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### Recommended Citation

Elliott, Brooke M.; Steckbeck, Kathleen E.; Murray, Lisa R.; and Erk, Kendra, "Rheological Investigation of the Shear Strength, Durability, and Recovery of Alginate Rafts Formed By Antacid Medication in Varying pH Environments" (2013). *School of Materials Engineering Faculty Publications*. Paper 6.  
<http://dx.doi.org/10.1016/j.ijpharm.2013.09.034>

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1 **Rheological Investigation of the Shear Strength, Durability, and Recovery of Alginate Rafts**  
2 **Formed By Antacid Medication in Varying pH Environments**

3

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12

13 **Abstract**

14 The mechanical response of alginate rafts formed by mixing liquid alginate antacid  
15 medication (Gaviscon® Extra Strength Liquid Antacid) with acidic solutions was investigated by  
16 deforming isolated rafts in a shear rheometer. As rafts were deformed to varying magnitudes of  
17 applied strain, rheological parameters were identified and related to the overall strength,  
18 durability, and recoverability of rafts formed at different pH (1.1 – 1.7) and aging conditions (0.5  
19 – 4 hr). Rafts formed in the lowest acidity solutions (pH 1.4, 1.7) were elastically weak ( $G_0' =$   
20 60, 42 Pa for un-aged raft) yet maintained their elasticity during applied shear deformation to  
21 large values of strain ( $\gamma_c \sim 90\%$ , 50%, where  $G' \approx G''$ ), and displayed a low-to-moderate level of  
22 elastic recovery following large-strain deformation. Rafts formed in the highest acidity solution  
23 had the greatest strength ( $G_0' = 500$  Pa for un-aged raft and 21.5 kPa for rafts after 0.5 hr of

24 aging), reduced durability ( $\gamma_c \sim 2.5\%$ , independent of aging), and displayed the greatest  
25 recoverability. A trade-off existed between un-aged raft strength and durability while recovery  
26 was dependent on durability, solution pH, and age. Rheometry-based evaluations of alginate rafts  
27 could be used for the informed design of future gastric retention and antacid products.

28

29 **Keywords:** alginate; alginate raft; mechanical properties; rheology; ionic crosslinking; acid-  
30 reflux; shear stress

31

## 32 **1.0 Introduction**

33 Gastroesophageal reflux disease (GERD) is the most common outpatient  
34 gastroenterological diagnosis in the United States.(Hershcovini and Fass, 2011; Mandel et al.,  
35 2000) Of the adult population in the United States, 20% experience GERD-related symptoms  
36 weekly.(Locke et al., 1997) The disease is most commonly perceived as “heartburn”, caused by  
37 reflux of acidic stomach contents into the unprotected esophagus. Up to 40% of people in  
38 western countries experience heartburn after meals.(Dettmar et al., 2007)

39 To treat post-meal reflux, antacids and alginate-based formulations are typically  
40 used.(Hershcovini and Fass, 2011) Antacids provide rapid but transient relief, lasting only one  
41 hour on average while heartburn symptoms can continue for several hours after meals. Alginate-  
42 based formulations (*e.g.*, Gaviscon®) create a floating, gastric-retaining foam in the stomach that  
43 serves as a barrier to the penetration of stomach acid into the esophagus and upper  
44 gastrointestinal tract.(Hampson et al., 2005) Such foams can be sustained for up to four hours,  
45 resulting in immediate and lasting relief from post-meal heartburn. Antacid components are also  
46 included in alginate-based formulations, although past studies suggest that neutralization of the

47 stomach contents is not a critical factor for the treatment of heartburn symptoms when alginate-  
48 based formulations are used to create a physical barrier to acid reflux.(Mandel et al., 2000) In  
49 addition to alginate-based antacid products, alginate materials have many applications in  
50 pharmaceuticals, including drug delivery media (Florián-Algarín and Acevedo, 2010;  
51 Khutoryanskiy, 2011), slow-release wound dressings (Thu et al., 2012), controlled release fibers  
52 (Wang et al., 2007), and development of retention-selective gastric foams to aid in early  
53 preclinical drug discovery (Foster et al., 2012). Additionally, alginate-based materials have  
54 found wide application in the fields of biomedical engineering and regenerative  
55 medicine.(Derby, 2012; Sun et al., 2012; Van Vlierberghe et al., 2011; Yu and Ding, 2008)

56         Liquid alginate antacid products for acid reflux control typically contain carbonate-based  
57 molecules as an active ingredient (*e.g.*, calcium carbonate, potassium bicarbonate, magnesium  
58 carbonate).(Hampson et al., 2005) In the presence of gastric acid, carbonates in the product react  
59 to form carbon dioxide gas. Simultaneously, free metal ions released from the antacid active  
60 ingredient (*e.g.*  $\text{Ca}^{2+}$  from calcium carbonate) diffuse through the alginate and facilitate the  
61 formation of an ionically crosslinked “egg-box” structure between  $\alpha$ -L-guluronic acid residues in  
62 neighboring alginate molecules.(Grant et al., 1973; Lee and D. J. Mooney, 2012; Pawar and  
63 Edgar, 2012) The formation of these ionic crosslinks between alginate molecules leads to the  
64 creation of a three dimensional viscoelastic network(Johnson et al., 1997; Webber and Shull,  
65 2004) which displays good mechanical strength when a critical concentration of ionic crosslinks  
66 is present. Carbon dioxide gas becomes trapped in the alginate network and forms an expanding,  
67 buoyant foam, commonly referred to as an alginate raft.(Mandel et al., 2000)

68         Basic empirical tests and clinical trials have been performed on alginate rafts to optimize  
69 the drug formulation in order to achieve rafts with good mechanical strength and durability, and

70 effective acid suppression.(Dettmar et al., 2007; Mandel et al., 2000) To quantify the mechanical  
71 properties of alginate rafts, Hampson, *et al.*(Hampson et al., 2005) performed a controlled  
72 empirical study of rafts produced from a variety of alginate-based antacid products. The tensile  
73 force required to vertically pull an L-shaped wire through a given raft and the compressive force  
74 required to compress a given raft through an orifice were measured in addition to assessing the  
75 overall effect on the raft's structure of prolonged agitation in a tumbler mixer. From these  
76 experiments, estimations of the rafts' strength, resistance, and resilience were determined. Raft  
77 strength was found to be directly related to raft resilience, with the highest strength rafts resisting  
78 breakup during tumbling for the longest duration of time.(Hampson et al., 2005)

79         One challenge in characterizing the properties of alginate rafts is performing mechanical  
80 measurements which mimic the turbulent internal environment of the stomach. In addition to  
81 tensile and compressive forces, alginate rafts encounter shear forces from the churning contents  
82 of the stomach and gastric pressure waves as well as shear stresses from any adhesive  
83 interactions between the edges of the raft and the mucosal stomach walls.(Mandel et al., 2000;  
84 Richardson et al., 2004) Additionally, *in vivo* studies indicate that the rafts may be driven into  
85 the lower esophagus due to gastric pressure waves.(Malmud et al., 1979; McHardy and Balart,  
86 1972) Penetration and extraction of the raft into the lower esophagus is expected to impart  
87 significant shear forces on the raft from frictional interactions with the esophagus and stomach  
88 wall. Thus, there is a clear need to investigate the mechanical properties of alginate rafts during  
89 exposure to shear forces.

90         Shear rheometers are commonly used to measure the mechanical responses of soft  
91 materials and complex fluids during exposure to controlled levels of shear stress.(Larson, 1999)  
92 Soft hydrogels formed from ionically crosslinked alginate networks swollen in aqueous fluid are

93 frequently studied via rheometry to determine how the mechanical properties of the hydrogel  
94 change as a function of composition, aging, shear strain magnitude, and strain rate.(Florián-  
95 Algarín and Acevedo, 2010; Lin et al., 2011; Saara et al., 2012; Storz et al., 2009; Taylor et al.,  
96 2005; Webber and Shull, 2004) Despite the extensive use of rheometry in alginate-based  
97 hydrogel research, there are almost no studies that investigate the properties of alginate-based  
98 rafts via shear rheometry. One advantage of using rheometry for investigating the mechanical  
99 properties of alginate rafts are the standardized rheometer geometries and measurement protocols  
100 from which alginate raft structure-property relationships may be defined and directly compared  
101 with existing alginate hydrogel rheometry studies.

102 A protocol for *in vitro* raft formation and shear rheometry testing is developed here to  
103 characterize isolated alginate rafts formed from liquid alginate antacid product. The effects of  
104 solution pH, aging, and shear deformation magnitude on the mechanical properties of the  
105 alginate rafts are evaluated in order to characterize the overall strength, durability, and  
106 recoverability.

107

## 108 **2.0 Materials and Experimental Methods**

109

### 110 *2.1 Materials*

111 The liquid alginate antacid product for acid reflux control investigated here was  
112 Gaviscon® Extra Strength Liquid Antacid (GlaxoSmithKline Consumer Healthcare, L.P., USA).  
113 This product was purchased from a local pharmacy and used as-received. For a 5-mL  
114 ‘teaspoonful’ dose, the listed active ingredients were aluminum hydroxide (254 mg) and  
115 magnesium carbonate (237.5 mg). Sodium alginate was listed as an inactive ingredient.

116 Aluminum hydroxide ( $\text{Al}(\text{OH})_3$ ) is known to react with excess acid in the stomach, reducing the  
117 overall acidity while producing  $\text{Al}^{3+}$  ions which form ionic crosslinks within the alginate  
118 network. Meanwhile, magnesium carbonate ( $\text{MgCO}_3$ ) is an antacid ingredient that is known to  
119 react with acid in the stomach to produce carbon dioxide gas which aids in the floatation of the  
120 alginate network, forming the alginate raft. Sodium alginate is listed as an inactive ingredient in  
121 the United States and alginate products for acid reflux are classified only as “liquid antacid”  
122 although the alginate will result in an acid-blocking barrier (note: this is different from British  
123 and European pharmacopoeias, which accept alginate as an active ingredient).

124 To form the alginate rafts *in vitro*, deionized water (Nanopure® Infinity Barnstead water  
125 purification systems) and acetic acid (glacial, Sigma Aldrich, used as received) were mixed with  
126 the liquid alginate antacid product, described in detail in the following section. Ideally,  
127 hydrochloric acid (HCl) solutions would be used to create the alginate rafts, as HCl is a principle  
128 component of gastric secretion.(Kong and Singh, 2008; Schubert, 2012) However, most standard  
129 rheometer fixtures are fabricated from stainless steel (300 series), which is highly susceptible to  
130 pitting/crevice corrosion when exposed to HCl at any concentration or temperature.(B. D. Craig  
131 and Anderson, 1995) Chlorides penetrate and destroy the passive oxide film that is responsible  
132 for the corrosion resistance of stainless steel. Stainless steel is resistant to corrosion from acetic  
133 acid; thus, acetic acid was used in this study for the *in vitro* formation of the alginate rafts.

134

## 135 2.2 *Alginate Raft Formation*

136 A method was developed to form alginate rafts *in vitro*. A single dose of liquid alginate  
137 antacid product was added to aqueous solutions of acetic acid with varying pH within the typical  
138 acidity range of a fasted stomach(Kong and Singh, 2008; Schubert, 2012) (Table 1). The solution

139 temperature was maintained at 37°C on a dual action hotplate/stirplate. A pH meter was used  
140 with buffer calibration standards to measure the pH of each solution. As the volume of the  
141 solution (~ 95 mL) represented approximately a quarter of a typical stomach volume(Ferrua and  
142 Singh, 2010), only a single dose of the antacid product (5 mL) was used instead of the maximum  
143 24-hr dosage (16 teaspoons or ~ 80 mL). Slow stirring of the solution ensured that the antacid  
144 product mixed with the acidic solution instead of coating the bottom and sides of the glass  
145 beaker, which was found to retard raft formation. Development of the alginate network and  
146 flotation of the alginate raft to the surface of the solution occurred within five minutes. For  
147 certain experiments, the alginate raft was allowed to rest at the solution's surface for a specific  
148 duration (0.5 – 4 hr) before removal for characterization. Retrieval of the raft from the solution  
149 was accomplished by decanting excess solution followed by physically lifting the raft from the  
150 beaker with a spoon or spatula (see Fig. 1). Dimensions of the raft were approximately 50 mm in  
151 diameter (constrained by the inner diameter of the beaker) and approximately 2-3 mm in  
152 thickness.

153

### 154 2.3 *Alginate Raft Characterization*

155 Shear rheometry was performed to characterize the mechanical properties of the alginate  
156 rafts. An Anton Paar MCR 302 rheometer with a stainless steel parallel plate measuring system  
157 (25-mm plate diameter) was used to test the isolated rafts. A Peltier temperature control system  
158 maintained the temperature at 37°C. The following procedure was followed to load the raft  
159 sample into the rheometer: (1) the sample was placed in the center of the bottom parallel plate,  
160 (2) the top plate was moved to the measuring position (a 2-mm gap size was used) such that the  
161 raft experienced a slight normal force as detected by the rheometer force transducer, and (3) the



162 sample was trimmed using a spatula such that the sample edge was approximately flush with the  
163 top parallel plate.

164 For each raft sample, oscillatory strain sweep rheometry tests were performed in which  
165 the sample's stress response was measured as a function of applied shear strain amplitude ( $\gamma$ ,  
166 ranging from 0.1% to 100%) at a constant angular frequency ( $\omega = 10 \text{ rad s}^{-1}$ ). The stress response  
167 of the rafts was quantified in terms of the storage shear modulus ( $G'$ ) and the loss shear modulus  
168 ( $G''$ ). The storage modulus was a measure of the sample's elastic-like response while the loss  
169 modulus was a measure of the sample's viscous-like behavior under shear. Two strain sweep  
170 tests were performed in series for each raft sample. In the first strain sweep test, the applied  
171 strain amplitude was discretely increased from 0.1% to 100%. This test was immediately  
172 followed by a second strain sweep test in which the strain amplitude was discretely decreased  
173 from 100% to 0.1%. The coupling of increasing and decreasing strain sweep tests was designed  
174 to probe any hysteresis present in the rheological response of the samples and thus assess the  
175 ability of the samples to recover from large-strain deformation.

176 Alginate raft morphology did not visibly change during the rheometry testing. No  
177 evidence of wall slip between the sample and the top or bottom parallel plates was observed  
178 directly or indirectly from the resulting data. Once the desired rheometer tests were complete  
179 and the top parallel plate was raised to facilitate sample removal, samples were typically  
180 observed to stick to the top parallel plate. Additional residue from the samples was also seen on  
181 the bottom plate. The adhesion between the rafts and the parallel plate measuring system upon  
182 sample unloading also confirms a lack of wall slip. There was no evidence of significant solvent  
183 evaporation during the rheometer tests, which lasted a total of 30 minutes.

184

### 185 3.0 Results

186 The shear stress response of un-aged alginate rafts formed in solutions with varying pH is  
187 displayed in Fig. 2. The raft formed from each solution displayed unique  $G'$  and  $G''$  curves. All  
188  $G'$  and  $G''$  displayed the expected linear viscoelastic plateau at small values of strain before  
189 decreasing in magnitude with increasing strain. For each  $G'$  curve, a limiting value of  $G'$  was  
190 extracted to describe the modulus of the raft when the applied strain approaches zero. This  
191 limiting value,  $G_0'$ , was approximated as  $G'(\gamma = 0.1\%)$ , which is reported in Table 2.  
192 Additionally, the curves for each solution displayed a critical value of applied strain where  $G'$   
193 and  $G''$  were approximately equal, reported in Table 2 as  $\gamma_c$ . As seen in Fig. 2 and Table 2, more  
194 acidic solutions (pH 1.1 – 1.2) resulted in rafts with greater values of  $G_0'$  and significantly  
195 reduced values of  $\gamma_c$  compared to rafts formed in less acidic solutions.

196 The strain sweep rheometer tests displayed in Fig. 2 for the five solutions were collected  
197 by varying the applied strain amplitude in discrete steps ranging from 0.1% to 100%. In all cases,  
198 this test was immediately followed by a second strain sweep that discretely varied the strain  
199 amplitude from 100% to 0.1%. Data from these increasing and decreasing strain sweeps can be  
200 displayed in one graph; the resulting hysteresis loops for  $G'$  are displayed in Fig. 3a-e. The  
201 relative amount of hysteresis was quantified for each data set by the difference in the values of  
202  $G'$  at a strain amplitude of 1% measured from increasing and decreasing strain sweeps. This  
203 difference, termed  $\Delta G'$ , was determined for each raft as an absolute value and a percentage  
204 decrease from the larger value of  $G'$  (see Table 2), the latter allowing for direct comparison of  
205 the hysteresis magnitudes between rafts formed in the different pH solutions. While hysteresis  
206 was clearly observed in all cases, the hysteresis magnitude reached a maximum for rafts formed

207 in Solution C (pH 1.3, Fig. 3c) before decreasing at higher (Fig. 3a,b) and lower (Fig. 3d,e)  
208 levels of acidity.

209 The mechanical properties of alginate rafts formed in Solution A (pH 1.1) and aged from  
210 0.5 – 4 hr while in contact with the solution are displayed in Fig. 4. Data representing the  
211 mechanical properties of the un-aged raft is included for comparison. Similar to Fig. 2, the  $G'$   
212 and  $G''$  curves in Fig. 4 all displayed a linear viscoelastic plateau at small strain amplitudes  
213 which was approximated by  $G_0'$  as well as a critical value of strain,  $\gamma_c$ , where  $G' \approx G''$  (see Table  
214 3). The limiting storage modulus of the rafts formed and aged in Solution A increased with aging  
215 time from 30 min to 2 hr, after which the values decreased in a nonlinear fashion. Interestingly,  
216 the critical values of strain for the aged rafts displayed an average value of  $2.6\% \pm 0.8\%$  (95%  
217 confidence interval), very similar to the response from the un-aged raft.

218 In a similar manner to the pH study, data from increasing and decreasing strain sweeps  
219 was collected for rafts at each aging condition. The hysteresis loops for  $G'$  with the  
220 corresponding absolute and relative  $\Delta G'$  values at 1% strain amplitude are displayed in Fig. 5a-e  
221 and Table 3. While hysteresis was observed in all cases, the hysteresis magnitudes were  
222 significantly larger for rafts aged from 0.5, 1, and 2 hr ( $\Delta G' = -29\%$ ,  $-26\%$ , and  $-45\%$ ,  
223 respectively) compared to rafts aged for 3 and 4 hr ( $\Delta G' = -15\%$  and  $-16\%$ , respectively).

224

## 225 **4.0 Discussion**

226 The shear rheometer experiments summarized in Fig. 2 and Table 2 indicated that  
227 solution pH strongly influenced the shear mechanical strength of the alginate rafts. As described  
228 in the introduction, the two active ingredients in the liquid alginate antacid product,  $\text{Al}(\text{OH})_3$  and

229  $\text{MgCO}_3$ , react in acidic conditions to form free  $\text{Al}^{3+}$  ions and carbon dioxide gas, both of which  
230 are necessary to form a strong, buoyant alginate raft. Conditions of low pH result in increased  
231 reaction rates between the alginate product and acidic solution. This explains the greater  $G_0'$   
232 values observed in Table 2 for rafts formed in Solution A (pH 1.1) compared to the rafts formed  
233 in the lower acidity solutions. The elastic properties of the rafts are a function of the raft's  
234 internal structure of crosslinked alginate.(Stokke et al., 2000; Webber and Shull, 2004) Higher  
235 ionic crosslinking densities result in stiffer rafts, which act as elastic solids when exposed to  
236 shear forces. Thus, the greater concentration of free  $\text{Al}^{3+}$  ions produced within the higher acidic  
237 solution (Solution A) led to the formation of a more densely crosslinked alginate raft with  
238 subsequently increased elastic strength. In contrast, rafts formed in the lower acidity solutions  
239 are expected to have reduced crosslinking densities, which resulted in their relatively lower  
240 elastic strengths.

241         Interestingly, over the relatively narrow pH range that was investigated (1.1-1.7), the  
242 elastic strength of the alginate rafts decreased by an order of magnitude with increasing pH. The  
243 typical intragastric pH range for a healthy stomach in a fasted state ranges from 0.3 – 2.9 with a  
244 median fasting pH of 1.5.(Schubert, 2012) Stomach pH can increase to 4.5 – 5.8 during eating  
245 and can decrease to less than 3.1 after 1 hr following a meal.(Kong and Singh, 2008) Thus,  
246 alginate rafts formed in a typical healthy stomach following a meal may be expected to have  
247 reduced strength compared to the alginate rafts characterized in this study.

248         While rafts formed in more acidic solutions displayed greater initial elastic strengths (*i.e.*,  
249  $G_0'$ , the strength at low values of applied strain), rheometry results indicated that these same rafts  
250 have significantly reduced values of critical strain,  $\gamma_c$ , compared to rafts formed in the lower  
251 acidity solutions (see Table 2). The critical strain (where  $G' \approx G''$ ) can be interpreted as the

252 critical magnitude of deformation when the sample transitions from displaying a more elastic-  
253 like mechanical response ( $G' > G''$ ) to displaying more viscous-like behavior ( $G'' >$   
254  $G'$ ). (Larson, 1999) The strain-induced reduction in elasticity and transition to viscous behavior  
255 beyond  $\gamma_c$  indicates a deformation-induced mechanical breakdown or weakening of the alginate  
256 network, most likely due to destruction of elastically-active crosslinks within the network. (Erk  
257 and Shull, 2011) Thus, rafts formed in more acidic solutions (pH 1.1 – 1.2) mechanically  
258 degraded at lower levels of applied shear deformation than rafts formed in less acidic solutions  
259 (pH 1.4 – 1.7) which displayed greater values of  $\gamma_c$  and thus maintained their elastic strength to  
260 greater magnitudes of applied shear strain. These results indicate an apparent trade-off between  
261 initial elastic strength and mechanical durability during exposure to increasing magnitudes of  
262 applied shear deformation, in contrast to findings from prior studies. (Hampson et al., 2005)

263         The magnitude of hysteresis quantified from the increasing and decreasing strain sweeps  
264 (Fig. 3) signifies the permanent damage to the raft's internal structure due to the applied shear  
265 deformation. This difference in  $G'$  between increasing and decreasing strain sweeps,  $\Delta G'$ , is  
266 inversely related to the ability of the raft to recover its elastic strength following deformation to  
267 large values of applied strain. The raft formed in the highest acidity solution (Solution A, pH 1.1)  
268 displayed the smallest hysteresis (with  $\Delta G' = -42\%$ , Table 2) and thus appeared to have the best  
269 recoverability of all rafts which were investigated here. This finding is consistent with the  
270 expected increased concentration of  $Al^{3+}$  in rafts formed in Solution A. The ionic crosslinks  
271 facilitated by  $Al^{3+}$  are reversible so while large deformation effectively “fractured” crosslinks in  
272 the alginate network, new crosslinks formed once the deformation decreased and restored the  
273 strength of the alginate network. Thus, there appears to be a direct relationship between solution  
274 acidity and recoverability.

275 Rafts formed in the lowest acidity solutions (Solution D, pH 1.4 and Solution E, pH 1.7)  
276 contained relatively low concentrations of  $\text{Al}^{3+}$  and thus were expected to display the lowest  
277 levels of recovery. Instead, these rafts displayed moderate recovery following deformation ( $\Delta G'$   
278 = -70%, -59%, see Table 2), while Solution C (pH 1.3) displayed the greatest hysteresis with  
279  $\Delta G' = -80\%$  and thus the worst recovery of all the rafts investigated in this study. This finding is  
280 explained by considering raft durability. Solutions D and E produced the most durable rafts, with  
281  $\gamma_c$  values equal to 90% and 50%, respectively (Table 2). As these rafts maintained their elastic  
282 strength to relatively large values of applied strain, the overall magnitude of strain-induced  
283 damage to the alginate network was most likely reduced compared to the less durable rafts  
284 formed in the higher acidity solutions. Thus, substantial recovery appears to be possible for rafts  
285 formed from Solutions D and E, even with the reduced availability of  $\text{Al}^{3+}$  for network repair.  
286 The rafts formed from Solutions A and B have poor durability ( $\gamma_c = 2.5\%$ ,  $4.5\%$ ) and thus  
287 significant structural damage most likely occurred during large-strain deformation. However,  
288 these rafts contained the largest concentrations of  $\text{Al}^{3+}$  available for network repair and thus  
289 recoverability was observed to be high. In contrast, the raft formed in Solution C was only  
290 moderately durable ( $\gamma_c = 39\%$ ) and due to its mid-range pH, only a moderate amount of  $\text{Al}^{3+}$  was  
291 available for network repair. Thus, rafts from Solution C displayed the overall lowest ability to  
292 recover from large-strain shear deformation.

293 In addition to solution pH, duration of aging was found to have a strong effect on the  
294 mechanical strength of the alginate rafts (Fig. 4). The greatest increase in strength occurred  
295 within 0.5 hr of aging, as there was a three orders of magnitude increase in  $G_0'$  with an  
296 additional 140% increase in strength from 0.5 – 2 hr (Table 3). The strengthening of the raft over  
297 time is consistent with the increased opportunity for free  $\text{Al}^{3+}$  ions from the solution to diffuse

298 into the alginate and form ionic crosslinks. Continued crosslinking improved the strength linearly  
299 until 2 hr of aging had passed, potentially when the internal structure of the alginate reached a  
300 saturation point with respect to  $\text{Al}^{3+}$  ions. Furthermore, the hysteresis magnitudes became small  
301 and constant for 3 and 4 hr aging durations (-15% and -16%, Table 3) compared to reduced aging  
302 durations. This measure of strong recovery of the highly aged rafts agrees with the expected  
303 saturation of the alginate with  $\text{Al}^{3+}$ . Additionally, the durability of the raft (quantified by  $\gamma_c$ )  
304 appeared to be independent or only very weakly dependent on aging duration.

305

## 306 **5.0 Overall Conclusions and Implications**

307         Alginate rafts were formed *in vitro* by mixing liquid alginate antacid product  
308 (Gaviscon®) with acidic solutions ranging from pH 1.1 – 1.7. The shear mechanical response of  
309 isolated rafts was investigated by oscillatory strain amplitude sweeps in a shear rheometer to  
310 quantify specific rheological parameters related to the overall strength ( $G_0'$ ), durability ( $\gamma_c$ ), and  
311 recoverability ( $\Delta G'$ ) of the alginate rafts.

312         A trade-off existed between un-aged raft strength and durability while recovery was  
313 dependent on durability, solution pH, and age. Rafts formed in the highest acidity solution  
314 (Solution A, pH 1.1) yielded the greatest initial elastic strength and the best ability to recover  
315 strength after exposure to large-strain deformation. However, these performance increases were  
316 partially offset by a corresponding decrease in durability. Rafts formed in the lowest acidity  
317 solutions (Solution D, pH 1.4 and Solution E, pH 1.7) were relatively weak but displayed the  
318 best durability and moderate levels of recoverability. Interestingly, rafts formed at mid-range pH  
319 (Solution C, pH 1.3) performed the worst of all the rafts investigated here, displaying only

320 moderate levels of strength and durability with the lowest level of recovery following large  
321 deformation. Aging tests of the raft formed in the highest acidity solution demonstrated a three  
322 order of magnitude increase in strength within only 30 minutes and heightened recoverability  
323 after 2 hrs of aging with nominal change in durability.

324 Rafts formed in stomach conditions of higher acidity (pH 1.1 – 1.2) are best suited for  
325 applications where sudden impacts are expected, such as due to food and drink ingestion.  
326 However, due to the apparent trade-off between raft strength and durability, these rafts will have  
327 decreased resiliency to deformation, although strong recovery is possible when deformation is  
328 encountered and the raft becomes structurally damaged. On the other hand, rafts formed in  
329 stomach conditions of lower acidity (pH 1.4 – 1.7) are best suited for applications where constant  
330 shear stress is anticipated. These rafts will have decreased strength and recoverability but  
331 superior durability and thus are more resilient to deformation. Outcomes of this investigation  
332 illustrate the utility of shear rheometry for quantifying the mechanical response of alginate rafts  
333 under controlled shear deformation. Future studies that focus on correlating formulation  
334 composition with mechanical results from shear rheometry experiments could be utilized by  
335 alginate antacid product manufacturers to inform formulation changes for future product  
336 improvement.

337

### 338 **Acknowledgements**

339 L. R. M. acknowledges support from a Graduate Research Fellowship from the National Science  
340 Foundation under Grant no. DGE-1333468.

341

342



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421

422 **Figure Captions**

423 **Fig. 1:** Photograph of an alginate raft following removal from Solution A.

424 **Fig. 2:** Storage moduli ( $G'$ , filled symbols) and loss moduli ( $G''$ , open symbols) for un-aged  
425 alginate rafts formed in solutions with varying pH – Sample A, pH 1.1 (●); Sample B, pH 1.2  
426 (◆); Sample C, pH 1.3 (▲); Sample D, pH 1.4 (■); Sample E, pH 1.7 (►) – from oscillatory  
427 strain amplitude sweep data collected at a constant angular frequency of  $10 \text{ rad s}^{-1}$  and  $T = 37^\circ\text{C}$ .

428 **Fig. 3:** Storage moduli collected from discretely increasing (closed symbols) and decreasing  
429 (open symbols) oscillatory strain amplitude sweeps at constant angular frequency of  $10 \text{ rad/s}$  and  
430  $T = 37^\circ\text{C}$  for un-aged alginate rafts formed in (a) Solution A, pH 1.1; (b) Solution B, pH 1.2; (c)  
431 Solution C, pH 1.3; (d) Solution D, pH 1.4; and (e) Solution E, pH 1.7.

432 **Fig. 4:** Storage moduli ( $G'$ , filled symbols) and loss moduli ( $G''$ , open symbols) for alginate  
433 rafts formed in Solution A (pH 1.1) and aged for 0 hr (un-aged, ●), 0.5 hr (►), 1 hr (■), 2 hr (◆),  
434 3 hr (▲), and 4 hr (◄); from oscillatory strain amplitude sweep data collected at a constant  
435 angular frequency of  $10 \text{ rad s}^{-1}$  and  $T = 37^\circ\text{C}$ .

436 **Fig. 5:** Storage moduli collected from discretely increasing (closed symbols) and decreasing  
437 (open symbols) oscillatory strain amplitude sweeps at constant angular frequency of  $10 \text{ rad s}^{-1}$   
438 and  $T = 37^\circ\text{C}$  for alginate rafts formed in Solution A (pH 1.1) at the following aging conditions:  
439 (a) 0.5 hr, (b) 1 hr, (c) 2 hr, (d) 3 hr, and (e) 4 hr; symbols and colors correspond with Fig. 4.

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441

442 **Table Captions**

443 **Table 1:** Composition and pH of aqueous solutions of acetic acid used to model the acidity range  
444 of the stomach.

445 **Table 2:** The limiting storage modulus,  $G_0'$ , critical value of strain,  $\gamma_c$ , and measure of hysteresis  
446 at  $\gamma = 1\%$ ,  $\Delta G'$ , for un-aged alginate rafts formed in solutions with varying pH.

447 **Table 3:** The limiting storage modulus,  $G_0'$ , critical value of strain,  $\gamma_c$ , and measure of hysteresis  
448 at  $\gamma = 1\%$ ,  $\Delta G'$ , for alginate rafts formed in Solution A (pH 1.1) and aged for 0.5 – 4 hr.

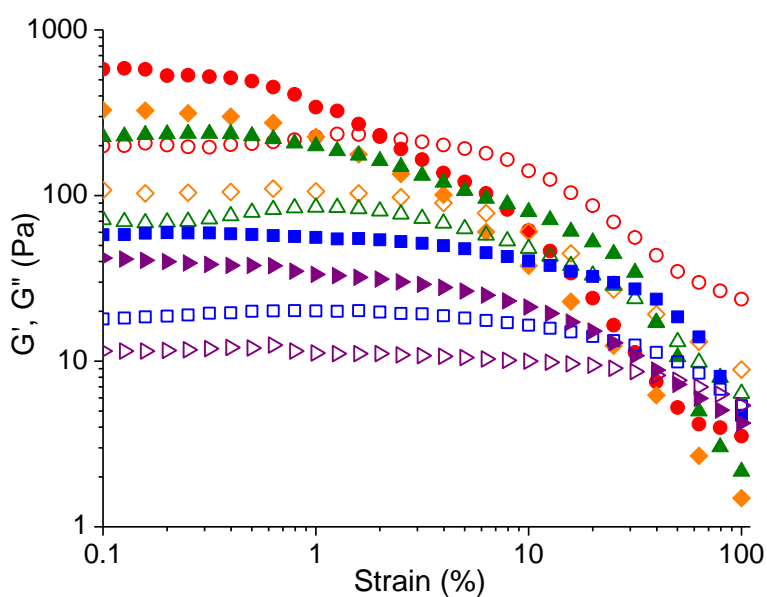
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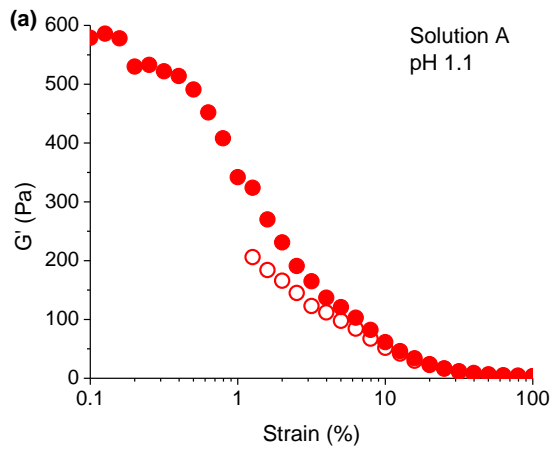
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**Fig. 6:** Photograph of an alginate raft following removal from Solution A.

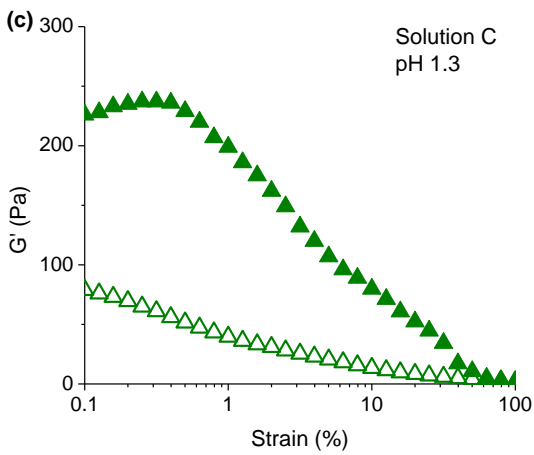
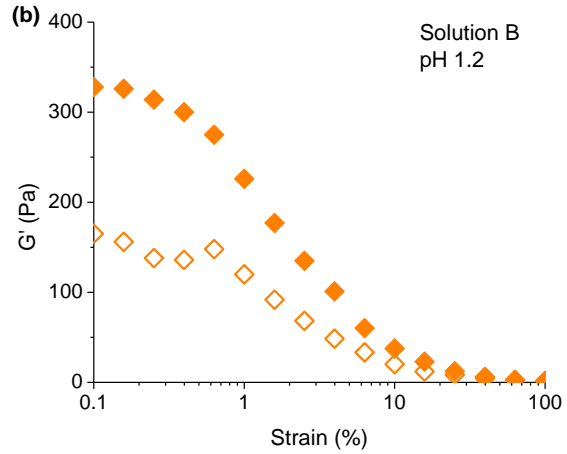


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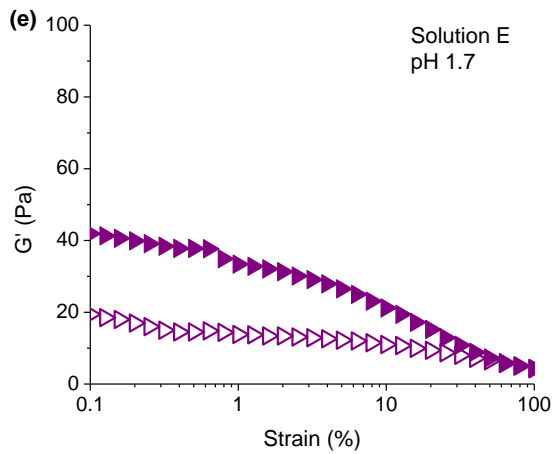
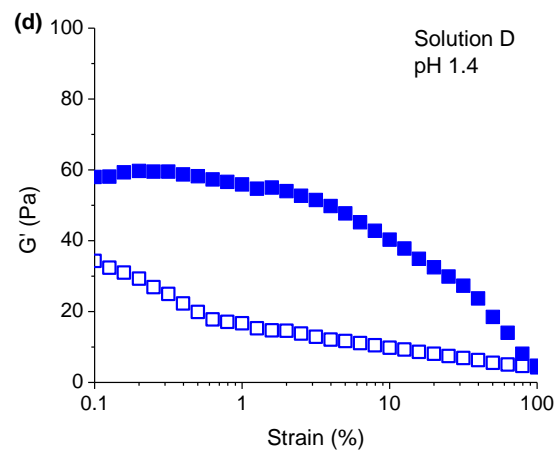
453 **Fig. 7:** Storage moduli ( $G'$ , filled symbols) and loss moduli ( $G''$ , open symbols) for un-aged  
 454 alginate rafts formed in solutions with varying pH – Sample A, pH 1.1 (●); Sample B, pH 1.2  
 455 (◆); Sample C, pH 1.3 (▲); Sample D, pH 1.4 (■); Sample E, pH 1.7 (►) – from oscillatory  
 456 strain amplitude sweep data collected at a constant angular frequency of  $10 \text{ rad s}^{-1}$  and  $T = 37^\circ\text{C}$ .



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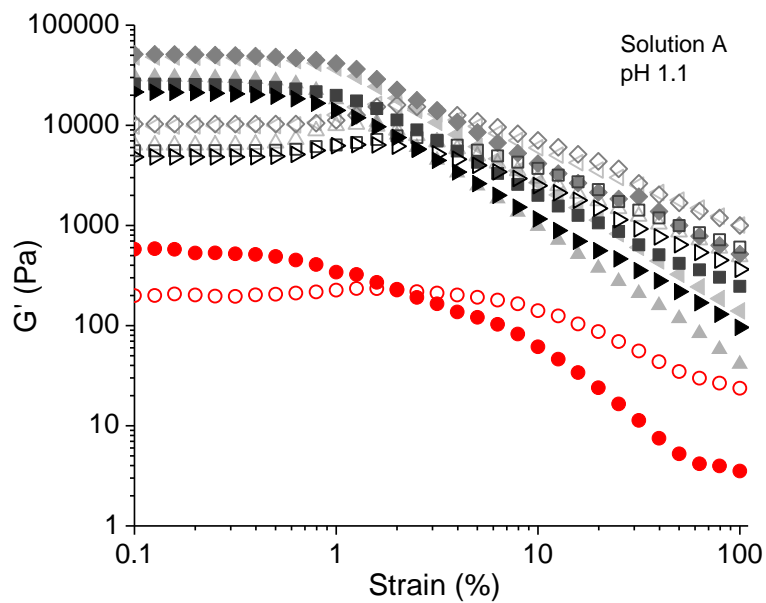
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460 **Fig. 8:** Storage moduli collected from discretely increasing (closed symbols) and decreasing  
 461 (open symbols) oscillatory strain amplitude sweeps at constant angular frequency of 10 rad/s and  
 462  $T = 37^\circ\text{C}$  for un-aged alginate rafts formed in (a) Solution A, pH 1.1; (b) Solution B, pH 1.2; (c)  
 463 Solution C, pH 1.3; (d) Solution D, pH 1.4; and (e) Solution E, pH 1.7.

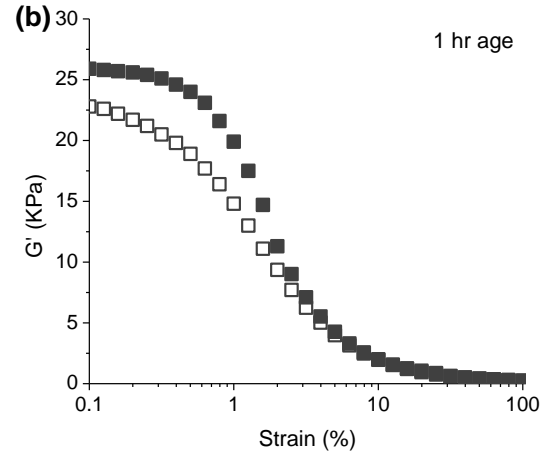
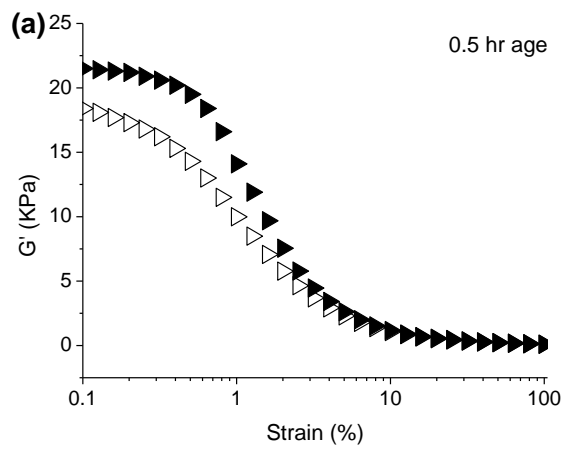




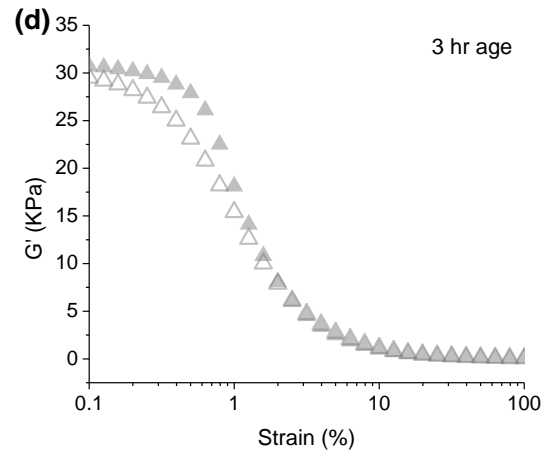
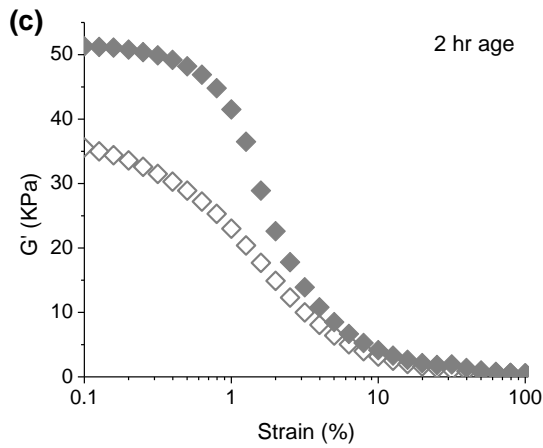
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 465 **Fig. 9:** Storage moduli ( $G'$ , filled symbols) and loss moduli ( $G''$ , open symbols) for alginate  
 466 rafts formed in Solution A (pH 1.1) and aged for 0 hr (un-aged, ●), 0.5 hr (►), 1 hr (■), 2 hr (◆),  
 467 3 hr (▲), and 4 hr (◄); from oscillatory strain amplitude sweep data collected at a constant  
 468 angular frequency of  $10 \text{ rad s}^{-1}$  and  $T = 37^\circ\text{C}$ .

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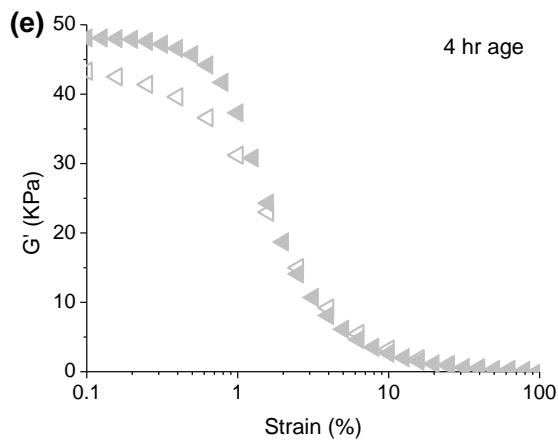
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475 **Fig. 10:** Storage moduli collected from discretely increasing (closed symbols) and decreasing  
476 (open symbols) oscillatory strain amplitude sweeps at constant angular frequency of  $10 \text{ rad s}^{-1}$   
477 and  $T = 37^\circ\text{C}$  for alginate rafts formed in Solution A (pH 1.1) at the following aging conditions:  
478 (a) 0.5 hr, (b) 1 hr, (c) 2 hr, (d) 3 hr, and (e) 4 hr; symbols and colors correspond with Fig. 4.

479

480 **Table 4:** Composition and pH of aqueous solutions of acetic acid used to model the acidity range  
 481 of the stomach.

Solution	Acid Concentration (vol.%)	pH
A	57.7	1.1
B	52.8	1.2
C	48.8	1.3
D	39.6	1.4
E	17.4	1.7

482

483 **Table 5:** The limiting storage modulus,  $G_0'$ , critical value of strain,  $\gamma_c$ , and measure of hysteresis  
 484 at  $\gamma = 1\%$ ,  $\Delta G'$ , for un-aged alginate rafts formed in solutions with varying pH.

Solution	pH	$G_0'$ (Pa)	$\gamma_c$ (%)	$\Delta G'$ (Pa)
A	1.1	500	2.5	125 (-42%)
B	1.2	330	4.5	106 (-47%)
C	1.3	230	39	159 (-80%)
D	1.4	60	90	40 (-70%)
E	1.7	42	50	20 (-59%)

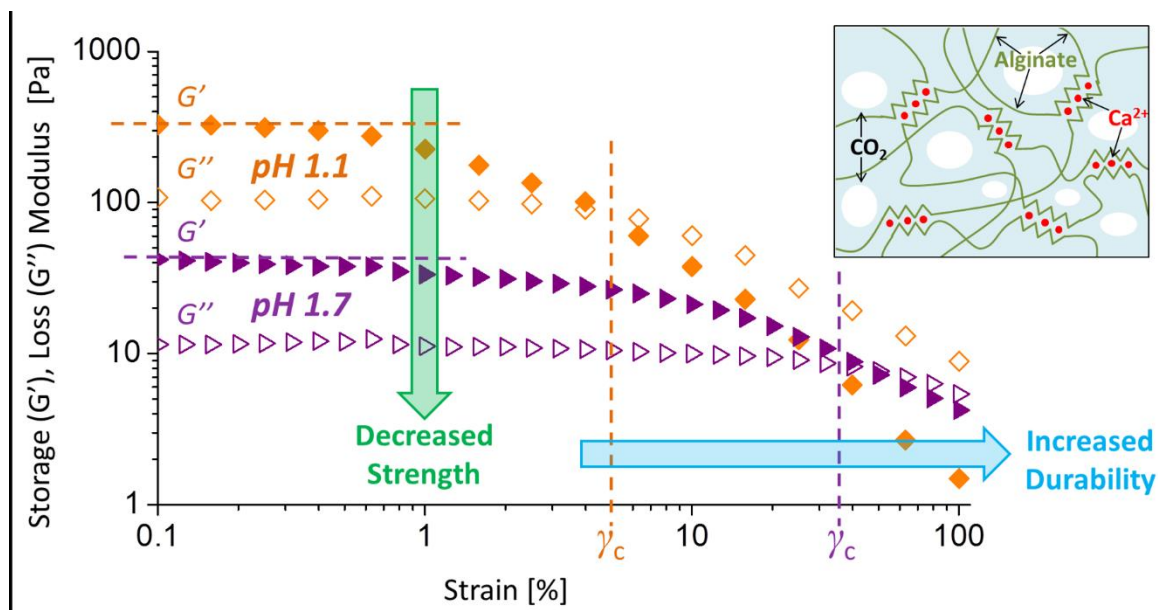
485

486 **Table 6:** The limiting storage modulus,  $G_0'$ , critical value of strain,  $\gamma_c$ , and measure of hysteresis  
 487 at  $\gamma = 1\%$ ,  $\Delta G'$ , for alginate rafts formed in Solution A (pH 1.1) and aged for 0.5 – 4 hr.

Aging Time (hr)	$G_0'$ (Pa)	$\gamma_c$ (%)	$\Delta G'$ (Pa)
0 (un-aged)	500	2.5	125 (-42%)
0.5	21,500	2.5	4,110 (-29%)
1	25,900	3.5	5,100 (-26%)
2	51,300	3.0	18,500 (-45%)
3	30,700	1.8	2,700 (-15%)
4	48,100	2.3	6,100 (-16%)

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