SELF-COMPASSION AND WELL-BEING 1

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1	Running head: SELF-COMPASSION AND WELL-BEING
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9	are associated with changes in well-being
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1	Abstract
2	Introduction. Well-being declines during the first year of university. We examined if change in
3	self-compassion was indirectly related to change in well-being through change in psychological
4	need satisfaction during the first year of university.
5	Methods. First year university students (N=189, 77.2% female) completed self-report
6	questionnaires at the beginning of the first semester and approximately five months later. Path
7	analysis and bootstrapping procedures were used to examine residualized change scores.
8	Results. Change in self-compassion was positively related to (<i>ps</i> <.05) change in psychological
9	need satisfaction (β =.49) and negatively related to change in negative affect (β =24). Change in
10	psychological need satisfaction was positively associated ($ps < .05$) with change in vitality
11	(β =.58) and change in positive affect (β =.52) and negatively associated with change in negative
12	affect (β =29). Change in self-compassion was indirectly related to change in vitality (b=.56,
13	95% bootstrapped bias corrected confidence interval (BcCI)[.38, .77]), positive affect (b=.41,
14	95%BcCI [.27, .58]), and negative affect (b=26, 95%BcCI[41,13]) through change in
15	psychological need satisfaction.
16	Conclusions. During the first year of university, change in self-compassion was associated with
17	change in well-being because self-compassion enhanced psychological need satisfaction. Results
18	highlight the potential of enhancing self-compassion during first year university to help mitigate
19	student declines in well-being.
20	Key words: self-compassion; Self-determination theory; mental health; longitudinal; college;
21	post-secondary; well-being

1 Introduction

2 The first year of university study is often accompanied by marked changes in 3 responsibility, identity, lifestyle, social milieus, and sometimes living arrangements (Gall, Evans, 4 & Bellerose, 2000; Terry, Leary, & Mehta, 2013). Coinciding with these changes, first year 5 university students often experience a rapid decline in psychological and social well-being as 6 well a rapid increase in psychological distress and cognitive-affective vulnerabilities (Conley, 7 Kirsch, Dickson, & Bryant, 2014). Although these negative setbacks in psychological health tend 8 to plateau over time, they do not return to baseline levels over the course of the first year of 9 university (Conley et al., 2014). Consequently, there is a clear need for research that helps to 10 identify key mechanisms that can be used to mitigate declines in well-being during the first year 11 of postsecondary study.

Self-compassion is one approach with potential to assist students in managing the 12 13 challenges that often accompany the first year of university (Fong & Loi, 2016; Hope, Koestner, 14 & Milyavskaya, 2014; Terry et al., 2013). Self-compassion is relevant during times of suffering 15 or setbacks and involves offering support and understanding to the self (Neff, 2003a). It is 16 comprised of three components: (1) self-kindness, which represents the ability to be caring and 17 kind to ourselves rather than excessively critical, (2) common humanity, which represents an 18 understanding that everyone makes mistakes and fails and our experience is part of a larger 19 common experience, and (3) *mindfulness*, which represents being present and aware while 20 keeping thoughts in balance rather than overidentifying (Neff, 2003a, 2003b). Researchers have 21 demonstrated the favourable effects of first year university students having higher self-22 compassion. For example, when controlling for baseline levels of self-compassion, first year 23 undergraduate students who had higher self-compassion experienced less homesickness and

depression at the end of the first semester (Terry et al., 2013). Others have shown that across the
first year of university, students with higher self-compassion had favourable changes in life
satisfaction, identity development, and negative affect (Hope et al., 2014). To date, however,
researchers have yet to examine mediators that might be transmitting the positive effects of selfcompassion to well-being.

6 One theory that can be used to understand the relationship between self-compassion and 7 well-being is self-determination theory (SDT; Deci & Ryan, 2000). In SDT, Deci and Ryan 8 contend that all humans have innate psychological needs that when fulfilled contribute to greater 9 well-being. The three psychological needs are *competence* (i.e., the perception that one can 10 complete personally challenging tasks), *autonomy* (i.e., the perception that one is in control of 11 his/her behaviours and acting volitionally) and *relatedness* (i.e., the perception that one connects 12 and belongs with important others; Deci & Ryan, 2000). Investigators have supported Deci and 13 Ryan's (2011) assertions that psychological need satisfaction (PNS) serves as one mechanism 14 linking self-related constructs to well-being outcomes (see Sheldon, Cheng, & Hilpert, 2011). 15 Neff (2003b) demonstrated that self-compassion was associated with PNS insofar as 16 having higher self-compassion can lead one to be authentic and pursue true self-esteem (versus 17 contingent self-esteem; Neff, 2003a). Having higher self-compassion can enhance perceived 18 autonomy because it encourages one to be kind to oneself, pursue behaviours driven by a sense 19 of enjoyment, learn new and challenging skills, and find happiness (Neff & Dahm, 2015). 20 Having higher self-compassion can enhance perceived competence because it promotes an 21 adaptive self-attitude that could enhance perceptions of competence through viewing experiences 22 as part of a larger human experience, self-kindness, and emotional balance (Neff, Hsieh, & 23 Dejitterat, 2005). Conversely, having lower self-compassion can lead to self-critical thoughts that undermine perceived competence (Neff et al., 2005). Finally, having higher self-compassion can
facilitate perceptions of relatedness because it allows recognition that one's own needs are as
valid and worthy of attention as the needs of others (Yarnell & Neff, 2013). In other words,
having higher self-compassion can serve to enhance relationships because it encourages one to
take the perspective of a close friend to enhance self-kindness, thereby fostering interpersonal
perspective taking (Yarnell & Neff, 2013).

7 **Research Question and Hypothesis**

8 Despite the theoretical and empirical evidence that self-compassion is linked to the 9 fulfillment of psychological needs (Ghorbani, Watson, Chen, & Norballa, 2012; Hope et al., 10 2014; Neff, 2003b; Neff et al., 2005), researchers have yet to examine if having higher self-11 compassion is associated with enhanced well-being because it provides opportunities to fulfill 12 psychological needs. Therefore, the purpose of this investigation was to examine if changes in 13 self-compassion were indirectly related to changes in well-being through changes in PNS during 14 the first year of university. First year students represent an ideal population to examine the 15 research question because researchers have shown that the first year of university is 16 characterized by changes in well-being (Gall et al., 2000; Terry et al., 2013). Based on SDT 17 (Deci & Ryan, 2011) and previous research (Ghorbani et al., 2012; Neff, 2003b; Neff et al., 18 2005), it was hypothesized that (H1) increases in self-compassion would be associated with 19 increases in PNS, vitality, and positive affect and decreases in negative affect, (H2) increases in 20 PNS would be associated with increases in vitality, and positive affect and decreases in negative affect, and (H3) the relationships between increase in self-compassion and well-being indicators 21 22 would be transmitted through PNS.

23

Methods

1 Participants and Procedure

2 Participants were 189 (77.2% female) part-time or full-time first year university students, 3 recruited during their first semester of study at a Canadian university [university name withheld 4 for peer-review]. Most students were single/never married (99.5%). About half lived on campus 5 in residence (50.3%), followed by off-campus (46.5%) and on campus in a non-residence building (3.2%). About half knew the people they lived with (49.2%) whereas just under half did 6 7 not know the people they lived with prior to that year (45.5%). Participants described themselves 8 using multiple descriptors such as white (n = 81, 42.86%), Chinese (n = 51, 26.98%), Asian (n = 51, 26.9%), A 9 35, 18.52%), Southeast Asian (n = 10, 5.29%), Filipino (n = 7, 3.70%), Aboriginal (n = 5, 10 2.64%), black (n = 4, 2.12%), or other (n = 28, 14.83%)¹. 11 Ethical approval to conduct the study was obtained by [withheld for peer review]. Participants were recruited to complete an online questionnaire within the first month of 12 13 beginning university at Time 1 (T1). Participants were emailed a second online questionnaire at 14 Time 2 (T2), approximately five months later. Recruitment took place via announcements at the start of 1st year university courses and links on course websites. Posters were placed around 15 16 campus and researchers set up recruitment booths at first year events.

17 Measures

18 **Demographic information.** Participants indicated where and who they were living with 19 and their gender. Participants reported a mix of race and ethnicities. To ensure participants were 20 in their first year of university, they were asked how many university courses they had completed 21 and if they were in their first year of university.

¹ Note participants could provide more than one description, therefore percentages exceed 100%.

1	Self-compassion. Self-compassion was assessed with the Self-Compassion Scale (SCS;
2	Neff, 2003b). The SCS has six subscales to assess the three components of self-compassion: (1)
3	mindfulness (e.g., "When something upsets me I try to keep my emotions in balance") as
4	opposed to over-identification (e.g., "when I'm feeling down I tend to obsess and fixate on
5	everything that's wrong"), (2) self-kindness (e.g., "I try to be loving towards myself when I'm
6	feeling emotional pain") as opposed to self-judgement (e.g., "I'm disapproving and judgmental
7	about my own flaws and inadequacies"), and (3) common humanity (e.g., "when things are going
8	badly for me, I see the difficulties as part of a life that everyone goes through") as opposed to
9	isolation (e.g., "when I'm feeling down, I tend to feel like most other people are probably
10	happier than I am"). Items were rated on a scale of 1 (almost never) to 5 (almost always) based
11	on how often they behave in a similar manner. Scale scores can be operationalized as six
12	correlated subscales or as one overall self-compassion score (Neff, 2003b, 2015).
13	Psychological Need Satisfaction (PNS). The Basic Psychological Need Satisfaction
14	scale (BPNS; Gagné, 2003) was used to assess perceptions of competence (e.g., "most days, I
15	feel a sense of accomplishment from what I do"), autonomy (e.g., "I feel like I can pretty much
16	be myself in my daily situations"), and relatedness (e.g., "I really like the people I interact
17	with"). Participants read the items indicated how true the statement was for them. Response
18	options were 1 (not at all true) to 7 (very true). The BPNS contained 21-items which were
19	positively and negatively worded. Given the recent advances in theory and measurement of PNS,
20	combined with the documented problems with the original scale (Johnston & Finney, 2010;
21	Sheldon & Hilpert, 2012) and the negatively worded items (Gunnell, Bélanger, & Brunet, 2016;
22	Johnston & Finney, 2010), the negatively worded items ($n=9$) were removed from further
23	analysis. The factor structure of BPNS scores without negatively worded items (Gunnell et al.,

2016) has been supported. To reduce model complexity and enhance power and parsimony, an
 overall PNS variable was calculated by averaging the means of competence, autonomy, and
 relatedness.

4 Well-being. A combination of well-being indicators was used. Vitality was assessed with 5 the 7-item Subjective Vitality Scale (SVS; Ryan & Frederick, 1997). Participants responded to 6 each item based on how true the items are in their life in general on a scale of 1 (not at all true) 7 to 7 (very true). An example item is "I feel alive and vital". Score validity and reliability 8 evidence for the SVS have been documented (Ryan & Frederick, 1997). Positive and negative 9 affect were assessed with the short positive and negative affect schedule (PANAS; Mackinnon et 10 al., 1999; Watson, Clark, & Tellegen, 1988). Participants rated the extent to which they felt 11 various feelings and emotions (e.g., distressed, excited) over the past few weeks. Response 12 options were 1 (very slightly or not at all) to 5 (extremely). Score validity and reliability for the 13 PANAS has been documented (Mackinnon et al., 1999; Watson et al., 1988). 14 **Data Analysis** 15 **Preliminary analysis.** In SPSS, participants were matched by their email addresses at T1 16 and T2. Next, screening took place to ensure all participants had completed the first 17 questionnaire within the first month of commencing university (T1) and within one month of 18 receiving the T2 questionnaire. Further screening eliminated participants who indicated that they 19 were not first year students in their first program and semester of study at time of initial 20 recruitment. Next, after reverse coding the negative self-compassion subscales (i.e., isolation, 21 self-critical, and over-identification) an overall mean self-compassion score was created. 22 Estimates of internal consistency were calculated for each variable at each time point. The 23 expectation maximum algorithm estimation (Schafer & Graham, 2002) was then used to impute

missing values on subscale scores. Finally, T2 variables were regressed on their respective T1
variables and unstandardized residuals were saved to represent residualized change scores
(Zumbo, 1999).

4 **Main Analysis.** Given that the main research question focused on multiple dependent 5 variables in one model, we ran path analysis on the manifest residualized change scores in Mplus 6 7.3 using robust maximum likelihood estimation (MLR). To examine H1 and H2, change in 7 vitality, positive affect, and negative affect were regressed on change in PNS and change in self-8 compassion. Change in PNS was regressed on change in self-compassion. To examine H3, the 9 model was re-estimated using bootstrapping procedures to test for indirect effects (Preacher & 10 Hayes, 2008). In this model, maximum likelihood estimation was used because MLR is not 11 available with bootstrapping. 5000 bootstraps were requested and 95% bias corrected confidence 12 intervals (BcCI) that did not cross zero were interpreted as a significant indirect effect.

13

Results

14 **Preliminary Analysis**

15 After removing ineligible participants (n=13) based on the questions determining first 16 year status, 189 students who were in their first semester of university were included in this 17 study. Of these 189 students missing data ranged from 2.6-3.2% at T1 and 11.6-12.7% at T2 on 18 manifest variables. Descriptive statistics and estimates of internal consistency are presented in 19 Table 1. For T1 and T2 manifest variables, skewness and kurtosis values were below |.65| and z-20 scores were below [3.29]. For residualized change score variables, skewness values were below 21 |.46|, kurtosis values were below |2.92|, and z-scores were below |4.57|. Although some z-values 22 fell outside recommended guidelines of [3.29] (Tabachnick & Fidell, 2007), in the interest of 23 retaining a higher sample size, students with a z-score over 3.29 (n = 5) were not removed

because (a) MLR estimation was used to account for the non-normality in the data and (b) visual
 inspection did not indicate the presence of data entry errors. Bivariate correlations between
 residualized change scores are presented in Table 2.

4 Main Analysis

5 Results of the main path analysis indicated that increases in self-compassion were 6 associated with decreases in negative affect and increases in PNS (see Figure 1). Increases in 7 PNS were associated with increases in vitality and positive affect and decreases in negative 8 affect. Overall, changes in self-compassion and PNS accounted for 39%, 29%, and 21% of the 9 variance in vitality, positive affect, and negative affect, respectively. Given that the path model 10 was fully identified, fit indices were not available. Nonetheless, parameter estimates fell within 11 normal ranges. Results of the indirect effects with bootstrapping indicated that increases in self-12 compassion were associated with increases in vitality and positive affect because of increases in 13 PNS (see Table 3). Further, increases in total self-compassion were associated with decreases in 14 negative affect because of PNS (see Table 3).

15

Discussion

16 It is important to understand how to mitigate the decline in well-being during the first 17 year of university (Gall et al., 2000; Terry et al., 2013) given that lower well-being may be 18 related to school dropout (Alarcon & Edwards, 2013). We found that increases in self-19 compassion over two time points during the first year of university were associated with 20 increases in favourable well-being indicators and that change in PNS meditated this relationship. 21 That is, increases in self-compassion over the first year of university were related to increases in 22 satisfaction of the needs to feel effective during personally challenging tasks (i.e., competent), 23 feel a sense of ownership over one's behaviours (i.e., autonomy), and feel as though one belongs

1	and connects with important others (i.e., relatedness). These results highlight the potential key
2	role PNS plays in transmitting the effect of self-compassion to well-being. As such, knowledge
3	users (e.g., school counsellors, teachers) would do well to foster self-compassion such that
4	students experience greater perceptions of competence, autonomy, and relatedness and in turn,
5	favourable changes in well-being during the first year of university.
6	Our findings are consistent with theory (Deci & Ryan, 2000, 2011; Neff, 2003a), our
7	hypotheses, and past research (Ghorbani et al., 2012; Neff, 2003b). A novel aspect of our study
8	is that it extends previous cross-sectional findings (Ghorbani et al., 2012; Neff, 2003b) to
9	demonstrate that changes in self-compassion are associated with changes in PNS and well-being.
10	Nevertheless, our study design does not afford causal claims and as such results cannot be taken
11	to suggest that self-compassion causes increases in PNS or well-being. Although, we tested a
12	model that is consistent with theory and empirical evidence (Deci & Ryan, 2000, 2011; Neff,
13	2015; Ng et al., 2012; Zessin, Dickhäuser, & Garbade, 2015), there is some evidence to suggest
14	that self-compassion could be an antecedent to well-being indicators such as affect (Sirois,
15	Kitner, & Hirsch, 2015). Future research with more time points is needed to disentangle the
16	potentially mutually reinforcing relationship between self-compassion, PNS and well-being.
17	Future experimental work is also needed to determine if enhancing self-compassion through self-
18	compassion induction interventions (Germer & Neff, 2013) causes increases in perceived PNS
19	and well-being.
20	Psychological Need Satisfaction as a Mediator
21	Although researchers have previously demonstrated that self-compassion is associated

22 with indicators of well-being during the first year of university (Hope et al., 2014; Terry et al.,

23 2013), an examination of *why* self-compassion is associated with well-being had yet to occur. A

novel aspect of our study was that we used SDT (Deci & Ryan, 2000) to examine if PNS was a 1 2 key mechanism, or mediator, transmitting the effect of self-compassion to well-being. We found 3 that an increased satisfaction of psychological needs is one possible mechanism that links self-4 compassion to enhanced well-being. This finding is novel and provides theory-based support for 5 how and why self-compassion may be a protective factor for first year university students. Our 6 findings are also promising given that PNS has been previously linked to both physical and 7 mental health outcomes in meta-analytic findings (e.g., smoking abstinence, physical activity, 8 glycemic control, healthy diet, depression, anxiety, quality of life; Ng et al., 2012). Researchers 9 may wish to examine if PNS mediates the link between self-compassion and other health 10 outcomes such as body image (Wasylkiw, MacKinnon, & MacLellan, 2012), symptoms of 11 depression (Krieger, Altenstein, Baettig, Doerig, & Holtforth, 2013) and health-enhancing 12 behaviours such as sleep and exercise (see Sirois, Kitner, & Hirsch, 2015).

13 **Practical Implications**

14 Researchers should empirically test the effectiveness of self-compassion interventions 15 (e.g., Germer & Neff, 2013) for increasing well-being during the first year of university. Such 16 knowledge will provide guidance for those in the education sector looking to enhance the well-17 being of first year university students. Self-compassion interventions have shown positive results 18 for eating behaviours (Adams & Leary, 2007), student resilience (Smeets, Neff, Alberts, & 19 Peters, 2014), psychopathology (MacBeth & Gumley, 2012) and sport-related rumination 20 (Mosewich, Crocker, Kowalski, & Delongis, 2013). Our results suggest that targeting self-21 compassion through interventions could lead to increases in PNS and in turn, enhanced well-22 being. Universities occupy a unique sphere in students' lives because they have large reach and 23 can influence a large body of students though campus-wide initiatives to increase selfcompassion (Fong & Loi, 2016). Knowledge users such as school counsellors, teachers,
administrators, physicians, residence assistants, and support staff may wish to augment student
self-compassion through self-compassion exercises, inductions, or workshops. Finally, our
results highlight that particularly students with low self-compassion could benefit from selfcompassion initiatives given that decreases in self-compassion represented a risk factor for
increases in negative affect.

7 Limitations and Future Directions

8 There are limitations to this study that should be considered alongside interpretation of 9 the results. First, all variables were assessed via self-report questionnaires which could lead to 10 biased parameter estimates. Second, given the small sample size, we were unable to examine 11 latent variable models and therefore, our parameters estimates may be attenuated by error. 12 Relatedly, an *a priori* power analysis was not undertaken prior to the collection of data for this 13 study. Researchers interested in expanding on the current findings are encouraged to conduct 14 power analysis to ensure their results have sufficient statistical power. Third, our results may not 15 generalize to other samples of first year university students and researchers would do well to 16 replicate our findings across multiple universities and colleges in different countries. Finally, we 17 examined one possible model based on theory. Researchers should explore other theoretically 18 relevant models with complementary variables. For example, a lack of PNS is not equivalent to 19 the active frustrating of psychological needs (Deci & Ryan, 2000). PNS and psychological need 20 frustration independently contribute to well-being and ill-being outcomes (Chen et al., 2015). As 21 such, researchers could examine if a lack of self-compassion, manifested as self-judgement, 22 isolation, and over-identification, might lead to higher psychological need frustration which in 23 turn negatively impacts well-being. Finally, researchers could examine more time points to

1	disentangle within-person and between-person variations in change. Such approaches might help
2	to identify key timeframes for interventions or the provision of support for students undertaking
3	their first year of university.
4	Conclusion
5	Increases in self-compassion during the first year of university were associated with
6	increases in well-being and decreases in negative affect because students experienced increases
7	in perceptions of competence, autonomy, and relatedness. Enhancing students' self-compassion
8	during the first year of university represents a viable option for university initiatives seeking to
9	positively affect the well-being of students.

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Table	1
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	Time 1			Time 2			1	2	3	4	5	6	7	8	9	10	11
	М	SD	α	М	SD	α											
1. Vitality	4.53	1.08	.87	4.25	1.13	.90		.75	41	.73	.37	.41	.40	35	39	47	.51
2. Positive Affect	3.52	.75	.81	3.17	.76	.84	.68		27	.63	.34	.35	.24	26	30	30	.37
3. Negative Affect	2.43	.86	.82	2.40	.82	.84	32	24		51	33	27	28	.49	.43	.50	49
4. PNS	4.98	.76	.84	4.88	.78	.89	.67	.57	43		.47	.52	.38	46	54	53	.61
5. Mindfulness	3.20	.72	.71	3.15	.69	.74	.34	.32	21	.41		.67	.61	54	49	49	.79
6. Self-Kindness	2.91	.80	.82	2.89	.76	.86	.29	.20	07†	.38	.63		.57	52	75	53	.84
7. Common Humanity	3.09	.86	.77	3.00	.80	.82	.37	.27	13	.34	.60	.58		33	39	39	.68
8. Over Identification	3.06	.88	.74	3.10	.87	.77	27	14	.49	42	44	40	27		.65	.64	79
9. Self-Judgement	3.17	.85	.81	3.18	.80	.80	29	18	.36	50	41	59	28	.64		.69	84
10. Isolation	3.12	.89	.74	3.17	.87	.81	36	26	.49	59	41	35	31	.64	.64		80
11. Self-compassion	2.98	.62	.92	2.93	.63	.94	.43	.30	40	.59	.76	.77	.67	76	80	76	

Note. ${}^{\dagger}p$ >.05.All other bivariate correlations are statistically significant *p*<.05. Because missing data were imputed on variables and not indicators, estimates of internal consistency (α) have n's that range from 159-184. All Means (M), Standard deviations (SD), and correlations have an *n* of 189.

Table 2

Pearson Correlations among Residualized Change Variables

	1	2	3	4	5	6	7	8	9	10
1. Vitality										
2. Positive Affect	.52									
3. Negative Affect	38	16								
4. PNS	.62	.54	41							
5. Mindfulness	.26	.32	24	.33						
6. Self-Kindness	.25	.36	25	.32	.56					
7. Common Humanity	.29	.17	32	.39	.49	.45				
8. Over Identification	21	13	.33	27	29	22	19			
9. Self-Judgement	24	23	.22	42	38	49	21	.51		
10. Isolation	32	15	.28	34	33	20	28	.50	.47	
11. Total self-compassion	.37	.29	38	.49	.71	.67	.64	64	70	67

Note. All correlations are p < .05. n = 189.

Table 3

Unstandardized Results of the Bootstrap Indirect Effects

	Total Effect	Direct Effect	Indirect Effect
	95%[BcCI]	95%[BcCI]	95%[BcCI]
$SC \rightarrow PNS \rightarrow Vitality$	0.72[0.37, 1.01]	0.16[-0.12, 0.42]	0.56[0.38, 0.77]*
$SC \rightarrow PNS \rightarrow Positive Affect$	0.47[0.20, 0.71]*	0.07[-0.19, 0.32]	0.41[0.27, 0.58]*
$SC \rightarrow PNS \rightarrow Negative Affect$	-0.68[-0.93, -0.41]*	-0.43[-0.70, -0.14]*	-0.26[-0.41, -0.13]*

Note. * denotes statistical significance based on the 95% Bias Corrected Confidence Interval (BcCI). PNS = psychological need

satisfaction, SC = self-compassion

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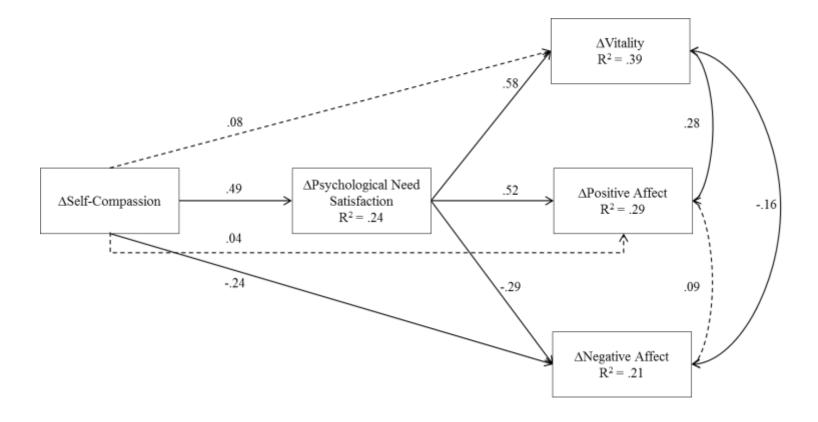


Figure 1. Changes in Self-Compassion, Psychological Need Satisfaction and Indicators of Well-Being

Note. Standardized values presented. Solid lines p < .05. Dashed lines p > .05.

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