### University of Massachusetts Amherst ScholarWorks@UMass Amherst

Environmental Conservation Faculty Publication Series

**Environmental Conservation** 

2017

# Tambora and the Mackerel Year: Phenology and Fisheries During an Extreme Climate Event

Karen E. Alexander University of Massachusetts Amherst

William B. Leavenworth

Theodore V. Willis University of Southern Maine

Carolyn Hall Stony Brook University

Steven Mattocks University of Massachusetts Amherst

See next page for additional authors

Follow this and additional works at: https://scholarworks.umass.edu/nrc\_faculty\_pubs Part of the <u>Environmental Monitoring Commons</u>, and the <u>Natural Resources and Conservation</u> <u>Commons</u>

#### **Recommended** Citation

Alexander, Karen E.; Leavenworth, William B.; Willis, Theodore V.; Hall, Carolyn; Mattocks, Steven; Bittner, Steven M.; Klein, Emily; Staudinger, Michelle; Bryan, Alexander; Rosset, Julianne; Carr, Benjamin H.; and Jordaan, Adrian, "Tambora and the Mackerel Year: Phenology and Fisheries During an Extreme Climate Event" (2017). *Science Advances*. 396. https://doi.org/10.1126/sciadv.1601635

This Article is brought to you for free and open access by the Environmental Conservation at ScholarWorks@UMass Amherst. It has been accepted for inclusion in Environmental Conservation Faculty Publication Series by an authorized administrator of ScholarWorks@UMass Amherst. For more information, please contact scholarworks@library.umass.edu.

#### Authors

Karen E. Alexander, William B. Leavenworth, Theodore V. Willis, Carolyn Hall, Steven Mattocks, Steven M. Bittner, Emily Klein, Michelle Staudinger, Alexander Bryan, Julianne Rosset, Benjamin H. Carr, and Adrian Jordaan

#### ENVIRONMENTAL SCIENCE

## Tambora and the mackerel year: Phenology and fisheries during an extreme climate event

Karen E. Alexander,<sup>1</sup>\* William B. Leavenworth,<sup>2</sup> Theodore V. Willis,<sup>3</sup> Carolyn Hall,<sup>4</sup> Steven Mattocks,<sup>1</sup> Steven M. Bittner,<sup>1</sup> Emily Klein,<sup>5,6</sup> Michelle Staudinger,<sup>1,7</sup> Alexander Bryan,<sup>7</sup> Julianne Rosset,<sup>1</sup> Benjamin H. Carr,<sup>8</sup> Adrian Jordaan<sup>1</sup>

Global warming has increased the frequency of extreme climate events, yet responses of biological and human communities are poorly understood, particularly for aquatic ecosystems and fisheries. Retrospective analysis of known outcomes may provide insights into the nature of adaptations and trajectory of subsequent conditions. We consider the 1815 eruption of the Indonesian volcano Tambora and its impact on Gulf of Maine (GoM) coastal and riparian fisheries in 1816. Applying complex adaptive systems theory with historical methods, we analyzed fish export data and contemporary climate records to disclose human and piscine responses to Tambora's extreme weather at different spatial and temporal scales while also considering sociopolitical influences. Results identified a tipping point in GoM fisheries induced by concatenating social and biological responses to extreme weather. Abnormal daily temperatures selectively affected targeted fish species—alewives, shad, herring, and mackerel—according to their migration and spawning phenologies and temperature tolerances. First to arrive, alewives suffered the worst. Crop failure and incipient famine intensified fishing pressure, especially in heavily settled regions where dams already compromised watersheds. Insufficient alewife runs led fishers to target mackerel, the next species appearing in abundance along the coast; thus, 1816 became the "mackerel year." Critically, the shift from riparian to marine fisheries persisted and expanded after temperatures moderated and alewives recovered. We conclude that contingent human adaptations to extraordinary weather permanently altered this complex system. Understanding how adaptive responses to extreme events can trigger unintended consequences may advance long-term planning for resilience in an uncertain future.

#### **INTRODUCTION**

Global warming has fueled concerted research on recent, ongoing, and potential transformations of human and natural systems (1-3). However, gradual warming is not the proximate threat from climate change. Rising global temperatures have already increased the number and intensity of extreme climate events (4-7). Consequent human suffering and economic loss are well documented in public media, even as devastated coastlines and marine ecosystems, some in conservation areas and experimental field sites, increasingly appear in research (8-10). Because extreme events are erratic and damage can occur in many ways, assessing ecological and social impacts requires long-term monitoring capacity from appropriate baseline conditions (11-13), predicated on an understanding of local and regional variability (14-16). Disruption of marine food webs (17-19) and threats to fisheries (20-22) can be inferred from research linking climate oscillations to nonseasonal variability in fish abundance and distribution and to fisheries success or failure (23-25). Because adaptation and mutual influence are linked via feedback, fisheries are prime examples of coupled human and natural systems (CHANS), where conditions can evolve in complex and unexpected ways in different places over time (26, 27). Coastal fisheries, ecosystems, and economies are particularly vulnerable to sudden climate events (28-31), yet long-term effects may be apparent only in retrospect (32).

2017 © The Authors, some rights reserved; exclusive licensee American Association for the Advancement of Science. Distributed under a Creative Commons Attribution NonCommercial License 4.0 (CC BY-NC).

Although they comprise only a small part of today's global economy, fisheries are important for local food security (33-35), particularly along densely populated shores and in developing countries with few sources of affordable protein (36-38). Historically, fisheries played an important role in national economies and foreign policies (39-41). Marine resource harvest generated wealth in trade (40-42), promoted transportation networks and infrastructure (43, 44), and transmitted social and cultural traditions while providing a living to generations of fishers (45, 46). Over time, as primary stocks declined in abundance and quality, a regular pattern of exploitation evolved (47-49). Marine resources were first harvested close to home and then at increasingly greater distances with greater effort, even as original, depleted targets were replaced by others that were still abundant and accessible (49-51). From prehistoric shell middens to sport fishing trophies, global evidence of marine resource harvest shows similar progressions (52-55). These patterns may disclose long-term processes underlying social-ecological change that, at present, can be difficult to identify (56).

Here, we turn to historical fisheries in the Gulf of Maine (GoM) to show how an extreme climatic event triggered adaptation and change within this coupled system. On 5 April 1815, the Indonesian volcano Tambora exploded in one of the most significant volcanic events in recorded history (57-59). With among the highest explosive indexes in 500 years, it ejected about 100 km<sup>3</sup> of ash into the stratosphere (59-62). Upper-level winds streaming northward from the equator swept its sulfate aerosols around the world, dropping global temperatures by 1° to 1.5°C (59) and generating extreme weather in the northern hemisphere. Unseasonable cold accompanied by droughts and floods characterized the period from the winter of 1815 to the spring of 1817 (58, 62-65). Catastrophic weather conditions afflicted China (64). Floods and famine ravaged northwestern Europe, and starvation threatened the eastern United States and Canada (58, 62, 63, 65). In

<sup>&</sup>lt;sup>1</sup>Department of Environmental Conservation, University of Massachusetts Amherst, Amherst, MA 01003–9285, USA. <sup>2</sup>Independent Researcher based in Maine. <sup>3</sup>University of Southern Maine, Portland, ME 04103, USA. <sup>4</sup>Alan Alda Center for Communicating Science, Stony Brook University, Stony Brook, NY 11794, USA. <sup>5</sup>Southwest Fisheries Science Center, La Jolla, CA 92037, USA. <sup>6</sup>Farallon Institute, Petaluma, CA 94952, USA. <sup>7</sup>Department of the Interior Northeast Climate Science Center, Amherst, MA 01003–9297, USA. <sup>8</sup>Marine Program, Boston University, Boston, MA 02215, USA. \*Corresponding author. Email: kalexander@umass.edu

southern New England, the months from May to September 1816 were several degrees colder than average, but spikes in temperature punctured the cold. Crop yields fell as much as 90% (66).

Unlike Tambora's documented effects on land, its influence on coastal ecology and fisheries has received little attention. However, in New England, 1816 was called not only the "year without a summer" and the "year of 1800-and-Froze-to-Death" but also the "mackerel year" [(67), p. 153], suggesting discernable effects on fisheries. We propose that the coldest summer in more than 200 years set within 19 months of wildly variable temperatures (63, 65, 66) interrupted fish attempting to feed or spawn in New England's freshwater and coastal ecosystems. In a year distinguished for widespread crop failure (63, 65–67), fisheries failure could have further jeopardized human food supplies far more dependent on marine protein than today.

Tambora roughly coincided with the earliest, nearly complete time series of local fisheries data collected in the GoM: Fish inspectors' reports (FIRs) from Massachusetts (MA) (68) and Maine (ME) (69) began in 1804. Excepting an interruption in ME from 1820 to 1832 (following statehood), FIRs presented yearly quantities of brine-pickled fish inspected for export out of state from each town supporting commercial fisheries for most of the 19th century (total landings were not recorded systematically until 1889). Pickled alewives (Alosa pseudoharengus), American shad (Alosa sapidissima) and salmon (Salmo salar), Atlantic herring (Clupea harengus), and American mackerel (Scomber scombrus) and menhaden (Brevoortia tyrannus) appear in inspection records before 1820, whereas dried fish such as Atlantic cod (Gadus morhua) do not. From 1804 to 1820, FIRs show that total pickled fish exported from the GoM fluctuated at first, but grew rapidly after 1814 (Fig. 1 and table S1). Historians have explained such rapid expansion as a response to the natural increase in the U.S. population, immigration, rapid industrialization, and westward expansion (70, 71) that characterized the 19th century, that is, "progress" (71, 72).

No fisheries effects from Tambora appear at these broad scales. However, when individual species export is graphed regionally, a complicated picture emerges (Fig. 2 and table S2). From north to south on six major watersheds (CACC, NCA, CB, KEN, PEN, and SC; Fig. 3), exports differed profoundly in magnitude and composition. For each species and over each watershed, export patterns were influ-



**Fig. 1. Total export from the GoM, with historical events, 1804–1820 (Table 1 and table S1).** A broadscale undulating pattern before 1814 gives way to rapid growth. Jefferson's Embargo (1808) and Tambora (1815) are marked in dashed lines. A gray band denotes the War of 1812 (ca. 1812–1814). The increasing export trend corresponds with industrial and territorial expansion and general optimism after the War of 1812, suggesting that national economic and technological progress may underlie fisheries expansion. mt, metric tons.

enced by geophysical and oceanographic properties, geographic conformation, and the history of human settlement, as well as fish life history. Two major historical events during this period that are known to have suppressed trade—the War of 1812 and Jefferson's Embargo (Table 1)—likely explain the dips in Fig. 1 (70, 71, 73). However, complexity at smaller scales (Fig. 2) encouraged us to dig deeper.

To explore complex interactions across different scales, we framed our analyses of GoM fish export using historical methods (56, 74) informed by complex adaptive systems (CAS) theory. This synthetic approach emphasized the dynamic adaptive behavior of both people and fish (75). In CAS theory, system-level change results from the behavior of individual agents adapting to their ever-changing environment (76). This allows systems to be responsive and complex at many levels of organization, and promotes resilience and evolution over time (77-79). CAS theory has been used in a wide range of contemporary research from genetics to the social sciences. It can also contribute materially to historical studies, where understanding data organization at different levels may reveal obscure connections. Here, fisheries data reflected changing conditions in both human and aquatic systems across multiple scales of organization, and supported organization in CAS groups. We aimed to discover Tambora's climate effects and distinguish them from human impacts on targeted species, identify longterm contingencies that propagated through this CHANS, and explore the role of scale and rate in determining impact and resultant responses.



Fig. 2. Export of five species from six watersheds, with historical events, 1804–1820 (Table 1 and table S2). Species are, in alphabetical order from bottom to top, as follows: Alewives (green), Herring (blue), Mackerel (yellow), Salmon (pink), and Shad (purple). Watersheds are Cape Ann to Cape Cod (CACC), MA north of Cape Ann (NCA), Casco Bay (CB), Kennebec (KEN), Penobscot (PEN), and Saint Croix (SC). Jefferson's Embargo (1808) and Tambora (1815) are marked in dashed lines. A gray band denotes the War of 1812 (ca. 1812–1814). Considerable differences in quantity and species composition across all watersheds suggest an interplay of human and environmental factors at work. Before 1820, menhaden rarely appear in FIRs north of Cape Cod; thus, they were excluded from this graph.



**Fig. 3. 1812 maps of the MA and ME coasts.** Superimposed on a geographic information systems (GIS) outline of the northeast U.S. coast, these maps show the extent of FIR coverage in the GoM. They also show how contemporary people viewed their world. **(A)** Lambert (*140*) follows the common navigational trope of putting west at the top of the map (left), the direction you sailed approaching Boston from Europe. **(B)** Warnicke's columnar counties (*141*) display ME's frontier character, fringe settlements along waterways, and an interior that was largely unknown. At that time, ME counties corresponded roughly, although not entirely, to principal watersheds.

Knowing general outcomes, we sought the interplay of causes and adaptations that led to these outcomes (56).

#### RESULTS

Grouping the following lines of data hierarchically to introduce scale as a variable, we examined the climate effects of Tambora and the consequences of human activity on fisheries export in the GoM. Table 1 presents a timeline of events keyed to the anthropogenic data we used. FIR export [for functional groups (Anadromous and Pelagic) and for individual species], human population pressure (80), towns reporting fish export (68, 69), habitat obstruction due to dam building (81, 82), and yearly temperature estimates (Yearly °C) (83) were grouped spatially (total GoM, and over the six different watersheds) and temporally (total timeline 1804–1820, and over three historical time periods: Embargo 1804–1809, War 1810–1815, and Tambora 1816–1820) (Table 1). Contemporary daily temperature readings (84) were analyzed within the general phenological parameters of our study species' migration and spawning behavior (15, 85, 86). Analytical methods and historical context differ in each case and will be explained with results.

## Effects of anthropogenic pressures and Tambora on fish communities

We compared the effects of anthropogenic pressures with Tambora's extreme climate on fish export over the GoM. An equivalent investigation today would use fishing effort and total catch for stock assessment, and industry costs and prices realized for economic analyses of human behavior. However, before 1900, even snapshots of such data are rare and time series are exceptional (see section S1) (74). We are careful to present parsimonious results obtained from extant data that were evaluated within historical context.

Pairwise multivariate analyses (*P*) and Spearman's  $\rho$  tests (*S*) were performed on the data described above: Walsh and colleagues (87) in-

formed our choice of simple parametric and nonparametric methods for analyzing these time series. Significant results are reported below, and full results are found in the tables. For reference, Fig. 4 presents Anadromous and Pelagic export (ln-transformed) for the GoM from 1804 to 1880. Overall, export exhibited a slight negative correlation (S = -0.43, P = 0.0001). Visual correspondence before 1815 and great disjuncture thereafter suggest that a significant shift in GoM fisheries occurred around that time.

#### Anthropogenic pressures.

We analyzed Anadromous and Pelagic export (ln-transformed; table S3), Dams, Towns, and Yearly °C (table S4) from 1804 to 1820 in search of system-wide influences that explained the trends in Fig. 4. Numbers of Dams and Towns were highly correlated (S = 0.90, P < 0.0001): New England town records attest that small mills were built early for essential services such as grinding flour and sawing lumber. Towns became a proxy for the spreading human footprint: yearly population growth, timber harvest, farming, and settlement sprawl near waterways. Pelagic export exhibited a moderate positive correlation with both Dams and Towns ( $P_{\text{Dams}} = 0.63$ , P = 0.0065;  $S_{\text{Towns}} = 0.78$ , P = 0.0002), and Dams showed a moderate negative correlation with Yearly °C ( $P_{\text{Dams}} = -0.61$ , P = 0.0089). No significant correlation existed between Anadromous export and anything else (table S5).

Performing the same tests at the species level showed similar results (table S4). Dams showed a moderate negative correlation with Alewives ( $P_{\text{Dams}} = -0.62$ , P = 0.008), but results were stronger and positive between Towns and Salmon ( $P_{\text{Towns}} = 0.71$ , P = 0.0015), Mackerel ( $P_{\text{Towns}} = 0.75$ , P = 0.0004), and Herring ( $P_{\text{Towns}} = 0.70$ , P = 0.0017). Yearly °C also moderately compromised Shad (S = -0.62, P = 0.0086) and Herring (S = -0.51, P = 0.0366), but otherwise average yearly temperature showed little significance (table S6). These results offered ambiguous support to anthropogenic explanations of export trends.

Data gaps discouraged similar analyses at the watershed level. However, in New England, long-term declines of anadromous species due to dams and other freshwater obstructions have been well documented (81, 82, 88-90). Today, most rivers and streams have been dammed to capacity, but in 1820, because of different settlement histories, dam distribution was lopsided: 268 dams were located on CACC, whereas CB and SC had only five dams each. Assuming no influence on marine fish, calculating Anadromous export/Dam for each watershed indicates the obstructive influence of its dam load, just as catch per unit effort indicates fishing pressure. Figure 5 graphs this metric for heavily dammed (Fig. 5A) and relatively free-flowing (Fig. 5B) watersheds. Obstructive effects differ in scale by an order of magnitude in favor of free-flowing streams. However, change in the dam load of each watershed (Fig. 5C, graphed as In total dams) shows no correspondence with export fluctuations. Sharp dips in export/Dam on all watersheds around 1808 and 1813 suggest that broad geographic influences interacted with Dams over shorter time frames. Decline after 1816 resembles the trend in Fig. 4, indicating that significant disruption occurred that year.

Figure 5 also notes the mackerel jig, invented on Cape Ann (MA) around 1815, the only gear innovation in the GoM between 1804 and 1820. Adding a bit of polished metal to the shank of a regular hook made the hook shiny and more attractive to mackerel, and eventually revolutionized the fishery (71). Although we acknowledge its possible contribution to rising MA mackerel export after 1815, this cannot be proven: There is no evidence that the mackerel jig was widely used in MA until after 1820 [(71), pp. 88–105; (91), I, pp. 298–300].

**Table 1. The timeline (1804–1820) and the spreading human footprint.** Historical periods divide the timeline into roughly equal intervals and bracket dates of significant events: Embargo, 1804–1809 (n = 6); War, 1810–1815 (n = 6); and Tambora, 1816–1820 (n = 5). Watersheds from left to right run south to north [CACC, NCA, and CB (A) and KEN, PEN, and SC (B)], with area given in km<sup>2</sup>. On each watershed, human factors that influence watershed quality (Dams, Census, and Towns) accumulate yearly from a baseline date of 1804—the spreading human footprint. Human population in 1800 and Dams in 1803 appear as baseline conditions in parentheses under appropriate headers. Towns are those that reported commercial export in the FIRs (*68, 69, 80–82*).

Α	v	/atershed	c	ACC (9,207 k	m²)	NC	A (13,590 km	<sup>2</sup> )	CB (2,448 km <sup>2</sup> )			
Time period	Year 1800 (baseline)	Historical events	Dams (244)	Census (91,684)	Towns	Dams (92)	Census (33,241)	Towns	Dams (4)	Census (5,311)	Towns	
	1804	Fish inspection begins	0		7	1		6	0		1	
	1805		0		9	2		5	0		1	
	1806		1	••••••	7	2		5	0		1	
Embargo	1807		1		7	2		4	0		1	
	1808	Jefferson's Embargo	1		6	2		4	0		1	
	1809		1		9	2		5	0		1	
	1810		2	118,785	8	2	44,486	8	0	9,353	1	
	1811		5		8	2		7	0		1	
War of 1812	1812	War of 1812	13		8	2		6	0		1	
	1813	War of 1812	14		8	2		7	0		1	
	1814	War of 1812	16		6	4		8	0		1	
	1815	Tambora; mackerel jig	17		9	4		5	0		1	
	1816	Mackerel year	19		8	5	••••••	6	0		1	
	1817		21		10	5		8	1		1	
Tambora	1818		21		11	6		7	1		1	
	1819		22		13	7		6	1		1	
	1820	Mackerel jig widespread in MA	24	143,410	11	7	38,821	6	1	10,765	1	

W	atershed	KEN	(24,139 km	1 <sup>2</sup> )	PEN	(22,196 km	1 <sup>2</sup> )		SC (3,885 k	m²)
Year 1800 (baseline)	Historical events	Dams (48)	Census (5,615)	Towns	Dams (30)	Census (8,520)	Towns	Dams (3)	Census (562)	Towns
1804	Fish inspection begins	3		1	1		1	1		1
1805		3		2	1		1	1		1
1806		3		1	1		1	1		1
1807		3		2	1		1	2		2
1808	Jefferson's Embargo	3		1	1		2	2		1
1809		5		1	1		2	2		1
	W Year 1800 (baseline) 1804 1805 1806 1807 1808 1808 1809	WatershedYear 1800 (baseline)Historical events1804Fish inspection begins18051806180618071808Jefferson's Embargo18091809	WatershedKENYear 1800 (baseline)Historical eventsDams (48)1804Fish inspection begins31805318063180731808Jefferson's Embargo318095	Watershed      KEN (24,139 km        Year 1800 (baseline)      Historical events      Dams (48)      Census (5,615)        1804      Fish inspection begins      3	Watershed      KEN (24,139 km²)        Year 1800 (baseline)      Historical events      Dams (48)      Census (5,615)      Towns        1804      Fish inspection begins      3      1        1805      3      2        1806      3      1        1807      3      2        1808      Jefferson's Embargo      3      1        1809      5      1	Watershed      KEN (24,139 km²)      PEN        Year 1800 (baseline)      Historical events      Dams (48)      Census (5,615)      Towns      Dams (30)        1804      Fish inspection begins      3      1      1        1805      3      2      1        1806      3      1      1        1807      3      2      1        1808      Jefferson's Embargo      3      1      1        1809      5      1      1	Watershed      KEN (24,139 km <sup>2</sup> )      PEN (22,196 km        Year 1800 (baseline)      Historical events      Dams (48)      Census (5,615)      Towns      Dams (30)      Census (8,520)        1804      Fish inspection begins      3      1      1      1        1805      3      2      1      1        1806      3      1      1      1        1807      3      2      1      1        1808      Jefferson's Embargo      3      1      1      1        1809      5      1      1      1      1	Watershed      KEN (24,139 km²)      PEN (22,196 km²)        Year 1800 (baseline)      Historical events      Dams (48)      Census (5,615)      Towns      Dams (30)      Census (8,520)      Towns        1804      Fish inspection begins      3      1      1      1      1        1805      3      2      1      1      1        1806      3      1      1      1      1        1807      3      2      1      1      1        1808      Jefferson's Embargo      3      1      1      2        1809      5      1      1      2	Watershed      KEN (24,139 km²)      PEN (22,196 km²)      Dams (3)        Year 1800 (baseline)      Historical events      Dams (48)      Census (5,615)      Towns      Dams (30)      Census (8,520)      Towns      Dams (3)        1804      Fish inspection begins      3      1      1      1      1        1805      3      2      1      11      1      1        1806      3      1      1      1      1      1        1807      3      2      1      1      2      2        1808      Jefferson's Embargo      3      1      1      2      2        1809      5      1      1      2 <td>Watershed      KEN (24,139 km<sup>2</sup>)      PEN (22,196 km<sup>2</sup>)      SC (3,885 k        Year 1800 (baseline)      Historical events      Dams (48)      Census (5,615)      Towns      Dams (30)      Census (8,520)      Towns      Dams (3)      Census (562)        1804      Fish inspection begins      3      1      1      1      1      1        1805      3      2      1      1      1      1      1        1806      3      1      1      1      1      1      1        1807      3      2      1      1      2      2      1      2      2        1808      Jefferson's Embargo      3      1      1      2</td>	Watershed      KEN (24,139 km <sup>2</sup> )      PEN (22,196 km <sup>2</sup> )      SC (3,885 k        Year 1800 (baseline)      Historical events      Dams (48)      Census (5,615)      Towns      Dams (30)      Census (8,520)      Towns      Dams (3)      Census (562)        1804      Fish inspection begins      3      1      1      1      1      1        1805      3      2      1      1      1      1      1        1806      3      1      1      1      1      1      1        1807      3      2      1      1      2      2      1      2      2        1808      Jefferson's Embargo      3      1      1      2

continued on next page

Downloaded from http://advances.sciencemag.org/ on February 2, 2017

SCIENCE ADVANCES	RESEARCH ARTICLE
------------------	------------------

В	١	Vatershed	KEN	(24,139 km	1 <sup>2</sup> )	PEN	(22,196 km	1 <sup>2</sup> )	:	SC (3,885 k	m²)
Time period	Year 1800 (baseline)	Historical events	Dams (48)	Census (5,615)	Towns	Dams (30)	Census (8,520)	Towns	Dams (3)	Census (562)	Towns
	1810		8	9,094	2	3	15,374	5	2	1,883	2
	1811		9		2	3		4	2		1
	1812	War of 1812	9		2	3		4	2		1
War of 1812	1813	War of 1812	9		1	3		3	2		1
	1814	War of 1812	10	•••••	2	3		4	2	•••••	
	1815	Tambora; mackerel jig	10		3	3		6	2		1
	1816	Mackerel year	10		4	3		8	2		1
	1817		11		2	5		8	2		1
	1818		12		2	5		6	2	•••••	2
Tampora	1819		12		2	5		8	2		2
	1820	Mackerel jig widespread in MA	12	13,879	4	7	17,754	7	2	3,785	2



Fig. 4. GoM Anadromous and Pelagic export, 1804-1880 (table S3). Correspondence between anadromous (green) and pelagic (blue) export (In-transformed) appears to be positive from 1804 to 1814, to be strongly negative from 1815 to 1820 (the period of rapid fisheries expansion in Fig. 2), and to fluctuate independently when regular inspection began again in the 1830s. The hiatus reflects a data gap after ME statehood.

#### Tambora's effects.

To distinguish Tambora's extreme climate effects from anthropogenic influences, we divided our timeline into roughly even time periods [each corresponding to one significant historical event that affected the entire North Atlantic: Jefferson's Embargo, 1804-1809 (Embargo); the War of 1812, 1810-1815 (War); and Tambora, 1816-1820 (Tambora) (Table 1)] and performed the same analyses described above for each time period. Our method differs from breakpoint analysis in that historical knowledge defined relevant periods, and analysis revealed how well that periodization explained known trends (56). Unlike earlier results, correlation of Anadromous and Pelagic export became strong and statistically significant during all time periods.

When Tambora erupted, the United States was just emerging from years of international conflict culminating in the War of 1812. The French Wars (1796-1815) created a great demand for New England fish, and Americans profited by smuggling fish products to both British and French markets in the Caribbean and Europe. Privateering, blockades, vessel confiscations, and embargoes often interfered with this dangerous commerce, but Jefferson's Embargo and the British Naval blockade of New England devastated export fisheries (73).

Embargo (1804-1809): To keep America out of the Napoleonic Wars and protect American seamen from British press gangs, Thomas Jefferson prohibited most oceanic commerce by American vessels. Fish exports were proscribed from January 1808 to March 1809. New England's mercantile interests protested so vehemently that the Embargo lasted barely a year.

War of 1812 (1810-1815): War between England and the United States opened American maritime commerce to British naval and privateering attacks and significantly reduced exports. In April 1814, the Royal Navy blockaded New England and briefly invaded PEN. British troops occupied SC on the disputed Canadian border until 1818, but fish inspections resumed there when the war ended in early 1815 [(92), II, p. 666].

Tambora (1816-1820): Volcanic winter altered the balance between export markets and local consumption. Crop failure was so extensive that farmers slaughtered livestock for want of fodder to keep them through the winter [(93), p. 204; (94), p. 155]. "In northern Vermont and New Hampshire, farmers fed their pigs with fish caught in local streams. Others had mackerel shipped in from New England seaports" [(67), p. 153]. Fish were likely caught and consumed locally in greater quantities, but this catch was not recorded.

For Embargo and War, correlation between Anadromous and Pelagic was positive because of termination of commerce ( $P_{\text{Embargo}}$  = 0.81, P = 0.0485;  $P_{War} = 0.88$ , P = 0.0198) (Table 2, A and B). Curtailed trade affected all export fisheries in similar ways, but fish populations probably benefited from reducing fishing pressure (71). When the crises ended, exports quickly resumed in roughly the same proportions.

#### SCIENCE ADVANCES | RESEARCH ARTICLE



Fig. 5. Anadromous export per total Dams, and total Dams for each watershed, 1804–1820 (Table 1 and table S3). Anadromous export/Dam indicates obstructive influence on spawning fish by watershed: (A) watersheds with more than 50 dams in 1820 [CACC (dark blue), NCA (light blue), and KEN (purple)]; (B) relatively free-flowing watersheds with 30 dams or less in 1820 [CB (brown), PEN (orange), and SC (yellow). (C) Ln total Dams indicates corresponding change in dam load. Time periods and the invention of the mackerel jig are also noted. (A) and (B) exhibit similar fluctuations at different magnitudes, which show better correspondence with the events than with the dam load. Sharp dips during the Embargo and the War and decline after 1816 suggest the interaction of influences operating at different spatial and temporal scales.

Tambora was different. Correlation between Anadromous and Pelagic flipped to strongly negative ( $P_{\text{Tambora}} = -0.91$ , P = 0.0331) (Table 2C) and remained negative thereafter. Dams built during the Tambora period were also strongly correlated with Anadromous ( $P_{\text{Tambora}} = -0.93$ , P = 0.0206) and Pelagic export ( $P_{\text{Tambora}} = 0.93$ , P = 0.0218) (Table 2C). Yet, by themselves, Dams were unlikely to have caused this sudden change (Fig. 5).

In 1816, all living things in the GoM and elsewhere in the northern latitudes endured great fluctuations in temperature and weather, and long periods of bitter cold due to volcanic winter (63-67). Historical evidence suggests that weather-related fisheries failures may have occurred on PEN, which produced the most alewives in 1815 (372,222 kg) and 1816 (334,029 kg). Winter was so pronounced and prolonged there that, in 1815, 1816, and 1817, people could walk across the ice from Belfast to Castine [(95), p. 61]. Famine in 1816 forced the Penobscot Indians to sell most of their river bottomland for food [(92), II, pp. 640-657], which suggests that few fish could be caught in the river. The nearby town of Orrington failed to lease its weir rights in 1818 [(91), I, p. 712], implying that people had become skeptical of the weirs' profitability. Only during Tambora did Yearly °C seem consequential for fisheries [Anadromous ( $S_{Tambora} = -0.90$ , P = 0.0374) and Pelagic ( $S_{Tambora} = 0.90$ , P = 0.0374;  $P_{Tambora} =$ 0.9715, P = 0.0057) (Table 2C). We turned to daily temperatures in search of probable cause.

#### Fish, phenology, and Tambora

To find out whether Tambora could have affected the spawning and feeding of fish populations, we needed to know how its unseasonable temperatures interacted with the phenology and temperature tolerances of each species. Measurements are impossible to obtain, yet general dates of arrival and departure, and temperature tolerances for alewives, herring, mackerel, and shad can be found in the study by Bigelow and Welsh (*85*), the authority nearest to our time period. Augmented by Collette and Klein-MacPhee (*86*), these data were used in our analysis; menhaden and salmon were excluded because they were never more than 5% of yearly export (table S7).

Air temperature readings taken four times daily came from a meteorological journal kept at Salem, MA, from 1786 to 1829 by E. A. Holyoke, medical doctor and early president of the American Academy of Arts and Sciences (84). From Holyoke's daily measurements, we estimated values for temperature parameters key to habitat quality (15): daily averages, highs, lows, and daily temperature ranges (differentials). van der Schrier and Jones (84) determined that temperature variability in Holyoke's accounts was greater than it is today, and that his readings agreed with two contemporaneous sets of New England temperature records. Taking uncertainty into account, Berkeley Earth's monthly estimated averages for MA and ME (83) were not significantly different from Holyoke's monthly averages: Only 6% fell outside the confidence interval. However, these months were 3.6°C warmer, and two were July and October of 1816. Because Holyoke's observations were made in a coastal port, we assumed that his air temperatures approximated, with a short time lag, surface water temperatures in rivers (96) and nearshore areas (see fig. S1 and section S2).

Uncertainties in Holyoke's temperature readings, in substituting phenological generalizations made more than a century later for unobservable behaviors of fish, and variability in regional temperature distributions limited our analytical options. Therefore, we developed a historical likelihood scenario to assess the viability of each species, that is, a species' ability to function normally, within the daily temperature parameters that Holyoke recorded. From the timing and temperature ranges in table S7, we constructed "seasonal windows" representing the phenology and temperature tolerances of adult alewives, shad, mackerel, and herring during normal activities and during spawning events. Seasonal windows were overlaid on graphs of the daily temperature parameters calculated from Holyoke's readings: daily average **Table 2. Correlation of GoM Anadromous and Pelagic export, human influences, and yearly average temperatures over each time period.** Pairwise multivariate analyses (*P*) and Spearman's  $\rho$  tests (*S*) were performed on five yearly variables [functional groups Anadromous and Pelagic (In-transformed), Dams, Towns, and Yearly °C] over the time periods [Embargo 1804–1809 (A), War 1810–1815 (B), and Tambora 1816–1820 (C)]. Pairwise results are in the upper right-hand corner of each table, and Spearman's  $\rho$  results are in the lower left-hand corner. *n* = 6 for Embargo and War variables (A and B), and *n* = 5 for Tambora variables (C). Shaded blocks show significant correlations (*P* < 0.05). Significant positive correlations between Anadromous and Pelagic during Embargo and War become stronger and negative during Tambora.

•				Embargo		
A		Anadromous	Pelagic	Dams	Towns	Yearly °C
	Correlation		0.8144	0.2144	0.6175	-0.59
Anadromous	Signif prob.	1	0.0485	0.6834	0.1915	0.2177
Anadromous	Spearman	I				
	Prob>					
	Correlation			0.1051	0.1269	–0.5616
Dalazia	Signif prob.		1	0.8429	0.8106	0.2416
Pelagic	Spearman	0.8857	I			
	Prob>	0.0188				
	Correlation				0.1188	-0.8518
Dams	Signif prob.			1	0.8266	0.0313
	Spearman	0.4638	0.2319	I		
	Prob>	0.3542	0.6584			
	Correlation					-0.2598
T	Signif prob.					0.619
Towns	Spearman	0.4414	0.1177	0.0149	I	
	Prob>	0.3809	0.8243	0.9776		
	Correlation					
Yearly °C	Signif prob.					1
	Spearman	-0.7143	-0.6571	-0.8407	-0.0294	
	Prob>	0.1108	0.1562	0.0361	0.9559	

R				War of 1812		
		Anadromous	Pelagic	Dams	Towns	Yearly °C
	Correlation		0.8827	-0.6079	0.4507	0.1714
	Signif prob.		0.0198	0.2004	0.3698	0.7454
Anadromous	Spearman	I				
	Prob>					
	Correlation			-0.485	0.6999	0.3125
Dalasia	Signif prob.		1	0.3295	0.1216	0.5465
Pelagic	Spearman	0.7714	I			
	Prob>	0.0724				

continued on next page

#### SCIENCE ADVANCES | RESEARCH ARTICLE

B				War of 1812		
		Anadromous	Pelagic	Dams	Towns	Yearly °C
	Correlation				-0.4552	-0.7516
2	Signif prob.				0.3679	0.0849
Dams	Spearman	-0.6571	-0.3143	1		••••••
	Prob>	0.1562	0.5411			••••••
	Correlation					-0.4565
	Signif prob.					0.0655
Towns	Spearman	0.5218	0.7535	-0.4058	1	
	Prob>	0.2883	0.0835	0.4247		
	Correlation					••••••
Veerby °C	Signif prob.					1
Teany C	Spearman	0.1429	0.2571	-0.6571	0.3479	I
	Prob>	0.7872	0.6228	0.1562	0.4993	
с				Tambora		
		Anadromous	Pelagic	Dams	Towns	Yearly °C
	Correlation		-0.9079	-0.933	-0.6847	-0.8584
Anadromous	Signif prob.	1	0.0331	0.0206	0.2022	0.0626
	Spearman	·				
	Prob>					
	Correlation			0.9305	0.8644	0.9715
Pologic	Signif prob.		1	0.0218	0.0587	0.0057
relagic	Spearman	-1	I			
	Prob>	<0.0001				
	Correlation				0.7941	0.8392
Dama	Signif prob.			1	0.1086	0.0755
Dams	Spearman	-1	1	I		
	Prob>	<0.0001	<0.0001			
	Correlation					0.7674
-	Signif prob.					0.1299
Iowns	Spearman	-0.8	0.8	0.8	I	
	Prob>	0.1041	0.1041	0.1041		
	Correlation					
Yearly °C	Signif prob.					1
	Spearman	-0.9	0.9	0.9	0.6	
	Prob>	0.0374	0.0374	0.0374	0.2848	

temperatures (curving blue line) with highs, lows, and differentials (black drop lines) (Fig. 6 for in 1816). Numerical dates 91 (April 1) and 319 (November 15) bound seasonal periods when migrating fish became vulnerable to coastal fisheries and extreme weather, particularly

during spawning events (Fig. 6B). Horizontal rails of the seasonal windows represent time periods (phenophase), whereas vertical stiles represent temperature ranges. Viable temperatures fall within the windows.



Fig. 6. Seasonal windows, 1816. This visualization depicts individual species' phenology and temperature tolerances against four daily air temperature parameters from 1 April 1816 to 1 December 1816. The four daily parameters—daily average temperatures (curving blue line), daily high, low, and temperature differential (black drop lines)—cover numerical dates 91 to 335. Boxes diagram the seasonal window for normal adult (**A**) and spawning (**B**) activities for the following (in order of arrival): alewives (green), mackerel (orange), shad (red), and herring (purple). The vertical lines of the boxes represent the phenophase; favorable air temperatures fall within the horizontal lines.

Because of the high temperature variability during this period (84), we chose the 5 years from 1814 to 1818 for analysis-1816 and its two nearest neighbors. Both average and extreme daily temperatures can potentially alter fish behavior by "changing the duration of time periods exceeding biological thresholds" (15), because rapid temperature fluctuations may disrupt biological processes that withstand slower fluctuation of the same magnitude (15, 97). In 1816, daily average and low temperatures were more than 1 SD lower, and temperature differential was more than 1 SD higher when compared with the other years. Curiously, the highest temperature recorded during this period was on 23 June 1816 (table S8). Principal components analysis (PCA) confirmed that the four daily temperature parameters in 1816 were collectively different than their counterparts in 1814, 1815, 1817, and 1818. Table 3 quantifies the visualizations in Fig. 6 for all 5 years from 1814 to 1818 as suitability indices for adult (Fig. 6A) and spawning (Fig. 6B) fish each year. To compare relative effects of the temperature parameters, we ranked column categories from 1 (worst) to 4 (best) for each speciesyear and calculated the mean value. Table 4 displays the mean values for each species-year, thus comparing the relative viability of all species for each year (rows) and of each species over all years (columns). Yearly extremes counts the number of anomalies, which differ from row or column means by more than 1 SD.

In influence on fish phenology, 1816 was the anomalous year (Table 4). Phenological order plays a role in adaptive capacity. Alewives and mackerel arrive earlier when average temperatures are colder, whereas shad and herring arrive later when average tem-

peratures are warmer. Earliest to arrive, alewives fared the worst. Both the coldest day and the warmest day fell within their seasonal window, and intervals suitable for normal activities were badly fragmented by unfavorable temperatures (Table 3). Seasonal movements and spawning behavior of adults may have been altered or delayed, and mortality at all life stages exacerbated (98, 99). Alewives suffered significant adverse conditions in all categories ("Adult" and "Spawning"; "All conditions," "Daily averages," and "Daily extremes") with the overall lowest scores ("Daily averages"). Vulnerable in general due to higher temperature requirements, shad seemed to fare relatively better in 1816. It was a good year for mackerel and exceptional for herring. Thus, Tambora's daily temperatures likely penalized alewives but benefited shad, mackerel, and herring.

#### Human adaptation to Tambora

We used long-standing historical methods to analyze narrative evidence and reconstruct human responses to volcanic winter (56, 71, 74). According to a contemporary Maine newspaper, the *American Advocate and Kennebec Advertiser*, which covered North American manifestations of the catastrophe and responses to it, widespread famine afflicted Lower Canada and Newfoundland during the "mackerel year" of 1816 [(100), 1816]. Crop failures and the threat of famine in New England initiated imports of Midwestern foodstuffs sent down the Mississippi River to New Orleans and then by sea to Northeastern seaports [(100), 23 November 1816, 25 January 1817]. Potomac River ice was 63.5-cm-thick off Alexandria [(100), 1 March 1817], and on the New Jersey coast "immense

el, Shad, eme daily given the given the radit and radit and radius ed. "Total ning and ring and ring and rest (1), vest (1) to extremes peratures for shad). olerance" all daily ighest (1). li suitable		Average interval length	3.2	1.93	2.54	2.67	4	2.57	2.67	2.64	1.8	1.55	2.77	2.13
Macker der Extre undtions. Value vys. Value vys. Value to 1818, 7 depende ature ature dif ature di ature dif ature dif ature dif ature dif ature dif ature d		ongest nterval	6	ъ	6	9	12	2	5	7	5	4	15	9
Alewives, v (min) un biological f nbers of da rom 1814. Cographic of ibhe least fil ibhe least fil ibhe least fil ibhe least fil ibhe least fil ibhe verse in the numer that occur that very tolerable li idays 5 me days 5 me verage le is the numer that very tolerable li idays 5 me days 6 me verage le i average le		No. of L suitable i intervals	10	15	13	12	6	7	6	11	10	12	22	23
ows for ows for elecssary and low mackere "ranges f Mackere "ranks ge shad are tranks ge shad are tranks ge shad are tranks ge elector the sourcor the	Itures	No. of suitable days	32	29	33	32	36	18	24	29	18	19	61	49
sonal wind d high (max to perform na lal length) pr llows. "Year" es, Herring, ing herring thin the sea swives and swives and for thin the sea fauture function Number of within the secceeds the to highest ( to highest ( sys counts th sys counts th sys fauture the to highest ( sys fauture to highest ( sys fauture to highest ( sys fauture to highest ( sys fauture to highest ( sys fauture) to highest ( sys f	liy tempera	No. of days > maximum tolerance	6	9	m	-	14	81	77	75	81	84	114	120
ing (B) sea eratures, an species has rerage intervi- latined as fo " are Alewiv Spatial flexit form-spawn ore days wi test temperal reres "No. of patchir e every value e every value ation of the suitable days reme daily to suitable days reme daily to suitable days ation of the suitable days reme of patchir e every value ation of the suitable days	EXtreme da	No. of days < minimum tolerance	2	13	13	7	16	11	∞	9	11	7	27	36
and Spawn e daily tempo cof time each and sy tempo anking is exp S7). "Species. " all species. " pheratures we peratures we ecies' tolera species' tolera spec		Maximum emperature (°C)	33.89	31.11	32.78	30.56	31.67	34.44	37.78	35.56	36.67	34.44	34.44	37.78
<b>n to Adult (A)</b> under Averagi, y, is the amoun rce, Longest intr tegory and its r is pecies (table ally benefited waters. Philops of movement) tage temperat rage temperat rage temperat rage temperat intervals" indic. ures fall within a gest period of lowest (1) to highe eres (4) to highe erest (4) to highe sures patchines. anking lowest(		Minimum temperature t <sup>.</sup> (°C)	1.11	-1.11	-1.67	-1.11	0	-0.56	-3.33	2.22	-3.33	-1.11	-3.89	-5
in relation ifferential) ifferential) ics). Viabilit cis). Viabilit ss. Each cat ss. Each cat ss. Each cat are seed on ave seed on ave ised on ave ised on ave ised on ave ises can m if is the lon ww. ranking low anking low anking low srvals" mea olerances, 1		Average interval t length	8.5	∞	5.5	8.57	8.67	5.27	3.77	8.86	5.5	7.14	4.77	3.97
rements range (d range (d range (d) d of succes rankin rs, rankin rs, rs, rs, rs, rs, rs, rs, rs, rs, rs,		Longest interval	23	24	12	20	43	17	22	52	21	27	25	19
<b>by measu</b> loggical durations of a service of service of service of service of a se	nperature	No. of suitable intervals	9	7	10	7	9	1	13	7	8	7	26	28
<b>Met's dai</b> age and i age and i ange (Bi ange (Bi ange (Bi ange (Bi ange) and (Bi a	daliy ten	No. of suitable days	51	56	56	61	50	58	49	62	4	50	123	114
from Holyc (in °C) [avera- temperature ing this period arraged to give inned by the observer (4), ress exposure arranking low frihin each s within each s within each s within each s arranking low foods of conse pred biologic itable interva rature" define remperature v et the maxim nest (1) to h therent rest (4).	Average	Maximum differential (°C)	18.34	15	20.56	20.56	14.45	16.11	18.89	16.67	16.11	15	16.11	18.89
ters derived inophase and inophase and inophase and anks were ave anks were ave arine spawne conditions v arine spawne wer fresh or c conditions v are fresh or c conditions v are fresh or c are fresh o		Average emperature (°C)	13.84	11.3	11.07	11.82	11.65	17.52	17.18	16.58	17.39	17.7	16.9	16.74
re paramet emperature emperature species' phe conditions of ast, ranking ground locc ground locc din shallo hallo ground locc din shallo hallo ground locc ground locc din shallo hallo ground locc ground locc gr		Total tresidence	75	75	75	75	75	109	109	109	109	109	198	198
mperatu thin acily t thin acily t best (1 to best (1 to	cnaracter	Spatial exibility (rank)	-	-	-	-	-	m	e	e	£	m	4	4
<b>if the te</b> <b>i818.</b> Fc <b>i818.</b> Fc uente dwi worst to bors. "Bit f arrival . 1 to 4. Sl puel in sl e vulner: e vulner: illy fluche jly fluche fallip fluche suitable chiness i merval lei terval lei te	logical	urrival fl date)	91	91	91	91	91	196	196	196	196	196	121	121
ummary c mg, 1814- res] are eval ge and extr ere ranked rrest neighl ical date of areas from defines th verage dai verage dai uily temper res break ur ressed pat rationum d "Average ir "Average ir rested eat stide each s harmful. "V harmful." I harmful." I h		Species A	Alewives	Alewives	Alewives	Alewives	Alewives	Herring	Herring	Herring	Herring	Herring	Mackerel	Mackerel
Table 3. S and Herri temperatu daily avera category w its two nea the numer spawning they can b residence feeding. "/ defines th captures th defines th highest (4). highest (		Year A. Adult	1814	1815	1816	1817	1818	1814	1815	1816	1817	1818	1814	1815

continued on next page

	Bi	ological	character	istics		Average (	daily ten	nperature	s				Extreme da	aily tempera	atures			
Year	Species	Arrival (date)	Spatial flexibility (rank)	Total residence	Average temperature (°C)	Maximum differential : (°C)	No. of suitable days	No. of suitable intervals	Longest interval	Average interval length	Minimum temperature (°C)	Maximum temperature (°C)	No. of days < minimum tolerance	No. of days > maximum tolerance	No. of suitable days	No. of suitable intervals	Longest interval	Average interval length
1816	Mackerel	121	4	198	15.73	20.56	147	23	22	6.54	-1.11	38.89	38	104	63	29	7	2.14
1817	Mackerel	121	4	198	16.59	16.11	123	22	25	5.64	-3.33	36.67	36	120	47	22	7	2.14
1818	Mackerel	121	4	198	17.51	15	114	18	26	6.39	-1.11	37.78	25	123	58	27	5	2.15
1814	Shad	166	1	47	21.41	12.77	27	9	14	4.33	9.44	34.44	0	9	7	æ	5	2.33
1815	Shad	166	1	47	24.01	18.89	15	7	5	2.29	13.89	37.78	0	17	5	5	1	1
1816	Shad	166	1	47	19.49	15	39	5	20	6.4	10	38.89	0	ĸ	12	5	5	2.4
1817	Shad	166	1	47	21.07	14.44	29	ø	11	4.25	8.89	36.67	0	'n	5	Э	2	1.67
1818	Shad	166	1	47	23.55	13.89	18	10	80	2	13.33	37.78	0	15	æ	2	2	1.5
B. Spav	vning																	
1814	Alewives	91	1	75	13.84	18.34	48	10	17	4.80	1.11	33.89	36	1	20	6	10	2.22
1815	Alewives	91	1	75	11.30	15.00	36	11	12	3.27	-1.11	31.11	47	٦	16	8	6	2.00
1816	Alewives	91	1	75	11.07	20.56	37	15	6	2.53	-1.67	32.78	59	0	13	11	2	1.18
1817	Alewives	91	1	75	11.82	20.56	46	∞	18	5.88	-1.11	30.56	46	0	17	11	е	1.55
1818	Alewives	91	1	75	11.65	14.45	31	11	9	3.09	0.00	31.67	50	0	11	9	£	1.57
1814	Herring	196	ε	109	17.52	16.11	69	11	28	6.27	9.44	34.44	28	56	1	13	5	2.08
1815	Herring	196	£	109	17.18	18.89	76	6	23	8.44	13.89	37.78	27	56	6	17	4	1.63
1816	Herring	196	ĸ	109	16.58	16.67	79	12	22	6.42	10.00	38.89	27	50	12	14	12	2.64
1817	Herring	196	ŝ	109	17.39	16.11	63	17	17	3.82	8.89	36.67	31	64	17	10	4	1.50
1818	Herring	196	£	109	17.70	15.00	76	12	32	6.33	13.33	37.78	27	60	12	13	5	2.17
1814	Mackerel	121	4	198	16.90	16.11	41	17	80	2.41	-3.89	34.44	35	167	9	9	۲	1.00
1815	Mackerel	121	4	198	16.74	18.89	4	15	7	2.75	-5	37.78	45	158	4	m	-	1.00
1816	Mackerel	121	4	198	15.73	20.56	60	19	10	3.16	-1.11	38.89	46	160	11	6	2	1.22
1817	Mackerel	121	4	198	16.59	16.11	43	22	9	1.95	-3.33	36.67	43	161	5	5	۲	1.00
1818	Mackerel	121	4	198	17.51	15.00	48	20	∞	2.40	-1.11	37.78	37	171	5	4	2	1.25
1814	Shad	166	-	47	21.41	12.77	5	2	4	2.5	-0.56	34.44	4	29	0	0	0	0
1815	Shad	166	-	47	24.01	18.89	0	0	0	0.0	-3.33	37.78	0	40	0	0	0	0
1816	Shad	166	-	47	19.49	15.00	13	9	e	2.2	2.22	35.56	Q	17	0	0	0	0
1817	Shad	166	-	47	21.07	14.44	4	ю	2	1.3	-3.33	36.67	2	27	0	0	0	0
1818	Shad	166	-	47	23.55	13.89	m	2	2	1.5	-1.11	34.44	0	40	0	0	0	0

#### SCIENCE ADVANCES | RESEARCH ARTICLE

Downloaded from http://advances.sciencemag.org/ on February 2, 2017

numbers of cod-fish [were] thrown up on the beach, dead" [(100), 8 March 1817]. Urban famine necessitated soup kitchens in Portland, ME, and in New York City [(100), 1 March 1817, 8 March 1817], where annual mortality rose to nearly 3% [(100), 15 February 1817; by comparison, the Center for Disease Control (101) calculated the 2013 U.S. death rate as 0.7%]. Catchable fish would have been a bulwark against starvation as soon as gear could go in the water; domestic consumption would have risen.

Tambora's effects lasted through the spring of 1817. Even as temperatures moderated, New England was still plagued with spring flooding, causing property destruction and agricultural losses, and a significant drought throughout northern New England lasted until late September (65). By that spring, little protein was left in New England except for spawning cohorts of fish arriving in local estuaries and streams, and marine predators following them inshore. Crops recovered somewhat in the summer of 1817 and famine abated [(100),1817], but it likely took farmers several years to rebuild their livestock. Some did not bother to rebuild but left for the Northwest Territories, causing a CT minister to observe: "We had a great deal of moving this spring ... our number rather diminishes ..." (62). Thus, an unprecedented environmental and social crisis unfolded during the "mackerel year" and lingered into 1817. It caused the widespread redistribution of foodstuffs and stimulated emigration while enhancing the importance of marine fisheries as reliable sources of food.

#### Fisheries, phenology, and watersheds.

Timing is important to fisheries' success. Fixed gear and small boats must wait for migrating fish to come into catchable range. In consequence, the timing of different fish migrations generates different catch patterns. Each watershed's unique combination of human and natural factors shaped its fisheries. Long rivers in NCA, KEN, and PEN supported rich estuaries, whereas in CACC and CB, large bays drained short rivers and, in SC, fisheries soon clustered on Passamaquoddy Bay away from the river. MA watersheds were highly settled and industrialized, whereas ME watersheds were lightly populated frontiers (Table 1). Latitude played a role: Southern fisheries caught more mackerel (arriving in early May) than herring (arriving in October) (Fig. 2), whereas on lightly populated northern watersheds more resilient anadromous populations may have supported fisheries longer (see section S3). Thus, local characteristics shaped the options of fishermen. Alewife runs likely faltered in 1816, as climate stress and intense fishing pressure took their toll. Under continued stress in 1817, they buckled. As harvest failure and famine forced New Englanders to look to coastal seas for sustenance, the only fish available in large quantities near commercial distribution centers was mackerel.

#### DISCUSSION

To begin, we consider causation. It is plausible that severe cold and rapidly fluctuating extreme daily temperatures in 1816, compounded by anthropogenic influences, selectively penalized alewives, the earliest export species arriving on the coast (*15, 102*). Disruption and decline of alewife runs likely persisted in 1817, as cold gave way slowly to floods and drought. Food scarcity due to agriculture failure compounded pressure on aquatic resources and drove radical changes in fisheries. Thus, Tambora elicited both social and ecological responses. In CAS theory, adaptive responses of agents to system-wide changes are the key analytical component (*103–105*). Both fish and fishermen are agents whose adaptive responses are jointly reflected in the FIRs. We consider the fish first.

Fish have limited responses. In marine habitat, they adjust to uncomfortable temperatures by moving onshore or offshore, or up and down the water column to areas where temperatures are buffered. Extreme conditions can shift phenology or migratory ranges in adaptations that may be temporary or permanent (29, 106). Anadromous fish are more vulnerable to extreme weather conditions given the smaller volume of lakes and streams in which they spawn. Particularly in northern New England, lakes and streams often freeze, and annual spring freshets can make difficult natural features temporarily impassable (85, 86, 107). In the wake of Tambora, anadromous spawning populations confronted inhospitable shallow water temperatures. Some spawning may have occurred, but most suitable intervals would have lasted only a few days (Table 3B). GoM alewives can spawn a few hundred meters from shore or swim 100 km up river to natal grounds (81, 108, 109), making long-distance swimmers disproportionately vulnerable to temperature perturbations. Recent research has shown higher variability in alewife spawning behavior on the grounds than previously documented (98, 110), which could indicate greater adaptive capacity (89). Adult alewives that spawned in estuaries or skipped spawning in 1816 likely survived to reproduce in later years as temperatures moderated. However, eggs, larvae, and juvenile fish could not evade dangerous temperatures. Mortality likely rose for all life stages during a time of greatly increased fishing pressure. Drought in 1817 (65) may have compounded these losses by blocking fish passage downstream. Subsequent declines were reflected in export, which fell from more than 500,000 kg in 1816 to about 45,000 kg in 1820.

The same was true for shad. Less common but more valuable than alewives or mackerel, some shad populations also swim long distances to natal grounds, but they have difficulty surmounting low obstructions and would have avoided rudimentary 19th century fish passes (85, 86, 107). Any benefit shad received in 1816 (Table 4) was marginalized thereafter by poor weather, habitat degradation, and high demand in the marketplace. From almost 280,000 kg in 1816, less than 8000 kg of shad were exported from the GoM in 1820. In contrast, marine fish could respond to inhospitable coastal temperatures by moving offshore. Atlantic herring would have encountered fewer barriers to successful reproduction, although their large eggs must be deposited on suitable bottom (109), and spawning mackerel probably fared well because of their long period of residence in the GoM. Thus, Tambora's volcanic winter may have temporarily altered community composition and food webs in estuaries and nearshore in favor of marine spawners.

Scale plays a role in causation. Dam building and other anthropogenic factors contributed to anadromous species decline at the watershed scale (Fig. 5). In highly settled regions (CACC and NCA), dam building and land clearing likely compounded Tambora's effects more than on Maine's frontier watersheds. Yet, the fish were resilient, and they adapted. Anadromous export rebounded in the GoM after 1830. After main stem dams blocked most New England rivers and much forested land had been clear-cut, anadromous export reached its highest level in 1844 (250,000 kg of shad and 975,000 kg of alewives, mostly from CACC). Nevertheless, pelagic export still exceeded it by an order of magnitude (Fig. 4).

In 1880 in KEN, we know that 87.5% of total alewife catch was consumed locally [(91), I, pp. 667–690]. If modest rates of local consumption rose to that level in 1816, stocks already stressed by extreme climate and other factors may have been devastated by intense fishing pressure in a grim example of short-term feedback. We can only speculate on the likely response of the fish in Tambora's aftermath, but historical records suggest Table 4. Relative influence of temperature parameters on fish populations. Values in each category in Table 3 were ranked worst to best (1 to 4), and then rank scores were averaged to yield relative overall likelihood of success for each species each year. Average yearly ranks for adults and spawning Alewives, Mackerel, Shad, and Herring are presented for all conditions, daily average, and daily extreme temperatures for each year. Comparing each value to the row mean shows the relative success of that species compared to the others that year. Comparing each value to the column mean shows the relative success that years because that 1 SD above the mean are significantly better (blue), and values greater than 1 SD below the mean are significantly worse (red), with row significance indicated by block color and column significance by numeral colored. The number of significant differences each year is summed as total extremes. In terms of relative influence on fish populations, 1816 was more extreme than the other years. Weather apparently affected adult activity more than spawning activity in 1816, whereas in 1815 the reverse seems true.

		A	dult tem	perature tole	rances			Spa	wning te	emperature to	olerances	
	Year	Alewives	Shad	Mackerel	Herring	Number of extremes	Year	Alewives	Shad	Mackerel	Herring	Number of extremes
	1814	2.41	2.29	2.41	2.41	1	1814	2.53	2.06	2.06	2.94	2
	1815	2.47	2.12	2.29	2.53	1	1815	2.59	1.65	2.24	2.88	4
(daily averages	1816	1.94	2.47	2.24	3.00	5	1816	2.00	2.06	2.35	3.12	4
and extremes)	1817	2.47	2.12	2.53	2.29	1	1817	2.53	1.88	2.18	2.94	2
	1818	2.82	2.18	2.24	2.53	2	1818	2.35	2.00	2.24	3.06	1
	1814	2.00	2.00	2.78	2.78	0	1814	2.00	2.33	2.33	3.22	2
	1815	2.33	1.78	2.56	2.56	3	1815	2.00	1.56	2.44	3.33	3
Daily averages	1816	1.33	2.33	2.67	3.22	5	1816	1.56	2.11	2.56	3.33	4
	1817	2.00	1.89	2.89	2.67	2	1817	2.22	2.11	2.33	3.00	3
	1818	2.44	2.00	2.44	2.89	3	1818	1.89	2.11	2.44	3.11	1
•••••	1814	2.33	2.42	2.33	2.33	2	1814	2.50	1.92	2.25	2.75	2
	1815	2.25	2.17	2.25	2.58	2	1815	2.67	1.67	2.25	2.50	4
Daily extremes	1816	2.17	2.42	2.08	2.83	5	1816	2.08	2.00	2.33	2.92	2
	1817	2.42	2.25	2.42	2.25	1	1817	2.33	1.75	2.33	2.92	2
	1818	2.67	2.25	2.25	2.33	2	1818	2.42	1.92	2.25	2.92	2

that desperate people turned to mackerel in extremis because mackerel were available early and in great numbers when other species were not. Mackerel became both a lucrative commercial product and a utilitarian fish that fed livestock, farm families, and upstream villagers as alewives once had. Fishery expansion likely encouraged the spread of the mackerel jig and nudged fishing offshore, but it would not have depressed the alewife fishery. Although not the sole cause, Tambora's extreme event triggered the precise sequence of changes evident in the historical record.

Next, we consider contingencies and unexpected consequences. History chronicles how defeating the British in 1815 opened up new territories in the American West. New roads and navigation aids released waves of settlers into these frontier regions [(111), pp. 76–77]. Widespread famine in the Northeast also contributed to emigration (62, 63, 66) and to the development of new transportation routes and infrastructure, which substantially increased fish markets (70). A long-term feedback mechanism was in place.

The climate emergency caused by Tambora triggered a rapid, regional "resource switching," or "moving on" response from GoM fishermen (47), who redirected their efforts farther offshore toward schooling pelagic fish. Although weather conditions moderated in 1818, many inland communities had already abandoned commercial export fisheries. ME soon followed MA into pelagic fisheries, although

north of the Kennebec, herring were targeted instead of mackerel (Fig. 2B). In the European Middle Ages and Renaissance, moving from river to coastal fisheries appears as a gradual process taking many decades or centuries (*112, 113*). In the GoM after Tambora, the same sort of shift took place in 5 years. It would have occurred anyway. However, we assert, the shift occurred rapidly, at that time, and in that way because of the contingent adaptive responses of fish and fishermen to this climate disaster. Adaptations became permanent not because of permanent environmental damage and lack of natural resilience, nor of long-term planning and economic imperative, but because contingent human responses to the immediate threat created a new range of adaptive opportunities that were transformative.

Last, we consider scale and rate. In our study, varying scale and level of inquiry disclosed information hidden within the nested structure of this complex system. Using historical methods to group and order data in a CAS framework sharpened research signals by eliminating noise and helped identify potential relationships among known factors. Periodization selected, isolated, and refined influences. A similar approach might be useful in other data-poor situations, where context may partially counter deficiencies via grouping and ordering.

The role of rate is also complicated. In ecological terms, both resource switching and phenological mismatch stimulate predators to change targets, geographic ranges, and seasonal timing or suffer decline. In the context of our study, resource switching can be constructed as a sociological response to phenological mismatch that occurs at a rate greatly exceeding the adaptive rates of biological organisms. Within an ecosystem, mismatched rates of adaptation have received less attention than biological timing or range shifts, but consequences may be fundamental and long-lasting (114). This insight encourages simultaneous examination of social and biological mismatch to find patterns, determine rates and scales of disruption, and identify potential consequences. It becomes equally crucial to understand the role of rate and scale in well-synchronized phenologies of resilient systems (115).

Two hundred years ago, anadromous fish populations recovered from Tambora in a few decades, whereas rapid cultural adaptation catapulted people far past the nexus in space and time where mere resilience was possible. People evolved new fishing gear, canal systems, railroads, and factories along with new market and social structures, thereby altering fisheries, fish, and ecosystems. This implies that ecological communities may recover if populations have time to adapt to altered conditions, but technology evolves so fast that regime shifts occurring in CHANS may be difficult or impossible to reverse.

Parallels between Tambora's abrupt climate event and the extreme weather occurring today are many and obvious. We need not point out the potential for widespread famine; the difficulty in forecasting local effects of global climate changes; the increasing devastation from storms, floods, and drought; or the uncertainty of what might ameliorate situations that fall far outside the realm of experience and expectation. More than future trends, extreme events demand immediate attention (7) as we negotiate what increasingly seems like an uncontrolled experiment. However, the past can be a laboratory, where outcomes of similar experiments may yield novel insights. Two hundred years ago, societal responses to a natural disaster, born of necessity, shifted cultural memory and expectations, transforming coastal communities, human and aquatic, from one dynamic social and ecological regime into another in ways Tambora never could have done alone. Complex systems elude simple explanations. Understanding how CHANS are governed by rate, scale, order, and group organization, and how thresholds are approached and crossed, may help advance human resilience by strengthening resilience in the natural world.

#### MATERIALS AND METHODS

#### Study design

Historical ecology is a forensic pursuit (*116*), where evidence from many sources is gathered and examined, and hypothetical explanations are eliminated to deduce probable cause. Subject to inevitable gaps, inaccuracies, and uncertainties, historical records nevertheless provide a reasonably accurate account of events and conditions as interpreted by the people who experienced and recorded them (*56, 74, 84*). Inevitably, such information rarely conforms to a priori experimental designs, which often generate structured data for particular analytical models. Using prior knowledge as context, researchers explore the nature of found evidence before deciding upon analytical methods, structuring data for modeling when it is possible or developing new approaches when it is not.

Our immediate goals were to discover Tambora's climate effects on targeted fish species and human communities, identify long-term consequences of contingent adaptations to volcanic winter, and explore the role of scale and rate in determining impact and response. Working backwards to discover the interplay of causes and adaptations characteristic of a complex system (56), we chose simple statistical methods to interrogate small data sets, where every point was valuable. Integrating historical analysis with CAS theory proved essential in assessing different drivers working simultaneously at different rates and scales. For phenological analysis, our seasonal windows method used known life histories of targeted fish populations and daily weather temperature parameters.

#### Data acquisition and organization

Fisheries export data from MA and ME FIRs were photographed in State archives, digitized using Adobe Acrobat software, and transcribed on Microsoft Excel spreadsheets. Dams and Towns were mapped in ESRI ArcGIS v.10.2 (*117*) GIS software for assignment to appropriate watersheds.

#### CAS theory

CAS structure permitted analyzing the adaptive behaviors of fish and human populations across varying scales and levels of hierarchical organization (76, 118, 119). Adaptive behaviors may be learned or genetically encoded, and agents that share behaviors can be grouped together. Within a group, variations among individuals cause subtly different responses to local conditions, and groups differentiate over time as local environmental adaptations accumulate (120). Groups sharing behavior patterns become subgroups within larger, more generalized groups at higher levels, thus providing scale to organizational structure (121). In CAS theory, hierarchical organization regulates the transfer of information and improves group fitness (122). This implies that organizational structure and spatial and temporal scale factor in adaptive capacity.

The same population may be divided into different hierarchical groups according to different characteristics. For example, American fishermen may be grouped by region, time period, fishery, age, and gender, whereas fish within a coastal community may be grouped by species, functional group, habitat, diet, and phenology. Aggregating and analyzing groups across different types and levels reveals the interplay of these characteristics (*123*) and isolates statistically significant correlations across multiple levels of interaction. We varied group levels to investigate different scales of resolution.

#### Statistical analyses

Pairwise multivariate analyses (*P*) and Spearman's  $\rho$  tests (*S*) performed on yearly time series, and PCA of the four temperature parameters from Holyoke's records, were done using JMP statistical software v.11.0.0 (*124*).

#### Historical context of New England fisheries

The historical context of GoM fisheries is important not only as metadata that informed data choice and usage but also as a form of analysis in its own right (74) that compliments and is complimented by quantitative methods. We presented historical context for time series used in our analyses (and explained why other data sets were not used in section S1). Assumptions about these data can be substantially different from contemporary assumptions in similar circumstances. Thus, history helps orient the reader in the past, as in an unfamiliar country.

## Fish export (see section S1 for "Fishing effort" and "Market prices") *FIR data*.

New England coastal and inland fisheries produced luxury foods such as salmon and shad, market staples such as mackerel, and utilitarian fish such as alewives, Atlantic herring, and menhaden. Unlike dried salt cod, these fish were caught in dip nets, bag nets, weirs, or shore "haul" seines, or from small boats hugging the coast, cleaned, and shipped pickled in brine or smoked in casks or boxes [(91), I]. All but menhaden were regularly consumed as food. Fish were inspected to ensure quality [(90), pp. 114–115]. Expensive fish like salmon and shad found markets in Boston, New York, and Philadelphia (125, 126). Mackerel was widely sold in Europe and America, whereas cheap alewives and herring went to poorer urban and rural folks and to slaves in the Caribbean and American South. At this time, menhaden were primarily bought as bait for other local fisheries or as fertilizer to improve poor New England soil [(91), I, pp. 327–415]. Salmon, shad, alewives, mackerel, herring, and menhaden made up 98% of all FIR exports during this period.

Fishing was also important to farming communities and contributed materially to the social and economic fabric of the hinterland. Away from the sea, the arrival of alewives and shad in the spring offered windfall benefits to farmers and laborers who sometimes traveled far from home to dip fish from the rivers. Alewives were often pickled or smoked for use at home, but more valuable shad could be bartered and sometimes became a seasonal currency in cash-poor regions. At staging areas where many fishermen worked, traders provided food and rum, and a festival atmosphere sometimes ensued (126). In 1880, from 50 to 87% of alewives [(91), I, pp. 667–690] and as much as 66% of the mackerel [(127), I, p. 48] were kept for local consumption.

FIRs recorded only fish inspected for commercial sale out of state; therefore, they provide only a portion of total catch and are a conservative proxy for fish catch in the GoM during the early decades of the 19th century. Because no consistent catch or export data exist before 1804, we take that year as a baseline from which to measure change. Quantities of processed fish in casks (tierces, barrels, boxes, etc.) were converted to weight (in kilograms) using standard conversions (*128, 129*) and ln-transformed for statistical analysis.

#### Fish life histories.

Information on general behavior came from authorities mentioned above (85, 86, 107–109, 125) and from our own recent field and laboratory work (81, 82, 98, 111, 130). All fish spawned in the GoM at seasons determined by latitude and water temperature. Excepting adult salmon and mackerel, all consumed plankton and other tiny invertebrates and transferred productivity up the food web to apex predators.

Anadromous salmon, shad, and alewives once frequented virtually every watershed in coastal New England. Alewives and shad appeared in seasonal spawning runs to ascend major rivers such as the Connecticut, Merrimac, Kennebec, and Penobscot by the millions, and many smaller rivers by tens or hundreds of thousands (107–109). During the rest of the year, they moved offshore and schooled with Atlantic herring and menhaden (130). In the early 1800s, salmon still spawned in GoM tributaries (125). Smaller species, especially out-migrating young-of-the-year alewives, provided prey buffering for juvenile salmon (131) and forage for mackerel and groundfish nearshore [(107, p. 588; (132)].

Inshore waters were also essential habitat for schooling pelagics. From earliest settlement through the 19th century, herring spawned on all suitable nearshore bottom from the Bay of Fundy to Massachusetts Bay [(133), p. 387–442]. In estuarine environments, vast spawning cohorts beset the shoreline: On Grand Manan, herring eggs washed onto beaches were removed with shovels [(134), p. 46]. Adult mackerel also fed on juvenile alewives and herring. Cold-sensitive, lipid-rich menhaden were more common south of Cape Cod. However, in 1819, one menhaden school transected by a Rhode Island coasting schooner near Casco Bay, ME, was 3.2 km wide and more than 64 km long [(135), p. 79].

At the time of Tambora, alewife, shad, and salmon populations had already declined in MA and were considered species of concern by contemporary citizens and governments (90), yet by modern standards, GoM watersheds still supported thriving fisheries. Salmon from the Merrimack River still supplied Boston markets in 1822, just as the Boston Manufacturing Company began their great textile mill with its impassable dam at Lowell [(125), p. 426]. Alewives were exported from the Charles River in 1839, and shad from the Merrimack and the smaller rivers feeding Massachusetts Bay filled markets during the 1830s [(125), pp. 440, 442].

#### Watersheds

Between northern Cape Cod and the St. Croix River, 72 towns reporting fish export were georeferenced and assigned to a watershed. These fishing communities were shaped by harvestable forest and farmland and also by watershed conformation, coastal topography, and oceanographic factors. Fish migrations were shaped by freshwater outflow as well as coastal topography and oceanographic factors. We divided MA towns into two coastal watersheds: 41 MA towns reported export for CACC and NCA (*136*). Four ME watersheds were chosen for analysis, with 31 towns reporting (see section S3 for watershed descriptions) (*137*).

## The spreading human footprint *Towns*.

Census records from 1800, 1810, and 1820 provide decennial populations of all 72 towns (80). Summing individual town populations for each watershed gave an estimate of the total human population of fishing communities. CACC's population was consistently an order of magnitude greater than the populations of the other watersheds and two orders of magnitude greater than that of SC, even though SC's population trebled over 20 years (Table 1).

#### Dams.

Dams have been built on New England rivers since the 1630s. Today, dams have almost entirely blocked natural access to essential spawning habitat and are considered the leading obstacles to restoring anadromous populations (88). However, dams erected before 1820 were structurally different from industrial main stem dams built just a decade later. Simple log crib and stone freshet dams were constructed on tributaries, built with local materials by local workmen with oxen and axes, and vulnerable to destruction at ice-out in the spring (138). Some dams only partially blocked water flow, and simple fishways had been required by law on more permanent structures since 1740 [(139), pp. 70-71, 100-101, 129]. However, dams blocked the passage of spawning fish, of spent adults returning to the sea, and of juvenile fish transitioning from fresh to coastal waters. Thus, dam proliferation increasingly interrupted anadromous life cycles. By 1800, existing structures on MA watersheds had reduced available spawning habitat for anadromous fish by 45% (82), whereas spawning habitat in ME had been reduced by more than 50% (81). After 1820, many low freshet dams were replaced by insurmountable dams as industry came to rival agriculture and fisheries in economic importance.

#### SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at http://advances.sciencemag.org/cgi/ content/full/3/1/e1601635/DC1

section S1. Excluded data sets

section S2. Comparison of contemporary shore air temperatures with coastal sea surface temperatures

#### section S3. Watershed descriptions

fig. S1. Recent comparisons of average air and sea surface temperatures, 2002–2014 (142, 143). table S1. Yearly amounts of single species and total export (kg) for the GoM, 1804–1820 (68, 69).

table S2. Yearly amounts of single species export for each watershed, 1804–1820 (*68*, *69*). table S3. Original and In values of Anadromous and Pelagic export for the GoM, 1804–1880 (*68*, *69*).

table S4. Ln of single species export values for the GoM, 1804–1820 (68, 69), with numbers of Dams (81, 82), Towns (68, 69), and temperature (Yearly °C) (83).

table S5. Correlation of GoM Anadromous and Pelagic export, human influences, and yearly average temperature, 1804–1820.

table S6. Correlation of GoM single species export, human influences, and yearly average temperature, 1804–1820.

table S7. Numerical dates of arrival, departure, and temperature tolerances (°C) for adult and spawning activities of alewives, shad, Atlantic herring, and mackerel (85, 86).

table S8. Table of four temperature parameters (15) for the spring, summer, and fall months from 1814 to 1818 derived from Holyoke's temperature readings (84). References (144–150)

#### **REFERENCES AND NOTES**

- G.-R. Walther, E. Post, P. Convey, A. Menzel, C. Parmesan, T. J. C. Beebee, J.-M. Fromentin, O. Hoegh-Guldberg, F. Bairlein, Ecological responses to recent climate change. *Nature* 416, 389–395 (2002).
- C. Parmesan, G. Yohe, A globally coherent fingerprint of climate change impacts across natural systems. *Nature* 421, 37–42 (2003).
- W. N. Adger, S. Dessai, M. Goulden, M. Hulme, I. Lorenzoni, D. R. Nelson, L. O. Naess, J. Wolf, A. Wreford, Are there social limits to adaptation to climate change? *Clim. Change* 93, 335–354 (2009).
- R. B. Alley, J. Marotzke, W. D. Nordhaus, J. T. Overpeck, D. M. Peteet, R. A. Pielke Jr., R. T. Pierrehumbert, P. B. Rhines, T. F. Stocker, L. D. Talley, J. M. Wallace, Abrupt climate change. *Science* **299**, 2005–2010 (2003).
- D. R. Easterling, G. A. Meehl, C. Parmesan, S. A. Changnon, T. R. Karl, L. O. Mearns, Climate extremes: Observations, modeling, and impacts. *Science* 289, 2068–2074 (2000).
- L. V. Alexander, X. Zhang, T. C. Peterson, J. Caesar, B. Gleason, A. M. G. Klein Tank, M. Haylock, D. Collins, B. Trewin, F. Rahimzadeh, A. Tagipour, K. R. Kumar, J. Revadekar, G. Griffiths, L. Vincent, D. B. Stephenson, J. Burn, E. Aguilar, M. Brunet, M. Taylor, M. New, P. Zhai, M. Rusticucci, J. L. Vazquez-Aguirre, Global observed changes in daily climate extremes of temperature and precipitation. *J. Geophys. Res.* **111**, D05109 (2006).
- A. Jentsch, J. Kreyling, C. Beierkuhnlein, A new generation of climate-change experiments: Events, not trends. *Front. Ecol. Environ.* 5, 365–374 (2007).
- T. F. Grilo, P. G. Cardoso, M. Dolbeth, M. D. Bordalo, M. A. Pardal, Effects of extreme climate events on the macrobenthic communities' structure and functioning of a temperate estuary. *Mar. Pollut. Bull.* 62, 303–311 (2011).
- T. Wernberg, D. A. Smale, F. Tuya, M. S. Thomsen, T. J. Langlois, T. de Bettignies, S. Bennett, C. S. Rousseaux, An extreme climate event alters marine ecosystem structure in a global biodiversity hotspot. *Nat. Clim. Change* **3**, 78–82 (2013).
- A. E. Cryan, K. M. Benes, B. Gillis, C. Ramsey-Newton, V. Perini, M. J. Wynne, Growth, reproduction, and senescence of the epiphytic marine algae *Phaeosaccion collinsii* Farlow (Ochrophyta, Phaeothamniales) at its type locality in Nahant, Massachusetts, USA. *Bot. Mar.* 58, 275–283 (2015).
- K. E. Kunkel, T. R. Karl, H. Brooks, J. Kossin, J. H. Lawrimore, D. Arndt, L. Bosart, D. Changnon, S. L. Cutter, N. Doesken, K. Emanuel, P. Y. Groisman, R. W. Katz, T. Knutson, J. O'Brien, C. J. Paciorek, T. C. Peterson, K. Redmond, D. Robinson, J. Trapp, R. Vose, S. Weaver, M. Wehner, K. Wolter, D. Wuebbles, Monitoring and understanding trends in extreme storms: State of knowledge. *Bull. Am. Meteorol. Soc.* **94**, 499–514 (2013).
- D. Pauly, Anecdotes and the shifting baseline syndrome of fisheries. *Trends Ecol. Evol.* 10, 430 (1995).
- J. B. C. Jackson, M. X. Kirby, W. H. Berger, K. A. Bjorndal, L. W. Botsford, B. J. Bourque, R. H. Bradbury, R. Cooke, J. Erlandson, J. A. Ester, T. P. Hughes, S. Kidwell, C. B. Lange, H. A. Lenihan, J. M. Pandolfi, C. H. Peterson, R. S. Steneck, M. J. Tegner, R. R. Warner, Historical overfishing and the recent collapse of coastal ecosystems. *Science* 293, 629–637 (2001).
- R. W. Katz, B. G. Brown, Extreme events in a changing climate: Variability is more important than averages. *Clim. Change* 21, 289–302 (1992).
- C. Parmesan, T. L. Root, M. R. Willig, Impacts of extreme weather and climate on terrestrial biota. *Bull. Am. Meteorol. Soc.* 81, 443–450 (2000).
- M. Holmgren, P. Stapp, C. R. Dickman, C. Gracia, S. Graham, J. R. Gutiérrez, C. Hice, F. Jaksic, D. A. Kelt, M. Letnic, M. Lima, B. C. López, P. L. Meserve, W. B. Milstead, G. A. Polis, M. A. Previtali, M. Richter, S. Sabaté, F. A. Squeo, Extreme climatic events shape arid and semiarid ecosystems. *Front. Ecol. Environ.* 4, 87–95 (2006).

- E. Post, M. C. Forchhammer, M. S. Bret-Harte, T. V. Callaghan, T. R. Christensen,
  B. Elberling, A. D. Fox, O. Glig, D. S. Hik, T. T. Høye, R. A. Ims, E. Jeppesen, D. R. Lein,
  J. Madsen, A. D. McGuire, S. Rysgaard, D. E. Schlinder, I. Stirling, M. P. Tamstorf,
  N. J. C. Tyler, R. van der Wal, J. Welker, P. A. Wookey, N. M. Schmidt, P. Aastrup, Ecological dynamics across the Arctic associated with recent climate change. *Science* 325, 1355–1358 (2009).
- O. Hough-Guldberg, J. F. Bruno, The impact of climate change on the world's marine ecosystems. *Science* 328, 1523–1528 (2010).
- M. Edwards, A. J. Richardson, Impact of climate change on marine pelagic phenology and trophic mismatch. *Nature* 430, 881–884 (2004).
- F. Berkes, D. Jolly, Adapting to climate change: Social-ecological resilience in a Canadian western Arctic community. *Conserv. Ecol.* 5, 18 (2001).
- C. Rosenzweig, A. Iglesias, X. B. Yang, P. R. Epstein, E. Chivian, Climate change and extreme weather events; implications for food production, plant diseases, and pests. *Global Change Hum. Health* 2, 90–104 (2001).
- 22. K. M. Brander, Global fish production and climate change. *Proc. Natl. Acad. Sci. U.S.A.* **104**, 19709–19714 (2007).
- P. Lehodey, J. Alheit, M. Barange, T. Baumgartner, G. Beaugrand, K. Drinkwater, J.-M. Fromentin, S. R. Hare, G. Ottersen, R. I. Perry, C. Roy, C. D. van der Lingen, F. Werner, Climate variability, fish and fisheries. J. Climate 19, 5009–5030 (2006).
- J. A. McGowan, S. J. Bograd, R. J. Lynn, A. J. Miller, The biological response to the 1977 regime shift in the California Current. *Deep Sea Res. Part II* 50, 2567–2582 (2003).
- J. B. C. Jackson, K. Alexander, A. D. MacCall, D. B. Field, F. Chavez, C. B. Lange, P. E. Smith, Anchovies and sardines, in *Shifting Baselines: The Past and Future of Ocean Fisheries*, J. B. C. Jackson, K. Alexander, E. Sala, Eds. (Island Press/Center for Resource Economics 2011), pp. 43–76.
- J. Liu, T. Dietz, S. R. Carpenter, C. Folke, M. Alberti, C. L. Redman, S. H. Schneider, E. Ostrom, A. N. Pell, J. Lubchenco, W. W. Taylor, Z. Ouyang, P. Deadman, T. Kratz, W. Provender, Coupled human and natural systems. *Ambio* **36**, 639–649 (2007).
- W. J. McConnell, J. D. A. Millington, N. J. Reo, M. Alberti, H. Asbjornsen, L. A. Baker, N. Brozović, L. E. Drinkwater, S. A. Drzyzga, C. A. Jantz, J. Fragoso, D. S. Holland, C. A. Jantz, T. T. A. Kohler, H. D. G. Maschner, M. Monticino, G. Podestá, R. G. Pontius Jr., C. L. Redman, D. Sailor, G. Urquhart, J. J. Liu, Research on coupled human and natural systems (CHANS): Approach, challenges, and strategies. *Bull. Ecol. Soc. Am.* 92, 218–228 (2011).
- C. D. G. Harley, A. R. Hughes, K. M. Hultgren, B. G. Miner, C. J. B. Sorte, C. S. Thornber, L. F. Rodriguez, L. Tomanek, S. L. Williams, The impacts of climate change in coastal marine systems. *Ecol. Lett.* 9, 228–241 (2006).
- J. A. Nye, J. S. Link, J. A. Hare, W. J. Overholtz, Changing spatial distribution of fish stocks in relation to climate and population size on the Northeast United States continental shelf. *Mar. Ecol. Prog. Ser.* **393**, 111–129 (2009).
- G. McGranahan, D. Balk, B. Anderson, The rising tide: Assessing the risks of climate change and human settlements in low elevation coastal zones. *Environ. Urban.* 19, 17–37 (2007).
- 31. R. S. J. Tol, The economic effects of climate change. J. Econ. Perspect. 23, 29–51 (2009).
- S. R. Hare, N. J. Mantua, Empirical evidence for North Pacific regime shifts in 1977 and 1989. Prog. Oceanogr. 47, 103–145 (2000).
- E. H. Allison, A. L. Perry, M. C. Badjeck, W. N. Adger, K. Brown, D. Conway, A. S. Halls, G. M. Pilling, J. D. Reynolds, N. L. Andrew, N. K. Dulvy, Vulnerability of national economies to the impacts of climate change on fisheries. *Fish Fish*. **10**, 173–196 (2009).
- J. C. Rice, S. M. Garcia, Fisheries, food security, climate change, and biodiversity: Characteristics of the sector and perspectives on emerging issues. *ICES J. Mar. Sci.* 68, 1343–1353 (2011).
- D. Pauly, R. Watson, J. Alder, Global trends in world fisheries: Impacts on marine ecosystems and food security. *Philos. Trans. R. Soc. Lond. B Biol. Sci.* 360, 5–12 (2005).
- C. Béné, G. Macfadyen, E. H. Allison, Increasing the contribution of small-scale fisheries to poverty alleviation and food security, in *Fisheries Technical Paper 481* (Food and Agriculture Organization of the United Nations, 2007).
- D. Zeller, S. Booth, D. Pauly, Fisheries contributions to the gross domestic product: Underestimating small-scale fisheries in the Pacific. *Mar. Resour. Econ.* 21, 355–374 (2006).
- Á. M. Mathiesen, *The State of World Fisheries and Aquaculture* (Food and Agriculture Organization of the United Nations, Fisheries and Aquaculture Department, 2012).
- 39. T. W. Fulton, The Sovereignty of the Sea: An Historical Account of the Claims of England to the Dominion of the British Seas, and of the Evolution of the Territorial Waters, with Special Reference to the Rights of Fishing and the Naval Salute (William Blackwood and Sons Ltd., 1911).
- 40. H. A. Innis, The Cod Fisheries, History of an International Economy (Yale Univ. Press, 1940).
- M. L. Weber, From Abundance to Scarcity: A History of US Marine Fisheries Policy (Island Press/Center for Resource Economics, 2001).

- 42. J. Robson, An Account of Six Years Residence in Hudson's-Bay, from 1733 to 1736, and 1744 to 1747: Containing a Variety of Facts, Observations, and Discoveries, Tending to Shew, I. The Vast Importance of the Countries About Hudson's Bay to Great Britain, on Account of the Extensive Improvements That May Be Made There in Many Beneficial Articles of Commerce, Particularly in the Furs, and in the Whale and Seal Fisheries (Jefferys, 1759).
- R. W. Unger, Dutch herring, technology, and international trade in the seventeenth century. J. Econ. Hist. 40, 253–279 (1980).
- S. R. Vose, Technology Versus the Atlantic Halibut (Hippoglossus hippoglossus) of the Gulf of Maine: The Impact of Railroads, Sharpshooters, Ice Preservation, and the Telegraph on this Fishery, 1848–1868 (Harvard University, 2010).
- D. Vickers, Farmers and Fishermen: Two Centuries of Work in Essex County, Massachusetts, 1630–1850 (University of North Carolina Press, 1994).
- B. J. McCay, Systems ecology, people ecology, and the anthropology of fishing communities. *Hum. Ecol.* 6, 397–422 (1978).
- F. Berkes, T. P. Hughes, R. S. Steneck, J. A. Wilson, D. R. Bellwood, B. Crona, C. Folke, L. H. Gunderson, H. M. Leslie, J. Norberg, M. Nyström, O. Ollson, H. Österblom, M. Scheffer, B. Worm, Globalization, roving bandits, and marine resources. *Science* **311**, 1557–1558 (2006).
- E. Ostrom, A diagnostic approach for going beyond panaceas. Proc. Natl. Acad. Sci. U.S.A. 104, 15181–15187 (2007).
- 49. R. B. Aronson, Onshore-offshore patterns of human fishing activity. PALAIOS 5, 88–93 (1990).
- D. Pauly, V. Christensen, J. Dalsgaard, R. Froese, F. Torres Jr., Fishing down marine food webs. *Science* 279, 860–863 (1998).
- R. A. Watson, G. B. Nowara, K. Hartmann, B. S. Green, S. R. Tracey, C. G. Carter, Marine foods sourced from farther as their use of global ocean primary production increases. *Nat. Commun.* 6, 7365 (2015).
- 52. T. C. Rick, J. Erlandson, *Human Impacts on Ancient Marine Ecosystems: A Global Perspective* (University of California Press, 2008).
- H. K. Lotze, Rise and fall of fishing and marine resource use in the Wadden Sea, southern North Sea. Fish. Res. 87, 208–218 (2007).
- W. B. Leavenworth, The changing landscape of maritime resources in seventeenthcentury New England. Int. J. Mar. Hist. 20, 33–62 (2008).
- L. McClenachan, Documenting loss of large trophy fish from the Florida Keys with historical photographs. *Conserv. Biol.* 23, 636–643 (2009).
- M. M. Steen-Adams, N. Langston, M. D. O. Adams, D. J. Mladenoff, Historical framework to explain long-term coupled human and natural system feedbacks: Application to a multiple-ownership forest landscape in the northern Great Lakes region, USA. *Ecol. Soc.* 20, 28 (2015).
- H. Stommel, E. Stommel, Volcano Weather: The Story of 1816, the Year Without a Summer (Seven Seas Press, 1983).
- 58. G. D'A. Wood, Tambora: The Eruption That Changed the World (Princeton Univ. Press, 2014).
- S. Self, R. Gertisser, T. Thordarson, M. R. Rampino, J. A. Wolff, Magma volume, volatile emissions, and stratospheric aerosols from the 1815 eruption of Tambora. *Geophys. Res. Lett.* **31**, L20608 (2004).
- K. R. Briffa, P. D. Jones, F. H. Schweingruber, T. J. Osborn, Influence of volcanic eruptions on Northern Hemisphere summer temperature over the past 600 years. *Nature* 393, 450–455 (1998).
- A. Robock, Cooling following large volcanic eruptions corrected for the effect of diffuse radiation on tree rings. *Geophys. Res. Lett.* **32**, L06702 (2005).
- W. K. Klingaman, N. P. Klingaman, The Year Without Summer: 1816 and the Volcano That Darkened the World and Changed History (Macmillan Publishers, 2013).
- C. Oppenheimer, Climatic, environmental and human consequences of the largest known historic eruption: Tambora volcano (Indonesia) 1815. *Prog. Phys. Geog.* 27, 230–259 (2003).
- G. Gong, S. Hameed, The variation of moisture conditions in China during the last 2000 years. Int. J. Climatol. 11, 271–283 (1991).
- W. R. Baron, 1816 in perspective: The view from the northeastern USA, in *The Year Without a Summer? World Climate in 1816*, C. R. Harington, Ed. (Canadian Museum of Nature, 1992), pp. 124–44.
- 66. D. Lipman, The "Year Without a Summer": Two hundred years of facts, fiction, and folklore. *Weatherwise* **69**, 20–27 (2016).
- J. Z. de Boer, D. T. Sanders, Volcanoes in Human History: The Far-Reaching Effects of Major Eruptions (Princeton Univ. Press, 2002).
- Returns from Public Inspectors (1804–1887). Massachusetts Archives SC1/series, 139X (Boston, MA).
- 69. Fish Inspectors Records (1804–1893). Maine State Archives 2112-0401, boxes 1–9 (Augusta, ME).
- W. M. O'Leary, The Maine Sea Fisheries: The Rise and Fall of a Native Industry, 1830–1890 (Northeastern Univ. Press, 1996).
- W. J. Bolster, The Mortal Sea: Fishing the Atlantic in the Age of Sail (Harvard Univ. Press, 2012).

- J. W. Hurst, Law and the Conditions of Freedom in the Nineteenth-Century United States (University of Wisconsin Press, 1984).
- F. Kert, The fortunes of war: Commercial warfare and maritime risk in the War of 1812. North. Mar. 8, 1–16 (1998).
- W. J. Bolster, K. E. Alexander, W. B. Leavenworth, The historical abundance of cod on the Nova Scotian Shelf, in *Shifting Baselines: The Past and Future of Fisheries*, J. B. C. Jackson, K. E. Alexander, E. Sala, Eds. (Island Press/Center for Resource Economics, 2011), pp. 79–113.
- J. A. Wilson, J. M. Acheson, T. R. Johnson, The cost of useful knowledge and collective action in three fisheries. *Ecol. Econ.* 96, 165–172 (2013).
- J. H. Holland, Signals and Boundaries: Building Blocks for Complex Adaptive Systems (MIT Press, 2012).
- B. Walker, C. S. Holling, S. R. Carpenter, A. Kinzig, Resilience, adaptability and transformability in social-ecological systems. *Ecol. Soc.* 9, 5 (2004).
- C. Folke, Resilience: The emergence of a perspective for social–ecological systems analyses. *Glob. Environ. Chang.* 16, 253–267 (2006).
- 79. F. Berkes, Linkages and multilevel systems for matching governance and ecology: Lessons from roving bandits. *Bull. Mar. Sci.* **86**, 235–250 (2010).
- Census of Population and Housing (U.S. Census Bureau, 2016); www.census.gov/prod/ www/decennial.html.
- C. J. Hall, A. Jordaan, M. G. Frisk, The historic influence of dams on diadromous fish habitat with a focus on river herring and hydrologic longitudinal connectivity. *Landscape Ecol.* 26, 95–107 (2011).
- S. Mattocks, "Ecological consequences of lost anadromous forage fish in freshwater ecosystems," thesis, University of Massachusetts, Amherst (2016).
- 83. Berkeley Earth, http://berkeleyearth.lbl.gov/state-list/.
- G. van der Schrier, P. D. Jones, Daily temperature and pressure series for Salem, Massachusetts (1786–1829). *Clim. Change* 87, 499–515 (2008).
- H. B. Bigelow, W. W. Welsh. Bulletin of the United States Bureau of Fisheries: Fishes of the Gulf of Maine (Government Printing Office, 1925).
- B. B. Collette, G. Klein-MacPhee, *Bigelow and Schroeder's fishes of the Gulf of Maine* (Smithsonian Institution Press, ed. 3, 2002).
- H. J. Walsh, D. E. Richardson, K. E. Marancik, J. A. Hare, Long-term changes in the distributions of larval and adult fish in the Northwest US shelf system. *PLOS ONE* **10**, e0137382 (2015).
- K. E. Limburg, J. R. Waldman, Dramatic declines in North Atlantic diadromous fish. Bioscience 59, 955–965 (2009).
- J. Waldman, K. A. Wilson, M. Mather, N. P. Snyder, A resilience approach can improve anadromous fish restoration. *Fisheries* 41, 116–126 (2016).
- 90. Governor & Council of The Colony of Massachusetts Bay, *Charters and General Laws of the Colony and Province of Massachusetts Bay* (T. B. Wait & Son, 1814).
- G. B. Goode, The Fisheries and Fishery Industries of the United States, History and Methods (Government Printing Office, 1887).
- 92. W. D. Williamson, History of Maine (Glazier, Masters & Co., 1832).
- 93. S. Perley, *Historic Storms of New England: Its Gales, Hurricanes, Tornados* (Salem Press Publishing Company, 1891).
- D. H. Fischer, The Great Wave—Price Revolutions and the Rhythm of History (Oxford Univ. Press, 1996).
- 95. G. A. Wheeler, History of Castine, Penobscot and Brooksville (Burr and Robinson, 1875).
- O. Mohseni, H. G. Stefan, Stream temperature/air temperature relationship: A physical interpretation. J. Hydrol. 218, 128–141 (1999).
- L. Macheriotou, B. P. Braeckman, A. Rigaux, N. De Meester, T. Moens, S. Derycke, Intraspecific functional trait variability does not result in higher fitness under thermal stress in a free-living marine nematode. *J. Exp. Mar. Biol. Ecol.* **472**, 14–23 (2015).
- J. Rosset, "The life history characteristics, growth, and mortality of juvenile alewife, *Alosa pseudoharengus*, in coastal Massachusetts," thesis, University of Massachusetts, Amherst (2016).
- 99. E. S. Dunlop, S. C. Riley, The contribution of cold winter temperatures to the 2003 alewife collapse in Lake Huron. *J. Great Lakes Res.* **39**, 682–689 (2013).
- American Advocate and Kennebec Advertiser (Hallowell, ME, newspapers dated 10 August 1816, 23 November 1816, 25 January 1817, 15 February 1817, 1 March 1817, 8 March 1817, 9 August 1817, 30 August 1817, 6 September 1817); http://infoweb.newsbank.com.ezproxy. bpl.org/iw-search/we/HistArchive/?p\_action=keyword&f\_pubBrowse=109E2C18EE9D8478.
- J. Xu, S. L. Murphy, K. D. Kochanek, B. A. Bastian, *Deaths: Final Data for 2013* (National Vital Statistics Reports, National Center for Health Statistics, Centers for Disease Control and Prevention, U.S. Department of Health and Human Services, 2016); www.cdc.gov/nchs/ data/nvsr/nvsr64/nvsr64\_02.pdf.
- D. S. Wethey, S. A. Woodin, T. J. Hilbish, S. J. Jones, F. P. Lima, P. M. Brannock, Response of intertidal populations to climate: Effects of extreme events versus long term change. *J. Exp. Mar. Biol. Ecol.* **400**, 132–144 (2011).
- 103. J. Norberg, Biodiversity and ecosystem functioning: A complex adaptive systems approach. *Limnol. Oceanogr.* **49**, 1269–1277 (2004).

- F. S. Valdovinos, R. Ramos-Jiliberto, L. Garay-Narváez, P. Urbani, J. A. Dunne, Consequences of adaptive behaviour for the structure and dynamics of food webs. *Ecol. Lett.* 13, 1546–1559 (2010).
- S. A. Levin, Complex adaptive systems: Exploring the known, the unknown and the unknowable. *Bull. Am. Math. Soc.* 40, 3–19 (2003).
- W. J. Overholtz, J. A. Hare, C. M. Keith, Impacts of interannual environmental forcing and climate change on the distribution of Atlantic Mackerel on the U.S. Northeast continental shelf. *Mar. Coastal Fish.* **3**, 219–232 (2011).
- 107. G. B. Goode, The Fisheries and Fishery Industries of the United States: Natural History of Useful Aquatic Animals (Government Printing Office, 1884), sec. 1, vol. 1.
- 108. J. Waldman, Running Silver: Restoring Atlantic Rivers and Their Great Fish Migrations (Lyons Press, 2013).
- H. B. Bigelow, W. C. Schroeder, Fishery Bulletin of the Fish and Wildlife Service, Fishery Bulletin 74: Fishes of the Gulf of Maine (Government Printing Office, 1953).
- E. P. Palkovacs, D. J. Hasselman, E. E. Argo, S. R. Gephard, K. E. Limburg, D. M. Post, T. F. Schultz, T. V. Willis, Combining genetic and demographic information to prioritize conservation efforts for anadromous alewife and blueback herring. *Evol. Appl.* 7, 212–226 (2014).
- 111. C. Sellers, The Market Revolution: Jacksonian America, 1815-1846 (Oxford Univ. Press, 1991).
- R. C. Hoffmann, A brief history of aquatic resource use in medieval Europe. *Helgoland* Mar. Res. 59, 22–30 (2005).
- 113. J. Lajus, A. Kraikovski, D. Lajus, Coastal fisheries in the Eastern Baltic Sea (Gulf of Finland) and its basin from the 15 to the early 20<sup>th</sup> century. *PLOS ONE* 8, e77059 (2013).
- N. R. Longrich, J. Scriberas, M. A. Wills, Severe extinction and rapid recovery of mammals across the Cretaceous-Palaeogene boundary, and the effects of rarity on patterns of extinction and recovery. J. Evol. Biol. 29, 1495–1512 (2016).
- W. N. Adger, Social and ecological resilience: Are they related? *Prog. Hum. Geogr.* 24, 347–364 (2000).
- T. D. Smith, J. S. Link, Autopsy your dead...and living: A proposal for fisheries science, fisheries management and fisheries. *Fish Fish.* 6, 73–87 (2005).
- 117. ESRI (Environmental Systems Research Institute, 2013); www.esri.com.
- 118. F. Berkes, From community-based resource management to complex systems: The scale issue and marine commons. *Ecol. Soc.* **11**, 45 (2006).
- R. Mahon, P. McConney, R. N. Roy, Governing fisheries as complex adaptive systems. Mar. Policy 32, 104–112 (2008).
- B. Smit, J. Wandel, Adaptation, adaptive capacity and vulnerability. *Global Environ.* Change 16, 282–292 (2006).
- 121. J. H. Holland, Complex adaptive systems. Daedalus 121, 17-30 (1992).
- S. A. Levin, Ecosystems and the biosphere as complex adaptive systems. *Ecosystems* 1, 431–436 (1998).
- 123. A. A. Agrawal, Phenotypic plasticity in the interactions and evolution of species. *Science* **294**, 321–326 (2001).
- 124. SAS Institute, JMP 11 (SAS Institute, 2013).
- D. H. Storer, A Report on the Fishes of Massachusetts, in Boston Journal of Natural History (Boston Society of Natural History, 1839).
- 126. D. Vickers, Those dammed shad: Would the river fisheries of New England have survived in the absence of industrialization? *William Mary Q.* **61**, 685–712 (2004).
- 127. G. B. Goode, The fisheries and fishery industries of the United States, in A Geographical Review of the Fisheries and Fishing Communities for the Year 1880 (Government Printing Office, 1887).
- 128. N. Pike, A New and Complete System of Arithmetic: Composed for the Use of the Citizens of the United States (W. S. Parker, 1822).
- 129. G. M. Bowers, Bulletin of the United States Fish Commission. Vol. XVIII, for 1898 (Government Printing Office, 1899).
- 130. A. Jordaan, Y. Chen, D. W. Townsend, S. Sherman, Identification of ecological structure and species relationships along an oceanographic gradient in the Gulf of Maine using multivariate analysis with bootstrapping. *Can. J. Fish. Aquat. Sci.* 67, 701–719 (2010).
- 131. R. Saunders, M. A. Hachey, C. W. Fay, Maine's diadromous fish community: Past, present and implications for Atlantic salmon recovery. *Fisheries* **31**, 537–547 (2006).
- E. P. Ames, J. Lichter, Gadids and alewives: Structure within complexity in the Gulf of Maine. *Fish. Res.* 141, 70–78 (2013).
- 133. H. F. Moore, Observations on the herring and herring fisheries of the northeast coast, with special reference to the vicinity of Passamaquoddy Bay, in *United States Fish Commission Report for 1896* (Government Printing Office, 1897).
- 134. M. H. Perley, *Reports on the Sea and River Fisheries of New Brunswick* (J. Simpson, Printer to the Queen's Most Excellent Majesty, 1852).
- G. B. Goode, The natural and economical history of the American menhaden, in *Report of the Commissioner for 1877*, S. F. Baird, Ed. (Government Printing Office, 1879), pp. 1–506.

- Massachusetts Watersheds (Executive Office of Energy and Environmental Affairs, 2015); www.mass.gov/eea/waste-mgnt-recycling/water-resources/preserving-water-resources/ mass-watersheds/.
- 137. Maine Rivers, http://mainerivers.org/watershed-profiles/.
- 138. J. Leffel, The Construction of Mill Dams (James Leffel & Co., 1874).
- Maine Historical Society, Documentary History of the State of Maine (Maine Historical Society, 1910).
- S. Lambert, A New Chart of Massachusetts Bay Drawn on the Latest Authorities (Samuel Lambert, 1812).
- 141. J. G. Warnicke, The District of Maine (Mathew Carey, 1812).
- 142. L. Sabine, Report on the Principal Fisheries of the American Seas Prepared for the Treasury Department of the United States (Robert Armstrong Printer, 1853).
- 143. Boston Commercial Gazette (Russell, Cutler & Co., 1817-1840).
- 144. C. D. Wright, Comparative Wages, Prices, and Cost of Living: From the Sixteenth Annual Report of the Massachusetts Bureau of Statistics of Labor, for 1885 (Wright & Potter, 1889).
- 145. D. Vickers, The price of fish: A price index for cod, 1505–1892. Acadiensis 25, 92–104 (1996).
- M. J. Menne, I. Durre, R. S. Vose, B. E. Gleason, T. G. Houston, An overview of the Global Historical Climatology Network-Daily Database. J. Atmos. Oceanic Tech. 29, 897–910 (2012).
- 147. National Data Buoy Center, Station 44030 Buoy B01 Western Maine Shelf (National Data Buoy Center, 2016); www.ndbc.noaa.gov/station\_page.php?station=44030.
- 148. C. L. Hubbs, Changes in the fish fauna of western North America correlated with changes in ocean temperature. *J. Mar. Res.* **7**, 459–482 (1948).
- D. M. Nesbit, Tide Marshes of the United States, with Contributions from U.S. Coast Survey, S. L. Boardman, Eldridge Morse, and others, U.S. Dept. of Agriculture, Miscellaneous Special Report No. 7 (Government Printing Office, 1885).
- C. E. Clark, The Eastern Frontier: The Settlement of Northern New England, 1610–1763 (A. A. Knopf, 1970).

Acknowledgments: We would like to thank our reviewers and our editor for perceptive questions and comments that greatly improved this paper. Specialists at the Massachusetts and Maine State Archives provided invaluable help; their stewardship made our research possible. S. Mosher (Berkeley Earth) and G. van der Schrier (E. A. Holyoke) not only provided data but also answered questions about historical temperatures. Madeleine Hall Arbor's Seeking Synergy Conference in 2014 got us thinking about ways to integrate social and ecological research. J. Wilson introduced us to CAS theory. W. J. Bolster collaborated with us for many years on the marine environmental history of the northwest Atlantic. Their work grounds this research, and we are grateful. The Department of the Interior Northeast Climate Science Center, the University of Massachusetts Department of Environmental Conservation, and the University of New Hampshire's Institute of Earth, Oceans, and Space provided guidance and encouragement in tackling complex questions about complex systems. Funding: The data collection and initial research at the University of New Hampshire was funded by New Hampshire Sea Grant project no. R/CFR-13. Further research and analyses at the University of Massachusetts, Amherst, were funded by the Lenfest Ocean Program. The project described in this publication was supported by agreement no. G14AC00441 from the U.S. Geological Survey. This manuscript is submitted for publication with the understanding that the U.S. government is authorized to reproduce and distribute reprints for governmental purposes. Any use of trade, firm, or product names is for descriptive purposes only and does not imply endorsement by the U.S. government. We acknowledge the support of these institutions with gratitude. Author contributions: K.E.A. participated in all aspects of research, analysis, and writing. W.B.L. conceived the project, contributed data, and participated in research and writing. C.H., S.M., and S.M.B. contributed data. E.K., T.V.W., B.H.C., and A.J. contributed analyses. J.R., S.M., A.J., and T.V.W. provided insights into alewife behavior. M.S. and A.B. provided phenological and climate knowledge. All helped with revisions. Competing interests: The authors declare that they have no competing interests. Data and materials availability: All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials. Additional data are available from the authors upon request.

Submitted 15 July 2016 Accepted 19 November 2016 Published 18 January 2017 10.1126/sciadv.1601635

Citation: K. E. Alexander, W. B. Leavenworth, T. V. Willis, C. Hall, S. Mattocks, S. M. Bittner, E. Klein, M. Staudinger, A. Bryan, J. Rosset, B. H. Carr, A. Jordaan, Tambora and the mackerel year: Phenology and fisheries during an extreme climate event. *Sci. Adv.* **3**, e1601635 (2017).

#### ScienceAdvances Maring an extreme climate event Karen E. Alexander, William B. Leavenworth, Theodore V. Willis, Carolyn Hall, Steven Mattocks, Steven M. Bittner, Emily Klein, Michelle Staudinger, Alexander Bryan, Julianne Rosset, Benjamin H. Carr and Adrian Jordaan (January 18, 2017) *Sci Adv* 2017, 3:. doi: 10.1126/sciadv.1601635

This article is publisher under a Creative Commons license. The specific license under which this article is published is noted on the first page.

For articles published under CC BY licenses, you may freely distribute, adapt, or reuse the article, including for commercial purposes, provided you give proper attribution.

For articles published under CC BY-NC licenses, you may distribute, adapt, or reuse the article for non-commerical purposes. Commercial use requires prior permission from the American Association for the Advancement of Science (AAAS). You may request permission by clicking here.

## The following resources related to this article are available online at http://advances.sciencemag.org. (This information is current as of February 2, 2017):

**Updated information and services,** including high-resolution figures, can be found in the online version of this article at: http://advances.sciencemag.org/content/3/1/e1601635.full

Supporting Online Material can be found at:

http://advances.sciencemag.org/content/suppl/2017/01/13/3.1.e1601635.DC1

This article **cites 89 articles**, 17 of which you can access for free at: http://advances.sciencemag.org/content/3/1/e1601635#BIBL

Science Advances (ISSN 2375-2548) publishes new articles weekly. The journal is published by the American Association for the Advancement of Science (AAAS), 1200 New York Avenue NW, Washington, DC 20005. Copyright is held by the Authors unless stated otherwise. AAAS is the exclusive licensee. The title Science Advances is a registered trademark of AAAS