations:

To assess the ability of the coupled model, here we compared the model results withreanalysis and observations. Figure 8 compares the model simulations of SST, the SODA SST

reanalysis and their difference. The overall SST patterns show important similarities, with a band 283 of cold Pacific water protruding into the northern SCS and a band of warm water over the 284 Indonesian archipelago and the ITF in (boreal) winter. The SST pattern is reversed in summer. 285 However, the model SST is overall colder than SODA SST by 2 to 4 degrees (Fig. 8e-f), except 286 for the southern coast of China where the model SST is warmer than SODA SST in winter. We 287 remind that the initial condition for the coupled simulation represents the realistic SST at the end 288 289 of the 60s as in the spin-up phase the SST is relaxed to the SODA field. Therefore, during the coupled simulation without the flux correction the ocean SST drifts away from the SODA 290 291 reanalysis producing a colder ocean. There are many possible reasons for this discrepancy, the 292 most plausible one being that the water masses continuously prescribed at the open boundaries 293 from the MITgcm do not provide a correct distribution of the water masses. In fact, Figure 8e-f shows consistently rather colder waters all along the open model boundaries with respect to the 294 295 SODA reanalysis, both in winter and summer. This is particularly true for the entire western Pacific and the Eastern Indian oceans in summer. As the major advective pathways are from the 296 Pacific to the Indian both through the SCSTF and the ITF, over 10 years the advection of rather 297 298 colder waters would affect the entire interior of the domain.

Figure 9 compares the seasonal precipitation of model simulation with TRMM observations. In winter (DJF), the simulation is able to reproduce the basic climatology over the domain, but with more precipitation over the maritime continent mountainous regions and less precipitation over some oceanic areas of northern hemisphere. In summer (JJA), a systematic overestimation of precipitation occurs in the northern hemisphere and underestimation is found in the southern part of domain, associated with the passages of rain belts. Station-based comparisons are showed in Figure 10. It can be seen that the model capture the annual cycles of precipitation at

Hong Kong and Darwin, with slightly more precipitation in dry season and obviously more 306 precipitation in wet season, suggesting that the extent of overestimation tends to relate the 307 movement of rain belts. Observed rainfall values highlight small differences at Singapore in each 308 309 month and similar characteristic is seen in the model simulation, but the somewhat higher values from Oct. to Dec. in observation and lower values from Jun. to Aug. in simulation are actually 310 different enough to degrade our comparison. In general, the model can reproduce reasonably well 311 312 observed pattern of precipitation, despite it seemingly produces too much precipitation at the monsoon rain belt, especially over the mountainous regions. These biases may be due to the 313 314 deficiency of the coupled model in producing more convective precipitation.

We also compare the simulated evaporation against the available observational TRMM datasets (Figure 11). Generally evaporation is larger in cold season than in warm season over the air-sea interface due to strong wind which is successfully captured in our model simulations. Statistically discrepancies between simulations and observations are that the model exhibits positive anomalies over the air-sea interface in both winter and summer, which may be one of the reasons for the overestimation of precipitation.

321

# 322 6. Discussion, Summary, and Future Research

In order to investigate the regional climate over the Maritime Continent, this study presents a newly-developed regional atmosphere-ocean coupled model. The coupled model adopts RegCM3 as the atmospheric component and FVCOM as the oceanic component, using the OASIS3 as the coupler. RegCM3 includes several options for representing important processes such as moist convection and land surface physics. FVCOM features a flexible unstructured grid that can match the complex geometries of the lands and the system of islands comprised in the region as well as its complex topography. To keep synchronization of RegCM3 and FVCOM, the two models are integrated forward simultaneously. At run time, RegCM3 and FVCOM are respectively driven by lateral boundary forcing and OASIS3 interpolates and transfers the coupling fields of different resolution between the two models. RegCM3 supplies the solar heat fluxes, latent heat flux, sensible heat flux, surface wind to FVCOM, while FVCOM provides SST for RegCM3.

335 The coupled model is developed and tested over the Southeast Asian Maritime Continent, a region where a relatively shallow ocean occupies a significant fraction of the area and hence 336 atmosphere-ocean interactions are of particular importance. The coupled model simulates a stable 337 equilibrium climate over a decade (1970-1980) without the need for any artificial adjustments of 338 the fluxes between the ocean and the atmosphere. We compare the simulated fields of sea surface 339 340 temperature, surface wind, ocean currents and circulation, rainfall distribution, and evaporation against observations. The coupled model reproduces an overall realistic pattern of SST, even 341 though colder than the SODA reanalysis, the major features of the monsoon circulation, as well as 342 rainfall and evaporation distributions over the region. The model results are in reasonable 343 agreement with the observed atmosphere/ocean climatology, suggesting that the coupled model 344 345 successfully captures the decadal variability of climate over this region. While differences between simulations and observations are noted and will be the subject for further investigations, 346 the coupled model succeeds in simulating the main features of the regional climate over the 347 Maritime Continent including the seasonal north-south progression of the rainfall maxima and 348 associated reversal of the direction of the ocean currents and circulation driven by the surface 349 350 monsoons. The comparison with observations shows some differences over the mountainous

regions, especially for the rainfall simulation (Figure 9). These biases may be due to the deficiency in the representation of clouds and moist convection. For the ocean component, the discrepancies observed in the model SST, colder than the observed ones, may be due to an incorrect representation of the water masses at the open ocean boundaries interpolated from the MITgcm simulations. Our future research will focus on addressing some of the stated deficiencies in the coupled model (e.g. wet bias in rainfall and cold biases in SST) and on investigating the predictability of the regional climate system.

#### 359 Appendix

360 The coupling process includes the following steps:

361 OASIS3 Configuration and Auxiliary Files:

362 OASIS3 needs configuration and auxiliary files configuring a particular coupled run, describing coupling and I/O field names and units, defining the grids of the models, containing the field 363 364 coupling initial data values or restart data values, as well as a number of other auxiliary data files used in specific transformations. The configuration file *namecouple* contains all users' defined 365 information necessary to configure a particular run, such as the number of models being coupled, 366 the number of fields, coupling period, transformation and interpolation methods, etc. The text file 367 cf\_name\_table.txt contains a list of standard names and associated units identified with an index. 368 This information will be used by OASIS3 for its log messages to *cplout* file. In this study, we 369 370 configured two component models (RegCM3 and FVCOM) and 5 coupling fields (SST, solar heat fluxes, non-solar heat fluxes, zonal wind and meridional wind). 371

# 372 Definition of Grid Data Files

Before running the coupled model, the coupler OASIS3 requires grid information of each component model which can be created as netCDF files by users. The grid data files to be created are *grids.nc*, *mask.nc* and *areas.nc*. *grids.nc* contains the component model grids, longitude and latitude. The model grids can be any type of mesh, structured or unstructured. In this study, the atmosphere model (RegCM3) used structured grid (rectangular grid) while the ocean model (FVCOM) used unstructured grid (triangular grid). *masks.nc* contains the masks of atmosphere and ocean for each component model. *areas.nc* contains mesh surfaces for the component modelgrids.

## 381 *Coupler Initialization*

382 The subroutine *inicma* initializes and defines the variables returned by the coupler (SST) and 383 given to the coupler (solar heat fluxes, non-solar heat fluxes, zonal wind, meridional wind).

# 384 *Sending the coupling fields:*

This process is executed by calling the *intocpl* subroutine. RegCM3 supplies the solar heat fluxes (short-wave and long-wave fluxes), non-solar heat fluxes (latent and sensible heat fluxes), zonal wind (10 m) and meridian wind (10 m) and FVCOM supplies ocean SST to the coupler. While this subroutine is called by each component model at each time step, the sending is actually performed only if the time obtained by adding the fields lag to the argument date corresponds to the time at which it should be activated.

## 391 *Receiving the coupling fields:*

This process is executed by calling the *fromcpl* subroutine. RegCM3 obtains SST and FVCOM obtains solar and non-solar heat fluxes, and wind stress from the coupler. Similarly, the receiving action is actually performed at the specific time at which it should be activated.

## 395 *Transformations and Interpolations:*

396 Different transformations and interpolations are available in OASIS3 to adapt the coupling fields 397 from the source model grid to the target model grid. In this study, we performed a time 398 transformation on all coupling fields, that is, before sending to the coupler, the coupling fields were averaged over the previous coupling period. The interpolation techniques are from the software of SCRIP (http://climate.acl.lanl.gov/software/SCRIP). A conservative remapping scheme is used for solar and non-solar heat flux fields, which keeps the context fields conserved over the area-integrated field. As for other fields (SST and wind stress), a method of distanceweighted average of nearest-neighbor point interpolation is used.

# 404 *Coupling restart file*

405 When restart, the coupling fields have to be read from the coupling restart file on their source grid.

406 In our coupled model, the routine of *prism\_put\_restart\_proto* writes restart fields at the beginning

407 of every month. The restart file is named *flda.nc* for RegCM3 and *fldo.nc* for FVCOM.

# 408 Termination

All processes must terminate the coupling by calling *quitcpl* subroutine. This will ensure a propertermination of all processes in the coupled model communicator.

# Acknowledgment

This study was supported by the Singapore National Research Foundation (NRF) through
the Singapore-MIT Alliance for Research and Technology (SMART) and Center for
Environmental Sensing and Monitoring (CENSAM) and by National Natural Science Foundation
of China (NSFC).

417

#### 419 **References**

- Aldrian E, Sein D, Jacob D, Du<sup>-</sup>menil Gates L, Podzun R, 2005: Modeling Indonesian rainfall with a coupled
  regional model. *Clim Dyn* 25:1–17
- 422 Anthes, R. A, 1977: A cumulus parameterization scheme utilizing a one-dimensional cloudmodel, *Mon. Wea.*423 *Rev.*, 105, 270-286.
- 424 Artale, V., Calmanti, S., and Carillo, A., et al., 2009: An atmosphere–ocean regional climate model for the
  425 Mediterranean area: assessment of a present climate simulation. *Clim Dyn*, doi 10.1007/s00382-009-0691-8
- 426 Carton, J.A., G. Chepurin, X. Cao, and B.S. Giese, 2000a: A Simple Ocean Data Assimilation analysis of the
  427 global upper ocean 1950-1995, Part 1: methodology, *J. Phys. Oceanogr.*, 30, 294-309.
- 428 Carton, J.A., G. Chepurin, and X. Cao, 2000b: A Simple Ocean Data Assimilation analysis of the global upper
  429 ocean 1950-1995 Part 2: results, *J. Phys. Oceanogr.*, 30, 311-326.
- Chen, C., H. Liu and R. C. Beardsley, 2003: An unstructured, finite-volume, three-dimensional, primitive
  equation ocean model: application to coastal ocean and estuaries. *J. Atmos. Oceanic Tech.*, 20, 159-186.
- 432 Chen, C., R.C. Beardsley, and G. Cowles, 2006a: An unstructured grid, finite-volume coastal ocean model-
- FVCOM user manual, School for Marine Science and Technology, University of Massachusetts Dartmouth,
  New Bedford, Second Edition. *Technical Report SMAST/UMASSD-06-0602*, 318pp.
- Chen, C, R. C. Beardsley and G. Cowles, 2006b: An unstructured grid, finite-volume coastal ocean model
  (FVCOM) system. Special Issue entitled "Advance in Computational Oceanography", *Oceanography*, 19(1), 78-89.
- Dickinson, R., A. Henderson-Sellers, and P. Kennedy, 1993: Biosphere Atmosphere Transfer Scheme (BATS)
  version 1e as coupled to the NCAR Community Climate Model, NCAR Technical Note NCAR/TN387+STR, National Center for Atmospheric Research, Boulder, Colorado.
- Döscher, R., U. Willén, C. Jones, A. Rutgersson, H. E. M. Meier, U. Hansson, and L. P. Graham, 2002: The
  development of the regional coupled ocean–atmosphere model RCAO. *Boreal Environ. Res.*, 7, 183–192.

- Emanuel, K. A., 1991: A scheme for representing cumulus convection in large-scale models, *J. Atmos. Sci.*,
  444 48(21), 2313-2335.
- Emanuel, K. A., and M. Zivkovic-Rothman, 1999: Development and evaluation of a convection scheme for use
  in climate models, *J. Atmos. Sci.*, 56, 1766-1782.
- Foley, J. A., I. C. Prentice, N. Ramankutty, S. Levis, D. Pollard, S. Sitch, and A. Haxeltine, 1996: An integrated
  biosphere model of land surface processes, terrestrial carbon balance, and vegetation dynamics, Global
  Biogeochem. *Cycles*, 10(4), 603-628.
- 450 Fritsch, J. M., and C. F. Chappell, 1980: Numerical prediction of convectively driven mesoscale pressure
  451 systems. Part 1: Convective parameterization, *J. Atmos. Sci.*, 37, 1722-1733.
- Giorgi, F., M. R. Marinucci, and G. T. Bates, 1993a: Development of a second-generation regional climate
  model (RegCM2). Part I: Boundary-layer and radiative transfer processes, *Mon. Wea. Rev.*, 121, 27942813.
- Giorgi, F., M. R. Marinucci, and G. T. Bates, 1993b: Development of a second-generation regional climate
  model (RegCM2). Part II: Convective processes and assimilation of lateral boundary conditions, *Mon. Wea. Rev.*, 121, 2814-2832.
- Giorgi, F., R. Francisco, and J. S. Pal, 2003: Effects of a sub-grid scale topography and land use scheme on the
  simulation of surface climate and hydrology. Part I: Effects of temperature and water vapour
  disaggregation, *J. Hydrometeorol.*, 4, 317-333.
- 461 Gordon, et.al., 2005: Oceanography of the Indonesian seas and their through-flow. 18, 4, 14-27
- 462 Grell, G. A., 1993: Prognostic evaluation of assumptions used by cumulus parameterizations, *Mon. Wea. Rev.*,
  463 121, 764-787.
- Grell, G. A., J. Dudhia, and D. R. Stauffer, 1994: Description of the fifth generation Penn State/NCAR
  Mesoscale Model (MM5), *Technical Report TN-398+STR*, National Center for Atmospheric Research,
  Boulder, Colorado.
- Gustafsson, N., L. Nyberg, and A. Omstedt, 1998: Coupling of a high-resolution atmospheric model and an
  ocean model for the Baltic Sea. *Mon. Wea. Rev.*, 126, 2822–2846.

- Hagedorn, R., A. Lehmann, and D. Jacob, 2000: A coupled high resolution atmosphere–ocean model for the
  BALTEX region. *Meteor. Z.*, 9, 7–20.
- 471 Hill, C. and J. Marshall, 1995: Application of a Parallel Navier-Stokes Model to Ocean Circulation in Parallel
  472 Computational Fluid Dynamics In Proceedings of Parallel Computational Fluid Dynamics:
  473 Implementations and Results Using Parallel Computers, 545-552.
- Holtslag, A. A. M., E. I. F. de Bruijn, and H.-L. Pan, 1990: A high-resolution air mass transformation model for
  short-range weather forecasting, *Mon. Wea. Rev.*, 118, 1561-1575.
- 476 Huang, B., P. S. Schopf, and J. Shukla, 2004: Intrinsic ocean–atmosphere variability of the tropical Atlantic
  477 Ocean. J. Climate, 17, 2058–2077.
- Kalnay, E., M.Kanamitsu et al., 1996: The NCEP/NCAR 40-year Reanalysis Project, *Bull.Amer.Meteor.Soc.*,
  77,437-471
- 480 Kiehl, J. T., J. J. Hack, G. B. Bonan, B. A. Boville, B. P. Breigleb, D. L. Williamson, and P. J. Rasch, 1996:
  481 Description of the NCAR Community Climate Model (CCM3), *NCAR Technical Note TN-420+STR*,
  482 [Available online at: http://www.cgd.ucar.edu/cms/ccm3/TN-420/].
- Li T, Zhou G Q., 2010, Preliminary results of a regional air-sea coupled model over East Asia. *Chinese Sci Bull*,
  55, doi: 10.1007/s11434-010-0071-0
- Loglisci, N., and Coauthors, 2004: Development of an atmosphere–ocean coupled model and its application
  over the Adriatic Sea during a severe weather event of bora wind. *J.Geophys. Res.*, 109, D01102,
  doi:10.1029/2003JD003956.
- Marshall, J., C. Hill, L. Perelman, and A. Adcroft, 1997: Hydrostatic, quasi-hydrostatic, and nonhydrostatic
  ocean modeling. *J. Geophys. Res.*, 102(C3), 5733-5752.
- 490 Mellor, G. L. and T. Yamada (1982), Development of a turbulence closure model for geophysical fluid problem.
  491 *Rev. Geophys. Space Phys.*, 20, 851-875.
- Pal, J. S., and Coauthors, 2007: Regional climate modeling for the developing world: The ICTP RegCM3 and
   RegCNET, *Bull. Am. Meteorol. Soc.*, 88, 1395-1409.

- Pal, J. S., E. E. Small, and E. A. B. Eltahir, 2000: Simulation of regional-scale water and energy budgets:
  Representation of subgrid cloud and precipitation processes within RegCM, *J. Geophys. Res. (Atmos.)*,
  105(D24), 579-594.
- 497 Pullen, J., J. D. Doyle, and R. P. Signell, 2006: Two-way air–sea coupling: A study of the Adriatic. *Mon. Wea.*498 *Rev.*, 134, 1465–1483.
- 499 Qu, T. 2000: Upper-Layer Circulation in the South China Sea, J. Phys. Oceanogr., 30, 1450-1460
- Qu, T., Y.T.Song and T.Yamagata, 2009: An introduction to the South China Sea through-floe: its dynamics,
  variability and application for climate, *Dyn.Atnos.Oceans*, 47, 3-14
- Ratnam JV, Giorgi F, Kaginalkar A, Cozzini S, 2008: Simulation of the Indian monsoon using the RegCM3–
   ROMS regional coupled model. *Clim Dyn.* doi:10.1007/s00382-008-0433-3
- Ren, X., and Y. Qian, 2005: A coupled regional air-sea model, its performances and climate drift in simulation
  of the east Asian summer monsoon in 1998. *Int. J. Climatol.*, 25, 679–692.
- Schrum, C., U. Hübner, D. Jacob, and R. Podzun, 2003: A coupled atmosphere/ice/ocean model for the North
  Sea and Baltic Sea. *Climate Dyn.*, 21, 131–141.
- Simpson, J. J. and T. D. Dickey, 1981: Alternative parameterizations of downward irradiation and their
   dynamical significance. *J. Phys. Oceanogr.*, 11, 876-882
- Smagorinsky, J. (1963), General circulation experiments with the primitive equations, I. The basic experiment.
   *Mon. Wea. Rev.*, 91, 99–164.
- Somot S, Sevault F, De´que´ M, Cre´pon M, 2008: 21st Century climate change scenario for the Mediterranean
  using a coupled atmosphere-ocean regional climate model. *Glob Planet Change* 63(2–3):112–126
- 514 Uppala, S. M., and Coauthors, 2005: The ERA-40 Re-Analysis, Q. J. R. Meteorol. Soc., 131, 2961-3012.
- 515 [Dataset available online at: http://www.ecmwf.int/research/era/do/get/era-40]. Valcke S, Redler R, 2006:
  516 OASIS3 User guide. PRISM support initiative report no 4, 60 pp
- Xie, S.-P., and Coauthors, 2007: A regional ocean–atmosphere model for eastern Pacific climate: Toward
  reducing tropical biases. *J. Climate*, 20: 1504–1522, doi: 10.1175/JCLI4080.1

519 Zeng, X., M. Zhao, and R. E. Dickinson, 1998: Intercomparison of bulk aerodynamic algorithms for the
520 computation of sea surface fluxes using TOGA COARE and TAO data, *J. Climate.*, 11, 2628-2644.

#### 522 List of Figures

- Figure 1: RegCM3 domain and bathymetry with the 50, 200 and 4000 m isobaths shown as thicker lines, and 1000, 2000 and 3000 m isobaths shown as thin lines. The major straits are marked.
- Figure 2: Schematic of RegCM3-OASIS3-FVCOM coupled model. The coupling fields (F1: SST;
   F2: wind and heat fluxes) are exchanged every 6 hours.
- Figure 3: FVCOM unstructured grids which contain 67,716 non-overlapping triangular cells and
  34,985 nodes.
- Figure 4: The weekly average of January  $1^{st} \sim 7^{th}$ , 1960 from MITgcm simulations, which is used
- as initial SST in the coupled model. The MITgcm SST has resolution of 2.5°×2° and
  was interpolated to FVCOM grids.
- Figure 5: 1970-1980 average surface circulations for (a) winter and (b) summer. The vectors
  shown are extracted from FVCOM grid every 100 km.
- Figure 6: 1970-1980 average net heat flux and wind speed for (a) winter and (b) summer. The
  wind vectors shown are extracted from FVCOM grid every 50 km.
- Figure 7: Time series (1970-1980) of domain average (a) wind speed, (b) eddy kinetic energy, (c)
  SST and (d) net heat flux from 1970s simulations. Wind speed and net heat flux are
  calculated in RegCM3, and eddy kinetic energy and SST are calculated in FVCOM.
- Figure 8: Comparison of model simulations of SST (a-b), SODA SST reanalysis (c-d). The
  differences (e-f) are calculated as (Model SODA).

Figure 9: Comparison of precipitation between model simulations (1970s average) and TRMM
data (average of 1998~2011). (a) Model winter average precipitation, (b) Model
summer average precipitation, (c) Observed winter average precipitation and (d)
Observed summer average precipitation.

Figure 10: Comparison of annual cycle between observed and model precipitation at three locations: Hong Kong, Singapore and Darwin. The observations and simulations are spatial average within a  $5^{\circ} \times 5^{\circ}$  box centered at the three locations respectively. Figure 11: Comparison of evaporation between model simulations (1970s average) and TRMM
data (average of 1998~2011). (a) Model winter average evaporation, (b) Model
summer average evaporation, (c) Observed winter average evaporation and (d)
Observed summer average evaporation.

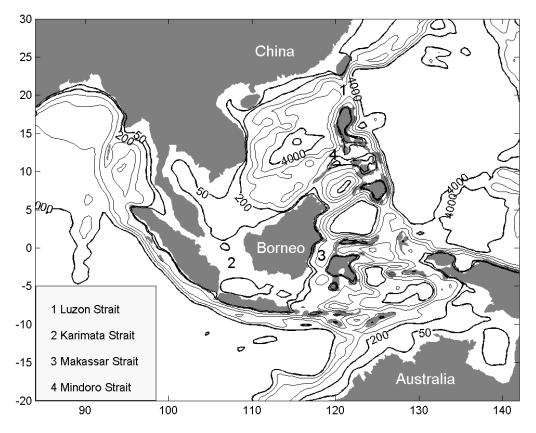
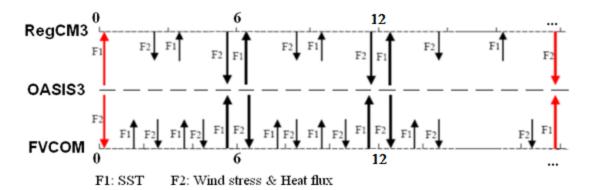


Figure 1: RegCM3 domain and bathymetry with the 50, 200 and 4000 m isobaths shown as thicker lines, and 1000, 2000 and 3000 m isobaths shown as thin lines. The major straits are marked.

554



560

561 Figure 2: Schematic of RegCM3-OASIS3-FVCOM coupled model. The coupling fields (F1: SST;

562 F2: wind and heat fluxes) are exchanged every 6 hours.

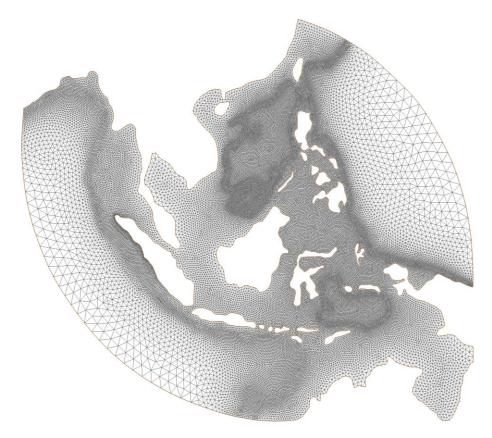


Figure 3: FVCOM unstructured grids which contain 67,716 non-overlapping triangular cells and34,985 nodes.

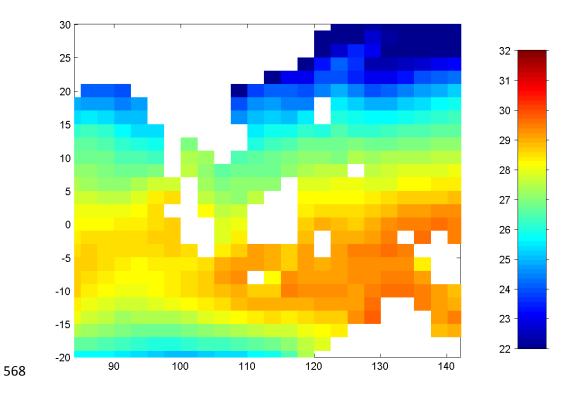


Figure 4: The weekly average of January  $1^{st} \sim 7^{th}$ , 1960 from MITgcm simulations, which is used as initial SST in the coupled model. The MITgcm SST has resolution of  $2.5^{\circ} \times 2^{\circ}$  and was interpolated to FVCOM grids.

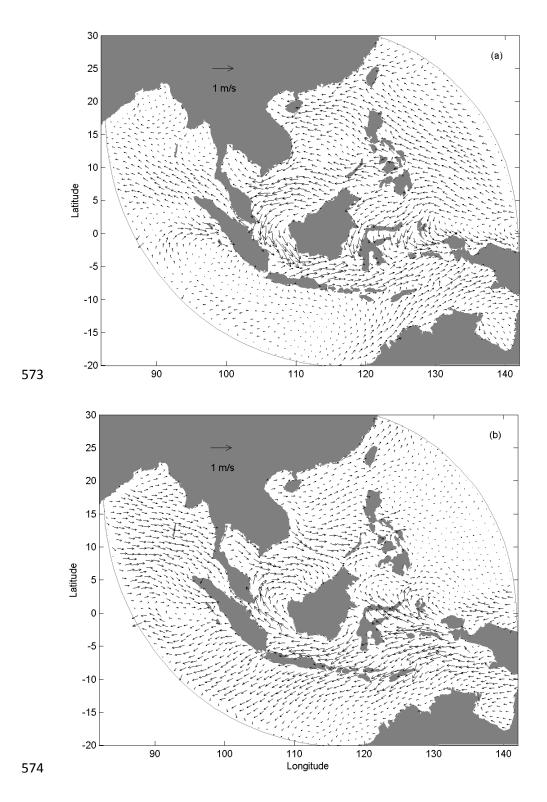
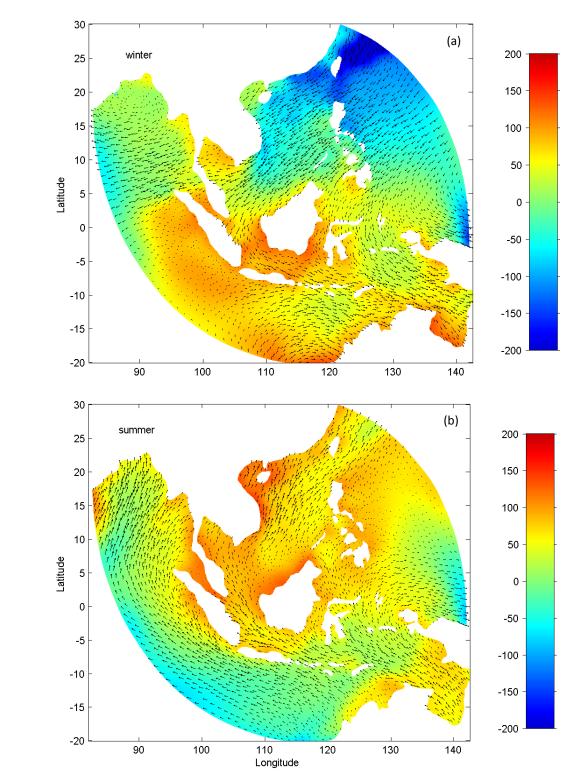


Figure 5: 10-year average surface circulations for (a) winter and (b) summer. The vectors shown
are extracted from FVCOM grid every 100 km.



577



Figure 6: 10-year average net heat flux and wind speed for (a) winter and (b) summer. The wind
vectors shown are extracted from FVCOM grid every 50 km.

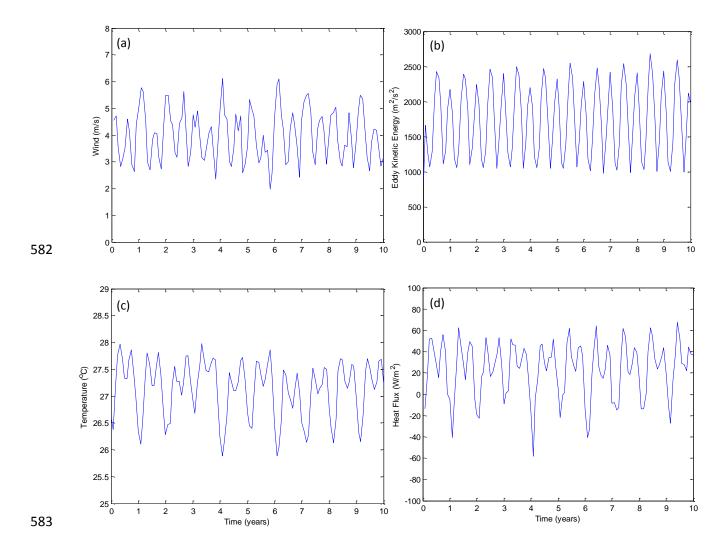


Figure 7: Time series (1970-1980) of domain average (a) wind speed, (b) eddy kinetic energy, (c)
SST and (d) net heat flux from 1970s simulations. Wind speed and net heat flux are calculated in
RegCM3, and eddy kinetic energy and SST are calculated in FVCOM.

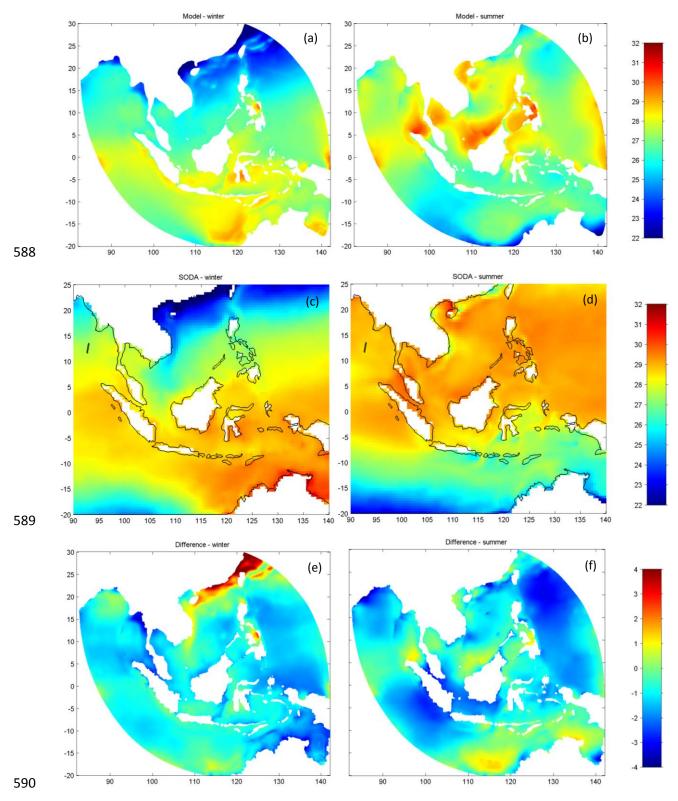


Figure 8: Comparison of model simulations of SST (a-b), SODA SST reanalysis (c-d). The
differences (e-f) are calculated as (Model – SODA).

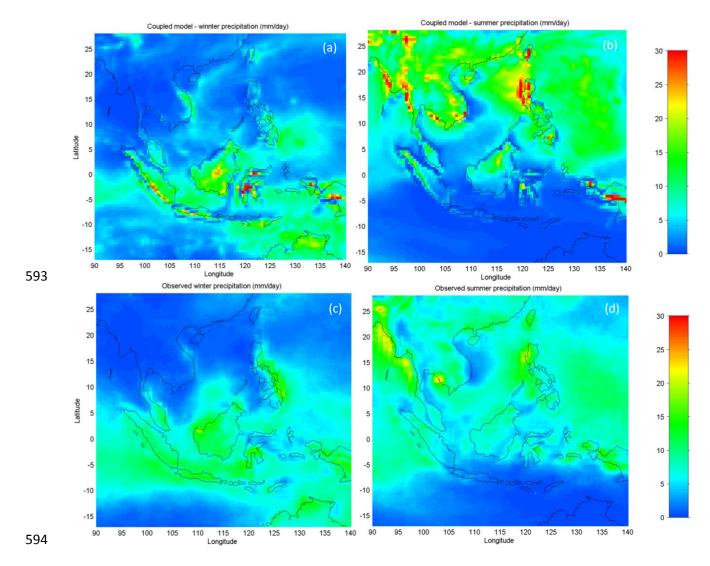


Figure 9: Comparison of precipitation between model simulations (1970s average) and TRMM
data (average of 1998~2011). (a) Model winter average precipitation, (b) Model summer average
precipitation, (c) Observed winter average precipitation and (d) Observed summer average
precipitation.

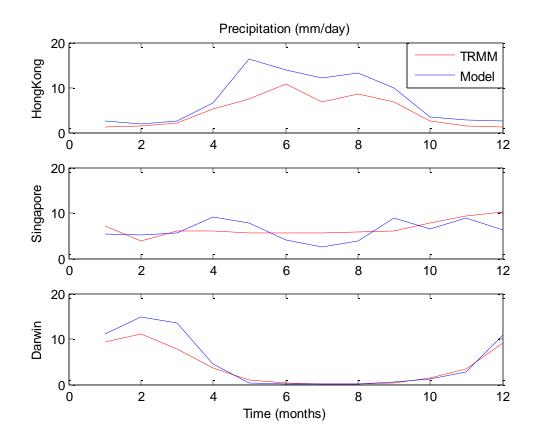


Figure 10: Comparison of annual cycle between observed and model precipitation at three locations: Hong Kong, Singapore and Darwin. The observations and simulations are spatial average within a  $5^{\circ} \times 5^{\circ}$  box centered at the three locations respectively.

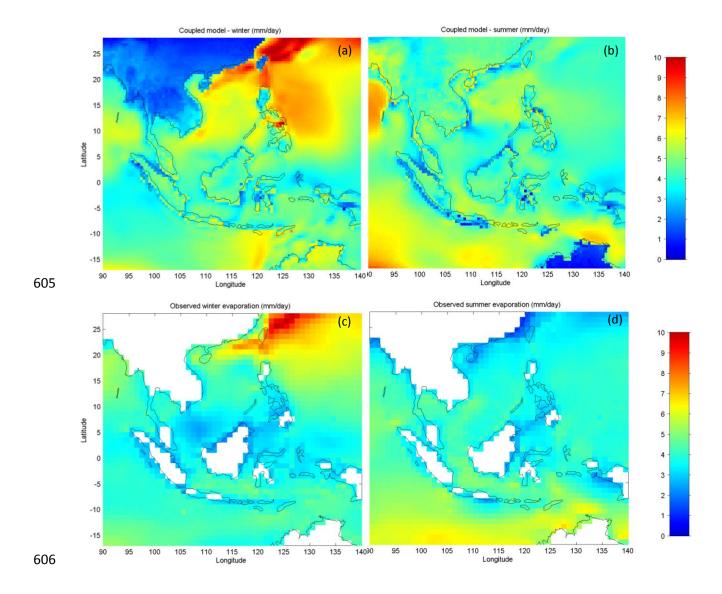


Figure 11: Comparison of evaporation between model simulations (1970s average) and TRMM
data (average of 1998~2011). (a) Model winter average evaporation, (b) Model summer average
evaporation, (c) Observed winter average evaporation and (d) Observed summer average
evaporation.