



Frequency and Distribution of Refractive Error in Adult Life: Methodology and Findings of the UK Biobank Study

Phillippa M. Cumberland^{1,2}*, Yanchun Bao^{1,2}, Pirro G. Hysi³, Paul J. Foster⁴, Christopher J. Hammond^{3,5}, Jugnoo S. Rahi^{1,2,4,6}, UK Biobank Eyes & Vision Consortium[¶]

1 Life Course Epidemiology and Biostatistics Section, University College London (UCL) Institute of Child Health, London, United Kingdom, 2 Ulverscroft Vision Research Group, UCL Institute of Child Health, London, United Kingdom, 3 Department of Ophthalmology, King's College London, St Thomas' Hospital Campus, London, United Kingdom, 4 National Institute for Health Research (NIHR) Biomedical Research Centre at Moorfields Eye Hospital NHS Foundation Trust and UCL Institute of Ophthalmology, London, United Kingdom, 5 Department of Twin Research and Genetic Epidemiology, King's College London, St Thomas' Hospital Campus, London, United Kingdom, 6 Great Ormond Street Hospital for Children NHS Foundation Trust, London, United Kingdom

¶ Membership of the UK Biobank Eyes & Vision Consortium is provided in the Acknowledgments.

* p.cumberland@ucl.ac.uk



OPEN ACCESS

Citation: Cumberland PM, Bao Y, Hysi PG, Foster PJ, Hammond CJ, Rahi JS, et al. (2015) Frequency and Distribution of Refractive Error in Adult Life: Methodology and Findings of the UK Biobank Study. PLoS ONE 10(10): e0139780. doi:10.1371/journal. pone.0139780

Editor: Chen-Wei Pan, Medical College of Soochow University, CHINA

Omrorony, Ormar

Received: June 12, 2015

Accepted: September 17, 2015

Published: October 2, 2015

Copyright: © 2015 Cumberland et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: Data are held by the UK Biobank (http://www.ukbiobank.ac.uk/), and can be accessed using the reference number 'UK Biobank Main Application 669'.

Funding: This work was supported by the National Eye Research Centre (SCIAD 066) (http://www.nerc-charity.org.uk/) to YB, the Ulverscroft Foundation (http://www.foundation.ulverscroft.com/) to PMC, JSR receives part funding from the NIHR Biomedical Research Centre at Moorfields Eye Hospital NHS Foundation Trust and UCL Institute of Ophthalmology. The UK Biobank Eye and Vision

Abstract

Purpose

To report the methodology and findings of a large scale investigation of burden and distribution of refractive error, from a contemporary and ethnically diverse study of health and disease in adults, in the UK.

Methods

U K Biobank, a unique contemporary resource for the study of health and disease, recruited more than half a million people aged 40–69 years. A subsample of 107,452 subjects undertook an enhanced ophthalmic examination which provided autorefraction data (a measure of refractive error). Refractive error status was categorised using the mean spherical equivalent refraction measure. Information on socio-demographic factors (age, gender, ethnicity, educational qualifications and accommodation tenure) was reported at the time of recruitment by questionnaire and face-to-face interview.

Results

Fifty four percent of participants aged 40–69 years had refractive error. Specifically 27% had myopia (4% high myopia), which was more common amongst younger people, those of higher socio-economic status, higher educational attainment, or of White or Chinese ethnicity. The frequency of hypermetropia increased with age (7% at 40–44 years increasing to 46% at 65–69 years), was higher in women and its severity was associated with ethnicity (moderate or high hypermetropia at least 30% less likely in non-White ethnic groups compared to White).



Consortium is supported by a grant from The Special Trustees of Moorfields Eye Hospital. The study was undertaken at UCL Institute of Child Health which receives a proportion of its funding from the Department of Health's NIHR Biomedical Research Centres funding scheme. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing Interests: The authors have declared that no competing interests exist.

Conclusions

Refractive error is a significant public health issue for the UK and this study provides contemporary data on adults for planning services, health economic modelling and monitoring of secular trends. Further investigation of risk factors is necessary to inform strategies for prevention. There is scope to do this through the planned longitudinal extension of the UK Biobank study.

Introduction

Refractive error is common and a focus of global initiatives against avoidable (preventable or treatable) causes of impaired vision[1–4] particularly in low-income countries where it is more frequently undetected or untreated and thus associated with loss of productivity[5]. The financial costs of 'treatment' (corrective glasses or contact lenses or more recently refractive surgery) confer a substantial economic burden on health services and individuals [6,7]. Furthermore, those with high myopia (defined as spherical equivalent -6 diopters (D) or worse) are at increased risk of developing sight-threatening complications e.g. glaucoma, cataract or retinal detachment [8–10]. The prevalence of myopia (short-sightedness) is documented to have increased significantly over the last three decades [11–13] in some countries, notably in East Asia, with a significant shift in the distribution towards both increasing severity and younger age at onset[14,15]. In addition, with an ageing global population, the burden of presbyopia (age-related hypermetropic shift in refraction) is set to increase. Knowledge of the frequency and distribution of refractive error in contemporary and ethnically diverse populations in industrialised countries is incomplete but necessary for planning of services.

UK Biobank (UKBB), the world's largest single resource for the study of health and disease, recruited more than half a million adults between 2006–2010[16,17]. In 2009, the protocol was extended to include an enhanced ophthalmic examination which provided autorefraction data (i.e. a measure of refractive error) on a subsample of subjects. This sub-study presents a unique resource for the study of refractive error in an ethnically diverse, contemporary adult population in an industrialised country setting. We report here the methodology of the study and the baseline frequencies of myopia and hypermetropia and associations with key socio-demographic factors.

Methods

Study population

UK Biobank recruited 502,682 subjects, aged between 40 and 69 years, from all those registered with the UK National Health Service and living within a 25 miles radius of one of the 22 study assessment centres. Participants reported on their life-style, environment and medical history via touchscreen and face-to-face interviews and a wide range of physical measures were taken [17]. At 6 recruitment centres, 5 in England and 1 in Wales, 117,279 (23.3%) participants attended for an enhanced ophthalmic assessment, which included autorefraction. Participants who reported having any eye surgery in the preceding 4 weeks or a current eye infection were not eligible for assessment (S1 Fig).

Outcome measures and definitions of refractive error

Non-cycloplegic autorefraction was carried out using the Tomey RC 5000 auto refkeratometer (Tomey Corp., Nagoya, Japan). The right eye was tested first, up to 10 refractive error



measurements for each eye were taken and the most representative result automatically recorded. The autorefractor recorded a reliability score, 0 to 9 (smaller scores indicating more reliable measurements), for each measurement, with a score of \leq 4 defining reliability.

To avoid misclassification and erroneous estimation of frequency, some individuals were excluded from the analysis. Firstly, those whose autorefraction results in combination with self-report of wearing glasses or contact lenses (optical correction) and eye conditions indicated that their refractive error was likely to be secondary to cataract rather than an independent primary disorder. Thus, individuals were excluded if they had low/mild myopia with self-reported diagnosis of cataract as well as either report of not wearing glasses or contact lenses or report of first wearing optical correction after age 30 years or if there was no information on age of first wearing optical correction. Secondly, individuals were excluded if they reported prior bilateral cataract surgery, refractive laser surgery, vitrectomy or retinal detachment i.e. conditions/treatment which meant that their primary refractive error status could not be determined.

Spherical equivalent (SE) (algebraic sum in diopters (D), sphere+0.5cylinder) was used to categorise refractive error using conventional thresholds; emmetropia (SE -0.99D to +0.99D), low primary myopia (SE -1.0D to -2.99D), moderate primary myopia (SE -3.0D to -5.99D), high primary myopia (SE -6.0D or more extreme), low hypermetropia (SE +1.0D to +2.99D) and moderate/high hypermetropia (SE + 3.0D or more extreme).

Available UK Biobank data

At the time of recruitment all participants completed a touchscreen questionnaire, reporting on demographic, socio-economic and medical factors (see listing: Table 1). They then had a face-to-face interview which was informed by responses to the touchscreen questionnaire and an 'aide-memoire' questionnaire, completed before the visit, to assist in answering questions on medications, medical history, family history of diseases and early life events. Specifically, the face-to-face interview enabled report of 'other' eye conditions/cancers/surgery not included in the response list of the lead touchscreen questions on medical history. Information about history of any eye condition and treatment received was collated on each subject using all available data.

Statistical Methods

Frequency of refractive errors. Mean spherical equivalent of the two eyes for each individual was used, except for participants who had only one eye measurement. In addition for this analysis, to avoid misclassification of individuals by category of refractive error, participants who had the following forms of inter-ocular discordance in refraction were excluded: i) one eye hypermetropic and the other eye myopic, or ii) one eye high/severe refractive error and the other eye emmetropic or iii) one eye mildly myopic/hypermetropic and the other eye highly myopic/hypermetropic with a difference of at least 10 D in SE between the two eyes.

Associations between refractive error and socio-demographic factors. Bivariate logistic regression was used to model the paired data (2 eyes), with emmetropia (SE -0.99D to +0.99D) as the reference category. An indicator for laterality was used in the model to account for any difference between the paired data and the interactions of eye indicator with the socio-demographic factors were investigated.[18]. Models were adjusted for test centre to account for any heterogeneity among centres and robust variance estimates were used to adjust for correlation within test centre. Multivariable analyses, using backward stepwise regression, included factors that were significant at a 10% level in initial univariable analysis. Factors were retained in the multivariable model if they altered the risk ratio estimate by more than 10% or if they were independently associated at a 5% significance level.



Table 1. Demographic, socio-economic and other factors.

Table 1. Demographic, Socio-economic and other factors.									
Factors At recruitment: age and gender	Description and/or categorisation								
Touchscreen questionnaire and face-to-face interview									
Ethnicity	White, mixed (white with Black/Black British or Asian/Asian British or other ethnic group mix), Asian or Asian British (Indian, Pakistani or Bangladeshi), Black or Black British (Caribbean or African), Chinese or Other								
Highest educational attainment	No qualifications, State school examinations at 16 years of age ('O' levels), at 18 years ('A' levels) or University/other professional qualification								
Accommodation tenure	Council rental, private rental, home-ownership with a mortgage or outright ownership								
Wearing glasses/contact lenses	Do you wear glasses or contact lenses to correct your vision? (yes, no, prefer not to answer). What age did you first start wearing glasses or contact lenses? "Why were you prescribed glasses/contacts? (You can select more than one answer)". For short-sightedness, i.e. only or mainly for distance viewing such as driving, cinema etc. (called 'myopia'). For long-sightedness, i.e. for distance and near, but particularly for near tasks like reading (called 'hypermetropia'). For just reading/near work as you are getting older (called 'presbyopia'). For 'astigmatism'. For a 'squint' or 'turn' in an eye since childhood (called 'strabismus'). For a 'lazy' eye or an eye with poor vision since childhood (called 'amblyopia'). Other eye condition. Do not know. Prefer not to answer.								
Common eye conditions and age of diagnosis	Has a doctor told you that you have any of the following problems with your eyes? e.g. diabetes-related eye disease, glaucoma, trauma, cataract, macular degeneration or other serious eye condition								
Ever had eye surgery	Eye condition and age of surgery								
Non-cancerous or cancerous illness	Eye and eyelid problems e.g. retinal detachment or eye and/or adnexal cancer including retinoblastoma								
Handedness (laterality)	Right-handed, left-handed or use both right and left hands equally								

Paired t-tests were used to test for the difference between SE in right and left eyes. All analyses were carried out using Stata 13.0 (StataCorp, College Station, Texas).

UK Biobank has approval from the North West Multi-Centre Research Ethics committee, which covers the UK. It also sought the approval in England and Wales from the Patient Information Advisory Group for gaining access to information that would allow it to invite people to participate. PIAG has since been replaced by the National Information Governance Board for Health & Social Care. In Scotland, UK Biobank has approval from the Community Health Index Advisory Group. Recruitment into the UK Biobank study was by written consent.

Results

Participation and final study sample

Of 115,795 individuals eligible for an ophthalmic examination, 1,864 subjects were not assessed for various reasons e.g. self-report of visual impairment or unwillingness to remove contact lenses and in 731 no reading was obtained due to equipment failure (S1 Fig). As described above, those who self-reported having eye conditions known to affect refractive error status (n = 4,887) and those who had low myopia considered to be secondary to cataract (n = 129), were excluded as were 732 subjects who had highly discordant refraction measures. Thus data



on 107,452 subjects were analysed; 105,969 (98.6%) with both eyes measured, 725 (0.7%) with only a right and 758 (0.7%) with only a left eye measured.

Representativeness

Based on a comparison with the UK Census 2011 data [https://www.nomisweb.co.uk/census/2011], the study population is older and has fewer males, but the ethnic distribution is comparable (90% White, 3.8% Asian/Asian British including 0.5% Chinese, 3.5% Black/ Black British, 0.9% of Mixed and 1.5% Other ethnicity). However, on average, the study population is more affluent with a higher proportion owning their homes and having higher educational qualifications. Those excluded from the analysis were more likely to be 60–69 years compared to 40–49 years (odds ratio 1.6 [95% confidence interval 1.5, 1.7] and, independently, of Asian/ Asian British, Black/Black British or Other ethnicity (OR 1.5 [1.4, 1.7], OR 1.3 [1.2, 1.4] or OR 1.5 [1.2, 1.7] respectively), compared to those of White ethnicity (S1 Table).

Frequency of refractive errors

In this population, the mean value of spherical equivalent was -0.29D [-0.31, -0.27] with range -23.5D to +13.9D. The frequency of myopia was 26.9% [26.6, 27.1], of hypermetropia 27.6% [27.3, 27.8] and 48,964 (45.6% [45.3, 45.9]) subjects were classified as emmetropic. Categorising refractive error by severity, 4.0% [3.9, 4.1] of the study population were high myopes, 9.5% [9.4, 9.7] were moderately myopic and 13.3% [13.1, 13.5] had low myopia; 5.9% [5.8, 6.0] were high/moderate hypermetropes, and 21.7% [21.4, 21.9] were mildly hypermetropic. The frequency of myopia, defined as \leq -0.5D, was 33.5% [33.3, 33.9] and hypermetropia, defined as \geq +0.5D, 39.7% [39.4, 40.0].

Distribution of refractive error frequency and associations with sociodemographic factors

The distributions of myopia frequency by age, gender and other demographic factors are given in Table 2 and Fig 1. The Chinese ethnic group had the highest overall frequency of myopia (47.4% [42.9% 51.8%]), (50.9% [43.4% 58.3%] in men and 45.4% [39.9% 51.0%] in women), nearly two-fold higher overall than other ethnic groups and over 3-fold higher for high myopia (Fig 2). Men of Black/Black British ethnicity were least likely to have myopia, 20.8% [18.8, 22.9] compared to 25.0% [23.3, 26.9] in Black/Black British women and 27.1% [26.8, 27.3] in those of White ethnicity. In fully adjusted multivariable analyses comparing each category of myopia to those with emmetropia, women were more likely than men to have moderate or high myopia, (OR 1.15 [1.10 1.20] and OR 1.24 [1.16 1.31]) (Table 3). Increasing educational achievement and accommodation tenure status were significantly associated with higher frequency of myopia, the strength of the association increasing with severity of myopia.

There was a linear increase in the frequency of hypermetropia with age, with a steeper gradient in women than in men in all ethnic groups. (Table 2 & Fig 1). The associations between hypermetropia and both increasing age and being female were stronger in those with mild compared to more severe hypermetropia (Table 4). In mild hypermetropes there was an interaction between right/left eye and age, with a 15–25% reduced risk of mild hypermetropia in the left eye, with increasing age, compared to the right eye. Hypermetropes were less likely to have educational qualifications or own their own property, compared to emmetropes, these effects being stronger in those with more severe hypermetropia. Also, compared to those of White ethnicity, those with high/moderate hypermetropia were at least 30% less likely to be in a minority ethnic group.



Table 2. Distribution of refractive errors by key socio-demographic factors.

N = 107,452	Tota	Total		lyopia (≤-1 di	iopter)	Hypermetropia (≥+1 diopter)		
	n %		n	-	% [95% confidence interval]		% [95% confidence interval]	
All	107,452		28,857	26.9	[26.6, 27.1]	29,631	27.6	[27.3, 27.8]
Gender								
Male	49,000	45.6	13,116	26.8	[26.4, 27.2]	12,745	26.0	[25.6, 26.4]
Female	58,452	54.4	15,741	26.9	[26.6, 27.3]	16,886	28.9	[28.5, 29.3]
Age group (years)								
40–44	10,857	10.1	3,169	29.2	[28.3, 30.1]	807	7.4	[7.0, 7.9]
45–49	13,925	13.0	4,258	30.6	[29.8, 31.3]	1,610	11.6	[11.0, 12.1]
50–54	16,116	15.0	4,996	31.0	[30.3, 31.7]	3,026	18.8	[18.2, 19.4]
55–59	18,737	17.4	5,525	29.5	[28.8, 30.1]	4,867	26.0	[25.4, 26.6]
60–64	27,053	25.2	6,729	24.9	[24.4, 25.4]	9,881	36.6	[36.0, 37.1]
65–69	20,764	19.3	4,180	20.1	[19.6, 20.7]	9,440	45.5	[44.8, 46.2]
Highest educational qua	lification*							
None	15,776	14.9	2,063	13.1	[12.6, 13.6]	6,762	42.9	[42.1, 43.7]
'O' level	28,275	26.7	6,845	24.2	[23.7, 24.7]	7,579	26.8	[26.3, 27.3]
'A' level	19,162	18.1	5,103	26.6	[26.0, 27.3]	5,085	26.6	[25.9, 27.2]
Higher-level	42,773	40.4	14,624	34.2	[33.7, 34.6]	9,701	22.7	[22.3, 23.1]
Accommodation tenure								
Council rental	7,301	6.9	1,425	19.5	[18.6, 20.4]	2,155	29.5	[28.5, 30.6]
Private rental	4,351	4.1	1,026	23.6	[22.3, 24.9]	997	22.9	[21.7, 24.2]
Own with mortgage	37,858	35.9	10,984	29.0	[28.6, 29.5]	7,431	19.6	[19.2, 20.0]
Own	55,840	53.0	14,936	26.9	[26.3, 27.1]	18,445	33.1	[32.7, 33.4]
Ethnicity								
White	95,791	89.8	25,919	27.1	[26.8, 27.3]	27,243	28.5	[28.1, 28.7]
Mixed	974	0.9	290	29.8	[27.0, 32.7]	168	17.3	[15.0, 19.8]
Asian/British Asian	4,032	3.8	1,000	24.8	[23.5, 26.2]	847	21.0	[19.8, 22.3]
Black/British Black	3,759	3.5	876	23.3	[22.0, 24.7]	740	19.7	[18.5, 21.0]
Chinese	490	0.5	232	47.4	[42.9, 51.8]	53	10.8	[8.3, 13.9]
Other	1,627	1.5	355	21.8	[19.9, 23.9]	364	22.4	[20.4, 24.5]

^{*} No qualifications, State school examinations at 16 years of age ('O' levels), at 18 years ('A' levels) or University/other professional qualification Missing data: educational qualification: 1,466 (1.4%), accommodation tenure: 2,102 (2.0%), ethnicity: 779 (0.7%)

Difference in Spherical Equivalent between eyes and self-report of hand dominance

The mean spherical equivalent measurements were -0.31 D \pm 2.71, standard deviation (SD), for the right eye and -0.27 D \pm 2.73 SD for the left eye. Right eye measures were consistently more myopic with the mean difference between eyes varying from 0.07 D to 0.026 D across increasing age bands (differences at all ages significant; p \leq 0.001) (Table 5).

We investigated any association between spherical equivalent measurements and self-report of handedness as a proxy for ocular dominance. There was a significant difference between right eye SE -0.32D \pm 2.71 and left eye SE -0.26D \pm 2.72 (pair t test p<0.001) for right handed people but no such significance for left handed people, SE -0.31D \pm 2.70 and SE -0.32D \pm 2.76 (p = 0.356) for right and left eyes respectively. On average those who self-reported as



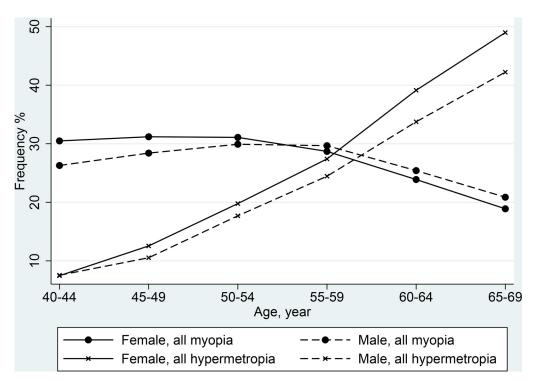


Fig 1. Frequency of refractive errors by 5-year age band and gender.

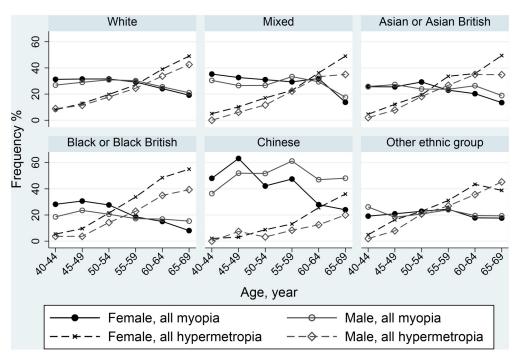


Fig 2. Frequency of all myopia and all hypermetropia by age, gender and ethnicity.

doi:10.1371/journal.pone.0139780.g002



Table 3. Association of all myopia and myopia (low, moderate or high), by key socio-demographic factors.

	All myopia ————————————————————————————————————		High myopia		Moderate myopia		L	ow myopia	Emmetropia (SE -0.99 to 0.99D)	
				(SE ≤-6D)	(SE -5.99 to -3D)		(SE -2.99 to -1D)			
	N ⁺	Odds Ratio	N ⁺	Odds Ratio	N ⁺	Odds Ratio	N ⁺	Odds Ratio	N ⁺	
Factors	56,470	[95% CI]	8,528	[95% CI]	20,087	[95% CI]	27,855	[95% CI]	94,359	
Eye ⁺⁺										
Right eye	28,462	1	4,290	1	10,114	1	14,058	1	47,403	
Left eye	28,008	0.99 [0.98, 1.00]	4,238	0.99 [0.97, 1.02]	9,973	0.99 [0.98, 1.01]	13,797	0.99 [0.97, 1.01]	46,956	
Age group (years)										
40–44	6160	1	915	1	2,214	1	3,031	1	12,975	
45–49	8293	1.13 [1.07, 1.20]	1,301	1.20 [1.06, 1.35]	2,903	1.10 [1.02, 1.19]	4,089	1.13 [1.06, 1.21]	15,439	
50–54	9819	1.30 [1.23, 1.38]	1,556	1.35 [1.21, 1.52]	3,465	1.27 [1.17, 1.37]	4,798	1.30 [1.22, 1.39]	15,622	
55–59	10,831	1.35 [1.28, 1.43]	1,729	1.37 [1.22, 1.54]	3,823	1.31 [1.21, 1.42]	5,279	1.37 [1.28, 1.46]	16,212	
60–64	13,123	1.34 [1.27, 1.41]	1,945	1.25 [1.11, 1.41]	4,667	1.30 [1.20, 1.41]	6,511	1.37 [1.28, 1.46]	20,352	
65–69	8244	1.31 [1.24, 1.40]	1,082	1.10 [0.96, 1.25]	3,015	1.33 [1.22, 1.45]	4,147	1.34 [1.25, 1.44]	13,759	
Gender ⁺⁺										
Male	25,661	1	3,539	1	8,779	1	13,343	1	44,459	
Female	30,809	1.07 [1.04, 1.11]	4,989	1.24 [1.16, 1.31]	11,308	1.15 [1.10, 1.20]	14,512	0.97 [0.94, 1.00]	49,900	
Highest educational	qualificatio	n * ++								
No qualification	4081	1	437	1	1,251	1	2,393	1	13,381	
'O' level	13,538	1.70 [1.61, 1.80]	1,786	2.01 [1.75, 2.32]	4,494	1.84 [1.68, 2.01]	7,258	1.57 [1.47, 1.68]	27,124	
'A' level	10,062	1.94 [1.83, 2.05]	1,417	2.43 [2.11, 2.82]	3,534	2.22 [2.03, 2.43]	5,111	1.68 [1.57, 1.80]	7,511	
Higher-level	28,789	2.62 [2.49, 2.76]	4,888	3.90 [3.42, 4.46]	10,808	3.19 [2.94, 3.47]	13,093	2.07 [1.94, 2.20]	36,343	
Accommodation tenu	ıre ⁺⁺									
Council rental	2,761	1	351	1	879	1	1,531	1	7,157	
Private rental	2,029	0.99 [0.90, 1.08]	291	1.02 [0.82, 1.26]	701	1.04 [0.91, 1.20]	1,035	0.96 [0.86, 1.09]	4,507	
Own with mortgage	21,995	1.22 [1.15, 1.30]	3,225	1.32 [1.13, 1.53]	7,740	1.31 [1.18, 1.44]	11,029	1.16 [1.08, 1.26]	38,212	
Own	29,687	1.33 [1.25, 1.42]	4,661	1.65 [1.41, 1.93]	10,766	1.47 [1.33, 1.63]	14,260	1.19 [1.10, 1.29]	44,483	
Ethnicity ⁺⁺										
White	51,233	1	7,652	1	18,239	1	25,342	1	83,470	
Mixed	576	1.00 [0.87, 1.15]	111	1.22 [0.94, 1.59]	206	1.00 [0.82, 1.22]	259	0.93 [0.78, 1.11]	950	
Asian/Asian British	1,880	0.78 [0.72, 0.84]	309	0.82 [0.70, 0.96]	690	0.81 [0.72, 0.90]	881	0.74 [0.68, 0.82]	3,940	
Black/Black British	1,675	0.73 [0.67, 0.79]	232	0.65 [0.54, 0.78]	536	0.65 [0.57, 0.74]	907	0.79 [0.72, 0.88]	3,965	
Chinese	448	1.86 [1.54, 2.23]	132	3.44 [2.62, 4.50]	185	2.11 [1.66, 2.67]	131	1.15 [0.90, 1.47]	365	
Other	658	0.64 [0.57, 0.72]	92	0.57 [0.43, 0.76]	231	0.63 [0.52, 0.76]	335	0.68 [0.59, 0.79]	1,669	

^{*} No qualifications, State school examinations at 16 years of age ('O' levels), at 18 years ('A' levels) or University/other professional qualification

ambidextrous had refractive error measures nearer zero with a significant difference between eyes, mean SE $-0.24D \pm 2.73$ and $-0.18D \pm 2.71$ (p = 0.004) for right and left eyes respectively.

Discussion

We report the success of the UK Biobank (UKBB) study in delivering from a generic epidemiological study a high quality cross-sectional dataset on refractive error which is without parallel in scale. Our findings confirm that within the ethnically diverse and ageing population of the UK, refractive error is already a major public health issue and indicate that the burden can be anticipated to increase over time, given the associations with key socio-demographic factors.

^{+:} Number of eyes;

⁺⁺ model adjusted for eye laterality, gender, age (continuous), educational qualification, accommodation tenure, ethnicity and test centre.



Table 4. Association of all hypermetropia and hypermetropia (low or moderate/high), by key socio-demographic factors.

	All hy	permetropia	_	moderate rmetropia	Low hy	/permetropia	Emmetropia	
	(SE ≥1D)		(SE	E ≥+3D)	(SE 1	D to 2.99D)	(SE -0.99 to 0.99D)	
	N ⁺	Odds Ratio	N ⁺	Odds Ratio	N⁺	Odds Ratio	0.99D) N ⁺	
Factors	56,899	[95% CI]	12,794	[95% CI]	44,105	[95% CI]	94,359	
Eye ⁺⁺								
Right eye	27,983	1	6,116	1	21,867	1	47,403	
Left eye	28,916	1.05 [1.04, 1.07]	6,678	1.11 [1.08, 1.13]	22,238	1.03 [1.02, 1.05]	46,956	
Age group (yea	rs)							
40–44	1,585	1	481	1	1,104	1	12,975	
45–49	3,067	1.61 [1.48, 1.75]	870	1.46 [1.26, 1.69]	2,197	1.67 [1.52, 1.83]	15,439	
50–54	5,833	3.00 [2.78, 3.24]	1,335	2.14 [1.86, 2.46]	4,498	3.37 [3.09, 3.67]	15,622	
55–59	9,347	4.62 [4.28, 4.98]	1,897	2.83 [2.47, 3.24]	7,450	5.40 [4.97, 5.87]	16,212	
60–64	19,024	7.40 [6.87, 7.97]	4,022	4.55 [3.99, 5.19]	15,002	8.67 [7.98, 9.42]	20,352	
65–69	18,043	10.31 [9.55, 11.13]	4,189	6.81 [5.95, 7.80]	13,854	11.85 [10.88, 12.90]	13,759	
Gender ⁺⁺								
Male	24,446	1	5,610	1	18,836	1	44,459	
Female	32,453	1.27 [1.23, 1.30]	7,184	1.21 [1.15, 1.27]	25,269	1.29 [1.25, 1.33]	49,900	
Highest educati	onal qualif	ication * ++						
No qualification	13,068	1	3,342	1	9,726	1	13,381	
'O' level	14,790	0.857 [0.83, 0.91]	3,362	0.76 [0.71, 0.82]	11,428	0.90 [0.86, 0.95]	27,124	
'A' level	10,005	0.87 [0.83, 0.91]	2,249	0.76 [0.70, 0.82]	7,756	0.91 [0.87, 0.96]	7,511	
Higher-level	19,036	0.80 [0.77, 0.83]	3,841	0.60 [0.60, 0.69]	15,195	0.85 [0.82, 0.89]	36,343	
Accommodation	tenure++							
Council rental	4,116	1	1,035	1	3,081	1	7,157	
Private rental	1,927	0.98 [0.89, 1.07]	396	0.83 [0.70, 0.98]	1,531	1.03 [0.93, 1.13]	4,507	
Own with mortgage	14,660	0.88 [0.83, 0.93]	3,296	0.76 [0.69, 0.85]	11,364	0.92 [0.86, 0.98]	38,212	
Own	36,196	0.84 [0.79, 0.89]	8,067	0.76 [0.68, 0.83]	28,129	0.87 [0.82, 0.93]	44,483	
Ethnicity ⁺⁺								
White	52,949	1	12,335	1	40,614	1	83,470	
Mixed	316	0.77 (0.66 0.94)	44	0.47 (0.32 0.70)	272	0.89 (0.74 1.07)	950	
Asian	1,503	0.85 (0.78 0.92)	180	0.44 (0.36 0.54)	1,323	0.98 (0.89 1.06)	3,940	
Black	1,373	0.82 (0.75 0.89)	135	0.35 (0.28 0.43)	1,238	0.78 (0.89 1.07)	3,965	

(Continued)



Table 4. (Continued)

	All hy	permetropia	_	n/moderate ermetropia	Low h	ypermetropia	Emmetropia	
	(:	(SE ≥1D)		E ≥+3D)	(SE	1D to 2.99D)	(SE -0.99 to 0.99D)	
	N ⁺	Odds Ratio	N+	Odds Ratio	N ⁺	Odds Ratio	0.99 <i>D)</i> N ⁺	
Chinese	102	0.53 (0.40 0.70)	9	0.21 (0.10 0.46)	93	0.63 (0.47 0.84)	365	
Other	656	0.89 (0.78 1.00)	91	0.55 (0.41 0.72)	565	1.00 (0.87 1.13)	1,669	

^{*} No qualifications, State school examinations at 16 years of age ('O' levels), at 18 years ('A' levels) or University/other professional qualification

Although sufficiently representative of the UK population, participants volunteered for the UKBB study, thus it does not comprise a true population sample, precluding formal population prevalence estimation. The enhanced ophthalmic examination was added to the protocol in 2009 after completion of data collection in Scotland, preventing investigation of regional differences, as the majority of subjects were from England. However, despite the contradictory effects of potential biases related to the study design and exclusion of individuals from the analysis, the overall frequency of primary myopia in the UKBB is consistent with other studies, taking into account differences in age range and threshold values for refractive errors between studies. Specifically, the frequency amongst White participants in is consistent with prior prevalence estimates in two recent comparable European studies [19,20]. The size and diversity of the UK Biobank sample, with almost complete data available for key variables, allow investigation of associations between all categories of refractive error and key socio-demographic factors and 6 main ethnic groups with effect estimates being generalizable to the wider population. The use of non-cyclopegic autorefraction in adults aged 40 years and older in the context of a population survey is considered robust [21,22]. Furthermore use of <-1D as the threshold for myopia reduced the potential misclassification of refractive errors due to non-cyclopegic assessment [23]. Detailed information on diagnosed eye/medical conditions recorded by participants, on a pre-visit proforma, was used to prompt individual questionnaire and interview responses. This will have reduced the potential for inaccuracy of recall and under-reporting. However, the lack of an expert clinical assessment of any medical condition precluded by the

Table 5. Comparison of mean spherical equivalent (SE) between paired left and right eyes, by age band.

	Age in 5-year age bands							
	40–44	45–49	50–54	55–59	60–64	60–69	Total	
N (individuals)	10,739	13,802	15,922	18,500	26,640	20,366	105,969	
Right eye SE	-0.901	-0.862	-0.704	-0.490	-0.009	0.431	-0.314	
Left eye SE	-0.827	-0.792	-0.647	-0.439	0.026	0.457	-0.267	
Left SE-Right SE	0.074	0.070	0.057	0.051	0.034	0.026	0.048	
P-value (paired t-test)	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	

doi:10.1371/journal.pone.0139780.t005

^{+:} Number of eyes;

^{**} model adjusted for eye laterality, gender, age (continuous), educational qualification, accommodation tenure, ethnicity and test centre.



broad scope of the study meant that self-report could not be validated directly. The extensive data collected on UK Biobank participants enabled identification of those with secondary myopia and exclusion of these subjects from the analysis. Use of age at first optical correction as part of the definition of primary myopia could be problematic in settings where uncorrected refractive error is common but data on older adults in the UK suggest this is not a significant factor[24]. Thus frequency of and associations with *primary* myopia are reported here. We suggest accurate assignment of phenotype in this way is a particular strength of this study, avoiding misclassification in both epidemiological and genetic investigations.

There is limited information on the ethnic variation in prevalence of refractive errors in adults in European populations. Our findings in UKBB are broadly consistent with those reported in multi-ethnic study of a slightly older population in the United States [25] with respect to rates of myopia in Black (21.5% vs 22.3%) and White participants (31% vs 26.7%). The frequency of myopia in Asian/Asian British participants in UKBB, most of whom were Indian, is comparable with the frequency reported in Indians in Singapore[26]. Most notably the highest frequencies of myopia were in Chinese participants in UK Biobank and exceeded those reported in East Asian populations as well as in Chinese subjects in the US[25,27,28]. These findings, signalling that myopia is now well-established in the ethnically diverse population of the UK, provide baseline data for monitoring temporal trends.

In UKBB, myopia was more likely in women than men in the 40-59 age band but less likely in women in the older age group (60-69 years), echoing findings in the US and Australia [$\underline{29,30}$]. We also found the positive associations between myopia and both higher socio-economic status and higher educational achievement that have reported previously [$\underline{31-34}$]. However the cross-sectional nature of the present study makes it difficult to assess causality in relation to these socio-demographic factors or others of current interest such as near work activities, time spent in distance viewing and/or time spent outdoors [$\underline{35-37}$].

The age related increase in hypermetropia and higher frequency in women found in UKBB confirms prior reports elsewhere [30,31,38,39]. The lower overall frequency of hypermetropia compared to other studies may reflect the upper age limit of 69 years, although the frequency of moderate/high hypermetropia was more comparable [19,25]. Notably, there are differences between low and moderate/high hypermetropia with respect to patterns of association with age, gender, ethnicity, and socio-economic factors. This may be attributable to fundamental differences between primary hypermetropia accounting for the moderate/high hypermetropia in the present study and the hyperopic shift that starts in mid-adult life and results in presbyopia i.e. low hypermetropia captured through non-cyclopegic refraction in the present study.

The finding in the present study that right eyes were on average more myopic has been reported, although not always convincingly, in other studies [19,31,33,38] and right eyes have also been reported to have greater axial length [40]. As right eyes were tested first in all individuals in UKBB, there is potential for a systematic measurement error. Equally, since the interocular difference decreased with increasing age, it may simply reflect the greater frequency of myopia in the younger and of hypermetropia in older age groups. There is some evidence of a correlation between eye dominance and myopia which is purported to account for the higher proportion of myopia in right eyes [41], and this in turn may underlie the higher frequency of retinal detachment in right eyes [40,42]. Although the correlation between eye dominance and handedness is not clear [43], in this large sample there are statistically significant differences in spherical equivalent by handedness. Absolute differences found are relatively small however we did find an interesting trend of right eyes being more myopic in right handed individuals, a weaker effect in those with no preference and no difference in left handed individuals. These findings serve as a reminder of the complex interplay between the visual system and other



neurological or cognitive processes and systems: it is essential to look beyond purely ocular/visual factors in investigations of the aetiology of refractive error.

Conclusion

The UKBB study has been able to deliver a high quality and highly powered cross-sectional dataset on refractive error by embedding an ophthalmic component within a generic epidemiological study. The findings of this study confirm refractive error as a significant public health issue for the ethnically diverse and ageing UK population. They serve to provide contemporary data that have been lacking for planning services and health economic modelling and as the baseline for monitoring secular trends. Further investigation of risk factors is necessary to inform strategies for prevention.

Supporting Information

S1 Fig. Flowchart of participation. (PDF)

S1 Table. Comparison of subjects included in or excluded from analyses. (PDF)

Acknowledgments

This research has been conducted using the UK Biobank Resource. The collection of eye & vision data in UK Biobank was supported in part by a grant from the NIHR Biomedical Research Centre at Moorfields Eye Hospital and UCL Institute of Ophthalmology. The UK Biobank Eye and Vision Consortium is supported by a grant from The Special Trustees of Moorfields Eye Hospital. The main contact for this consortium is Prof Paul Foster (p.foster@-ucl.ac.uk) and the list of members is available from the consortium website (http://www.ukbiobankeyeconsortium.org.uk/people).

Author Contributions

Conceived and designed the experiments: JSR PMC CJH PGH PJF. Analyzed the data: YB PMC. Wrote the paper: PMC JSR CJH. Review and critque of manuscript: PGH PJF.

References

- Pan CW, Ramamurthy D, Saw SM (2012) Worldwide prevalence and risk factors for myopia. Ophthalmic Physiol Opt 32: 3–16. doi: 10.1111/j.1475-1313.2011.00884.x PMID: 22150586
- 2. Cochrane GM, Toit Rd, Mesurier RTL (2010) Management of refractive errors. BMJ 340.
- 3. Resnikoff S, Pascolini D, Mariotti SP, Pokharel GP (2008) Global magnitude of visual impairment caused by uncorrected refractive errors in 2004. Bull World Health Organ 86: 63–70. PMID: 18235892
- Bourne RR, Jonas JB, Flaxman SR, Keeffe J, Leasher J, Naidoo K, et al. (2014) Prevalence and causes of vision loss in high-income countries and in Eastern and Central Europe: 1990–2010. Br J Ophthalmol 98: 629–638. doi: 10.1136/bjophthalmol-2013-304033 PMID: 24665132
- Smith TS, Frick KD, Holden BA, Fricke TR, Naidoo KS (2009) Potential lost productivity resulting from the global burden of uncorrected refractive error. Bull World Health Organ 87: 431–437. PMID: 19565121
- Rein DB, Zhang P, Wirth KE, Lee PP, Hoerger TJ, McCall N, et al. (2006) The economic burden of major adult visual disorders in the United States. Arch Ophthalmol 124: 1754–1760. PMID: <u>17159036</u>
- 7. Vitale S, Cotch MF, Sperduto R, Ellwein L (2006) Costs of refractive correction of distance vision impairment in the United States, 1999–2002. Ophthalmology 113: 2163–2170. PMID: 16996610
- Flitcroft DI (2012) The complex interactions of retinal, optical and environmental factors in myopia aetiology. Prog Retin Eye Res 31: 622–660. doi: 10.1016/j.preteyeres.2012.06.004 PMID: 22772022



- Marcus MW, de Vries MM, Junoy Montolio FG, Jansonius NM (2011) Myopia as a risk factor for openangle glaucoma: a systematic review and meta-analysis. Ophthalmology 118: 1989–1994 e1982. doi: 10.1016/j.ophtha.2011.03.012 PMID: 21684603
- Morgan IG, Ohno-Matsui K, Saw SM (2012) Myopia. Lancet 379: 1739–1748. doi: 10.1016/S0140-6736(12)60272-4 PMID: 22559900
- Parssinen O (2012) The increased prevalence of myopia in Finland. Acta Ophthalmol 90: 497–502. doi: 10.1111/j.1755-3768.2011.02210.x PMID: 21902818
- Vitale S, Sperduto RD, Ferris FL 3rd (2009) Increased prevalence of myopia in the United States between 1971–1972 and 1999–2004. Arch Ophthalmol 127: 1632–1639. doi: 10.1001/ archophthalmol.2009.303 PMID: 20008719
- Lee KE, Klein BE, Klein R, Wong TY (2002) Changes in refraction over 10 years in an adult population: the Beaver Dam Eye study. Invest Ophthalmol Vis Sci 43: 2566–2571. PMID: 12147586
- Lin Z, Gao T, Vasudevan B, Jhanji V, Ciuffreda KJ, Zhang P, et al. (2014) Generational difference of refractive error and risk factors in the Handan Offspring Myopia Study. Invest Ophthalmol Vis Sci.
- Liang YB, Lin Z, Vasudevan B, Jhanji V, Young A, Gao TY, et al. (2013) Generational difference of refractive error in the baseline study of the Beijing Myopia Progression Study. Br J Ophthalmol 97: 765–769. doi: 10.1136/bjophthalmol-2012-302468 PMID: 23590854
- 16. Palmer LJ (2007) UK Biobank: bank on it. The Lancet 369: 1980-1982.
- 17. Allen N, Sudlow C, Downey P, Peakman T, Danesh J, Elliott P, et al. (2012) UK Biobank: Current status and what it means for epidemiology. Health Policy and Technology 1: 123–126.
- Fitzmaurice GM, Laird NM, Zahner GE, Daskalakis C (1995) Bivariate logistic regression analysis of childhood psychopathology ratings using multiple informants. American Journal of Epidemiology 142: 1194–1203. PMID: 7485066
- Wolfram C, Hohn R, Kottler U, Wild P, Blettner M, Buhren J, et al. (2014) Prevalence of refractive errors in the European adult population: the Gutenberg Health Study (GHS). Br J Ophthalmol 98: 857–861. PMID: 24515986
- Williams KM, Verhoeven VJ, Cumberland P, Bertelsen G, Wolfram C, Buitendijk GH, et al. (2015) Prevalence of refractive error in Europe: the European Eye Epidemiology (E(3)) Consortium. Eur J Epidemiol 30: 305–315. doi: 10.1007/s10654-015-0010-0 PMID: 25784363
- Sanfilippo PG, Chu BS, Bigault O, Kearns LS, Boon MY, Young TL, et al. (2014) What is the appropriate
 age cut-off for cycloplegia in refraction? Acta Ophthalmol 92: e458–462. doi: 10.1111/aos.12388
 PMID: 24641244
- Krantz EM, Cruickshanks KJ, Klein BE, Klein R, Huang GH, Pankow JS, et al. (2010) Measuring refraction in adults in epidemiological studies. Arch Ophthalmol 128: 88–92. doi: 10.1001/archophthalmol. 2009.349 PMID: 20065223
- Fotouhi A, Morgan IG, Iribarren R, Khabazkhoob M, Hashemi H (2012) Validity of noncycloplegic refraction in the assessment of refractive errors: the Tehran Eye Study. Acta Ophthalmol 90: 380–386. doi: 10.1111/j.1755-3768.2010.01983.x PMID: 20977697
- Sherwin JC, Khawaja AP, Broadway D, Luben R, Hayat S, Dalzell N, et al. (2012) Uncorrected refractive error in older British adults: the EPIC-Norfolk Eye Study. Br J Ophthalmol 96: 991–996. doi: 10. 1136/bjophthalmol-2011-301430 PMID: 22535330
- Pan CW, Klein BE, Cotch MF, Shrager S, Klein R, Folsom A, et al. (2013) Racial variations in the prevalence of refractive errors in the United States: the multi-ethnic study of atherosclerosis. Am J Ophthalmol 155: 1129–1138 e1121. doi: 10.1016/j.ajo.2013.01.009 PMID: 23453694
- Pan CW, Wong TY, Lavanya R, Wu RY, Zheng YF, Wu RY, et al. (2011) Prevalence and risk factors for refractive errors in Indians: the Singapore Indian Eye Study (SINDI). Invest Ophthalmol Vis Sci 52: 3166–3173. doi: 10.1167/jovs.10-6210 PMID: 21296814
- Xu L, Li J, Cui T, Hu A, Fan G, Zhang R, et al. (2005) Refractive error in urban and rural adult Chinese in Beijing. Ophthalmology 112: 1676–1683. PMID: 16111755
- 28. Pan CW, Zheng YF, Anuar AR, Chew M, Gazzard G, Aung T, et al. (2013) Prevalence of refractive errors in a multiethnic Asian population: the Singapore epidemiology of eye disease study. Invest Ophthalmol Vis Sci 54: 2590–2598. doi: 10.1167/iovs.13-11725 PMID: 23513059
- Vitale S, Ellwein L, Cotch MF, Ferris FL 3rd, Sperduto R (2008) Prevalence of refractive error in the United States, 1999–2004. Arch Ophthalmol 126: 1111–1119. doi: 10.1001/archopht.126.8.1111
 PMID: 18695106
- Attebo K, Ivers RQ, Mitchell P (1999) Refractive errors in an older population: the Blue Mountains Eye Study. Ophthalmology 106: 1066–1072. PMID: 10366072



- Katz J, Tielsch JM, Sommer A (1997) Prevalence and risk factors for refractive errors in an adult inner city population. Invest Ophthalmol Vis Sci 38: 334–340. PMID: 9040465
- Rahi JS, Cumberland PM, Peckham CS (2011) Myopia over the lifecourse: prevalence and early life influences in the 1958 British birth cohort. Ophthalmology 118: 797–804. doi: 10.1016/j.ophtha.2010. 09.025 PMID: 21185080
- Wensor M, McCarty CA, Taylor HR (1999) Prevalence and risk factors of myopia in Victoria, Australia. Arch Ophthalmol 117: 658. PMID: 10326965
- **34.** Williams KM, Bertelsen G, Cumberland P, Wolfram C, Verhoeven VJ, Anastasopoulos E, et al. (2015) Increasing Prevalence of Myopia in Europe and the Impact of Education. Ophthalmology.
- Ip JM, Saw SM, Rose KA, Morgan IG, Kifley A, Wang JJ, et al. (2008) Role of near work in myopia: findings in a sample of Australian school children. Invest Ophthalmol Vis Sci 49: 2903–2910. doi: 10.1167/iovs.07-0804 PMID: 18579757
- Williams C, Miller LL, Gazzard G, Saw SM (2008) A comparison of measures of reading and intelligence as risk factors for the development of myopia in a UK cohort of children. Br J Ophthalmol 92: 1117–1121. doi: 10.1136/bjo.2007.128256 PMID: 18567647
- Sherwin JC, Hewitt AW, Coroneo MT, Kearns LS, Griffiths LR, Mackay D. (2012) The association between time spent outdoors and myopia using a novel biomarker of outdoor light exposure. Invest Ophthalmol Vis Sci 53: 4363–4370. doi: 10.1167/iovs.11-8677 PMID: 22669720
- **38.** Wang Q, Klein B, Klein R, Moss SE (1994) Refractive status in the Beaver Dam Eye Study. Invest Ophthalmol Vis Sci 35: 4344–4347. PMID: 8002254
- Kempen JH, Mitchell P, Lee KE, Tielsch JM, Broman AT, Taylor HR, et.al (2004) The prevalence of refractive errors among adults in the United States, Western Europe, and Australia. Arch Ophthalmol 122: 495–505. PMID: 15078666
- Mahroo OA, Williams C, Hysi PG, Williams KM, Kailani O, Thompson J, et al. (2015) Interocular asymmetries in axial length and refractive error in 4 cohorts. Ophthalmology 122: 648–649. doi: 10.1016/j. ophtha.2014.10.021 PMID: 25543252
- Cheng C-Y, Yen M-Y, Lin H-Y, Hsia W-W, Hsu W-M (2004) Association of ocular dominance and anisometropic myopia. Invest Ophthalmol Vis Sci 45: 2856–2860. PMID: 15277513
- Mitry D, Charteris DG, Yorston D, Siddiqui MA, Campbell H, Murphy AL, et al. (2010) The epidemiology and socioeconomic associations of retinal detachment in Scotland: a two-year prospective populationbased study. Invest Ophthalmol Vis Sci. 51: 4963–4968. doi: 10.1167/iovs.10-5400 PMID: 20554615
- 43. Carey DP, Hutchinson CV (2013) Looking at eye dominance from a different angle: is sighting strength related to hand preference? Cortex 49: 2542–2552. doi: 10.1016/j.cortex.2012.11.011 PMID: 23357202