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6	Exploration of the perceptual-cognitive processes that contribute to in-game decision-
7	making of Australian football umpires
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## Abstract

20 Decision-making is fundamental to officiating in all sports. It is well established in 21 contemporary research that decision-making skills underpin umpire expertise; however, there is 22 little understanding of the cognitive processes that contribute to in-game decision-making. This research implemented an in-depth case study approach, using qualitative methods, to explore the 23 24 in-game decision-making process of three Australian football umpires. Concurrent and 25 retrospective verbalisation methods were used to obtain verbal reports of the cognitive processes 26 associated with decision-making. Findings identified three salient themes related to both in-game 27 decision-making processes (i.e., decision evaluation, player intention during game-play) and 28 umpire performance (i.e., knowledge of game-play). These themes contributed to the 29 development of decision-making heuristics for Australian football umpires. This study provides 30 initial evidence of the factors that may contribute to and/or affect in-game decision-making processes; however, additional exploration is necessary to further inform training programs 31 32 aimed to develop domain-specific decision-making skills and subsequent in-game performance.

#### 33 Keywords:

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Cognition, Sports officials, Decision-making process, Verbalisation, Performance

# Exploration of the perceptual-cognitive processes that contribute to in-game decision-

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# making of Australian football umpires

37 Currently, there is an extensive body of sport-based research that indicates perceptualcognitive skills, such as pattern recognition (Berry, Abernethy, & Côté, 2008; Farrow, McCrae, 38 39 Gross, & Abernethy, 2010) and anticipation (Farrow et al., 2010; Savelsbergh, Williams, Kamp, & Ward, 2002), provide a domain-specific advantage for expert sport performers. These 40 perceptual-cognitive processes are associated with the execution of fast and accurate decisions, 41 42 which is a necessity for sports officials involved in high tempo ball sports. Researchers have attempted to isolate and understand the decision-making skill of sports officials using a number 43 44 of research paradigms. Findings indicate an expertise effect for decision-making performance 45 between skilled and less skilled umpires (Catteeuw, Helsen, Gilis, & Wagemans, 2009; Larkin, 46 Berry, Dawson, & Lay, 2011; Larkin, Mesagno, Berry, & Spittle, 2014); psychological aspects 47 of perceptual-cognitive performance (e.g., MacMahon et al., 2015); and the potential impact of physical exertion (Larkin, O'Brien, et al., 2014; Paradis, Larkin, & O'Connor, 2015) and 48 49 environmental conditions (Taylor et al., 2014; Watkins et al., 2014) on decision-making 50 performance. Despite the empirical evidence now available from these studies, there is limited 51 knowledge of the processes underlying decision-making of sport officials.

In an attempt to understand skilled referee performance, Mascarenhas, Collins and 52 53 Mortimer (2005) identified five themes that informed the development of their Cornerstones Model of Refereeing Performance including: (i) knowledge and application of the law; (ii) 54 55 physical fitness, positioning and mechanics; (iii) personality and game management; (iv) contextual judgement; and (v) psychological characteristics of excellence. While all themes are 56 57 potentially relevant to in-game decision-making, specifically the theme knowledge and 58 application of the law can be identified as directly relevant to in-game decision-making, and was defined as "the underpinning knowledge of the law that allows referees to accurately interpret 59

60 dynamic situations and penalise accordingly" (Mascarenhas et al., 2005, p. 368). Mascarenhas et 61 al. identified several important sub-themes under the knowledge and application of the law theme that included decision-making timing and consistency, and decision-making clarity. While 62 63 the cornerstones model provides a description of the factors that may contribute to performance, the model does not indicate how these factors interact to inform the decision-making process. 64 65 Therefore, to develop a greater understanding of the underlying cognitions for decision-making performance, MacMahon and McPherson (2009) suggested experimental designs should include 66 67 verbalisation methods, such as interviews or verbalisation of events, to better inform the 68 decision-making process.

69 Despite verbalisation techniques providing an understanding of the cognitive processes that 70 contribute to problem solving (Kuusela & Paul, 2000), this methodology has been afforded little 71 research attention in order to understand factors that contribute to the decision-making process of 72 sports officials. One investigation (Lane, Nevill, Ahmad, & Balmer, 2006) used retrospective 73 verbalisation to explore the factors that five experienced soccer referees perceived to influence decision-making. Identified themes included crowd factors, decision accuracy and errors, 74 75 experience, regulations, and opinions as themes. For example, the referees strived for accurate decision; however, in relation to decision accuracy they were accepting that human error can 76 77 influence decision-making accuracy with logical reasons for inaccurate decisions being the speed 78 of the game, or not being in the correct position to view the incident. Despite identifying some of 79 the themes associated with decision-making, the investigation did not describe the underlying 80 cognitive processes associated with in-game decision-making. Extending this research, Hancock 81 and Ste-Maire (2014) used a stimulated recall technique to explore the strategies used by elite, 82 intermediate and novice ice hockey referees when making a decision. Participants viewed 83 footage from a head camera of a game they had refereed and were asked a series of questions relating to their decision-making strategies. Results demonstrated an expertise effect with elite 84

85 referees demonstrating more refined knowledge structures. Further, strategies influencing in-86 game decisions such as game context, anticipating game flow and prioritising the certain 87 decision-making situations were also identified.

88 While the current research exploring sports officials decision-making have identified 89 numerous external factors that may influence the decision-making process, there has been 90 limited exploration of the underlying processes associated with in-game decision-making. 91 Therefore, this study aims to investigate the strategies associated with in-game decision-making 92 of Australian football umpires. Further, the investigation attempts to describe the underlying 93 processes associated with in-game decision-making, to potentially inform the creation of 94 Australian football umpire specific decision-making heuristics.

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# Method

## 96 **Participants**

97 Three male Australian football umpires, who were previously involved in training camps at an elite level and currently officiating in a regional Australian football Division 1 competition 98 99 volunteered to participate in the study. Participant 1 (i.e., P1) was 30 years old and had umpired 100 170 senior Australian football Division 1 games. Participant 2 (i.e., P2) had umpired 350 senior 101 Australian football games and was 32 years old. Participant 3 (i.e., P3) was 39 years old and had 102 umpired a total of 400 senior Australian football Division 1 games. While there is a disparity in 103 the number of games each participant had umpired, following consultation with umpire coaches 104 with regards to current in-game decision-making performance, all participants were considered 105 to be of the same current performance level. Ethics approval was granted by the University 106 Human Research Ethics Committee, and participants provided approved consent prior to the 107 commencement of the study.

### 108 **Procedure**

109 **In-Game Verbalisation.** During two competitive in-season games, which were separated 110 by 7 days, participants were instructed to 'think out loud' and provide a "running commentary" 111 of the in-game information they were perceiving and the processes by which they made a 112 decision. This process was believed to provide an explicit representation of the cognitive 113 processes associated with in-game decision-making. In-game verbal information was recorded 114 using an Olympus DS-5000 digital voice recorder, which was attached to the upper back of the 115 participant using a commercially available elastic harness that is used for player tracking devices. 116 A lapel microphone was used to capture verbal information and was attached to the shirt lapel. In 117 addition, video footage was recorded using two digital video cameras positioned in an elevated 118 central position on the boundary line. The first camera tracked the movement of the ball 119 providing vision of player contests, body contact, infringements and general game-play similar to 120 television broadcast view (i.e., the immediate vicinity of the ball). The second camera tracked the 121 movements and actions of the participating umpire. After video recording, the video footage was 122 coupled with the audio recording with the verbal reports transcribed verbatim.

To ensure the participants were comfortable and competent at verbalising their thought processes during the game, participants completed three in-game verbalisation familiarisation sessions, whereby they were asked to verbalise their cognitive processes. Following the familiarisation sessions the footage was reviewed and any further questions from the participants were answered.

Semi-Structured Interviews. To further ascertain the participant's decision-making process, individual semi-structured interviews were conducted, using the stimulated recall technique. The stimulated recall technique is an introspective procedure, whereby video recorded information showing the actions and behaviour of the participant is replayed to stimulate recall of cognitive processes (Lyle, 2003).

133 During the semi-structured interviews, which ranged from 26 to 39 minutes in duration, 134 video footage from the two recorded games was shown to aid accurate recall of information 135 (Côté, Ericsson, & Law, 2005). During the interview, which occurred at the participants first 136 available training session following the second recorded game (i.e., three to five days after; see 137 Figure 1), participants viewed eight short video clips (coupled with in-game verbalisation audio) 138 and were asked to recall and verbalise their cognitive processes of the in-game incidents 139 presented. The video clips were used as a primer to prompt decision-making processes and 140 negate any disparity with the timing of the retrospective recall. The video clips presented 141 situations where the participant was the controlling umpire for the passage of play and contained 142 six incidents that resulted in a free kick, and two incidents where the participant did not award a 143 free kick. Following the initial recall, the video was replayed and paused at specific time points 144 (i.e., just prior to or following the incident) and a series of open-ended questions were asked. The 145 open-ended questions were focused on understanding the cognitive processes and identifying 146 decision-making information used by the participant (e.g., can you describe the information that 147 led you to this decision? Explain how you came to this conclusion in the passage of play rather 148 than a different outcome?). This same sequence of questions was repeated for the remaining 149 seven clips.

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# <<<INSERT FIGURE 1 HERE>>>

After the eight clips had been considered and discussed, participants were presented with two standardised game-play situations from a game where none of the participants were involved. The participants watched the standardised footage until they were familiar with the game-play situation and were asked to concurrently verbalise their thought processes. Akin to the earlier clips, the same series of open-ended questions that focused on cognitive processes and critical information were asked. Following the interview, verbal recordings were transcribed verbatim.

#### 158 Data Analysis

159 Data from the in-game verbal reports and semi-structured interviews were combined and analysed using content analysis, incorporating grounded theory (Glaser & Strauss, 1967). 160 161 Content analysis is defined as "objective, systematic and quantitative description of the manifest 162 content of communication" (Berelson, 1952, p. 19), whereby the process is to explore the text for 163 themes rather than observation-based notes (Patton, 2002). The grounded theory approach 164 requires the researcher to become immersed in the data, so meanings can be identified, with 165 specific observations leading towards general patterns and themes (Glaser & Strauss, 1967). Therefore, the focus of the analysis will be on the manifest meaning, and not the connotative 166 167 meaning (or latent content) of the verbal report (Riffe, Lacy, & Fico, 1998).

To ensure the data were trustworthy, two methods were implemented. Initially, the first author concurrently listened to the audio recording and read the transcripts, which ensured no errors were included in the transcripts. Second, member checking was implemented, with all participants confirming the information within the transcripts were accurate, and any identified errors were corrected.

173 Following data checking, the first and second author separately read the transcripts until they were familiar with the content. A cross-case analysis of the qualitative data (concurrent in-174 175 game verbalisation and interview verbalisation data) was conducted with an integrated approach 176 of the results presented from the three individual cases (Creswell, 2007). As the aims of the study 177 were to identify the decision-making processes of the participants, only comments relating to 178 decision-making and game management were coded and used for analysis. As a result, 179 comments relating to physical fitness or general non game-specific conversations were not coded 180 or assessed in the analysis (e.g., "are you feeling tired" & "did you hear that spectator"). 181 Therefore, coding of all the in-game transcripts identified 670 comments, with a further 460 182 comments coded from the semi-structured interviews for analysis. Raw data (i.e., meaningful quotes) were separately coded by two authors. This process led to an initial identification of nine themes considered important to understand the processes associated with Australian football umpires' decision-making. After the raw data were analysed, the first and second author discussed and operationally defined each theme. As a result, some themes were combined within more broad groupings based on belongingness. Three themes emerged from the analysis including (i) knowledge of game-play, (ii) player intention during game-play, and (iii) decision evaluation (see Table 1 for operational definitions).

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#### <<< INSERT TABLE 1 HERE >>>

191 To assess the trustworthiness of the data both, inter-coder and intra-coder agreement were 192 assessed. To assess inter-coder agreement an independent assistant and the first author coded all 193 transcripts. The percentage of agreement was then determined using Cohen's Kappa, with an 194 inter-coder agreement of 85% indicating a high level of agreement (Riffe et al., 1998). Intra-195 coder agreement was established by the independent assistant coding the transcripts on two 196 separate occasions, 14 days apart, as this time interval is likely to determine random differences 197 in the coding rather than changes in behaviour or ability (Pedhazur & Pedhazur-Schmelkin, 198 1991). An intra-rater agreement of 89% was reached indicating a high level of agreement (Riffe 199 et al., 1998).

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# **Results and Discussion**

Analysis of both the in-game and interview data revealed two types of verbal data: internal (i.e., self-cognitions or personal thoughts that umpires verbalized during the game), and external dialogue (i.e., verbalized inter-personal communication between the umpires and the players), which will be discussed. Analysis of the verbal reports identified three salient themes including (i) knowledge of game-play, (ii) player intention during game-play, and (iii) decision evaluation.

Knowledge of Game-Play. The "internal" theme of knowledge of game-play was defined
as self-directed verbal communication that demonstrated knowledge of future player actions

208 and/or game-play. Investigators have shown skilled performers use visual information early in an 209 action sequence to predict the next act of play (Abernethy & Russell, 1987; Larkin, et al., 2011), 210 which is an important skill in Australian football, as umpires need to perceive the action 211 sequence to assist in positioning themselves appropriately to view the next ball contest (Larkin et 212 al., 2011). Participant 1 (i.e., P1) demonstrated knowledge of game-play by anticipating potential 213 infringements, "The ball is coming forward, and could be hands in the back (pause). Nah 214 nothing, play on." In this example, P1 recognised early in the action sequence that a push in the 215 back infringement was a likely outcome, but delayed his decision (as indicated by the pause) 216 until contact had occurred. Anticipating but delaying judgement until contact is made is 217 important, particularly as Australian football umpires are instructed to watch the whole incident 218 before making a decision, because it may allow them more time to consider whether the decision 219 is correct. In another situation where two players contested the ball on the ground, P1 stated what 220 infringement was likely, "I'm watching for high contact on him (high tackle infringement) 221 (pause). Fair tackle play on". Again, after perceiving the information P1 paused, again viewing 222 the whole incident prior to making an informed decision to allow the play to continue without an 223 infringement being called. Both of these examples demonstrate P1's ability to assess the game 224 scenario and generate possible decision outcomes based on advance cue information. The ability 225 to use advance cue information has been shown to be an attribute of skilled decision-making performance in athletes and gymnastic judges (Ste-Marie, 1999; Vaevens, Lenoir, Williams, & 226 227 Philippaerts, 2007).

Participant 2 (i.e., P2) provided an example of knowledge of game-play through his player management skills near the conclusion of a game where one goal (6 points) separated the two teams. The game became tense with players committing several infringements to either gain an advantage or prevent the opposition from scoring as P2 stated, "A few players getting edgy; as the game gets close, they start to lose the plot. I have to watch play behind the ball more." This illustrates P2's knowledge and understanding of how players become more nervous and tense
(i.e., edgy) during the final stages of a close game, with the potential for players to act erratically
(i.e., lose the plot). P2 recognises this change in the player's mannerisms and identifies the
increased likelihood of player contact infringements. Consequently, knowledge of game context
altered P2's game management style as he consciously shifted his attention to potentially illegal
off the ball player confrontations. Similarly, Participant 3 (i.e., P3) monitored player behaviour
by stating,

I'm just pre-empting; Player 1 came through with a lifted elbow (near head height of an opposition player), if an opposition player seen that they may hit him... Its player management, less likely for biffo (i.e., the player's engaging in physical confrontation) if the players think we're onto it.

Even though the player did not commit an infringement, P3 identified that the action may have further consequences on the game (such as opposition players retaliating). Thus, both P2 and P3 illustrated a sound understanding of player behaviour and were proactive in managing these incidents as a duty of care to the players (Gabbe & Finch, 2000).

248 In the Cornerstones Model for Refereeing Performance, Mascarenhas et al. (2005) 249 identified the importance of an umpire being able to "alter his or her style of refereeing to suit 250 the particular nuances of the game" (p. 386). Based on this description, umpires should 251 understand the way the game is played to effectively manage the game environment and alter 252 their umpiring style based on the game context. The participants demonstrated the ability to alter 253 their personal umpiring style based on changes within the game environment, such as game 254 context (time and score) or player changes (personal performance changes), which exhibits an 255 experienced level of performance (Ward & Williams, 2003).

Player Intention during Game-Play. The theme player intention during game-play has
been defined as the interpretation of a player's objective during game-play (e.g., body

movements or actions) that guided an infringement-based decision. P1 used his interpretation of a player's objective to inform his decision when two players contested for a mark. In the "marking" situation, the defending player had two teammates within close vicinity of the contest who could potentially gain possession of the ball and clear it from the defensive end of the ground. Participant 1 provided his interpretation of the situation, by suggesting,

This player (attacking player) is going for the ball, this bloke (defending player) is thinking
I am just not fit enough so I am going to take him out of it (the contest for the ball),
because I have got two team mates who are going to take over (gain possession of the ball)
and try and get that ball out (of defence).

Participant 1 interpreted that the defending player's objective was to illegally impede the opposition player (e.g., "I am just not fit enough so I am going to take him out of it") by either holding or pushing the opposition player away from the contest for the ball to ensure his teammate gained possession.

Participant 3 used his interpretation of a player's intention when two players contested for a ball during a marking contest. Participant 3 described his interpretation of the marking contest, and why he penalised the player for a holding the man infringement when he stated, "The players intention was to hold up the other player and get him out of the (marking) contest (holding the man infringement)... the intention has got to be the ball for both players." In this scenario, Participant 3 interpreted the intention of the player as illegally attempting to obtain possession of the ball and used this judgement to inform the final decision.

Participant 2 provided an example of this theme when he viewed a clip of a player on the ground in possession of the ball. The player dived on the ball and dragged it underneath himself, which according to the rules, is penalised for the infringement "holding the ball" if he does not immediately knock the ball clear, or correctly dispose of the ball. P2 explains his justification for the decision by stating: His first objective was to dive on the ball and drag the ball in, and once he has done that he has lost all right to be over the ball... he has made no attempt (to dispose of the ball), so holding the ball (infringement).

Participant 2 interpreted the player's actions and used this information to inform his finaldecision, to penalise the player for holding the ball.

288 The player intention during game-play theme identifies a component of an Australian football umpire's decision-making process that has not been considered within the extant 289 290 literature. The interpretation of player's intentions may also be used for the officiating of other 291 sports. For example, in soccer, the offside rule indicates that assistant referees must consider the 292 intentions of the player when making a decision. The rule states that a player is in an offside 293 position if they are closer to their opponent's goal line, than both the ball and second last 294 defender, and if, in the referee's opinion, they are actively involved in the game-play (Fédération 295 Internationale de Football Association, 2013). As the offside rule requires assistant referees to 296 interpret whether the player intended to be actively involved in the game-play, there is potential 297 for the subjective interpretation of the player's actions to contribute to decision-making errors. 298 Researchers have found that incorrect offside decisions were commonly due to errors in assistant 299 referees' positioning (Helsen, Gilis, & Weston, 2006; Oudejans et al., 2000), however no 300 investigations have considered whether the official's interpretation of a player's intention 301 contributes to decision-making errors.

302 **Decision Evaluation**. Decision evaluation was defined as the procedure that contributed to 303 a decision outcome. It was apparent that a key stage of P1's decision-making process was the 304 elimination of possible decision outcomes prior to the final decision. A situation where this was 305 evident included a holding the ball decision, in which P1 stated,

With a holding the ball decision you have got to eliminate all the other free kicks first. The first thing is, is the tackle legal? Was it too high? No. Next step, was it too low? No. Eliminate all those outside pieces and then you go 'has he had prior opportunity (to dispose of the ball)?' Yes. Did he have a chance to get rid of it (the ball)? Yes, gone (holding the ball infringement).

This example illustrates the explicit cognitive steps P1 undertook when interpreting a possible holding the ball infringement. Prior to the final infringement decision, P1 eliminated all other possible infringements, such as an illegal tackle (i.e., too high, too low). Participant 1 then determined whether the player with the ball had prior opportunity or reasonable time to legally dispose of the ball before being tackled. In this instance P1 deemed the player to be tackled legally and have prior opportunity to legally dispose of the ball. Therefore, P1's final decision for this situation was a free kick for a holding the ball infringement.

Participant 2 also used an elimination process in the same way during tackle situations: "Player 1 has got the ball, he has had prior opportunity (to dispose of the ball), he was tackled legally, and he has got the ball away (legally disposed of the ball), instantaneously. So play on." This example indicates that P2 explicitly processed one aspect of the incident (i.e., prior opportunity) before processing the next (i.e., legal tackle) and that both players conform to the rules (i.e., legal tackle, legally disposes of the ball), therefore the elimination process stops and play on is indicated (no infringement).

Participant 3 also described the elimination process of a tackle situation: "If he doesn't get taken high, is it a correct tackle? Did he fall into his back? No. You got to eliminate." This example explicitly indicates that Participant 3 used a cognitive elimination process to determine the final decision in player contact situations.

Sporting officials' decision-making has been assessed (or trained) via several perceptualcognitive video-based tools (Catteeuw et al., 2009; Larkin et al., 2011; Schweizer, Plessner, Kahlert, & Brand, 2011), however, these investigations have not reported the cognitive process when making a decision. To provide an understanding of the demands of sport-based decision333 making on athletes, Farrow and Raab (2008) presented the Decision-Making in Sport Model. 334 The model identifies seven key decision-making stages that an athlete sequentially moves 335 through in order to make a decision about in-game decisions. Within the model, the stage where 336 athletes generate and consider possible decision outcomes has been identified as a key process 337 within the decision-making process of sport performers. The Decision-Making in Sport Model 338 states that skilled athletes consider possible decision options and then rank these options to form 339 the final decision. This may be an appropriate method for athletes who make movement or 340 tactical decisions, however, this model may not sufficiently explain the decision-making process 341 of an umpire, whereby based on participants in the current study, a decision outcome may be 342 selected following an elimination process. Tversky (1972) stated that any decision is subject to a 343 sequential elimination process, whereby each decision outcome is the result of an elimination 344 process of multiple sub-decisions. A sub-decision is considered and if eliminated the next sub-345 decision is considered. This process of sub-decision elimination or selection continues until the 346 final sub-decision is accepted. Both P1 and P2 indicated that they considered and eliminated 347 multiple sub-decisions prior to the final decision outcome for each player contact incident. 348 Existing sport-based decision-making models are limited in this context as they are not umpire 349 specific, but focus on the decision-making process of athletes generally. Furthermore, the umpire 350 specific model does not provide an indication of the specific decision-making processes 351 (Mascarenhas et al., 2005). For these reasons, further research with a greater number of umpires 352 may guide the development of an umpire specific decision-making model.

The elimination process used by the participants within this study highlighted the complexities associated with the decision-making process in Australian football. This is akin to other sports (e.g., soccer), where umpire decision-making has been identified as a complex process (Ollis, Macpherson, & Collins, 2006). Based on this qualitative analysis, and Australian football rules, two decision-making heuristics illustrating the elimination process for two different scenarios were developed. Figure 2 illustrates the elimination process for a tacklesituation, and Figure 3 identifies the elimination process for a marking contest.

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# <<<INSERT FIGURE 2 HERE>>>

### <<<INSERT FIGURE 3 HERE>>>

362 Comparison of the two decision-making heuristics highlights that one of the challenges 363 associated with understanding the decision-making process in Australian football is that the 364 elimination process is situation specific. The Decision-Making in Sport Model (Farrow & Raab, 365 2008) indicates that for each decision the decision-making process is consistent, however, the 366 current results indicated that there may not be a consistent process for every in-game decision 367 because of the variation among in-game situations. Both Figure 2 and 3 illustrate a similar 368 elimination process for each decision, however the number of options and the potential cognitive load associated with the specific game situation (e.g., tackle, mark) is potentially different. 369 370 Therefore, there must be consideration of the different decision-making processes that occur 371 during different game situations to adequately describe the in-game decision-making process of 372 Australian football umpires.

373 While this study is an important step in understanding the decision-making process of Australian football umpires, as the heuristics are based on the processes of three umpires, further 374 375 investigations are needed to ensure all possible options are included in the heuristics. A research 376 study with a greater number of umpires would also enable decision-making heuristics to be 377 developed for all scenarios in Australian football. As indicated by Plessner and Haar (2006), 378 there are several sub-tasks within a decision-making situation which may contribute to a correct 379 or incorrect decision. By identifying the steps within the decision-making process for different 380 infringement situations, it may be possible to identify potential issues within the decision-making 381 process. Therefore, the development of further heuristics for each in-game scenario may provide

a reference for identifying specific areas of decision-making mistakes and potentially inform
 umpire decision-making training programs.

#### 384 Conclusions

385 This study makes a significant contribution to the perceptual-cognitive literature as it is the 386 first attempt to explore the cognitive processes that contribute to the decision-making processes 387 of Australian football umpires. Decision-making skills are fundamental to the umpiring process 388 in all sports (Helsen & Bultynck, 2004), however there has been minimal investigation of the 389 processes that contribute to the in-game decision-making of umpires. The current exploratory study identified three themes that related to both the in-game decision-making process (i.e., 390 391 decision evaluation, player intention during game-play) and in-game umpire performance (i.e., 392 knowledge of game-play) providing initial evidence of the potential factors that may contribute 393 to and/or affect the in-game decision-making process of Australian football umpires. These 394 findings have led to the preliminary development of decision-making heuristics that may provide 395 a better understanding of the decision-making process of Australian football umpires than current 396 sport-based decision-making models (e.g., Farrow & Raab, 2008). Further pursuit of the factors 397 that significantly impact the in-game decision-making process of Australian football umpires is 398 needed to potentially inform future Australian football umpire decision-making training 399 programs.

While this study provides an understanding of the decision-making process of Australian football umpires, the findings should however, be considered in respect to methodological limitations. As the current investigation used stimulated recall and concurrent verbalisation methods to provide a description of the conscious in-game decision-making processes, cognitive processes unavailable to conscious awareness (i.e., implicit cognitions) during in-game decisionmaking may not have been identified during the interviews. Therefore, future investigations may use standardised decision-making situations and more sophisticated technologies, such as eye

407 tracking (Hancok & Ste-Marie, 2013) or option generation techniques (Raab & Johnson, 2007), 408 to provide information about subconscious visual search patterns and the generation of decision 409 options and the associated impact on the decision-making process. This type of research will 410 further develop the understanding of umpire decision-making performance presented here. In 411 addition, the study is limited by the level of expertise of the participants. Due to the within game 412 data collection methods we were unable to recruit elite level performers. While we were able to 413 recruit participants who have been identified by elite level coaches and participated in elite level 414 training programs, researchers should consider the exploring the decision-making processes of 415 elite level umpires. This may provide information indicate different decision-making processing 416 strategies which may inform umpire decision-making training programs. Finally, the current 417 investigation did not collect data relating to the physiological performance of the participants 418 during the game. While previous investigations have indicated that physiological capacity does 419 not influence decision-making performance (Larkin et al., 2014; Paradis et al., 2015), future 420 investigations should consider whether how physiological capacity may influences the in-game 421 decision-making processes of umpires.

From a practical perspective, based on the findings associated with umpire decisionmaking performance, a key component of in-game decision-making was the process of interpreting a player's objective or intent during a game action. This process, however, may contribute to inconsistent decision-making outcomes between umpires because each umpire may interpret the intention of the player differently. Therefore, umpire coaches may contemplate the introduction of novel training activities, such as video-based training, to assist the development of skills associated with the interpretation of player's intention during game actions.

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- 564 *Table 1.* The definition and number of times each theme was discussed by the participants during
- 565 the data collection phase (i.e., in-game verbalisation and semi-structured interviews).

Theme Name	Number	Definition
Knowledge of Game- Play	46	Self-directed communication that demonstrated knowledge of future player actions and/or game-play.
Player Intention during game play	88	The interpretation of a player's objective during game-play (e.g., body movements or actions) that guided an infringement-based decision
Decision evaluation	181	The procedure that contributed to a decision outcome



*Figure 1*. Schematic of the data collection period for all participants.



*Figure 2.* Decision-making heuristic for a tackling situation.



*Figure 3.* Decision-making heuristic for a marking contest.