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**How mammalian predation contributes to tropical tree community structure**

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1 **Title** How mammalian predation contributes to tropical tree community structure

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22

**23 Abstract**

24 The recruitment of seedlings from seeds is the key demographic transition for rain forest trees.  
25 Though tropical forest mammals are known to consume many seeds, their effects on tree  
26 community structure remain little known. To evaluate their effects, we monitored 8000 seeds of  
27 24 tree species using exclosure cages that were selectively permeable to three size-classes of  
28 mammals for up to 4.4 years. Small and medium-bodied mammals removed many more seeds  
29 than did large mammals, and they alone generated beta diversity and negative density  
30 dependence, whereas all mammals reduced diversity and shaped local species composition.  
31 Thus, small and medium-bodied mammals more strongly contributed to community structure and  
32 promoted species coexistence than did large mammals. Given that seedling recruitment is seed-  
33 limited for most species, alterations to the composition of the community of mammalian seed  
34 predators is expected to have long-term consequences for tree community structure in tropical  
35 forests.

36

**37 Keywords**

38 Agouti, Beta diversity, Defaunation, Negative density dependence, Seed predation, Seed  
39 size, Species composition, Peru, Peccary

40

**41 Introduction**

42 The recruitment of seedlings from seeds is the key demographic transition for trees in tropical  
43 forests. Mortality rates are not only greater during this than any other ontogenetic stage, but they  
44 are also the most predictable and species-specific, often caused by host-specific natural enemies  
45 (Terborgh 2012). Mortality patterns become increasingly stochastic over ontogeny (Green *et al.*  
46 2014). Thus, to understand the determinants of tropical tree community structure, one must study  
47 seedling recruitment. Though their relative importance continues to be debated, it is certain that  
48 terrestrial mammals, pathogenic fungi and herbivorous insects play strong roles in this transition,  
49 consuming and destroying many seeds and seedlings (Notman and Villegas 2005, Paine and  
50 Beck 2007, Alvarez-Loayza and Terborgh 2011, Bagchi *et al.* 2014). The objective of this study  
51 was to determine the extent to which mammalian predation contributes to the community  
52 structure of tropical forest trees.

53 Previous examinations of this topic have followed one of two approaches (Beck *et al.*  
54 2013). The first compares seedling recruitment in intact and defaunated forests, from which  
55 anthropogenic hunting has extirpated large-bodied vertebrates (Asquith *et al.* 1997, Terborgh *et*  
56 *al.* 2008, Harrison *et al.* 2013). Because humans hunt both arboreal and terrestrial animals,  
57 however, such investigations can confound their potentially contrasting effects (Kurten *et al.*  
58 2015). Arboreal vertebrates are largely frugivorous, consuming fruit pulp and dispersing seeds.  
59 Terrestrial vertebrates, on the other hand, mostly consume seeds and seedlings destructively,  
60 although scatter-hoarding rodents are also important vectors of secondary seed dispersal (Vander  
61 Wall *et al.* 2005, Hirsch *et al.* 2012). Moreover, abiotic factors may vary among sites,  
62 influencing recruitment patterns (Beck *et al.* 2013). In a second, more direct approach, the  
63 experimental use of selectively permeable cages (*i.e.*, ‘exclosures’) allows investigators to

64 manipulate the access of terrestrial vertebrates to seeds and seedlings in relatively homogeneous  
65 abiotic conditions (Daubenmire 1940). Exclosure technique is particularly powerful when  
66 coupled with the addition of seeds, through which investigators can generate artificial  
67 communities of known age and species composition (DeMattia *et al.* 2004, Paine and Beck  
68 2007). Unfortunately, many studies of this type have been of very short duration, often less than  
69 two years (DeMattia *et al.* 2004, Hautier *et al.* 2010, Kuprewicz 2013). Moreover, the few long-  
70 duration studies have not included seeds of enough species to make strong inferences about the  
71 effects of mammals on tree community structure (Notman and Villegas 2005, Norghauer *et al.*  
72 2006).

73 Paine and Beck (2007) provide the most-thorough analysis to date of the effects of  
74 mammalian predation on tropical tree community structure. Their study, however, suffered from  
75 a number of shortcomings, which we remedy in the current contribution. First, Paine and Beck  
76 (2007) analyzed diversity using species richness per individual. Though this metric is frequently  
77 assessed (Hubbell *et al.* 1999), it cannot be considered a diversity index as it does not account for  
78 the relative abundance of species (Magurran 2004). In fact, it is maximal when evenness is  
79 minimal. Secondly, their study was incomplete, as it did not examine important aspects of  
80 community structure such as functional traits, species composition or beta diversity. We expand  
81 upon Paine and Beck (2007) by studying 24 species for up to 4.4 years, compared with 14  
82 species and 2.2 years in Paine and Beck (2007). Finally, we take advantage of new data on  
83 functional traits and tree demography to assess the effects of mammalian predation on all  
84 important aspects of tree community structure.

85 We sought to understand the relative effects of three size-classes of mammals on tree  
86 community structure. Though it is well known that terrestrial rain forest vertebrates consume

87 many tree seeds (Paine and Beck 2007, Hautier et al. 2010, Beck et al. 2013, Kurten et al. 2015),  
88 their relative effects in generating tree community structure is less evident. We consider three  
89 size-classes. Small mammals, with adult body mass < 1 kg, include mice (Muridae) and spiny  
90 rats (Echimyidae). Medium-sized mammals (1–12 kg) are caviomorph rodents and include  
91 acouchis, agoutis and pacas (*Myoprocta pratti*, *Dasyprocta variegata* and *Cuniculus paca*,  
92 respectively). Large mammals (>20 kg) are predominantly peccaries (*Pecari tajacu* and *Tayassu*  
93 *pecari*, Tayassuidae), but also include deer (Cervidae) and tapirs (*Tapirus terrestris*, Tapiridae).  
94 Assessing the effects of each size-class separately is critical for predicting the effects of  
95 anthropogenic activities, such as hunting-induced defaunation, on the tree community. Large  
96 terrestrial mammals become locally scarce in lightly hunted forests, whereas even medium-sized  
97 mammals can be extirpated from intensively hunted forests (Endo et al. 2010). Small mammals  
98 are not typically hunted, but their populations frequently expand following hunting, presumably  
99 because of reduced competition from larger mammals (Asquith et al. 1997, Peres and Palacios  
100 2007).

101 We posit five hypotheses linking predation by each size-class of mammal to tree  
102 community structure. First, mammals will shape tree community structure only if they generate  
103 inter-specific variation in seed survival. Only if this is the case can mammalian predation affect  
104 the relative abundance of tree species. Second, we hypothesize that predation will reduce  
105 evenness and thus species diversity (Paine and Beck 2007, Theimer et al. 2011, Beck et al.  
106 2013). Third, because mammalian feeding preferences can vary spatially, we hypothesize that  
107 mammalian predation will affect local species composition and beta diversity, the change in  
108 species composition over space. Although distance-limited seed dispersal is understood to be the  
109 primary generator of beta diversity (Chave and Leigh 2002), environmental filtering, in the form

110 of mammalian feeding preferences, may also play a role. Fourth, we hypothesize that mammals  
111 preferentially prey upon larger seeds, as nutritional rewards scale with seed mass, assuming that  
112 seeds do not vary in detectability or handling time (Paine and Beck 2007). If mammals  
113 disproportionately prey upon large-seeded species, they may also affect the distribution of wood  
114 density across the tree community, owing to a weakly positive association between seed mass  
115 and wood density in tropical forests worldwide (Wright *et al.* 2007). Thus, mammalian  
116 predation may affect the distribution of wood density among species recruiting as seedlings, with  
117 potential long-term effects on biomass and carbon sequestration (Peres *et al.* 2015). Finally, we  
118 hypothesized that mammalian predation generates a negative relationship between seedling  
119 recruitment and population density. Such negative density dependence is pervasive in seedling  
120 recruitment, and is essential for stable species coexistence (Harms *et al.* 2000, Chesson 2000).  
121 Therefore, we hypothesized that mammals may disproportionately prey upon species that are  
122 common as adults, because they may have stronger search images for such species.

123

## 124 **Methods**

125 This study was conducted in tropical moist forest in the vicinity of Cocha Cashu Biological  
126 Station (CCBS), Manu National Park, Peru (12° S, 71° W, ~350 m elevation; see site description  
127 in Gentry 1990). The forested floodplain of the Manu River is extremely diverse, with almost  
128 350 species of trees that attain a diameter of 10 cm at breast height (dbh). Average annual  
129 precipitation is 2200 mm, falling mainly between October and April. The vastness and physical  
130 isolation of the 1.9 million ha Manu National Park, together with neighboring protected areas,  
131 have facilitated the preservation of the diverse vertebrate community of CCBS, making it one of

132 few sites worldwide that remains intact and accessible for study (Endo et al. 2010). It is thus an  
133 ideal location to detail the effects of terrestrial mammals on seedling recruitment.

134 To determine the individual effects of small, medium and large mammals, we established  
135 exclosures that differed in their permeability to each size class. We built exclosures in eight  
136 randomly located blocks, separated by at least 250 m, within an area of 3 km<sup>2</sup>. In each block, we  
137 located one 2 x 2 m exclosure cages of each of five types 20 m apart along a randomly oriented  
138 transect. There were 40 exclosures in total. NONE exclosures, which were impermeable to all  
139 terrestrial mammals, were 90-cm tall wire hardware cloth (mesh size 1 cm), reinforced with iron  
140 rebar at the corners and the middle of each side. SMALL exclosures were identical, but with 7 x  
141 7 cm holes cut along the bottom edge of the walls, making them permeable to small mammals.  
142 MEDIUM–LARGE exclosures consisted of 20 cm tall sheet-metal barriers to small mammals  
143 (Supplemental Figure S1). Medium and large mammals could easily step over the walls to enter  
144 the exclosure. MEDIUM combined the sheet metal of MEDIUM–LARGE with a wrapping of  
145 barbed wire, which barred the entry of large mammals, making them permeable only to medium-  
146 sized mammals. Finally, ALL treatments were only marked with rebar at the four corners,  
147 permitting the entry of all terrestrial mammals.

148 Tree species were included in the study based upon three criteria. First, their fruit had to  
149 be single-seeded and their seeds had to be sufficiently large to be easily cleaned, sown and  
150 monitored. Second, fruiting adults had to be sufficiently common and fecund to provide enough  
151 seeds for placement in the exclosures. Third, as seeds were placed into the exclosures in four  
152 batches, fruit needed to be available at the beginning of one of the four experimental periods:  
153 November 1999-January 2000, June 2001, April–June 2004 or February 2005. These criteria  
154 yielded 24 species, representing 17 families, including 18 trees, five palms, and one liana



155 (*Sparattanthelium tarapotanum*). All species reach the canopy as adults and are primarily  
156 dispersed by mammals. Seed mass was measured for at least 30 seeds per species. Sapwood  
157 samples were obtained using an increment borer from up to three adults per species. Wood  
158 density was assessed with the water displacement method. Seed mass and wood density values  
159 were each missing for a single species, and were obtained from the Kew Seed Information  
160 Database (<http://data.kew.org/sid>) and Chave et al. (2009), respectively. Adult abundance  
161 (individuals  $\geq 10$  cm dbh), was determined in 38 permanent plots totaling 25 ha in the floodplain  
162 and uplands of the Manu river watershed (Manu Plant Network, J. Terborgh, unpublished data).  
163 Seed mass varied over two orders of magnitude, from 50 to 5400 mg (median 1800 mg), whereas  
164 wood density varied from 0.22 to 0.76 g·cm<sup>-3</sup> (median 0.54 g·cm<sup>-3</sup>). Adult stem density of the  
165 focal species ranged from ~0.01 to 90 adults/ha (median 0.67 adults/ha), encompassing almost  
166 the entire range of densities observed among adult trees in this region. The distinguishing  
167 characteristics of species are presented in Table 1. We added seeds to exclosures and monitored  
168 their fates as in Paine and Beck (2007), except that seeds were placed in conspecific groups of  
169 six in experimental periods 1 and 2, and conspecific groups of 10 in experimental periods 3 and  
170 4. We used seed removal as a proxy for seed mortality, given the uncertainty in the precise fate  
171 of missing seeds (Vander Wall et al. 2005). See Paine & Beck (2007) for further experimental  
172 details.

173

#### 174 *Data analysis*

175 All analyses were performed on the sum of surviving seeds and germinated seedlings. We  
176 evaluated the effects of mammalian predation on seed and seedling survival using a parametric  
177 survival regression, in which survival was predicted from the interacting effects of species and

178 treatment. As mortality risk is likely to decrease over time for seeds and seedlings, residuals  
179 were assumed to follow a Weibull distribution.

180         The effects of mammalian predation on species evenness, diversity, plot-mean seed mass,  
181 plot-mean wood density and plot-mean adult stem density were assessed using linear mixed-  
182 effect models. Evenness and diversity were expressed as Pielou's J and the effective number of  
183 species ( $e^H$ , Magurran 2004), respectively. Plot-mean seed mass, wood density and adult stem  
184 density were calculated at each census time using species-mean trait values, weighted by the  
185 abundance of the species remaining in each exclosure. All five response variables were predicted  
186 on the basis of the interacting effects of treatment and observation day. To account for spatial  
187 variation in mammalian effects, blocks were included as random effect. Experimental periods  
188 entered the model with random slopes and intercepts, because species composition varied among  
189 them. All five response variables were log-transformed prior to analysis to control  
190 heteroscedasticity.

191         We assessed the degree to which predation by each size-class of vertebrates shaped  
192 species composition in two ways. First, to assess the effects of mammalian predation on local  
193 species composition, we calculated the Bray-Curtis dissimilarity in species composition caused  
194 by predation by each size-class of mammal *within* each of the eight geographical blocks at each  
195 time of observation. Separately, we assessed the degree to which mammalian predation  
196 generated beta diversity by calculating the Bray-Curtis dissimilarity in species composition  
197 within each exclosure type *among* all pairwise combinations of blocks. Because Bray-Curtis  
198 dissimilarity cannot exceed one (Magurran 2004) and because all exclosures began with identical  
199 species compositions, we modeled these compositional dissimilarities using asymptotic mixed-  
200 effect models that were forced through the origin (Pinheiro and Bates 2000). Asymptotes and

201 rate constants were allowed to vary among mammal size-classes as fixed effects. We included  
202 experimental period as a random effect in the beta-diversity model, and both block and  
203 experimental period in the local species composition model.

204 For all aspects of tree community structure, our interest regarded the effects of each size-  
205 class of vertebrates, rather than of the treatments themselves. We used *a priori* orthogonal  
206 contrasts among treatments to test the separate effects of each mammal size-class on each aspect  
207 of tree community structure. The impact of each mammalian size-class was determined by  
208 contrasting the pair of enclosure treatments that differed only in their permeability to that size  
209 class. Accordingly, we contrasted NONE vs. SMALL enclosures to estimate the effect of small  
210 mammals, NONE vs. MEDIUM for medium mammals, and MEDIUM vs. MEDIUM–LARGE  
211 for large mammals. For species composition, dissimilarities between treatments were analyzed  
212 directly, obviating the need for orthogonal contrasts.

213 For all response variables, the effects of mammalian predation were compared at two  
214 points in time: 1.4 and 4.4 years, which were the durations of the shortest- and longest-duration  
215 experimental periods, respectively (Table 1). At each of these time points, each response variable  
216 was predicted using 1000 parametric bootstrap replicates. The effects of each size-class of  
217 mammals on the response variables was assessed as the base-10 logarithm of the ratio of the  
218 response variable in enclosures permeable to the given mammal size-class to its value in  
219 enclosures from which the mammal size-class was excluded. Log<sub>10</sub>-ratios of 1 or -1 indicate that  
220 a mammal size-class caused a 10-fold increase or decrease in the response variable, respectively.  
221 Mammalian effects were deemed significant if the 95% confidence intervals of the bootstrap  
222 replicates did not include zero. Analyses were performed in R 3.2.3 (R Core Team 2015).  
223 Survival and species composition models were fit using the ‘survival’ and ‘nlme’ libraries,

224 respectively (Pinheiro and Bates 2000), whereas all other analyses were implemented using the  
225 ‘lme4’ library (Bates et al. 2014). All raw data and R code used in this study are available in a  
226 Github repository (doi: 10.5281/zenodo.154042).

227

## 228 **Results**

229 Over the eight-year duration of the study, 8000 seeds of 24 species were placed into the  
230 exclosures, yielding a total of 1917 seedlings, 515 of which survived to the end of the  
231 experimental period. Germination rate varied among species from 0 to 59%. Three species  
232 recruited no seedlings (Table 1). One of these, *Mauritia flexuosa*, is a swamp specialist, the seeds  
233 of which were rapidly consumed by terrestrial termites. *Virola calophylla* and *Matisia cordata*  
234 germinated weakly (7.5 and 14.6%, respectively), but all their seedlings perished, potentially as a  
235 result of host-specific natural enemies (Alvarez-Loayza and Terborgh 2011). In contrast, 45% of  
236 the seeds of *Calatola costaricensis*, a large-seeded tree that is rare as an adult, survived as  
237 seedlings to the end of the study (Supplemental Figure S2).

238 Our first hypothesis, that mammals generate interspecific variation in seed survival, was  
239 strongly supported by the data. Small, medium and large mammals reduced median survival time  
240 by up to 10.3, 15.1 and 2.0 months, respectively (Fig. 1). The strongest effects were generated by  
241 small and medium-sized mammals, which significantly reduced the survival of 17 and 14  
242 species, respectively. Large mammals, on the other hand, significantly reduced the survival of  
243 only four species, all of which were also significantly impacted by small- or medium-bodied  
244 mammals. Survival of a few species was modestly increased by exposure to mammals; why this  
245 occurred is unclear.

246 Given their differential effects upon survival, mammalian predation also reduced

247 evenness and species diversity, supporting our second hypothesis. All mammalian size-classes  
248 reduced species evenness (Pielou's  $J$ ), with effects that strengthened over the duration of the  
249 experiment (Fig. 2A). All three size-classes of mammals also significantly reduced the effective  
250 number of species ( $e^H$ ), with effects that strengthened over time (Fig. 2B). For both evenness and  
251 diversity, the effects of large mammals were weaker than those of small and medium-bodied  
252 mammals (Fig. 2). Our third hypothesis found strong support, as predation by all three mammal  
253 size-classes caused rapid and significant changes in local species composition, which lasted  
254 through the end of the experiment (Fig. 3A). Beta diversity showed a strikingly different pattern.  
255 Small and medium-sized mammals rapidly generated significant beta diversity, which lasted  
256 throughout the experiment, whereas large mammals did not significantly contribute to beta  
257 diversity at any time (Fig. 3B).

258       There was strong support for the first part of our fourth hypothesis, that mammals  
259 preferentially preyed upon large-seeded species. Predation by all three size-classes of mammals  
260 lead to significant decreases in the plot-mean seed mass over time. Small mammals had the  
261 strongest effect, reducing plot-mean seed mass more than 10-fold ( $\log_{10}$  ratio: -1.01), whereas the  
262 effects of medium-sized and large mammals were weaker (Fig. 4A). The evident preference of  
263 mammals for larger-seeded species generated only weak effects on plot-mean wood density,  
264 however, despite the significant negative relationship between seed mass and wood density  
265 (Supplemental Figure S3). After 1.4 years, wood density was significantly increased by small  
266 and large mammal predation, and significantly decreased by medium-sized mammals. Only the  
267 effects of large mammals persisted through the end of the experiment, and they only increased  
268 wood density by 4% ( $\log_{10}$  ratio 0.039; Fig. 4B). Small and medium-sized mammals, on the  
269 other hand, strongly and significantly reduced plot-mean adult density by disproportionately

270 removing seeds of species that are common as adults, thus generating negative density  
271 dependence (Fig. 4C). Both size-classes reduced plot-mean adult density by at least 100-fold by  
272 the end of the experiment ( $\log_{10}$  ratios: -2.43 and -2.13 for small and medium mammals,  
273 respectively). Large mammals reduced plot-mean adult density initially, though this effect  
274 disappeared by the end of the study.

275

## 276 **Discussion**

277 By following the fates of seeds of 24 species for up to 4.4 years in a well-replicated experiment,  
278 we were able to assess aspects of community structure, such as beta diversity, that were beyond  
279 the scope of previous studies. Overall, mammalian predation on seeds and seedlings had strong  
280 and predictable effects on tree community structure. Small, medium and large-bodied species all  
281 reduced species evenness and diversity (Fig 2). This finding directly contradicts that of Paine and  
282 Beck (2007), who claimed that predation by small mammals increased diversity, measured as  
283 species richness per stem. Their error was that species richness per stem is not a diversity index,  
284 as it does not account for relative species abundance (Magurran 2004). In Paine and Beck (2007)  
285 and the current study, it would have been impossible for mammals to increase species diversity.  
286 They could not have increased species richness, as experimentally placed seeds were clearly  
287 distinguishable from naturally dispersed ones. Nor could they have increased evenness, as it was  
288 maximized at the beginning of each experimental period by the placement of equal numbers of  
289 seeds of each species in each enclosure.

290 Small and medium-bodied mammals more strongly affected tree community structure  
291 than did large mammals, in accordance with previous studies (Asquith *et al.* 1997, DeMattia *et*  
292 *al.* 2004, Norghauer *et al.* 2006, Paine and Beck 2007, Hautier *et al.* 2010). Not only did they

293 remove more seeds than did large-bodied mammals, they also generated beta diversity and  
294 negative density dependence through their actions (Figs 1, 3B and 4C). The effects of large  
295 mammals were altogether weaker, although they alone favored the recruitment of species with  
296 dense wood by disproportionately preying upon species with low wood density (Fig. 4B). These  
297 findings broaden and generalize those of the few previous studies that have attempted to link the  
298 actions of mammalian seed predators to tree community structure (DeMattia *et al.* 2004, Paine  
299 and Beck 2007, Theimer *et al.* 2011, Kurten *et al.* 2015).

300 Notably, ours is the first study, to our knowledge, to demonstrate that mammalian  
301 predation can contribute to beta diversity, the change in species composition over space. Beta  
302 diversity is generally assumed to arise from distance-limited seed dispersal (Chave and Leigh  
303 2002), though biogeographical history also makes an important contribution (Dexter *et al.* 2012).  
304 We suggest that spatial variation in canopy tree composition, together with the relatively small  
305 home ranges of small- and medium-bodied mammals, could lead to spatial variation in search  
306 images for preferred food items. This, in turn, would lead to spatial variation in species-specific  
307 rates of seedling recruitment. Such a process could amplify and contribute to the patterns of beta  
308 diversity observed in tropical tree communities.

309 Given the central role of negative density dependence for the maintenance of diversity  
310 and its pervasive nature (Harms *et al.* 2000, Chesson 2000), understanding its generative  
311 mechanisms is of great interest. Our findings add to the body of evidence that small-bodied  
312 mammals can generate negative density dependence (Paine and Beck 2007), thus contributing to  
313 stabilizing niche differences and thus species coexistence. Arthropods and pathogenic fungi can  
314 also do so (Notman and Villegas 2005, Alvarez-Loayza and Terborgh 2011, Bagchi *et al.* 2014).  
315 There remains a need for studies that evaluate the relative importance of the primary biotic

316 sources of mortality on tropical tree seedlings: fungi, insects and mammals, so that we may  
317 better understand the mechanisms that generate tropical tree community structure.

318

319 *Abundance versus biomass*

320 The relative effects of small, medium and large-bodied mammals on tree community structure is  
321 not easy to predict *a priori*. At Cocha Cashu Biological Station, small mammalian seed predators  
322 outnumber medium- and large-bodied ones by an order of magnitude (419, 14 and 12  
323 individuals·km<sup>-2</sup>, respectively; Janson & Emmons 1990). The population-level biomass of large  
324 mammalian seed predators at this site, however, far exceeds that of medium or small ones (590,  
325 10 and 12 kg·km<sup>-2</sup>, respectively; Janson & Emmons 1990; Endo et al. 2010). Moreover, the large  
326 body size and rooting behavior of *Tayassu pecari* (White-lipped Peccary), the dominant large  
327 terrestrial mammal at CCBS and across the Neotropics, cause them to have very strong *per*  
328 *capita* effects (Beck 2005, Beck et al. 2013). The observation that small and medium-bodied  
329 mammals had consistently stronger effects on tree community structure indicates that ubiquity,  
330 imparted by very large population sizes, facilitates stronger trophic interactions than does great  
331 individual biomass. In other words, a seed predator's ability to locate seeds is a better predictor  
332 of its ecological impacts than is its jaw strength, at least for the 24 plant species used in this  
333 study. Notably, all mammal size-classes were able to consume seeds (or seedlings) of all studied  
334 species. Had this not been the case, for example, if some species had been chemically defended,  
335 other outcomes would have been observed (Kuprewicz 2013).

336 Why were large mammals observed to have such weak effects on tree community  
337 structure? The scale of the experimental exclosures may have played a role. Herds of *T. pecari*,  
338 containing up to 200 individuals, travel approximately 10 km per day as they 'bulldoze' through



339 the understory (Wyatt and Silman 2004, Beck 2005). The 4-m<sup>2</sup> enclosure plots used in this study  
340 may have been too small to attract the attention of these wide-ranging mammals. Notably, *T.*  
341 *pecari* is the dominant seed predator of the palm *Astrocaryum murumuru* when it is found in  
342 high-density aggregations, whereas *Proechimys* spp. and other small rodents are the primary seed  
343 predators of isolated *A. murumuru* individuals (Beck and Terborgh 2002). Thus, *T. pecari* may  
344 have weak effects on the tree community as a whole, but strong effects on a few species (Silman  
345 et al. 2003, Wyatt and Silman 2004). It is likely that larger-scale enclosures would have more  
346 equitably assessed the effects of large mammals on tree community composition (Kurten et al.  
347 2015), although it would have been logistically challenging to achieve sufficient replication with  
348 them.

349         In several regions, population sizes of *T. pecari* vary erratically, even repeatedly  
350 becoming undetectably scarce for years at a time (Vickers 1991, Reyna-Hurtado et al. 2009).  
351 Infectious disease, which could presumably spread rapidly in this highly social species, may  
352 cause these large-scale extirpations (summarized by Richard-Hansen et al. 2014). *T. pecari* were  
353 effectively absent from CCBS between 1978 and 1990, and disappeared again in 2012 (Silman et  
354 al. 2003). Nevertheless, they were present and abundant throughout the eight-year duration of the  
355 current study, meaning that population fluctuations should not have weakened their apparent  
356 effects on tree community structure.

357         Taken at face value, our results suggest that the consequences of hunting on tree  
358 community structure should be relatively minor, because small and medium-sized mammals,  
359 which are less-often hunted, more strongly contributed to tree community structure than did  
360 large-bodied mammals, which are the preferred prey of hunters (Peres and Palacios 2007, Endo  
361 et al. 2010). However, two considerations make this conclusion overly simplistic. First, in the

362 absence of mammalian seed predation, many seeds are destroyed by fungal pathogens, bacteria,  
363 or arthropods, some of which can generate negative density dependence (Bagchi et al. 2014).  
364 This was observed in our study, as few seeds or seedlings survived to the end of the experiment,  
365 even in the CLOSED treatment (Supplemental Figure S2). Thus, the consequences for the tree  
366 community of the local extirpation of large mammalian seed predators could be, at least in part,  
367 compensated for by the actions of smaller-bodied organisms (Asquith et al. 1997). Second,  
368 hunting by humans extirpates large-bodied arboreal primates as well as terrestrial mammals, thus  
369 affecting both seed dispersal and seed predation. Across Amazonia, heavily hunted sites retain  
370 approximately 10% of the population density of ateline primates (*Ateles* and *Lagothrix*),  
371 compared to non-hunted sites of equivalent productivity (Peres and Palacios 2007). These genera  
372 of frugivorous primates provide the irreplaceable ecological service of seed dispersal to roughly  
373 23% of genera of Neotropical trees (Peres et al. 2015). Thus, although the ecological  
374 consequences of human hunting on seed predation are likely to be relatively modest, the impacts  
375 on seed dispersal are substantial and detrimental (Kurten et al. 2015). Observational studies of  
376 hunted forests show strong directional change in tree species composition (Terborgh et al. 2008,  
377 Harrison et al. 2013). We suggest that these changes are more likely to be driven by reduced seed  
378 dispersal than by reduced seed predation.

379 Terborgh (2012) levelled three criticisms at studies such as the current one, which he  
380 referred to as ‘seed presentation trials’. First, *they are conducted with relatively large seeds*.  
381 Though seeds masses in the current study spanned two orders of magnitude, tree seeds in  
382 Neotropical forests vary over seven orders of magnitude (Wright et al. 2007). This could be seen  
383 as limiting our ability to make inferences on the effects of mammalian predation on small-seeded  
384 species. Most small-seeded species require high light to germinate, however, and our results

385 suggest that very small-seeded species are likely to escape the notice of mammals (Fig. 4A).  
386 Thus, their recruitment dynamics are likely to be relatively independent of mammalian seed  
387 predators.

388       Second, *abundant seeds are displayed conspicuously*. In contrast, naturally dispersed  
389 seeds are often scattered as they fall from the canopy and are subsequently covered by leaf litter.  
390 In the current study, conspecific seeds were placed in groups in each 4 m<sup>2</sup> enclosure, a far greater  
391 density than the one viable seed per m<sup>2</sup> observed in a concurrent seed-trapping at CCBS (Swamy  
392 et al. 2010). By artificially elevating the natural density of seed rain, the enclosure cages could  
393 have become more attractive foraging sites for seed predators than they might otherwise have  
394 been. This increase in food abundance is likely to have influenced mammal behavior. Although  
395 this elevated abundance was unavoidable and necessary for efficient data collection, we partially  
396 addressed the concern about conspicuousness by replacing leaf litter that had fallen on the seeds  
397 after every census.

398       Third, *the seeds used are typically undispersed, and have been cleaned of pulp*. Such pre-  
399 treatment can alter the olfactory cues that attract both seed predators and secondary dispersers,  
400 and can thus affect the probabilities of being eaten or buried. Secondary dispersal and burial by  
401 rodents or dung beetles increase dispersal, reduce predation, and enhance survival to the seedling  
402 stage (Andresen and Levey 2004, Vander Wall et al. 2005, Hirsch et al. 2012). Cleaning seeds  
403 may have shaped the outcome of our experiment in two ways: our seeds may have been less  
404 likely to be found by mammalian seed predators than ones reeking of dung, and seeds buried by  
405 dung beetles or scatter-hoarding mammals were counted as dead. The former effect would lead  
406 us to underestimate the effects of mammalian predation, because more seeds would have been  
407 consumed, had they been covered in dung. The latter, on the other hand, would lead us to

408 overestimate the effects of mammalian predation, because seeds that were removed or buried,  
409 and subsequently germinated, were considered by us to have died. The relative magnitude of  
410 these countervailing effects remains unclear. Nevertheless, we stand by our decision to clean  
411 seeds prior to their placement in the exclosures. To have done otherwise would have been  
412 impractical.

413

#### 414 **Conclusions**

415 Mammals contribute strongly to tropical tree community structure through the consumption of  
416 seeds and seedlings. Multiple lines of evidence suggest that small- and medium-bodied mammals  
417 play a stronger role in the seed-to-seedling transition than do large mammals. They significantly  
418 reduce survival of many species, generate beta diversity and crucially, they contribute to the  
419 negatively density dependent nature of seedling recruitment by disproportionately preying upon  
420 tree species that are common as adults. In contrast, large mammalian seed predators had minimal  
421 effects on tree community structure. Predation, especially by rodents, plays an important role in  
422 maintaining tree diversity and shaping tree community dynamics in tropical forests.

423

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430

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548

549 **Table 1.** Names and key attributes of the 24 woody plant species studied at Cocha Cashu Biological Station, Manu National Park, Peru. Adult  
550 density is based on observations on 38 permanent plots, totaling 25 ha, distributed across the floodplain and uplands of the Manu River  
551 watershed. Germination rate indicates the percentage of seeds that yielded observed seedlings, whereas Final survival indicates the percentage of  
552 seeds that yielded seedlings at the end of the experiment.

<b>Species</b>	<b>Experiment al period</b>	<b>Number of censuses</b>	<b>Seed mass (mg)</b>	<b>Wood density (g·cm<sup>-3</sup>)</b>	<b>Adult density (ha<sup>-1</sup>)</b>	<b>Germinat ion rate (%)</b>	<b>Final survival (%)</b>
Annonaceae <i>Duguetia quitarensis</i> Benth.	3	12	410	0.612	2.36	2.5	0.5
Arecaceae <i>Astrocaryum murumuru</i> Mart.	1	24	6000	0.508	37.44	6.3	3.3
Arecaceae <i>Attalea butyracea</i> (Mutis ex L.f.) Wess.Boer	2	11	54700	0.326	27.19	7.1	2.5
Arecaceae <i>Iriartea deltoidea</i> Ruiz & Pav.	3	13	3860	0.267	89.89	31.3	10.0
Arecaceae <i>Mauritia flexuosa</i> L.f.	1	18	13840	0.557	3.55	0.0	0.0
Arecaceae <i>Socratea exorrhiza</i> (Mart.) H.Wendl.	3	13	3440	0.226	0.12	34.8	4.3
Clusiaceae <i>Calophyllum brasiliense</i> Cambess.	1	23	2520	0.579	0.35	29.4	1.8
Combretaceae <i>Buchenavia grandis</i> Ducke	3	13	1930	0.755	0.12	15.8	0.5
Ebenaceae <i>Diospyros artanthifolia</i> Mart. ex Miq.	3	8	610	0.535	0.08	30.0	7.5

Ebenaceae <i>Diospyros subrotata</i> Heirn	3	13	790	0.498	0.95	22.8	9.0
Hernandiaceae <i>Sparattanthelium tarapotanum</i> Meisn.	4	7	550	0.432	0.03	25.3	17.5
Icacinaceae <i>Calatola microcarpa</i> Gentry ex Duno & Janovec	2	11	6000	0.472	1.10	37.1	15.0
Icacinaceae <i>Calatola costaricensis</i> Standl.	2	11	16000	0.545	4.02	52.1	45.4
Lecythidaceae <i>Bertholletia excelsa</i> Bonpl.	2	11	7500	0.624	0.39	7.5	3.8
Malvaceae <i>Matisia cordata</i> Bonpl.	1	24	5290	0.373	4.61	14.6	0.0
Meliaceae <i>Swietenia macrophylla</i> King	1	26	442	0.522	0.04	50.4	6.3
Moraceae <i>Clarisia racemosa</i> Ruiz & Pav.	4	7	1780	0.585	3.23	59.0	12.5
Myristicaceae <i>Otoba parvifolia</i> (Markgr.) Gentry	4	7	1800	0.426	27.39	13.5	9.0
Myristicaceae <i>Virola calophylla</i> (Spruce) Warb.	1	26	1310	0.329	0.16	7.5	0.0
Nyctaginaceae <i>Neea sp. nov.</i> 'Foster 5005'	1	26	102	0.664	0.12	15.8	1.3
Olacaceae <i>Heisteria nitida</i> Engl.	3	13	220	0.602	1.10	15.3	0.8
Rubiaceae <i>Genipa americana</i> L.	4	7	50	0.643	0.35	39.8	1.3
Salicaceae <i>Casearia sp. nov.</i> 'Huillca-Aedo 3561'	4	7	610	0.658	0.03	49.0	9.3
Verbenaceae <i>Vitex cymosa</i> Bertero ex Spreng.	4	7	490	0.570	0.32	0.3	0.3

553 **Figure Legends**

554 **Figure 1** The effects of mammalian predation on the median survival time of seeds and  
555 seedlings of each species at Cocha Cashu Biological Station, Manu National Park, Peru.

556 Solid points indicate significant effects of mammals ( $p \leq 0.05$ ), whereas open points indicate  
557 non-significant effects. Lines indicate 95% confidence intervals around the estimated effect  
558 derived from a parametric survival regression. Small and medium-sized mammals reduced  
559 the survival of most species, whereas large mammals had far weaker effects. Species are  
560 sorted by magnitude of the effect of small mammals on survival.

561

562 **Figure 2** Predation by mammals led to changes in A) Pielou's evenness ( $J$ ) and B)  
563 Shannon's diversity index, expressed as the effective number of species ( $e^H$ ). The effects of  
564 mammals are represented as the  $\log_{10}$  ratio of the response variable in exclosures to which the  
565 mammals had access, versus those from which they were excluded. Predicted effects and  
566 confidence intervals are derived from mixed-effect models.

567

568 **Figure 3** Predation by all mammal size classes caused changes in A) tree species  
569 composition through time, whereas B) only small and medium-sized mammals generated  
570 significant beta diversity. The effects of mammals on beta diversity are represented as the  
571  $\log_{10}$  ratio of the Bray-Curtis dissimilarity among exclosures to which the mammals had  
572 access, versus that from which they were excluded. Predicted effects and confidence intervals  
573 are derived from nonlinear mixed-effect models.

574

575 **Figure 4** Predation by mammals led to changes in plot-mean A) seed mass, B) wood  
576 density and C) adult density. The effects of mammals are represented as the  $\log_{10}$  ratio of the  
577 response variable in exclosures to which the mammals had access, versus those from which

578 they were excluded. Predicted effects and confidence intervals are derived from mixed-effect  
579 models. Note that the Y-axis scales vary among panels.

580

581 **Supplemental Information**

582 **Supplemental Figure 1** Photographs of three of types of experimental exclosures.

583

584 **Supplemental Figure 2** Change in the sum of seed and seedling abundance for each  
585 tree species in each of five types of exclosures over the study duration. For the 10 species  
586 used in experimental periods 1 and 2, six seeds were placed in each exclosure, whereas 10  
587 seeds were used for the 14 species used in experimental periods 3 and 4. Open points indicate  
588 the date at which no seeds remained in a particular exclosure type. Ticks below the X-axis  
589 indicate the dates on which censuses were performed.

590

591 **Supplemental Figure 3** Relationships among seed mass, wood density and adult  
592 density. Numbers above the diagonal represent pairwise Pearson correlation coefficients.

593