

5-1974

Comparison of Transition Matrices Between Metropolitan and Non-metropolitan Areas in the State of Utah Using Juvenile Court Data

Sung -ik Song

Follow this and additional works at: <https://digitalcommons.usu.edu/etd>



Part of the [Applied Statistics Commons](#)

Recommended Citation

Song, Sung -ik, "Comparison of Transition Matrices Between Metropolitan and Non-metropolitan Areas in the State of Utah Using Juvenile Court Data" (1974). *All Graduate Theses and Dissertations*. 2214.
<https://digitalcommons.usu.edu/etd/2214>

This Thesis is brought to you for free and open access by the Graduate Studies at DigitalCommons@USU. It has been accepted for inclusion in All Graduate Theses and Dissertations by an authorized administrator of DigitalCommons@USU. For more information, please contact digitalcommons@usu.edu.



COMPARISON OF TRANSITION MATRICES BETWEEN METROPOLITAN
AND NON-METROPOLITAN AREAS IN THE STATE OF UTAH
USING JUVENILE COURT DATA

by

Sung-Ik Song

A thesis submitted in partial fulfillment
of the requirements for the degree

of

MASTER OF SCIENCE

in

Applied Statistics

378.2
378
5058
0.2

ACKNOWLEDGEMENTS

I am greatly indebted to Dr. David White, my major professor, for his extensive efforts in the initial organization and continuous direction and guidance.

My sincere appreciation is also extended to other committee members, Dr. Donald V. Sisson and Dr. Yun Kim, for their suggestions and assistance.

Also my appreciation is expressed to my parents, for their patience, encouragement and support to the fulfillment of this thesis.

Sung-Ik Song
Sung-Ik Song

TABLE OF CONTENTS

	Page
ACKNOWLEDGEMENTS	ii
LIST OF TABLES	v
ABSTRACT	vi
CHAPTER I. INTRODUCTION AND THESIS GOALS	
Review of Literature on use of Stochastic Models in the Social Sciences	1
Work done by the Utah State Juvenile Court	4
Thesis Goals	4
Thesis Outline	5
CHAPTER II. DEFINITION OF STANDARD METROPOLITAN STATISTICAL AREA	
Population Criteria	7
Criteria of Metropolitan Character	7
Criteria of Integration	8
CHAPTER III. STATISTICAL BACKGROUND	
Definition of a Markov Chain	10
The Chain Order	12
Test for the Significance of Difference Among Several Transition Matrices	13
CHAPTER IV. METHODOLOGY	
Nature of the Data	15
Construction of Case Histories for Each Person Referred to the Court	17
Test for the Significance of the Difference among Several Transition Matrices	19
CHAPTER V. ANALYSIS	
Analysis	21
CHAPTER VI. SUMMARY AND CONCLUSION	
Summary	42
Conclusion	45
BIBLIOGRAPHY	46
APPENDICES	
Appendix A. Source Program for Constructing Case Histories .	48

TABLE OF CONTENTS (Continued)

	Page
Appendix B. Source Program to Test for the Difference among Several Transition Matrices	52
Appendix C. The Output List of Transition Probability Matrices for the Six States and One Month Time Interval	55
Appendix D. Master Coding Guide	77
VITA	85

LIST OF TABLES

Table	Page
1. Description of information on the referral tape of Utah State Juvenile Court	16
2. Chi-square values for six states with one month time interval (metropolitan areas)	22
3. Chi-square values for six states with one month time interval (non-metropolitan areas)	22
4. Chi-square values for testing the homogeneity between metropolitan and non-metropolitan transition matrices	23
5. Probability that someone was in state i(metropolitan areas)	24
6. Probability that someone was in state i(non-metropolitan areas)	24
7. Transition matrix for the first order chain(metropolitan areas)	25
8. Transition matrix for the first order chain(non-metropolitan areas)	26
9. Conditional probability of referral	27
10. Conditional probability of referral	28
11. Transition matrix for the second order chain(metropolitan areas)	29
12. Transition matrix for the second order chain(non-metropolitan areas)	30
13. Transition matrix for the third order chain(metropolitan areas)	33
14. Transition matrix for the third order chain(non-metropolitan areas)	34
15. The probability of being referred in next 6 months given case history ijk	37
16. Conditional probability of referral given on probation for 1, 2, 3 or 4 ⁺ months	40

ABSTRACT

Comparison of Transition Matrices between Metropolitan
and Non-metropolitan areas in the State of Utah
Using Juvenile Court Data

by

Sung-Ik Song, Master of Science
Utah State University, 1974

Major Professor: Dr. David White
Department: Applied Statistics and Computer Science

The purpose of this paper is to use Markov Chains for the study of youths referred to the juvenile court in the metropolitan and non-metropolitan areas of the state of Utah.

Two computer programs were written for creating case histories for each person referred to the court and for testing for the significance of the difference among several transition matrices.

Another computer program, which was written by Soo Hong Uh, was used for analysing realizations of a Markov chains up to the 4th order; a third computer program, originally written by David White, was used for interpreting **Markov chains**.

The paper is divided into six chapters: intorduction and thesis goals, definition of SMSA (Standard Metropolitan Statistical Area), statistical background, methodology, analysis and summary and conclusions.

(91 pages)

CHAPTER I

INTRODUCTION AND THESIS GOALS

This study is a part of a larger study dealing with juvenile court data. The major purposes of this study are: 1) to determine how delinquent youths can be identified early, and 2) to develop methods by which to evaluate juvenile court policies. In this study, the case histories from the juvenile court can be considered as Markov chains.

This study is a part of a series of projects being carried out by Utah State University under contract with Utah State Juvenile Court to evaluate the effectiveness of court policies.

The knowledge of recent case histories of youth referred to the court are of genuine value in identifying those who are likely to be in trouble in the immediate future by analyzing those Markov chains. The quick feed-back to the intake officer of these case histories seems to be of considerable value.

Under certain circumstances, a person can be subjected to several different policies without any system or logical order. For example, a boy may have his probation officer assignment changed several times. In such cases, it will be in the interest of the court to know which probation officer or policy is most effective to a particular type of child.

Review of Literature on Use of Stochastic Modelsin the Social Sciences

A stochastic process is one which develops in time according to probabilistic laws. This means that we cannot predict its future behavior with certainty. The most we can do is to attach probabilities to the various possible future states. Most of the applications of the

ideas of quantitative analysis were already familiar. The uncertainties associated with human behavior compel analytically oriented social and behavioral scientists to appeal to mathematical models based on probability theory and stochastic processes. However, progress has been much slower in the social sciences, one reason being the lack of persons qualified in both mathematics and the social sciences. A more fundamental reason is that many of the basic problems of measurement in the social sciences remain unsolved and so constitute a barrier to the application of sophisticated mathematical techniques. In spite of these difficulties, there have been some notable achievements. For example, in psychology Bush and Mosteller¹ have developed stochastic learning models. More recently, Steindhl² has demonstrated the possibilities for stochastic analysis in the field of economics. Coleman,³ in his Introduction to Mathematical Sociology, has laid the foundations for the stochastic treatment of many parts of sociological theory. At a more elementary level the book by Kemeny and Snell⁴ introduces undergraduates to the quantitative study of the social sciences.

In formulating and analyzing stochastic models, we make much use of the theory of Markov chains in discrete time. In analysing juvenile

¹Bush, R. R. and Mosteller, C. F., Stochastic Models for Learning, (New York: John Wiley & Sons Ltd., 1955).

²Steindhl, J., Random Processes and the Growth of Firms, (London: Griffin, 1965).

³Coleman, J. S., Introduction to Mathematical Sociology, (Englewood Cliffs: Prentice-Hall, 1964).

⁴Kemeny, J. G. and Snell, L., Mathematical Models in the Social Sciences, (Boston: Ginn and Co., 1962).

court data, Markov chains are a good tool because we usually consider the discrete parameter space, say one month or one week time interval, in dealing with court data.

Some applications of Markov processes in social sciences can be found in the following references. These are: "Finite Markov Process in Psychology" by B. A. Miller,⁵ "A further Note on 'Finite Markov Process in Psychology'" by L. A. Goodman,⁶ "Note on Miller's 'Finite Markov Process in Psychology'" by Richard C. W. Kao.⁷ More recently, Kemeny and Snell applied Markov processes in learning theory,⁸ Kintsch and Morris introduced "Application of a Markov Model to free Recall and Recognition"⁹ and Greeno and Steiner wrote several articles such as 'Markovian Process with Identifiable States: General Considerations and Application to all-or-none Learning',¹⁰ the article about comments and revision on their previous paper mentioned earlier,¹¹ and 'An Analysis of Some Conditions for Representing N States Markov

⁵Miller, G. A., "Finite Markov Process in Psychology", Psychometrika, Vol. 17(1952), pp. 149-167.

⁶Goodman, L. A., "A Further Note on 'Finite Markov Process in Psychology'", Psychometrika, Vol. 18, No. 3(1953), pp. 245-248.

⁷Kao, Richard C. W., 'Note on Miller's 'Finite Markov Processes in Psychology'', Psychometrika, Vol. 18, No. 3(1953), pp. 241-243.

⁸Kemeny, J. G. and Snell, J. L., 'Markov Processes in Learning Theory', Psychometrika, Vol. 22(1957), pp. 221-230.

⁹Kintsch, W. and Morris, C. J., "Application of a Markov Model to free Recall and Recognition", Journal of Experimental Psychology, Vol. 69(1965), pp. 200-206.

¹⁰Greeno, J. G. and Steiner, T. E., 'Markovian Process with identifiable states: General considerations and Application to all-or-none Learning', Psychometrika, Vol. 29(1964), pp. 309-333.

¹¹Greeno, J. G. and Steiner, T. E., "Comments on 'Markovian Process with identifiable states: General Considerations and Application to all-or-none Learning'", Psychometrika, Vol. 33(1968), pp. 169-172.

Processes as General all-or-none Model."¹²

Work done by the Utah State Juvenile Court

The studies, limited to District II (Salt Lake County), and having been made so far are as follows:

1. A computer program has been written with a single array orientation for analyzing realizations of Markov chains to the 9th order within machine limitations.¹³
2. The chain order has been obtained when a time interval of one month is assumed.

Thesis Goals

The objectives of this study are to use Markov chains for the study of youths referred to the juvenile court, and specifically:

- 1) To write a computer program for creating case histories for each person referred to the court.
- 2) To write a computer program to test for the significance of the difference among several transition matrices. This is actually a preliminary goal for goal 5. If it turns out that the transition matrices of metropolitan and non-metropolitan areas are not significantly different, there is no reason to compare those two areas in detail.
- 3) To determine the chain order which is significant for the data of the juvenile court when a one month time interval is assumed.

¹²Greeno, J. G. and T. E. Steiner, "An Analysis of Some Conditions for representing N states Markov Processes as General all-or-none Model, Psychometrika, Vol. 34(1969), pp. 461-487.

¹³Uh, S. H., Analysis of Case Histories by Markov Chains Using Juvenile Court Data of State of Utah, Utah State Univ., 1973, pp. 17-23.

4) To examine whether there is any difference between metropolitan and non-metropolitan areas by comparing transition matrices.

5) Using these results, we are interested in knowing whether there are differences between urban and rural youth in the following problems:

- a) Are there differences between rural and urban youth in crime rate in general, non-drug offense and drug offense?
- b) Do drug-type referrals increase the probability of later referrals?
- c) Do drug-type referrals increase crime in general or are people referred for drug offenses just more likely to commit a drug offense?
- d) Can we identify youth who are likely to be back to the court?
- e) Does probation help in reducing recidivism rates in youth?
- f) Does probation help more with drug offenses or with non-drug offenses?

Thesis Outline

Following the introductory chapter, Chapter II is the definition of SMSA (Standard Metropolitan Statistical Area). Chapter III is a review of statistical background. Chapter IV is concerned with the methodology. The nature of the data and the method of procedure is discussed in this chapter. The data are analyzed in Chapter V. Chapter VI is a summary and a conclusion. Appendices are: (A) a computer program for constructing case histories, (B) a computer program to test for the significance of the difference among several transition matrices, (C) the output list of transition probability matrices for the six states and one month time interval, (D) Master Coding Guide which contains the list of codes which have been stored on the Utah State Juvenile Court Referral Tape.

CHAPTER II

DEFINITION OF STANDARD METROPOLITAN STATISTICAL AREA (SMSA)

For many types of analysis, the entire area in and around a city, in which the activities form an integrated economic and social system, needs to be considered as a unit.

The SMSA classification provides a distinction between metropolitan and non-metropolitan areas by type of residence, supplementing the older rural-urban, farm-nonfarm distinctions. Further, SMSA's take into account places of industrial concentration (labor demand) and of population concentration (labor supply). So the SMSA has been used extensively by numerous government agencies as a standard area for data gathering, analysis, and publication of statistics.

In addition, the data used in this study are recorded on magnetic tape by district (each district consists of several counties) and by county. Since SMSA boundaries coincide with the county boundaries, it is very convenient to split the state using SMSA and non-SMSA classifications. The following definition and criteria for an SMSA is quoted from the Bureau of the Census (1972).¹⁴

The definition of an individual SMSA involves two considerations: First, a city or cities of specified population to constitute the central city and to identify the county in which it is located as the central county; and second, economic and social relationships with contiguous counties which are metropolitan in character, so that the periphery of the specific

¹⁴ County and City Data Book, (Social and Economic Statistics Administration, Bureau of the Census, 1972), pp. xxi-xxii.

metropolitan area may be determined. SMSA's may cross state lines.

Population Criteria

The criteria for population relate to a city or cities of specified size according to the 1970 Census of Population.

1. Each standard metropolitan statistical area must include at least:
 - a. One city with 50,000 inhabitants or more, or
 - b. Two cities having contiguous boundaries and constituting, for general economic and social purposes, a single community with a combined population of at least 50,000, the smaller of which must have a population of at least 15,000.
2. If two or more adjacent counties each have a city of 50,000 inhabitants or more (or twin cities under 1b above) and the cities are within 20 miles of each other (city limits to city limits), they are to be included in the same area unless there is definite evidence that the two cities are not economically and socially integrated.

Criteria of Metropolitan Character

The criteria of metropolitan character relate primarily to the attributes of the county as a place of work or as a home for a concentration of non-agricultural workers. Specifically, these criteria are:

3. At least 75 percent of the labor force of the county must be in the non-agricultural labor force. Non-agricultural labor force is defined as those employed in non-agricultural occupations, those experienced unemployed whose last occupation was a non-agricultural occupation, members of the Armed Forces, and new workers.
4. In addition to criterion 3, the county must meet at least one of

the following conditions:

- a. It must have 50 percent or more of its population living in contiguous minor civil divisions with a density of at least 150 persons per square mile, in an unbroken chain of minor civil divisions with such density radiating from a central city in the area. A contiguous minor civil division either adjoins a central city in a SMSA or adjoins an intermediate minor civil division of qualifying population density.
- b. The number of nonagricultural workers employed in the county must equal at least 10 percent of the number of nonagricultural workers employed in the county containing the largest city in the area, or be the place of employment of 10,000 nonagricultural workers.
- c. The nonagricultural labor force living in the county must equal at least 10 percent of the number in the nonagricultural labor force living in the county containing the largest city in the area, or be the place of residence of a nonagricultural labor force of 10,000.

Criteria of Integration

The criteria of integration relate primarily to the extent of economic and social communication between the outlying counties and central county.

6. A county is regarded as integrated with the county or counties containing the central cities of the area if either of the following criteria is met.
 - a. 15 percent of the workers living in the county work in the county or counties containing central cities of the area, or
 - b. 25 percent of those working in the county live in the county or counties containing central cities of the area.

According to the definition and criteria mentioned above, there are three SMSA's in Utah, that is, Ogden SMSA, Salt Lake City SMSA and Provo-Orem SMSA. Ogden SMSA includes Weber County, Provo-Orem SMSA includes Utah County and Salt Lake City SMSA consists of Davis and Salt Lake County. They are contiguous counties. The rest 25 counties are considered as non-metropolitan area.

According to the 1970 Census, the population inside SMSA's is 821,689 or 77.6 percent of the total population of State of Utah,¹⁵ while the area is only 4.45 percent of the total area of the state.¹⁶

¹⁵ 1970 Census of Population, Vol. 1, Part 46, Utah, (Social and Economic Statistics Administration, Bureau of the Census, 1973, p. 19.

¹⁶ Ibid, p. 14.

CHAPTER III

STATISTICAL BACKGROUND

Definition of a Markov Chain

"Stochastic processes occurring in most real-life situations are such that for a discrete set of parameters $t_1, t_2, \dots, t_n \in T$, the random variables $X(t_1), X(t_2), \dots, X(t_n)$ exhibit some sort of dependence. This is called Markov-dependence, which may be defined as follows: consider a finite set of points $(t_0, t_1, \dots, t_n, t)$, $t_0 < t_1 < t_2 < \dots < t_n < t$ and $t, t_r \in T (r=0, 1, \dots, n)$ where T is the parameter space of the process $\{X(t)\}$. The dependence exhibited by the process $\{X(t), t \in T\}$ is called Markov-dependence if the conditional distribution of $X(t)$ for given values of $X(t_1), X(t_2), \dots, X(t_n)$ depends only on $X(t_n)$ which is the most recent known value of the process, i.e., if

$$\begin{aligned} P[X(t) \leq x | X(t_n) = x_n, X(t_{n-1}) = x_{n-1}, \dots, X(t_0) = x_0] \\ = P[X(t) \leq x | X(t_n) = x_n] \end{aligned} \quad (3.1)$$

The stochastic process exhibiting this property is called a Markov process.

Next, let t_0 and t_1 be two points in T such that $t_0 \leq t_1$. Then we may define the conditional transition distribution function as

$$F(x_0, x_1; t_0, t_1) = P[X(t_1) \leq x_1 | X(t_0) = x_0] \quad (3.2)$$

When the stochastic process has discrete parameter and state spaces, we may define the transition probabilities as

$$P_{ij}^{(m,n)} = P(X_n = j | X_m = i), \quad n \geq m \quad (3.3)$$

A stochastic process $\{X(t), t \in T\}$ is said to be time-homogeneous if the transition distribution function given by (3.2) depends only on the difference $t_1 - t_0$ instead of on t_0 and t_1 . Then we have

$$F(x_0, x; t_0, t_0+t) = F(x_0, x; 0, t) \quad (3.4)$$

for $t_0 < T$. For convenience we can write (3.4) as $F(x_0, x; t)$. The corresponding expression for the process $X_n, n=0, 1, 2, \dots$ would then be $P_{ij}(n)$.

Whenever the parameter space is discrete and the process is time-homogeneous, we shall call such processes Markov chains.¹⁷

The Chain Order

By a chain of order r is meant a chain in which the dependence extends over $r+1$ consecutive time intervals only. For such a chain there will therefore be $r+1$ subscripts in the transition probability $P_{ij\dots kl}$.¹⁸

For example, for the first order chain,

let $n_i(t)$ be the number of people in state i at time t ,

$n_{ij}(t)$ be the number of people in state i at time $t-1$, state j at time t , and let $n_{ij} = \sum_{t=1}^T n_{ij}(t)$, $n_i = \sum_{t=1}^T n_i(t-1)$,

then $P_{ij} = n_{ij}/n_i$. Further, $P_{ijk} = P_{jk}$, that is, the probability that a person will enter state k given case history ij is unchanged if we omit knowledge of state i .

For the r th order chain,

let $n_{ij\dots kl}(t)$ be the number of people with case history $ij\dots k$ who are in state i at time $t-r$, j at time $t-r+1$, ..., k at time $t-1$, l at time t ,

and let $n_{ij\dots kl} = \sum_{t=1}^T n_{ij\dots kl}(t)$, $n_{ij\dots k} = \sum_{t=1}^T n_{ij\dots k}(t-1)$,

then $P_{ij\dots kl} = n_{ij\dots kl}/n_{ij\dots k}$. Further, $P_{ij\dots km} = P_{j\dots km}$, that is, the probability that a person will enter state m given case history $ij\dots k$

¹⁷Bhat, U. Narayan, Elements of Applied Stochastic Processes, (New York: John Wiley & Sons, Inc., 1972), pp. II-13.

¹⁸Hoel, P. G., "A Test for Markov Chains", Biometrika, Vol. 41(1954), p. 430.

is unchanged if we omit the knowledge of state i.¹⁹

Test for the Significance of Difference Among
Several Transition Matrices

If we have several sets of realizations of a Markov chain of order 1, we can test the null hypotheses that the sets are homogeneous, that is, whether they come from the same (but unspecified) matrix of transition probabilities.

If there are s populations of a Markov chain of order 1 with m states, the null hypothesis is that $P_h(E_j|E_i)$ is the same for all $h(h=1,2,\dots,s)$ for all i,j , where $i,j=1,2,\dots,m$. The appropriate information statistic for the test is as follows:

We are given

$$P_{ij}^{(h)} = n_{ij}^{(h)} / n_i^{(h)} \text{ from population } h, h=1,2,\dots,s \text{ and } i,j=1,2,\dots,m.$$

Let

$$\begin{aligned} P_{ij}^{(.)} &= \sum_{h=1}^s n_i^{(h)} P_{ij}^{(h)} / \sum_{h=1}^s n_i^{(h)} \\ &= \sum_{h=1}^s n_{ij}^{(h)} / n_i^{(.)} \end{aligned}$$

Then,

$$2 \sum_{h=1}^s \sum_{i=1}^m \sum_{j=1}^m n_{ij}^{(h)} \ln [P_{ij}^{(h)} / P_{ij}^{(.)}] \sim \chi^2_{m(s-1)(m-1)}$$

Results similar to those given above may be derived for testing hypotheses concerning Markov chains of higher order than 1.

Let's consider a kth order chain.

We are given

$$P_{ij\dots kl}^{(h)} = n_{ij\dots kl}^{(h)} / n_{ij\dots k}^{(h)} \text{ from population } h, h=1,2,\dots,s \text{ and } i,j,\dots,k,l=1,2,\dots,m.$$

¹⁹Anderson and Goodman, op. cit., pp. 99-102.

We wish to test the null hypothesis that $P_{ij...k\ell}^{(h)} = P_{ij...k\ell}$ for $h=1, 2, \dots, s$, where $P_{ij...k\ell}$ is unspecified.

Let

$$\begin{aligned} P_{ij...k\ell}^{(.)} &= \sum_{h=1}^s n_{ij...k\ell}^{(h)} P_{ij...k\ell}^{(h)} / \sum_{h=1}^s n_{ij...k\ell}^{(h)} \\ &= \sum_{h=1}^s n_{ij...k\ell}^{(h)} / n_{ij...k\ell}^{(.)} \end{aligned}$$

Then,

$$2 \sum_{h=1}^s \sum_{i=1}^m \sum_{j=1}^m \dots \sum_{k=1}^m \sum_{\ell=1}^m n_{ij...k\ell}^{(h)} \ln [P_{ij...k\ell}^{(h)} / P_{ij...k\ell}^{(.)}] \sim \chi^2_{m^k(s-1)(m-1)}$$

We can express this in simpler form.

Let t correspond to $ij...k$.

We are given

$$P_{ij...k\ell}^{(h)} = P_{t\ell}^{(h)} = n_{t\ell}^{(h)} / n_t^{(h)} \text{ where } t=1, 2, \dots, m^k \text{ and } = 1, 2, \dots, m.$$

Let

$$\begin{aligned} P_{t\ell}^{(.)} &= \sum_{h=1}^s n_{t\ell}^{(h)} P_t^{(h)} / \sum_{h=1}^s n_t^{(h)} \\ &= \sum_{h=1}^s n_{t\ell}^{(h)} / n_t^{(.)} \end{aligned}$$

Then,

$$2 \sum_{h=1}^s \sum_{t=1}^{m^k} \sum_{\ell=1}^m n_{t\ell}^{(h)} \ln [P_{t\ell}^{(h)} / P_{t\ell}^{(.)}] \sim \chi^2_{m^k(s-1)(m-1)}.^{20}$$

This material is the basis for the program described in goal 2, page 4, and written to obtain the chi-square tests described above.

²⁰Kullback, S., Kupperman, M., Ku, H. H., Technometrics, Vol. 4, No. 4(1962), pp. 602-607;
Anderson, T. W. and Goodman, L. A., The Annals of Mathematical Statistics, Vol. 28, No. 1(1957), p. 103.

CHAPTER IV

METHODOLOGY

Nature of the Data

The data used in this study were collected by the Juvenile Court of the State of Utah and all information collected has been recorded on magnetic tape and stored in the State Computer Center in Salt Lake City. A copy of a magnetic tape containing records of referrals to the court (without identification) through April of 1973 was used for this study.

Description of the information on the Utah
State Juvenile Court referral tape

This tape is collection of records consisting of case histories for juveniles referred to the court. Each case history consists of a consecutive set of records pertaining to one person. The first contains permanent information about a juvenile, such as his birth date and race, but omitting identifying information such as his name, address, names of parents, etc. Each subsequent record in the case history gives the circumstances for one instance in which the person was referred to the court, including the date when the person was referred, the name of the offense, disposition of the case, etc. The tape was created from more comprehensive records kept by the court, and includes only information which was thought to be of statistical value.

The records are each 80 bytes in length (similar to a standard IBM card) and are in blocks of 90 records (7200 bytes per block).

The preliminary record in each case history is identified by a "0" in column 1; this will be referred to as a type "0" record. All subsequent records in the case history--instances when the person was referred to the court--

have "1" in column one (referred to as a type "1" record). A brief description of the contents of each of these records appears in Table 1. The information on these records is in code, for the most part; translation of these code is given in an 'Master Coding Guide' in Appendix D.

Table 1. Description of information on the referral tape of Utah State Juvenile Court

	Column Data Field Begins in	Length of Data Field	Type of Data	Description of Data
Record Type 0 *	1	1	N	"0"
	2	6	N	Case number
	8	6	N	Social number
	14	1	A	Sex (m-male, f-female)
	15	6	N	Birthdate mmddyy
	21	2	N	County code
	23	3	N	Area code
	26	1	AN	Print control indicator
	27	12	AN	Status indicator codes
	39	36		Unused
Record Type 1 *	75	6		Unused (used for sequencing print tape)
	1	1	N	"1"
	2	6	N	Case number
	8	6	N	Referral date mmddyy
	14	1	AN	Referred by code
	15	12	N	Four 3-digit offense codes
	27	3	N	Detension code
	30	3	N	Severity code
	33	2	AN	Probation officer code
	35	13	AN	Twelve social factor codes are given in these positions
*	48	6	N	Closure date mmddyy
	54	9	N	Three 3-digit closure codes
	63	11		Optional information codes
	74	1	AN	Referral status indicator
	75	6		Unused (used to sequence print tape)

Note: a data field is a sequence of consecutive columns containing some kind of information. Information marked with an asterisk is not described in this report.

It should be pointed out that a referral may be for more than one offense; space on record type 1 is provided for up to four offense codes for a single referral to the court, using the three-digit offense code. In the same vein there is space for three codes indicating the action taken by the court, in case more than one code is required to describe that action.

Construction of Case Histories For Each Person

Referred to the Court

The sequence of events for a youth referred to the court is an actual case history. Such a sequence of referrals can be expressed in terms of "states" in which the youth finds himself during any given month. These states can be defined in any way we choose.

We can then translate a case history into a string of digits which represents the different states we define. Such strings are the "case histories" which can be analyzed by the methods described in this study. If certain assumptions to be described below are satisfied, such a string is called a "realization" of a Markov chain.

A six-state chain is defined for this study:

- State 1: Not referred to the court during the month and not on probation.
- State 2: Not referred to the court during the month and on probation.
- State 3: Referred to the court for one or more offenses, none of which involves drugs during the month and not on probation.
- State 4: Referred to the court for one or more offenses, none of which involves drugs during the month and on probation.
- State 5: Referred to the court for one or more offenses, at least one of which involves drugs during the month and not on probation.
- State 6: Referred to the court for one or more offenses, at least one of which involves drugs during the month and on probation.

For the purpose of analysis, realizations were obtained for all case histories which were at least fifty months long, counting from the time of the first referral to the creation date of the tape, or 18th birthday, which-

ever was first.

The computer program was written to accept the Utah State Juvenile Court Referral Tape as input. The required length of each string was 50 digits and the length of each time interval was 30 days.

The program reads the type "0" record to get the personal information of a youth such as birthdate and county code. Then it reads all subsequent type "1" records and saves them in the appropriate arrays to obtain all referral and disposition information for the same person such as referral date, offense code, closure code etc. Then it computes the length from the first referral date to his 18th birthdate. Next, it computes the length of time from the first referral date to tape creation date (April, 1973). If both lengths are long enough, i.e. at least 50 months, these records are used for constructing the string. Otherwise, this youth's records are ignored and another person's records are read.

At the next step, the program calculates the lengths between the first referral date and ith referral date ($i=1, 2, \dots, k$). Now we are ready to construct a case history.

In the program, the storage position PROB is used for one's probation status. If PROB is 1, he is not on probation, if it is 2, he is on probation at some time. Then it picks up the first referral record from the array and test whether its closure code is probation or not. If it is a probation code, then set PROB equal to 2. This probation status is continued until he is released from the probation. Therefore, if he is on probation now, then test whether probation is released or not. If it is released, then set PROB equal to 1: that means he is not on probation now. Next, it tests whether a youth is referred for a drug offense or not. Then the program tests whether he is referred for a non-offense or not. Suppose a youth is referred to the court

for improper care due to faults or habits of parents, then we should not treat this referral as his offense. If a youth is referred to the court more than once during the month, then the higher number is recorded in the string. SAVE is used for this purpose. That is, if he has more than one referral during the same time interval, the digit just created is saved in SAVE, and compared with the digit created next. The lower digit is replaced by the higher one. After the program creates digits for the first referral record, it picks up the next referral record from the array and creates more digits. This routine is continued until the number of digits equals 50. If it creates one complete realization, i.e. a string with 50 digits, test whether county belongs to metropolitan area or not, and write it on the appropriate tape. Therefore, we have two tapes of case histories, one with case histories of metropolitan areas, the other with those of non-metropolitan areas.

We continue this routine for all data on Referral Tape.

Test for the Significance of the Difference among
Several Transition Matrices

This program is based on the theory provided by S. Kullback, et al. mentioned in chapter III.

We are given state=1,2,...,m; order=r from s population. We should be provided with s different tapes each of which contains the list of each transition matrix to be compared. We can obtain these tapes when we run the program for stepwise testing of the order of a Markov chain written by Soo Hong Uh.²¹ These tapes are used for data inputs.

²¹Uh, S. H., op. cit., pp. 17-23.

The program reads all tapes of the transition matrices until CODE is the desired order. The value of CODE is the order of the transition probability matrix to be read in. Now the chi-square statistic is ready to be calculated. The program reads the transition probability matrix one row at a time and creates a transition count matrix since we have only transition probability matrices. If the matrix for one group is read, the program reads the next transition probability matrix and creates another transition count matrix. Now we have corresponding transition count matrices along with the transition probability matrices. These two kinds of matrices are what we need for calculating the chi-square value for testing the null hypothesis that the realizations are homogeneous. Then the program computes a chi-square value according to the formula in chapter III.

This program is good for any number of states, any order chain and any number of populations by initializing the proper value in the DATA statement.

CHAPTER V

ANALYSIS

The computer program to construct case histories mentioned in Chapter IV was run for all five districts of Utah using the Utah State Juvenile Court Referral tape as input. 9,414 case histories were created from the total of 79,444 youths referred to the court for the period from 1968 through 1973. Among these strings, 7,940 strings belong to metropolitan area and 1,474 strings belong to non-metropolitan area.

Deleted strings included following cases:

- (1) Either the length from the first referral date to his 18th birth-date or the length from the first referral date to tape creation date is less than 1470 days, which is long enough for creating 50-month long case histories.
- (2) Records arranged not in chronological order.
- (3) Wrong data, such as a number representing a certain month being less than or equal to 0 or greater than 12, etc.

With these case histories, transition probability matrices up to 4th order were obtained with the program already written by Soo Hong Uh.

Now, let's discuss about the thesis goals.

The first goal was to write a computer program for creating case histories for each person referred to the court. The second goal was to write a computer program to test for the significance of the difference among several transition matrices. These two goals were already discussed in chapter IV.

The third goal was to determine the chain order which is significant for the data of the juvenile court when a one month time interval is assumed.

Let's look at the following tables. These tables show the chi-square values for testing of the order of Markov chain.

Table 2. Chi-square values for six states with one month time interval (metropolitan areas)

	$H_0 : H_A$	Chi-square	Degrees of freedom
Chain order	1 vs. 2	1760.344	150
	2 vs. 3	1439.770	900 *
	3 vs. 4	1490.071	5400

Table 3. Chi-square values for six states with one month time interval (non-metropolitan areas)

	$H_0 : H_A$	Chi-square	Degrees of freedom
Chain order	1 vs. 2	231.216	150 *
	2 vs. 3	212.393	900
	3 vs. 4	232.070	5400

The chi-square value of 1439.77 with degrees of freedom 900 in metropolitan areas and 231.22 with degrees of freedom 150 turned out to be highly significant. This means that the third order in metropolitan areas and the second order in non-metropolitan areas are the best fit for the data. In other words, 3 months and 2 months past court history are useful in prediction of the next state to be occupied by a youth in metropolitan and non-metropolitan areas respectively.

The fourth goal was to examine whether there is any difference between

metropolitan and non-metropolitan areas by comparing transition matrices.

A computer program was written under the theory developed by Anderson and Goodman(1957) for this purpose. A source program is shown in Appendix B. Owing to this program, we obtained the following chi-square values along with its degrees of freedom.

Table 4. Chi-square values for testing the homogeneity between metropolitan and non-metropolitan transition matrices

Chain order	Chi-square	Degrees of freedom	Number of empty rows	
			Metropolitan	Non-metro.
1	396.86	30 *	0	0
2	400.35	180 *	2	7
3	452.44	1080	77	133

Table shows chi-square values 396.86 with 30 degrees of freedom and 400.35 with 180 degrees of freedom are highly significant. Hence we can conclude that the transition matrices for the first order and the second order are different while the test for the difference between third order matrices was not significant. However, the reason for this is probably due to the number of empty rows. There are 77 empty rows in metropolitan areas and 133 empty rows in non-metropolitan areas in the third order transition matrix. The empty rows reduce the degrees of freedom although exact formula for this case have not developed so far. If the degrees of freedom are reduced a lot, then the test for the difference between third order matrices can be significant.

The fifth goal was to examine whether there are differences between urban and rural youth in the following problems using these results described above.

a) We examine whether there are differences between rural and urban youth in crime rate in general, non-drug offense and drug offense.

Let's look at the following tables.

Table 5. Probability that someone was in state i(metropolitan areas)

	State					
	Not ref. not prob.	Not ref. probation	Non-drug not prob.	Non-drug probation	Drug off. not prob.	Drug off. probation
Probability	.908	.061	.016	.010	.003	.002

Table 6. Probability that someone was in state i(non-metropolitan areas)

	State					
	Not ref. not prob.	Not ref. probation	Non-drug not prob.	Non-drug probation	Drug off. not prob.	Drug off. probation
Probability	.933	.051	.008	.005	.002	.001

These two tables show the following facts:

- (1) Probability that a youth is referred to the court is .031 in metropolitan areas while .016 in non-metropolitan areas. This means that for those already referred to the court, the crime rate in the urban areas is about twice higher than that of the rural areas.
- (2) The probability of referral for a non-drug offense is .026 in metropolitan areas, .013 in non-metropolitan areas. The probability of

referral for a drug offense is .005 in metropolitan areas and .003 in non-metropolitan areas. These show that the crime rates in non-drug offenses and drug offenses are about twice higher in the urban areas than in the rural areas.

(3) The probability that a youth is on probation is .073 in metropolitan areas while .057 in non-metropolitan areas. This might be the difference of the judges. If this is not so, we can say that youths in the city commit more serious offense than youths in the rural areas since probation is usually executed for more serious offense. The probability that someone was in state 4 or 6(referred to the court and on probation) is .012 in metropolitan areas while .006 in non-metropolitan areas shows that a higher percentage are referred while on probation in metropolitan areas than in non-metropolitan areas.

b) We examine whether drug-type referrals increase the probability of later referrals. Prior to examine this, we need the transition probability matrices.

Table 7. Transition matrix for the first order chain(metropolitan areas)

State left	State entered						Total (Count)
	1	2	3	4	5	6	
1	.979	.000	.015	.002	.003	.000	345878
2	.026	.865	.002	.089	.000	.017	23398
3	.860	.000	.106	.013	.017	.004	6105
4	.014	.746	.003	.208	.000	.030	3949
5	.847	.003	.091	.011	.042	.006	1047
6	.013	.696	.000	.195	.000	.096	743

Table 8. Transition matrix for the first order chain (non-metropolitan areas)

State left	State entered						Total (Count)
	1	2	3	4	5	6	
1	.988	.000	.008	.001	.002	.000	66004
2	.034	.904	.001	.048	.000	.012	3602
3	.904	.000	.061	.015	.017	.003	594
4	.009	.812	.000	.149	.000	.030	329
5	.880	.007	.099	.000	.014	.000	142
6	.012	.815	.000	.111	.000	.062	81

Now, let's look at the probabilities that a youth who committed a drug offense referred to the court again for any offense and a youth who committed a non-drug offense referred to the court again for any offense, where "any offense is meant either a drug or non-drug offense. That is, we want to find the following conditional probabilities.

These are:

$$P(\text{any offense} | \text{drug offense}) = P(3 \text{ or } 4 \text{ or } 5 \text{ or } 6 | 5 \text{ or } 6) \text{ and}$$

$$P(\text{any offense} | \text{non-drug offense}) = P(3 \text{ or } 4 \text{ or } 5 \text{ or } 6 | 3 \text{ or } 4).$$

Let's consider following probability.

$P(A \text{ or } B | C \text{ or } D) = P(A \cup B | C \cup D) = P(A | C \cup D) + P(B | C \cup D)$, where A, B, C, D are mutually independent.

$$P(A | C \cup D) = P[A \cap (C \cup D)] / P(C \cup D) \quad \text{by definition}$$

$$= P[(A \cap C) \cup (A \cap D)] / [P(C) + P(D)]$$

$$= P(A/C)P(C) + P(A/D)P(D) / [P(C) + P(D)] \quad \text{by theorem.}$$

In the same way,

$$P(3 \text{ or } 4 \text{ or } 5 \text{ or } 6 | 5 \text{ or } 6)$$

$$= P(3 | 5 \text{ or } 6) + P(4 | 5 \text{ or } 6) + P(5 | 5 \text{ or } 6) + P(6 | 5 \text{ or } 6)$$

$$= [P(3|5)P(5) + P(3|6)P(6) + P(4|5)P(5) + P(4|6)P(6) + P(5|5)P(5) + P(5|6)P(6) \\ + P(6|5)P(5) + P(6|6)P(6)] / [P(5) + P(6)]$$

$$= (P_{53}n_5 + P_{63}n_6 + P_{54}n_5 + P_{64}n_6 + P_{55}n_5 + P_{65}n_6 + P_{56}n_5 + P_{66}n_6) / (n_5 + n_6)$$

$= [(P_{53} + P_{54} + P_{55} + P_{56})n_5 + (P_{63} + P_{64} + P_{65} + P_{66})n_6] / (n_5 + n_6)$, where n_i is the number of cases leaving state i ,

and $P(3 \text{ or } 4 \text{ or } 5 \text{ or } 6|3 \text{ or } 4)$

$$= [(P_{33} + P_{34} + P_{35} + P_{36})n_3 + (P_{43} + P_{44} + P_{45} + P_{46})n_4] / (n_3 + n_4).$$

By the formula above, we obtain the following values.

Table 9. Conditional probability of referral

Month		Probability	
		Metro.	Non-metro.
t - 1	t		
Drug offense	Any offense	.2085	.1348
Non-drug offense	Any offense	.1797	.1256

Table 9 shows us that a youth who is referred to the court for a drug offense is more likely to be referred to the court again for any offense than a youth who is referred to the court for a non-drug offense. Here again, the referral probabilities are higher in metropolitan areas than in non-metropolitan areas.

c) We want to examine whether drug-type referrals increase crime in general or whether people referred for drug offenses are just more likely to commit a drug offense. We want to find the following probabilities this time.

$$P(\text{non-drug offense}|\text{drug offense})$$

$$= P(3 \text{ or } 4|5 \text{ or } 6)$$

$$= [(P_{53} + P_{54})n_5 + (P_{63} + P_{64})n_6] / (n_5 + n_6)$$

$P(\text{non-drug offense} | \text{non-drug offense})$

$$= P(3 \text{ or } 4 | 3 \text{ or } 4)$$

$$= [(P_{33} + P_{34})n_3 + (P_{43} + P_{44})n_4] / (n_3 + n_4)$$

$P(\text{drug offense} | \text{drug offense})$

$$= P(5 \text{ or } 6 | 5 \text{ or } 6)$$

$$= [(P_{55} + P_{56})n_5 + (P_{65} + P_{66})n_6] / (n_5 + n_6)$$

$P(\text{drug offense} | \text{non-drug offense})$

$$= P(5 \text{ or } 6 | 3 \text{ or } 4)$$

$$= [(P_{35} + P_{36})n_3 + (P_{45} + P_{46})n_4] / (n_3 + n_4)$$

Finally, we got the values in the following table.

Table 10. Conditional probability of referral

Preceding month	Current month			
	Metropolitan		Non-metropolitan	
	Drug off.	Non-drug	Drug off.	Non-drug
Drug offense	.0679	.1406	.0314	.1034
Non-drug offense	.0245	.1551	.0236	.1020

Table 10 shows that a youth on drugs is less prone to commit a non-drug offense, but more likely to commit a drug offense in metropolitan areas. However, in case of non-metropolitan areas, a youth on drugs is more likely to commit both non-drug offense and drug offense.

Hence, we can say that a youth on drugs is just more likely to commit a drug offense in metropolitan areas while drug-type referrals increase crime in general in non-metropolitan areas.

Before examining the next goal, we are going to look at the second order transition matrices.

Table 11. Transition matrix for the second order chain(metropolitan area)

State left	State entered						Total (Count)
	1	2	3	4	5	6	
11	.981	.000	.014	.002	.002	.000	341840
12	.000	.826	.000	.130	.000	.043	23
13	.870	.000	.098	.013	.015	.004	5114
14	.004	.741	.000	.229	.000	.026	795
15	.854	.002	.084	.011	.044	.004	890
16	.015	.674	.000	.212	.000	.098	132
21	.939	.000	.038	.010	.008	.005	604
22	.030	.877	.002	.077	.000	.015	19759
23	.762	.000	.167	.024	.048	.000	42
24	.020	.768	.004	.183	.000	.025	2032
25	.857	.000	.143	.000	.000	.000	7
26	.015	.727	.000	.189	.000	.069	392
31	.895	.000	.074	.015	.012	.003	5133
32	.000	.500	.000	.500	.000	.000	2
33	.792	.002	.168	.014	.019	.005	625
34	.000	.692	.000	.269	.000	.038	78
35	.811	.011	.158	.000	.021	.000	95
36	.000	.545	.000	.273	.000	.182	22
41	.887	.000	.057	.019	.019	.019	53
42	.009	.798	.002	.165	.000	.025	2876
43	.727	.000	.273	.000	.000	.000	11
44	.007	.708	.002	.248	.000	.034	802
45	1.000	.000	.000	.000	.000	.000	1
46	.009	.658	.000	.228	.000	.105	114
51	.876	.000	.071	.013	.036	.005	863
52	.000	.667	.000	.333	.000	.000	3
53	.835	.000	.099	.000	.066	.000	91
54	.000	.750	.000	.167	.000	.083	12
55	.805	.000	.049	.024	.073	.049	41
56	.167	.500	.000	.000	.000	.333	6
61	1.000	.000	.000	.000	.000	.000	10
62	.014	.766	.002	.154	.002	.062	501
63	.000	.000	.000	.000	.000	.000	0
64	.028	.681	.007	.184	.000	.099	141
65	.000	.000	.000	.000	.000	.000	0
66	.000	.657	.000	.157	.000	.186	70

Table 12. Transition matrix for the second order chain (non-metropolitan areas)

State left	State entered						Total (Count)
	1	2	3	4	5	6	
11	.989	.000	.008	.001	.002	.000	63879
12	.000	1.000	.000	.000	.000	.000	6
13	.901	.000	.064	.012	.019	.004	515
14	.010	.876	.000	.093	.000	.021	97
15	.898	.000	.087	.000	.016	.000	127
16	.000	.909	.000	.045	.000	.045	22
21	.958	.000	.033	.008	.000	.000	120
22	.037	.908	.001	.042	.000	.010	3186
23	1.000	.000	.000	.000	.000	.000	4
24	.012	.810	.000	.149	.000	.030	168
25	1.000	.000	.000	.000	.000	.000	1
26	.026	.821	.000	.103	.000	.051	39
31	.919	.000	.056	.006	.017	.002	521
32	.000	.000	.000	.000	.000	.000	0
33	.889	.000	.056	.056	.000	.000	36
34	.000	.778	.000	.222	.000	.000	9
35	.778	.000	.222	.000	.000	.000	9
36	.000	.500	.000	.500	.000	.000	2
41	1.000	.000	.000	.000	.000	.000	3
42	.011	.864	.000	.095	.000	.030	264
43	.000	.000	.000	.000	.000	.000	0
44	.000	.778	.000	.178	.000	.044	45
45	.000	.000	.000	.000	.000	.000	0
46	.000	.600	.000	.200	.000	.200	10
51	.902	.000	.041	.008	.041	.008	122
52	1.000	.000	.000	.000	.000	.000	1
53	1.000	.000	.000	.000	.000	.000	13
54	.000	.000	.000	.000	.000	.000	0
55	.500	.500	.000	.000	.000	.000	2
56	.000	.000	.000	.000	.000	.000	0
61	1.000	.000	.000	.000	.000	.000	1
62	.000	.828	.000	.125	.000	.047	64
63	.000	.000	.000	.000	.000	.000	0
64	.000	.286	.000	.571	.000	.143	7
65	.000	.000	.000	.000	.000	.000	0
66	.000	.800	.000	.200	.000	.000	5

First, let's consider 0 count rows.

0 rows in metropolitan area is states 63 and 65. Frequency of these states is also 0 in non-metropolitan area. There is no case moving from the state that a youth referred for a drug offense and on probation to the state of being referred for a any offense and not on probation at the next month. This shows us that the probation status was never released in the next month when a youth on probation was referred for a drug offense.

In addition to the states mentioned above, 0 rows in non-metropolitan areas are as follows: from state 3 to state 2, from state 4 to state 3, from state 4 to state 5 and from state 5 to state 6. These states have considerably low frequency, almost negligible, in the metropolitan area, that is, frequency of 2, 11, 1, 12 and 6 respectively.

It is quite natural that there is no, or considerably low frequency from state 3 to state 2 since if a youth is referred to the court and not on probation and he is not referred to the court in next month, then he can hardly be put on probation. Considering moving from state 4 to state 3 or state 5, when a youth is referred to the court and on probation and he is referred again in the next month, then there is no reason to release him from probation. Hence, people don't move from state 4 to state 3 or 5. When moving from state 5 to state 6, a youth is referred to the court for an drug offense and not on probation, and then referred again for a drug offense and rarely put on probation. This means there is no serious offense involving drug. In case of 6 observations in metropolitan areas, we may interprete this fact that this is due to the other serious offense which change his probation status, but was replaced by the drug offense.

The other low frequency rows in both metropolitan and non-metropolitan

areas are as follows. The number in parentheses represent the frequency counts in metropolitan and non-metropolitan areas respectively. From state 1 to state 2(23,6), from state 2 to state 3(42,4), from state 2 to state 5(7,1), from state 3 to state 6(22,2), from state 4 to state 1(53,3), from state 5 to state 2(3,1), from state 5 to state 5(41,2) and from state 6 to state 1(10,1).

Next, let's look at the high frequency rows. The first five highest frequency rows in both metropolitan and non-metropolitan areas are the rows from state 1 to state 1, from state 2 to state 2, from state 3 to state 1, from state 1 to state 3 and from state 4 to state 2. The next five highest frequency rows are: the rows from state 2 to state 4, from state 1 to state 5, from state 5 to state 1, from state 4 to state 4 and state 1 to state 4. Let's think about the reason of high frequencies in these rows.

Let's look at the table 5 and 6 on page 24 again.

Those tables show us that a youth is not referred to the court for the most part of time, that is, .969 in metropolitan areas and .984 in non-metropolitan areas. This means most of states are 1's and 2's. This fact explains the reason why the frequencies of the rows from state 1 to state 1 and from state 2 to state 2 are the highest. We can also find the reason why the frequencies of the rows from state 3 to state 1 and from state 1 to state 3 are the next highest from the same fact. The reason for high frequency of the rows from state 4 to state 2 and from state 2 to state 4 is the same; however, these frequencies are lower than those of the rows 31 and 13 because a youth is off probation for a higher percentage of time than he is on probation. In the same vein, the frequency of row 22 is lower than that of row 11.

Note that the frequency of these high frequency rows takes the most

part of total frequency, that is, 99.2 percent and 99.5 percent of the total frequency in metropolitan and non-metropolitan areas respectively.

Now, let's return to our goals. Next goal is to examine whether we can identify youth who are likely to be back to the court. In order to do this, we are going to find out which youth with the high frequency case history is most likely to return to the court in the next 6 months. The reason for choosing high frequency case histories is because the case history involved most people is more important.

Let's look at the third order transition matrices and find out the high frequency rows.

Table 13. Transition matrix for the third order chain (metropolitan areas)

Table 13. Continued

State left	State entered						Total (Count)
	1	2	3	4	5	6	
146	.000	.762	.000	.190	.000	.048	21
151	.883	.000	.069	.008	.035	.005	741
152	.000	1.000	.000	.000	.000	.000	2
153	.845	.000	.099	.000	.056	.000	71
154	.000	.800	.000	.100	.000	.100	10
155	.784	.000	.054	.027	.081	.054	37
156	.250	.500	.000	.000	.000	.250	4
.
.
.
661	.000	.000	.000	.000	.000	.000	0
662	.022	.761	.000	.130	.022	.065	46
663	.000	.000	.000	.000	.000	.000	0
664	.000	.800	.000	.100	.000	.100	10
665	.000	.000	.000	.000	.000	.000	0
666	.000	.692	.000	.154	.000	.154	13

Table 14. Transition matrix for the third order chain (non-metropolitan areas)

State left	State entered						Total (Count)
	1	2	3	4	5	6	
111	.989	.000	.007	.001	.002	.000	61843
112	.000	1.000	.000	.000	.000	.000	6
113	.911	.000	.057	.013	.017	.002	470
114	.011	.889	.000	.078	.000	.022	90
115	.902	.000	.080	.000	.018	.000	112
116	.000	.900	.000	.050	.000	.050	20
121	.000	.000	.000	.000	.000	.000	0
122	.000	.833	.000	.167	.000	.000	6
123	.000	.000	.000	.000	.000	.000	0
124	.000	.000	.000	.000	.000	.000	0
125	.000	.000	.000	.000	.000	.000	0
126	.000	.000	.000	.000	.000	.000	0
131	.924	.000	.053	.004	.016	.002	450
132	.000	.000	.000	.000	.000	.000	0
133	.879	.000	.061	.061	.000	.000	33
134	.000	.833	.000	.167	.000	.000	6

Table 14. Continued

State left	State entered						Total (Count)
	1	2	3	4	5	6	
135	.778	.000	.222	.000	.000	.000	9
136	.000	.500	.000	.500	.000	.000	2
141	1.000	.000	.000	.000	.000	.000	1
142	.000	.857	.000	.095	.000	.048	84
143	.000	.000	.000	.000	.000	.000	0
144	.000	.889	.000	.000	.000	.111	9
145	.000	.000	.000	.000	.000	.000	0
146	.000	1.000	.000	.000	.000	.000	2
.
.
.
661	.000	.000	.000	.000	.000	.000	0
662	.000	1.000	.000	.000	.000	.000	4
663	.000	.000	.000	.000	.000	.000	0
664	.000	.000	.000	1.000	.000	.000	1
665	.000	.000	.000	.000	.000	.000	0
666	.000	.000	.000	.000	.000	.000	0

Now, we have lots of rows with no observations. In general, the more states we have and the higher the order is, the more 0 frequency rows we have. We are interested in referral probabilities with high reliability (i.e., high frequency rows).

The rows with frequency over 800 are 111, 222, 113, 311, 131, 422 and 242 in metropolitan areas. The rows with frequency of over 150 in non-metropolitan areas, which is about same proportion as 800 in metropolitan areas, are 111, 222, 113, 311, 131 and 422. The next high frequency rows in metropolitan areas are 115, 151, 511, 114, 142, 221, 211 and 442. These rows have the frequency of over 540. The rows with frequency of over 100 in non-metropolitan areas are 242, 224, 211, 115, 151 and 511. Common rows in both areas are 115, 151, 511 and 211. The row 242 belongs to first high

frequency group(over 800) in metropolitan areas. The rows 114, 142, 221 and 442 belong to high frequency group only for metropolitan areas while the row 224 belongs to high frequency group only for non-metropolitan areas.

d) Let's examine which youth with the high frequency case history is most likely to return to the court in the next 6 months. We can consider this probability in two cases. First case is that the court does not put him on probation and second case is that the court put him on probation during the next 6 months period.

Let's consider the first case.

$P(a \text{ youth is referred in the next 6 months given the court does not put him on probation during the period and case history } ijk)$

$$= 1 - P[111111 | (1 \cup 3 \cup 5) \cap ijk]$$

$$= 1 - [P_{ijk1} / (P_{ijk1} + P_{ijk3} + P_{ijk5})] [P_{jk11} / (P_{jk11} + P_{jk13} + P_{jk15})] [P_{k111} / (P_{k111} + P_{k113} + P_{k115})] [P_{1111} / (P_{1111} + P_{1113} + P_{1115})]^3.$$

The second case is:

$P(a \text{ youth is referred in the next 6 months given the court put him on probation during the period and case history } ijk)$

$$= 1 - P[222222 | (2 \cup 4 \cup 6) \cap ijk]$$

$$= 1 - [P_{ijk2} / (P_{ijk2} + P_{ijk4} + P_{ijk6})] [P_{jk22} / (P_{jk22} + P_{jk24} + P_{jk26})] [P_{k222} / (P_{k222} + P_{k224} + P_{k226})] [P_{2222} / (P_{2222} + P_{2224} + P_{2226})]^3.$$

A computer program for this calculation was originally written by David White and modified by Kim Andriano, of the USU Statistics Department. Owing to his program, I obtained following results.

Table 15. The probability of being referred in next 6 months given case history ijk

Case History	Probability				Sample size	
	Metropolitan		Non-metropolitan		Metro.	Non-metro.
	Off prob.	On prob.	Off prob.	On prob.		
111	.1001	.9904	.0617	.9761	318817	61843
222	.2085	.5087	.1371	.4106	16898	2834
113	.3074	.0000	.2260	.0000	4538	470
311	.1541	.9571	.0984	.9217	4456	467
131	.2211	.9842	.1663	.0000	4349	450
422	.2953	.5460	.0982	.4356	2251	223
242	.2783	.5834	.0576	.4513	1516	134

Table shows that case histories 113, 422 and 242 have high probabilities that a youth is referred in the next 6 months when the court does not put him on probation during the period and case histories 111, 131 and 311 have high probabilities when the court put him on probation during the period in metropolitan areas. In non-metropolitan areas, case histories 113 and 131 have the high probabilities when he is not put on probation and case histories 111 and 311 have high probabilities when he is put on probation during the period.

e) We want to examine whether probation help for reducing recidivism rates in youth. And f) if this is so, we will test that probation helps more with drug offenses or with non-drug offenses. In order to examine these, we should calculate the conditional probabilities of referral given on probation for 1 month, for 2 months, for 3 months and for 4 months, so on. We need the fourth order transition probability matrices to calculate these probabilities. Look at the following tables.

Now, we should find the following conditional probabilities.

$P(\text{not referred} | \text{on probation for 1 month})$

$$= P(1 \text{ or } 2 | 1112 \text{ or } 1114 \text{ or } 1116 \text{ or } 1132 \text{ or } 1134 \text{ or } 1136 \text{ or } 1152 \text{ or } 1154 \\ \text{or } 1156 \text{ or } 1312 \text{ or } 1314 \text{ or } 1316 \text{ or } 1332 \text{ or } 1334 \text{ or } 1336 \text{ or } 1352 \text{ or } \\ 1354 \text{ or } 1356 \text{ or } 1512 \text{ or } 1514 \text{ or } 1516 \text{ or } 1532 \text{ or } 1534 \text{ or } 1536 \text{ or } \\ 1552 \text{ or } 1554 \text{ or } 1556 \text{ or } 3112 \text{ or } 3114 \text{ or } \dots \text{ or } 5112 \text{ or } \dots \text{ or } 5556)$$

$$= P(1 | 1112 \text{ or } 1114 \text{ or } \dots \text{ or } 5556) + P(2 | 1112 \text{ or } 1114 \text{ or } \dots \text{ or } 5556)$$

$$= (P_{11121}n_{1112} + P_{11141}n_{1114} + \dots + P_{55561}n_{5556}) / (n_{1112} + n_{1114} + \dots + n_{5556}) \\ + (P_{11122}n_{1112} + P_{11142}n_{1114} + \dots + P_{55562}n_{5556}) / (n_{1112} + n_{1114} + \dots + n_{5556}) \\ = [(P_{11121} + P_{11122})n_{1112} + (P_{11141} + P_{11142})n_{1114} + \dots + (P_{55561} + P_{55562})n_{5556}] \\ / (n_{1112} + n_{1114} + \dots + n_{5556})$$

$P(\text{not referred} | \text{on probation for 2 months})$

$$= P(1 \text{ or } 2 | 1122 \text{ or } 1124 \text{ or } 1126 \text{ or } 1142 \text{ or } 1144 \text{ or } 1146 \text{ or } 1162 \text{ or } 1164 \\ \text{or } 1166 \text{ or } 1322 \text{ or } 1324 \text{ or } 1326 \text{ or } 1342 \text{ or } 1344 \text{ or } 1346 \text{ or } 1362 \text{ or } \\ 1364 \text{ or } 1366 \text{ or } 1522 \text{ or } 1524 \text{ or } 1526 \text{ or } 1542 \text{ or } 1544 \text{ or } 1546 \text{ or } \\ 1562 \text{ or } 1564 \text{ or } 1566 \text{ or } 3122 \text{ or } 3124 \text{ or } \dots \text{ or } 5122 \text{ or } \dots \text{ or } 5566)$$

$$= [(P_{11221} + P_{11222})n_{1122} + (P_{11241} + P_{11242})n_{1124} + \dots + (P_{55661} + P_{55662})n_{5566}] \\ / (n_{1122} + n_{1124} + \dots + n_{5566})$$

$P(\text{not referred} | \text{on probation for 3 months})$

$$= P(1 \text{ or } 2 | 1222 \text{ or } 1224 \text{ or } 1226 \text{ or } 1242 \text{ or } 1244 \text{ or } 1246 \text{ or } 1262 \text{ or } 1264 \\ \text{or } 1266 \text{ or } 1422 \text{ or } 1424 \text{ or } 1426 \text{ or } 1442 \text{ or } 1444 \text{ or } 1446 \text{ or } 1462 \text{ or } \\ 1464 \text{ or } 1466 \text{ or } 1622 \text{ or } 1624 \text{ or } 1626 \text{ or } 1642 \text{ or } 1644 \text{ or } 1646 \text{ or } \\ 1662 \text{ or } 1664 \text{ or } 1666 \text{ or } 3222 \text{ or } 3224 \text{ or } \dots \text{ or } 5222 \text{ or } \dots \text{ or } 5666)$$

$$= [(P_{12221} + P_{12222})n_{1222} + (P_{12241} + P_{12242})n_{1224} + \dots + (P_{56661} + P_{56662})n_{5666}] \\ / (n_{1222} + n_{1224} + \dots + n_{5666})$$

$P(\text{not referred} | \text{on probation for 4 or more months})$

$$= P(1 \text{ or } 2 | 2222 \text{ or } 2224 \text{ or } 2226 \text{ or } 2242 \text{ or } 2244 \text{ or } 2246 \text{ or } 2262 \text{ or } 2264$$

or 2266 or 2422 or 2424 or 2426 or 2442 or 2444 or 2446 or 2462 or
 2464 or 2466 or 2622 or 2624 or 2626 or 2642 or 2644 or 2646 or
 2662 or 2664 or 2666 or 4222 or 4224 or ... or 6222 or ... or 6666)

$$= [(P_{22221} + P_{22222})n_{2222} + (P_{22241} + P_{22242})n_{2224} + \dots + (P_{66661} + P_{66662})n_{6666}] \\ / (n_{2222} + n_{2224} + \dots + n_{6666})$$

Next, we will find the probabilities about non-drug offense.

$P(\text{non-drug offense} | \text{on probation for 1 month})$

$$= P(3 \text{ or } 4 | 1112 \text{ or } 1114 \text{ or } \dots \text{ or } 5556)$$

$$= [(P_{11123} + P_{11124})n_{1112} + (P_{11143} + P_{11144})n_{1114} + \dots + (P_{55563} + P_{55564})n_{5556}] \\ / (n_{1112} + n_{1114} + \dots + n_{5556})$$

$P(\text{non-drug offense} | \text{on probation for 2 months})$

$$= P(3 \text{ or } 4 | 1122 \text{ or } 1124 \text{ or } \dots \text{ or } 5566)$$

$$= [(P_{11223} + P_{11224})n_{1122} + (P_{11243} + P_{11244})n_{1124} + \dots + (P_{55663} + P_{55664})n_{5566}] \\ / (n_{1122} + n_{1124} + \dots + n_{5566})$$

$P(\text{non-drug offense} | \text{on probation for 3 months})$

$$= P(3 \text{ or } 4 | 1222 \text{ or } 1224 \text{ or } \dots \text{ or } 5666)$$

$$= [(P_{12223} + P_{12224})n_{1222} + (P_{12243} + P_{12244})n_{1224} + \dots + (P_{56663} + P_{56664})n_{5666}] \\ / (n_{1222} + n_{1224} + \dots + n_{5666})$$

$P(\text{non-drug offense} | \text{on probation for 4 or more months})$

$$= P(3 \text{ or } 4 | 2222 \text{ or } 2224 \text{ or } \dots \text{ or } 6666)$$

$$= [(P_{22223} + P_{22224})n_{2222} + (P_{22243} + P_{22244})n_{2224} + \dots + (P_{66663} + P_{66664})n_{6666}] \\ / (n_{2222} + n_{2224} + \dots + n_{6666})$$

Next, we will find the probabilities about drug offense.

$P(\text{drug offense} | \text{on probation for 1 month})$

$$= P(5 \text{ or } 6 | 1112 \text{ or } 1114 \text{ or } \dots \text{ or } 5556)$$

$$= [(P_{11125} + P_{11126})n_{1112} + (P_{11145} + P_{11146})n_{1114} + \dots + (P_{55565} + P_{55566})n_{5556}] \\ / (n_{1112} + n_{1114} + \dots + n_{5556})$$

$P(\text{drug offense} | \text{on probation for 2 months})$

$$= P(5 \text{ or } 6 | 1122 \text{ or } 1124 \text{ or } \dots \text{ or } 5566)$$

$$= [(P_{11225} + P_{11226})n_{1122} + (P_{11245} + P_{11246})n_{1124} + \dots + (P_{55665} + P_{55666})n_{5566}] \\ / (n_{1122} + n_{1124} + \dots + n_{5566})$$

$P(\text{drug offense} | \text{on probation for 3 months})$

$$= P(5 \text{ or } 6 | 1222 \text{ or } 1224 \text{ or } \dots \text{ or } 5666)$$

$$= [(P_{12225} + P_{12226})n_{1222} + (P_{12245} + P_{12246})n_{1224} + \dots + (P_{56665} + P_{56666})n_{5666}] \\ / (n_{1222} + n_{1224} + \dots + n_{5666})$$

$P(\text{drug offense} | \text{on probation for 4 or more months})$

$$= P(5 \text{ or } 6 | 2222 \text{ or } 2224 \text{ or } \dots \text{ or } 6666)$$

$$= [(P_{22225} + P_{22226})n_{2222} + (P_{22245} + P_{22246})n_{2224} + \dots + (P_{66665} + P_{66666})n_{6666}] \\ / (n_{2222} + n_{2224} + \dots + n_{6666})$$

In order to calculate these, we use the 4th order transition matrix.

Finally, we obtain the following values in table 16.

Table 16. Conditional probability of referral given on probation for 1, 2, 3 or 4+ months

Period of prob. (months)	No offense Metro.	No offense Non-met.	Non-drug off. Metro.	Non-drug off. Non-met.	Drug offense Metro.	Drug offense Non-met.	Sample size Metro.	Sample size Non-met.
1	.7268	.8926	.2278	.0845	.0455	.0231	989	130
2	.7737	.8515	.1917	.1015	.0349	.0417	1007	128
3	.7956	.9055	.1675	.0632	.0347	.0235	1034	127
4+	.8807	.9319	.0998	.0549	.0195	.0133	23947	3358

The probability of no referral given on probation for 1 month is less than that given on probation for 2 months, which is less than that given on probation for 3 months and the probability of no referral given on probation for 3 months is less than that given on probation for 4 or more months in

metropolitan areas. In other words, the probability of any offense become less and less when the period of probation increases. This fact is also true for non-drug offense and drug offense in metropolitan areas. Hence we can conclude that probation helps for reducing juvenile offenses in metropolitan areas.

In non-metropolitan areas, however, this is not the case. The probability of no referral given on probation for 1 month is more than that given on probation for 2 months, which, however, is less than that given on probation for 3 months, and the probability of no referral given on probation for 3 months is also less than that given on probation for 4 or more months. In other words, the probability of any offense is the largest when probation is 2 months, the probability is the second largest when probation is 1 month, that is the next largest when probation is 3 months and the probability is the smallest when probation is 4 or more months. Note that this result may be due to randomness, what are the sample sizes. This fact is also true for non-drug offense and drug offense in non-metropolitan areas.

From the facts above, we can conclude that 4 or more months probation is the best for reducing crime rate in both metropolitan and non-metropolitan areas.

Note that probability of referral decreases about 2.28 times in metropolitan areas and 1.54 times in non-metropolitan areas when a youth is on probation for 4 months than when he is on probation for 1 month for non-drug offense while the probability decreases 2.33 and 1.74 times for drug offense. Hence, probation helps a little more with drug offenses.

CHAPTER VI

SUMMARY AND CONCLUSION

Summary

The first objective of this study was to write a computer program for each person referred to the court for all five districts of Utah. Only 9,414 strings were selected from the total of 79,444 strings. The main reason for deleting so many strings is that the length of period from the first referral date either to his 18th birthdate or to tape creation date was not long enough for 50-month long case history. If we cut down the length of each case history, then more strings can be included. However, a larger portion of each referral record would be omitted.

The second objective of this study was to write a computer program to test for the significance of the difference among several transition matrices. This program was generalized for comparing any number of transition matrices of any number of states, any order of chain. Either cards or magnetic tapes can be used for data input. However, if the transition matrix is of higher than 3rd order and more than six states, we had better use magnetic tape since it is lot more expensive to punch cards.

The third objective was to determine the chain order which is significant for the data of the juvenile court when a one month time interval is assumed. The chi-square value of 1439.77 with degrees of freedom of 900 in metropolitan areas and 231.22 with degrees of freedom 150 in non-metropolitan areas turned out to be highly significant. This means that the third order in metropolitan areas and the second order in non-metropolitan areas are the best fit for the data.

The fourth objective was to examine whether there is any difference between metropolitan and non-metropolitan areas by comparing transition matrices. By the program described in the second objective, these two matrices are significantly different. Actually we examined the differences in detail in chapter V.

The last objective was to examine whether there are differences between urban and rural youth in the following problems.

a) To examine whether there are differences between rural and urban youth in crime rate in general, the non-drug offense and drug offense. The crime rate in the metropolitan areas is about twice as high as that of the non-metropolitan areas for those already referred to the court. And the crime rates in the non-drug offense and drug offense are about twice as high in the urban areas as in the rural areas also.

b) To examine whether drug-type referrals increase the probability of later referrals. Drug-type referrals increase the probability of later referrals in both areas.

c) To examine whether drug-type referrals increase crime in general or whether people referred for drug offenses are just more likely to commit a drug offense. A youth on drugs is less likely to commit a non-drug offense and more likely to commit a drug offense in metropolitan areas while in non-metropolitan areas, a youth on drug is more likely to commit both non-drug and drug offenses.

d) To examine whether we can identify youth who are likely to be back to the court. Among youths with the high frequency case histories, youths with case history 113 are most likely to return to the court in the next 6 months when he is off probation. The probabilities of referral of this

case history are .3074 and .2260 in metropolitan and non-metropolitan areas respectively. Youths with case histories 111, 131 and 311 are most likely to return to the court when he is on probation in metropolitan areas. The probabilities of referral of these case histories are .9904, .9842 and .9571 respectively. Youths with case histories 111 and 311 are most likely to return to the court when he is on probation in non-metropolitan areas. These probabilities are .9761 and .9217 respectively.

- e) To examine whether probation helps in reducing recidivism rates in youth. Probation apparently helps for reducing recidivism rates in both metropolitan and non-metropolitan areas.
- f) To examine whether probation helps more with drug offenses or with non-drug offenses. Probation helps a little more with drug offenses.

Conclusion

Transition matrices of metropolitan and non-metropolitan areas are significantly different. And the recidivism rate in metropolitan areas is much higher than that of non-metropolitan areas.

Unfortunately, only less than 12 percent of the total actual case histories were used for creating "case histories"--strings of digits--which can be analyzed by the methods described in this study. Moreover, only first several records of case history were used for creating a youth's case history, i.e. a string of digits.

It is desired that all the case histories and the whole records of each case history would be used. Therefore, further study is needed for chains of unequal length in Markov chains.

BIBLIOGRAPHY

- Anderson, T. W. and Goodman, L. A., The Annals of Mathematical Statistics, Vol. 28, No. 1(1957), p. 103.
- Bhat, U. Narayan, Elements of Applied Stochastic Processes, (New York: John Wiley & Sons, Inc., 1972), pp. 11-13.
- Bush, R. R. and Mosteller, C. F., Stochastic Models for Learning, (New York: John Wiley & Sons Ltd., 1955).
- Coleman, J. S., Introduction to Mathematical Sociology, (Englewood Cliffs: Prentice-Hall, 1964).
- County and City Data Book, (Social and Economic Statistics Administration, Bureau of the Census, 1972), pp. xxi-xxii.
- Goodman, L. A., "A Further Note on 'Finite Markov Process in Psychology'", Psychometrika, Vol. 18, No. 3(1953), pp. 245-248.
- Greeno, J. G. and Steiner, T. E., "Markovian Process with Identifiable states: General Considerations and Application to all-or-none Learning", Psychometrika, Vol. 29(1964), pp. 309-333.
- Greeno, J. G. and Steiner, T. E., "Comments on 'Markovian Process with Identifiable States: General Considerations and Application to all-or-none Learning'", Psychometrika, Vol. 33(1968), pp. 169-172.
- Greeno, J. G. and Steiner, T. E., "An Analysis of some Conditions for representing N states Markov Processes as General all-or-none Model", Psychometrika, Vol. 34(1969), pp. 461-487.
- Hoel, P. G., "A Test for Markov Chains", Biometrika, Vol. 41(1954), p. 430.
- Kao, Richard C. W., "Note on Miller's 'Finite Markov Processes in Psychology'", Psychometrika, Vol. 18, No. 3(1953), pp. 241-243.
- Kemeny, J. G. and Snell, L., Mathematical Models in the Social Sciences, (Goston: Ginn and Co., 1962).
- Kemeny, J. G. and Snell, J. L., "Markov Processes in Learning Theory", Psychometrika, Vol. 22(1957), pp. 221-230.
- Kintsch, W. and Morris, C. J., "Application of a Markov Model to free Recall and Recognition", Journal of Experimental Psychology, Vol. 69 (1965), pp. 200-206.
- Kullback, S., Kupperman, M., Ku, H. H., Technometrics, Vol. 4, No. 4(1962), pp. 602-607.

Miller, G. A., "Finite Markov Process in Psychology", Psychometrika, Vol. 17(1952), pp. 149-167.

Steindhl, J., Random Processes and the Growth of Firms, (London: Griffin, 1965).

1970 Census of Population, Vol. 1, Part 46, Utah, (Social and Economic Statistics Administration, Bureau of Census, 1973), p. 19.

Uh, S. H., Analysis of Case Histories by Markov Chains Using Juvenile Court Data of State of Utah, Utah State University, 1973, (Unpublished Master's Thesis).

APPENDIX A

SOURCE PROGRAM FOR CONSTRUCTING CASE HISTORIES

FILE 11=JULY,UNIT=TAPE,RECORD=14,BLOCKING=30,BUFFER=1
 FILE 12=METRO,UNIT=TAPE,RECORD=9,BLOCKING=50,BUFFER=1,SAVE=60
 FILE 13=NON,UNIT=TAPE,RECORD=9,BLOCKING=50,BUFFER=1,SAVE=60

C THIS IS THE PROGRAM TO CREATE CASE HISTORIES FROM JUVENILE COURT
 C REFERRAL TAPE. THE MEANING OF VARIABLE NAMES ARE AS FOLLOWS:
 C RT=RECORD TYPE, BM,BD,BY=BIRTH DATE(MONTH,DAY,YEAR), CTY=COUNTY
 C CODE, RM,RD,RY=REFERRAL DATE(MONTH,DAY,YEAR), CLC=CLOSURE CODE,
 C OC=OFFENSE CODE, BM1,BD1,BY1=BIRTH DATE(READ IN BY T FORMAT),
 C CTY1=COUNTY CODE(READ IN BY T FORMAT).

```
INTEGER RT,BM,BD,BY,CTY,RM(90),RD(90),RY(90),CLC1(90),CLC2(90),
*CLC3(90),OC1(90),OC2(90),OC3(90),OC4(90),BM1,BD1,BY1,CTY1,LOM(12),
*LENG(90),DIGIT(50),PROB,SAVE
DATA LOM/0,31,59,90,120,151,181,212,243,273,304,334/
```

C FOLLOWING COUNTERS ARE USED.
 C KT=THE COUNTER FOR THE NUMBER OF YOUTHS REFERRED TO THE COURT.
 C KOUNT=THE COUNTER FOR THE NUMBER OF CASE HISTORIES CREATED.
 C METRO=THE COUNTER FOR THE NUMBER OF CASE HISTORIES OF METROPOLITAN
 C AREA
 C NON=THE COUNTER FOR THAT OF NON-METROPOLITAN AREA.
 C NE=THE COUNTER FOR THE NUMBER OF DATA TYPE ERRORS.
 C NOE=THE COUNTER FOR THE NUMBER OF PARITY ERRORS.

```
KT=0
KOUNT=0
METRO=0
NON=0
NE=0
NOE=0
K=0
GO TO 50
55 NE=NE+1
      GO TO 50
56 NOE=NOE+1
```

C READ UNTIL FIRST TYPE 0 RECORD IS ENCOUNTERED.

```
50 READ(11,100,DATA=55,ERR=56,END=99)RT,BM,BD,BY,CTY
100 FORMAT(I1,13X,4I2)
      IF(RT.NE.0)GO TO 50
53 IF(BM.EQ.0.OR.BM.GT.12.OR.BD.GT.31)GO TO 50
52 K=K+1
      IF(K.GT.90)K=90
```

C READ ALL TYPE 1 RECORDS UNTIL NEXT TYPE 0 RECORD IS ENCOUNTERED.
 C BM1,BD1,BY1,CTY1 ARE USED FOR THE TYPE 0 RECORD BY MEANS OF T FORMAT.

```

      READ(11,200,DATA=55,ERR=56,END=99)RT,RM(K),RD(K),RY(K),OC1(K),
      *OC2(K),OC3(K),OC4(K),CLC1(K),CLC2(K),CLC3(K),BM1,BD1,BY1,CTY1
200 FORMAT(I1,6X,3I2,1X,3I3,I2,28X,3I3,T15,4I2)
      IF(RT.EQ.1)GO TO 52
      K=K-1
      IF(K.EQ.0)GO TO 72

```

C CALCULATE THE LENGTH FROM FIRST REFERRAL DATE TO 18TH BIRTHDATE.

```

      IRM=RM(1)
      IF(IRM.LE.0.OR.IRM.GT.12)GO TO 49
      LENGB=(BY+18-RY(1)*365+LOM(BM)+BD-LOM(IRM)-RD(1)
49   BM=BM1
      BD=BD1
      BY=BY1
      IF(K.EQ.0)GO TO 72
      IF(IRM.LE.0.OR.IRM.GT.12)GO TO 72
      IF(LENGB.GE.1470)GO TO 51
      GO TO 72

```

C CALCULATE THE LENGTH FROM FIRST REFERRAL DATE TO TAPE CREATION DATE.
C IF BOTH LENGTHS ARE LONG ENOUGH (AT LEAST 50 MONTHS), THIS RECORD IS
C USED FOR CREATING CASE HISTORY.

```

51   LENGTH=(73-RY(1))*365+LOM(4)+10-LOM(IRM)-RD(1)
      IF(LENGTH.LT.1470)GO TO 72

```

C CALCULATE THE LENGTH BETWEEN FIRST REFERRAL DATE AND ITH REFERRAL
C DATE. (I=1,2,...,K)

```

      DO 1 J=1,K
      JRM=RM(J)
      IF(JRM.LE.0.OR.JRM.GT.12)GO TO 72
      LENGTH(J)=(RY(J)-RY(1))*365+LOM(JRM)+RD(J)-LOM(IRM)-RD(1)
1   CONTINUE

```

C CREATES A CASE HISTORY BY FOLLOWING STEPS. 'PROB' STAND FOR PROBA-
C TION STATUS. IF 'PROB' IS 1, THEN HE IS NOT ON PROBATION, IF IT IS
C 2, HE IS ON PROBATION AT SOME TIME.

```

      SAVE=0
      INTV=0
      I=1
      PROB=1
      II=1
      LENGTH(K+1)=2000
69   IF(LENGTH(I).GT.INTV)GO TO 60

```

C IT TESTS WHETHER CLOSURE CODE IS PROBATION OR NOT.

```

64   IF(CLC1(I).GE.601.AND.CLC1(I).LE.607.OR.CLC1(I).EQ.611.OR.
      *CLC1(I).EQ.801.OR.CLC1(I).EQ.830)GO TO 65

```

```

    IF(CLC2(I).GE.601.AND.CLC2(I).LE.607.OR.CLC2(I).EQ.611.OR.
*CLC2(I).EQ.801.OR.CLC2(I).EQ.830)GO TO 65
    IF(CLC3(I).GE.601.AND.CLC3(I).LE.607.OR.CLC3(I).EQ.611.OR.
*CLC3(I).EQ.801.OR.CLC3(I).EQ.830)GO TO 65
    IF(PROB.EQ.2)GO TO 651
    GO TO 66
65 PROB=2
    GO TO 66

```

C IT TESTS WHETHER PROBATION IS RELEASED OR NOT.

```

651 IF(CLC1(I).EQ.810.OR.CLC1(I).EQ.811.OR.CLC1(I).EQ.834)PROB=1
    IF(CLC2(I).EQ.810.OR.CLC2(I).EQ.811.OR.CLC2(I).EQ.834)PROB=1
    IF(CLC3(I).EQ.810.OR.CLC3(I).EQ.811.OR.CLC3(I).EQ.834)PROB=1

```

C IT TESTS WHETHER A YOUTH IS REFERRED FOR A DRUG OFFENSE OR NOT.

```

66 IF(OC1(I).GE.40.AND.OC1(I).LE.49)GO TO 61
    IF(OC2(I).GE.40.AND.OC2(I).LE.49)GO TO 61
    IF(OC3(I).GE.40.AND.OC3(I).LE.49)GO TO 61
    IF(OC4(I).GE.40.AND.OC4(I).LE.49)GO TO 61

```

C IT TESTS WHETHER A YOUTH IS REFERRED FOR A NON-OFFENSE OR NOT.

```

    IF((OC1(I).GE.150.AND.OC1(I).LE.359).AND.((OC2(I).GE.150.AND.
*OC2(I).LE.359).OR.OC2(I).EQ.0).AND.((OC3(I).GE.150.AND.OC3(I).
*LE.359).OR.OC3(I).EQ.0).AND.((OC4(I).GE.15.AND.OC4(I).LE.35).
*OR.OC4(I).EQ.0))GO TO 661
    DIGIT(II)=2+PROB
    GO TO 662
661 DIGIT(II)=PROB
662 IF(SAVE.GT.DIGIT(II))DIGIT(II)=SAVE
    SAVE=0
    GO TO 62
61 DIGIT(II)=4+PROB
    IF(SAVE.GT.DIGIT(II))DIGIT(II)=SAVE
    SAVE=0
62 IF(II.GE.50)GO TO 70
    I=I+1

```

C IF A YOUTH IS REFERRED TO THE COURT MORE THAN ONCE DURING THE
C MONTH, MORE SERIOUS OFFENSE OVERRIDES LESS SERIOUS ONE. 'SAVE'
C IS USED FOR THIS PURPOSE.

```

67 IF(LENG(I).LE.INTV)GO TO 63
68 INTV=INTV+30
    II=II+1
    GO TO 69
63 SAVE=DIGIT(II)
    GO TO 64
60 DIGIT(II)=PROB
    IF(II.GE.50)GO TO 70

```

GO TO 68

C IT TESTS WHETHER COUNTY BELONGS TO METROPOLITAN AREA OR NOT, AND
C WRITE CASE HISTORIES FOR METROPOLITAN AREA AND NON-METROPOLITAN
C AREA ON A DIFFERENT TAPE SEPARATELY.

70 IF(CTY.EQ.6.OR.CTY.EQ.18.OR.CTY.EQ.25.OR.CTY.EQ.29)GO TO 75
 WRITE(13,600)(DIGIT(II),II=1,50)
600 FORMAT(50I1)
 NON=NON+1
 GO TO 71
75 WRITE(12,600)(DIGIT(II),II=1,50)
 METRO=METRO+1
71 KOUNT=KOUNT+1
72 K=0
 KT=KT+1
 CTY=CTY1
 GO TO 53
99 WRITE(6,700)KOUNT,METRO,NON,NE,NOE,KT
700 FORMAT('0',10X,'THE NUMBER OF STRINGS ARE',I5,'METRO',I5,'NON',
 *I6)
 STOP
 END

APPENDIX B

SOURCE PROGRAM TO TEST FOR THE DIFFERENCE AMONG SEVERAL
TRANSITION MATRICES

FILE 15=MTRAN, UNIT=TAPE, RECORD=14, BLOCKING=30, BUFFER=1
 FILE 16=NTRAN, UNIT=TAPE, RECORD=14, BLOCKING=30, BUFFER=1

C THIS IS THE PROGRAM TO TEST FOR THE DIFFERENCE AMONG SEVERAL TRANSITION MATRICES.
 C WE ARE GIVEN STATES=1,2,...,M; ORDER=R FROM S POPULATIONS. THEN
 C WE SHOULD CREATE S DIFFERENT TAPES EACH OF WHICH IS THE LIST OF
 C EACH TRANSITION MATRIX. THESE TAPES CAN BE OBTAINED IN THE PROCESS
 C OF RUNNING THE PROGRAM FOR STEPWISE TESTING OF THE ORDER OF A
 C MARKOV CHAIN WRITTEN BY S. H. UH. HOWEVER, A MINOR MODIFICATION OF
 C MODIFICATION OF THAT PROGRAM IS NEEDED, TO PROVIDE TAPE OUTPUT. THE
 C TAPE USED AS INPUT FOR THIS PROGRAM REQUIRED THE FOLLOWING MODIFICATIONS OF UH'S PROGRAM.

C DATA FOR/'(MF9.7',' ',6X,I7',' ',12X,I','1) '/
 C WHERE M IS THE NUMBER OF STATES.

C THE ABOVE DATA STATEMENT GIVES THE FORMAT CURRENTLY REQUIRED FOR THE
 C TRANSITION MATRIX INPUT, WHERE M IN MF9.7 IS NOT LITERAL BUT SHOULD
 C BE REPLACED WITH THE NO. OF STATES. NEXT, THE FOLLOWING STATEMENT
 C SHOULD BE ADDED JUST BEFORE THE STATEMENT:
 C 609 N1=N1+IST
 C IN UH'S PROGRAM.
 C
 C WRITE(IR,FOR)(NX(I),I=N1,NST),ND(N),KX
 C WHERE IR IS THE TAPE FILE NUMBER, ON WHICH WE WROTE THE TRANSITION
 C MATRIX.

C 'MTRAN' AND 'NTRAN' ARE THOSE TAPES. FILE NUMBERS SHOULD BE CONSECUTIVE NUMBERS TO FIT THIS PROGRAM.

C WE NEED FOLLOWING ARRAYS: NTL(S,M**R,M),NTLD(M**R,M),PTL(S,M**R,M),
 C PTLD(M**R,M), NT(S,M**R), NTD(M**R); STATE, ORDER, POP SHOULD BE INITIALIZED IN ACCORDANCE WITH THE PROBLEM. THE FIRST 4 STATEMENTS IN
 C THE PROGRAM NEED TO BE MODIFIED FOR EACH SET OF DATA USED AS INPUT.

```

REAL NTL(2,36,6),NTLD(36,6)
DIMENSION PTL(2,36,6),PTLD(36,6),NT(2,36),NTD(36)
INTEGER STATE,ORDER,DF,CODE,POP
DATA STATE,ORDER,POP/6,2,2/
LAST=STATE**ORDER

```

C READ ALL TAPES OF THE TRANSITION MATRICES AND FIND OUT THE RIGHT
 C POSITION OF TAPE OF TRANSITION MATRIX OF DESIRED ORDER.

```

14 READ(15,11)CODE
  READ(16,11)CODE
11 FORMAT(79X,I1)
  IF(CODE.EQ.ORDER)GO TO 15
  GO TO 14

```

C BACKSPACE ALL TAPES. THE FOLLOWING 3 STATEMENTS SHOULD BE MODIFIED
C ALSO EVERY TIME. IR SHOULD BE THE FIRST FILE NUMBER.

```

15 BACKSAPCE 15
  BACKSPACE 16
  IR=15
  DO 1 I=1,POP
  DO 2 J=1,LAST

```

C THE PROGRAM READS ALL TRANSITION PROBABILITY MATRICES, THEN CREATES
C TRANSITION COUNT MATRICES.

```

READ(IR,10)(PTL(I,J,K),K=1,STATE),NT(I,J)
10 FORMAT(6F9.7,6X,I7)
  DO 2 K=1,STATE

```

```

  NTL(I,J,K)=NT(I,J)*PTL(I,J,K)
2 CONTINUE
  IR=IR+1
1 CONTINUE

```

C IT COMPUTES CHI-SQUARE VALUE FOR TESTING THE NULL HYPOTHESIS THAT THE
C REALIZATIONS ARE HOMOGENEOUS.

```

DO 3 J=1,LAST
  DO 4 I=1,POP
    NTD(J)=NTD(J)+NT(I,J)
    DO 4 K=1,STATE
      NTLD(J,K)=NTLD(J,K)+NTL(I,J,K)
4 CONTINUE
  IF(NTD(J).EQ.0)GO TO 3
  DO 5 K=1,STATE
    PTLD(J,K)=NTLD(J,K)/FLOAT(NTD(J))
5 CONTINUE
3 CONTINUE
  SUM=0.
  DO 6 I=1,POP
    DO 6 J=1,LAST
      DO 6 K=1,STATE
        IF(PTLD(J,K).EQ.0)GO TO 6
        ARG=PTL(I,J,K)/PTLD(J,K)
        IF(ARG.EQ.0.)GO TO 6
        SUM=SUM+NTL(I,J,K)* ALOG(ARG)
6 CONTINUE
  SUM=SUM*2
  DF=STATE**ORDER*(POP-1)*(STATE-1)

```

```
      WRITE(6,20)SUM,DF
20 FORMAT(10X,'CHI-SQUARE=',F10.5,5X,'WITH D.F. OF ',I4)
      STOP
      END
```

APPENDIX C

THE OUTPUT LIST OF TRANSITION PROBABILITY MATRICES

METROPOLITAN AREAS

*** THE TRANSITION PROBABILITY MATRIX OF ORDER 1 ***

	1	2	3	4	5	6	
1	0.979	0.000	0.015	0.002	0.003	0.000	345878
2	0.026	0.865	0.002	0.089	0.000	0.017	23398
3	0.860	0.000	0.106	0.013	0.017	0.004	6105
4	0.014	0.746	0.003	0.208	0.000	0.030	3949
5	0.847	0.003	0.091	0.011	0.042	0.006	1047
6	0.013	0.696	0.000	0.195	0.000	0.096	743

*** THE TRANSITION PROBABILITY MATRIX OF ORDER 2 ***

	1	2	3	4	5	6	
11	0.981	0.000	0.014	0.002	0.002	0.000	331840
12	0.000	0.826	0.000	0.130	0.000	0.043	23
13	0.870	0.000	0.098	0.013	0.015	0.004	5114
14	0.004	0.741	0.000	0.229	0.000	0.026	795
15	0.854	0.002	0.084	0.011	0.044	0.004	890
16	0.015	0.674	0.000	0.212	0.000	0.098	132
21	0.939	0.000	0.038	0.010	0.008	0.005	604
22	0.030	0.877	0.002	0.077	0.000	0.015	19759
23	0.762	0.000	0.167	0.024	0.048	0.000	42
24	0.020	0.768	0.004	0.183	0.000	0.025	2032
25	0.857	0.000	0.143	0.000	0.000	0.000	7
26	0.015	0.727	0.000	0.189	0.000	0.069	392
31	0.895	0.000	0.074	0.015	0.012	0.003	5133
32	0.000	0.500	0.000	0.500	0.000	0.000	2
33	0.792	0.002	0.168	0.014	0.019	0.005	625
34	0.000	0.692	0.000	0.269	0.000	0.038	78
35	0.811	0.011	0.158	0.000	0.021	0.000	95
36	0.000	0.545	0.000	0.273	0.000	0.182	22
41	0.887	0.000	0.057	0.019	0.019	0.019	53
42	0.009	0.798	0.002	0.165	0.000	0.025	2876
43	0.727	0.000	0.273	0.000	0.000	0.000	11
44	0.007	0.708	0.002	0.248	0.000	0.034	802
45	1.000	0.000	0.000	0.000	0.000	0.000	1
46	0.009	0.658	0.000	0.228	0.000	0.105	114
51	0.876	0.000	0.071	0.013	0.036	0.005	863
52	0.000	0.667	0.000	0.333	0.000	0.000	3
53	0.835	0.000	0.099	0.000	0.066	0.000	91
54	0.000	0.750	0.000	0.167	0.000	0.083	12
55	0.805	0.000	0.049	0.024	0.073	0.049	41
56	0.167	0.500	0.000	0.000	0.000	0.333	6
61	1.000	0.000	0.000	0.000	0.000	0.000	10
62	0.014	0.766	0.002	0.154	0.002	0.062	501
63	0.000	0.000	0.000	0.000	0.000	0.000	0
64	0.028	0.681	0.007	0.184	0.000	0.099	141
65	0.000	0.000	0.000	0.000	0.000	0.000	0
66	0.000	0.657	0.000	0.157	0.000	0.186	70

*** THE TRANSITION PROBABILITY MATRIX OF ORDER 3 ***

(continued)

(continued)

	1	2	3	4	5	6	
355	1.000	0.000	0.000	0.000	0.000	0.000	2
356	0.000	0.000	0.000	0.000	0.000	0.000	0
361	0.000	0.000	0.000	0.000	0.000	0.000	0
362	0.000	0.750	0.000	0.250	0.000	0.000	12
363	0.000	0.000	0.000	0.000	0.000	0.000	0
364	0.000	0.600	0.000	0.200	0.000	0.200	5
365	0.000	0.000	0.000	0.000	0.000	0.000	0
366	0.000	0.750	0.000	0.250	0.000	0.000	4
411	0.844	0.000	0.111	0.000	0.000	0.044	45
412	0.000	0.000	0.000	0.000	0.000	0.000	0
413	1.000	0.000	0.000	0.000	0.000	0.000	3
414	0.000	1.000	0.000	0.000	0.000	0.000	1
415	1.000	0.000	0.000	0.000	0.000	0.000	1
416	0.000	1.000	0.000	0.000	0.000	0.000	1
421	1.000	0.000	0.000	0.000	0.000	0.000	26
422	0.012	0.834	0.002	0.128	0.000	0.024	2251
423	0.667	0.000	0.333	0.000	0.000	0.000	6
424	0.011	0.752	0.000	0.215	0.002	0.020	452
425	1.000	0.000	0.000	0.000	0.000	0.000	1
426	0.000	0.704	0.000	0.211	0.000	0.085	71
431	0.857	0.000	0.000	0.143	0.000	0.000	7
432	0.000	0.000	0.000	0.000	0.000	0.000	0
433	0.333	0.000	0.667	0.000	0.000	0.000	3
434	0.000	0.000	0.000	0.000	0.000	0.000	0
435	0.000	0.000	0.000	0.000	0.000	0.000	0
436	0.000	0.000	0.000	0.000	0.000	0.000	0
441	1.000	0.000	0.000	0.000	0.000	0.000	6
442	0.000	0.791	0.002	0.189	0.000	0.018	556
443	0.500	0.000	0.500	0.000	0.000	0.000	2
444	0.010	0.694	0.010	0.245	0.000	0.041	196
445	0.000	0.000	0.000	0.000	0.000	0.000	0
446	0.000	0.593	0.000	0.296	0.000	0.111	27
451	1.000	0.000	0.000	0.000	0.000	0.000	1
452	0.000	0.000	0.000	0.000	0.000	0.000	0
453	0.000	0.000	0.000	0.000	0.000	0.000	0
454	0.000	0.000	0.000	0.000	0.000	0.000	0
455	0.000	0.000	0.000	0.000	0.000	0.000	0
456	0.000	0.000	0.000	0.000	0.000	0.000	0
461	1.000	0.000	0.000	0.000	0.000	0.000	1
462	0.000	0.781	0.000	0.192	0.000	0.027	73
463	0.000	0.000	0.000	0.000	0.000	0.000	0
464	0.000	0.577	0.000	0.346	0.000	0.077	26
465	0.000	0.000	0.000	0.000	0.000	0.000	0
466	0.000	0.667	0.000	0.333	0.000	0.000	12
511	0.896	0.000	0.051	0.012	0.031	0.010	732
512	0.000	0.000	0.000	0.000	0.000	0.000	0
513	0.833	0.000	0.100	0.000	0.067	0.000	60
514	0.000	0.818	0.000	0.091	0.000	0.091	11
515	0.786	0.000	0.000	0.000	0.179	0.036	28
516	0.000	0.500	0.000	0.250	0.000	0.250	4

(continued)

	1	2	3	4	5	6	
521	0.000	0.000	0.000	0.000	0.000	0.000	0
522	0.000	1.000	0.000	0.000	0.000	0.000	2
523	0.000	0.000	0.000	0.000	0.000	0.000	0
524	0.000	1.000	0.000	0.000	0.000	0.000	1
525	0.000	0.000	0.000	0.000	0.000	0.000	0
526	0.000	0.000	0.000	0.000	0.000	0.000	0
531	0.803	0.000	0.127	0.042	0.028	0.000	71
532	0.000	0.000	0.000	0.000	0.000	0.000	0
533	0.857	0.000	0.143	0.000	0.000	0.000	7
534	0.000	0.000	0.000	0.000	0.000	0.000	0
535	0.667	0.000	0.333	0.000	0.000	0.000	6
536	0.000	0.000	0.000	0.000	0.000	0.000	0
541	0.000	0.000	0.000	0.000	0.000	0.000	0
542	0.000	0.667	0.000	0.222	0.000	0.111	9
543	0.000	0.000	0.000	0.000	0.000	0.000	0
544	0.000	0.500	0.000	0.000	0.000	0.500	2
545	0.000	0.000	0.000	0.000	0.000	0.000	0
546	0.000	0.000	0.000	0.000	0.000	1.000	1
551	0.806	0.000	0.097	0.000	0.097	0.000	31
552	0.000	0.000	0.000	0.000	0.000	0.000	0
553	1.000	0.000	0.000	0.000	0.000	0.000	2
554	0.000	0.000	0.000	1.000	0.000	0.000	1
555	1.000	0.000	0.000	0.000	0.000	0.000	2
556	0.000	0.500	0.000	0.000	0.000	0.500	2
561	1.000	0.000	0.000	0.000	0.000	0.000	1
562	0.000	0.333	0.000	0.333	0.000	0.333	3
563	0.000	0.000	0.000	0.000	0.000	0.000	0
564	0.000	0.000	0.000	0.000	0.000	0.000	0
565	0.000	0.000	0.000	0.000	0.000	0.000	0
566	0.000	1.000	0.000	0.000	0.000	0.000	2
611	0.800	0.000	0.000	0.200	0.000	0.000	10
612	0.000	0.000	0.000	0.000	0.000	0.000	0
613	0.000	0.000	0.000	0.000	0.000	0.000	0
614	0.000	0.000	0.000	0.000	0.000	0.000	0
615	0.000	0.000	0.000	0.000	0.000	0.000	0
616	0.000	0.000	0.000	0.000	0.000	0.000	0
621	0.667	0.000	0.167	0.000	0.167	0.000	0
622	0.011	0.812	0.005	0.117	0.005	0.050	377
623	1.000	0.000	0.000	0.000	0.000	0.000	1
624	0.014	0.676	0.027	0.176	0.000	0.108	74
625	1.000	0.000	0.000	0.000	0.000	0.000	1
626	0.033	0.633	0.000	0.200	0.000	0.133	30
631	0.000	0.000	0.000	0.000	0.000	0.000	0
632	0.000	0.000	0.000	0.000	0.000	0.000	0
633	0.000	0.000	0.000	0.000	0.000	0.000	0
634	0.000	0.000	0.000	0.000	0.000	0.000	0
635	0.000	0.000	0.000	0.000	0.000	0.000	0
636	0.000	0.000	0.000	0.000	0.000	0.000	0
641	1.000	0.000	0.000	0.000	0.000	0.000	4
642	0.000	0.677	0.000	0.280	0.000	0.043	93

(continued)

	1	2	3	4	5	6	
643	1.000	0.000	0.000	0.000	0.000	0.000	1
644	0.000	0.750	0.000	0.208	0.000	0.042	24
645	0.000	0.000	0.000	0.000	0.000	0.000	0
646	0.000	0.385	0.000	0.538	0.000	0.077	13
651	0.000	0.000	0.000	0.000	0.000	0.000	0
652	0.000	0.000	0.000	0.000	0.000	0.000	0
653	0.000	0.000	0.000	0.000	0.000	0.000	0
654	0.000	0.000	0.000	0.000	0.000	0.000	0
655	0.000	0.000	0.000	0.000	0.000	0.000	0
656	0.000	0.000	0.000	0.000	0.000	0.000	0
661	0.000	0.000	0.000	0.000	0.000	0.000	0
662	0.022	0.761	0.000	0.130	0.022	0.065	46
663	0.000	0.000	0.000	0.000	0.000	0.000	0
664	0.000	0.800	0.000	0.100	0.000	0.100	10
665	0.000	0.000	0.000	0.000	0.000	0.000	0
666	0.000	0.692	0.000	0.154	0.000	0.154	13

*** THE TRANSITION PROBABILITY MATRIX OF ORDER 4 ***

(continued)

(continued)

	1	2	3	4	5	6
1355	1.000	0.000	0.000	0.000	0.000	0.000
1356	0.000	0.000	0.000	0.000	0.000	0.000
1361	0.000	0.000	0.000	0.000	0.000	0.000
1362	0.000	0.727	0.000	0.273	0.000	0.000
1363	0.000	0.000	0.000	0.000	0.000	0.000
1364	0.000	0.000	0.000	0.500	0.000	0.500
1365	0.000	0.000	0.000	0.000	0.000	0.000
1366	0.000	0.750	0.000	0.250	0.000	0.000
1411	1.000	0.000	0.000	0.000	0.000	0.000
1412	0.000	0.000	0.000	0.000	0.000	0.000
1413	1.000	0.000	0.000	0.000	0.000	0.000
1414	0.000	0.000	0.000	0.000	0.000	0.000
1415	0.000	0.000	0.000	0.000	0.000	0.000
1416	0.000	0.000	0.000	0.000	0.000	0.000
1421	1.000	0.000	0.000	0.000	0.000	0.000
1422	0.004	0.845	0.000	0.133	0.000	0.017
1423	0.000	0.000	0.000	0.000	0.000	0.000
1424	0.011	0.761	0.000	0.217	0.000	0.011
1425	0.000	0.000	0.000	0.000	0.000	0.000
1426	0.000	0.615	0.000	0.231	0.000	0.154
1431	0.000	0.000	0.000	0.000	0.000	0.000
1432	0.000	0.000	0.000	0.000	0.000	0.000
1433	0.000	0.000	0.000	0.000	0.000	0.000
1434	0.000	0.000	0.000	0.000	0.000	0.000
1435	0.000	0.000	0.000	0.000	0.000	0.000
1436	0.000	0.000	0.000	0.000	0.000	0.000
1441	1.000	0.000	0.000	0.000	0.000	0.000
1442	0.000	0.626	0.000	0.157	0.000	0.017
1443	0.000	0.000	0.000	0.000	0.000	0.000
1444	0.000	0.660	0.000	0.240	0.000	0.100
1445	0.000	0.000	0.000	0.000	0.000	0.000
1446	0.000	0.571	0.000	0.286	0.000	0.143
1451	0.000	0.000	0.000	0.000	0.000	0.000
1452	0.000	0.000	0.000	0.000	0.000	0.000
1453	0.000	0.000	0.000	0.000	0.000	0.000
1454	0.000	0.000	0.000	0.000	0.000	0.000
1455	0.000	0.000	0.000	0.000	0.000	0.000
1456	0.000	0.000	0.000	0.000	0.000	0.000
1461	0.000	0.000	0.000	0.000	0.000	0.000
1462	0.000	0.750	0.000	0.250	0.000	0.000
1463	0.000	0.000	0.000	0.000	0.000	0.000
1464	0.000	0.750	0.000	0.250	0.000	0.000
1465	0.000	0.000	0.000	0.000	0.000	0.000
1466	0.000	1.000	0.000	0.000	0.000	0.000
1511	0.909	0.000	0.043	0.013	0.028	0.008
1512	0.000	0.000	0.000	0.000	0.000	0.000
1513	0.840	0.000	0.080	0.000	0.080	0.000
.
.

(continued)

	1	2	3	4	5	6	
6553	0.000	0.000	0.000	0.000	0.000	0.000	0
6554	0.000	0.000	0.000	0.000	0.000	0.000	0
6555	0.000	0.000	0.000	0.000	0.000	0.000	0
6556	0.000	0.000	0.000	0.000	0.000	0.000	0
6561	0.000	0.000	0.000	0.000	0.000	0.000	0
6562	0.000	0.000	0.000	0.000	0.000	0.000	0
6563	0.000	0.000	0.000	0.000	0.000	0.000	0
6564	0.000	0.000	0.000	0.000	0.000	0.000	0
6565	0.000	0.000	0.000	0.000	0.000	0.000	0
6566	0.000	0.000	0.000	0.000	0.000	0.000	0
6611	0.000	0.000	0.000	0.000	0.000	0.000	0
6612	0.000	0.000	0.000	0.000	0.000	0.000	0
6613	0.000	0.000	0.000	0.000	0.000	0.000	0
6614	0.000	0.000	0.000	0.000	0.000	0.000	0
6615	0.000	0.000	0.000	0.000	0.000	0.000	0
6616	0.000	0.000	0.000	0.000	0.000	0.000	0
6621	1.000	0.000	0.000	0.000	0.000	0.000	1
6622	0.029	0.735	0.000	0.206	0.000	0.029	34
6623	0.000	0.000	0.000	0.000	0.000	0.000	0
6624	0.000	0.833	0.000	0.000	0.000	0.167	6
6625	1.000	0.000	0.000	0.000	0.000	0.000	1
6626	0.000	0.667	0.000	0.000	0.000	0.333	3
6631	0.000	0.000	0.000	0.000	0.000	0.000	0
6632	0.000	0.000	0.000	0.000	0.000	0.000	0
6633	0.000	0.000	0.000	0.000	0.000	0.000	0
6634	0.000	0.000	0.000	0.000	0.000	0.000	0
6635	0.000	0.000	0.000	0.000	0.000	0.000	0
6636	0.000	0.000	0.000	0.000	0.000	0.000	0
6641	0.000	0.000	0.000	0.000	0.000	0.000	0
6642	0.000	0.625	0.000	0.375	0.000	0.000	8
6643	0.000	0.000	0.000	0.000	0.000	0.000	0
6644	0.000	0.000	0.000	0.000	0.000	1.000	1
6645	0.000	0.000	0.000	0.000	0.000	0.000	0
6646	0.000	1.000	0.000	0.000	0.000	0.000	1
6651	0.000	0.000	0.000	0.000	0.000	0.000	0
6652	0.000	0.000	0.000	0.000	0.000	0.000	0
6653	0.000	0.000	0.000	0.000	0.000	0.000	0
6654	0.000	0.000	0.000	0.000	0.000	0.000	0
6655	0.000	0.000	0.000	0.000	0.000	0.000	0
6656	0.000	0.000	0.000	0.000	0.000	0.000	0
6661	0.000	0.000	0.000	0.000	0.000	0.000	0
6662	0.000	0.889	0.000	0.000	0.111	0.000	9
6663	0.000	0.000	0.000	0.000	0.000	0.000	0
6664	0.000	0.500	0.000	0.000	0.000	0.500	2
6665	0.000	0.000	0.000	0.000	0.000	0.000	0
6666	0.000	1.000	0.000	0.000	0.000	0.000	2

NON-METROPOLITAN AREAS

*** THE TRANSITION PROBABILITY MATRIX OF ORDER 1 ***

	1	2	3	4	5	6	
1	0.988	0.000	0.008	0.001	0.002	0.000	66004
2	0.034	0.904	0.001	0.048	0.000	0.012	3602
3	0.904	0.000	0.061	0.015	0.017	0.003	594
4	0.009	0.812	0.000	0.149	0.000	0.030	329
5	0.880	0.007	0.099	0.000	0.014	0.000	142
6	0.012	0.815	0.000	0.111	0.000	0.062	81

*** THE TRANSITION PROBABILITY MATRIX OF ORDER 2 ***

	1	2	3	4	5	6	
11	0.989	0.000	0.008	0.001	0.002	0.000	63879
12	0.000	1.000	0.000	0.000	0.000	0.000	6
13	0.901	0.000	0.064	0.012	0.019	0.004	515
14	0.010	0.876	0.000	0.093	0.000	0.021	97
15	0.898	0.000	0.087	0.000	0.016	0.000	127
16	0.000	0.909	0.000	0.045	0.000	0.045	22
21	0.958	0.000	0.033	0.008	0.000	0.000	120
22	0.037	0.908	0.001	0.042	0.000	0.010	3186
23	1.000	0.000	0.000	0.000	0.000	0.000	4
24	0.012	0.810	0.000	0.149	0.000	0.030	168
25	1.000	0.000	0.000	0.000	0.000	0.000	1
26	0.026	0.821	0.000	0.103	0.000	0.051	39
31	0.919	0.000	0.056	0.006	0.017	0.002	521
32	0.000	0.000	0.000	0.000	0.000	0.000	0
33	0.889	0.000	0.056	0.056	0.000	0.000	36
34	0.000	0.778	0.000	0.222	0.000	0.000	9
35	0.778	0.000	0.222	0.000	0.000	0.000	9
36	0.000	0.500	0.000	0.500	0.000	0.000	2
41	1.000	0.000	0.000	0.000	0.000	0.000	3
42	0.011	0.864	0.000	0.095	0.000	0.030	264
43	0.000	0.000	0.000	0.000	0.000	0.000	0
44	0.000	0.778	0.000	0.178	0.000	0.044	45
45	0.000	0.000	0.000	0.000	0.000	0.000	0
46	0.000	0.600	0.000	0.200	0.000	0.200	10
51	0.902	0.000	0.041	0.008	0.041	0.008	122
52	1.000	0.000	0.000	0.000	0.000	0.000	1
53	1.000	0.000	0.000	0.000	0.000	0.000	13
54	0.000	0.000	0.000	0.000	0.000	0.000	0
55	0.500	0.500	0.000	0.000	0.000	0.000	2
56	0.000	0.000	0.000	0.000	0.000	0.000	0
61	1.000	0.000	0.000	0.000	0.000	0.000	1
62	0.000	0.828	0.000	0.125	0.000	0.047	64
63	0.000	0.000	0.000	0.000	0.000	0.000	0
64	0.000	0.286	0.000	0.571	0.000	0.143	7
65	0.000	0.000	0.000	0.000	0.000	0.000	0
66	0.000	0.800	0.000	0.200	0.000	0.000	5

*** THE TRANSITION PROBABILITY MATRIX OF ORDER 3 ***

(continued)

(continued)

	1	2	3	4	5	6	
355	0.000	0.000	0.000	0.000	0.000	0.000	0
356	0.000	0.000	0.000	0.000	0.000	0.000	0
361	0.000	0.000	0.000	0.000	0.000	0.000	0
362	0.000	1.000	0.000	0.000	0.000	0.000	1
363	0.000	0.000	0.000	0.000	0.000	0.000	0
364	0.000	0.000	0.000	1.000	0.000	0.000	1
365	0.000	0.000	0.000	0.000	0.000	0.000	0
366	0.000	0.000	0.000	0.000	0.000	0.000	0
411	1.000	0.000	0.000	0.000	0.000	0.000	3
412	0.000	0.000	0.000	0.000	0.000	0.000	0
413	0.000	0.000	0.000	0.000	0.000	0.000	0
414	0.000	0.000	0.000	0.000	0.000	0.000	0
415	0.000	0.000	0.000	0.000	0.000	0.000	0
416	0.000	0.000	0.000	0.000	0.000	0.000	0
421	1.000	0.000	0.000	0.000	0.000	0.000	2
422	0.027	0.883	0.000	0.072	0.000	0.018	223
423	0.000	0.000	0.000	0.000	0.000	0.000	0
424	0.045	0.864	0.000	0.091	0.000	0.000	22
425	0.000	0.000	0.000	0.000	0.000	0.000	0
426	0.000	0.714	0.000	0.143	0.000	0.143	7
431	0.000	0.000	0.000	0.000	0.000	0.000	0
432	0.000	0.000	0.000	0.000	0.000	0.000	0
433	0.000	0.000	0.000	0.000	0.000	0.000	0
434	0.000	0.000	0.000	0.000	0.000	0.000	0
435	0.000	0.000	0.000	0.000	0.000	0.000	0
436	0.000	0.000	0.000	0.000	0.000	0.000	0
441	0.000	0.000	0.000	0.000	0.000	0.000	0
442	0.000	0.771	0.000	0.200	0.000	0.029	35
443	0.000	0.000	0.000	0.000	0.000	0.000	0
444	0.000	0.875	0.000	0.125	0.000	0.000	8
445	0.000	0.000	0.000	0.000	0.000	0.000	0
446	0.000	0.500	0.000	0.500	0.000	0.000	2
451	0.000	0.000	0.000	0.000	0.000	0.000	0
452	0.000	0.000	0.000	0.000	0.000	0.000	0
453	0.000	0.000	0.000	0.000	0.000	0.000	0
454	0.000	0.000	0.000	0.000	0.000	0.000	0
455	0.000	0.000	0.000	0.000	0.000	0.000	0
456	0.000	0.000	0.000	0.000	0.000	0.000	0
461	0.000	0.000	0.000	0.000	0.000	0.000	0
462	0.000	0.600	0.000	0.200	0.000	0.200	5
463	0.000	0.000	0.000	0.000	0.000	0.000	0
464	0.000	0.000	0.000	0.500	0.000	0.500	2
465	0.000	0.000	0.000	0.000	0.000	0.000	0
466	0.000	0.500	0.000	0.500	0.000	0.000	2
511	0.916	0.000	0.037	0.000	0.037	0.009	107
512	0.000	0.000	0.000	0.000	0.000	0.000	0
513	1.000	0.000	0.000	0.000	0.000	0.000	4
514	0.000	1.000	0.000	0.000	0.000	0.000	1
515	1.000	0.000	0.000	0.000	0.000	0.000	5
516	0.000	1.000	0.000	0.000	0.000	0.000	1

(continued)

	1	2	3	4	5	6	
521	1.000	0.000	0.000	0.000	0.000	0.000	1
522	0.000	0.000	0.000	0.000	0.000	0.000	0
523	0.000	0.000	0.000	0.000	0.000	0.000	0
524	0.000	0.000	0.000	0.000	0.000	0.000	0
525	0.000	0.000	0.000	0.000	0.000	0.000	0
526	0.000	0.000	0.000	0.000	0.000	0.000	0
531	0.846	0.000	0.000	0.000	0.154	0.000	13
532	0.000	0.000	0.000	0.000	0.000	0.000	0
533	0.000	0.000	0.000	0.000	0.000	0.000	0
534	0.000	0.000	0.000	0.000	0.000	0.000	0
535	0.000	0.000	0.000	0.000	0.000	0.000	0
536	0.000	0.000	0.000	0.000	0.000	0.000	0
541	0.000	0.000	0.000	0.000	0.000	0.000	0
542	0.000	0.000	0.000	0.000	0.000	0.000	0
543	0.000	0.000	0.000	0.000	0.000	0.000	0
544	0.000	0.000	0.000	0.000	0.000	0.000	0
545	0.000	0.000	0.000	0.000	0.000	0.000	0
546	0.000	0.000	0.000	0.000	0.000	0.000	0
551	1.000	0.000	0.000	0.000	0.000	0.000	1
552	1.000	0.000	0.000	0.000	0.000	0.000	1
553	0.000	0.000	0.000	0.000	0.000	0.000	0
554	0.000	0.000	0.000	0.000	0.000	0.000	0
555	0.000	0.000	0.000	0.000	0.000	0.000	0
556	0.000	0.000	0.000	0.000	0.000	0.000	0
561	0.000	0.000	0.000	0.000	0.000	0.000	0
562	0.000	0.000	0.000	0.000	0.000	0.000	0
563	0.000	0.000	0.000	0.000	0.000	0.000	0
564	0.000	0.000	0.000	0.000	0.000	0.000	0
565	0.000	0.000	0.000	0.000	0.000	0.000	0
566	0.000	0.000	0.000	0.000	0.000	0.000	0
611	1.000	0.000	0.000	0.000	0.000	0.000	1
612	0.000	0.000	0.000	0.000	0.000	0.000	0
613	0.000	0.000	0.000	0.000	0.000	0.000	0
614	0.000	0.000	0.000	0.000	0.000	0.000	0
615	0.000	0.000	0.000	0.000	0.000	0.000	0
616	0.000	0.000	0.000	0.000	0.000	0.000	0
621	0.000	0.000	0.000	0.000	0.000	0.000	0
622	0.020	0.878	0.000	0.020	0.000	0.082	49
623	0.000	0.000	0.000	0.000	0.000	0.000	0
624	0.000	0.625	0.000	0.375	0.000	0.000	8
625	0.000	0.000	0.000	0.000	0.000	0.000	0
626	0.000	1.000	0.000	0.000	0.000	0.000	2
631	0.000	0.000	0.000	0.000	0.000	0.000	0
632	0.000	0.000	0.000	0.000	0.000	0.000	0
633	0.000	0.000	0.000	0.000	0.000	0.000	0
634	0.000	0.000	0.000	0.000	0.000	0.000	0
635	0.000	0.000	0.000	0.000	0.000	0.000	0
636	0.000	0.000	0.000	0.000	0.000	0.000	0
641	0.000	0.000	0.000	0.000	0.000	0.000	0
642	0.000	1.000	0.000	0.000	0.000	0.000	2

(continued)

*** THE TRANSITION PROBABILITY MATRIX OF ORDER 4 ***

(continued)

	1	2	3	4	5	6
1355	0.000	0.000	0.000	0.000	0.000	0.000
1356	0.000	0.000	0.000	0.000	0.000	0.000
1361	0.000	0.000	0.000	0.000	0.000	0.000
1362	0.000	1.000	0.000	0.000	0.000	0.000
1363	0.000	0.000	0.000	0.000	0.000	0.000
1364	0.000	0.000	0.000	1.000	0.000	0.000
1365	0.000	0.000	0.000	0.000	0.000	0.000
1366	0.000	0.000	0.000	0.000	0.000	0.000
1411	1.000	0.000	0.000	0.000	0.000	0.000
1412	0.000	0.000	0.000	0.000	0.000	0.000
1413	0.000	0.000	0.000	0.000	0.000	0.000
1414	0.000	0.000	0.000	0.000	0.000	0.000
1415	0.000	0.000	0.000	0.000	0.000	0.000
1416	0.000	0.000	0.000	0.000	0.000	0.000
1421	0.000	0.000	0.000	0.000	0.000	0.000
1422	0.043	0.914	0.000	0.029	0.000	0.014
1423	0.000	0.000	0.000	0.000	0.000	0.000
1424	0.000	1.000	0.000	0.000	0.000	0.000
1425	0.000	0.000	0.000	0.000	0.000	0.000
1426	0.000	0.500	0.000	0.250	0.000	0.250
1431	0.000	0.000	0.000	0.000	0.000	0.000
1432	0.000	0.000	0.000	0.000	0.000	0.000
1433	0.000	0.000	0.000	0.000	0.000	0.000
1434	0.000	0.000	0.000	0.000	0.000	0.000
1435	0.000	0.000	0.000	0.000	0.000	0.000
1436	0.000	0.000	0.000	0.000	0.000	0.000
1441	0.000	0.000	0.000	0.000	0.000	0.000
1442	0.000	1.000	0.000	0.000	0.000	0.000
1443	0.000	0.000	0.000	0.000	0.000	0.000
1444	0.000	0.000	0.000	0.000	0.000	0.000
1445	0.000	0.000	0.000	0.000	0.000	0.000
1446	0.000	0.000	0.000	1.000	0.000	0.000
1451	0.000	0.000	0.000	0.000	0.000	0.000
1452	0.000	0.000	0.000	0.000	0.000	0.000
1453	0.000	0.000	0.000	0.000	0.000	0.000
1454	0.000	0.000	0.000	0.000	0.000	0.000
1455	0.000	0.000	0.000	0.000	0.000	0.000
1456	0.000	0.000	0.000	0.000	0.000	0.000
1461	0.000	0.000	0.000	0.000	0.000	0.000
1462	0.000	0.000	0.000	0.000	0.000	1.000
1463	0.000	0.000	0.000	0.000	0.000	0.000
1464	0.000	0.000	0.000	0.000	0.000	0.000
1465	0.000	0.000	0.000	0.000	0.000	0.000
1466	0.000	0.000	0.000	0.000	0.000	0.000
1511	0.918	0.000	0.041	0.000	0.031	0.010
1512	0.000	0.000	0.000	0.000	0.000	0.000
1513	1.000	0.000	0.000	0.000	0.000	0.000
.
.
.

(continued)

APPENDIX D

MASTER CODING GUIDE

VIOLATIONS OF LAW

ASSAULTS

- B001 Assault
 B002 Assault & Battery
 B003 Assault With Deadly Weapon
 B009 Other (specify)

AUTOMOBILE CASES

- M010 Auto Theft
 M011 Depriving Owner of Vehicle
 M012 Illegal Entry of Vehicle
 For Theft (Car Prowl)
 M013 Tampering With Vehicle
 (Car Strip)
 0014 Gas Theft
 0019 Other (specify)

FIRE, FIREARMS, FIRE ALARMS,
FIREWORKS

- M020 Arson
 0021 Fire Setting
 0022 Unlawful Use of Firearms
 0023 False Alarms
 0024 Fireworks
 0029 Other (specify)

ILLEGAL ENTRY

- M030 Burglary
 B031 Unlawful Entry to Injure,
 Damage or Annoy

JEOPARDY OF SELF

- S040 Public Intoxication
 S041 Possession Of Alcohol
 S043 Minor in Tavern
 S043 Possession of Tabacco
 S044 Wrongfully Inhalng Fumes
 S045 Attempted Suicide
 S046 Possession or Use of Drugs,
 / Including Possession of
 Instruments For Use
 S047 Making, Sale, Distribution
 or Disposing of Drugs
 S048 Group Possession i.e. Pre-
 sent Where Drugs Are Used
 S049 Other (specify)

MISCHIEF OR VANDALISM

- 0050 Destruction of Property
 0051 Fighting
 0052 Disturbing the Peace
 0053 Riot
 0054 Trespass
 0055 Throwing Objects at Vehicle
 0059 Other (specify)

SEX OFFENSES

- B060 Rape
 M061 Illicit Sex Acts
 0062 Unnatural Sex Acts
 M063 Molest
 0064 Indecent Acts
 069 Other (specify)

THEFT

- M070 Grand Larceny
 M071 Petit Larceny
 0072 Bicycle Theft
 M073 Shoplifting
 M074 Receiving Stolen Property

OTHER VIOLATIONS OF LAW

FELONY TYPE

- M080 Robbery
 M081 Bad Checks & Fraud
 B082 Homicide
 M083 Negligent Homicide
 M089 Other Felony Type

MISDEMEANOR TYPE

- 0090 Cruelty to Animals
 0091 Curfew
 0092 Tampering With Railroads
 0093 Resisting Arrest
 0094 Refusing to Disperse
 0095 Fish & Game Violation
 0096 Boating Violation
 0097 Foul & Abusive Language
 0098 Giving False Information
 0099 Other (specify)

BEHAVIORAL PROBLEMS

- 0100 Out of Control (Ungov.)
 0101 Runaway
 0102 Runaway-Transient
 0103 Habitual Truancy
 0104 Truancy
 0105 Truant in Auto
 0106 Contempt of Court
 0109 Other Behavior or Condition
 Endangering to Welfare
 (specify)

NEGLECTED OR DEPENDENT

- R150 Abandoned
 R151 Mistreatment or Abuse
 R152 Improper Care Due to Faults
 or Habits of Parents

NEGLECTED OR DEPENDENT (cont.)
 R153 Improper Care - Failure to Provide Subsistence, Education, Medical Care or Other Care
 R154 Dependent

R155 Permanent Termination of Parental Rights

OTHER JURISDICTION - JUVENILE
 R250 Request Consent for Marriage
 R251 Request Consent for Employment
 R252 Request Consent for Enlistment
 R253 Request Expungement of Record
 R254 Determination of Custody on Transfer From District Court
 R255 Change of Custody
 O256 Violation of Probation
 R257 Request For Renewal of Custody
 R258 Request Termination
 R259 Other (specify)
 R260 Review Hearing
 R261 Probation Officer Progress Report
 R262 Probation Officer Transfer
 R263 Request Restoration of Custody

ADMINISTRATIVE

R300 Supervision Under Interstate Compact
 R301 Supervision - Other District
 R302 Supervision of Parolee
 R303 Investigation For Other Agency
 R304 Courtesy Supervision
 R309 Other Administrative Case (specify)

ADULT CASES

350 Contribution to Delinquency of a Minor
 351 Contributing to Neglect of Child
 352 Willful Abuse, Neglect or Abandonment
 353 Contempt of Court - Adult
 359 Other (specify)

TRAFFIC VIOLATIONS

MOVING VIOLATIONS

O400 Minor Speeding (1-9 over)

0401 Intermediate Speeding (10-19)
 0402 Major Speeding (20 or more over)
 04031 Too Fast For Existing Con. ditions
 0404 Speed Contest or Exhibition of Speed
 0405 Stop Sign
 0406 Stop Light
 0407 Improper Turn
 0408 Follow Too Close
 0409 Improper Lookout
 0410 Failure to Yield Right of Way
 0411 Negligent Collision
 M412 Hit & Run
 0413 Reckless Driving
 M414 Driving Under Influence of Intoxicants
 0415 Leaving Scene of Accident
 0419 Other (specify)

NON-MOVING VIOLATIONS

0420 No Driver's License
 0421 Violation of Learner's Permit
 0422 Violation of Suspended Revocation of Court Order
 R423 Improper Registration
 R424 Improper Muffler
 R425 Other Mechanical Defect
 0426 Altered or Misuse of Driver's License
 R427 Parking Violation
 0428 Violations of Pedestrian
 0429 Other (specify)

CLOSURE CODES

NON-JUDICIAL

N501 Non-Judicial Adjustment by Court Through Its Probation Department
 P502 Probation-Interstate Compact
 P503 Supervision of Parolee
 N504 Investigation For Other Agency
 505 Other (specify)
 508 Form Letter

CLOSED WITHOUT ACTION

N510 Insufficient Facts to Justify Referral
 N511 Referral Returned - Request of Referrer

CLOSED WITHOUT ACTION (cont.)

- N512 Other (specify)
 N513 Referred to Another Agency
 N514 Unable to Locate
 N515 Forwarded to Another Court's Jurisdiction
 N516 Runaway-Transient Returned to His Home
 J517 Returned to State Industrial School

DISMISSAL OF PETITION

- N520 Dismissed With Admonishment
 N521 Dismissed - Lack of Evidence
 N523 Dismissed

PLACED UNDER SUPERVISION OF COURT

- P601 Probation
 P602 Probation & Restitution
 P603 Probation & Fine
 P604 Probation & Work Order
 P605 Probation, Restitution & Fine
 P606 Probation, Restitution, Fine & Work Order
 P607 Probation, Fine & Work Order
 P608 Protective Supervision
 P609 Probation Out of State Under Interstate Compact
 P610 Other (specify)
 P611 Probation Without Active Supervision

PLACED UNDER SUPERVISION OF AGENCY

- P621 Protective Supervision by Welfare Department
 P622 Protective Supervision by Agency Other Than Welfare Department
 P623 Protective Supervision by Individual
 P624 Probation, Supervision by Welfare Department
 P625 Probation, Supervision by Agency Other Than Welfare Department
 P626 Probation, Supervision by Individual
 P627 Other (specify)

LEGAL CUSTODY OR GUARDIANSHIP OR BOTH TRANSFERRED

- J701 Committed to State Industrial School
 J702 Committed to State Training School
 J703 Committed to State Hospital
 J704 Committed to Other Institution
 P705 Temporary Guardianship to Welfare Department
 P706 Temporary Guardianship to Agency Other Than Welfare Department
 707 Temporary Guardianship to Individual Other Than Parent
 708 Temporary Guardianship to Other Parent
 709 Parental Rights Permanently Terminated, Guardianship to Welfare Department
 710 Parental Rights Permanently Terminated, Guardianship to Agency Other Than Welfare Department
 711 Parental Rights Permanently Terminated, Guardianship to Individual
 712 Parental Rights of One Parent Permanently Terminated, Guardianship to Other Parent
 713 Other (specify)
 714 Custody and/or Guardianship Restored to Original Condition

OTHER DISPOSITIONS

- N801 Suspended Commitment to State Industrial School
 F802 Restrained From Driving
 F803 Fine
 F804 Restitution
 F805 Work Order
 F806 Restitution & Fine
 F807 Fine & Work Order
 F808 Restitution & Work Order
 F809 Fine, Restitution & Work Order
 N810 Jurisdiction Terminated After Admonishment
 N811 Jurisdiction Terminated
 N812 Attend School
 N813 Restrained, Other
 J814 Jail
 815 Certified For Criminal Proceeding
 N816 Treatment, Medical or Psychological
 N817 Consent to Marriage
 N818 Consent for Employment

OTHER DISPOSITIONS (cont.)

N819 Consent to Enlist
 N820 Other (specify)
 R821 Request for Expungement Granted
 R822 Request For Expungement Denied
 R823 Jurisdiction Continued
 R824 Previous Order of the Court Cont.
 N825 Sentence Suspended
 N826 Admonished
 N827 Traffic School
 N828 Write an Essay
 J829 Returned to State Industrial
 School
 N830 Stayed Commitment State Industrial
 School
 J831 Recommitment State Industrial School
 R832 Paroled From State Industrial School
 R833 Released From State Industrial
 School
 R834 Released From Probation - Juris-
 diction Continued

TREATMENT RESOURCE CODES

ITU	Intensive Treatment Unit
CMH	Community Mental Health
ICS	Initial Counseling Service
FSS	Family Service Society
CSS	Children Service Society
LDS	LDS Youth Guidance
CAC	Catholic Charities
CAS	Childrens Aid Society
USH	Utah State Hospital
PSY	Private Psychotherapist
ORS	Vocational Rehabilitation

COUNTY CODES

01	Beaver	16	Piute
02	Box Elder	17	Rich
03	Cache	18	Salt Lake
04	Carbon	19	San Juan
05	Daggett	20	Sanpete
06	Davis	21	Sevier
07	Duchesne	22	Summit
08	Emery	23	Tooele
09	Garfield	24	Uintah
10	Grand	25	Utah
11	Iron	26	Wasatch
12	Juab	27	Washington
13	Kane	28	Wayne
14	Millard	29	Weber
15	Morgan		

DISTRICT IBOX ELDER COUNTY 02

401	Bear River
402	Beaver Dam
403	Bothwell
404	Brigham City
408	Corinne
409	Deweyville
410	Fielding
411	Garland
412	Grouse Creek
414	Honeyville
415	Howell
416	Mantua
417	Perry
418	Plymouth
419	Portage
420	Promontory
421	Riverside
422	Rosette
423	Snowville
424	Thatcher
425	Tremonton
426	Willard
427	Yost
428	Unincorporated areas

CACHE COUNTY 03

301	Avon
302	Clarkston
303	Cornish
304	Cove
305	Hyde Park
306	Hyrum
307	Lewiston
308	Logan

312	Mendon
313	Millville
314	Newton
315	Nibley
316	North Logan
317	Paradise
318	Providence
319	Richmond
320	River Heights
321	Smithfield
322	Trenton
323	Wellsville
324	Unincorporated Areas

DAVIS COUNTY 06

Bountiful:

201	North of 500 South to City Limits Between East & West City Limits
202	South of 500 South to City Limits Between East & West City Limits

205	Centerville
206	Clearfield
209	Clearfield Job Corp
210	Clinton
211	East Layton
212	Farmington
213	Kaysville
215	Layton
217	Layton
218	South Weber
219	Sunset
220	Syracuse
221	Weber Basin Job Corp
222	West Bountiful
224	West Point
226	Woods Cross
227	Unincorporated Areas

MORGAN COUNTY 15

501	Croyden
502	Civils Slide
503	Milton
504	Morgan
505	Mountain Green
506	Porterville
507	Stoddard
508	Unincorporated Areas

RICH COUNTY 17

601	Garden City
602	Laketown
603	Pickleville

RICH COUNTY 17 (cont.)

- 604 Randolph
- 605 Round Valley
- 606 Woodruff
- 607 Unincorporated Areas

WEBER COUNTY 29

- 100 Eden
- 101 Hooper
- 102 Huntsville
- 103 Liberty
- 104 North Ogden

Ogden City:

- 105 West of Washington Blvd. to R.R. Tracks Between South City Limits & 17th St.
- 106 West of Washington Blvd. to R.R. Tracks Between North City Limits & 17th Street
- 107 Bound by Washington Blvd. & Harrison Blvd. between 17th St. & 36th St.
- 108 Bound by Washington Blvd. & Harrison Blvd. Between North City Limits & 17th St.
- 109 East of Harrison Blvd. to City Limits Between 17th St. & 36th St.
- 110 East of Harrison Blvd. to City Limits Between North City Limits & 17th St.
- 111 East of West City Limits Between South City Limits & 36th St.
- 112 West of R.R. Tracks to City Limits Between North & South City Limits

- 115 Ogden Canyon
- 116 Plain City
- 117 Pleasant View
- 118 Riverdale
- 119 Roy
- 122 South Ogden
- 125 Taylorville
- 126 Uintah
- 127 Washington Terrace
- 130 Unincorporated Areas

DISTRICT IISALT LAKE COUNTY 18

Salt Lake City:

- 103 North of North Temple to County Line; West of Interstate to County Line

- 104 North of 9th South to County Line; West of State Street to the Interstate
- 105 North of South Temple to County Line; East of State St. to County Line
- 106 North of 21st South to North Temple; West of Interstate to City Limits
- 107 North of 9th South to South Temple; East of State St. to City Limits
- 108 East of Interstate to 13th East; South of 9th South to 21st So. Except East of 5th East Extending to 27th South
- 109 North of 27th South to 9th South; East of 13th East to County Line
- 110 Magna
- 111 Granger, Hunter
- 112 South Salt Lake
- 113 Holiday
- 114 Kearns
- 115 Taylorsville, West Jordan, Riverton
- 116 Murray
- 117 Southeast County (Draper)
- 118 Midvale - Sandy

SUMMIT COUNTY 22

No Areas Codes Used

TOOELE COUNTY 23

No Area Codes Used

DISTRICT IIIJUAB COUNTY 12

- 077 Trout Creek
- 628 Eureka
- 639 Levan
- 641 Mammoth
- 645 Mona
- 648 Nephi

MILLARD COUNTY 14

- 624 Delta
- 625 Deseret
- 631 Fillmore
- 635 Hinckley
- 636 Holden
- 637 Kanosh
- 638 Lexington
- 640 Lynndyl

MILLARD COUNTY 14 (cont.)

644 Meadow
 649 Oak City
 650 Oasis
 656 Scipio
 728 Garrison

SANPETE COUNTY 20

621 Axtell
 622 Centerfield
 623 Chester
 626 Elberta
 627 Ephraim
 629 Fairview
 630 Fayette
 632 Fountain Green
 634 Gunnison
 642 Manti
 643 Mayfield
 646 Moroni
 647 Mt. Pleasant
 662 Spring City
 665 Sterling
 667 Wales

UTAH COUNTY 25

003 American Fork
 043 Lehi
 057 Orem
 062 Pleasant Grove
 601 Provo
 626 Elberta
 651 Payson
 653 Salem
 655 Santaquin
 660 Spanish Fork
 663 Springville
 666 Thistle

WASATCH COUNTY 26

032 Heber City
 049 Midway
 082 Wallsburg

DISTIRCT IVBEAVER COUNTY 01

713 Beaver
 731 Greenville
 751 Milford
 752 Minersville

GARFIELD COUNTY 09

712 Antimony

716 Boulder
 717 Bryce Canyon
 718 Cannonville
 726 Escalente
 735 Hatch
 736 Henrieville
 759 Panquitch
 776 Tropic

IRON COUNTY 11

714 Beryl
 721 Cedar City
 742 Kanarraville
 748 Lund
 753 Modena
 756 Newcastle
 760 Paragonah
 761 Parowan
 772 Summit

KANE COUNTY 13

710 Alton
 729 Glendale
 741 Kanab
 755 Mount Carmel
 758 Orderville

PIUTE COUNTY 16

732 Greenwich
 740 Junction
 743 Kingston
 750 Marysville

SEVIER COUNTY 21

620 Aurora
 652 Redmond
 654 Salina
 657 Rigurd
 701 Richfield
 711 Annabella
 722 Central
 724 Elsinore
 730 Glenwood
 739 Joseph
 744 Koosharem
 754 Monroe
 766 Sevier
 777 Venice

WASHINGTON COUNTY 27

725 Enterprise
 733 Gunlock
 737 Hurricane
 738 Ivins
 745 LaVerkin

BOX 37(LA) CHILD'S LIVING ARRANGEMENT

- A. Natural Parents
- B. Adoptive Parents
- C. Mother and Stepfather
- D. Father and Stepmother
- E. Mother Only
- F. Father Only
- G. With Spouse
- H. With Step-Parent
- I. With Relatives
- J. Foster Home
- K. Institution
- Z. Other

BOX 38(RA) RELIGIOUS ACTIVITY

- A. Active
- I. Inactive

BOX 39(E) PARENT'S EMPLOYMENT

- 1. Father Working
- 2. Mother Working
- 3. Both Working
- 4. Neither Working

BOX 40(I) PARENT'S INCOME

- 1. Public Assistance
- 2. Under \$3,000
- 3. \$3,000 - \$4,999
- 4. \$5,000 - \$9,999
- 5. \$10,000 & Over

BOX 41(MS) PARENT'S MARITAL STATUS

- 1. Parents Living Together
- 2. Father Deceased
- 3. Mother Deceased
- 4. Both Parents Deceased
- 5. Divorced or Separated
- 6. Father Deserted
- 7. Mother Deserted
- 8. Parents Not Married
- 9. Other

BOX 42(E) CHILD'S EMPLOYMENT

- 1. Full Time
- 2. Part Time
- 3. Not Employed

BOXES 43 - 44(GR) LAST GRADE COMPLETED

- 00 Through 12
- 20 Special Education

BOX 45(PL) SCHOOL PLACEMENT

- 1. Not in School
- 2. Retarded
- 3. Average
- 4. Advanced

BOX 46(PR) SCHOOL PROGRESS

- 0. Not Applicable
- 1. Average or Better
- 2. Poor
- 3. Failing

BOX 47(PR) SCHOOL BEHAVIORAL PROBLEM

- Y. Yes
- N. No.

BOX 63ATTORNEY REPRESENTATION

- 1. County Attorney Only
- 2. Juvenile Attorney Only
(Privately Retained)
- 3. Juvenile Attorney Only
(Court Appointed)
- 4. County Attorney &
Juvenile Attorney
(privately Retained)
- 5. County Attorney &
Juvenile Attorney
(Court Appointed)

VITA

Sung-Ik Song

Candidate for the degree of
Master of Science

Thesis: Comparison of Transition Matrices between Metropolitan and Non-metropolitan areas in the State of Utah using Juvenile Court Data

Major Field: Applied Statistics

Biographic Information:

Personal Data: Born at Seoul, Korea, February 10, 1941, son of Heung Kook Song and Yong Hee Lee.

Education: Graduate from Kyunggi High School in 1959, received a Bachelor of Arts from Seoul National University with a major in Sociology in 1963, completed requirements for Master of Science at Utah State University in 1974.