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1 Immobilization of gluten in spherical matrices of 2 food-grade hydrogels

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13

14 **Abstract**

15 The aim of this paper is to produce spherical encapsulates of wheat gluten in a food-
16 grade biopolymer for preparing sheared meat analogs, in order to prevent instant
17 fibrilization of the gluten during a pre-mixing step. The hydrogel should release the
18 gluten inside the Couette Cell, as a result of the higher temperature and shear in the
19 process. *Both sodium alginate and κ -carrageenan* were used as encapsulants.
20 Spherical particles of hydrogel-gluten mixtures were produced by means of a
21 *dripping method using an encapsulator. While the particle properties of κ -*
22 *carrageenan surpassed those of alginate in terms of controlled release of the core, the*
23 *particle production using the encapsulator was more complicated. With κ -*
24 *carrageenan, a layer of oil on top of the cross-linking bath fluid, as well as through*
25 *the outer orifice of a concentric nozzle were required to obtain a good sphericity of*

26 the particles. For the alginate particles the use of oil was not necessary. Gluten
27 loadings of 7 % w/w were achieved with 1.5 % w/w alginate and with 2 % w/w
28 κ -carrageenan. The water content of the particles can be easily controlled by a
29 subsequent partial drying step. A mixture of Soy Protein Isolate (SPI) and particles
30 was sheared in the Couette Cell. Controlled release of the gluten from the alginate
31 particles was not achieved properly by temperature or shear. The controlled release
32 of the gluten was achieved at the processing conditions *only with* κ -carrageenan.
33 Some fibrilization was observed in the sheared product, but the macrostructure was
34 not yet well developed. However, an optimization of the shearing process for the use
35 of the particles may lead to an improved structure for the meat analogs.

36

37 **Practical applications**

38 This paper investigated the effect of encapsulation in hydrogels on the fibrilization
39 behavior of wheat gluten upon contact with water. A cheap and easily scalable
40 dripping technique was used to create spherical particles in which the gluten did not
41 fibrilize, although the coating material consists of $\geq 95\%$ of water. Upon reaching the
42 process conditions in the shearing device, the gluten are released and able to form
43 fibers. The results show that hydrogels can mechanically protect the core and act as a
44 delivery structure. The protective and carrier functions of the hydrogel can
45 alternatively be used for cores like food additives (e.g. vitamins) or even to
46 pharmaceutical ingredients, not only for the production of meat analogs, but also in
47 other food applications.

48

49 Key words: Immobilization, gluten, soy, low shear, fibrilization, hydrogel

50

51 **1 Introduction**

52 Meat analogs are an increasingly welcome alternative to meat for instance in view of
53 animal welfare (Hughes, 1995) and sustainability (Steinfeld et al., 2006). However,
54 many of the products currently on the market do not reflect the properties of meat to a
55 satisfactory extent (Hoek et al., 2011): Meat analogs lack the juiciness of meat, which
56 follows from its characteristic fibrous structure.

57 A novel process was developed for the production of highly fibrous meat analogs,
58 using the lab-scaled Couette Cell device (Krintiras et al., 2015). This process achieves
59 meat-like structure formation by applying simple shear flow and heat to plant protein
60 suspensions, resulting in the formation of fibers, which enhance the structure and
61 mouthfeel of the product (Krintiras et al., 2014). During the mixing step of the
62 ingredients prior to loading of the Couette Cell, soy protein isolate (SPI) is premixed
63 with water and left to rest. However, upon addition of the vital wheat gluten (WG),
64 instant fibrilization takes place (Abang Zaidel et al., 2008), forming a sticky gel and
65 local networks. These effects are undesired, since they lead to material losses, as in
66 gluten sticking to the mixing container and spatula. This can be prevented if the
67 gluten could be immobilized and only be released during processing under simple
68 shear and heat.

69 Microencapsulation is often used to provide such isolation and release functions (Ma,
70 2014, Wieland-Berghausen et al., 2002, Elzoghby et al., 2011, Zandi, 2016).

71 Hydrogels form a class of materials that is frequently used as encapsulant in
72 biological and pharmaceutical systems (Doherty et al., 2011, Li et al., 2015, Matalanis
73 et al., 2011, Mazzitelli et al., 2008) and would be able to fit the requirements for the
74 gluten encapsulation. The polymers in the hydrogels can hold a large quantity (at least
75 70%) of water within their three-dimensional structure due to the hydrophilic parts of

76 the molecules (Bai et al., 2015). The open, porous structure does not only allow for
77 the presence of water, but can also provide support to other materials, e.g. cells (Orive
78 et al., 2006, Orive et al., 2003), drugs or peptides (Orive et al., 2006, Zhou et al.,
79 2001).

80 Our aim is to produce spherical encapsulates of gluten in food-grade hydrogel, which
81 release the gluten from the particles at the processing conditions of the meat analog
82 shearing process. The encapsulation step should prevent the gluten from fibrilizing
83 upon contact with water during the premixing step and facilitate easy loading of the
84 formulation into the Couette Cell. The encapsulates should release the gluten inside
85 the Couette Cell, as a result of the higher temperature and shear in the process so
86 structure formation can be achieved. Calcium cross-linked alginate and κ -carrageenan
87 hydrogels are used for the gluten immobilization, because both systems rapidly form
88 rigid gels upon cross-linking or cooling, enabling the product to resist the forces
89 exerted on the particles during mixing and loading. Additional and equally important
90 reasons are that they are accepted in the food industry (Tecante and Santiago, 2012,
91 Keppeler et al., 2009) and that they are expected to release the gluten after application
92 of the high temperature (Mangione et al., 2003) or shear (Papageorgiou et al., 1994)
93 conditions. The resulting encapsulates are analyzed for particle size, gluten vs.
94 hydrogel loading, and release and fibrilization properties in the actual meat-analog
95 production process.

96 **2 Materials and Methods**

97 **2.1 Materials**

98 All materials were used without further purification, unless stated otherwise. A blend
99 of soy protein isolate (SPI) (SUPRO EX37 HG IP, Solae, USA) and vital wheat

100 gluten (WG) (VITEN, Roquette, France) was used. In the case of SPI, we determined
101 a protein content of 90 % w/w, while gluten had a protein content of 81 % w/w
102 based on a nitrogen-to-protein conversion factor of 6.25, measured with the Dumas
103 method. Sodium chloride, referred to as salt hereafter, was also used. Alginic acid
104 sodium salt from brown algae, $\text{CaCl}\cdot 2\text{H}_2\text{O}$ ($\geq 99\%$), κ -carrageenan – sulfated plant
105 polysaccharide – and KCl ($\geq 99.0\%$) were purchased from Sigma Aldrich. Peanut oil
106 was purchased from a local supermarket; the oil was colored red using a food-grade
107 dye (a mixture of E-numbers E110 sunset yellow FCF, E122 azorubin, E132
108 indigotine and E151 Brilliant Black BN) for visualization purposes.

109 **2.2 Methods**

110 **2.2.1 Encapsulator**

111 For the immobilization the Encapsulator B-390 from Büchi Labortechnik was used
112 (Figure 1). The sodium alginate and κ -carrageenan were vigorously mixed with water
113 to form a biopolymer solution (1), into which the gluten were stirred vigorously to
114 form a homogeneous immobilization mixture. The immobilization mixtures were led
115 through a (concentric) nozzle (3), after which jet break-up was achieved by vibrations
116 received from the vibration coil (2). The stroboscope (4), that uses the same frequency
117 as the vibration unit, was used to verify the droplet formation (9). A ring (8) was used
118 for electrostatic dispersion of the droplets. The resulting droplets were gelled at room
119 temperature in a 100mM solution (5) of CaCl_2 or KCl for the alginate and
120 κ -carrageenan, respectively. The air pressure for pumping (varied between $P = 400 -$
121 800 mbar), vibration frequency (varied between $F = 200 - 1,000$ Hz), amplitude
122 (varied between $A = 5 - 9$), nozzle temperature (varied between $T_N = \text{RT} - 65^\circ\text{C}$) and
123 electrostatic potential (when used, varied between $V = 1,000 - 2,500$ V) were

124 controlled using the control panel on the encapsulator (6). The volumetric flow rate
125 Φ_V (varied between $\Phi_V = 2 - 20$ mL/min) was controlled by both the interplay
126 between the applied air pressure and separate regulating valves for the core and coat
127 liquids.

128 **2.3 Analysis**

129 **Microscopy**

130 Different optical microscopes were used for the analysis of the resulting particles. A
131 Leica Nikon Optiphot 200 was used, as well as a Leica S6D. Closer inspection of the
132 particles and the sheared material was done with a scanning electron microscope
133 (SEM), FEI Nova NanoSEM650. The samples were used as-is under low vacuum
134 (100 Pa) conditions under relatively low (4.0 kV) acceleration voltages, without the
135 need for applying a conductive coating on the particles.

136 **Composition**

137 The composition of the particles was checked by determining the amount of water the
138 particles hold after cross-linking and removing the excess cross-linking solution by
139 dabbing with a paper towel. Care was taken to minimize the contact of the particles
140 with the paper towels, to minimize the water removal from the inside of the spheres.
141 Samples were weighed before and after drying, from which the water content was
142 calculated. The dry mass was assumed to have the same mass ratio of gluten and
143 hydrogel as initially used before cross-linking.

144 **Melting**

145 The melting behavior of the particles was assessed using the Crystalline multiple
146 reactor system (Avantium B.V.). The particles were loaded in a vial until the top layer
147 of particles was visible in the camera. The vial was heated to 95°C with a heating rate
148 of 0.3°C/min, pictures were taken every 30 s.

149 **Mechanical properties**

150 **2.3.1 Static stress scans were performed with a PerkinElmer Dynamic**
151 **Mechanical Analyzer (DMA) 7e with parallel plate geometry, using a**
152 **range of 0 – 1,000 mN and a rate of 100 mN/min. The deformation**
153 **tests were carried out on two different types of particles: Alginate**
154 **particles loaded with WG, and κ -carrageenan particles loaded with**
155 **WG. The alginate particles had a diameter of 3 mm. The κ -**
156 **carrageenan was measured at two different sizes: 3 mm and 1 mm .**
157 **Thus, the cross sectional area relevant for calculating the normal**
158 **stress was 7.07 mm² for particles with a diameter of 3 mm and 0.79**
159 **mm² for particles with a diameter of 1 mm. The force, distance and**
160 **stress were recorded by the DMA software. The bead diameter was**
161 **entered in the software as diameter for the stress and strain**
162 **calculations. However, the particle diameter is much smaller than**
163 **the top cylinder (10 mm) and bottom plate (20 mm) of the DMA. This**
164 **means that the numerical values from the equipment did not**
165 **represent the true modulus of the materials and the results from the**
166 **compression tests could only be compared to each other. Couette**
167 **Cell**

168 The release behavior of the particles and fibrilizing capabilities of the released gluten
169 at process conditions were tested in the Couette Cell, with the same operating
170 conditions as used in Krintiras et al.(Krintiras et al., 2015). The gluten encapsulates
171 were, after removal of excess cross-linking solution with a paper towel, partially dried
172 in an oven prior to the preparation of the shearing mixture, to obtain a water-gluten
173 ratio close to that used in experiments without encapsulates. First the meat analog

174 mixture was prepared by mixing 150 g of partially dried encapsulates with 46 g of SPI
175 and 0.5 g of salt, which accounts for the amount of salt in the biopolymer, carefully
176 with a spoon. This mixture was covered and set to rest for 30 minutes, similar to
177 experiments without particles(Krintiras et al., 2015), and then loaded into the Couette
178 Cell.

179 **3 Results and Discussion**

180 For the optimization of the encapsulate production, first the production of spherical
181 beads of WG loaded hydrogel was optimized. Subsequently, the resulting spherical
182 encapsulates were tested on their performance.

183 **3.1 Particle production**

184 The production of alginate particles containing WG was straightforward using the
185 encapsulator. Sodium alginate – WG – water mixtures were led through the single
186 nozzle configuration and cross-linked in a bath containing a CaCl₂ solution. The flow
187 rate and the vibration frequency were optimized for each nozzle diameter. A sodium
188 alginate concentration in water of 0.8 % w/w was used. Higher concentrations of
189 sodium alginate in the starting mixture made the mixture more difficult to pump
190 through the nozzle due to increasing viscosity. Additionally, the cross-linked spheres
191 were stronger when higher concentrations of sodium alginate were used, which is
192 undesirable, since too strong particles do not break under the processing conditions.
193 Using lower concentrations of sodium alginate in the starting mixture eventually led
194 to droplets that were mechanically too weak. These droplets disintegrated upon
195 impact with the cross-linking bath and did not produce any microspheres. The settings
196 required for bead formation depended on the mixture and the nozzle used. For
197 example, forming bead with 0.8 % w/w alginate and 3.7 % w/w gluten in water

198 through a nozzle with a diameter of $D_N = 750 \mu\text{m}$ required a pressure, flow rate and
199 vibration frequency of 456 mbar, 9.8 mL/min and 200 Hz, respectively. In Figure 2
200 (a) particles are shown of which the immobilization mixture consisted of 1.65 % w/w
201 alginate and 1.5 % w/w gluten. The gluten is clearly visible in the hydrogel, though
202 not evenly distributed. In Figure 2 (b) the immobilization mixture consisted of 1.65 %
203 w/w alginate and 3.5 % w/w gluten. The gluten in this particle is packed much more
204 dense than in Figure 2 (a), though the distribution of the gluten inside the particles is
205 not clearly visible anymore.

206

207 For the production of κ -carrageenan particles different settings were required.
208 Mixtures containing 2% κ -carrageenan in water were used. Because of the gelling
209 temperature of the κ -carrageenan solution (42°C for 2% solution (Ogbonna, 2004)),
210 the immobilization mixture was heated to 60°C to facilitate the flow to the nozzle.
211 With the bead production in the single nozzle configuration and nozzle heating at
212 $T_N = 50^\circ\text{C}$, the jet break-up occurred at a larger distance from the nozzle than with the
213 alginate particles. Additionally, the particles were not spherical after gelling and not
214 always separated. This is attributed to the droplets losing their spherical shape upon
215 impact with the water or to the long time required for gelling.

216 The bead formation was optimized first for κ -carrageenan without WG. Several
217 configurations were used to increase the sphericity of the particles, which is beneficial
218 for the flow behavior and therefore aids the loading step.

219 Keppeler et al. (Keppeler et al., 2009) found that dripping the droplets through a layer
220 of oil on top of the gelling bath helped the particles attain a spherical shape. Such a
221 layer was used and additionally it was decided to further employ this feature of oil by
222 using the concentric nozzle configuration and using oil in the outer nozzle around the

223 immobilization mixture in the inner nozzle. Figure 3 illustrates this configuration.
224 During the experiment, the thickness of the layer of oil on top of the bath increased
225 due to the addition of the oil via the concentric nozzle. The oil separated from the
226 particles after immersion in the gelling bath and floated to join the oil layer already
227 present, making it easy to separate and reuse. After gelling, the particles were filtered
228 from the salt solution and then washed with demineralized water to remove the oil
229 residues. In this configuration the strength of the spheres was optimized by using
230 lower concentrations of κ -carrageenan. However, at a concentration of 1 % w/w no
231 particles could be made and particles resulting from a 1.5 % w/w solution were
232 mechanically very weak. Therefore, a 2 % w/w solution was considered to provide
233 encapsulates of an acceptable mechanical strength.

234 An example of the optimum mixture (2 % w/w κ -carrageenan and 7 % w/w gluten) is
235 shown in Figure 2 (c). Settings for the optimum mixture were: $T_N = 60^\circ\text{C}$,
236 $F_{\text{vib}} = 200 \text{ Hz}$, $P = 757 \text{ mbar}$, $D_{\text{NI}} = 750 \text{ }\mu\text{m}$, $D_{\text{NO}} = 900 \text{ }\mu\text{m}$, with flow rates of the κ -
237 carrageenan-gluten mixture $\Phi_{\text{cg}} = 6.25 \text{ mL/min}$ and of oil $\Phi_{\text{oil}} = 5 \text{ mL/min}$. The
238 particle size was $d_p = 1.50 \pm 0.23 \cdot 10^3 \text{ }\mu\text{m}$ taken from six separate experiments.

239 **3.2 Evaluation of particle properties**

240 The suitability of the produced particles to release the encapsulated gluten as a result
241 of shear and elevated temperature in the Couette Cell was assessed by various
242 parameters: the composition in the particles and the behavior of the particles under
243 influence of increasing temperature, simple shear and compression forces were
244 investigated. From the material with the most desirable properties the behavior was
245 also tested in the shear cell. Because the hydrogels can swell in an aqueous
246 environment, the composition of the particles was checked by determining the amount
247 of water the particles hold after gelling (as opposed to the initial concentrations used)

248 and removing the excess gelling solution by dabbing with a paper towel. Care was
249 taken to minimize the time of contact of the particles with the paper towels, in order
250 to avoid removing water from the inside structure of the spheres. Table 1 shows the
251 composition of a selection of particles.

252

253 While the particles containing WG have a water content similar to that of the initial
254 immobilization mixture, the results in Table 1 show that some particles had a slightly
255 lower water content than expected from the initial hydrogel concentration used in the
256 immobilization mixture. It is likely that the drying using the paper towel removed
257 more liquid than just the excess gelling solution. Due to the porous structures of the
258 biopolymer particles, it is possible that a small amount of water was subtracted from
259 the inner structure. The amount of water taken from the particles during the removal
260 of excess water with the paper towels is considered very minimal, since the difference
261 between expected and measured water loading is less than 1%. It was observed that
262 the effect was stronger in particles without WG, as opposed to particles with WG.
263 This indicates that the WG helps the hydrogel to retain the water in its structure,
264 which is expected since gluten is well known to bind water (Day et al., 2006, Sarkki,
265 1979, Xue and Ngadi, 2007).

266

267 The particles were subjected to a temperature profile to assess the behavior upon
268 heating. In Figure 4 κ -carrageenan particles with gluten were heated to 95°C with a
269 heating rate of 0.3°C/min. At $T = 20^\circ\text{C}$ the individual particles on top are clearly
270 visible in the circles. Around $T = 40^\circ\text{C}$ the surface is changing shape, indicating that
271 the particles started melting. It is well known that κ -carrageenan forms a thermo-
272 reversible gel with water and cations and can thus be melted (Mangione et al., 2003,

273 Meunier et al., 2001, Guiseley, 1989). This agrees with results from Watase et al.
274 (Watase and Nishinari, 1987) and Nishinari et al. (Nishinari et al., 1990), who found
275 with DSC studies that κ -carrageenan in lower concentrations (1.5 – 2 %w/w) melts
276 above 40°C. Upon increasing the temperature even further, the deformation of the
277 meniscus between particles and air increased, until a flat profile was observed at
278 $T = 68^\circ\text{C}$ and the particles were completely molten. This means that at the intended
279 processing temperature of 95°C the particles will melt and release the gluten from
280 their structure. With the alginate particles this was not the case. These particles
281 remained intact up to $T = 95^\circ\text{C}$ and showed no change in shape, which is in good
282 agreement with earlier research stating that alginate gel is not thermo-reversible
283 (Guiseley, 1989, Williams et al., 2004). This means that the particles would not
284 release the gluten at the intended processing temperature without mechanical action.
285 Additionally, the particles were compared on their capability to deform under
286 compressive stress. In Figure 5 the deformation of the particle is plotted as the stress -
287 strain curve, resulting from the compressive force applied to the particles by the upper
288 cylinder of the DMA. These deformation tests were carried out on two different types
289 of particles: Alginate particles loaded with WG, and κ -carrageenan particles loaded
290 with WG. The alginate particles had a diameter of 3 mm. The κ -carrageenan was
291 measured at two different sizes: 3 mm and 1 mm diameter, to assess both the
292 influence of particle size and type of hydrogel used. In Figure 5 it is observed that all
293 particles show an elastic behavior and particularly the alginate particles loaded with
294 WG. In the case of the κ -carrageenan particles we observed a shorter elastic region
295 followed by a larger plastic region. The three-dimensional structure of alginate is
296 cross-linked with ionic bonds, while the structure of κ -carrageenan exists of helices.
297 This explains why the elastic region is larger in the case of the alginate hydrogel as

298 opposed to that of κ -carrageenan, since the elastic strains are mainly due to uncoiling
299 and stretching of the structure, while the plastic deformation is caused by molecular
300 chains sliding along each other. The latter phenomenon is easier to achieve with
301 helical structures than cross-linked ones, since the cross-links provide anchors that
302 prevent the chains from moving past one another. We also observed that larger
303 particles require more force for the deformation. This can be caused either by the ratio
304 of pore size versus particle size, or by the amount of mass to be compressed. It was
305 observed that after compression a puddle of water surrounds the particle. During the
306 deformation the water contained in the particles exits through the pores of the
307 hydrogel. The pore size of the hydrogel is assumed to be independent of the particle
308 size. The larger specific area of the pores in the smaller particles is assumed to allow
309 for easier expulsion of the water and is therefore associated with a smaller
310 compressive stress.

311

312

313 **Couette Cell**

314 In other work (Krintiras et al., 2014, Krintiras et al., 2015) the Couette Cell was used
315 with free gluten powder. The sheared mixtures had a composition like that in Table 2,
316 but without the hydrogel component and with more salt. In their work, the fibrous
317 structure on both micro and macroscale are clearly visible.

318 Both the alginate and the κ -carrageenan particles were tested in the Couette Cell to
319 assess whether fiber formation occurs after release of the gluten from their
320 encapsulated environment. Before shearing, the shearing mixture was prepared. The
321 encapsulates were, after removal of excess cross-linking solution, partially dried in an
322 oven, until they contained 88 ± 1 % w/w of water, giving a similar water/gluten ratio

323 as the shearing composition. Subsequently, they were mixed with SPI and salt to
324 arrive at a final composition given in Table 2.

325

326 Figure 6 shows the preparation of the shearing mixture using the particles. The
327 particles were mixed with the SPI and salt and left to rest (a). It was observed that the
328 soy coated both the alginate-gluten and the κ -carrageenan-gluten particles and
329 hydrated by subtracting water from the particles during the resting period (b). The
330 level of hydration of the soy seemed similar to when free water is used.

331

332 After preparation of the mixture, it was tested how well the mixture loads in the
333 Couette Cell using the loading gun. The loading procedure was completed without
334 complications and the mixture spread well throughout the Couette Cell. Many of the
335 particles were still intact, although some had been broken. Closer inspection of the
336 material showed no evidence of fibrilization at this stage.

337 Figure 7(a) shows the alginate-gluten sample after shearing in the Couette Cell.

338 Throughout the sample the particles were still visible and albeit deformed, they were
339 still intact. Microscope images of the material revealed that very limited fibrilization
340 occurred, and only on or surrounding the particles, but nowhere else in the structure.

341 From the entire sample it was also evident that no macrostructure developed. The
342 material fell apart upon movement, since the particles provided break lines in the
343 sample.

344

345 Figure 7(b) shows a sheared sample of κ -carrageenan-gluten particles directly after it
346 was taken from the Couette Cell. No separate particles are visible. All particles had
347 released their gluten and the biopolymer was homogeneously mixed through the

348 sample. The sample did not fall apart like its alginate counterpart, indicating that the
349 macrostructure was more developed. Microscope images of this sample showed
350 numerous gluten fibers throughout the sample.

351 SEM pictures of the sheared κ -carrageenan sample (Figure 8) confirm the
352 observations with the optical microscope. In Figure 8(a) a larger part of the sample is
353 shown with three of the fibers sticking out of the material. In Figure 8(b), the three
354 types of material are visible: the gluten fiber, the soy (1) and the surrounding hydrogel
355 (2). The materials were mixed well throughout the sample. The fibers show a wrinkly
356 surface structure, which is also clearly visible in Figure 8(b). This structure is due to
357 the hierarchical nature of the fibers, i.e. the fibers are made up out of smaller fibrils,
358 which was earlier shown for gluten by Ridgley et al. (Ridgley et al., 2012). Changing
359 processing parameters, like temperature, ionic strength and shearing time can
360 influence both the extent of fiber formation, as well as the structure formation. For
361 different sets of processing parameters different structures (e.g. ribbons) were found
362 (Ridgley et al., 2012). It was also observed that the fibers seem to be built up layer by
363 layer from the fibrils, which is most clearly evident from Figure 8(b) in circle 3. The
364 gluten fibers had various diameters. Larger and smaller fibers were observed next to
365 each other, the larger having diameters of $20 \pm 3 \mu\text{m}$, the smaller $13 \pm 2 \mu\text{m}$.

366 **Discussion**

367 When immobilizing or encapsulating, the choice of encapsulant is very important. Not
368 only the processing, but also the final composition of the product materials must meet
369 requirements in terms of process conditions and product quality. In the food sector,
370 additional requirements need to be met, which in our case are that the encapsulant is
371 food-grade material and does not alter the ingredient mixture or taste by a significant
372 extent. Requirements for the final product include that the final product is easy to use

373 in the shearing process. This would benefit from spherical particles to make the
374 mixture mix and load easily. These requirements led to our choices of hydrogels,
375 which are easy to process, food-grade materials and tasteless (Burdock, 1997, 2006).
376 The dripping technique employed by the encapsulator is particularly suitable for these
377 materials (Mazzitelli et al., 2008, Matalanis et al., 2011, Danial et al., 2010), since it
378 easily leads to spherical particles.

379 Judging by the melting and compression behavior of the particles it was expected that
380 the κ -carrageenan particles would show better controlled-release properties than the
381 alginate particles, while at room temperature each of them can prevent the gluten from
382 cross-linking.

383 From the results it is clear that the hydrogels used are very well capable of
384 immobilizing the gluten in aqueous environments. The controlled release of the gluten
385 by increased temperature and shear, however, was more easily achieved from the
386 κ -carrageenan particles than from the alginate particles. In the Couette Cell this
387 behavior was confirmed. The alginate particles are so strong that they do not break or
388 dissolve under the preferred process conditions and thus do not release their gluten for
389 fibrilization. The very limited amount of fibers observed in the sheared sample
390 containing alginate, together with the location of these fibers, i.e. only on top of, or
391 very close to the unbroken particles, are a clear indication that this immobilization
392 material is too strong for the purpose. The κ -carrageenan particles did release the
393 gluten and fibrilization occurred to a much larger extent during the shearing process.
394 However, while comparing the structure sheared from the particles with the structures
395 obtained after shearing the original mixture without particles (Krintiras et al., 2014,
396 Krintiras et al., 2015), it was observed that although fibrilization occurs, it is much
397 less than with the original mixture. The macrostructure of the meat analog is not yet

398 well developed. However, both samples were sheared with the settings optimized for
399 the original mixture. The particles took a long time to melt and release their content
400 when the temperature is increased, which was evident from the melting test in Figure
401 4. Therefore, it is likely that the shear time must be increased, or that a preheating step
402 must be added to allow for the particles to soften prior to shearing. Additionally, the
403 mechanical properties of the particles determine in part the optimum processing in the
404 Couette Cell. Measurement and understanding of these particle properties as function
405 of water content as well as of the Couette Cell operating conditions is imperative in
406 the future optimization of the structuring process. Finally, the 2 % w/w of
407 κ -carrageenan interacts with the mixture, as is also seen in Figure 8(b), where the
408 fiber in the picture is partially surrounded with the hydrogel. It is possible that the
409 hydrogel surrounding the fibers actually inhibits the formation of 3D-structures
410 required for a desirable meat analog. Prior to application of immobilized gluten in
411 meat analogs, the settings of the shearing process should be optimized for the new
412 materials used, and the effect of the hydrogel on the mouthfeel of the final meat
413 analog should be assessed.

414 The successful production of spherical particles of κ -carrageenan with the aid of oil
415 shows that the dripping technique can be used for a wide variety of applications that
416 require the production of spherical encapsulates. For applications such as the
417 immobilization of vitamins, fragrance and pharmaceutical ingredients, the hydrogels
418 are a very suitable encapsulant. However, in other industries the same dripping
419 technique can be used with many other polymers as well, leading to other coating
420 functionalities, e.g. protection from oxygen or moisture from the air. As long as the
421 polymer in question has a low enough melting temperature or suitable cross-linking
422 conditions, the dripping method can be used. The technique is easily scalable to larger

423 capacity by using an array of nozzles. In the case that the vibrations are not sufficient
424 to achieve the jet break-up, other jet break-up techniques (e.g. jet cutting) could be
425 used instead. For continuous operation a cascaded hardening bath can be used, in
426 which the desired residence time can be achieved.

427 **4 Conclusion**

428 In this paper Wheat Gluten is successfully encapsulated in a matrix of a food-grade
429 biopolymer. Both sodium alginate and κ -carrageenan were used as encapsulants.
430 While the particle properties of κ -carrageenan surpassed those of alginate, the particle
431 production was more complicated. In order to obtain a good sphericity of the
432 particles, with κ -carrageenan it was required to use a layer of oil on the gelling bath,
433 as well as through the concentric nozzle. For the alginate particles no oil phase was
434 required. In the alginate particles a loading of 7 % w/w gluten was achieved in the
435 particles with 1.5 % w/w alginate. Controlled release of the gluten from the alginate
436 particles was not achieved properly by temperature or shear. In κ -carrageenan, a
437 loading of 7 % w/w gluten was achieved in the particles, next to 2 % w/w of κ -
438 carrageenan. Lower amounts of κ -carrageenan did not lead to separate, spherical
439 particles. The water content of the particles can be easily controlled by a subsequent
440 partial drying step. The controlled release of the gluten was achieved at the processing
441 conditions only with κ -carrageenan. Some fibrilization was observed in the sheared
442 product. However, the shearing process needs to be optimized for the use of the
443 particles to obtain a good structure for the meat analog. The technique used for the
444 immobilization of gluten shows promise for the immobilization or protection of other
445 core materials, in the food industry as well as in other industries, where the food grade

446 biopolymers can be replaced by any polymer with an acceptable melting temperature
447 or cross-linking conditions.

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571

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577

578 **Tables**

579 TABLE 1.
580 COMPOSITION OF PARTICLES FOR FURTHER TESTING.

Initial hydrogel concentration		Gluten %	Water %	# particles in sample
Alginate %	κ -carrageenan %			
1.50 ± 0.01	0	7.00 ± 0.01	92.0 ± 0.1	124
0	2.00 ± 0.01	7.00 ± 0.01	90.3 ± 0.5	316

581
582 TABLE 2.
583 COMPOSITION OF SHEARING MIXTURES.

Material	% w/w in shearing composition
Water	69.5 ± 1.3
Gluten	7.3 ± 0.1
Alginate / κ -carrageenan	$0.83 \pm 0.01/1.09 \pm 0.02$
Soy	23.6 ± 0.27
Salt	0.50 ± 0.02

584

585

586 **Figure captions**

587 FIGURE 1.

588 BÜCHI ENCAPSULATOR IN CORE-SHELL CONFIGURATION, WITH 1. PRESSURE BOTTLES
589 WITH IMMOBILIZATION MIXTURE (YELLOW) AND OIL (RED), 2. VIBRATION COIL, 3.
590 NOZZLE HOLDER, 4. STROBOSCOPE FOR VISUALIZATION, 5. GELLING BATH, 6.
591 CONTROLS, 7. NOZZLE, 8. ELECTROSTATIC DISPERSION UNIT, 9. JET WITH DROPLETS.

592 FIGURE 2.

593 ALGINATE PARTICLES (A AND B) AND K-CARRAGEENAN PARTICLES (C) CONTAINING
594 VARIOUS CONCENTRATIONS OF GLUTEN.

595 FIGURE 3.

596 LEFT TOP: SCHEMATIC OF SETUP USING OIL IN THE CONCENTRIC NOZZLE AS WELL AS
597 ON THE GELLING BATH. LEFT BOTTOM: CLOSE UP OF THE IMMOBILIZATION MIXTURE
598 AND OIL EMERGING FROM THE CONCENTRIC NOZZLE. RIGHT: DROPLETS ENTERING
599 THE GELLING BATH THROUGH THE LAYER OF OIL, WHICH SEPARATES THE PARTICLES
600 FROM EACH OTHER.

601 FIGURE 4.

602 MELTING OF K-CARRAGEENAN-GLUTEN PARTICLES UPON HEATING. THE TWO TOP
603 PARTICLES ARE CIRCLED IN RED IN THE LEFT-MOST PICTURE. THE FLATTENING OF
604 THE MENISCUS INDICATES THE MELTING OF THESE PARTICLES.

605 FIGURE 5.

606 STRESS - STRAIN CURVE OF DIFFERENT PARTICLES UNDER INCREASING
607 COMPRESSION FORCE. ALGINATE-WG (BLUE), AND K-CARRAGEENAN-WG (RED)
608 PARTICLES WITH A DIAMETER OF 3 MM AND 1 MM (RED DASHED) WERE MEASURED.

609 FIGURE 6.

610 (A) PREPARATION OF SHEARING MIXTURE; (B) HYDRATED SOY ON A
611 K-CARRAGEENAN PARTICLE.

612 FIGURE 7.

613 (A) ALGINATE-GLUTEN AFTER SHEARING. MOST OF THE PARTICLES ARE STILL INTACT

614 AND THE MACROSTRUCTURE IS NOT WELL DEVELOPED. (B) K-CARRAGEENAN-
615 GLUTEN AFTER SHEARING. NO SEPARATE PARTICLES ARE OBSERVED AND A MORE
616 COHESIVE PRODUCT IS OBTAINED.

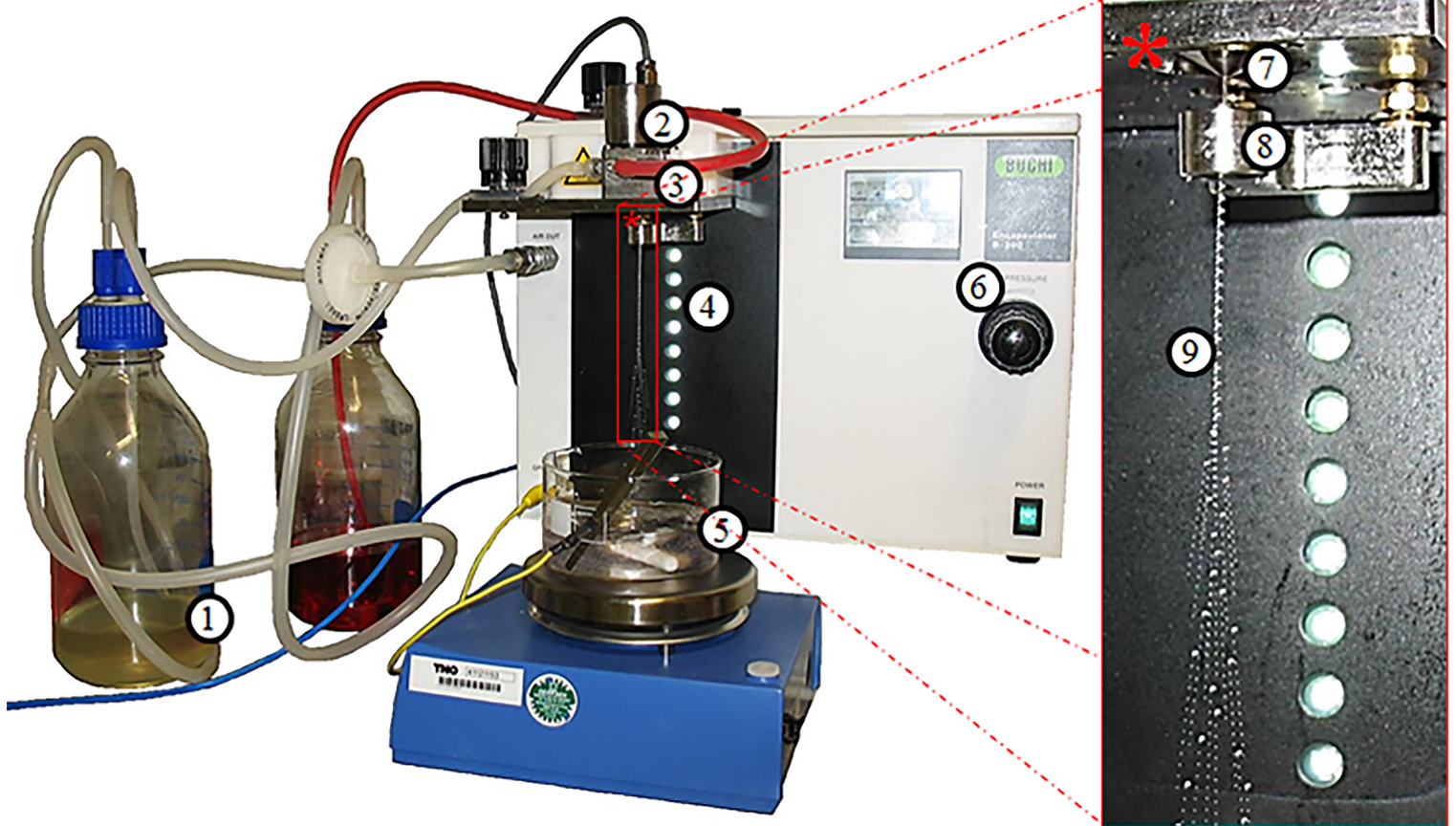
617 FIGURE 8.

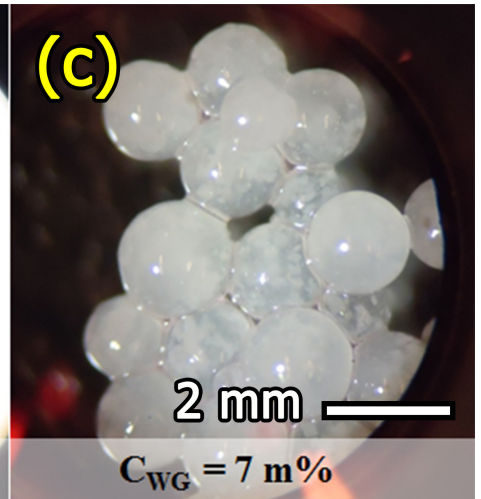
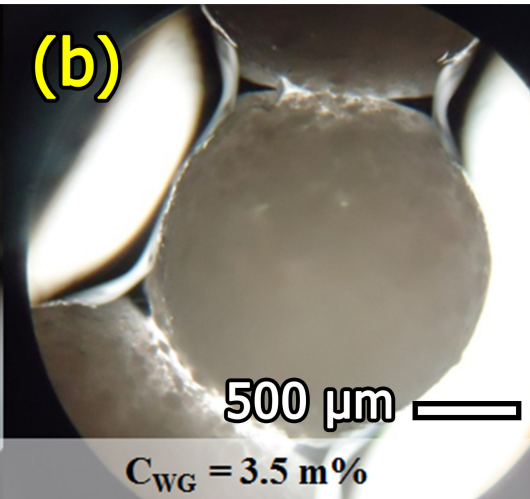
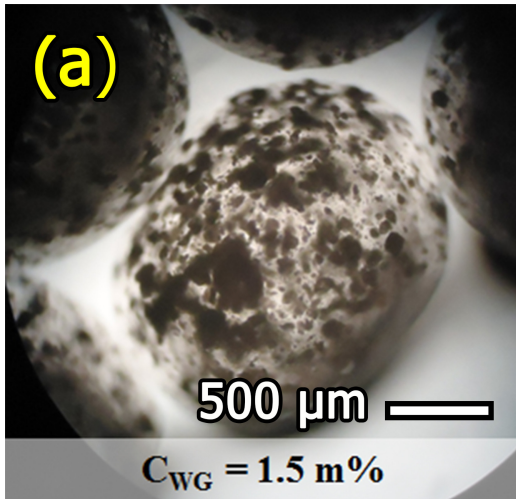
618 (A) SEM PICTURES AT DIFFERENT MAGNIFICATIONS OF FIBERS IN THE SHEARED
619 SAMPLE WITH PARTICLES OF K-CARRAGEENAN (2% W/W) WITH GLUTEN (7% W/W).
620 MULTIPLE FIBERS WERE OBSERVED. (B) THE SOY (1) AND HYDROGEL (2) ARE VISIBLE
621 NEXT TO THE FIBRIL STRUCTURE AT THE SURFACE OF THE GLUTEN FIBER (3).

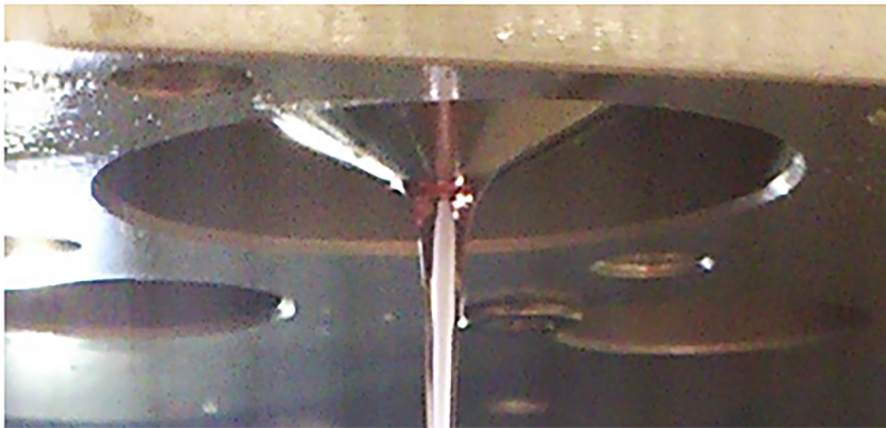
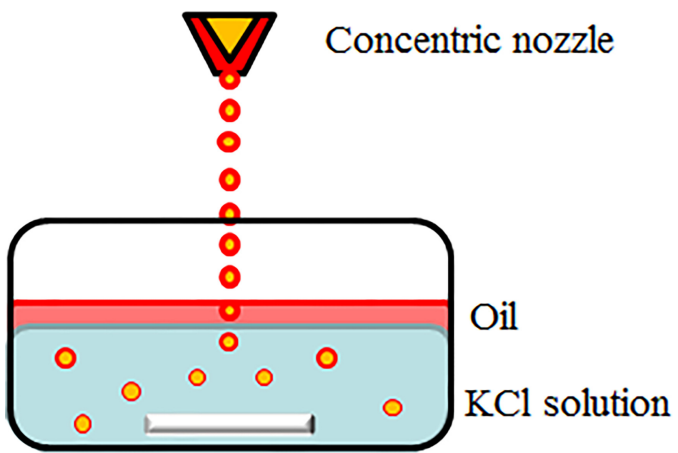
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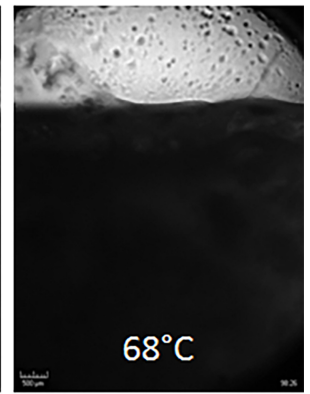
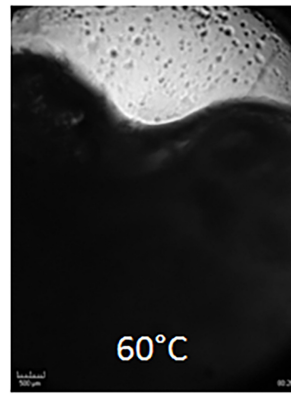
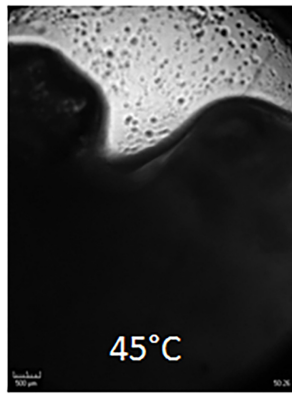
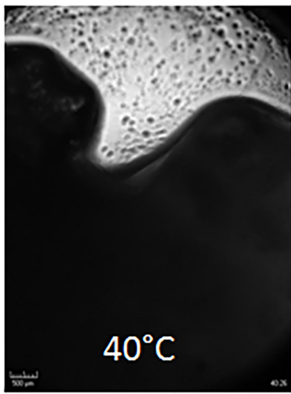
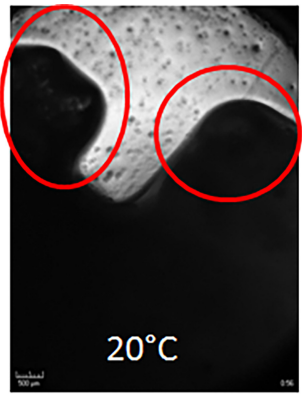
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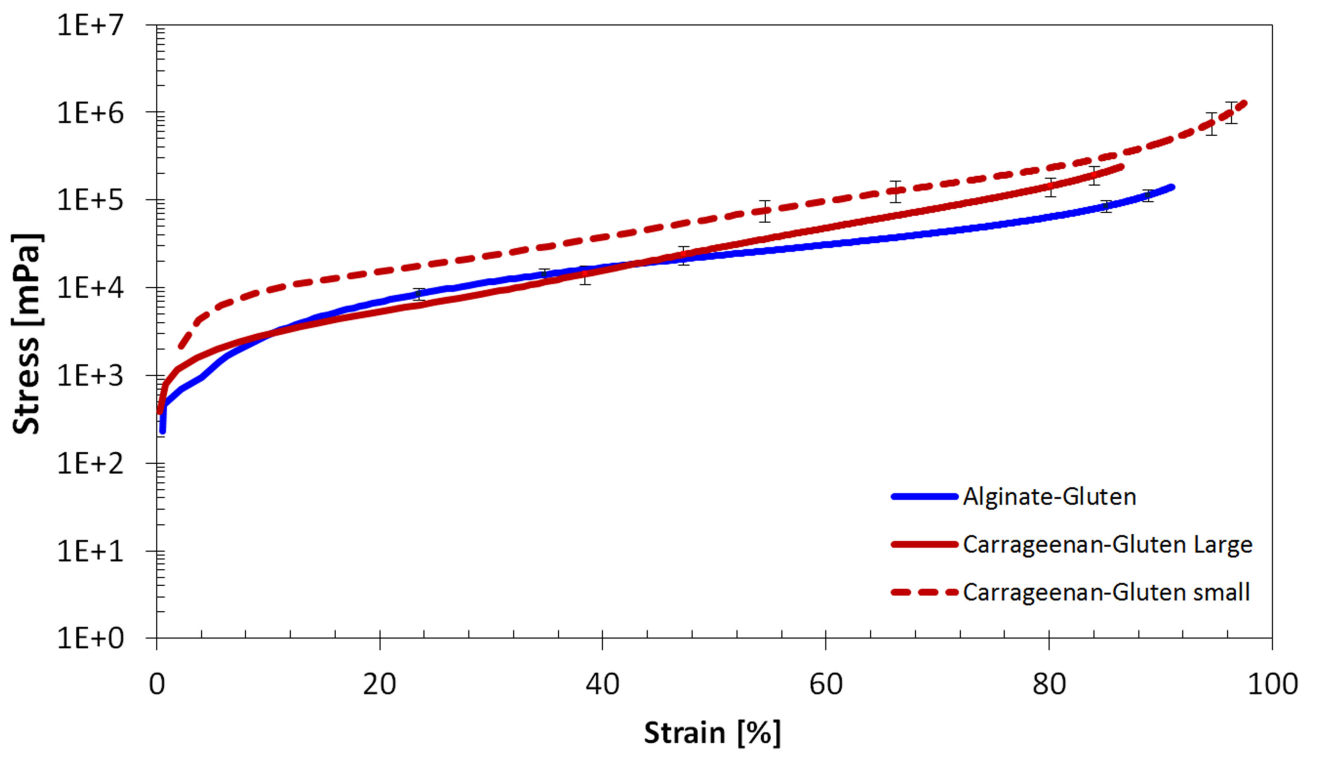
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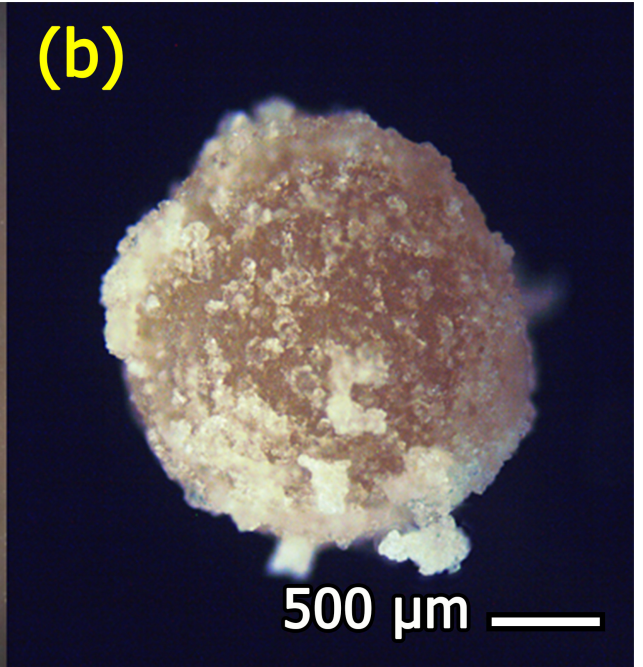




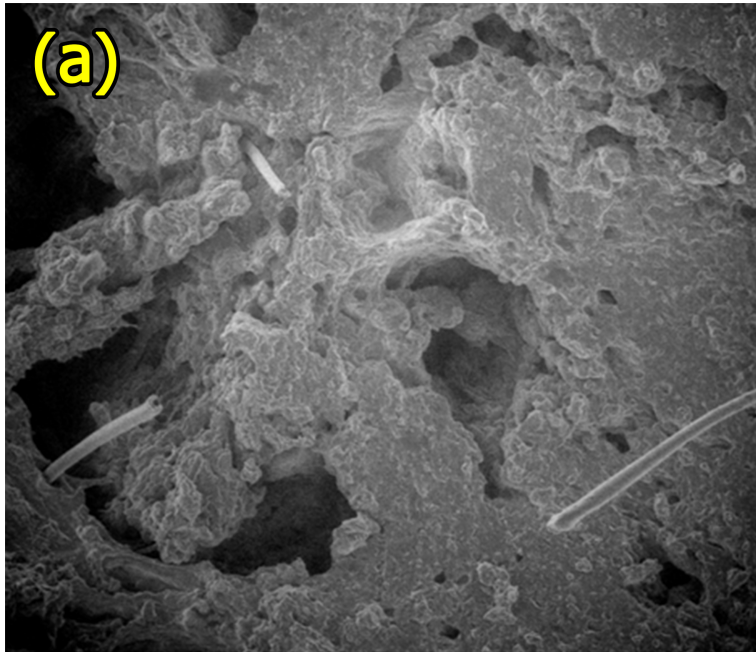




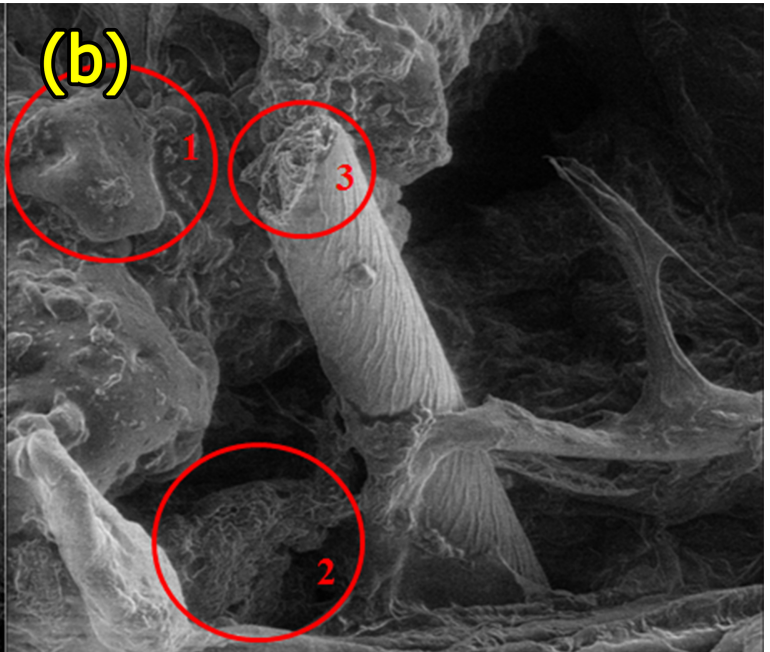








HV	det	pressure	WD	HFW	Scale
4.00 kV	LVD	100 Pa	8.1 mm	853 µm	300 µm
20140224-2-analog-T4 dwarsdoorsnede					



HV	det	pressure	WD	HFW	Scale
4.00 kV	LVD	100 Pa	8.0 mm	128 µm	50 µm
20140224-2-analog-T4 dwarsdoorsnede					