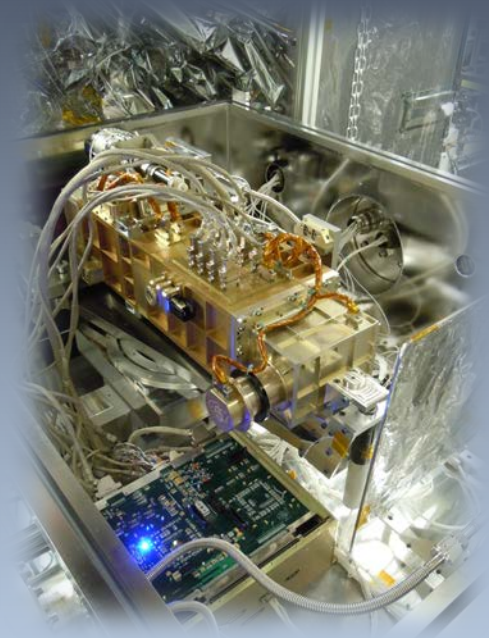
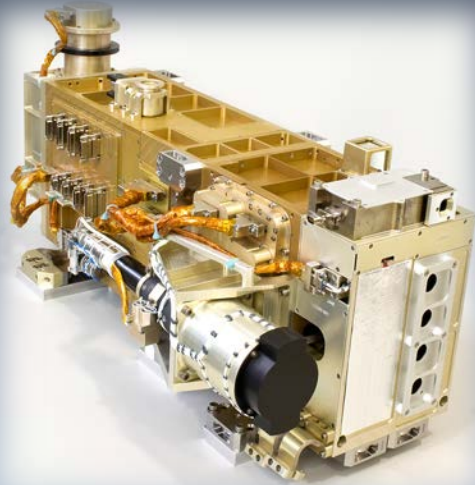


# ***The Next Generation Solar Spectral Irradiance Monitor For the JPSS-TSIS Mission Instrument Overview and Radiometric Performance***



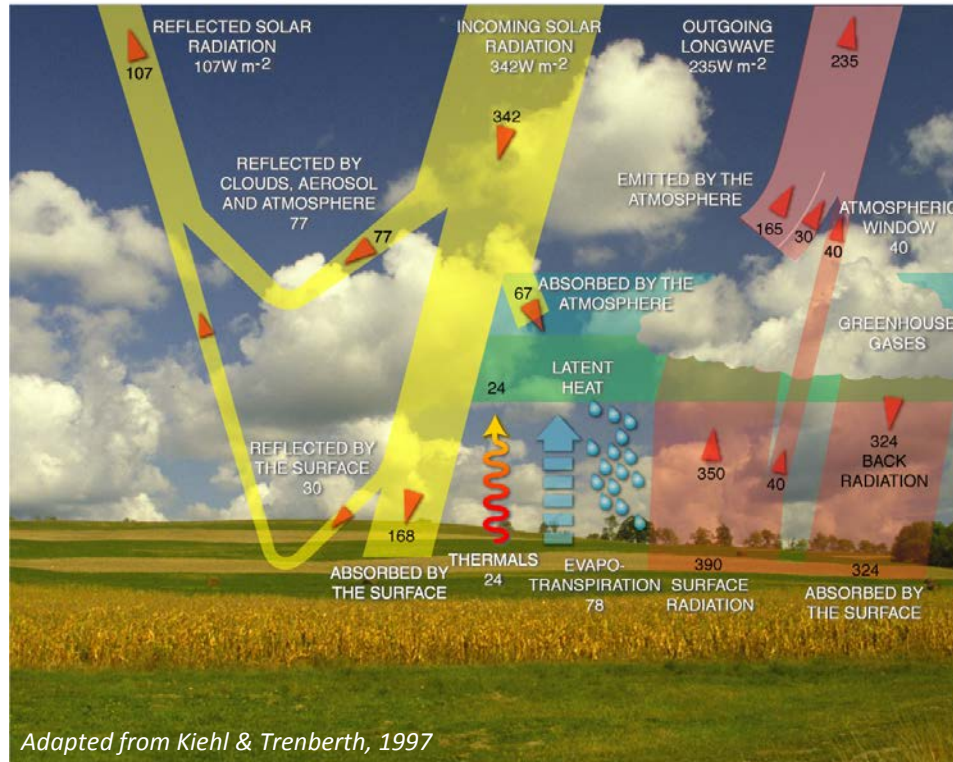
***Erik Richard, Dave Harber, Joel Rutkowski, Kasandra O'Malia, Matt Triplett, and Peter Pilewskie  
Laboratory for Atmospheric and Space Physics (LASP)  
University of Colorado, Boulder, Colorado USA***

***Special thanks to:  
Steve Brown, Keith Lykke, and Allan Smith  
NIST & L-1 Standards and Technology, Inc.***



# Solar Spectral Irradiance (SSI) Influences on Global Change

## Global Energy Budget Contributions



## SSI Radiative Forcing Questions

- How does the climate system respond?
  - Process studies seek to quantify mechanisms by which the Earth system responds to various forcings
  - ✓ Requires measurement of wavelength-dependent irradiance variability.

**SSI observations will enable more realistic climate model simulations for comparisons with empirical evidence and ultimately projections of future change.**

## Measurement Goal:

**“Acquire SSI time series of measurements of sufficient length, consistency, and continuity to determine climate variability and change”**

*Climate Data Records from Environmental Satellites: Interim Report (2004)*

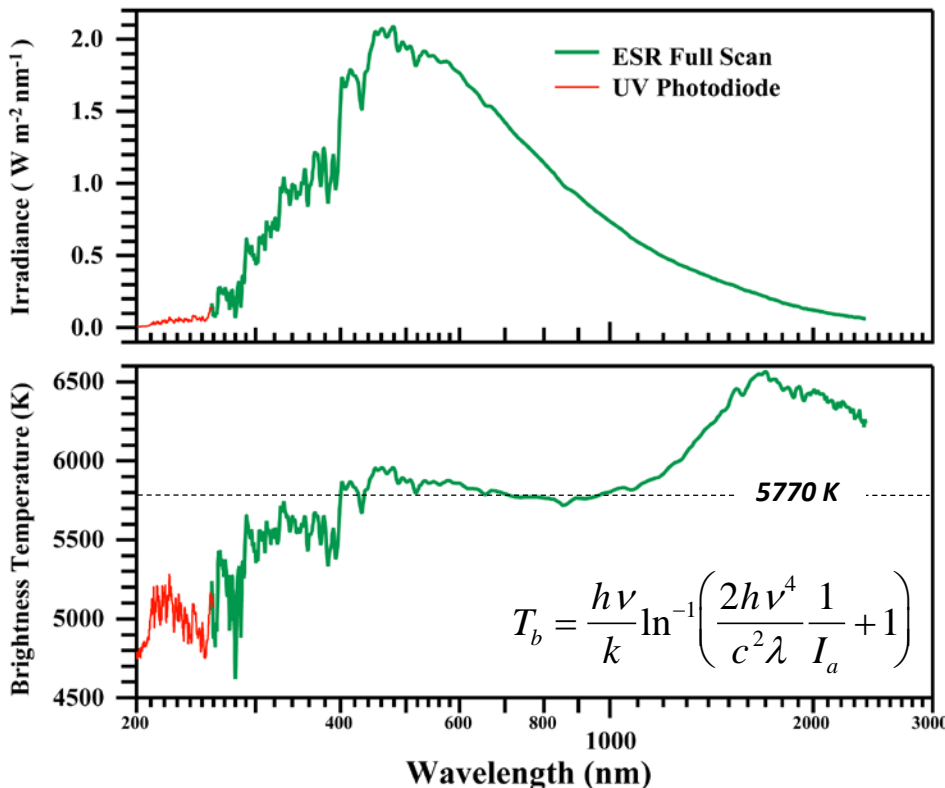


# SIM Measures Solar Spectral Irradiance (96% TSI)

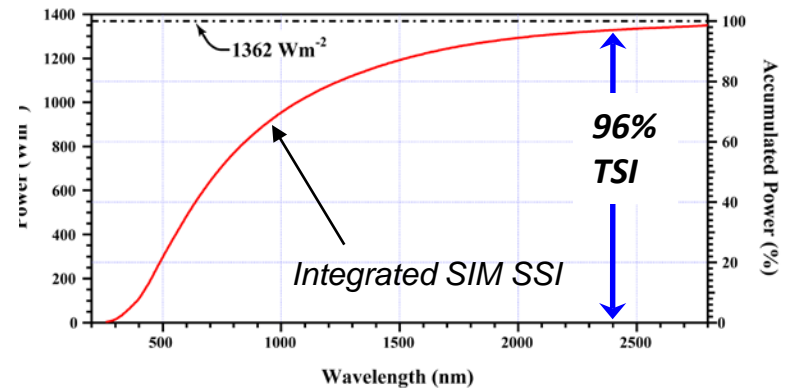
## Solar Spectral Irradiance ( $W m^{-2} nm^{-1}$ )

Solar Spectral Irradiance is defined as the radiant power per unit area per unit wavelength interval incident on a plane surface at the top of the atmosphere that is normal to the direction from the Sun.

## SORCE SIM (200 – 2400 nm)



## SIM Integrated Power vs. Wavelength



Total Solar Irradiance (TSI)

$$TSI_{TIM} = \int_{\lambda=0}^{\lambda=\infty} E_{\lambda} d\lambda \approx 1362 \text{ Watts}/m^2$$

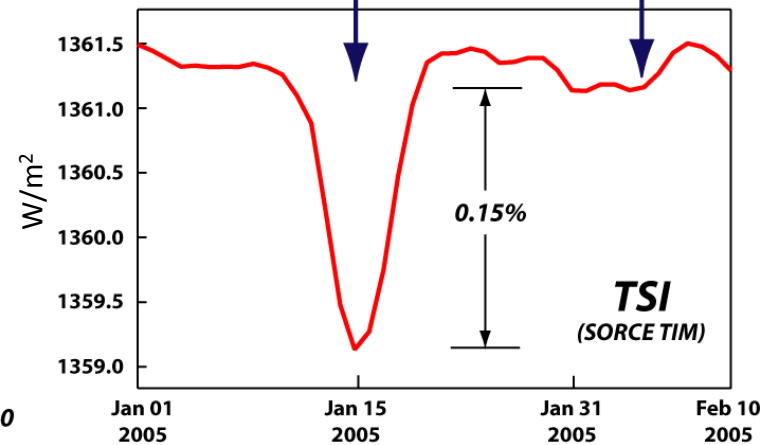
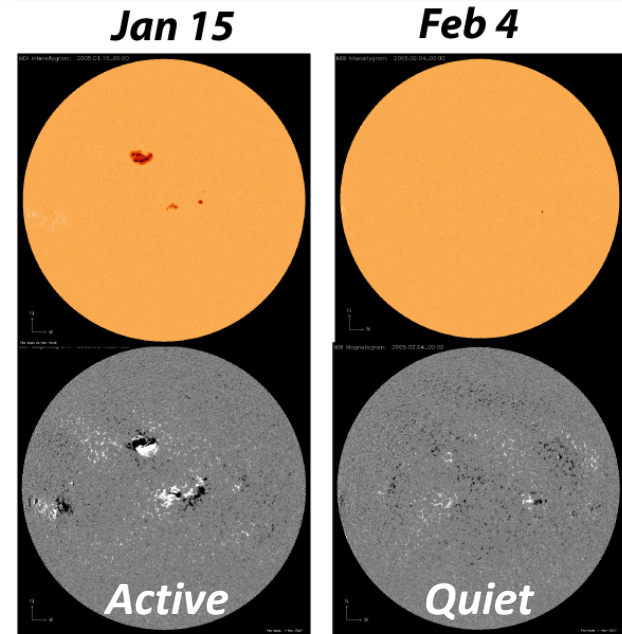
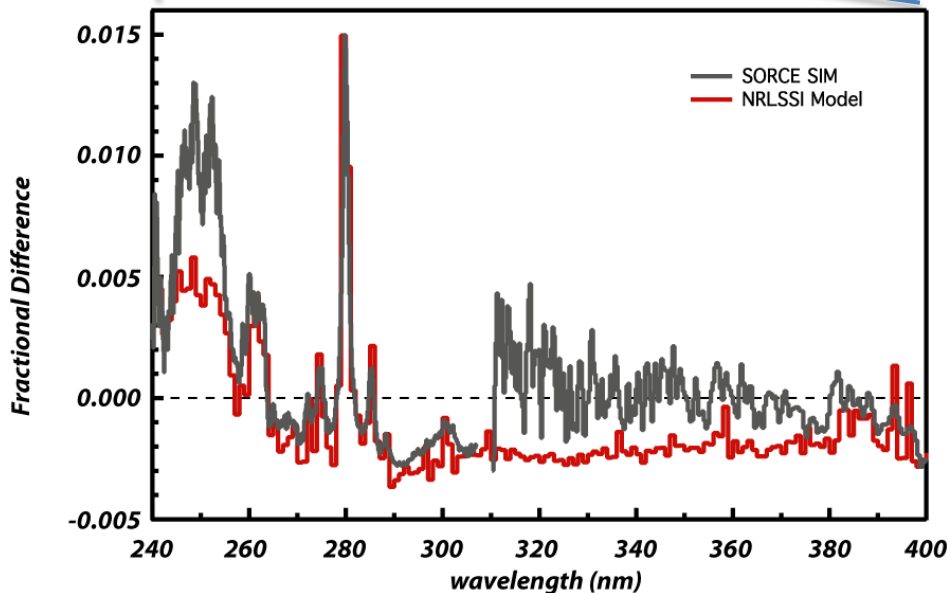
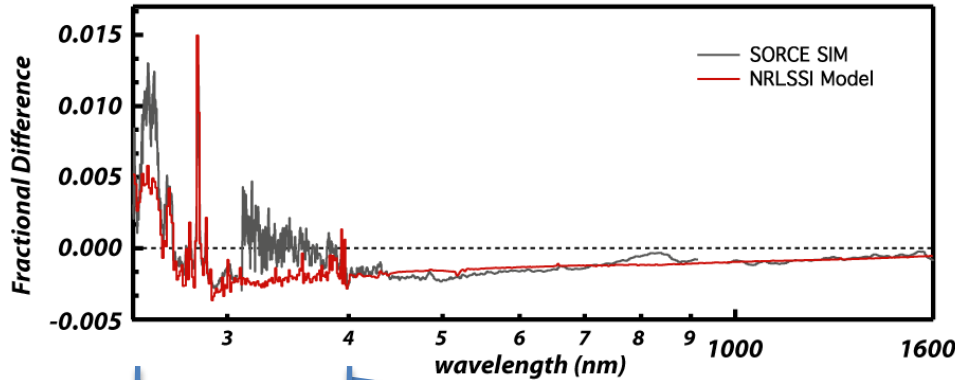
Spectral Solar Irradiance (SSI)

$$TSI_{SIM} = \int_{\lambda=200}^{\lambda=2400} E_{\lambda} d\lambda \approx 96\% \text{ of TSI}$$



# Short-term (Rotational) SSI Variability

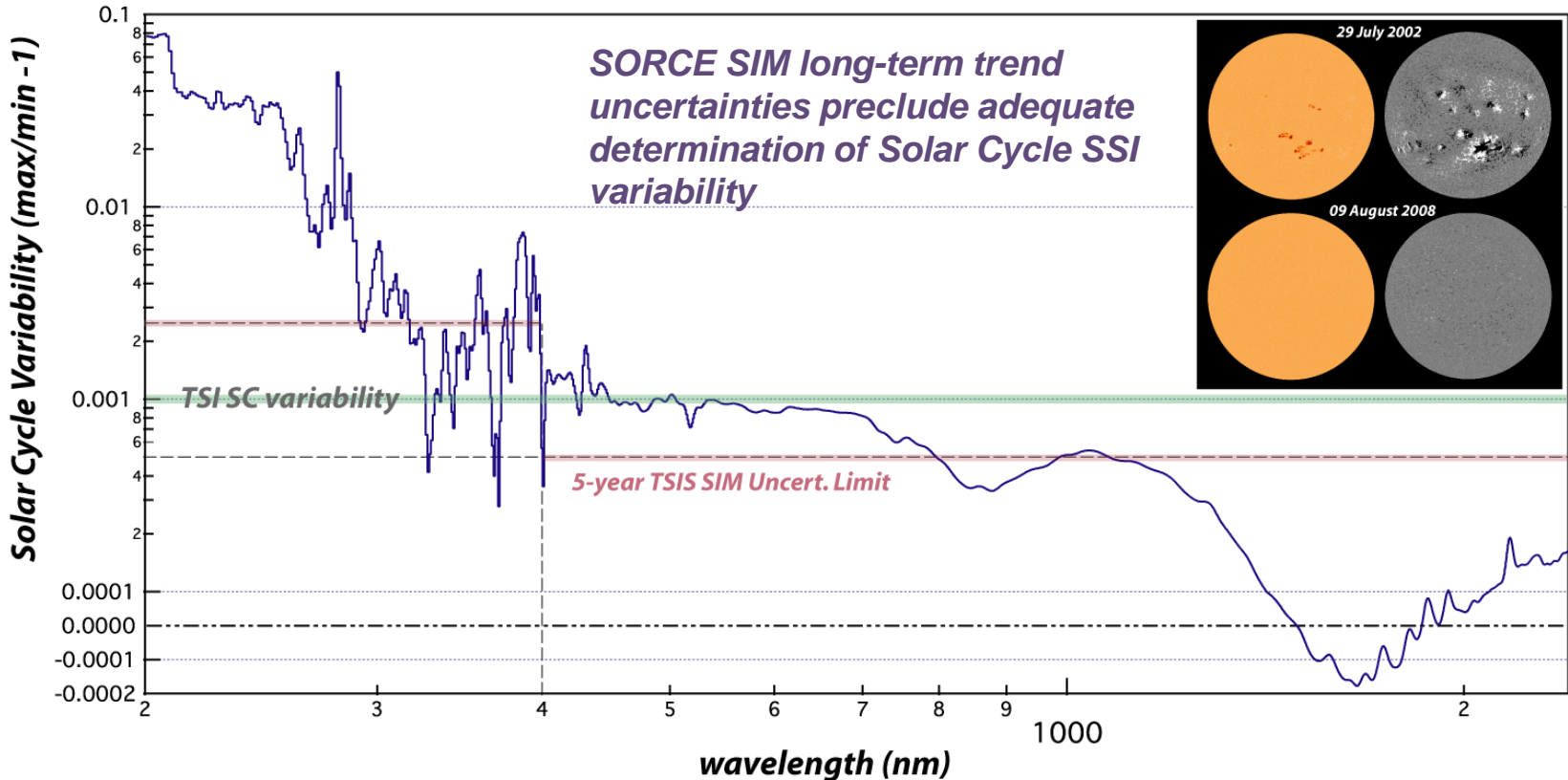
## Spectral Irradiance Contrast





# Predicted Solar Cycle SSI Variability

←→ 174-242 nm: O<sub>2</sub> dissociation - O<sub>3</sub> production (1.8 W/m<sup>2</sup>)  
 ←→ 242-300 nm: O<sub>3</sub> absorption (12 W/m<sup>2</sup>)  
 ←→ 300 - >2000 nm: Climate Forcing (~1355 W/m<sup>2</sup>) →



**NRLSSI Modeled spectral variability based on observations of UV (120-250 nm) and model of rotational modulation of plage and sunspot contrast.**

**Prior to *SORCE SIM* (2003) no continuous measurement of variability in the 400-2400 nm region**



# SSI Measurement Requirements

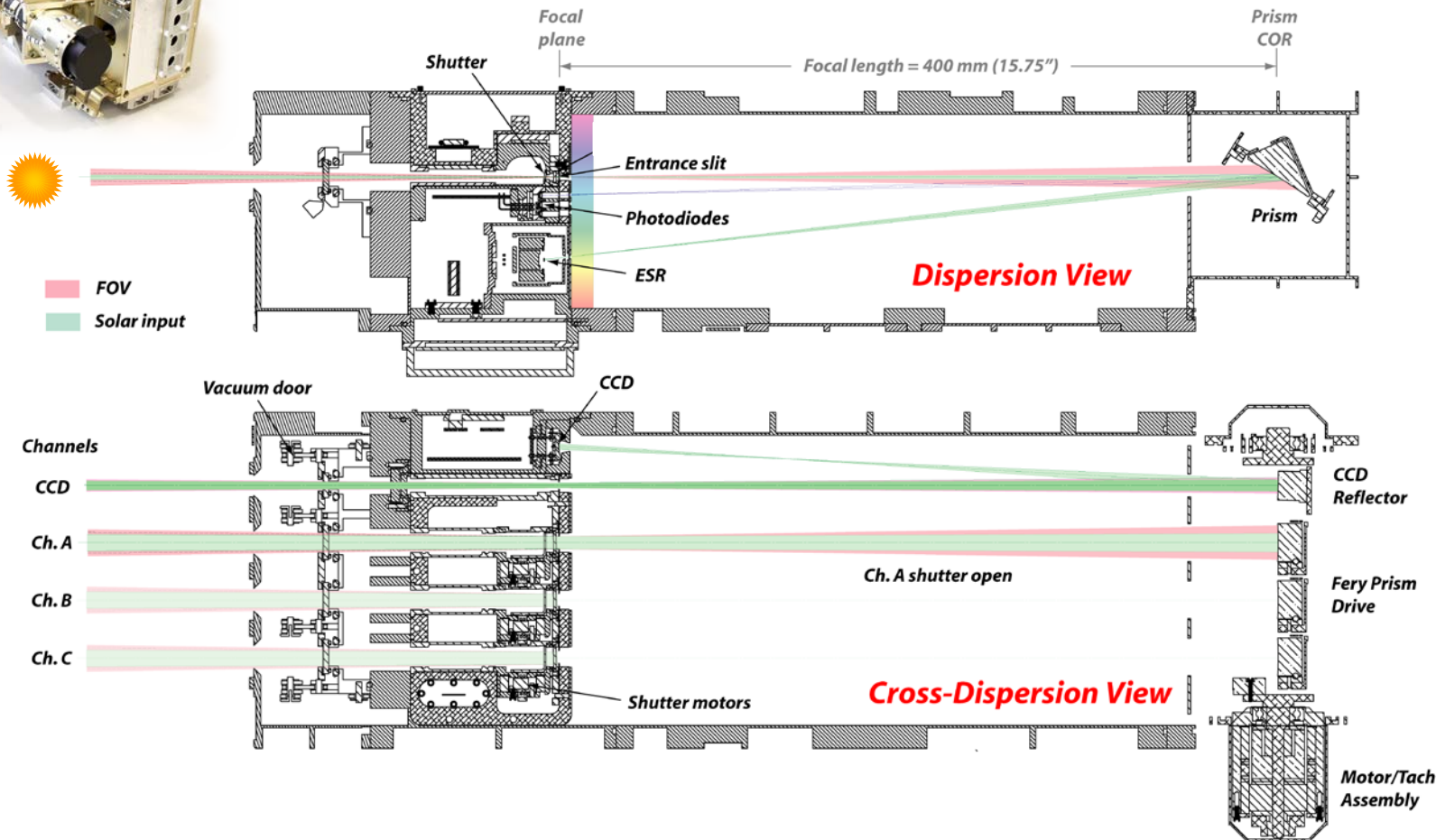
<b>Attribute</b>	<b>Req't</b>	<b>Justification</b>
<b>Measurement range (<math>Wm^{-2}nm^{-1}</math>)</b> Spectral limits (0.2 - 2.4 $\mu m$ )	$10^{-4} - 10^1$	<b>Solar spectrum</b> Full scale bounds on magnitude of SSI
<b>Long-term rel. stability (per year)</b> $0.2 \leq \lambda \leq 0.4 \mu m$ $0.4 < \lambda \leq 2.4 \mu m$	<b>0.05%</b> <b>0.01%</b>	<b>Solar cycle variability (<math>S_{max}/S_{min}</math>)</b> UV variability: 10% - 0.1% (Chromospheric) Vis-NIR variability: $\leq 0.05\%$ (Photospheric)
<b>Measurement precision</b> Spectral limits (0.2 - 2.4 $\mu m$ )	<b>0.01%</b>	<b>Solar rotational variability</b> High SNR for Vis-NIR spectral repeatability
<b>Measurement accuracy</b> Integrated spectral (0.2 - 2.4 $\mu m$ )	<b>0.25%</b>	<b>Climate modeling input</b> Earth radiation budget: Solar attribution
<b>Reporting cadence (per day)</b> Spectral limits (0.2 - 2.4 $\mu m$ )	<b>2</b>	<b>Solar temporal variability</b> Sample diurnal spectral variability (TSI correl.)
<b>Spectral resolution (nm)</b> $\lambda \leq 0.28 \mu m$ (Mg II) $0.28 \mu m < \lambda \leq 0.40 \mu m$ (Ca II edge) $\lambda > 0.40 \mu m$ (photosphere)	<b>1</b> <b>5</b> <b>45</b>	<b>Solar wavelength variability</b> Strongest $\lambda$ -dependence in UV variability (Chromospheric origin) Broader $\lambda$ -dependence in Vis-NIR (Photospheric origin)



# TSIS SIM Design Overview

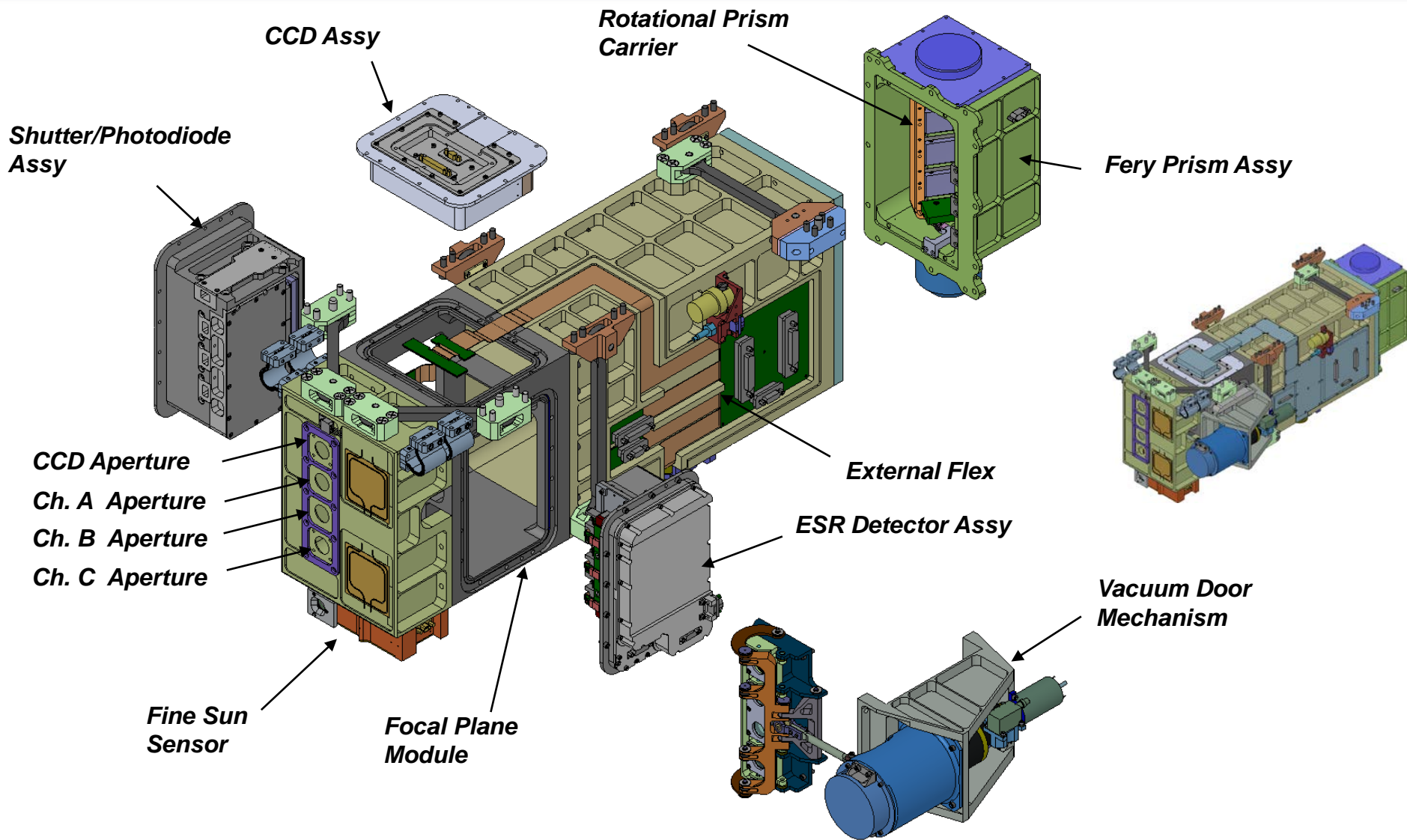


*Féry prism spectrometer (monochrometer) covering the full wavelength range from the UV to IR using only one optical element for spectral dispersion and image quality (3 full spectral, independent channels)*





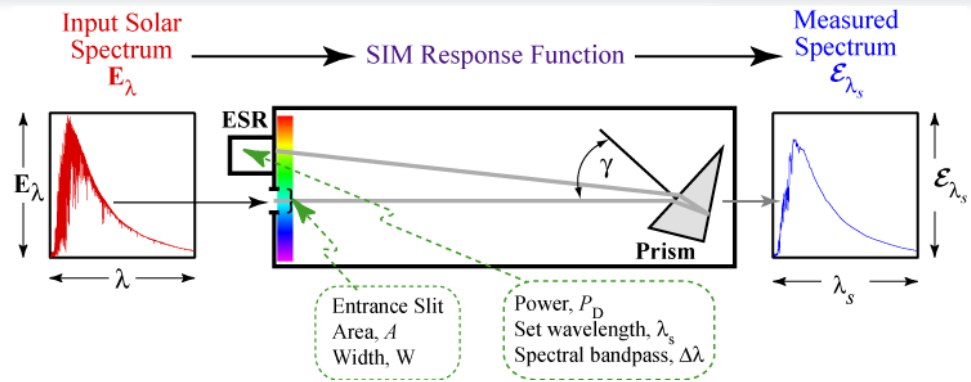
# TSIS SIM Components





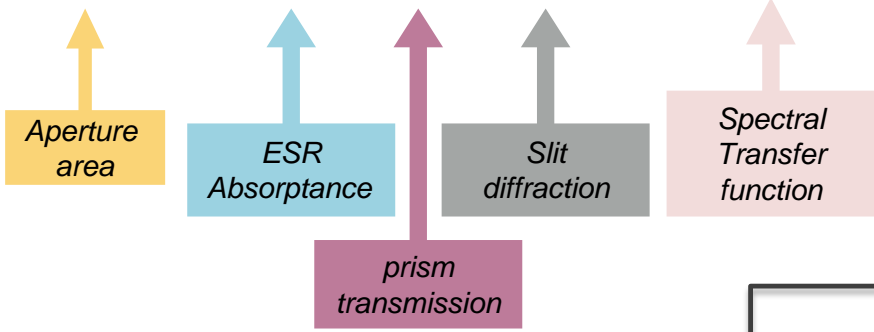


# Measurement Equation Overview



$$\mathcal{E}_\lambda(\lambda_s) = \frac{\mathcal{P}_{\text{ESR}}(\lambda_s)}{A_{\text{slit}} \cdot \int \alpha_\lambda \cdot T_\lambda \cdot \phi_\lambda \cdot S(\lambda, \lambda_s) d\lambda} \left[ \frac{W}{m^2 \text{ nm}} \right]$$

$\mathcal{P}_{\text{ESR}}(\lambda_s)$  ← ESR detected power



$$\mathcal{E}(\lambda_s) = \frac{I_{\text{photodiode}}(\lambda_s)}{A_{\text{slit}} \int \mathcal{R}_\lambda T_\lambda \Phi_\lambda S(\lambda, \lambda_s) d\lambda}$$



# On-orbit calibration corrections

**Long-term corrections are key!**

$$E_{Sun} = \frac{1}{f_{AU} f_{Doppler} f_{FOV} f_{point} f_{degrade}} \cdot [E_{meas\_Sun} - E_{est\_Dark}]$$

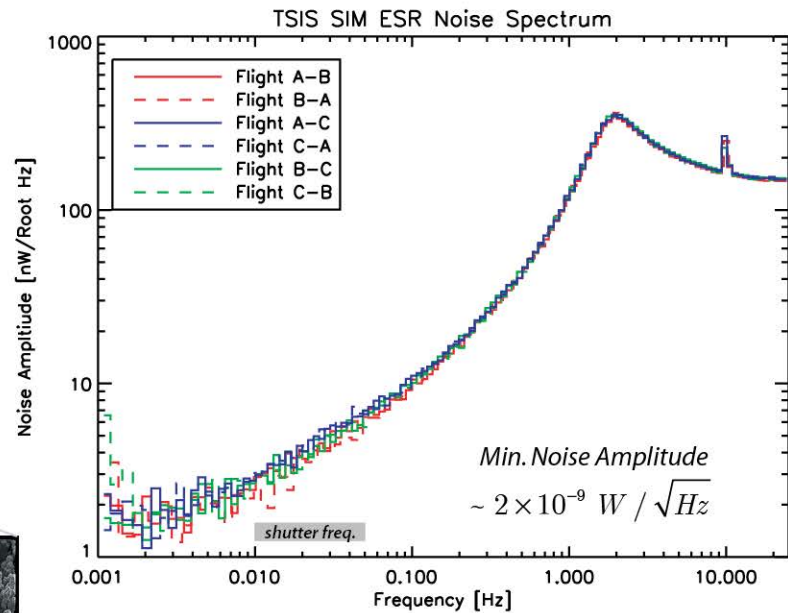
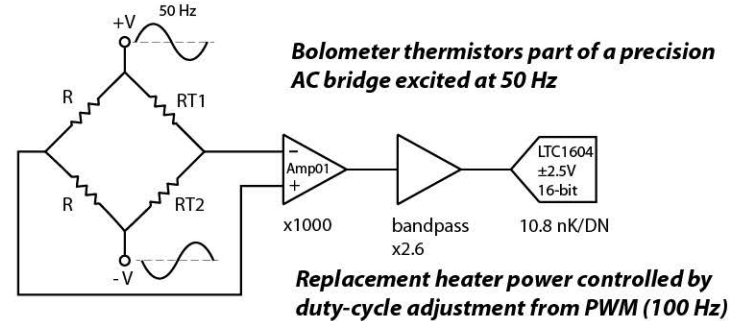
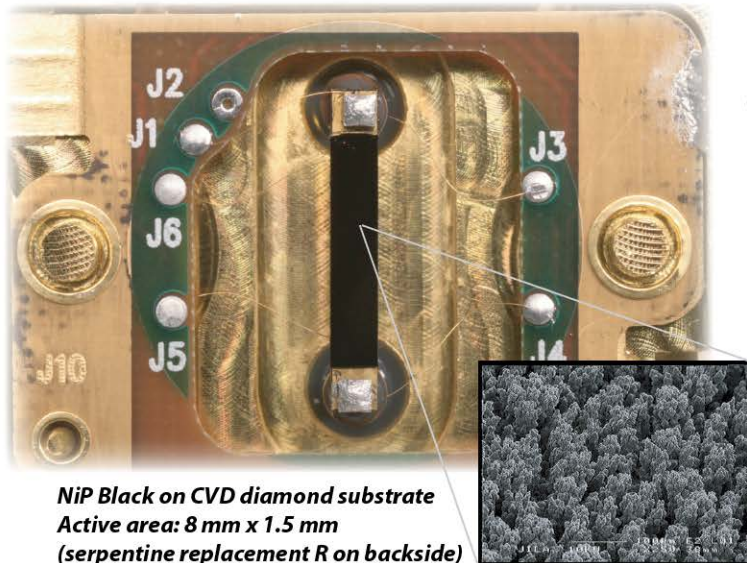
$$\mathcal{E}_\lambda(\lambda_s) = \frac{P_{ESR}(\lambda_s)}{A_{slit} \cdot \int \alpha_\lambda \cdot T_\lambda \cdot \phi_\lambda \cdot S(\lambda, \lambda_s) d\lambda}$$

**Optical degradation (both wavelength and time dependent) is the largest contribution to the long-term measurement uncertainty**



# SIM Electrical Substitution Radiometer (ESR)

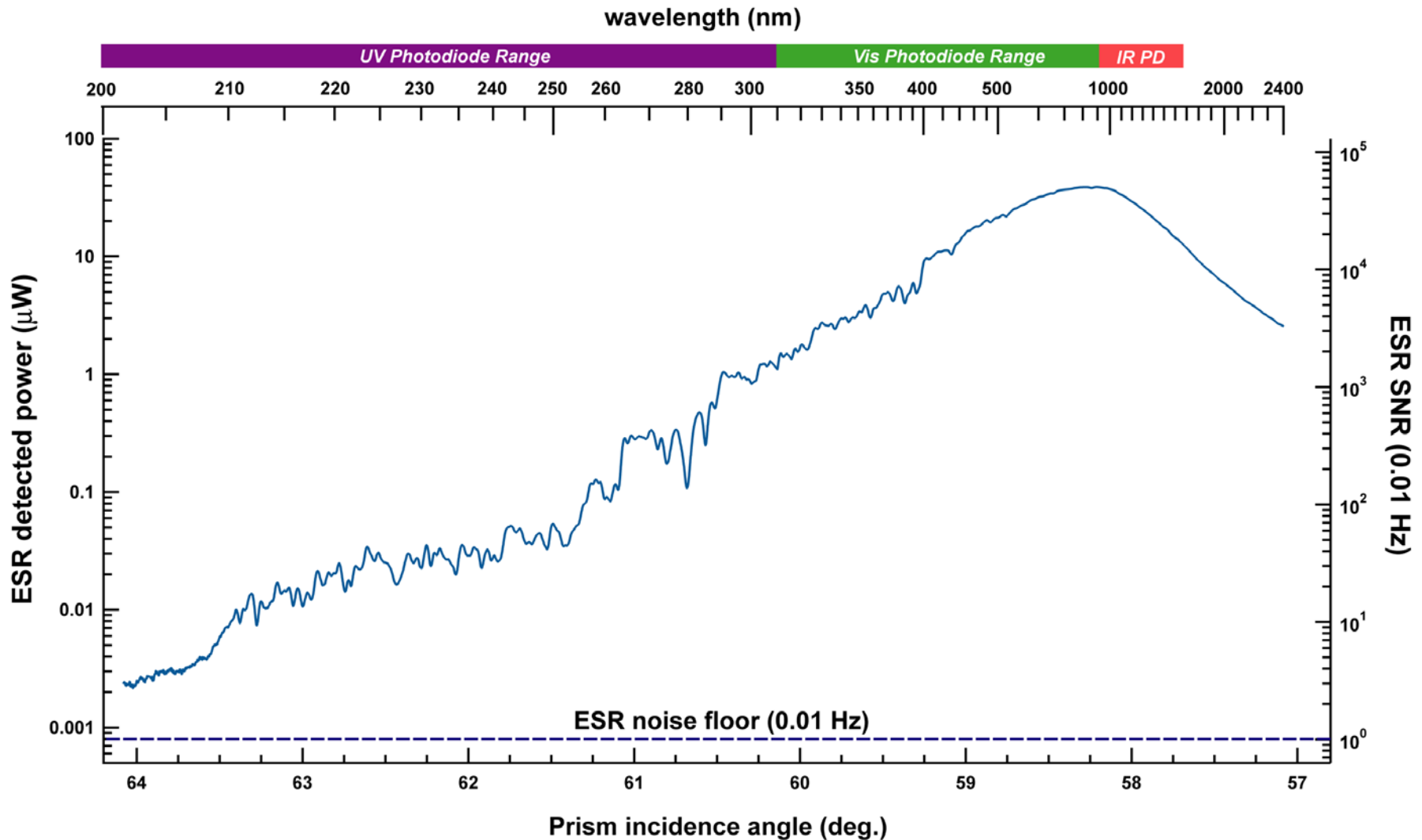
The TSIS SIM ESR serves as a NIST traceable, space-qualified absolute calibration transfer detector



Phase-sensitive detection is used to analyze only changes in the applied ESR power at, and in phase with, the shutter fundamental frequency (typ. 0.01 - 0.05 Hz)

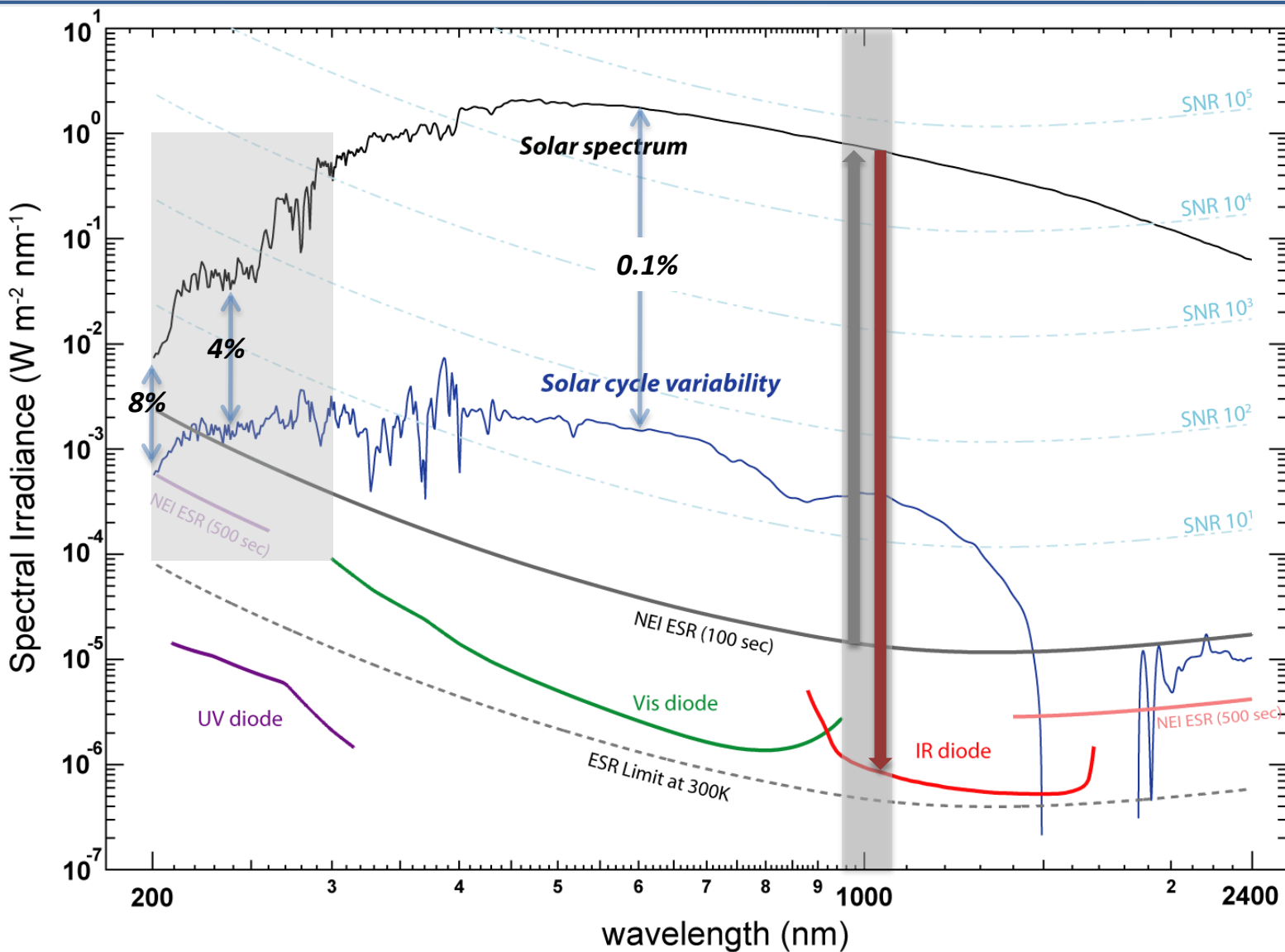


# ESR Performance Meets Requirements for Solar Spectral Power





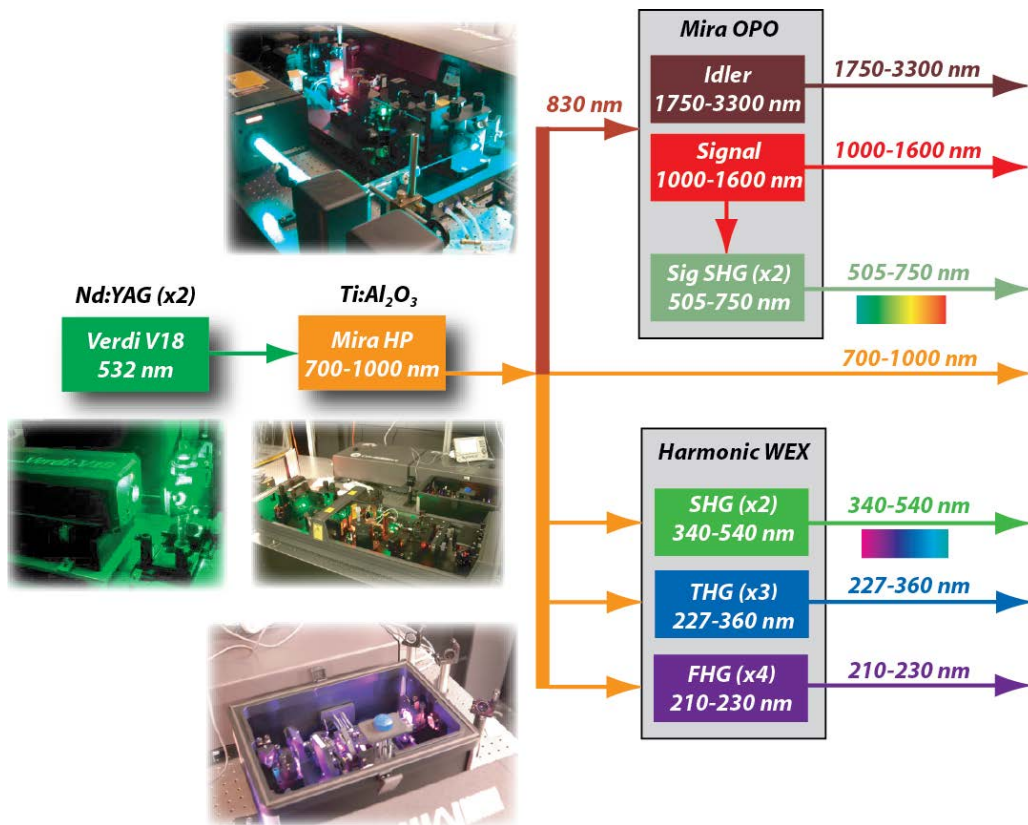
# SSI Variability and SIM Measurement Capabilities



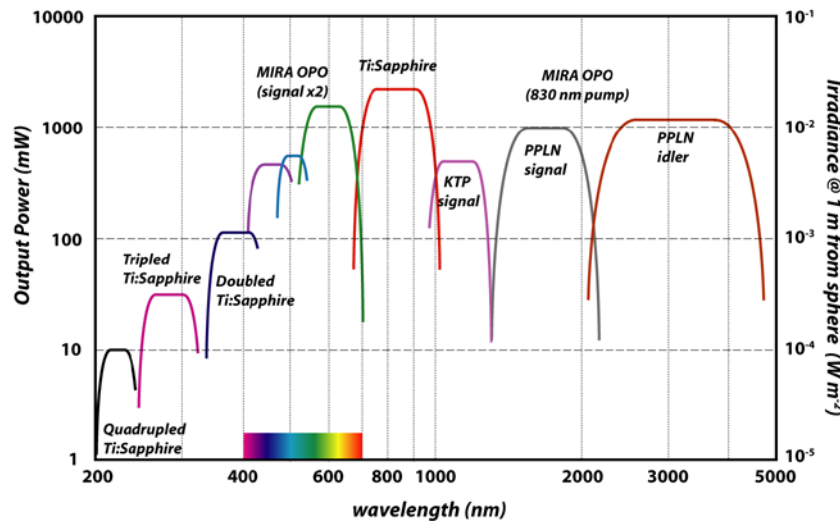


# NIST SIRCUS Facility

**“Spectral Irradiance and Radiance Responsivity Calibrations with Uniform Sources”**



**This comprehensive calibration facility provides irradiance calibration of the SIM ESR & photodiodes and the full SIM over the operational wavelength (and irradiance) range of the SIM instrument.**



**Designed to reduce the uncertainty in spectral irradiance and radiance responsivity calibrations at the 0.1 % level and expand the spectral range where these uncertainty levels are achievable**

**The current uncertainty for SSI measurements is ~3 %. Current developments are directed at reducing this to a general goal of 0.25 % (k=1)**



# Characterization / Calibration Flow

## Component-Level Calibrations:

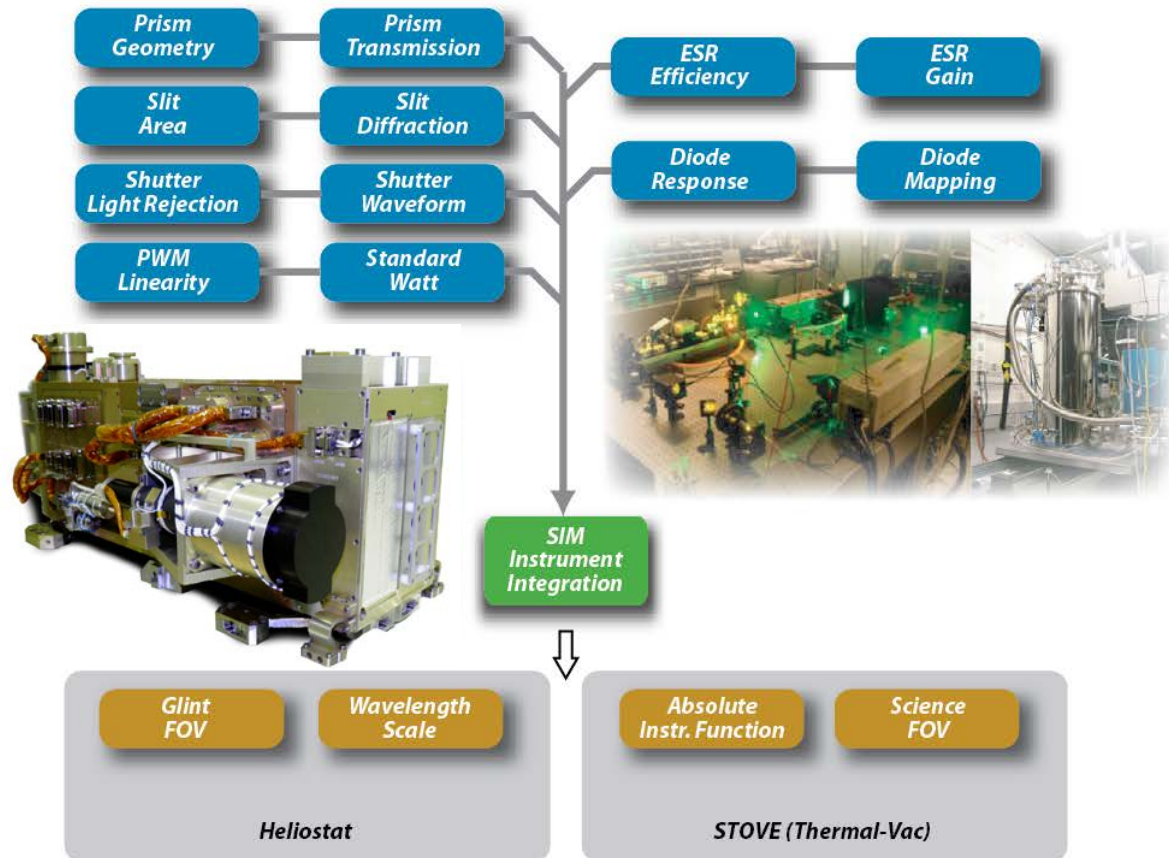
Slit Area  
Standard Watt  
Pulse-Width Modulation Linearity  
Shutter Waveform  
Servo Gain

## $\lambda$ -dependent Calibrations:

Prism Geometry  
Prism Transmission  
Slit Diffraction  
ESR Efficiency  
Photodiode Sensitivity

## Instrument-Level Calibrations:

Glint Field of View  
Wavelength Scale  
Absolute Instrument Function Area  
Scattered Light  
Science Field of View  
Servo Gain, Nonequivalence, Noise, etc.



■ Component-Level  
■ Instrument-Level

**Calibration and characterization follows a measurement equation approach at the unit-level for full validation of end-to-end performance at the instrument-level**



# TSIS SIM Calibration Error Budget

Instrument uncertainties determined at the component level --> characterization of error budget

Instrument-Level Component-Level S/C

Measurement Correction	Origin	Value (ppm)	1σ (ppm)
Distance to Sun, Earth & S/C	<i>Analysis</i>	33,537	0.1
Doppler Velocity	<i>Analysis</i>	43	1
Pointing	<i>Analysis</i>	0	100
Shutter Waveform	<i>Component</i>	100	10
Slit Area	<i>Component</i>	1,000,000	300
Diffraction	<i>Component</i>	5,000-62,000	500
Prism Transmittance	<i>Component</i>	230,000-450,000	1,000
ESR Efficiency (absorptance)	<i>Component</i>	1,000,000	1,000
Standard Volt + DAC	<i>Component</i>	1,000,000	50
Pulse Width Linearity	<i>Component</i>	0	50
Standard Ohm + Leads	<i>Component</i>	1,000,000	50
Instrument Function Area	<i>Instrument</i>	1,000,000	1,000
Wavelength	<i>Instrument</i>	1,000,000	750
Non-Equivalence, $Z_H/Z_R-1$	<i>Instrument</i>	2,000	100
Servo Gain	<i>Instrument</i>	2,000	100
Dark Signal	<i>Instrument</i>	0	100
Scattered Light	<i>Instrument</i>	0	200
Noise	<i>Instrument</i>	-	100
<b>Combined Rel. Std. Uncertainty</b>			<b>2000</b>

Dominant uncertainties are ●-dependent



98% of full budget

Final systematic uncertainty quantification requires full end-to-end irradiance calibration

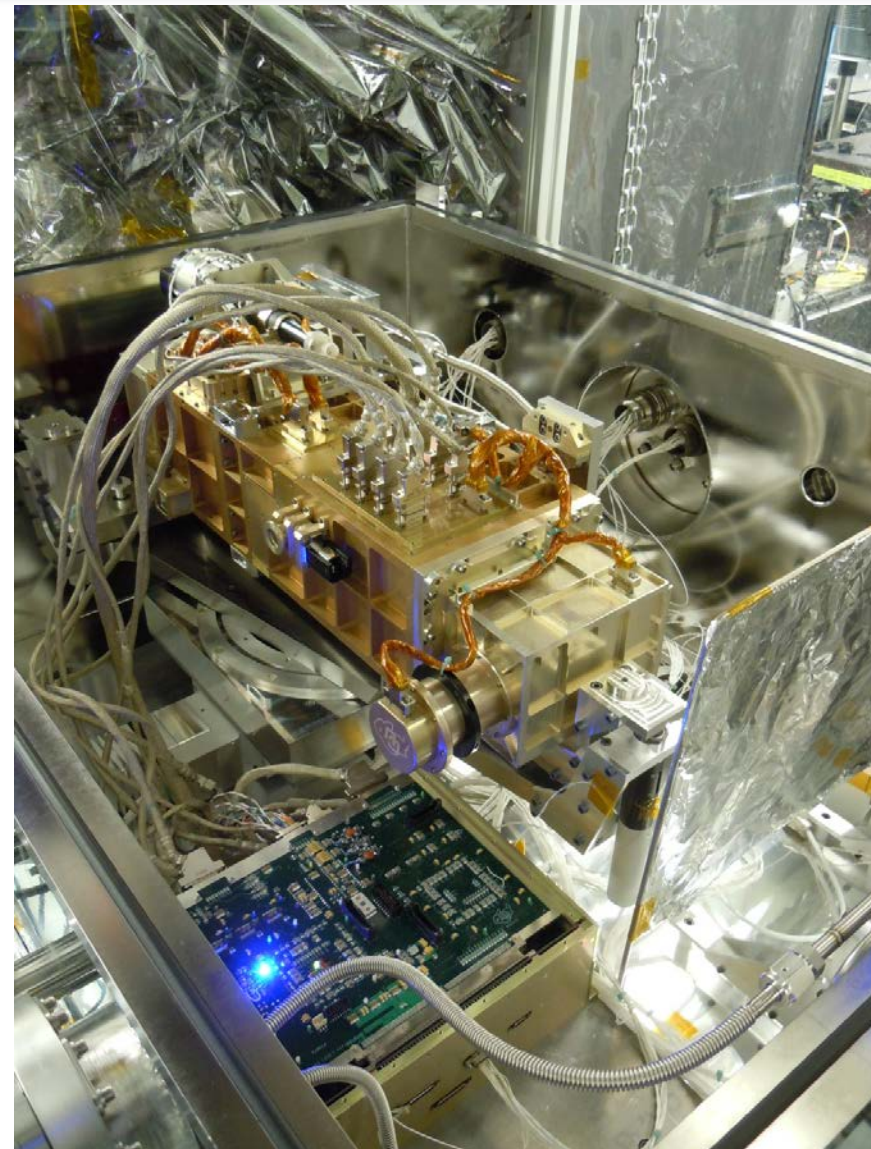
20% margin





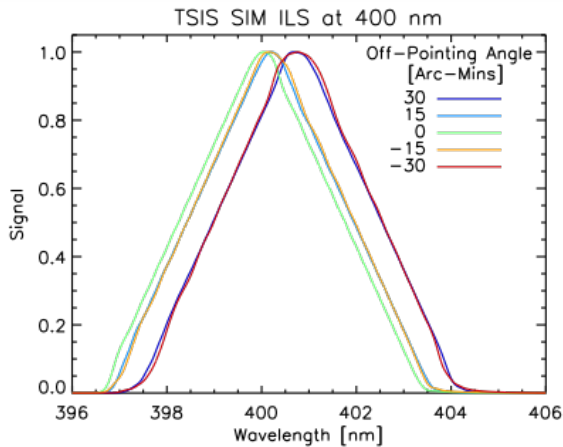
# TSIS SIM Calibration

- **Full instrument-level calibration includes (all in vacuum):**
  - *SIRCUS laser wavelength calibration*
  - **Spectral instrument function measurements (Spectral PSF's)**
    - **ESR and Photodiodes (all channels)**
  - *Absolute spectral irradiance calibration tied to NIST L1 Cryo*
    - *ESR (all channels)*
  - *Channel to channel boresight alignment calibration*
  - *Pointing and FOV mapping*
    - *For all calibrations above*





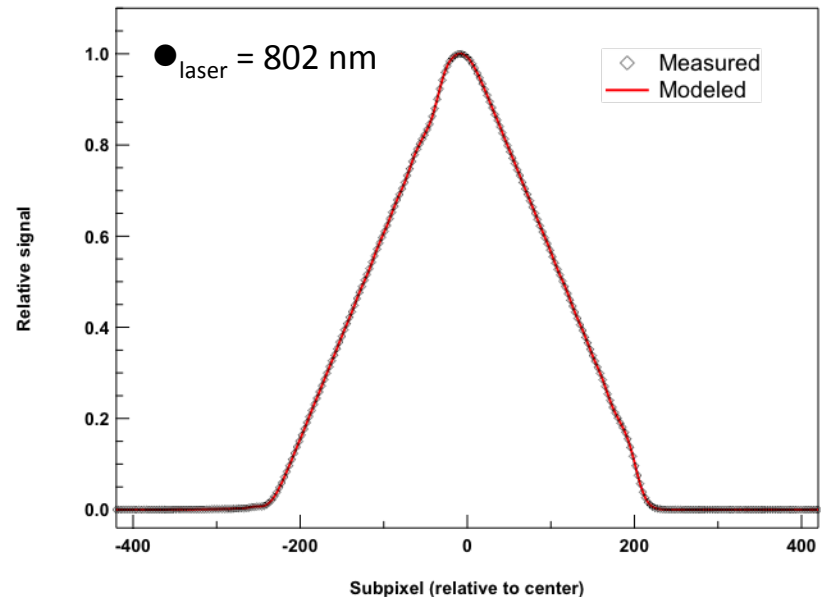
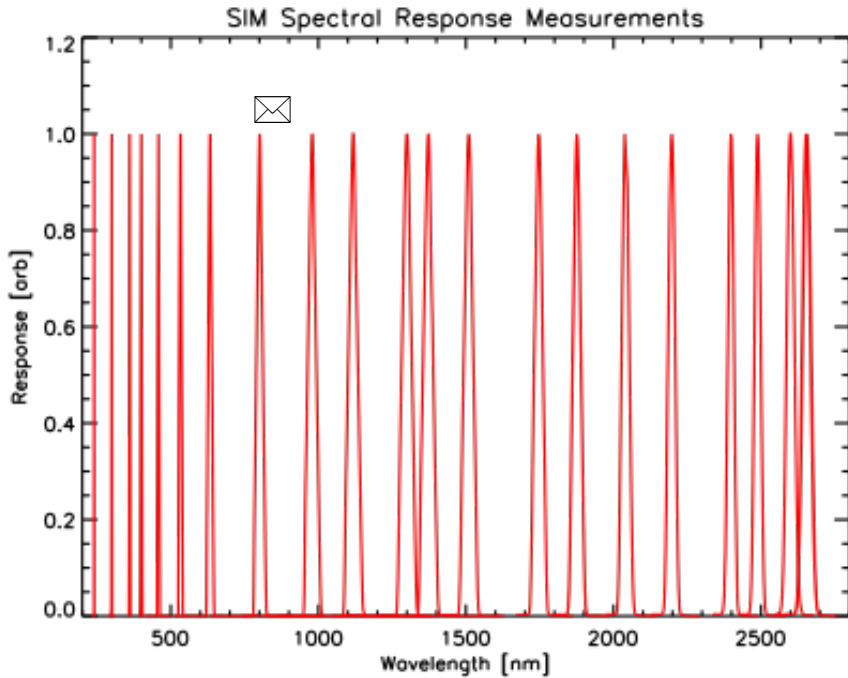
# SIM Spectral Response Functions



**Spectral response functions are measured for each laser polarization, full FOV angles (disp. & x-disp).**

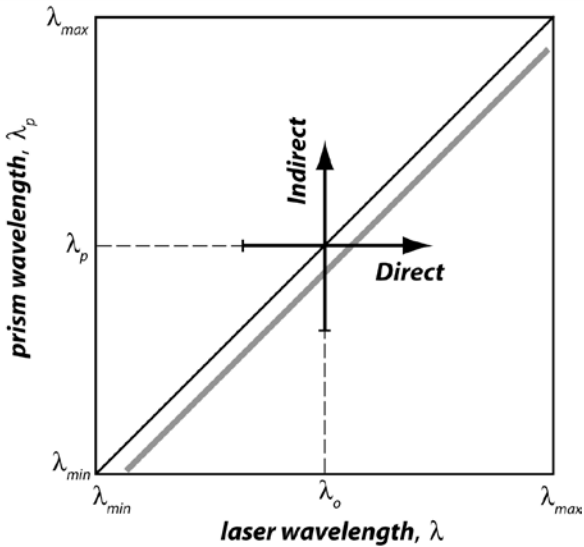
**To transfer to Sun must generate “solar” SRF based on convolution of extended source including spectral center-to-limb distribution**

$$\mathcal{E}_\lambda(\lambda_s) = \frac{\mathcal{P}_{\text{ESR}}(\lambda_s)}{A_{\text{slit}} \cdot \int \alpha_\lambda \cdot T_\lambda \cdot \phi_\lambda \cdot S(\lambda, \lambda_s) d\lambda}$$





# SIM Spectral Response Functions



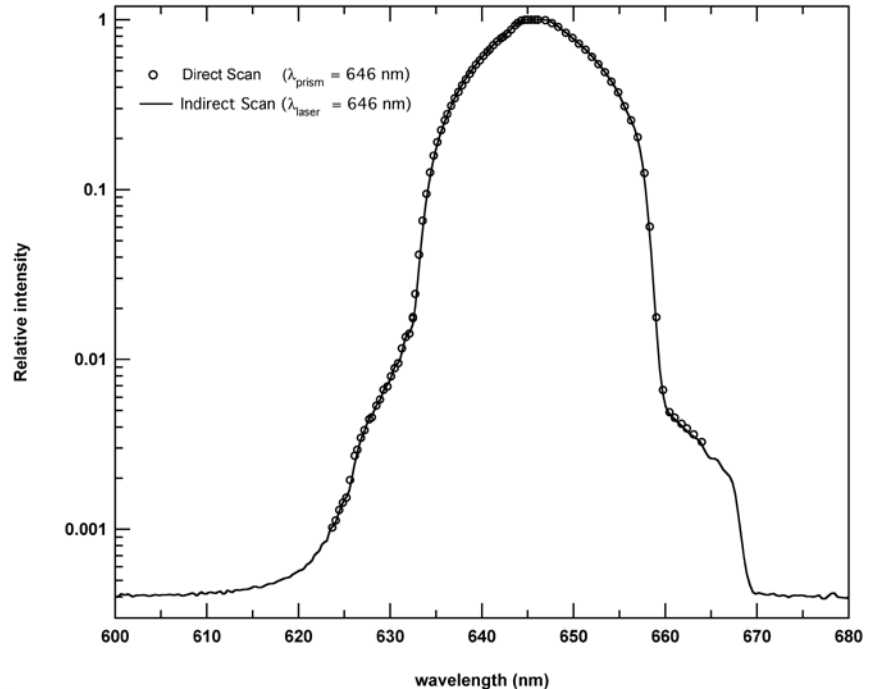
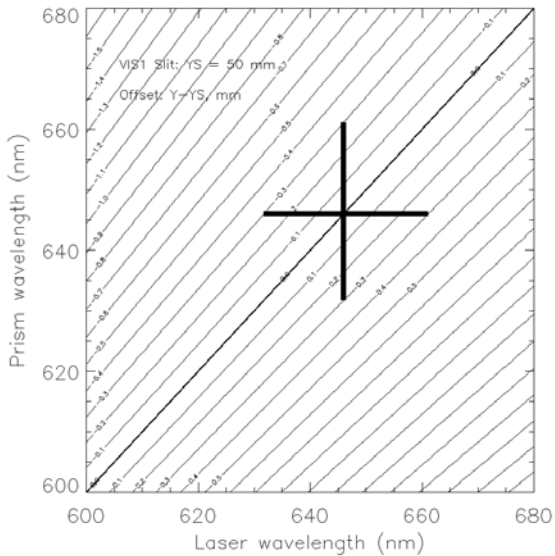
**Direct determination: Fix prism, scan laser**

$$S(\lambda_p, \lambda) = \int_{\Delta\lambda} E_\lambda(\lambda, \lambda') R_E(\lambda_p, \lambda') d\lambda'$$

**Indirect determination: Fix laser, scan prism**

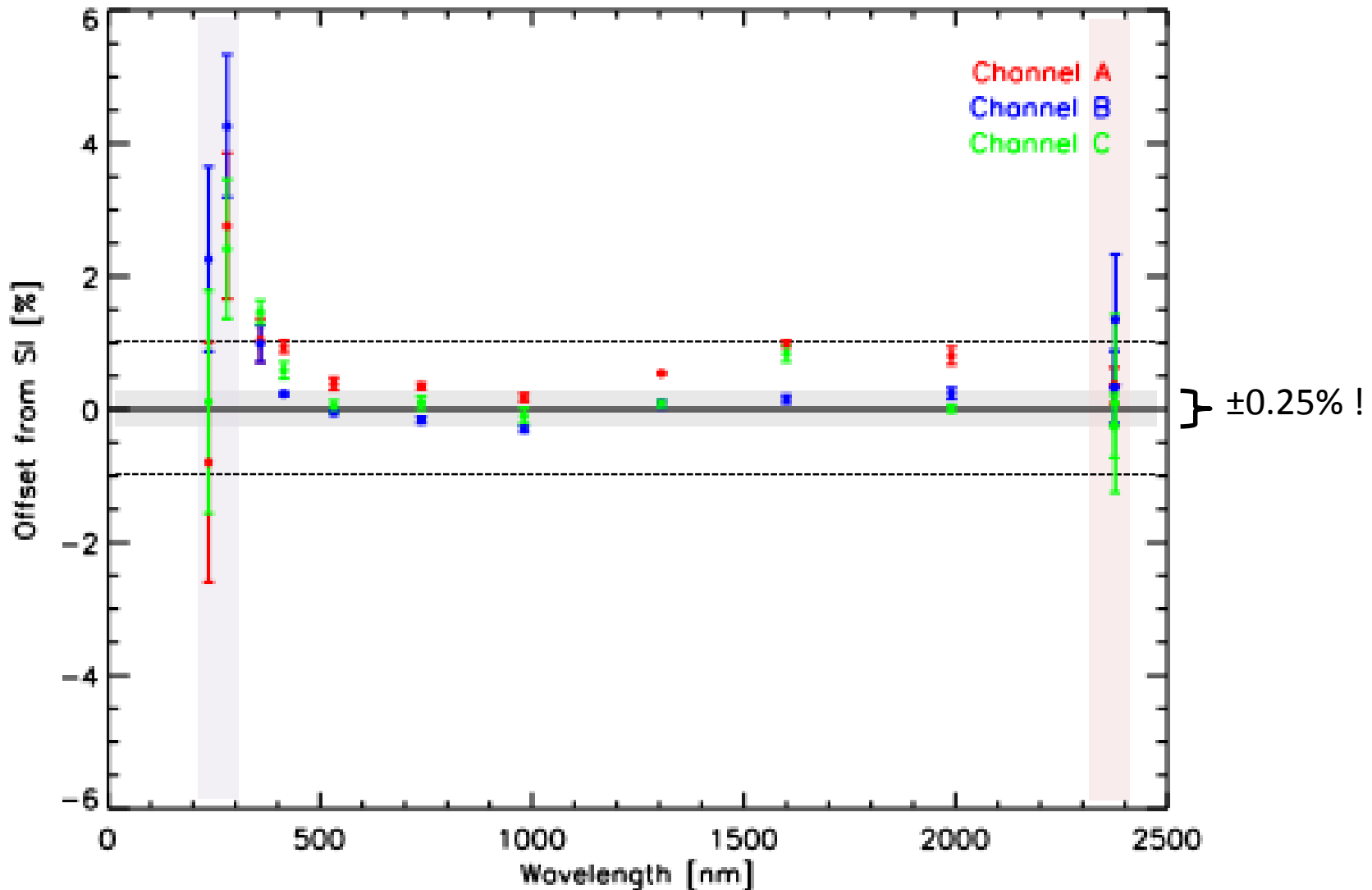
$$S(\lambda, \lambda_o) = \kappa \int_{\Delta\lambda} E(\lambda', \lambda_o) \cdot r(\lambda', \lambda_o) d\lambda'$$

$$r(\lambda', \lambda_o) = r_f(\lambda') \cdot z(\lambda' - \lambda_o)$$





# Preliminary Full Validation Results





# Exposure Degradation over Mission Life

On-orbit interchannel transmission comparisons track wavelength and exposure time dependent transmission loss

$$I(\lambda, t - t_0) = I(\lambda, t_0) e^{-\tau(\lambda, t - t_0)}$$

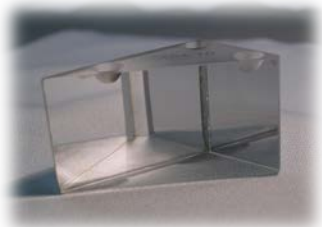
$$\tau(\lambda, t) = \kappa(\lambda) \cdot c(t)$$

$\kappa(\lambda)$  evaluated by periodic ESR measurements between separate channels

The degradation correction determined using the Channel A to Channel B ratio data measured twice per month

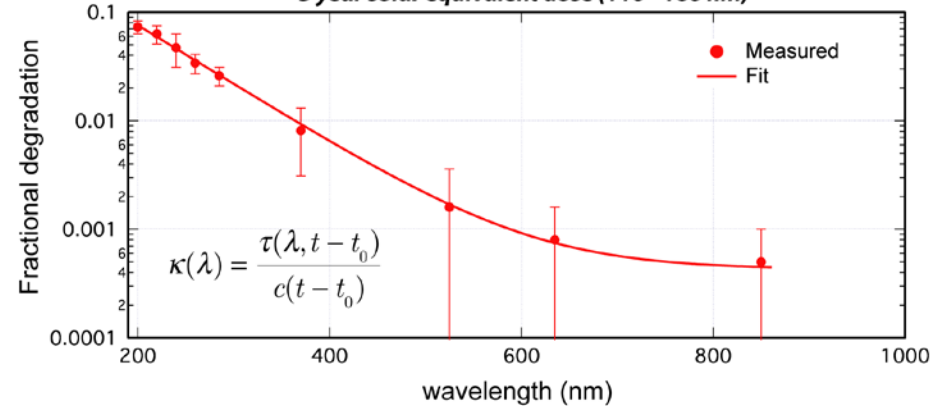
The Channel A to Channel C comparison (~1 per year) verifies the degradation correction

Channel C is to be used infrequently enough so that it can be considered "pristine" (less than 0.01%/year of degradation)

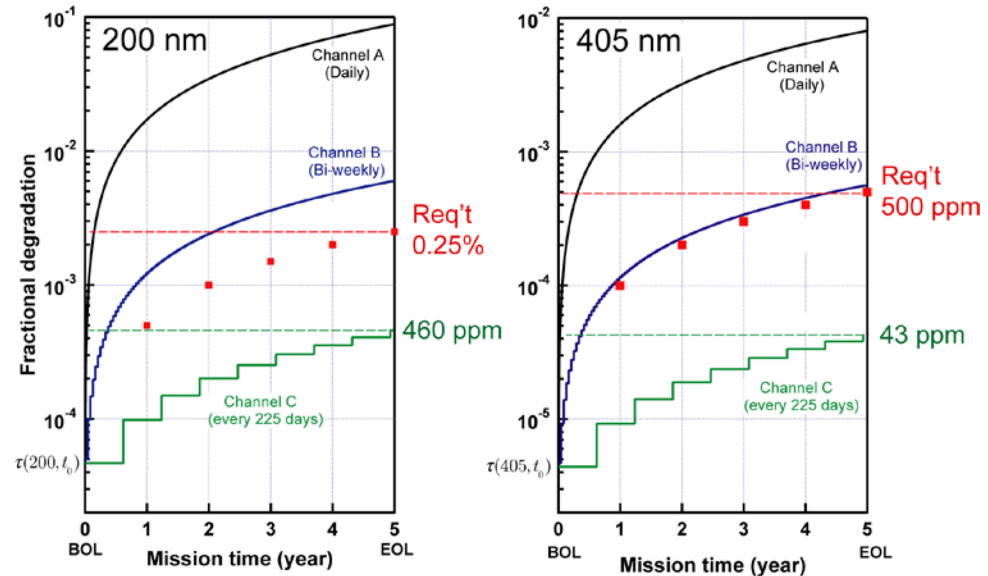


Fractional degradation vs. wavelength

5 year solar equivalent dose (110 - 160 nm)



Fractional degradation vs. exposure time





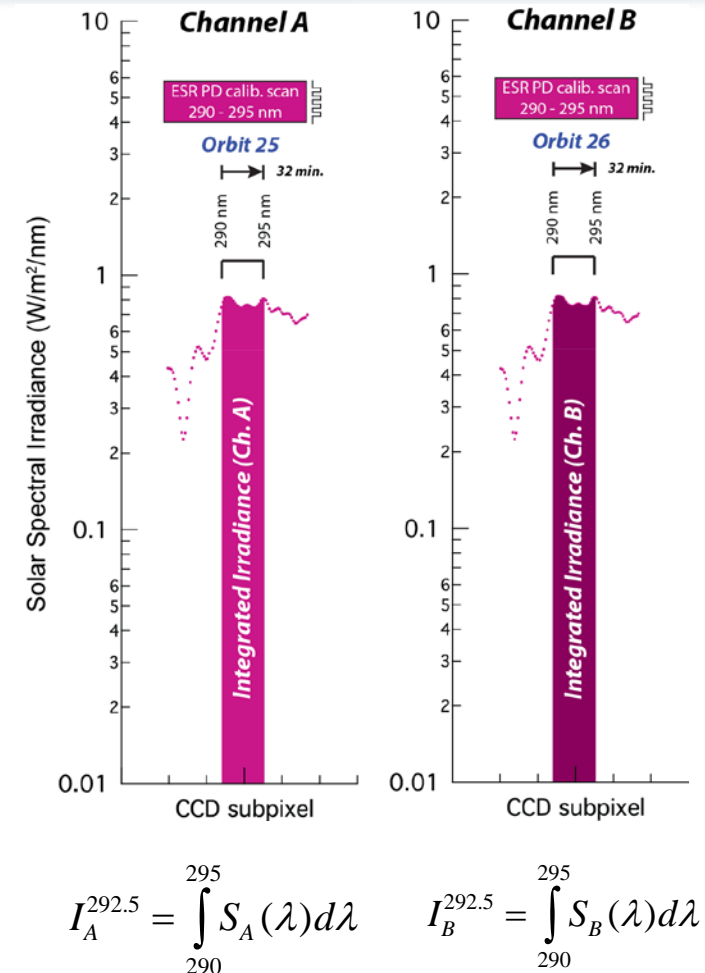
# Spectral degradation monitoring

**ESR Channel A to Channel B comparison (over common wavelength intervals during the same solar viewing period) allows us to determine the prism degradation in the ESR measured irradiance.**

**For each measured wavelength interval, the ratio of the integrated A and B irradiances is proportional to the ratio of the prism degradation (within that interval) :**

$$\frac{I_A}{I_B} \propto \frac{\left( \frac{Sun_t}{d(t_e^A)} \right)}{\left( \frac{Sun_t}{d(t_e^B)} \right)} = \frac{d(t_e^B)}{d(t_e^A)}$$

**These measured ratios constrain a functional fit of the degradation (d) with respect to cumulative exposure time for each wavelength interval**





# Determination of exposure time dependence

Determination of the degradation function (for each  $\lambda_i$ ) is based on repeated Channel A to Channel B calibration scans. For example, we assume an exponential decay as a function of exposure time and determine a decay constant alpha ( $\alpha$ ) for each wavelength interval

## Schedule:

Every 19 days Channel A gets 100 hours of exposure, Channel B gets 5.3 hours. When Channel B reaches 100 hours exposure, we do a Channel C calibration.

When does B reach 100 hrs?

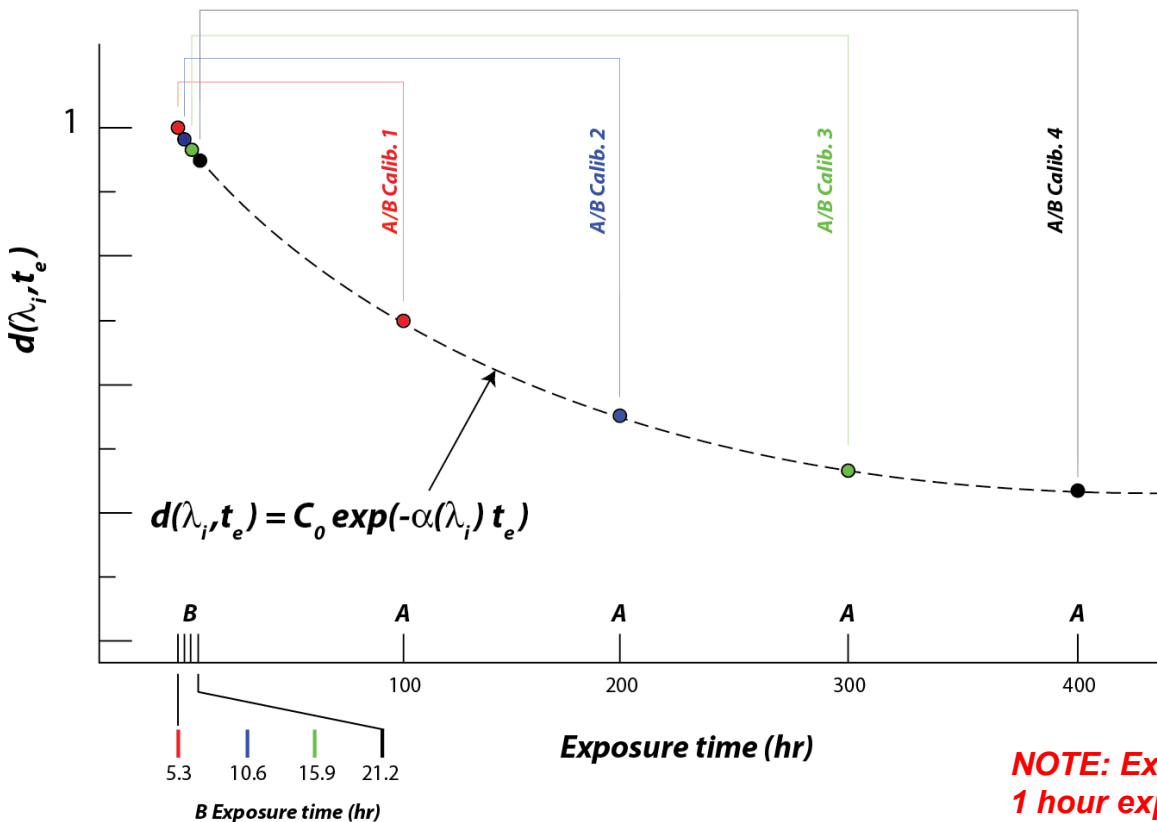
After 19 calibrations Channel B reaches 100 hour exposure:

19 day/cal x 19 cal. = 362 days

Remember, for each cal. we know

$$\frac{d(t_e^B)}{d(t_e^A)} = R_I^{AB}(t_{cal})$$

For each  $\lambda_i$



**NOTE: Exposure time is 1-AU "corrected".  
1 hour exposure (January)  $\neq$  1 hour exposure (July) !!**



# Summary

***Spectral Solar Irradiance (SSI) is critical to understanding solar variability and its impact on Earth climate***

- ***TSIS SIM meets the JPSS measurement requirements for SSI variability, including:***
  - ***High absolute irradiance accuracy ( $\leq 0.25\%$  goal)***
  - ***High measurement precision ( $< 0.01\%$  relative)***
  - ***On-orbit capability to self-correct long-term drifts and sensitivity changes ( $< 0.05\%$  per year)***
    - ***Channel-to-channel calibrations***
    - ***Direct measurements of optical components***
    - ***Detector-to-detector calibrations***
- ***TSIS SIM significant improvements over SORCE SIM include:***
  - ***Long-term relative stability***
    - ***Improved absolute ESR detector and duty-cycling 3 independent channels provides on-orbit calibration maintenance***
  - ***Measurement accuracy***
    - ***NIST calibration facilities (SIRCUS/POWR) provide SI-traceable pre-launch calibration***





# *Back-up slides*



# TSIS SIM Development Approach

**TSIS SIM designed for long-term spectral irradiance measurements (climate research)**

*Incorporate lessons learned from SORCE SIM (& other LASP programs) into TSIS SIM to meet measurement requirements for long-term JPSS SSI record*

**Specific areas addressed in TSIS SIM development**

✓ **Reduce uncertainties in prism degradation correction to meet long-term stability requirement**

- **Ultra-clean optical environment to mitigate contamination**
- **Addition of 3<sup>rd</sup> channel to reduce calibration uncertainties**

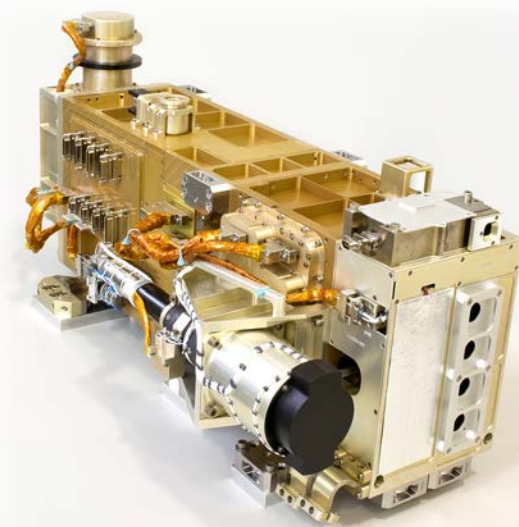
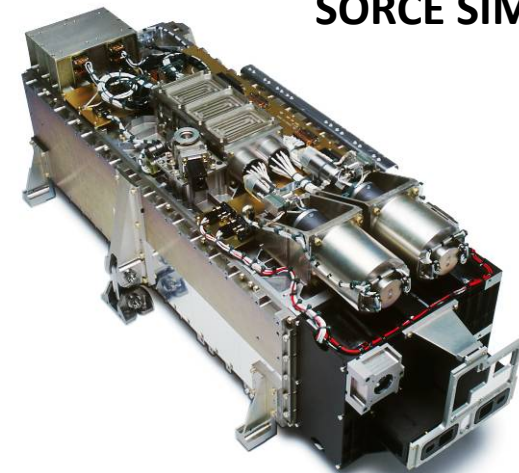
✓ **Improve noise characteristics of ESR and photodiode detectors to meet measurement precision requirement**

- **ESR : Improved ESR thermal & electrical design**
- **Photodiodes : Larger dyn. range integrating ADC's (21-bits)**

✓ **Improve absolute accuracy pre-launch calibration**

- **NIST SI-traceable Unit and Instrument level pre-launch spectral calibrations (SIMRF-SIRCUS)**

**SORCE SIM**

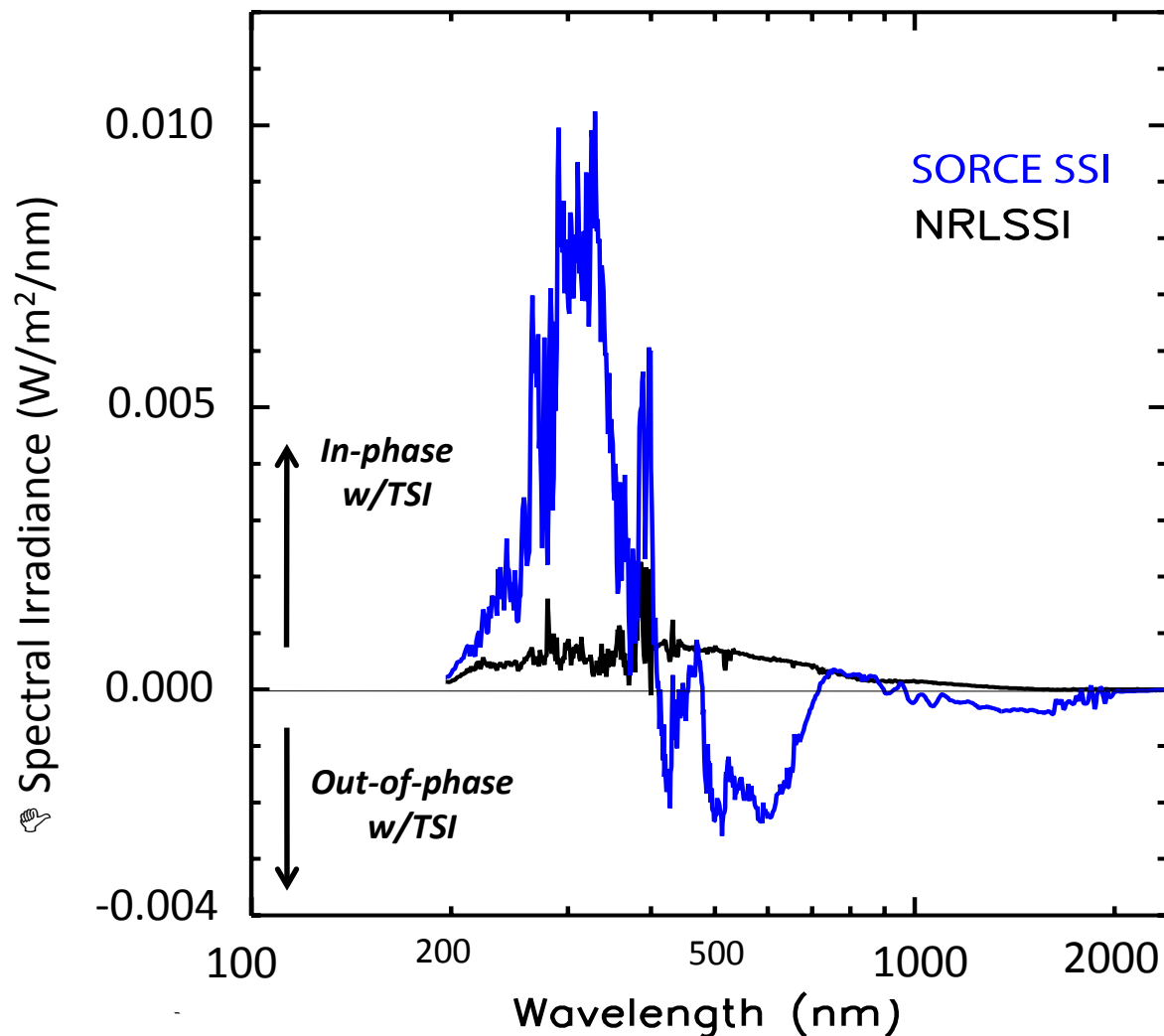


**TSIS SIM**



# Observed “Long-term” SSI Variability

*Spectral Irradiance difference between Active in mid 2004 and Quiet in late 2007*



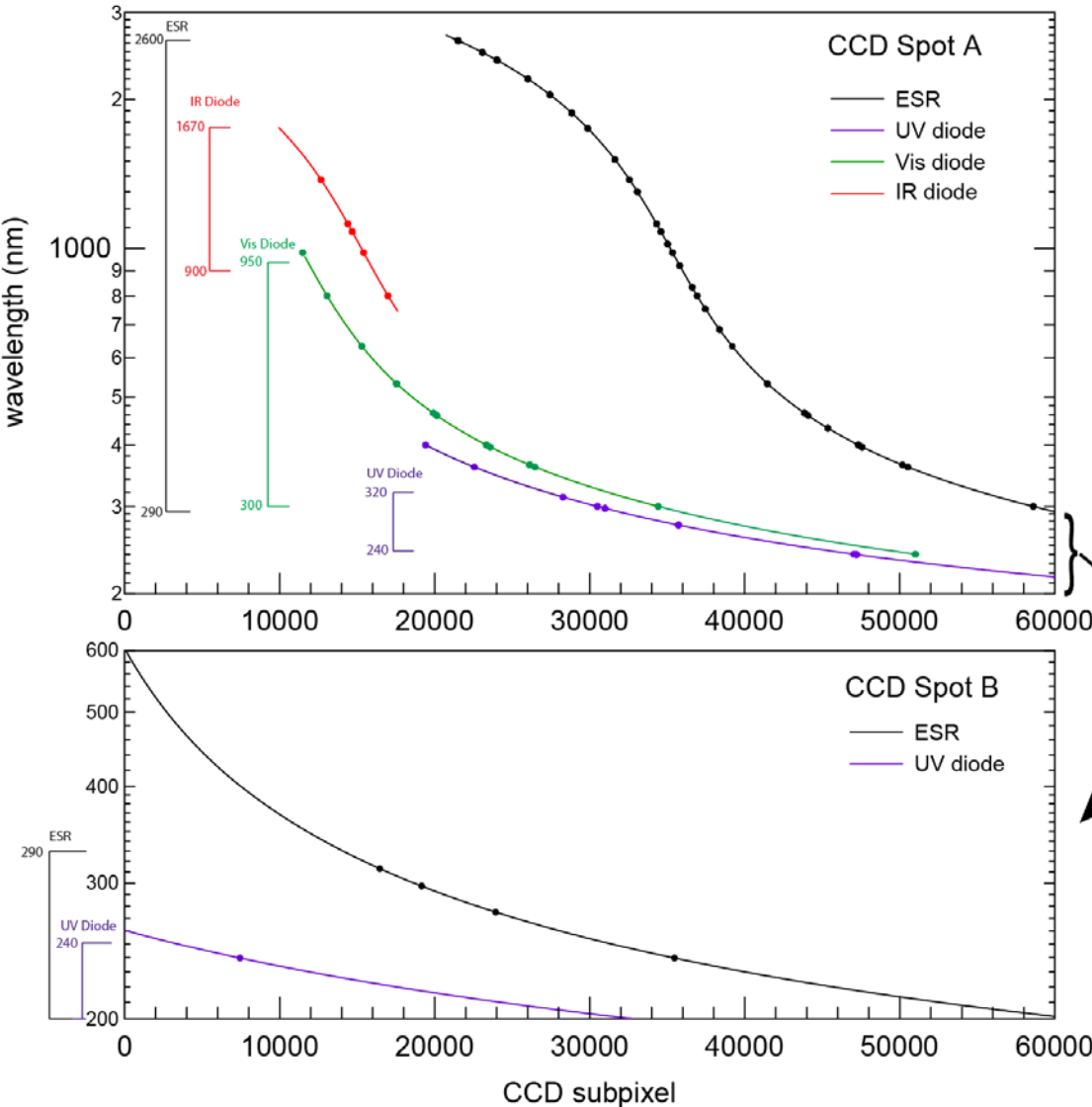
*Large differences are observed between measured and modeled SSI*

- Far more UV variability is observed (200-350 nm) than is predicted.*
- Strong influences on upper atm. O<sub>3</sub> loss/production*
- Observed SSI variability in the visible is out-of-phase with TSI (model follows TSI).*
- Dominates spectral influence since SSI maximizes in visible*

*SORCE SIM long-term trend uncertainties preclude adequate determination of Solar Cycle SSI variability*



# Prism angle to wavelength mapping



## Wavelength Calibration

Model Refinement:

Fractional difference,

$$\left( \frac{\lambda_{\text{model}}}{\lambda_{\text{meas}}} - 1 \right),$$

between measured and modeled wavelength (238-2600 nm, both s- & p-polarizations):

## Global results

On-axis:

$$D_{\text{avg}} = 80 \text{ ppm}$$

$$1-\sigma_{\text{unc}} = 335 \text{ ppm}$$

Full FOV

(thru  $\pm 20$  arc-min in dispersion.):

$$D_{\text{avg}} = 315 \text{ ppm}$$

$$1-\sigma_{\text{unc}} = 547 \text{ ppm}$$

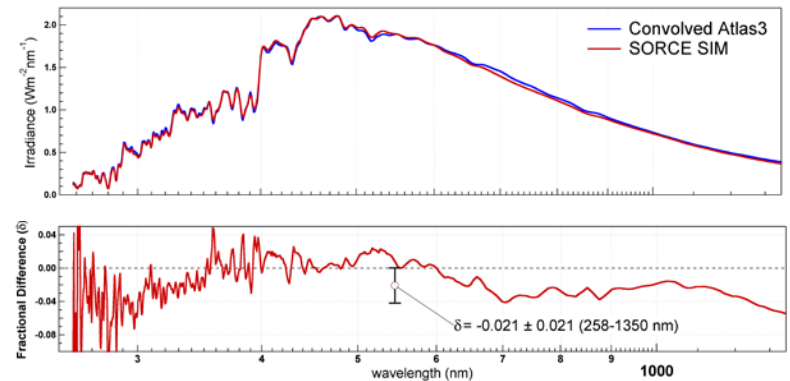


# Establishing sensor tie to SI units

## Three approaches that can be adopted

- *Transfer the calibration from known “standard” instrument (1-3% unc.)*
- *Measure instrument response against an “irradiance standard” (~1% unc.)*
- *Characterize the instrument as an “absolute sensor” (<1% unc.)*
  - *Characterize each term in the measurement eqn. model (may be a unit-level calibrations or calculations based on known uncertainties)*
  - *Establish uncertainty budget: tabulate list of all individual uncertainties and propagate – (random)*
  - *Validate end-to-end performance – (systematic)*

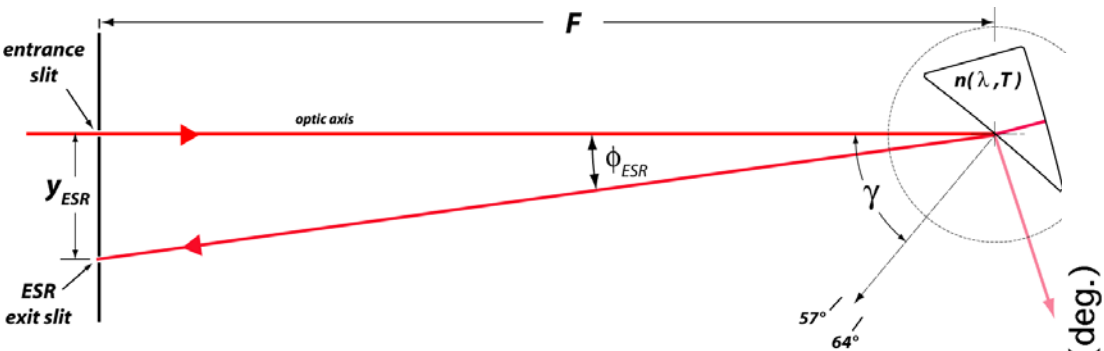
SORCE SIM and Atlas 3 Comparison (258-1350 nm)



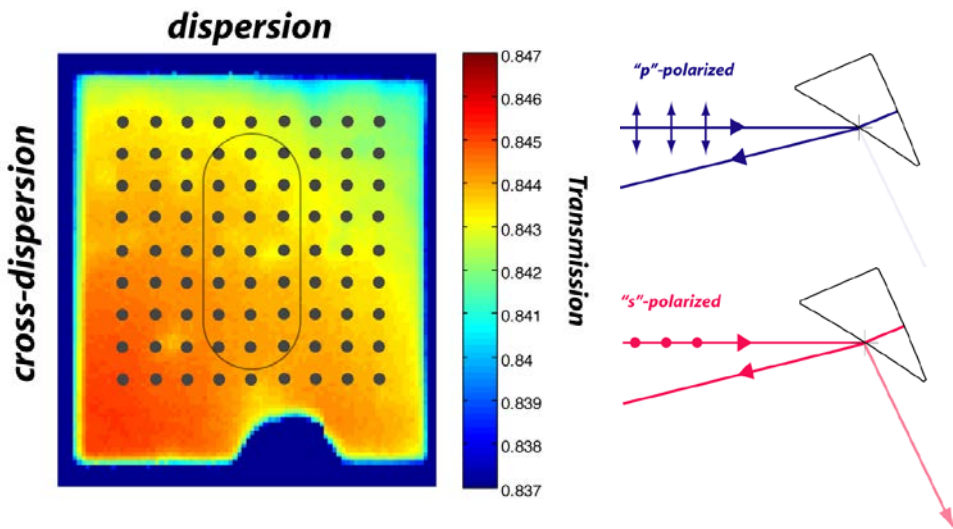


# Full Spatial & Spectral Transmission Mapping

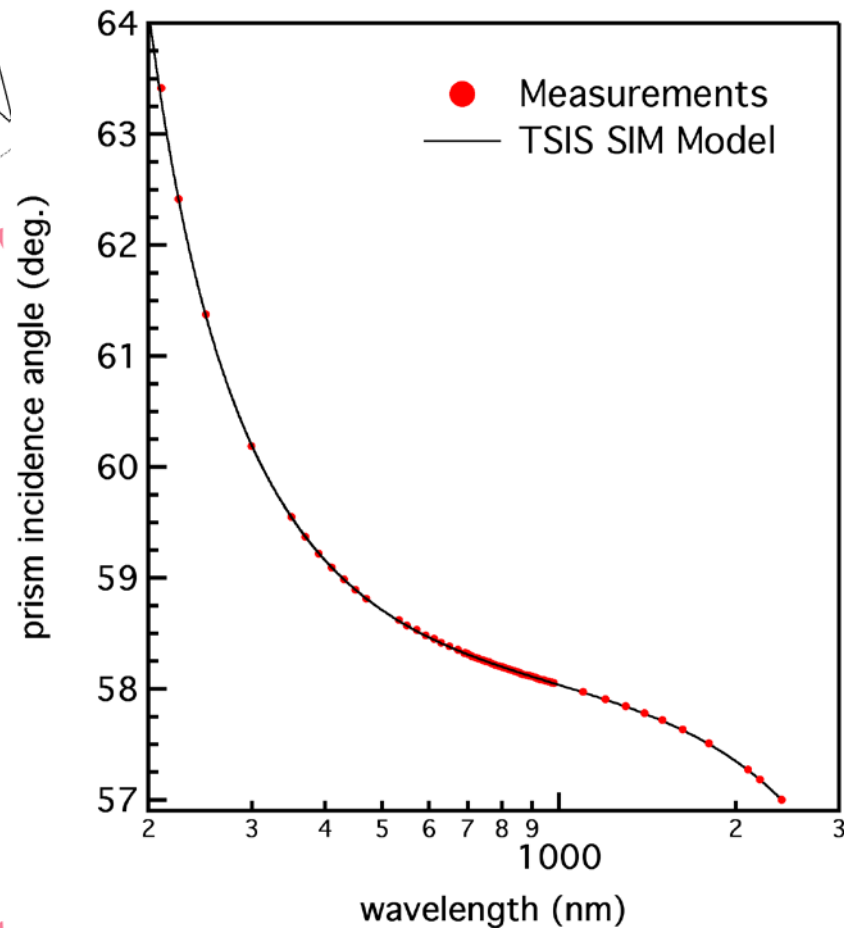
Prism measurement geometry is for ESR optical path  
 Stabilized SIRCUS lasers cover 210 – 2400 nm range



Transmission measured over 10 x 10 grid for both  
 s and p-polarizations



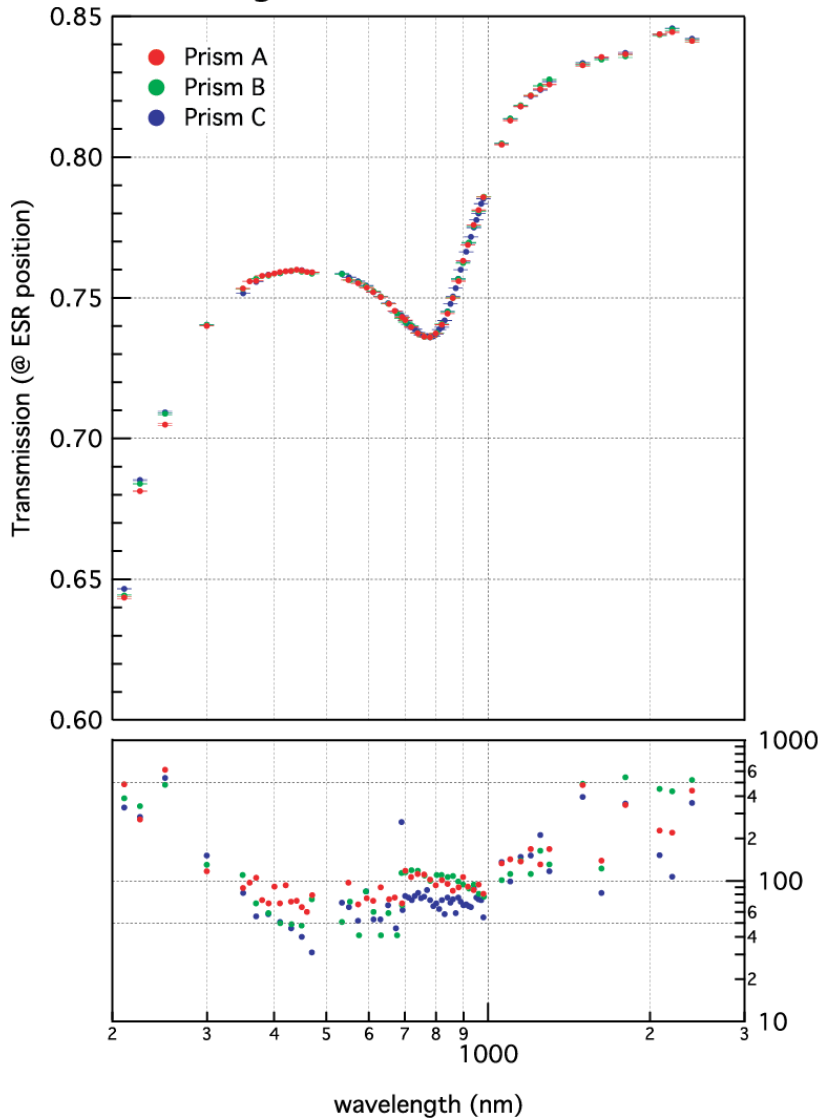
Refraction vs. wavelength  
 (Suprasil 3001 fused silica)





# Prism Transmission

**Average Prism Transmission (ESR)**



**Internal Al Reflectivity (inc. bulk loss)**

