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Citation for published version:

Dexter, K, Lavin, M, Torke, B, Twyford, A, Kursar, TA, Coley, PD, Drake, C, Hollands, R & Pennington, RT 2017, 'Dispersal assembly of rain forest tree communities across the Amazon basin' Proceedings of the National Academy of Sciences. DOI: 10.1073/pnas.1613655114

Digital Object Identifier (DOI):

[10.1073/pnas.1613655114](https://doi.org/10.1073/pnas.1613655114)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

Proceedings of the National Academy of Sciences

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1 **Classification: BIOLOGICAL SCIENCES**

2 **Title: Dispersal assembly of rain forest tree communities across the Amazon basin**

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18

19 Keywords: Amazonia, biogeography, community assembly, phylogenetic structure, tropical trees

20

21 **ABSTRACT (250 words max)**

22 We investigate patterns of historical assembly of tree communities across Amazonia using a newly
23 developed phylogeny for the species-rich neotropical tree genus *Inga*. We compare our results with
24 those for three other ecologically important, diverse and abundant Amazonian tree lineages,
25 *Swartzia*, *Protieae* and *Guatteria*. Our analyses using phylogenetic diversity metrics demonstrate a
26 clear lack of geographic phylogenetic structure and that local communities of *Inga* and regional
27 communities of all four lineages are assembled by dispersal across Amazonia. The importance of
28 dispersal in the biogeography of *Inga* and other tree genera in Amazonian and Guianan rain forests
29 suggests that speciation is not driven by vicariance and that allopatric isolation, following dispersal,
30 may be involved in the speciation process. A clear implication of these results is that over
31 evolutionary timescales the metacommunity for any local or regional tree community in the Amazon
32 is the entire Amazon basin.

33

34 **SIGNIFICANCE STATEMENT (120 words max)**

35 The Amazon is largely covered by contiguous rain forest. Nevertheless, previous studies have
36 suggested that past geological and climatic events as well as limited seed dispersal may have
37 restricted the movement of tree lineages across the Amazon. Using a phylogenetic approach, we
38 show that dispersal into local communities and larger regions in the Amazon appears not to have
39 been limited on evolutionary timescales. Rather, local communities have been assembled by
40 lineages from across the Amazon. These results contrast with those from seasonally dry tropical
41 forest, where closely related species are clustered in geographic space. Further, our results suggest a
42 role for dispersal as an initiator for geographic isolation that may lead to speciation in Amazonian
43 trees.

44 \body

45 INTRODUCTION

46 Amazonia is well known to have the most species-rich tree communities on the planet, with more
47 than 300 species (≥ 10 cm diameter) found in a single hectare (1). These communities are assembled
48 from the species pool of Amazonia, which is estimated to number 16,000 species (2). While some
49 species are widespread across the Amazon basin (3), the majority are more restricted geographically
50 (2), which has been the basis for schemes that divide the Amazon into floristic regions, including
51 distinguishing the Guianan Shield flora from that of the Brazilian Shield or the western Amazon basin
52 (4,5). The pattern of diverse local Amazonian tree communities assembled from a species pool that
53 mostly comprises regionally restricted species begs the question of how the regional communities
54 are assembled through time. Regional communities could result from extensive local *in situ*
55 speciation (6-8) with little subsequent dispersal. This would predict a pattern of geographically
56 structured phylogenies with closely related species found in the same region. However, an idea that
57 has been little tested using phylogenies of Amazonian plant species (9) is that the assembly of
58 regional rain forest tree communities has been heavily influenced by historical dispersal of species.
59 This would predict a pattern for communities that lacked geographic phylogenetic structure, where
60 species from a single genus found in a regional community would be phylogenetically scattered.

61 Biogeographic studies of tree families that form important components of Amazonian forest, such as
62 legumes (10), Annonaceae (11), Burseraceae (12), Chrysobalanaceae (13) and Meliaceae (14), have
63 demonstrated that dispersal has been important in developing their distributions across continents
64 and oceans (15,16). The existence of long-distance, transoceanic dispersal at the intercontinental
65 scale suggests that there should be little to hinder dispersal across the flat, continuously forested
66 Amazon Basin because of its lack of present-day physical barriers. Whilst there is debate of the role
67 of potential historical dispersal barriers in the Amazon, such as forest fragmentation during
68 Pleistocene climate changes (17-19) and a large freshwater lake (Pebas) or marine incursions that

69 occupied much of western Amazonia in the Miocene (20,21), these are far less substantial
70 impediments to plant dispersal than major oceans. Once a species does successfully disperse to a
71 new location, it would still need to establish a population. Establishment can be challenging given
72 that any immigrant seed is numerically swamped by locally produced seeds (22), but large-scale
73 resident mortality in rain forests may be sufficiently common due to drought mortality or landscape
74 rearrangements from radical movement of river courses to allow for establishment of immigrant
75 species (20,23). We therefore suggest that there has been ample opportunity for historical
76 immigration to play a key role in the assembly of Amazonian tree communities, as proposed by Lavin
77 (24) and Pennington & Dick (25), and it is this hypothesis that we test in this paper.

78 We use a new phylogeny of *Inga* (Leguminosae (Fabaceae): Mimosoideae) that samples local and
79 regional communities in Amazonia, including the Guiana Shield, plus the *Inga* community on Barro
80 Colorado Island, central Panama, to investigate patterns of historical community assembly (Fig. 1).
81 The neotropical tree genus *Inga* is species-rich (>300 species), widely distributed, and has
82 consistently high local abundance (2,26) and species richness, with up to 43 species recorded in 25
83 Ha (27). It is therefore an excellent exemplar to study community assembly in neotropical rain
84 forests. Our phylogeny of *Inga* is novel in that it samples thoroughly across multiple, geographically
85 dispersed, local Amazonian tree communities in the context of good phylogenetic coverage of an
86 entire clade. We compare our *Inga* results at a regional scale with those for three other tree
87 lineages, *Swartzia* (Leguminosae, Papilionoideae), *Protieae* (Burseraceae) and *Guatteria*
88 (Annonaceae), which are also ecologically important, diverse and abundant in Amazonia, to
89 investigate whether patterns in *Inga* are general for Amazonian tree communities. Finally, we
90 contrast the picture of community assembly we uncover for Amazonian rain forest communities
91 with patterns in the seasonally dry tropical forest biome, which has greater physical barriers to
92 dispersal and different ecological barriers to establishment.

93

94 **RESULTS**

95 Our phylogeny for *Inga*, which is based on eight molecular markers and includes 210 accessions of
96 124 species, resolves relationships amongst major clades and shows that *Inga* communities in Peru,
97 French Guiana and Panama comprise phylogenetically scattered species (Fig. 2, S1). These results,
98 which show clear lack of geographic structure in the phylogeny of *Inga*, are mirrored by the other
99 tree lineages with numerous Amazonian species that we have analysed. We evaluated geographic
100 phylogenetic structure by calculating phylogenetic diversity metrics for local communities and
101 regions and comparing the observed values to a null expectation generated by randomly sampling
102 species from the phylogenies. We used three phylogenetic diversity metrics (cf. 28,29): 1)
103 phylogenetic diversity sensu stricto (PD_{ss}), the total phylogenetic branch length present among
104 species in a given community/region; 2) mean pairwise distance (MPD), the mean of all pairwise
105 phylogenetic distances between species in a given community/region; and 3) mean nearest taxon
106 distance (MNTD), the mean of the phylogenetic distance between each species and its closest
107 relative in a given community/region. If species show significantly lower values than the null
108 expectation, this indicates geographic phylogenetic structure or clustering, while significantly higher
109 values than expected indicate phylogenetic overdispersion. Of the three local Amazonian
110 communities, none show phylogenetic clustering for any of the metrics evaluated (Table S2), while
111 Nouragues Research Station shows slight phylogenetic overdispersion. The *Inga* community on Barro
112 Colorado Island, Panama, shows significant phylogenetic clustering, as evaluated by PD_{ss} and MPD.

113 For *Inga*, we obtained sufficient sampling from five Amazonian regions to test more broadly for
114 geographic phylogenetic structure. As with local Amazonian *Inga* communities, no Amazonian region
115 shows significant phylogenetic clustering by any metric (i.e., no points in Figs. 3, S2 or S3 below the
116 grey area encompassing the 95% confidence interval; see also Table S2), while French Guiana shows
117 slight phylogenetic overdispersion according to the PD_{ss} metric (Fig. 3; i.e., it is above the grey area
118 encompassing the 95% confidence interval) and Loreto shows overdispersion using the MPD metric

119 (Fig. S2). Meanwhile, Central America is the only region to show significant phylogenetic clustering
120 for all three metrics (Figs. 3, S2, S3; i.e., in every case below the grey area encompassing the 95%
121 confidence interval).

122 This lack of geographic structure is duplicated in regional Amazonian communities of *Swartzia*,
123 *Protieae* and *Guatteria*, as measured by all metrics (Figs. 3, S2, S3, Table S2). All species in regional
124 Amazonian communities represent a random draw from each phylogeny, as measured by all metrics,
125 with the sole exception of the *Swartzia* community in Guyana as measured by MNTD (Fig. S3, Table
126 S2). The only cases where species in regional communities are consistently more closely related than
127 would be expected by chance are in Central America (*Inga* [all metrics], *Swartzia* [all metrics],
128 *Protieae* [PDss]) and the Atlantic coastal rain forest of Brazil (*Guatteria* and *Swartzia* [all metrics])
129 (Figs. 3, S2, S3, Table S2). The level of sampling of different geographic regions varies widely (see x-
130 axes in Figs. 3, S2, S3), but we note that well-sampled and poorly-sampled Amazonian regions
131 present similar results. In general, neither depart significantly from null expectations for the
132 phylogenetic diversity metrics.

133 Our results for geographic structure in *Protieae* differ slightly from those presented by Fine et al.
134 (30), who calculated MTD and MNTD for major biogeographic regions in a global scale study of
135 *Protieae* that included palaeotropical species. Firstly, the three Amazonian regions used by Fine et al.
136 (30; eastern Amazonia, western Amazonia, Guianas) are larger than those used here and therefore
137 not directly comparable. Further, we analysed only the neotropical clade of *Protieae* given our focus
138 on local and regional Amazonian communities, for which the Neotropics alone may be a more
139 appropriate wider metacommunity from which to draw random communities. Including
140 palaeotropical species, which form two clades basal to the neotropical species of *Protieae*, will have
141 the effect of inflating values of phylogenetic diversity in the random communities, which may also
142 contribute to why Fine et al. (30) found greater evidence for phylogenetic clustering in the regional
143 communities they considered.

144

145 **DISCUSSION**

146 ***The primacy of historical dispersal in the assembly of local and regional communities***

147 Our results demonstrate that tree communities at local (for *Inga*) and regional scales (for *Inga*,
148 *Swartzia*, *Protieae* and *Guatteria*) are assembled by dispersal across Amazonia. Species in all local
149 Amazonian *Inga* communities and virtually all regional communities across all lineages are a random
150 draw from the phylogeny in each of our exemplar taxa. This shared pattern is found despite the
151 different fruit morphologies of these lineages, which reflects a variety of vertebrate dispersers. *Inga*
152 is primarily dispersed by primates; *Protieae*'s small endozoochorous fruits attract a wide variety of
153 birds, bats, and terrestrial mammal species (31); *Guatteria* has been observed to be eaten by
154 primates and birds (32); and *Swartzia* is dispersed by birds (33), primates (34) and in one species,
155 water (35).

156 The only exception to this lack of phylogenetic geographic structuring is found outside of Amazonia
157 in the rain forests of Atlantic coastal Brazil (in *Swartzia* and *Guatteria*) and Central America
158 (*Swartzia*, *Inga*, *Protieae*). The phylogenetic clustering found in these areas may reflect that they are
159 isolated from the Amazon by major physical barriers – the Andes mountains for Central America and
160 a 'dry diagonal' of seasonally dry vegetation formations across eastern Brazil for the Brazilian
161 Atlantic coast (36,37). In addition, the presence of physical barriers isolating these non-Amazonian
162 areas has been suggested as an explanation for greater phylogeographic structure found there
163 amongst populations of *Symphonia globulifera*, a widespread tree species (38).

164 The implication of the lack of geographic phylogenetic structure demonstrated here is that, on
165 evolutionary timescales, the metacommunity for any regional or local tree community in the
166 Amazon is the entire Amazon basin. This does not preclude a role for ecological filtering in the
167 assembly of local communities. Our own and other previous work shows that *Inga* species in Madre

168 de Dios have clear habitat preferences and that environmental filtering affects species composition
169 of *Inga* communities (39-41). Further, our work has shown *Inga* species that defend themselves
170 against herbivores in distinct ways are more likely to co-occur, signifying filtering based on herbivore
171 defence traits (42). Thus, ecological processes clearly can play a role in local community assembly.
172 However, the species that may populate any given region and provide species for local communities
173 could have ancestry from anywhere in the Amazon and from any clade of the *Inga* phylogeny.

174 Interestingly, the average relatedness of co-occurring congeneric species differs markedly among the
175 four genera we study here (Fig. S2). For example, the average phylogenetic distance between co-
176 occurring *Inga* species is 3 myrs (divergence time of 1.5 myrs), while that among *Protieae* species is
177 36 myrs. This could have significant implications for the level of ecological interaction among co-
178 occurring *Inga* versus *Protieae* species, for example competition might be considered to be more
179 intense amongst *Inga* species because of their recent divergence (43), which could in turn influence
180 the composition of local and regional communities. However, our analyses tend to suggest that the
181 average phylogenetic distance among co-occurring species of a given genus may simply depend on
182 the age of the genus, although the exact phylogenetic distance estimates will depend on how well
183 the genus has been sampled phylogenetically. Further, the high degree of sympatric co-occurrence
184 observed for the species-rich genera we study here suggests that there may not be strong
185 constraints on the number of co-occurring congeneric species, especially if they differ in herbivore
186 defence traits (42,44,45). One of the key factors influencing the number of co-occurring species of a
187 given genus in a given Amazonian tree community may simply be the total diversity of that genus in
188 the Amazon, because dispersal into regions, which provide species for local communities, does not
189 seem to be limited (46).

190 We emphasise that the generality of our results may only apply to larger trees, and that there are
191 indications that patterns of geographic structure in phylogenies of shrubs, understory trees and
192 other tropical plant life forms may differ (47). For example, the phylogeny of the tropical rain forest

193 herb genus *Pilea* is highly congruent with geography, which may reflect limited pollen dispersal and
194 mechanical dispersal of seeds over very short distances of a few millimetres (48). Our results also
195 contrast with studies published for large terrestrial birds (49) and primates (50), which show more
196 geographically structured patterns in their phylogenies.

197

198 ***Contrasting patterns of community assembly amongst different biomes***

199 The pattern of assembly of regional tree communities reported for the neotropical seasonally dry
200 forest biome (24,51,52) differs markedly from that discovered here for regional Amazonian
201 communities. Phylogenies of several genera of woody plants characteristic of seasonally dry tropical
202 forests in the Neotropics (e.g., *Coursetia*, *Poissonia*, *Cyathostegia*, *Amicia*) demonstrate that clades
203 of species are confined to single regions of dry forest such as the Brazilian caatingas (53) or
204 seasonally dry Andean valleys (52). These differences are not artefacts of the age of clades because
205 the crown clades of these dry forest genera are older than that of *Inga*; despite historical dispersal
206 having had less time to operate in *Inga*, successful dispersal and establishment events are more
207 prevalent.

208 The geographic phylogenetic structure shown in dry forest clades may reflect two factors (51). First,
209 unlike the continuous Amazon rain forest, dry forest areas are scattered across the Neotropics,
210 physically isolated by high mountains or areas of mesic vegetation, and this may limit dispersal
211 amongst them (51). Second, ecological factors, operating over evolutionary timescales, are different
212 in dry forests, and this may alter the probability of propagules establishing after dispersal (51, 54).
213 For example, there may be more opportunities for immigration into rain forests where drought can
214 cause widespread tree mortality (23), and landscape evolution is also known to be dynamic over
215 evolutionary timescales in Amazonia, especially via radical movement of river courses (20,55), which

216 may be an additional source of environmental instability creating opportunities for successful
217 immigration.

218

219 ***Implications for processes of diversification in Amazonian rain forest trees***

220 A key role for dispersal in *Inga* and other important tree genera has implications for understanding
221 speciation histories in Amazonian rain forests. For Amazonian trees the lack of geographic
222 phylogenetic structure that we find in local and regional communities provides little support for
223 large-scale reconfigurations of the landscape causing common vicariance of continuous populations
224 of multiple species, a conclusion reached recently for Amazonian birds (56). Large-scale geological
225 events that subdivide populations would lead to congruent geographic phylogenetic patterns across
226 lineages, but there is little evidence for common deep imprints of geological events in Amazonian
227 tree phylogenies. For example, geographic phylogenetic structure across the Miocene Lake Pebas is
228 not detected in the phylogenies of *Inga*, *Swartzia*, *Guatteria* or *Protieae*. Instead, geographic
229 patterns are particular to lineages, reflecting a primacy for idiosyncratic historical dispersal in
230 generating distributions (25,53). The lack of congruent patterns suggests that allopatric speciation
231 involving population vicariance caused by common geological factors is unlikely.

232

233 Rather than geological phenomena that isolate regions, our results for multiple Amazonian tree
234 lineages are more consistent with the founding of isolated peripheral populations by dispersal,
235 which could then lead to speciation. This model is also consistent with patterns in some Amazonian
236 tree lineages of phylogenetic nesting of species within paraphyletic progenitor species (57). An
237 alternative model would be more localised speciation followed by sufficient dispersal, which could
238 also result in the random phylogenetic composition of tree communities that we show here, and
239 also nesting of species within paraphyletic ancestors. Such local speciation could be via hybridisation
240 or adaptation to soil types (6,8,30,58). The documented inter-sterility of sympatric *Inga* species (59)

241 argues against a role for hybridisation in speciation of that genus, but our biggest challenge to
242 understanding the mechanism of speciation is that rampant dispersal may overwrite the original
243 signature of genetic divergence. To distinguish the relative importance of ecological divergence,
244 breeding systems and allopatric isolation in driving diversification of Amazonian trees, it would be
245 fruitful to characterise further the variation in the functional ecology, biology and underlying
246 genetics of species of *Inga* and other diverse tree genera across their ranges.

247

248 **MATERIALS AND METHODS**

249 ***Sampling***

250 In the Amazon basin and Guianas, together comprising what we term Amazonia, we sampled 181
251 *Inga* individuals, representing 105 total species (including 20 unidentified morphospecies). Outside
252 of the Amazon basin, we sampled two species in Ecuador west of the Andes, three species in the
253 Caribbean, and 23 species in Central America. In total our phylogenetic sampling for *Inga* included
254 four local communities and seven regional communities and comprised 210 individuals from 124
255 species (Tables S1, S2). This represents many more accessions and more than double the species
256 sampling in prior *Inga* phylogenies (39,42,60; sampled from 37 to 55 species]). Because our goal was
257 to sample as many species as possible in individual local and regional communities, we sampled 44
258 of the total 124 species more than once, because these species were present in more than one
259 region. We did not sample any species more than once within any one local or regional community.

260 *Swartzia* (Leguminosae-Papilionoideae) contains approximately 200 neotropical species found from
261 southern Mexico to southern Brazil, including the Caribbean islands (61). *Swartzia* occurs in a variety
262 of habitats, but is especially typical of lowland rain forests, where 10 or more species can be found
263 growing in sympatry (62). Phylogenetic data and the sampling locality for each accession of *Swartzia*

264 come from Torke & Schaal (63), who sampled 76 species, including multiple exemplar species of
265 each of the infrageneric groupings (see 64), covering the full geographic range of the genus.

266 The tribe Protieae (Burseraceae), comprising *Protium* together with *Tetragastris* and
267 *Crepidospermum* nested within it, is an important tree lineage in terms of its diversity and
268 abundance in neotropical and palaeotropical rain forests (2,30). The majority of Protieae species are
269 found in the Amazon basin and the Guianas, but there are smaller numbers of species occurring in
270 other areas, including Central America, the Caribbean, and the Brazilian Atlantic Forest. Phylogenetic
271 data for Protieae come from Fine et al. (30), who sampled 102 species covering 75% of accepted
272 species names and all pantropical areas of distribution.

273 *Guatteria* (Annonaceae) is an abundant and diverse component of lowland rain forests in the
274 Neotropics and is a member of the magnoliids, a basally divergent angiosperm lineage. The genus is
275 hypothesized to have originated in Africa and to have colonized South America via North and then
276 Central America during the late Miocene (65). Nevertheless, *Guatteria* is most diverse in lowland
277 Amazonia (66,67). The published phylogeny of the genus covers 97 of 265 named species from
278 Central America to the Mata Atlantica, with 39 accessions covering 38 species sampled from
279 Amazonia (Bolivia, Peru, Colombia, Brazil and the Guianas), representing 40% of the species found in
280 these areas (67).

281 ***Phylogenetic reconstruction***

282 For *Inga*, we sequenced seven chloroplast regions (*rpoCl*, *psbA-trnH*, *rps16*, *trnL-F*, *trnD-T*, *ndhF*-
283 *rpl32*, *rpl32-trnL*; 5916 aligned bp) and the nuclear ribosomal internal transcribed spacer regions (*ITS*
284 *1 & 2*; 572 aligned bp) (Table S1). PCR and sequencing protocols for chloroplast regions are given by
285 Kursar et al. (42) and for *ITS* by Richardson et al. (60) and Dexter et al. (39). Sequences were initially
286 aligned using MAFFT (68) and then adjusted manually, which was straightforward given low
287 sequence divergence. The phylogeny was estimated under a maximum likelihood framework using

288 RAxML with separate partitions and models for *ITS* and cpDNA and 1000 bootstrap replicates to
289 estimate node support (69). The phylogeny was subsequently time-calibrated using penalised
290 likelihood (70), where the crown age was constrained to 6 myrs (following 24,60).

291 The *Inga* phylogeny resolves numerous clades with reasonable bootstrap support (Fig. 2, Fig. S1) and
292 is the best resolved *Inga* phylogeny to date, though within major clades the relationships amongst
293 closely related species are not always well resolved, reflecting the recent evolutionary radiation of
294 the genus (60). The topology of our phylogeny is largely congruent with that presented by Nicholls et
295 al. (71) based upon 194 nuclear loci, which shows high support for all branches. There are only two
296 strongly supported incongruencies between the two phylogenies, involving two species, *I. laurina*
297 and *I. ruiziana*, and a formal statistical test (72) shows that the phylogenies are significantly
298 congruent ($I_{\text{cong}} = 1.46$, $p = 0.0016$). Although Nicholls et al. (71) sampled only 22 *Inga* species, the
299 topological congruence gives confidence that our less well supported phylogeny does reflect
300 phylogenetic relationships accurately.

301 For *Swartzia*, aligned sequences from Torke & Schaal (63) were downloaded from TreeBase and a
302 phylogeny estimated under a maximum likelihood optimality criterion as described for *Inga* using
303 separate partitions and models for ITS, AAT1 and chloroplast DNA. This phylogeny was subsequently
304 time-calibrated using penalised likelihood where the crown age was constrained to 13.6 myrs
305 (following 73). For Protieae, the time-calibrated Bayesian phylogeny reported by Fine et al. (30) was
306 downloaded from TreeBase. For *Gutteria*, sequences reported by Erkens et al. (66) were
307 downloaded from Genbank and a phylogeny was estimated under a maximum likelihood optimality
308 criterion as described above for *Inga* with a single partition and model because all loci reported are
309 from the chloroplast genome. This phylogeny was subsequently time-calibrated using penalised
310 likelihood where the crown age was constrained to 17.2 myrs following Erkens et al. (65).

311 ***Analyses of geographic phylogenetic structure***

312 We analyzed geographic phylogenetic structure at two scales (Fig. 1): local communities (*Inga* only)
313 and regions (across all groups). In the case of *Inga*, we were able to sample all or nearly all species in
314 four local communities (see above) at Los Amigos and Madreselva Biological Stations (Peru),
315 Nouragues Research Station (French Guiana) and Barro Colorado Island (Panama) (Fig. 1). The scale
316 of the local communities varied from ~6 km² (Madreselva) to 15.6 km² (Barro Colorado Island).

317 We defined 13 geographic regions with sufficient sampling (≥5 species in nearly all cases) that could
318 be analyzed across the different phylogenies (Fig. 1) using our knowledge for *Inga* and *Swartzia*, and
319 information in Fine et al. (30) for *Protieae* and in Erkens et al. (66) for *Guatteria*. In Amazonia and
320 the Guianas these are geographic political units of similar size, such as states in Brazil, departments
321 in Peru, or countries such as Guyana. Beyond Amazonia and the Guianas, the defined regions were
322 the Mata Atlântica (Atlantic coastal rain forest) of Brazil, the Chocó of Colombia and Ecuador (i.e.,
323 South American rain forests on the Pacific coast west of the Andes), Central America (Panama north
324 to Mexico) and the Caribbean. If an accession sampled in our phylogenies came from one of these
325 regions, as indicated by its published locality (30,63,66), it was scored as present there. An
326 alternative approach would be to assign a given species in the phylogeny to every region in which it
327 is known to occur (30). This approach might be problematic if accessions are misidentified or not
328 positively identified (i.e. morphospecies) or if species distributions are imperfectly known. For *Inga*,
329 we conducted a series of sensitivity analyses to assess if our results were robust to our approach of
330 only assigning accessions to the regions in which they were collected, and this revealed no effect on
331 our results (see SI).

332 If closely related species within a clade (in this case *Inga*, *Swartzia*, *Protieae* or *Guatteria*) are found
333 near each other in geographic space because they originated by local, in-situ speciation with little
334 subsequent dispersal then we would expect the phylogenetic diversity represented by species in
335 regions and local communities to be less than that if the same number of species were drawn
336 randomly from across the phylogeny. Conversely, if distant dispersal is common over one or multiple

337 generations, causing local and regional communities to be assembled stochastically from a wide
338 geographic pool, then we expect that the phylogenetic diversity in communities and regions would
339 be more commensurate with a random draw from the phylogeny. We evaluated phylogenetic
340 diversity using three metrics described above. The null expectations for each of these metrics, and
341 the uncertainty around them, were calculated by randomly drawing the same number of species as
342 present in communities/regions from the phylogeny and repeating this process 999 times.
343 Significant phylogenetic clustering for a given community/region was deemed to be present when
344 the observed phylogenetic diversity metric was less than the lower 2.5% quantile of the randomly
345 generated distribution for that species richness, while significant overdispersion would be indicated
346 by a value greater than the 97.5% quantile.

347

348 **ACKNOWLEDGEMENTS**

349 RTP thanks the Leverhulme Trust for Study Abroad Fellowship RF/2/2006/0142, which supported
350 DNA sequencing and development of ideas in this paper in ML's lab. KGD, PDC, and TAK thank the
351 Ministry of Agriculture of Peru for permission to conduct research in Peru and the Nouragues
352 Research Station for grants to fund fieldwork in French Guiana (2009 Call for Research at
353 Nouragues). Field research by PDC and TAK was supported by NSF grants (DEB-0640630 and
354 Dimensions 1125733). ADT is supported by NERC Fellowship NE/L011336/1. We thank Terry
355 Pennington for help with *Inga* species identifications and Paul Fine and Hans ter Steege for helpful
356 comments and discussion.

357

358 **AUTHORSHIP STATEMENT**

359 KGD, PDC, TAK collected *Inga* leaf samples in the field; KGD, RTP, ML, ADT, CD, RH generated DNA
360 sequence data; KGD and RTP performed phylogenetic analyses; KGD performed analyses of

361 phylogenetic geographic structure; KGD and RTP wrote the first draft of the manuscript and all
362 authors contributed substantially to revisions.

363

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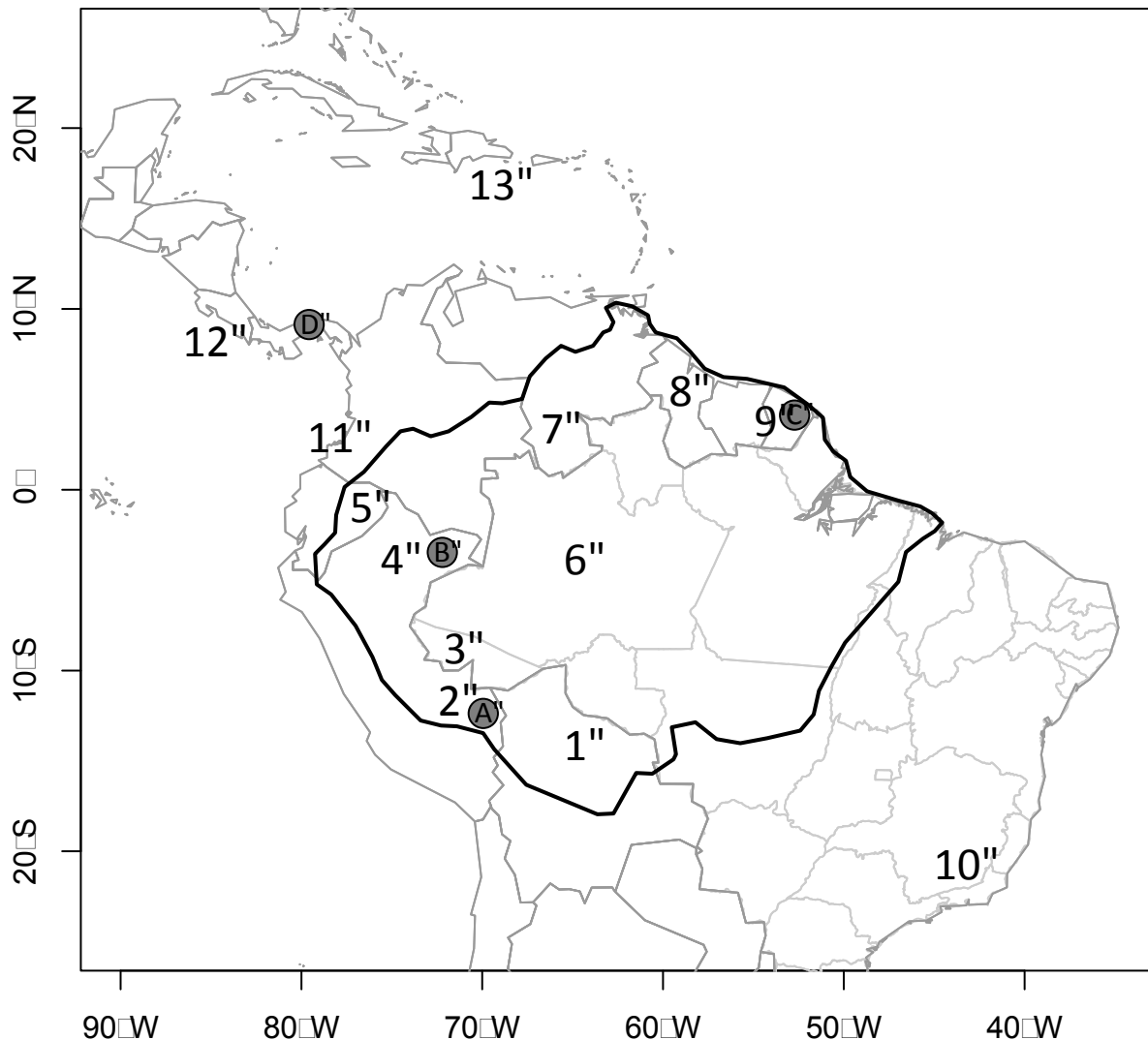
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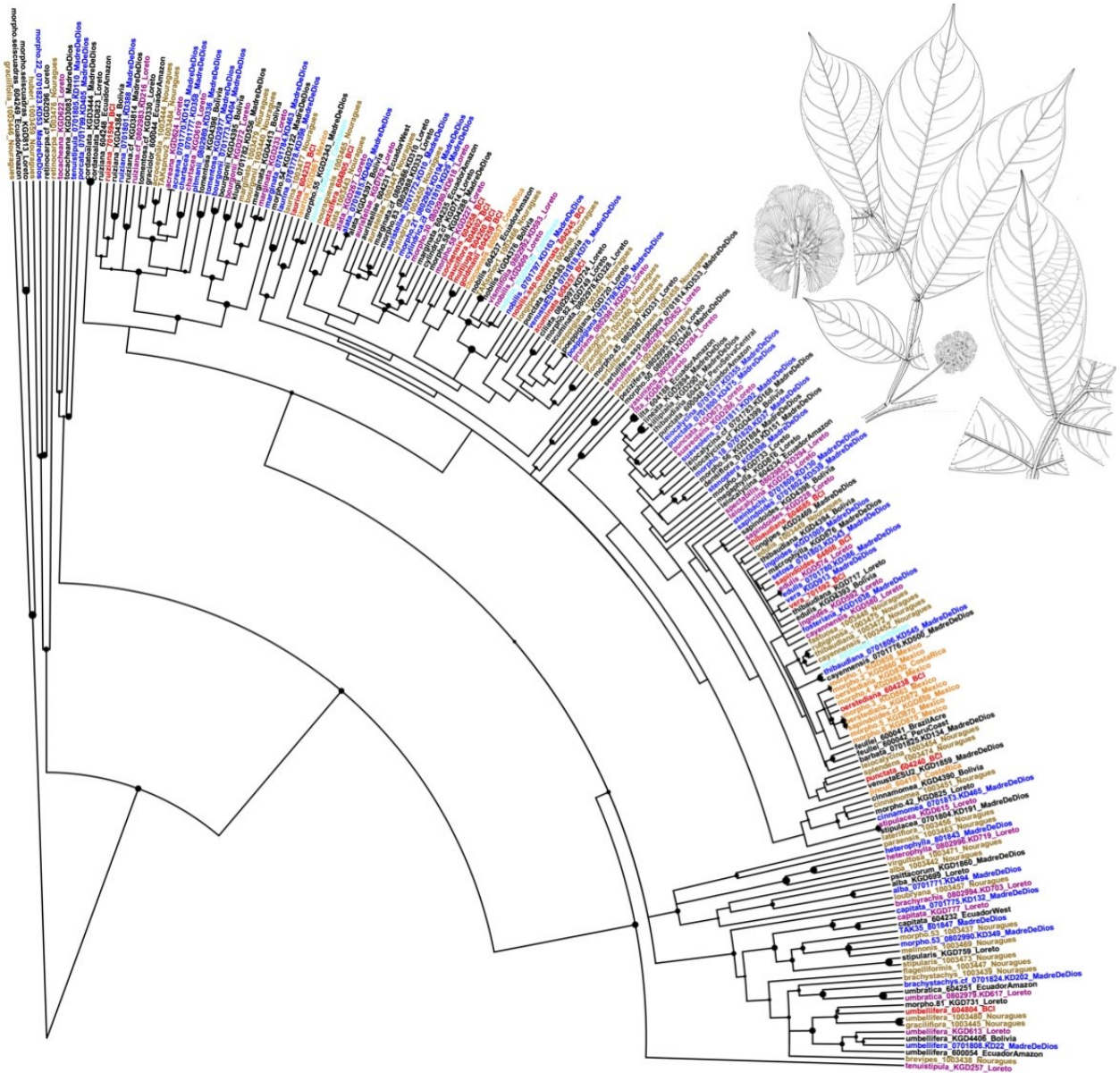
537 **Figure 1:** Map of the 13 Neotropical regions used in the analyses of phylogenetic geographic
538 structure for the four focal genera: 1) Amazonian Bolivia, 2) Madre de Dios (southern) Peru, 3) Acre,
539 Brazil, 4) Loreto (northern) Peru, 5) Amazonian Ecuador, 6) Amazonas, Brazil, 7) Amazonas,
540 Venezuela, 8) Guyana, 9) French Guiana, 10) Mata Atlantica (Atlantic rain forest), 11) Choco (trans-
541 Andean) Colombia and Ecuador, 12) Central America, and 13) the Caribbean. Letters denote location
542 of the local communities of *Inga* (Leguminosae) that received in-depth sampling: A) Los Amigos
543 Biological Station, B) Madreselva Biological Station, C) Nouragues Research Station, and D) Barro
544 Colorado Island. The dark black line denotes our delimitation of 'Amazonia', which includes wet and
545 moist forests across the Amazon Basin and the Guianan Shield.

546 **Figure 2:** Phylogeny of 210 accessions representing 124 *Inga* (Leguminosae) species with a maximum
547 of one individual per species per region. Accessions from focal communities are colored as follows:
548 Los Amigos Biological Station (blue), Madreselva Biological Station (purple), Nouragues Research
549 Station (brown), and Barro Colorado Island (red). Additional accessions are colored by biogeographic
550 region: Amazon (black), Central America (orange) and Caribbean (cyan). Circle size at nodes is
551 proportional to bootstrap support. See Figure S1 for details of tip labels and node support values.
552 The line drawing at the top right is *I. pitmanii*, a regionally restricted species, apparently endemic to
553 Madre de Dios, Peru (reproduced with permission from *Novon*; 71).

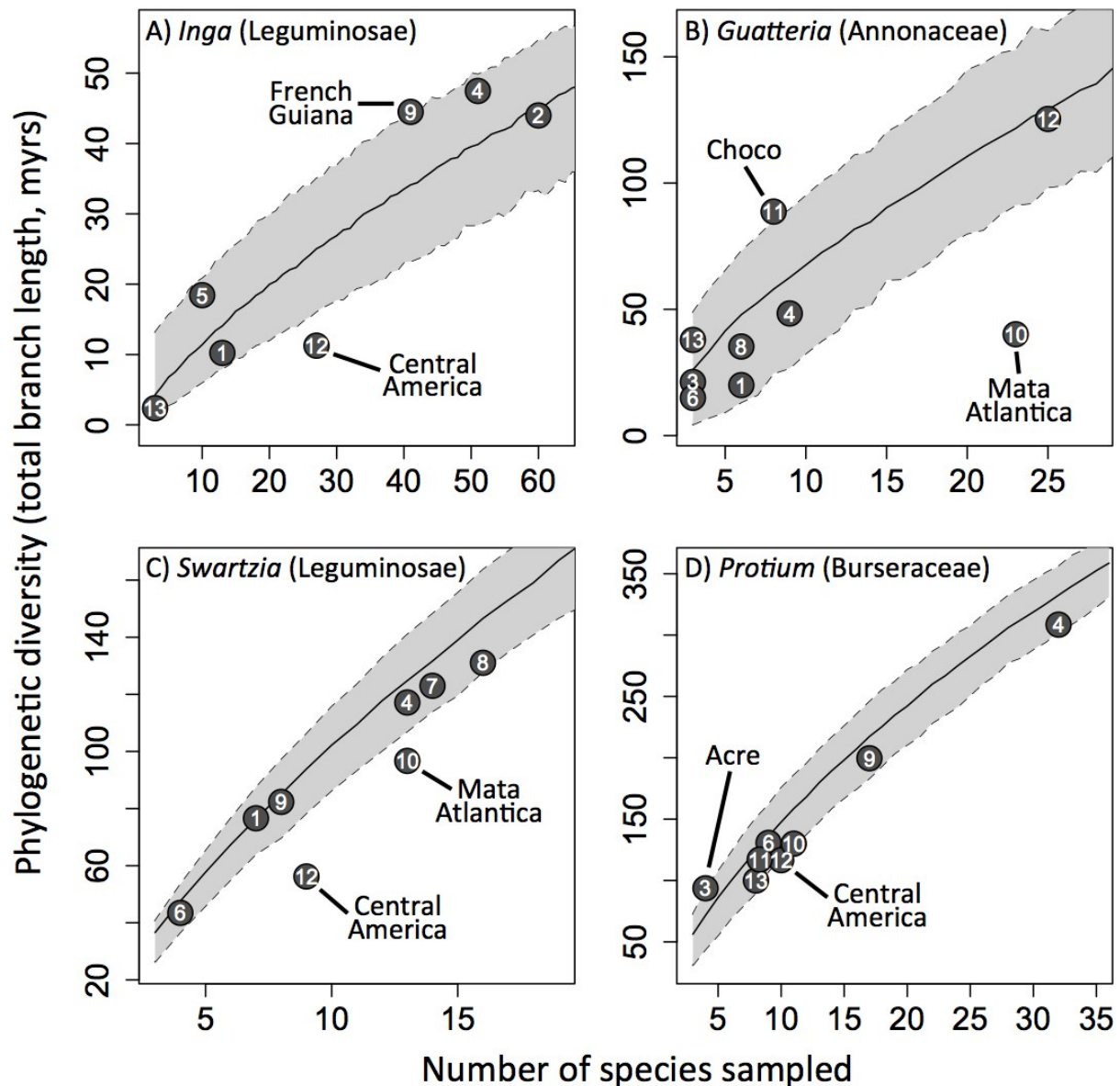
554 **Figure 3:** Relationship between number of taxa sampled and phylogenetic diversity in Neotropical
555 regions for four emblematic Amazonian tree genera. Phylogenetic diversity was evaluated as the
556 sum of branch lengths in an ultrametric, temporally-calibrated phylogeny including the taxa from a
557 given region. Regions are numbered following Figure 1. The solid black line gives the mean null
558 expectation for phylogenetic diversity given the number of taxa sampled, for 1000 random draws of
559 that number of taxa from the phylogenies. The shaded gray area denotes the 95% confidence
560 intervals of the null expectation for the relationship. Regions that fall outside of the 95% confidence
561 intervals are labeled.



562
563 **Figure 1:** Map of the 13 Neotropical regions used in the analyses of phylogenetic geographic
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572
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Figure 3: Relationship between number of taxa sampled and phylogenetic diversity in Neotropical

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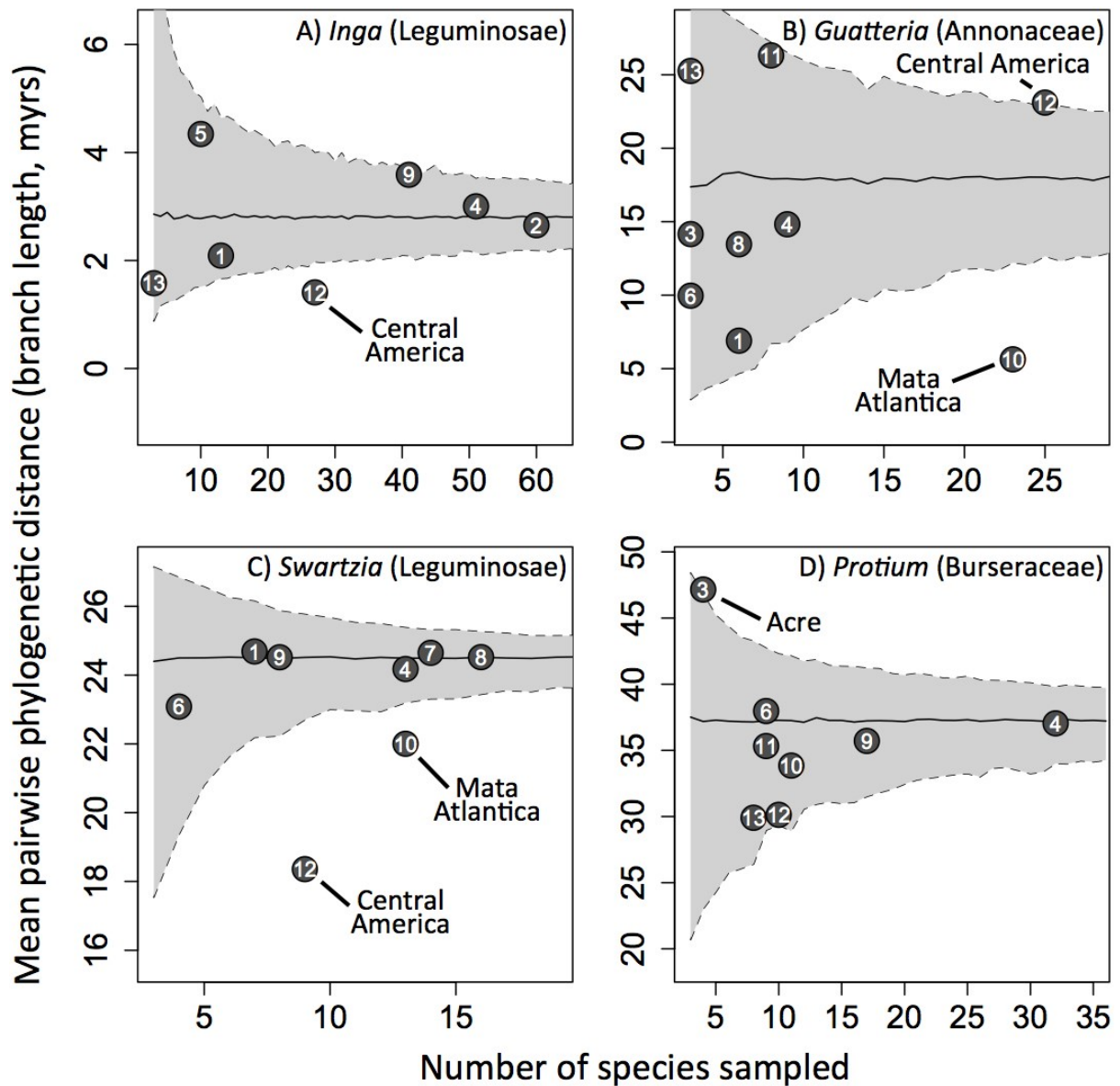
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intervals of the null expectation for the relationship. Regions that fall outside of the 95% confidence

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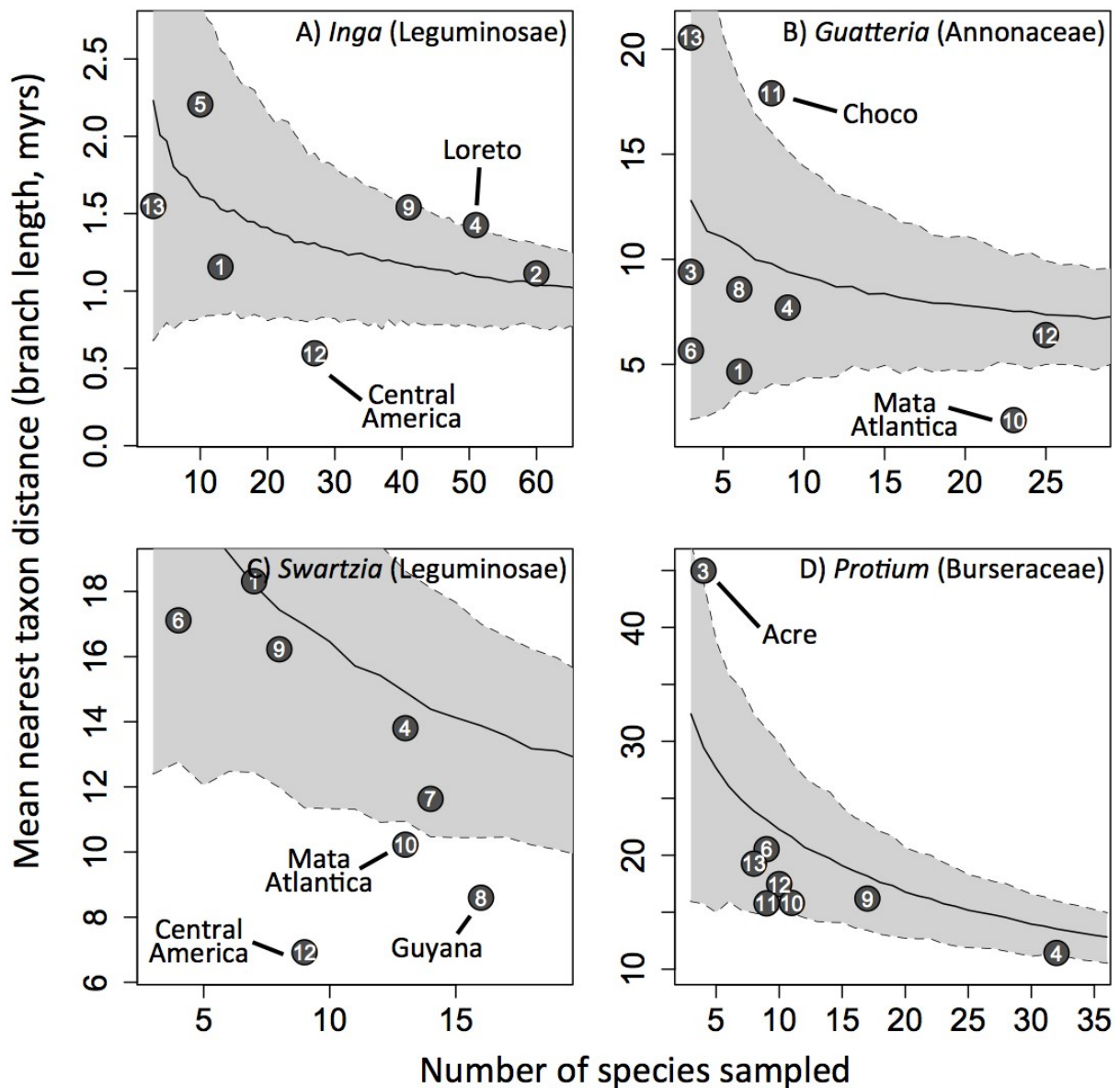
intervals are labeled.

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597

598 **Figure S2:** Relationship between number of taxa sampled and mean pairwise distance (MPD) in
 599 Neotropical regions for four emblematic Amazonian tree genera. Regions are numbered following
 600 Figure 1. The solid black line gives the mean null expectation for MPD given the number of taxa
 601 sampled for 1000 random draws of that number of taxa from the phylogenies. The shaded grey area
 602 denotes the 95% confidence intervals of the null expectation for that relationship. Regions that fall
 603 outside of the 95% confidence intervals are labelled.



604

605 **Figure S3:** Relationship between number of taxa sampled and mean nearest taxon distance (MNTD)
 606 in Neotropical regions for four emblematic Amazonian tree genera. Regions are numbered following
 607 Figure 1. The solid black line gives the mean null expectation for MNTD given the number of taxa
 608 sampled for 1000 random draws of that number of taxa from the phylogenies. The shaded grey area
 609 denotes the 95% confidence intervals of the null expectation for that relationship. Regions that fall
 610 outside of the 95% confidence intervals are labelled.

611

612

613 ***Sensitivity Analyses for Phylogenetic Diversity Estimates of Amazonian Inga communities***

614 In order to assess how robust our results were to uncertainty in the age of *Inga* clades, the topology
615 of the *Inga* phylogeny, and in the assignment of *Inga* species to different geographic regions, we
616 conducted sensitivity analyses. We ran a Bayesian analysis to calibrate the *Inga* phylogeny
617 temporally while simultaneously estimating its topology, using BEAST v1.8.2 (Drummond *et al.*
618 2012). As there are no definitively identified fossils for *Inga*, we constrained the crown age of *Inga* in
619 this phylogeny (using a log-normal prior with a mean of | 6 myrs and a standard deviation of 0.5)
620 based on dates from Richardson *et al.* (2001) and Lavin (2006). For each iteration of the sensitivity
621 analyses, we sampled one tree at random from the post burn-in, posterior distribution of trees from
622 the BEAST analysis.

623 In our primary analyses presented in the main text, the species lists for a given geographic region are
624 comprised of all species in a region that were sampled by accessions in the phylogeny. An alternative
625 approach would be to include all species present in the phylogeny that are known to occur in the
626 region based on their overall distribution (rather than just those that were sampled by accessions
627 from the region in our phylogeny). Our primary approach has the advantages that it does not
628 assume monophyly of species (and not all *Inga* species are monophyletic, see Fig. S1) and does not
629 assume perfect taxonomy and knowledge of species' distributions. However, it does mean that
630 species lists for a given region may not include many species that are found in the region. As can be
631 seen in examining the x-axis in Figures 3, S2 and S3, our level of sampling for different regions varies
632 greatly. Thus, we also conducted additional analyses assigning *Inga* species to each region in which
633 they are known to occur, based on distributions in Pennington (1997) and our own field work. As
634 many species in the phylogeny are represented by multiple accessions, we randomly selected a
635 single accession for each species. This random selection introduces stochasticity into calculations, so
636 we repeated this process 999 times. For each repetition, we started with a topology randomly

637 selected from the posterior distribution of trees (see above), which serves to generate a range of
638 results representing uncertainty in phylogenetic topology and ages.

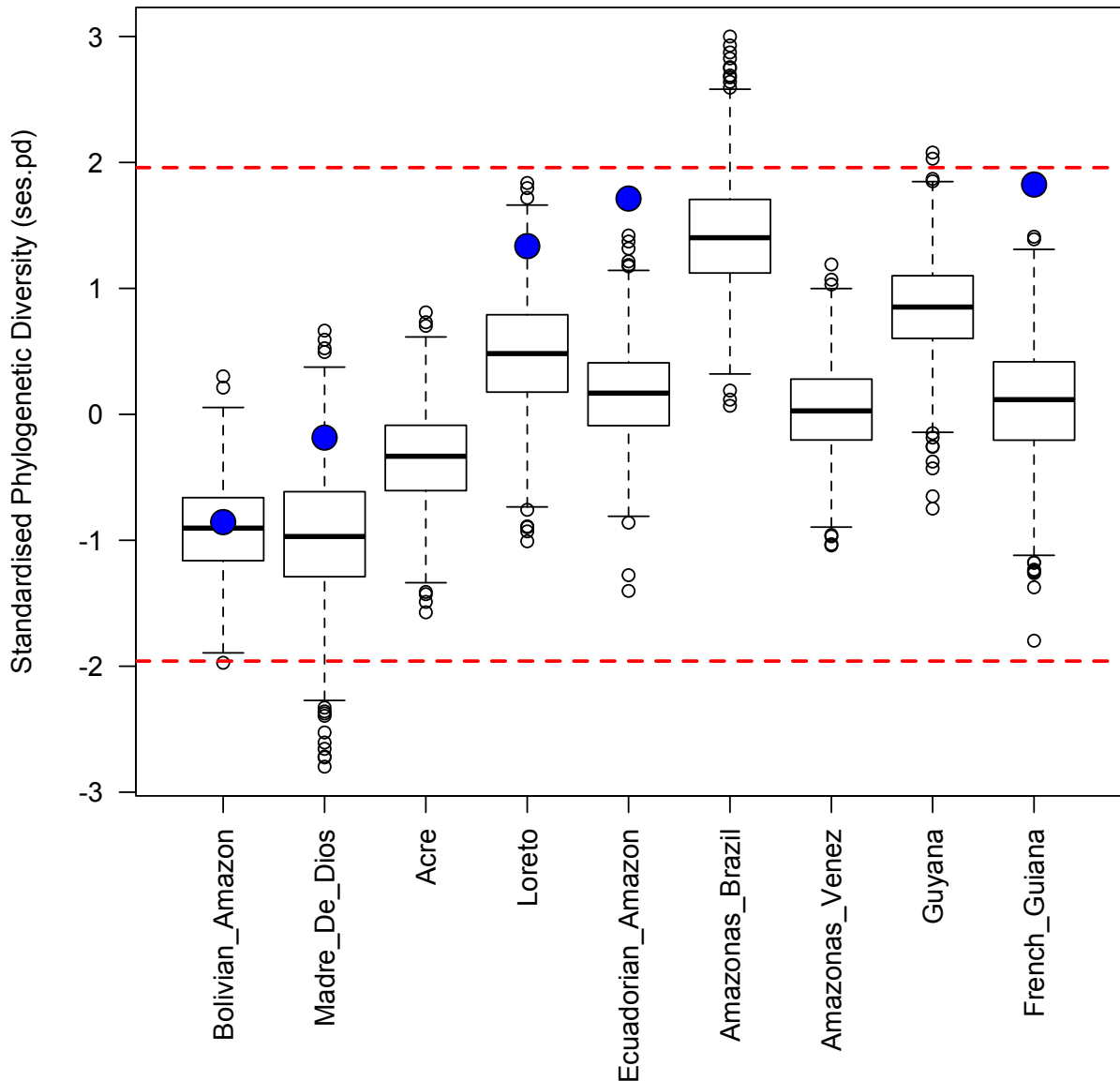
639 For each iteration, we assessed whether a given Amazonian tree community showed more or less
640 phylogenetic diversity than expected by chance by calculating the standardised effect size for each
641 phylogenetic diversity metric (ses.pd, ses.mpd and ses.mntd). Positive values indicate phylogenetic
642 overdispersion, while negative values indicate phylogenetic clustering. As these metrics are
643 standardised (with an expected value of 0 and a standard deviation of 1), values that are less than -
644 1.96 or greater than 1.96 represent communities that show significant phylogenetic overdispersion
645 or clustering. In order to assess how are results compared to those using our primary approach, we
646 assessed the value for each metric across the 1000 iterations and compared it to the values
647 generated with the approach we present in the main text (Figs S4, S5 and S6). As can be seen, the
648 median results of this alternative approach are slightly lower than those obtained in our analyses
649 presented in the main text (on average). However, for the large majority of the iterations, none of
650 the Amazonian communities show significant phylogenetic clustering (or overdispersion) by any
651 metric. Thus, these sensitivity analyses demonstrate that Amazonian *Inga* communities represent a
652 random draw from the *Inga* phylogeny, and that this result is robust to uncertainty in the age of *Inga*
653 clades, the topology of the *Inga* phylogeny, and in the method of assignment of *Inga* species to
654 different geographic regions.

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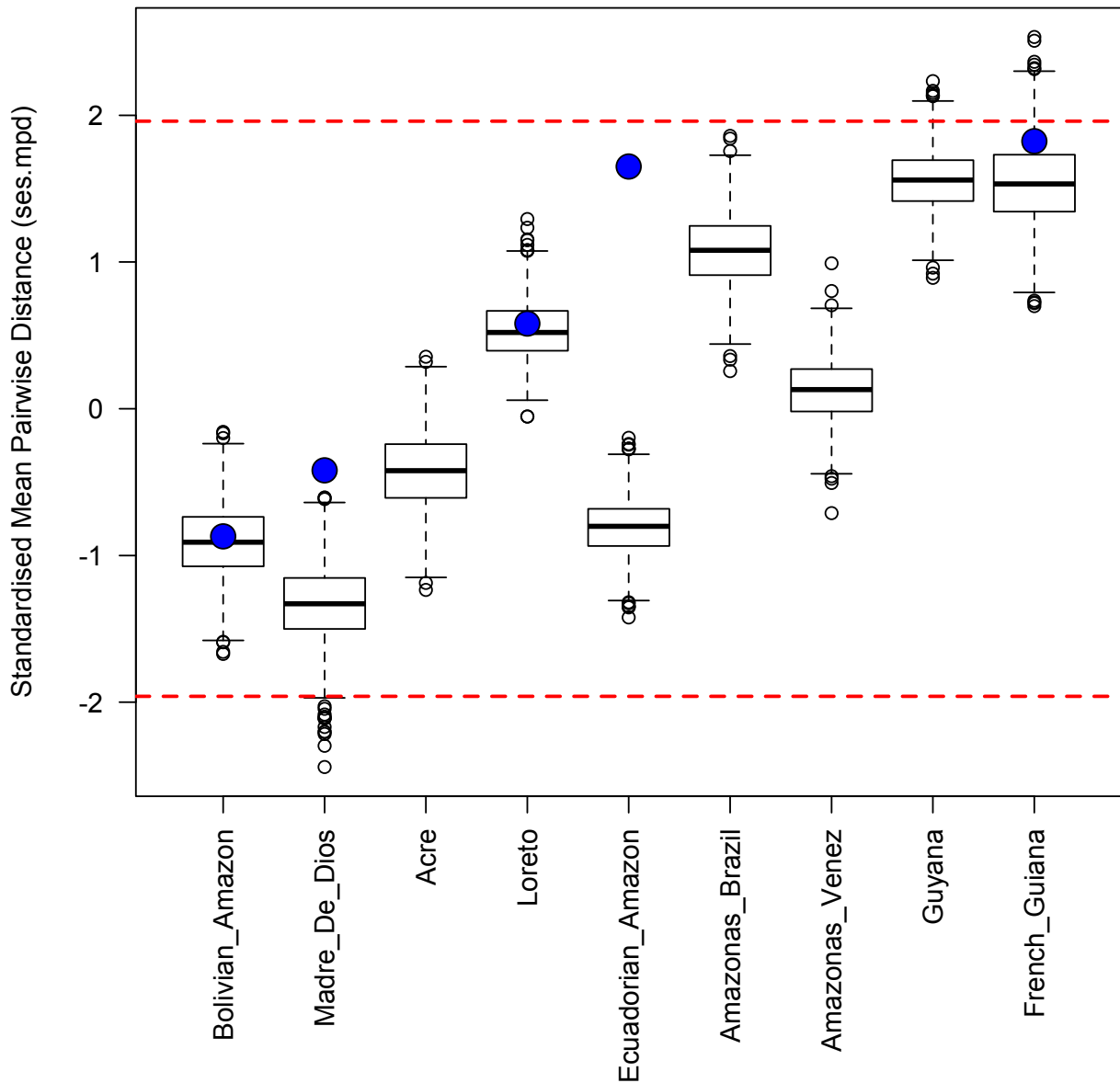
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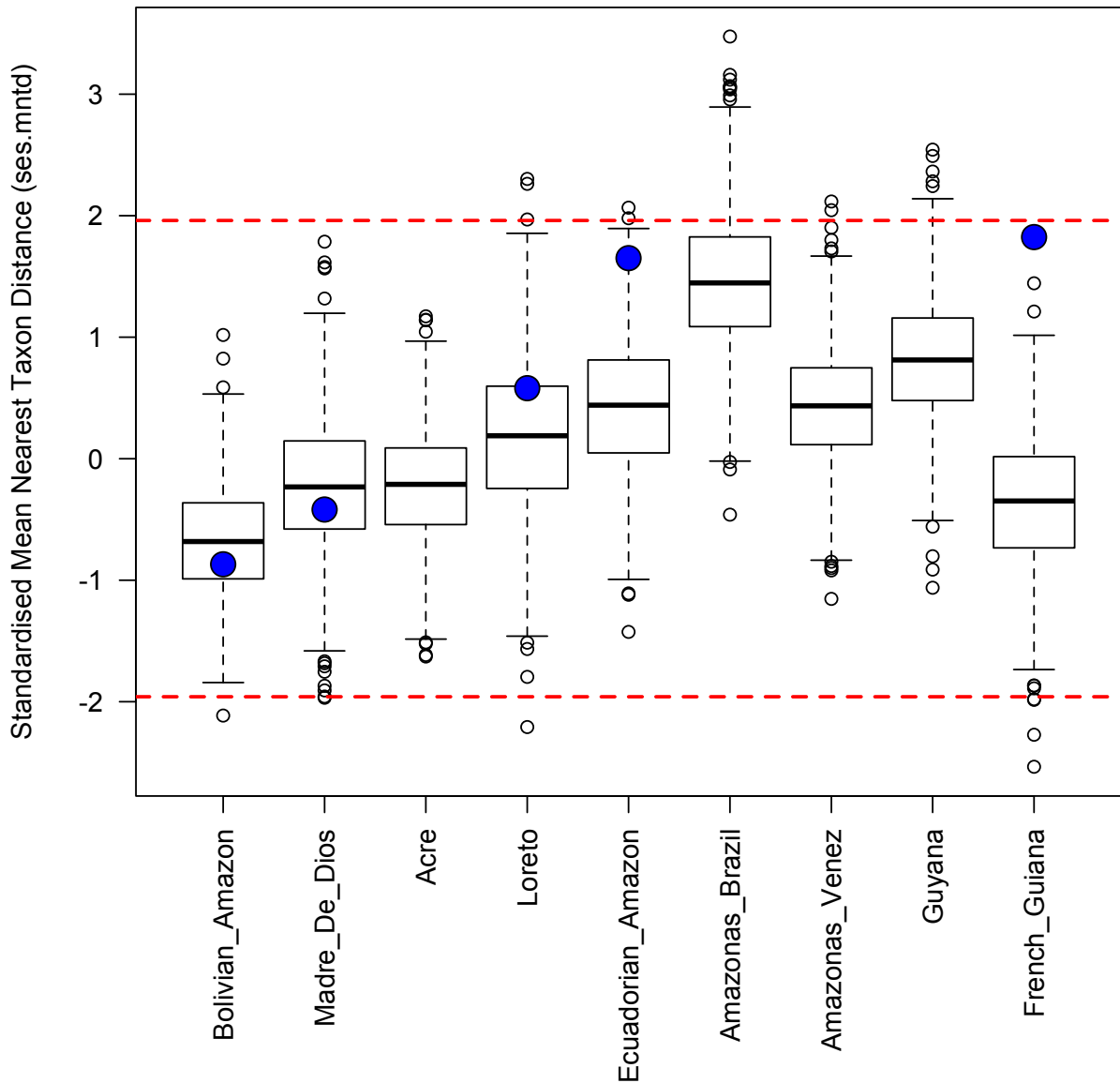
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666 **Figure S4:** Distribution of ses.pd values for different Amazonian regions across 1000 iterations of the
 667 sensitivity analyses. The values from the analyses presented in the main text are shown by the large
 668 blue circles. These are only available for Amazonian regions that are actually sampled in our
 669 phylogeny. Values less than -1.96 would indicate significant phylogenetic clustering, while values
 670 greater than 1.96 would indicate significant phylogenetic overdispersion. These threshold values are
 671 indicated by dashed red lines. Overall, these results demonstrate that most iterations of the
 672 sensitivity analyses do not result in significant phylogenetic clustering or overdispersion for
 673 Amazonian regions.



674

675 **Figure S5:** Distribution of ses.mpd values for different Amazonian regions across 1000 iterations of
 676 the sensitivity analyses. The values from the analyses presented in the main text are shown by the
 677 large blue circles. These are only available for Amazonian regions that are actually sampled in our
 678 phylogeny. Values less than -1.96 would indicate significant phylogenetic clustering, while values
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 680 indicated by dashed red lines. Overall, these results demonstrate that most iterations of the
 681 sensitivity analyses do not result in significant phylogenetic clustering or overdispersion for
 682 Amazonian regions.



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684 **Figure S6:** Distribution of ses.mntd values for different Amazonian regions across 1000 iterations of
 685 the sensitivity analyses. The values from the analyses presented in the main text are shown by the
 686 large blue circles. These are only available for Amazonian regions that are actually sampled in our
 687 phylogeny. Values less than -1.96 would indicate significant phylogenetic clustering, while values
 688 greater than 1.96 would indicate significant phylogenetic overdispersion. These threshold values are
 689 indicated by dashed red lines. Overall, these results demonstrate that most iterations of the
 690 sensitivity analyses do not result in significant phylogenetic clustering or overdispersion for
 691 Amazonian regions.