

1 Independence of epigenetic and genetic diversity in AML

2 Daniel Sasca & Brian J.P. Huntly

3 Daniel Sasca and Brian J.P. Huntly are at the Wellcome Trust–MRC Cambridge Stem Cell
4 Institute, Cambridge, UK; the Department of Haematology, University of Cambridge,
5 Cambridge, UK; the Cambridge Institute for Medical Research, University of Cambridge, UK;
6 and the Cambridge Cancer Center, Cambridge, UK.
7 Daniel Sasca is also a Postdoctoral Fellow of the Mildred-Scheel Organisation, German
8 Cancer Aid.

9 Correspondence to Brian Huntly bjp2@cam.ac.uk

10 There is a growing realization that tumors are individual, dynamic ecosystems, which consist
11 of heterogeneous cell populations that differ at the genetic and molecular level, and that this
12 diversity facilitates their evolutionary 'fitness' and ability to weather selective pressures such
13 as chemotherapy or radiotherapy¹. The genetic heterogeneity of tumors has been known for
14 decades from cytogenetic studies and, more recently, our understanding has been further
15 refined by multiple population-based² studies and a handful of single-cell-sequencing
16 studies³ across a number of tumors. However, the degree and contribution of other
17 measures of cellular heterogeneity, such as epigenetic variance, are poorly understood⁴.

18 Acute myeloid leukemia (AML) is an aggressive hematological malignancy associated with a
19 dismal outcome: usually, initial response to therapy is followed by relapse and resistance to
20 therapy. Both genetic and clinical heterogeneity are evident between patients with AML²,
21 and genetic heterogeneity within individual leukemias has been demonstrated both at single
22 time points and longitudinally after relapse⁵. By contrast, the role of epigenetic variation in
23 AML is unclear, although several disease characteristics suggest that it might be important.
24 First, AML is a relatively simple cancer genetically, with only 2–5 driver mutations per patient
25 coding genome identified by whole-genome sequencing (WGS). In addition, multiple
26 epigenetic regulators are targeted by mutation, deletion and chromosomal rearrangements
27 in AML. Finally, altered epigenetic states and patterning, such as DNA methylation and
28 patterns of histone modifications, are cardinal features of AML⁶. In this issue of *Nature*
29 *Medicine*, Li *et al.*⁷ address the role of epigenetic variation in cancer prognosis,
30 demonstrating that epigenetic diversity is an important hallmark of AML, and that it seems to
31 evolve independently of the genetic landscape.

32 The authors carried out large-scale analysis of epigenomic patterning by using enhanced
33 reduced representation bisulfite sequencing (ERRBS) to detail DNA methylation in a cohort
34 of 138 individuals with AML, for whom paired diagnostic and relapse leukemic bone marrow
35 samples were available. They used the recently described methclone compositional entropy
36 equation approach⁸, which analyzes differences in combinatorial methylation patterns in four
37 adjacent CpG dinucleotides (termed epialleles, [Fig. 1](#)) to identify variable regions (eloci) and
38 which also quantitates the degree of variation or epigenetic allele burden (EPM, eloci per
39 million loci) at these loci between samples. Samples obtained at diagnosis and at relapse
40 were compared with normal bone marrow (NBM) and, for each patient pair, with each other.
41 The methclone technique differs from other measures of methylation heterogeneity (MH),
42 such as epipolymorphism analysis⁹, because it measures dynamic changes rather than
43 capturing a static measure. In addition, whole-exome sequencing (WES) and RNA-seq data
44 were also available for subsets of patients (WES, $n = 48$; RNA-seq, $n = 19$), to enable a

45 direct comparison of epigenetic diversity with genetic diversity and transcriptional outcome in
46 the same individual.

47 The authors' major finding was that higher epigenetic variance was correlated with a shorter
48 time to disease relapse when patients were divided into groups on the basis of high and low
49 EPM, particularly when EPM analysis was limited to promoter eloci. In addition, this
50 association was independent of other potentially confounding variables, including age and
51 crude estimates of tumor burden, such as the peripheral white cell count. Importantly, when
52 the subgroup of patients with available WES data was analyzed similarly, dependent on
53 mutation burden, no difference was seen in time to relapse between the two groups of high
54 and low mutation burden. Epigenetic variability was increased in both diagnostic and
55 relapsed AML, as compared to NBM, but the degree was itself variable upon disease
56 progression. There was, however, an apparent redistribution of eloci from established
57 transcriptional regulatory elements, such as CpG islands, promoters and enhancers, at
58 diagnosis, toward intronic and intergenic regions at relapse. This observation raises the
59 intriguing possibility that these novel regions might acquire regulatory function with disease
60 progression.

61 The authors were then able to cluster the patients into three groups according to
62 predominance of eloci clusters: unique to diagnosis, unique to relapse or shared between
63 both relapse and diagnosis. No link was found between these groups and the presence of
64 specific mutations within these groups; nor was any association found with clonal structure
65 or complexity. However, individuals with a large number of eloci at diagnosis had fewer
66 mutations evident at this time point. In addition, individuals with a higher mutational burden
67 at diagnosis developed substantial numbers of eloci at relapse, which further suggests that
68 epigenetic and genetic processes have independent trajectories during progression. The
69 authors further found that gene-expression patterns differed between the clusters, wherein
70 individuals with high levels of eloci at diagnosis demonstrated an upregulation of genes,
71 including those encoding signaling proteins, whereas individuals with elevated eloci at
72 relapse upregulated inflammation and immune-response-related genes.

73 The authors then focused their studies on longitudinal analysis of an exemplar case at five
74 separate time points (diagnosis and four subsequent relapses), which further demonstrated
75 a lack of concordance between genetic and epigenetic variation in the samples at the same
76 time point. The most substantial increase in epiallele burden was noted at first relapse in this
77 individual, long before the most striking change in mutational burden, which occurred at third
78 relapse. This case not only further supported the idea that genetic and epigenetic diversity
79 may be independent, but also suggests that they may be combinatorial in maintaining the
80 tumor over the continuum of disease progression. Finally, the authors linked epigenetic
81 variation to concordant changes in transcription. They found from bulk analysis of all
82 samples that genes associated with eloci at diagnosis had increased differential expression
83 between diagnosis and relapse when compared to those without eloci, and that genes
84 associated with eloci had increased transcriptional heterogeneity in single-cell RNA-seq
85 analysis.

86 This study has a number of implications for the role of heterogeneity in tumor biology. The
87 independence of epigenetic and genetic heterogeneity in AML would be predicted to further
88 increase clonal diversity and evolutionary fitness, and thus makes evolutionary sense. By
89 contrast, however, interdependency of genetic and epigenetic events has been shown in

90 glioblastoma¹⁰, and it will be important to determine any similar relationships in other
91 malignancies. Furthermore, it is possible that other mediators of the malignant phenotype,
92 such as altered metabolism, also demonstrate cellular heterogeneity; investigation of this
93 and any correlation with genetic and epigenetic variation are warranted. In addition, given
94 that this study focused on individuals who relapse, would the epigenetic heterogeneity of
95 patients with AML, but with a good prognosis, be less? Additionally, could the EPM measure
96 at selected loci be used as a predictive biomarker at disease diagnosis, for instance?

97 Finally, the mechanism(s) that drive epigenetic variation and the downstream consequences
98 of this variation are largely unknown and require elucidation. Although the authors' data
99 suggest that specific mutations—even those in modifiers of DNA methylation such as
100 DNMT3A, TET2, IDH1 and IDH2—are not correlated with epigenetic variation, they did not
101 investigate further what actually drives epigenetic diversity. Similarly, the loose correlation
102 between epiallele burden, specific loci and alterations in transcription warrants further
103 investigation, and single-cell analysis is likely to be particularly helpful in determining how
104 this epigenetic variation alters cellular phenotype. This study therefore paves the way for
105 further work in larger series of AML samples and in prospective experimental systems to
106 address these questions.

107 **References**

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118 **Figure Legend**

119 **Figure 1. Independence of epigenetic and genetic heterogeneity during the**
120 **progression of AML.**

121 Li *et al.*⁷ analyzed the genetic and epigenetic heterogeneity of AML at diagnosis and relapse
122 after treatment; an example here typifies their findings. Differently colored cells represent
123 genetic diversity and the small open and closed circles, DNA methylation. Their epigenetic
124 analysis identified strings of four adjacent CpG dinucleotides that were dynamically
125 methylated during disease progression. At diagnosis, in the six cells shown, there are only
126 two patterns of combinatorial methylation at the two alleles represented, resulting in low
127 epigenetic diversity. However, there is a more marked genetic heterogeneity at the same
128 time point. By contrast, after treatment, there is an increase in epigenetic heterogeneity at
129 relapse, as demonstrated by the more varied combinatorial methylation pattern, but a
130 relative decrease in genetic diversity, that is independent of these epigenetic changes.