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1 **Optimizing Peri-URban Ecosystems (PURE) to Re-couple**
2 **Urban-Rural Symbiosis**

3

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22

23 **ABSTRACT**

24 Globally, rapid urbanization, along with economic development, is dramatically
25 changing the balance of biogeochemical cycles, impacting upon ecosystem services and
26 impinging on United Nation global sustainability goals (inter alia: sustainable cities
27 and communities; responsible consumption and production; good health and well-being;
28 clean water and sanitation, and; to protect and conserve life on land and below water).
29 A key feature of the urban ecosystems is that nutrient stocks, carbon (C), nitrogen (N)
30 and phosphorus (P), are being enriched. Furthermore, urban ecosystems are highly
31 engineered, biogeochemical cycling of nutrients within urban ecosystems is spatially
32 segregated, and nutrients exported (e.g. in food) from rural/peri-urban areas are not
33 being returned to support primary production in these environments. To redress these
34 imbalances we propose the concept of the Peri-URban ecosystem (PURE). Through the
35 merging of conceptual approaches that relate to Critical Zone science and the dynamics
36 of successional climax PURE serves at the symbiotic interface between rural/natural
37 and urban ecosystems and allow re-coupling of resource flows. PURE provides a
38 framework for tackling the most pressing of societal challenges and supporting global
39 sustainability goals.

40

41 **Keywords:** biogeochemical cycling, coupling, peri-urban ecosystem, urban-rural
42 interface

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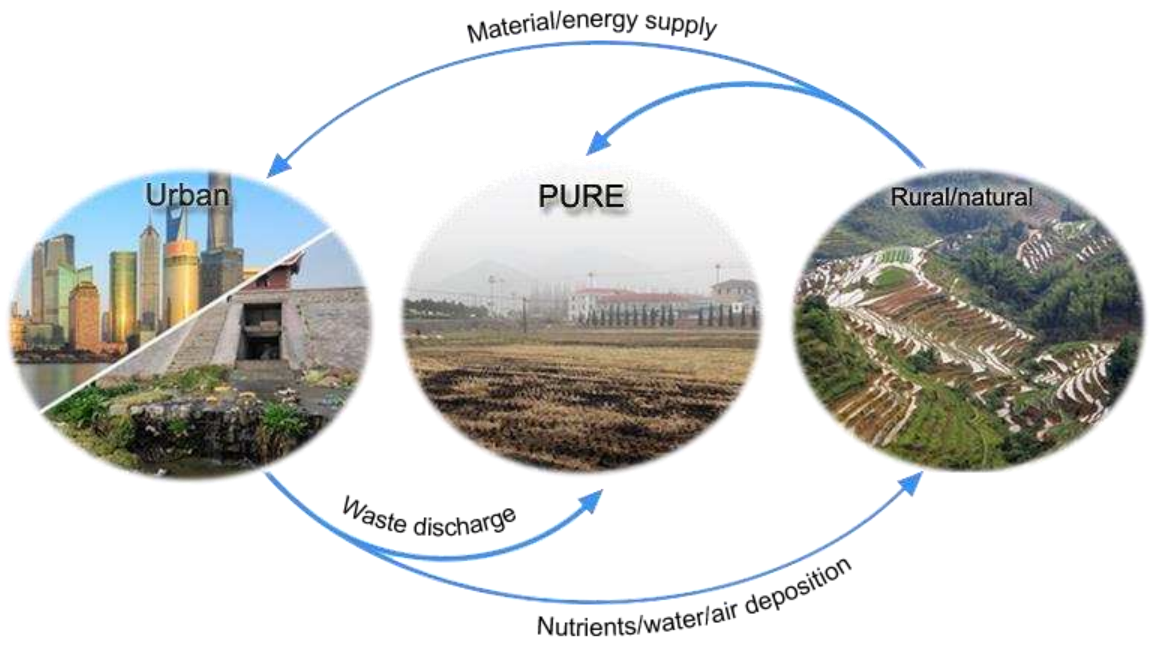
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47 **Graphical Abstract**

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61 **1. Introduction**

62 Rapid urbanization, in many parts of the world, is driven by the desire for economic
63 improvement coupled with the diminished employment opportunities in rural regions.

64 As a consequence of unprecedented urbanization, globally more than 50% of the world
65 population now live in cities (Grimm et al., 2008). The trade-off of urbanization is that
66 less people now produce our food with an associated intensification of production, and
67 agricultural land around metropolitan boundaries is being sealed over for buildings and
68 transport infrastructure. Now, more than ever, the understanding and management of
69 urban ecosystems have become an essential component of sustainable development.

70 A key feature of urban ecosystems is that nutrient stocks, carbon (C), nitrogen (N)
71 and phosphorus (P), are being imported into urban ecosystem (through both natural and
72 anthropogenic pathways). Significantly, these nutrients are not being returned to
73 support primary production in rural/peri-urban environments from where they
74 originated. For example, the food production required to sustain a city's population
75 typically takes place in rural environments, but nutrient-rich wastes (resulting from
76 food consumption) are emitted and processed in urban settings. Thus, reuse of urban
77 nutrient wastes in rural and peri-urban environments is precluded from sustaining
78 further production because: these wastes, and their associated nutrients, are often lost

79 due to their discharge to water courses or incorporation in landfills; inadequate sewage
80 collecting infrastructure and wastewater treatment approaches (that might realize
81 suitable products to support soil improvement), and; a lack of mechanisms to return
82 nutrients recovered from the urban environment to their point of origin. Overall, this
83 cycle perpetuates a net gain of nutrients in the urban environment and a commensurate
84 loss of nutrients from rural/peri-urban environments.

85 In order to redress nutrient losses in rural/peri-urban environments, and to sustain
86 food-supply, chemical fertilizers are required to replenish this nutrient deficit. While
87 this 'fixes' the soil nutrient problem the current use patterns of chemical fertilizers are
88 unsustainable. Firstly, these practices result in the increased likelihood of nutrients
89 being leached from soil into watercourses and causing damage to aquatic environments
90 and additionally contributing to the rural to urban efflux of nutrients. Secondly, fertilizer
91 production is heavily reliant upon with fossil fuels and as consequence production of
92 inorganic fertilizers has a large carbon-footprint.

93 **2. The concept of Peri-URban ecosystems (PURE)**

94 If we are to realize global sustainability goals (inter alia: sustainable cities and
95 communities; responsible consumption and production; good health and well-being;
96 clean water and sanitation, and to protect and conserve life on land and below water)
97 (UN, 2012) then the inherent conflicts between urbanization, food security and
98 environmental sustainability have to be resolved in the longer term. One of the focal
99 points related to rapid urbanization will be a sustainable food system for city dwellers.
100 We propose that the concept of the holistic and self-sustaining Peri-URban Ecosystems

101 (PURE) is the key to ensuring food production under rapid urbanization. PURE is the
102 symbiotic interface between urban and rural ecosystems, which should be designed and
103 developed to produce food by assimilating domestic waste streams rich in N, P and
104 energy, as well as more efficiently using a plentiful supply of treated domestic waste
105 water that might otherwise be transferred to water bodies and exported out of the urban
106 zone.

107

108 **3. Defining the common framework of PURE**

109 Defining and sustaining the PURE for urban-rural symbiosis requires outlining a
110 common, integrating framework of quantitative analysis that encompasses the
111 considerable structural and functional differences encountered across the rural-urban
112 transition zone. We propose to define integrating systems concepts for the reconnecting
113 of rural and urban environments, through PURE management. One contributing
114 framework is the concept of Earth's Critical Zone as a vertically integrated system that
115 links terrestrial and freshwater environments (Brantley et al., 2007; Richter and Billings,
116 2015). Earth's Critical Zone is the life-sustaining surface of the planet, extending from
117 the top of bedrock through the land surface and vegetation to the atmospheric boundary
118 layer (Figure 1). Critical Zone science, in particular, addresses the steep gradients in
119 environmental conditions and the enormous variation in processes and their rates, from
120 the outer lithosphere to the atmosphere, that exist along this vertical transect; often only
121 1-10 meters in length. This framework can be integrated with systems concepts of urban
122 metabolism; i.e. the flows of energy and material that sustain the natural processes and

123 human activities in cities. Thus, PURE needs to define the interfaces within the Critical
124 Zone and how to accommodate the flows arising from urban metabolisms. In addition,
125 PURE should establish boundaries within the urban ecosystem that define: stability,
126 resilience and limits for resource and energy recovery.

127 What is missing so far is the quantitative understanding of the mechanistic
128 linkages that couple the resource flows of the Critical Zone and the urban industrial
129 economy and their resulting dynamic response to environmental and social drivers of
130 the change across the rural-urban interface.

131 The starting point for an analysis framework that bridges the rural-urban transition
132 zone is to define the connected flows and transformations of resources - mass, energy
133 and genetic information (e.g. the microbiome and functional genes contained) - that
134 embed the urban/industrial metabolism within Earth's Critical Zone, the natural habitat
135 of the urban consumer. The necessary quantitative analysis requires the concept of
136 flows and transformations that occur from naturally-occurring processes in both rural
137 and urban environments as the foundation for a sustained flow of environmental goods
138 and services; for example, providing water and food, regulating climate, storing and
139 transforming nutrients and supporting genetic biodiversity. These service flows interact
140 directly with the industrial metabolism of material, energy and genetic flows that occur
141 through industrial production, distribution and consumption – in effect linking the
142 Critical Zone resource flows and transformations with industrial metabolism flows and
143 processing. This merging of conceptual approaches directly addresses a major
144 challenge which is the steep environmental gradients of change; vertically through the

145 Critical Zone, and geospatially across the rural-urban transition zone.

146 Applying these concepts to sustaining global food supply, requires the nutrient
147 input, N and P in particular, to soils to offset continuous losses from land by crop uptake
148 and harvest. "Nutrient urbanization" (enrichment of nutrients in the urban environment)
149 will ultimately deplete global soil fertility and at the same time risk polluting the
150 environment through urban waste discharges. The circular economy is often invoked as
151 a concept to link urban nutrients (C, N and P) and other waste streams back to points
152 within the ecological production system or its downstream points in the food supply
153 chain; in this way re-coupling spatially separated nutrient flows and reducing impacts
154 on the environment.

155 While such a circular economy philosophy might prove virtuous for the recovery
156 and recycling of nutrients within the urban Critical Zone, the presence of chemical and
157 biological hazards entrained within waste streams present a problem. In this regard
158 pollutants from industrial discharges and originating within transport systems (that are
159 transferred through surface water run-off corridors), and from domestic cleaning
160 products and pharmaceuticals represent an impediment to the repurposing of urban
161 waste streams. A second significant hazard present in urban waste streams is antibiotics
162 and microbes carrying antimicrobial resistance (AMR) (Su et al., 2015).

163 How to re-engineer waste streams to separate out industrial and domestic
164 pollutants in order to produce safe water and organic fertilizers for agricultural use is a
165 major challenge for present and future cities.

166

167 **4. The dynamics of PURE**

168 To understand the dynamics of PURE, the transitions and the services that humans
169 require in an urban setting needs to be understood. In this regard, the seminal
170 manuscript of Clements (1939) provides a suitable scaffold to draw analogy between
171 climax states in the natural world (in Clements' case the vegetation of North America)
172 and climax states associated with urbanization (Clements, 1939). With regards to the
173 latter the inherent managed development of urbanization within the rural-urban fringe,
174 will achieve a stable disclimax state that is maintained by continuous human
175 intervention; therein benefits to the human will be derived from sustaining desirable
176 environmental services. The concept of spatially varying climax states, edaphic climax,
177 gains new significance for PURE because of the potential to engineer intervention
178 within the Critical Zone, for example, through water management interventions
179 (drainage, irrigation, sealing), and removal or addition of specific soil types to modify
180 Critical Zone topography, landscape, vegetation and the provision of entrained
181 ecosystem services.

182 However, maintaining an artificial anthropic disclimax state comes with the risk
183 of tipping points being reached. Such destabilization could result from displacement of
184 urban ecosystem outputs to the periphery of the urban zone where they lead to damage
185 to environmental services located much further afield to the original source of the
186 discharge. As increasing amounts of waste are exported away from the urban zone these
187 problems will be exacerbated. The Mississippi River delta represents a case in point.
188 Here the export of nutrient wastes into water courses has led to off-shore eutrophication

189 and “dead-zones” that have decimated fisheries (Rabalais et al., 2002).

190 Below, we conceptualize a trajectory of transitional states that an accelerated
191 urbanization might assume (Figure 2). Akin to ecological succession, this urbanization
192 succession captures (in the simplest of terms) how an urban system might respond and
193 adapt to the pressures of the particular transitional state; and, how this adaptation might
194 then lead to the next state in the succession.

195 Recognizing the imbalance of flows (for example, nutrients, waste and pollutants),
196 this conceptualization highlights key risks. Frame 2, represents the risk of the system
197 becoming overburdened and resulting in transition from a status of sufficient delivery
198 of environmental services to one of impaired services. Thereafter, continued urban
199 growth successively increases the loci of the impaired zone (Frames 3 and 4).
200 Eventually (Frame 5), intervention is made to abate the issues in one zone but to the
201 detriment of another (i.e. displacing, not solving the problem). The short term
202 intervention is transient and the loci of irreversible damage may reach a final tipping
203 point where the urban center is subjected to intolerable pressure (Frame 6) and might
204 ultimately collapse (Frame 7).

205 Thus, society needs to understand urbanization trajectories and how PURE can be
206 applied to sustain urban Critical Zone services, to stabilize disclimax states, to mitigate
207 risks and to avoid final tipping points being reached.

208 **5. Managing PURE**

209 Two aspects are of particular relevance to the management of PURE. Firstly, the
210 intrinsic limitations of the waste flows themselves, and, secondly, the prevailing
211 condition of the environment to which these flows are to be redirected. For example, in

212 Beijing, 5374 t and 849 t of P in total were, respectively, consumed by urban and rural
213 residents, in 2008 (Qiao et al., 2011). The largest outflow of P through food
214 consumption in the city is discharge to waste water treatment plants (WWTPs),
215 representing about 3861 t P; of which: 394 t P was discharged, after treatment, into
216 natural aquatic systems; 544 t P was recycled through reclaimed water, and; the
217 remaining 2923 t P was transported to landfill sites in the form of sewage sludge. In an
218 analysis on nationwide P metabolism in cities (Li et al., 2012), it was estimated that on
219 average 19% of dietary P inflow to cities remained within the urban environment
220 leading to the buildup of excessive P that has the potential to cause damage to urban
221 and peri-urban aquatic ecosystems.

222

223 While urban environments are rich in excess heat energy, water and N and P, these
224 resources are invariably of lower value to industry than those of primary inputs i.e. heat
225 density in waste flows may be far less than from primary sources and nutrient waste
226 flows can often contain chemical pollutants. As a consequence repurposing these flows
227 can attract additional monetary and environmental costs (e.g. associated with their
228 reprocessing and separation) and this further detracts from their ‘value’ when compared
229 to primary inputs.

230 It is well recognized that urban areas tend to have higher air temperatures than
231 surrounding rural areas (Akbari, 2005). This is underpinned by the engineered
232 modifications that have replaced natural vegetation with buildings and roads within the
233 urban environment. Cities, having been altered in this regard, do not receive the natural
234 cooling benefits of vegetation and, as a consequence, air temperatures rise. This has the
235 knock-on effect of increasing the demand for air-conditioning and, this then leads to
236 higher emissions from power plants. Together these increased emission and higher air

237 temperatures, intensify smog formation (through photochemical reactions that are
238 promoted at higher temperatures). Akbari (2005), reported (for the USA) that increased
239 urban air temperature were responsible for 5–10% of urban peak electric demand (to
240 support air conditioning), and as much as 20% of population weighted smog
241 concentrations in urban areas.

242 In abatement, PURE would seek opportunities to vegetate the urban environment,
243 for example, the creation of “sky roof gardens”. This intervention vegetates the roof of
244 buildings and thereby reduces solar radiation from reaching the building structure,
245 reduces temperature indoors and thereby decrease demand for air conditioning. In
246 addition, the establishment of roof vegetation brings the potential for collateral benefits:
247 i) reduce the need for winter heating, ii) reduced storm water run-off, and, iii) carbon
248 sequestration (Pandey et al., 2012).

249 Dependent on past land-use, soils of cities are characterized by having elevated
250 contaminants compared to agricultural land situated far from urban centers. Household
251 detergents, pharmaceuticals, metal(loid)s and persistent organic pollutants (POPs)
252 characterize urban water and solid waste streams. Thus, peri-urban agronomic systems
253 must be designed to use contaminated waste streams without potential negative impacts
254 on land and water, or on consumers of arable produce originating from land to which
255 these waste streams are applied.

256 With these factors in mind, we recommend that both the flows being repurposed
257 and the agronomic land in and around cities should be graded as to their suitability with
258 respect to food safety. Such an approach poses two challenges:

259 Nutrient waste streams such as wastewater sludge must be graded for contaminant
260 content and risk, with separation of unsuitable waste streams for more intense
261 processing to remove or stabilize chemical/microbiological hazards before further use.
262 Clean waste streams would be processed into forms suitable for organic fertiliser and
263 agronomic use.

264 Land must be graded according to pre-existing contamination levels in the soil.
265 Wastes of acceptable hazard could be used for non-edible crops while only non-
266 hazardous wastes could be used to support edible crop production (Zhao et al., 2014).
267 Thus, the most contaminated zones could be used to produce building materials such
268 as bamboo, zones of intermediate contamination for textiles and biomass crops, with
269 graduation to a rural baseline that is deemed suitable for food production.

270 Conflating these elements, a PURE-zonation would emerge based on the historic
271 contamination status of the soils and the 'grade' of waste that could be applied within a
272 particular zone. Herein, however, lies a conundrum, as the most contaminated land will
273 usually be near urban zones, and waste streams that are tainted with chemicals or
274 microbes are to be applied to contaminated land this will exacerbate damage to the
275 Critical Zone services at locations that are closest to the highest population. A further
276 consideration is that working relatively contaminated land (e.g. cultivation that disturbs
277 the soil) will produce dust, and dispersion of this dust could lead to unacceptable risks
278 to health. In this scenario, a tipping point, beyond which irrevocable damage to PURE
279 or human health, could be reached.

280 Conflating issues that relate to repurposing sewage sludge, improving soils for

281 agriculture and abating pollution issues associated with urban soils PURE draws upon
282 recent advances in the pyrolysis of sewage sludge. Here sewage sludge is used as a
283 feedstock in the production of heat and power using pyrolysis. This delivers an
284 immediate benefit of waste diversion to sustain heat and power demands. Pyrolysis of
285 sewage sludge (and indeed other organic materials) generates biochar as a co-product.
286 This carbonaceous material is potentially a long term store for carbon and, because the
287 carbon it entrains originated in the atmosphere (before being fixed through
288 photosynthesis into biomass e.g. crops) biochar burial represents an opportunity to
289 abate the anthropogenic elevation of atmospheric carbon dioxide. Biochar has been
290 widely reported to improve soil productivity (Jeffery et al., 2011). Furthermore, biochar
291 has also been successfully applied to reduce soil to crop transfer of pollutants and
292 thereby improve food safety and security (Khan et al., 2014). This synergy of waste
293 diversion, heat and power generation, soil improvement and pollution abatement
294 exemplifies the PURE concept.

295 Finally, wastewater from urban sewage and manures pose a risk, as their
296 application to land introduces pharmaceutical compounds directly into the human food
297 chain. Furthermore, recent reports have highlighted the occurrence of antibiotic
298 compounds in peri-urban agronomic soils receiving organic waste streams, with
299 additional evidence indicating the presence of AMR genes both in the receiving
300 soil/water (Wang et al., 2014; Chen et al., 2016) and in the tissue of plants grown in
301 these environments (Hough et al., 2004; Kohrmann and Chamberlain, 2014). Thus, an
302 emerging risk from aggressively closing nutrient cycles for PURE symbiosis is the

303 potential for trophic concentration of AMR and health risks to the top consumer – the
304 urban human. It is important to acknowledge that pathogens exhibiting antibiotic
305 resistance can spread globally through air and water circulation, export of agricultural
306 products and associated with infected travelers. Thus, while AMR issues might appear,
307 on first glance, to be endemic to a defined urban zone they are, potentially, of pandemic
308 significance.

309 To address this risk, new research is needed to: quantify the occurrence of
310 pharmaceutical compounds in waste streams and receiving agricultural environments;
311 quantify the occurrence and rates of AMR development and transfer within the urban
312 Critical Zone, and; to develop approaches to waste stream processing that capture
313 nutrients while abating chemical and microbial risks, and; evaluate the efficacy of
314 changes to farming practices that might adequately manage these chemical and
315 microbial risks.

316

317 **6. Concluding remarks**

318 Globally, the urbanization pace is not going to slow down. In China, for example,
319 an unprecedented migration of people from rural to urban environments has taken place
320 over the last 20 years. The urbanization of China's population is set to continue, and
321 indeed intensify, with 250 million rural people being projected to migrate to urban
322 centers by 2025. When set alongside current populations of, for example, New York
323 (8.5 million), London (8.5 million) and Tokyo (13 million) such a figure is immense.
324 Urban populations in China reached the 50% landmark in 2010 (Chan, 2012). Given

325 that 80-90% of the total national populations of the USA, the UK and Japan reside in
326 urban centers; it is staggering to acknowledge that around 400 million people would
327 need to migrate from rural to urban locations if China were to attain a comparable
328 proportion of its population residing in urban centers.

329 Cities have idiosyncratic histories based on past and current economies, and when
330 they rapidly expanded or collapsed. At one extreme, there is the rapid expansion of new
331 Chinese mega cities, e.g. of the Yangtze Delta, built on agricultural land with little or
332 no pollution histories; with this also being the case in many agricultural regions
333 worldwide where urbanization proceeds through land take within highly productive
334 agricultural regions. This situation contrasts with the decline of industrial cities, for
335 example in former regions of heavy manufacturing in North America and Europe where
336 population densities in their industrial heart have declined and in some cases collapsed
337 leaving large zones with contaminated soils and with a remaining large suburban
338 population on relatively uncontaminated land (Brown and Jameton, 2000; Zezza and
339 Tasciotti, 2010). It is clear that cities need to be considered on a case by case basis with
340 respect to how to re-engineer them for the most sustainable recycling of waste streams
341 to optimize peri-urban agriculture and other ecosystem services (see our conceptual
342 model illustrated in Figure 3).

343 To solve the problems associated with urbanization, we cannot simply expect
344 people to go back to rural society, but require a step change in managing urban-rural
345 biogeochemical cycling and ecosystem management. The PURE concept will offer the
346 opportunity of developing cities in a more sustainable way. While it is difficult to

347 practically adopt the PURE concept in retrofitting an already designed city, PURE
348 concept can be implemented in expanding cities and/or emerging cities. It is predicted
349 that in the foreseeable future, urbanization will happen mostly in many under-
350 developed countries, where investment in infrastructure is constrained, therefore
351 managing PURE is a more pressing and urgent need in rapidly urbanizing countries.
352 Although deferent pathways maybe taken in integrating PURE concept in managing
353 cities in developed v.s. developing world. The goal of implementing PURE concept in
354 urban management is to maximize ecosystem services for urban health and wellbeing.
355 Indeed, securing ecosystem services for urban population is indispensable in
356 implementing sustainable development goals (UN, 2012), as world is increasingly
357 becoming urbanized.

358

359

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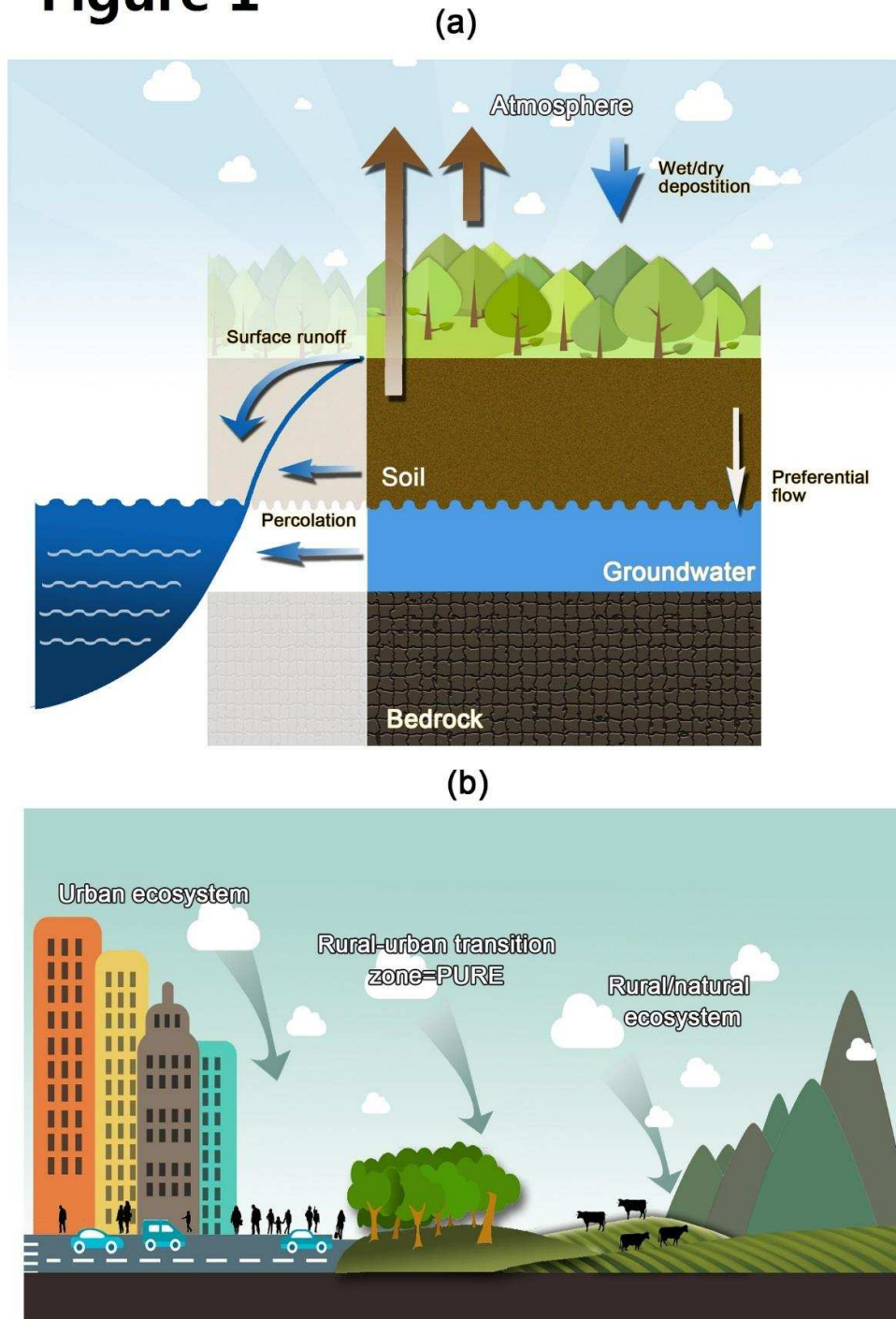
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421 **Figure 1** The vertical architecture of the Critical Zone (a) and the geospatial gradient
422 in land cover and density of human infrastructure across the rural-urban transition zone
423 (b).

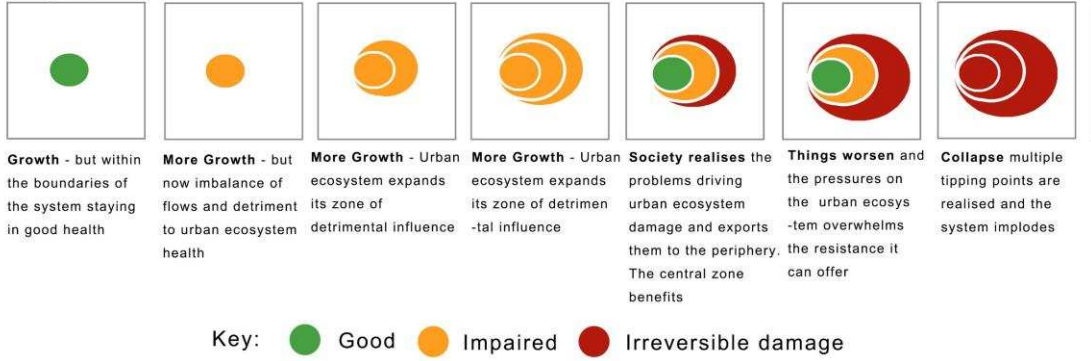
Figure 1



425 **Figure 2** A trajectory of transitional states of an accelerated urbanization

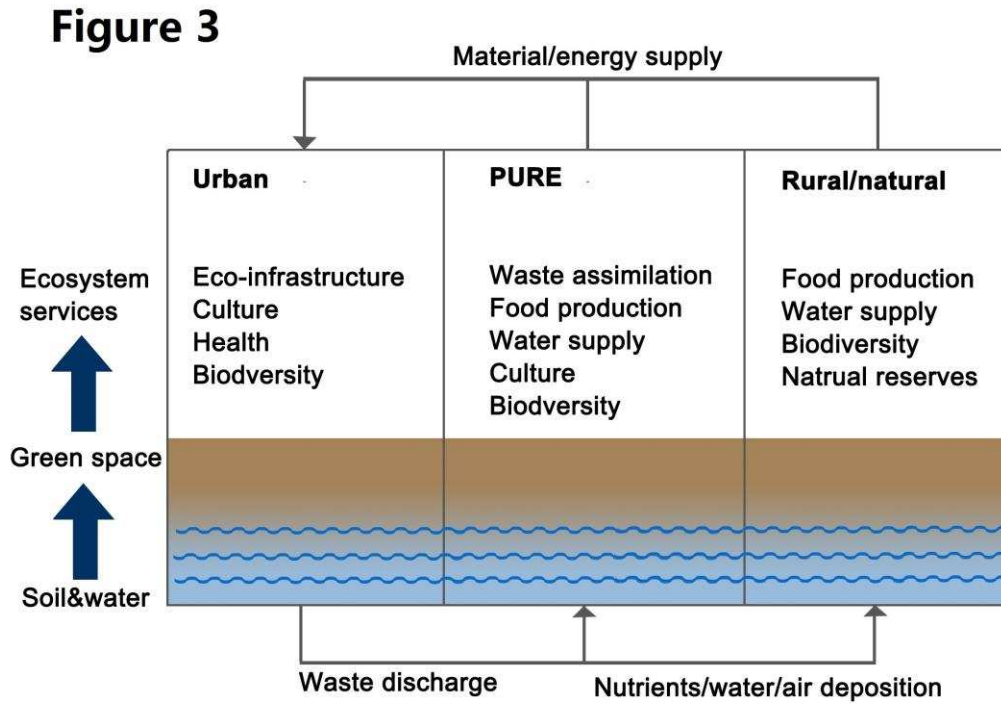
426

Figure 2



427

428 **Figure 3** A conceptual framework to integrate the interactions between urban and
429 rural/natural ecosystems using Critical Zone Science.
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431