

1 **Two Phases of Seasonal Stem Radius Variations**  
2 **of *Sabina przewalskii* Kom. in Arid Northwestern**  
3 **China Inferred from Sub-Daily Shrinkage and**  
4 **Expansion Patterns**

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21

22 **Abstract**

23 To enhance the understanding of the dynamics of tree-ring growth during the year, we investigated  
24 stem radius variations of six Qilian juniper (*Sabina przewalskii* Kom.) trees using high-resolution  
25 dendrometer measurements taken at the Sidalong Forestry Station in the Qilian Mountains National  
26 Nature Reserve of China. The seasonal stem radius variations were divided into two phases because of  
27 different sub-daily shrinkage and expansion patterns. When associated to climatic parameters, the  
28 boundaries of the two phases corresponded with the occurrence of 0 °C mean air temperature. We found  
29 that *phase 1* in 2010 and 2011, respectively, was most appropriate to eventually performed growth and  
30 climate relationships in this cold and arid region as these periods likely implied the whole season of  
31 stem radius increase. From the long-term trend of stem radius variations, May to August could be  
32 deduced as the main radial growth period, which corresponded with high air temperature and heavy  
33 precipitation in 2010 and 2011. The use of sub-daily shrinkage and expansion patterns method thus  
34 provides deeper insight into stem radius growth activities.

35 **Keywords** Dendrometers, sub-daily shrinkage and expansion patterns, *Sabina*  
36 *przewalskii* Kom., Qilian Mountains

37

## 38 **Introduction**

39 The understanding of tree growth processes is at the basis of dendroclimatology in order to reconstruct  
40 past climatic history (Fritts 1976). Traditionally, scientists did not pay attention to tree species located  
41 in cold and arid regions because they have very significant seasonal rhythms. The Qilian Mountains  
42 region, located in the middle latitude arid areas in northwestern China, is one of the most sensitive  
43 areas to global climate change. The species Qilian juniper (*Sabina przewalskii* Kom.) growing in this  
44 region is a long-lived species that has been used to develop the longest known chronology in China  
45 (Shao et al., 2010). However, there are still debates about the relationships between tree rings and  
46 climate, even within the same research areas. Although several studies, such as Liu et al. (2005) and  
47 Liu et al. (2006), found that temperature had a positive effect on Qilian juniper annual growth, standard  
48 dendroclimatological analyses carried out in the same regions showed that tree-ring width had  
49 consistent effects related to precipitation but not to temperature (Zhang and Wu 1997; Kang et al. 2003;  
50 Qin et al. 2010; Yang et al. 2010; Yang et al. 2011; Yang et al. 2012). These reconstructions were based  
51 on the correlations between tree-ring width and observed meteorological data, and did not consider the  
52 actual physiological mechanisms due to lack of understanding of dynamics of tree-ring growth.

53 A more detailed approach based on intra-annual monitoring of tree-growth and meteorological  
54 dynamics could considerably refine our understanding of these conflicting results in this important  
55 environment. Use of automated precision dendrometers is a traditional standard method to measure  
56 stem radius variation (Fritts 1962; Deslauriers et al. 2007; Drew and Downes 2009) with high precision.  
57 It can provide continuous monitoring of stem radial variations throughout the year, which is crucial for  
58 understanding the tree's reaction to short-term changes in environmental conditions such as  
59 temperature, soil water content, and rainfall (Deslauriers et al. 2007).

60 Due to the reversible stem shrinking and swelling, dendrometers have been criticized when used to  
61 measure short-term growth rates (Zweifel and Häsler 2001; Mäkinen et al. 2003). Nevertheless, in the  
62 past decade high-precision dendrometers have been used in different tree species and environments to  
63 describe stem radius growth phenology and/or assess growth – climate relationships in: *Tabebuia*  
64 *chrysantha* (Volland-Voigt et al. 2009; Volland-Voigt et al. 2011), *Podocarpus falcatus*, *Pinus patula*,  
65 *Prunus Africana*, and *Celtis africana* (Krepkowski et al. 2010), *Pinus hartwegii* (Biondi and Hartsough  
66 2010; Biondi et al. 2005), ten species such as *Cedrela cf. Montana* and *Clethra revoluta* (Bräuning et al.  
67 2008; Bräuning et al. 2009) in tropical mountain forest, *Pinus sylvestris* (Oberhuber and Gruber 2010)

68 in dry inner Alpine valley, *Picea mariana* (Deslauriers et al. 2007; Turcotte et al. 2009) in boreal forest  
69 and *Picea abies* (Bouriaud et al. 2005) in temperate forest, *Fitzroya cupressoides*, *Nothofagus nitida*  
70 and *Podocarpus nubigena* (Pérez et al. 2009) in temperate montane coastal rainforests, *P. violacea* and  
71 *C. mopane* (February et al. 2007) in sub-tropical arid savanna, and even clones of *Populus* in botany  
72 research (Giovannelli et al. 2007). Qilian juniper is one of the slow-growing species in cold and arid  
73 environment. And in traditional dendroclimatology research, tree-ring width of Qilian juniper was  
74 thought to be more narrow than other species (eg: Qinghai spruce) in the same areas.

75       However, there were still no studies on Qilian juniper to assess the stem growth dynamics, which is,  
76 because of its reduced growth, the first step to identify the seasonal periods of stem variation during the  
77 year before performing any growth and climate relationships. Understanding the dynamics of stem  
78 radius growth would help for past climate history reconstruction in arid northwestern China in order to  
79 develop a prospect to solve reconstruction disagreement in traditional dendroclimatology in this region.  
80 Therefore, due to extreme and rhythmic environmental conditions influencing tree growth, the seasonal  
81 stem radius variations can be divided in different phases as stem radius change may have different  
82 physiological response mechanisms to climatic factors (Turcotte et al. 2009).

83       We used two-year data series to (1) determine whether dendrometer measurements were suitable to  
84 monitor slow-growing tree species in a cold and arid environment, and (2) to determine the timing of  
85 the different phases related with stem radius variations patterns during the year.

86

87 **Materials and Methods**

88 **Study area**

89 The investigation was undertaken in the Sidalong Forestry Station of the Qilian Mountains National  
90 Natural Reserve. The study area was located on the north slope of the middle Qilian Mountains in  
91 northwestern China (Fig. 1). The site represent a mountainous virgin forest with abundant vegetation  
92 dominated by Qilian juniper (*Juniperus przewalskii* Kom.) on sunny slopes and Qinghai spruce (*Picea*  
93 *crassifolia* Kom.) on cloudy slopes (Fig. 2). The study area is located in the upper section of the Heihe  
94 River, deep in the hinterland of the Qilian Mountains with extremely difficult vehicle access, so there is  
95 no human interference with the tree growth environment except for a few herdsmen occasionally  
96 looking for their livestock. Our research site is in the Qilian juniper pure forest with little shrubs of  
97 *Caragana jubata*, *Dasiphora fruticosa* and *Nitraria sibirica* on the sunny slopes, and the soil was  
98 classified as a mountain brown forest soil type with an average pH value of 7.5 (Yang et al. 2008).

99 The climate of the region is continental with cold winters and warm summers, and may be sensitive  
100 to variations in the strength of different atmospheric circulation patterns because of its proximity with  
101 the northwestern boundary of the Asian summer monsoon (Yang et al. 2010). According to the  
102 meteorological station Qilian (38.11° N, 100.15° E, 2,787 m a.s.l.) close to the study area, the mean  
103 January and July temperatures are -13.13 and 13.02 °C, respectively. Mean annual precipitation  
104 amounts 403.29 mm for the period 1957 – 2007. The precipitation from May to September occupy  
105 89.97% of the annual total (Fig. 3).

106 **Dendrometer measurements**

107 To study short-term stem radius variations, high-resolution point and band dendrometers (Ecomatik,  
108 Germany; type DR and DC2, accuracy  $\pm 2 \mu\text{m}$ , temperature coefficient  $< 0.1 \mu\text{m/K}$ ) were installed in  
109 2010 at heights ranging from 0.5 to 1.5 m above ground on six Qilian junipers which were healthy and  
110 upright, with circumference ranging from 36 to 85 cm. To reduce the influence of expansion and  
111 shrinkage processes in the bark, some parts of the outer bark were removed without wounding the  
112 cambial zone. Stem radius variations of the six studied trees were automatically registered each 30-min  
113 intervals and saved in dendrometer data loggers (Ecomatik, Germany). Due to technical problems, the  
114 measurements of the four trees did not started on the same date; therefore, to compare the differences  
115 of stem radius change rhythm between different altitudes, four of the trees were measured at the lower  
116 limit (LL) and the other two at the middle location (ML) of the forest (Table 1).

117 The daily maximum values of stem radius variations were calculated from dendrometer raw data  
118 and were used to delineate the common and different characteristics among the six studied trees. As the  
119 dendrometer type DC2 measured stem circumference change, the average stem radius variations of  
120 LL2 and ML2 trees were transformed to radius values by dividing the values obtained by  $2\pi$ . The daily  
121 amplitudes of stem radial changes were calculated as the differences between the daily maximum and  
122 the minimum values. Also, the exact appearance times of the daily extreme values (maximum and  
123 minimum) were computed as a frequency distribution among the daily hours (from 0:00 to 23:30) to  
124 gain deeper insight into the sub-daily shrinkage and expansion patterns of stem radius change.

### 125 **Microclimate records**

126 A 2-m-high automated weather station was installed at an exposed and flat space in LL (38°26.27' N,  
127 99°55' E, 2,859 m a.s.l.). Level distances were less than 50 m from the station to LL1, LL2, LL3 and  
128 LL4. Several environmental parameters (precipitation, air temperature, soil temperature, soil water  
129 content [at 20 and 40 cm underground, respectively], relative humidity, barometric pressure, solar  
130 radiation, and photosynthetic active radiation) were automatically measured at one-hour intervals and  
131 stored in a data logger (Delta-T Devices Ltd, U.K., Type DL2e) beginning on March 6 in 2010. The  
132 daily precipitation records between the LL weather station and the Xiang Yangtai meteorological  
133 station (38°26.79' N, 99°53.87' E, 2,670 m a.s.l.) were compared to test the accuracy of the LL weather  
134 station. A high consistency between the two series was found. However, from March 7 to October 27 in  
135 2010, the sum of precipitation at LL was 407.40 mm, higher than the Xiang Yangtai Station records  
136 (364.60 mm), which may suggest an altitudinal effect on precipitation in this area.

137

138 **Results**

139 **Sub-daily stem radius variations: The hypothesis of ‘two phases’**

140 To gain deeper insight into the sub-daily stem radius variations, we calculated the daily maximum and  
141 minimum values distribution frequency in local daily hours from 0:00 to 23:30 at 30-min intervals (Fig.  
142 4). Figure 4 presents the frequency distribution of the daily min and max over the whole measurement  
143 periods for the six sample trees. The black horizontal lines represent the mean distribution frequency to  
144 every hour point. Above these black lines, the six trees each revealed a distinct bimodal shape. The  
145 daily max and min values both appeared in the morning and afternoon except at LL1. LL1 has the  
146 longest measurement period including the whole season of stem radius growth in two years. Due to the  
147 long winter period of dendrometer measurement, the frequency distribution of LL2, LL3, LL4, ML1  
148 and ML2 showed that stem shrinkage and expansion patterns are different to LL1, reach the daily  
149 maximum both in the afternoon and in the next morning. The beginnings and ends of days,  
150 corresponding with 0:00 and 23:30, respectively, also contained a certain proportion of the extreme  
151 values distribution.

152 Temporal point diagrams of the sub-daily shrinkage and expansion patterns were presented in order  
153 to illustrate the timing of expansion and contraction over two years (Fig. 5). The red dots represent the  
154 timing of daily maximum values, representing the end of expansion, and the blue triangles represent  
155 daily minimum values, representing the end of stem contraction. In LL1, period during late April to  
156 October in 2010, sub-daily data indicate marked regular patterns of daily maximum values occurring in  
157 the morning and minimum values in the afternoon. However, from the end of October to March in 2011,  
158 the shrinkage and expansion patterns completely inversed: the daily maximums appear in the afternoon  
159 while daily minimums appear in the morning. During the end of March to early November in 2011, the  
160 sub-daily shrinkage and expansion patterns inversed again. According to these patterns, several time  
161 intervals were defined and correspond with *phase 1* from April 27 to October 23 in 2010 and from  
162 March 29 to November 3 in 2011, respectively; *phase 2* from October 24 in 2010 to March 28 in 2011.  
163 *Phase 1* generally revealed maximum values in the morning and minimum values in the afternoon; and  
164 *phase 2* showed abnormal patterns with maximums observed in the afternoon and minimums observed  
165 in the morning.

166 The other five trees (LL2, LL3, LL4, ML1 and ML2 in Fig. 5) displayed basically the same  
167 sub-daily patterns, and the partition of each phase consistently began from the same date, similar to

168 LL1. Despite the consistent trends of the six trees, there are slight differences in the sub-daily shrinkage  
169 and expansion patterns.

#### 170 **Seasonal stem radius variations**

171 Over two years, stem radius variations of the six sample trees display synchronism in the observed  
172 pattern (Fig. 6a, b). *Phase 1* corresponds with the continuous and steady ascending trend until the end  
173 of this period, and *phase 2* demonstrates a drastic decreasing trend in the early part and increasing trend  
174 in the late part of stem radius variations.

175 For more detailed comparisons among the six trees, daily amplitudes were calculated (Fig. 7). To  
176 some degree, the daily amplitudes indicated the extent of stem radius change: lower daily amplitudes  
177 represent gentle change while higher daily amplitudes reveal drastic change. Compared with other five  
178 trees, the daily amplitudes of LL1 were lower than the others, the maximum values were about 300  $\mu\text{m}$ ,  
179 while LL2 and ML1 had higher values in the range of 500  $\mu\text{m}$ . Compared with *phase 2*, the daily  
180 amplitudes of all trees in *phase 1* revealed more moderate patterns. Meanwhile, the highest and the  
181 lowest daily amplitudes were concentrated in *phase 2*, respectively. Both in the early part and late part  
182 of *phase 2*, daily amplitudes revealed abnormally high values, while in the middle part (from December  
183 5 in 2010 to February 2 in 2011), all of the sample trees' daily amplitudes presented negligible values.

#### 184 **Correspondence between stem radius variations and the two phases**

185 To assess the correspondence between stem radius variations and climatic factors, the climatic  
186 parameters of the two phases were compared with Figure 6a, b. The daily maximum, minimum, and  
187 mean air temperature, relative humidity, precipitation, soil temperature and soil water content (20 cm  
188 and 40 cm below ground, respectively) were presented in Figure 6c, d, e. The dividing lines of the two  
189 phases highly corresponded with the 0 °C of the daily mean air temperature. In other words, the mean  
190 air temperature increased above 0 °C at the beginning of *phase 1* and the ending of *phase 2*.

191 From April 27 to October 23 in 2010 (*phase 1*), the mean air temperature was consistently above 0  
192 °C, although on May 17 and October 14, it briefly dropped below 0 °C. This period corresponds with  
193 dates of the main rainfall period, in which the total precipitation (343.80 mm) accounted for 83.73 % of  
194 the whole measurement in 2010 (410.60 mm). The sub-daily shrinkage and expansion patterns have  
195 distinct patterns which agreed with normal view that daily maximums appear in the morning and  
196 minimums appear in the afternoon. The measured stem size variations may reflect stem radius growth  
197 and water fluctuation; accordingly, stem radius measurements increased about 0.85 mm during this



198 period at LL1, as shown in Figure 6a. The diurnal stem radius changes depend on physiological  
199 activities and were not directly sensitive to the temperature course (Fig. 8a). In the early and middle  
200 parts of this period (May to August), the stem radius expansion highly corresponded with heavy rain  
201 after several days of drying (eg: the stem size showed continuous expansion over 48 hours from May  
202 24 to 26 in figure 8a). However, in the late part of this period (after September), with decreasing daily  
203 stem radius amplitudes, daily stem radius variations showed no obvious response to precipitation.

204 *Phase 2* which from October 24 in 2010 to March 28 in 2011 could be divided into three periods  
205 according to different daily stem radius amplitudes. In the first period (from October 24 to December 4  
206 in 2010), the daily stem radius amplitudes revealed abnormally high values, the mean air temperature  
207 decreased below 0 °C, but the maximum air temperature was above 0 °C until December 4.  
208 Precipitation, relative humidity, soil temperature, and soil water content also demonstrated a decreasing  
209 tendency. The mean soil temperature (20 cm underground) dropped to below 0 °C until December 3.  
210 Stem radius variations revealed a drastic decreasing trend, which was mainly influenced by temperature.  
211 The trees exhibited weak physiological activities in this period, when the maximum air and soil  
212 temperatures were still above the freezing point (Fig. 8b). This caused the daily stem radius maximum  
213 values to not consistently correspond with the maximum air temperature values. The second period was  
214 from December 5 to February 2 in 2011. The daily amplitudes of all the sample trees consistently  
215 remained at very low levels, revealing that the daily stem radius variations were very limited. From  
216 December 5, the maximum air temperature fell below 0 °C and reached its lowest value (– 13.68 °C)  
217 on December 15. In the end of this period, the decreasing daily stem radius variations reached its  
218 lowest levels, with the dendrometer measurements decreasing about 0.64 mm (LL1), 1.02 mm (LL2),  
219 1.05 mm (LL3), and 1.6 mm (ML1) compared with values on October 24 (the beginning of *phase 2*).  
220 The daily stem radius variations may only reflected physical shrinkage and expansion caused by air  
221 temperature (Fig. 8c). The sub-daily overall shrinkage and expansion patterns had relatively distinct  
222 patterns but there was little distinction between the individual sample trees. In the third period (from  
223 February 3 to the end of *phase 2*), the daily amplitudes turn up high levels again, both of air and soil  
224 temperature had increasing tendency. As the maximum air temperature increased above 0 °C on  
225 February 3 and reached its maximum value at 12.12 °C on March 28, the daily stem radius variations of  
226 all the six sample trees were increased rapidly around the same date (Fig. 8d). During only two days  
227 (February 3 to 4 in 2011), the stem radius increasing about 0.41 mm (LL1), 0.38 mm (LL2), 0.49 mm

228 (LL3), 0.42 mm (LL4), 0.78 mm (ML1) and 0.32 mm (ML2).

229 *Phase 1* from March 29 to November 3 in 2011 was similar to *phase 1* in 2010, the mean air  
230 temperature was consistently above 0 °C, although it briefly dropped below 0 °C occasionally. Air  
231 temperature, relative humidity, soil temperature, and soil water content had rapidly increased in the  
232 early part of *phase 1* in 2011. And this period corresponds with dates of the main rainfall date, in which  
233 the total precipitation (422.40 mm) accounted for 98 % of the whole measurement in 2011 (431.00  
234 mm). Compared with *phase 2*, the sub-daily shrinkage and expansion patterns in this phase reversed,  
235 that daily maximums appear in the morning and minimums appear in the afternoon. The stem radius  
236 measurements increased about 0.98 mm (LL1), 3.36 mm (LL2), 1.53 mm (LL3), 2.37 mm (LL4), 1.68  
237 mm (ML1) and 1.65 mm (ML2) during this period as shown in Figure 6a, b. The diurnal stem radius  
238 changes depend on physiological activities and were not directly sensitive to the temperature course  
239 (Fig. 8e). Compared with *phase 1* in 2010, the *phase 1* in 2011 had longer stem growth period, since  
240 the longer duration of the 0 °C mean air temperature in 2011.

241

## 242 **Discussion**

### 243 **Illustration of sub-daily shrinkage and expansion patterns**

244 The sub-daily shrinkage and expansion patterns of stem radius variations in trees had been shown in  
245 many studies to reach a minimum in mid to late afternoon and a maximum in the early morning, driven  
246 by water movement in the tree through the soil-plant-atmosphere interface (see overview, Drew and  
247 Downes 2009). Based on the patterns of stem shrinkage and expansion, the daily stem radius variations  
248 cycles have been described in terms of either five phases (Herzog et al. 1995) or three phases (Downes  
249 et al. 1999; Deslauriers et al. 2003; Deslauriers et al. 2007). In this way the maximum daily variation  
250 and increment could be extracted from the dendrometer data each day. The method to define the diurnal  
251 changes in stem size had been labeled the *stem cycle approach* by Deslauriers et al. (2007). This  
252 method had been used to quantitatively study stem growth dynamics and compare them with other  
253 environmental parameters over different species and regions (Downes et al. 1999; Deslauriers et al.  
254 2003; Deslauriers et al. 2007; Krepkowski et al. 2010). This method provided a useful way to calculate  
255 relationships between stem radius growth and climatic parameters with dendrometer measurements.  
256 However, our study indicated that the sub-daily shrinkage and expansion patterns were not all the same  
257 due to seasonal climatic and environmental changes in cold and arid regions. Stem radius variations in  
258 *phase 1* consisted of diurnal rhythms of water storage depletion and replenishment and seasonal tree  
259 growth. In *phase 1*, stem radius shrinkage was due to transpiration during the daytime, while expansion  
260 was due to roots imbibing water from the soil during late afternoon and the night to replenish daytime  
261 usage. Therefore, the daily maximums were normally distributed in the morning and the daily  
262 minimums were distributed in the afternoon. Certainly, the heavy rain or continuous drought events  
263 during growth period could lead to consecutive expansion or shrinkage. Therefore, the daily stem  
264 radius variations cycle may last more than 24 h, and this could explain the certain proportion of the  
265 extreme values distribution at the beginnings (0:00) and ends (23:30) of days in Figure 4 and 5.

266 During *phase 2*, the daily stem radius changes were mainly influenced by temperature. The  
267 differences in air temperature between day and night were the main reason for daily amplitudes of stem  
268 radius changes. From late October to early December, the daily maximum temperature was greater than  
269 0 °C and the daily minimum temperature was below – 10 °C; the great differences in daily temperature  
270 could explain the abnormally high levels of daily amplitudes of all the sample trees during this period.

271 Our study agreed with Deslauriers et al.'s (2007) finding in the eastern Italian Alps that the period

272 used for growth and climate analysis should correspond only with the main period of stem growth,  
273 excluding the few weeks at the beginning or end of stem radial increase when measurement variability  
274 was greater than radial growth. From the sub-daily shrinkage and expansion patterns in our data, we  
275 concluded that *phase 1* was most appropriate to analyze stem radius growth relationships with other  
276 parameters in this cold and arid region.

#### 277 **Dynamics of seasonal stem radius variations**

278 The long-term trend of the dendrometer curves indicated a pronounced seasonality of cambial activity,  
279 inferring that the whole season of stem radius increase corresponded with *phase 1*. The maximum daily  
280 amplitudes values located in the middle part of *phase 1* (May to August) corresponded with the highest  
281 air temperature and most abundant precipitation, possibly illustrating the main timing of stem radius  
282 increase. This conjecture is consistent with the research conclusions of Oladi et al. (2011) in Iran. In the  
283 late part of *phase 1*, with decreasing daily stem radius amplitudes, daily stem radius changes showed no  
284 obvious response to precipitation, indicating that the physiological activities were becoming more and  
285 more weak during this time. This suggested that only a little water was being consumed by  
286 transpiration. In *phase 1*, the daily amplitudes of stem radius variations became abnormally low when  
287 the mean air temperature fell below 0 °C on May 17 (– 2.06 °C) and on October 14 (– 0.17 °C) in 2010.  
288 This indicated that the air temperature level disturbed the physiological processes. Zweifel and Häslér  
289 (2000) found that frost shrinkage was not related to a particular timing during the day and occurs when  
290 the air temperature drops below – 5 °C. In our study, the lowest temperature (– 7.93 °C) suddenly  
291 occurred on May 18 in 2010, caused the synchronous shrinkage ( as shown at the arrow in Fig. 8a), as  
292 the air temperature increased rapidly, the tree's physiological activity again became normal. It could  
293 thus be inferred that during the growing season, the average air temperature had a more important  
294 physiological effect on stem radius growth, but steadily reducing minimum air temperature probably  
295 influenced tree's growth.

296 In *phase 2*, the stem radius variations revealed a drastic decreasing trend during the period from  
297 October 24 in 2010 to February 2 in 2011. Zweifel and Häslér (2000) attributed this phenomenon to  
298 water transferred from bark to xylem. In this period the stem radius contraction was mainly caused by  
299 frost shrinkage, and swelling was mainly caused by thawing expansion. The fact that elastic living stem  
300 tissues sharply diminished in size during periods of temperatures below the freezing point had been  
301 reported in several studies (Winget and Kozłowski 1964; McCracken and Kozłowski 1965; Lemoine et

302 al. 1999). Zweifel and Häsler (2000) used freezing experiments in the laboratory to elucidate the  
303 mechanism of stem radius decreasing in winter. They defined the diurnal stem radius variations as three  
304 processes: active radius change, frost shrinkage, and thawing expansion. They found that the process of  
305 active radius change depended on physiological activities and was not directly sensitive to the  
306 temperature course; the initial shrinkage always started in the morning and the expansion began in the  
307 afternoon and lasted through the night. The sudden radius decrease in winter were caused by water  
308 transport processes between bark and wood. This explained the radius increases in spring. In the  
309 process of frost shrinkage and thawing expansion, the stem radius changes were temperature-induced.  
310 The radius expanded during the day when the temperature increased and contracted during the night,  
311 which showed an inverse day and night time pattern of active radius change. This mechanism of stem  
312 radius change proposed by Zweifel and Häsler (2000) was well demonstrated in our research. In  
313 addition, the sample trees still exhibited weak physiological activities when the maximum air and soil  
314 temperature rose above 0 °C even though the mean air temperature remained below 0 °C (Fig.8b). This  
315 adaptation strategy of physiological activities to low temperature likely explained why the trees could  
316 live in so cold an environment. Individual tree specimens exhibited different capacities for sustaining  
317 physiological activity in winter, making the situation more complex. In *phase 2*, precipitation fell  
318 mainly as snow, which had almost no direct influence on stem radius change. However, the snowfall  
319 could influence water absorption when snow start to melt in spring, which could have an indirect effect  
320 on tree growth.

321 The drastic increment of stem radius variations in late part of *phase 2* (from February 3 to March 28  
322 in 2011), were in agreement with several previous dendrometer studies (Zweifel and Häsler 2001;  
323 Deslauriers et al. 2003; Mäkinen et al. 2003). This increase in stem radius was most likely caused by  
324 increasing stem water content due to stem rehydration after winter desiccation. Generally, this period  
325 was called *spring rehydration* as the trunk size increases sharply (Tardif et al. 2001; Turcotte et al.  
326 2009). The patterns of radius variations in this period were very complex because the processes of  
327 active radius change, frost shrinkage, and thawing expansion proposed by Zweifel and Häsler (2000)  
328 may have occurred on the same day. From our study data, the *spring rehydration* ceased when the mean  
329 air temperature rose above 0 °C, after which point the sub-daily patterns of stem radius change became  
330 very regular from the beginning of *phase 1*. Nonetheless, it was difficult to distinguish the exact  
331 beginning time of stem radius growth because we could not eliminate the possibility that stem growth

332 simultaneously initiated with rehydration during *phase 2*. Therefore, other techniques such as  
333 micro-coring or pinning will have to be used to identify the precise onset of radial growth.  
334

335 **Conclusion**

336 By analyzing the sub-daily stem radius shrinkage and expansion patterns of six specimens of Qilian  
337 juniper in arid northwestern China, we confirmed the three processes of stem radius variations that  
338 were proposed by Zweifel's and Häsler's (2000) laboratory freezing experiments, and the two phases of  
339 seasonal stem radius variations in boreal forests. According to the existing research results, *phase 1*  
340 likely represents the *stem growth* seasons, the early part of *phase 2* represents the *stem winter*  
341 *shrinkage* seasons, and late part of *phase 2* represents the *stem spring rehydration* seasons. The  
342 different durations of stem growth periods in 2010 and 2011 each, which corresponded with duration of  
343 above 0 °C mean air temperature, may reflected response of tree growth to global warming in this cold  
344 and arid mountains.

345 The continuous shrinkage and expansion patterns which lasting more than 24 h could be inferred  
346 from the beginning (0:00) and end (23:30) of each day. This sub-daily shrinkage and expansion patterns  
347 method provides deeper insight into the dynamics of tree-ring growth and the relationships between  
348 tree rings formation and climatic change. To gain deeper understanding of stem radius growth  
349 dynamics in response to climatic and environmental factors, more trees of dendrometer measurements  
350 and tracking of cambial activities should be considered for future study.

351

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#### 459 **Figure Captions and Tables**

460 **Table 1** Detailed information of the six sample trees. *LL* lower limit of the forest; *ML* middle location  
461 of the forest; *CIR* circumference; *T* the beginning time of dendrometer measurement in 2010 (LL1,  
462 LL2, LL3, ML1) and 2011 (LL4, ML2); *D* type of dendrometers

463 **Fig. 1** Map showing the location of the research site (*triangle*) in the Qilian Mountains

464 **Fig. 2** Two photographs of the study area. **a** The vegetation of the study area, dominated by Qinghai  
465 spruce on cloudy slopes and Qilian juniper on sunny slopes; **b** An automated weather station installed  
466 at the lower limit (LL) of the Qilian juniper pure forest. The two photographs were taken by  
467 Zhangyong Wang on 9 June, 2010

468 **Fig. 3** Climate diagram for the Qilian station during 1957 – 2007

469 **Fig. 4** Frequency distribution of daily stem radius extreme values (maximum and minimum) during the  
470 whole measurement periods: LL1 from March 7 in 2010 to November 20 in 2011; LL2 from June 20 in  
471 2010 to November 20 in 2011; LL3 from August 26 in 2010 to November 20 in 2011; LL4 from  
472 January 24 to November 20 in 2011; ML1 from August 26 in 2010 to November 19 in 2011; ML2 from  
473 January 27 to November 19 in 2011. The *black bars* represent the daily maximum, *grey bars* represent  
474 the daily minimum, and *horizontal lines* represent the mean frequency distribution of every hour in  
475 ideal conditions

476 **Fig. 5** Sub-daily shrinkage and expansion patterns of the six Qilian junipers. The *red dots* represent  
477 daily maximum values and the *blue triangles* represent daily minimum values. The *horizontal dash*  
478 *lines* at about 13:30 divide the days into two parts, morning and afternoon. *Phase 1* is from April 27 to  
479 October 23 in 2010 and from March 29 to November 3 in 2011, respectively; *phase 2* is from October  
480 24 in 2010 to March 28 in 2011. The two phases are divided by *vertical lines*

481 **Fig. 6** Daily maximum values of stem radius variations of the six sample trees (**a, b**) and climatic  
482 records of the LL weather station (**c, d, e**). Periods with interruptions of the individual curve are caused  
483 by data gaps due to failure of data registration by the data logger. **c** Daily maximum, minimum and  
484 mean air temperature (°C); **d** Daily relative humidity (%) and precipitation (mm); **e** Daily mean soil  
485 temperature (°C) and soil water content (100%) at 20 cm and 40 cm below ground, respectively. The

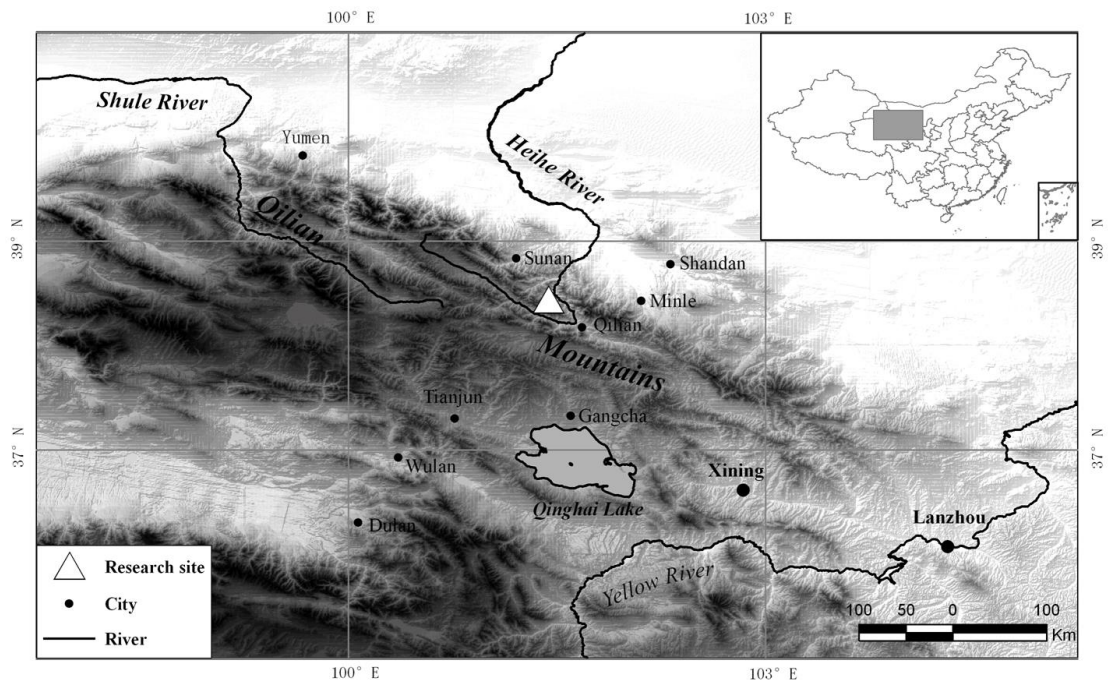
486 two phases here (*vertical lines*) correspond with those presented in Figure 5; the two *black horizontal*  
487 *dash lines* represent the 0 °C level of air and soil temperature each

488 **Fig. 7** Daily amplitudes of stem radius variations of the six sample trees. The two phases here (*vertical*  
489 *lines*) correspond with those presented in Figure 5.

490 **Fig. 8** Stem radius variations and relation to air temperature and precipitation. *Black lines* represent  
491 stem radius variations; *red lines* represent air temperature; *light blue bars* represent precipitation. **a**  
492 From May 17 to 28 in 2010, stem radius variations were not directly sensitive to air temperature; the  
493 *arrow* shows that stem shrinkage respond to an air temperature drop to  $-7.93$  °C on May 18, 2010; **b**  
494 From November 2 to 12 in 2010, the daily maximum air temperature were still above 0 °C, inferring  
495 the three processes proposed by Zweifel and Häsler (2000). The *grey bar* represents frost shrinkage (S);  
496 the *orange bar* represents thawing expansion (E); and the *green bar* represents active radius change (A);  
497 **c** From December 4 to 13 in 2010, the daily maximum air temperature dropped below 0 °C and the  
498 stem radius variations showed synchronous change with air temperature; **d** From February 1 to 16 in  
499 2011, as the daily maximum air temperature increasing above 0 °C from February 3, the stem radius  
500 variations were increased rapidly around the same date; as the air temperature increased, three  
501 processes (frost shrinkage, thawing expansion, and active radius change) occasionally occurred on the  
502 same day, making the stem radius variations more complex; **e** From May 1 to 10 in 2011, stem radius  
503 variations depend on physiological activities and were not directly sensitive to the temperature course

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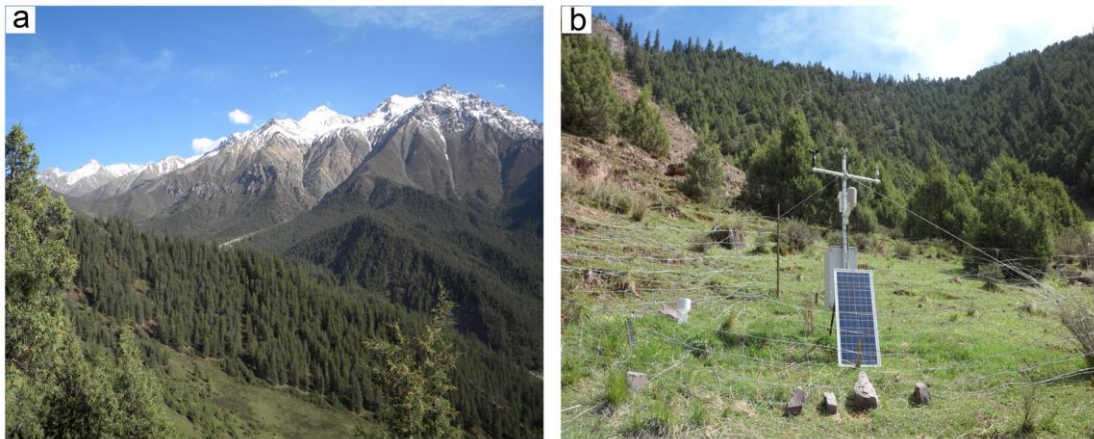
505 Figure 1



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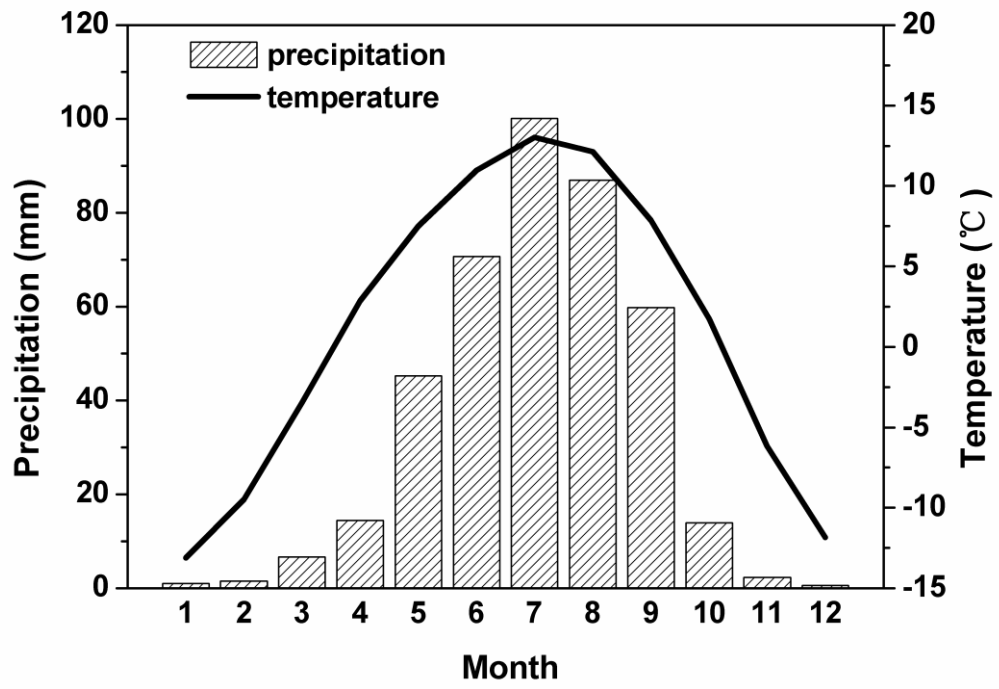
508 Figure 2



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511 Figure 3

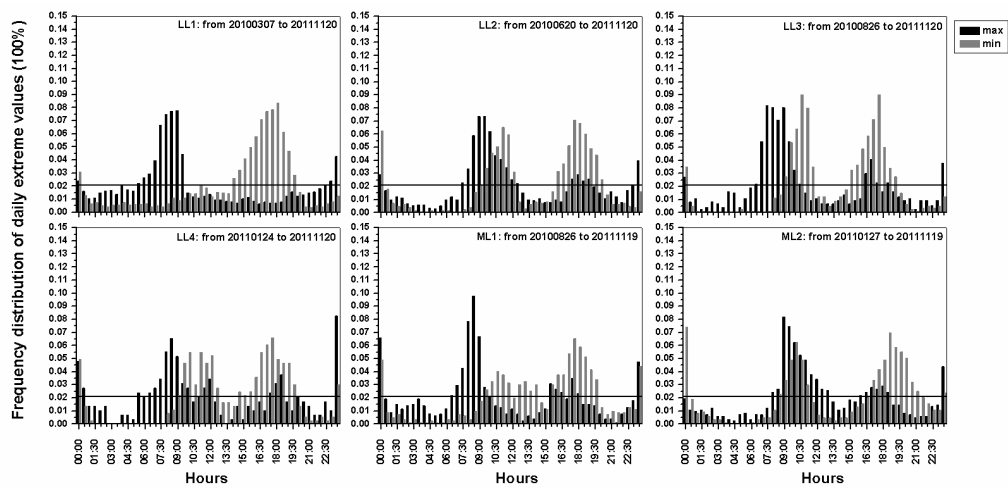


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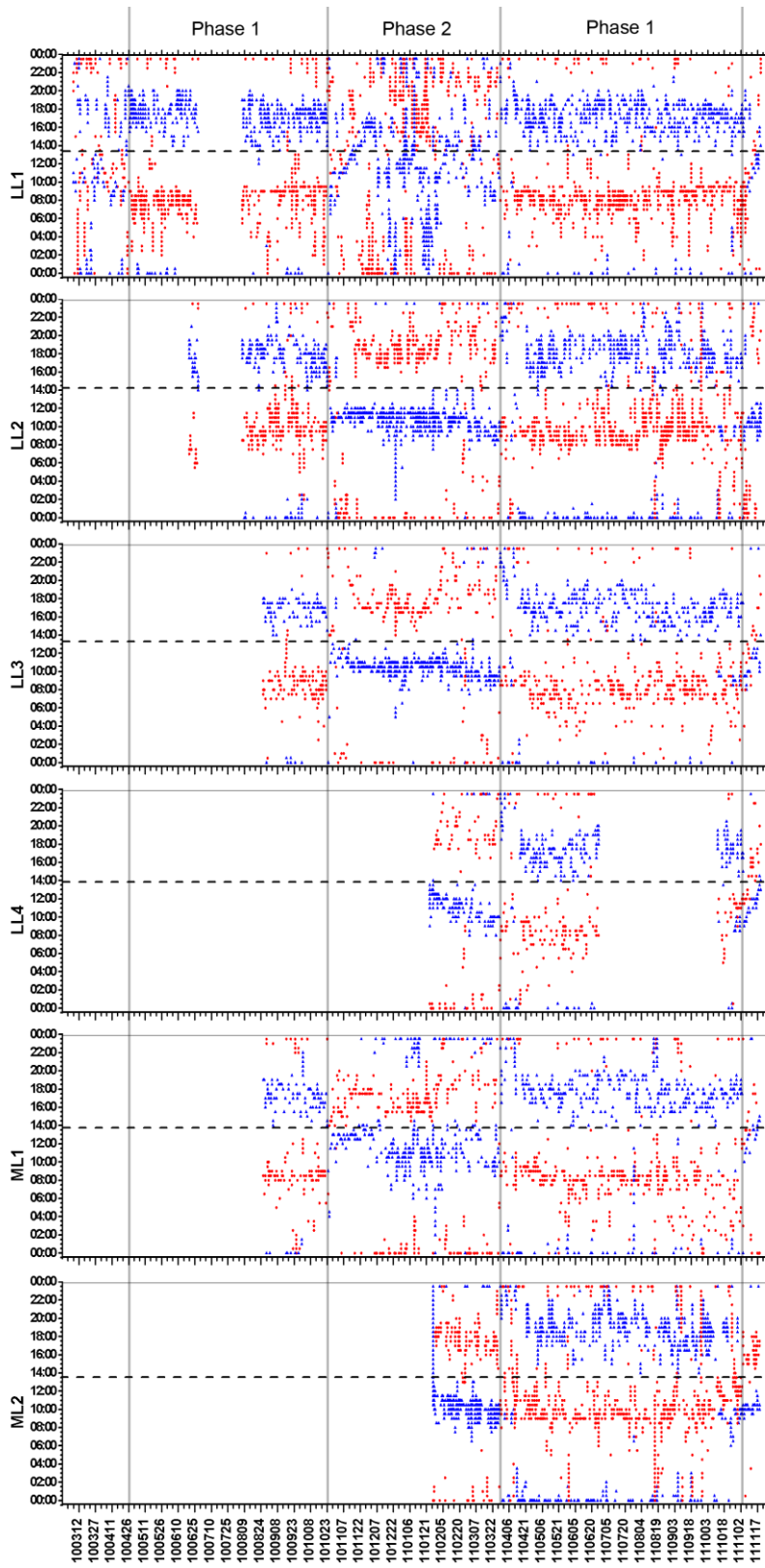


514 Figure 4

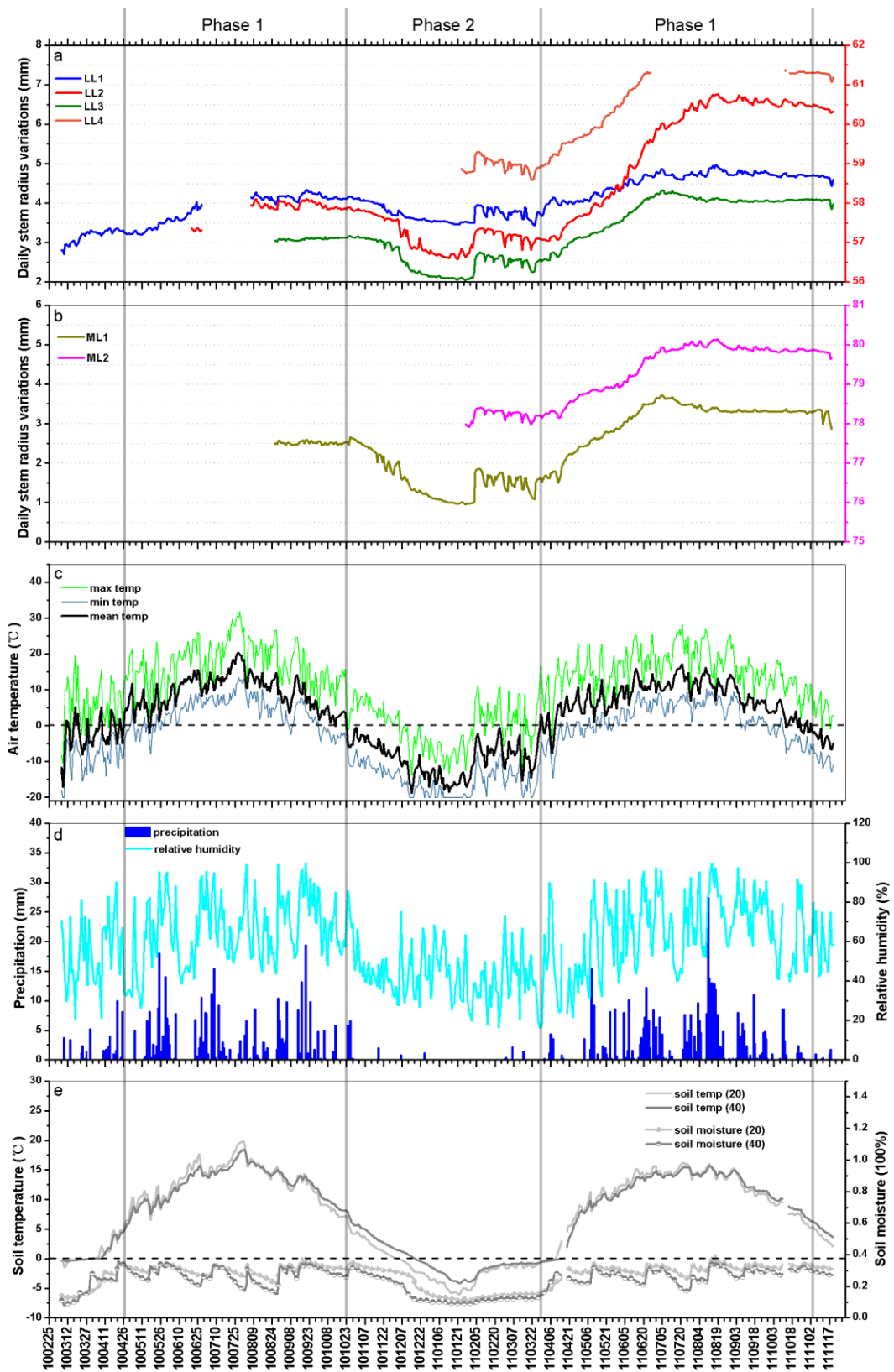


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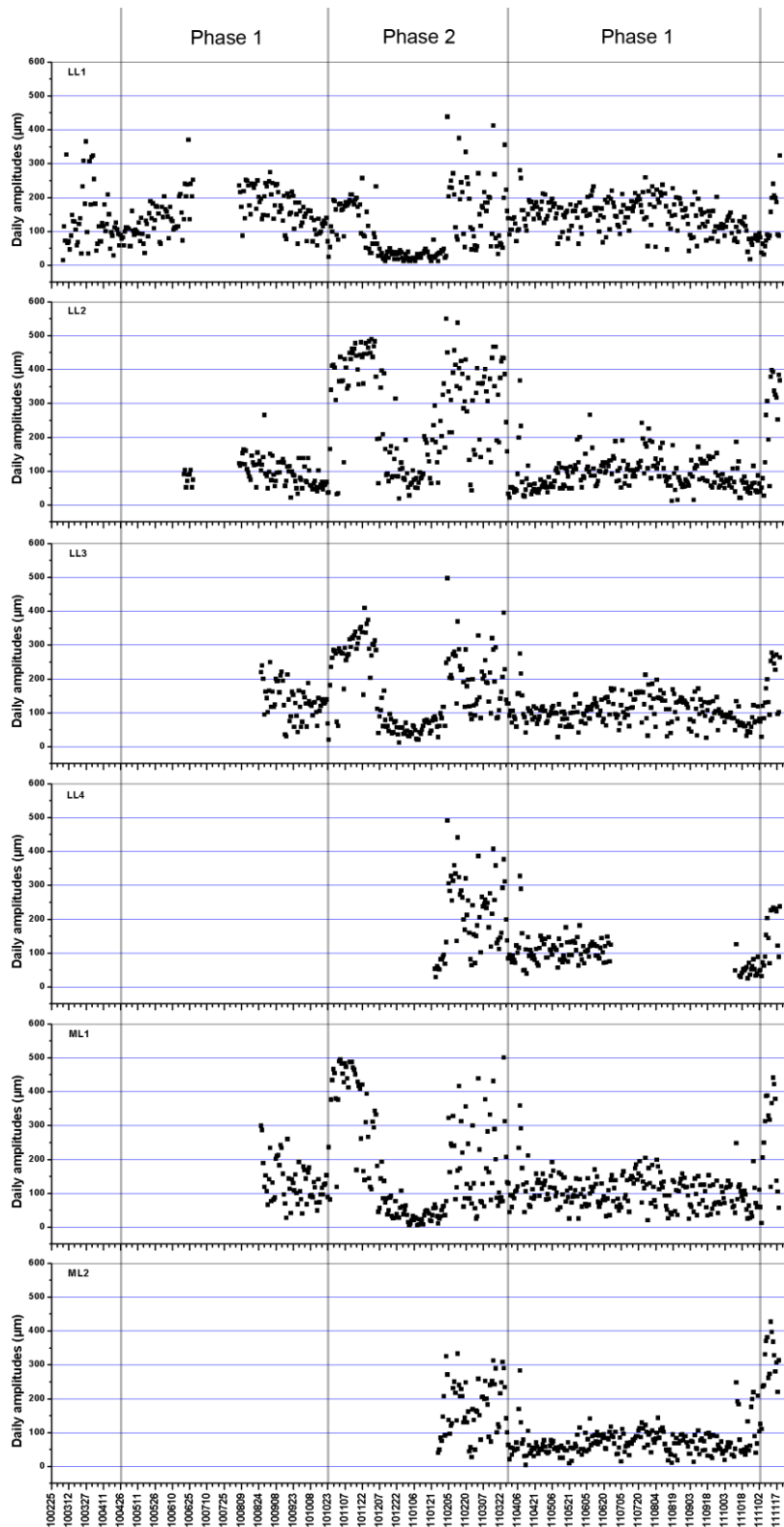


520 Figure 6



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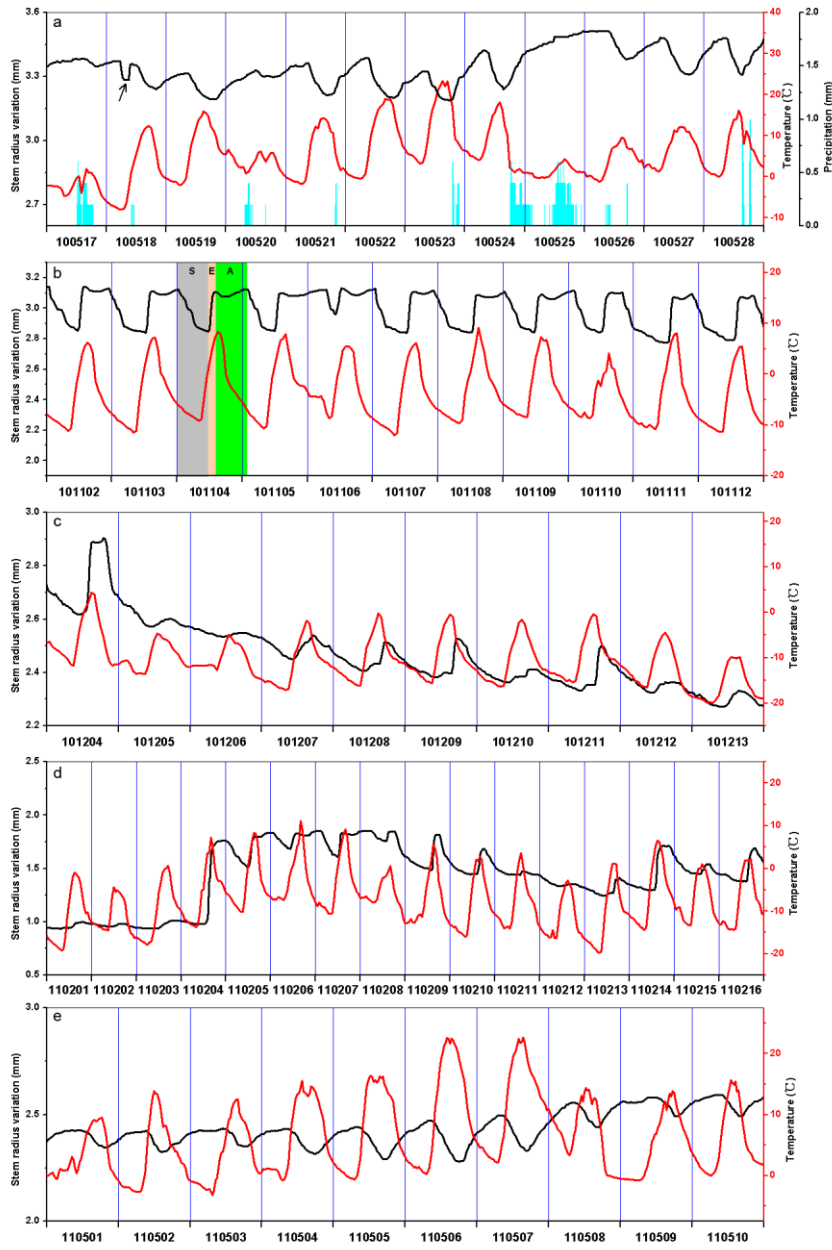
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526 Figure 8



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