

1 **Defining *KIR* and *HLA class I* Genotypes at Highest Resolution Using High-Throughput**  
2 **Sequencing**

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1 **Abstract**

2 The physiological functions of natural killer (NK) cells in human immunity and reproduction  
3 depend upon diverse interactions between killer cell immunoglobulin-like receptors (KIR) and  
4 their HLA class I ligands: HLA-A, -B and -C. The genomic regions containing the *KIR* and *HLA*  
5 *class I* genes are unlinked, structurally complex, and highly polymorphic. They are also strongly  
6 associated with a wide spectrum of disease, including infections, autoimmunities, cancers, and  
7 pregnancy disorders, as well as the efficacy of transplantation and other immunotherapies. To  
8 facilitate study of these extraordinary genes, we developed a method that captures, sequences and  
9 analyzes the 13 *KIR* genes and the *HLA-A*, -*B* and -*C* genes from genomic DNA. We also devised  
10 a bioinformatics pipeline that attributes sequencing reads to specific *KIR* genes, determines copy  
11 number by read depth, and calls high-resolution genotypes for each of the *KIR* genes. The method  
12 was validated using DNA from well-characterized cell lines, by comparison with established  
13 methods of *HLA* and *KIR* genotyping and by determining *KIR* genotypes from the 1000 Genomes  
14 Project sequence data. This identified 116 novel *KIR* alleles, which were all demonstrated  
15 authentic by sequencing them from source DNA using standard methods. Analysis of just two *KIR*,  
16 showed that 22% of the 1000 Genomes individuals have a novel allele or a structural variant. The  
17 method we describe is suited to the large-scale analyses that are needed for characterizing human  
18 populations and defining the precise *HLA* and *KIR* factors associated with disease. The methods  
19 are applicable to other highly polymorphic genes.

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22

## 1 **Introduction**

2 The human leukocyte antigen (*HLA*) complex of chromosome 6 is the most polymorphic region of  
3 the human genome.<sup>1</sup> This variation is driven by pressure to resist diverse pathogens, but also  
4 underlies susceptibility to autoimmunity and other inflammatory diseases of major importance to  
5 human health.<sup>2</sup> HLA class I molecules are expressed on the surface of most tissue cells, where they  
6 interact with receptors on the surface of lymphocytes, effector cells of the immune system.<sup>3</sup>  
7 Natural killer (NK) cells are innate and adaptive lymphocytes that destroy infected or tumor cells  
8 having aberrant expression of HLA class I; they also regulate trophoblast invasion during early  
9 pregnancy.<sup>4</sup> NK cell activity is genetically modulated through differential expression of  
10 polymorphic killer cell immunoglobulin-like receptors (KIR) that recognize HLA class I  
11 molecules.<sup>5</sup> Only recently has the *KIR* genomic region been characterized to high resolution.<sup>6</sup>  
12 Consequently, re-examination of diseases having long established associations with specific *HLA*  
13 polymorphisms is revealing a strong and collective influence from *KIR* polymorphism.<sup>7-10</sup>

14  
15 The *KIR* locus of chromosome 19q13.4 is characterized by an unusually high diversity, both in the  
16 numbers of genes and their alleles.<sup>11</sup> The region varies in size from 100kbp to 350kbp due to  
17 structurally diverse haplotypes with duplicated segments, large deletions and gene fusions.<sup>12; 13</sup> As  
18 a consequence of this plasticity, the 13 distinct *KIR* genes (MIM: 604936-7, 604945-7, 604952-6,  
19 605305, 610095, 610604) are combined in numerous ways. Haplotypes have between four and 20  
20 *KIR* genes, with the most common *KIR* region haplotype having seven genes.<sup>14</sup> To varying degrees  
21 each *KIR* gene is polymorphic, with more than 600 *KIR* alleles currently defined.<sup>15</sup> *KIR* and *HLA*  
22 *class I* (*HLA-A*, *-B* and *-C*: MIM: 142800, 142830 and 142840) polymorphism are actively co-  
23 evolving,<sup>16</sup> suggesting that many more *KIR* alleles and haplotypes await discovery. During the last

1 three decades over 10,000 *HLA class I* alleles have been characterized in specialized clinical HLA  
2 laboratories<sup>15</sup> and similar intensive study will be needed to characterize *KIR* diversity.

3  
4 The function of an HLA class I molecule is to bind a peptide, usually a nonamer, inside a cell and  
5 take it to the cell surface, where the complex of peptide and HLA class I is engaged by KIR and  
6 other lymphocyte receptors.<sup>17; 18</sup> On healthy cells, the peptides bound by HLA class I molecules  
7 derive from normal human proteins and do not stimulate an immune response. On infected or  
8 transformed cell surfaces, pathogen-specific or tumor-specific peptides are bound to HLA class I,  
9 and gross changes in the surface level of HLA class I can be induced. All such differences activate  
10 lymphocytes and the immune response.<sup>4; 19</sup> For the interactions of KIR with HLA class I to be  
11 effective they have to respond to a wide diversity of tumors and pathogens, many of which are  
12 rapidly evolving.<sup>20</sup> This has been achieved by having a diversity of interactions within each  
13 individual, and differences in those interactions from one individual to another. The latter provides  
14 barriers that can impede the spread of infection within families, communities and populations.

15  
16 Crucial features that distinguish *KIR* and *HLA* alleles from those of most other genes are the depth,  
17 breadth and functional importance of their sequence divergence. Thus, alleles can differ by  
18 multiple nucleotide substitutions, and three or four alternative nucleotides are present at  
19 functionally critical positions. *KIR* and *HLA* alleles segregate as constituents of distinct lineages,  
20 which are further diversified by intra-genic as well as inter-genic recombination.<sup>13; 21</sup> In turn, these  
21 lineages are maintained in all human populations and both genomic regions exhibit clear evidence  
22 for the impact of balancing selection.<sup>22; 23</sup> Moreover, the strong, highly reproducible signals of

1 natural selection observed for the *HLA class I* and *KIR* regions point to their genomic variation  
2 being critical for human survival.<sup>24; 25</sup>

3  
4 The development of methods to assess the nature and extent of *KIR* genomic diversity has been  
5 limited by the complexity of the region. The widely used methods that exist for typing *KIR* focus  
6 principally on gene content.<sup>12; 26-28</sup> In contrast, the methods being used to determine allelic  
7 variation are costly, time-consuming<sup>6; 16; 29</sup> and unsuitable for high-throughput studies. The results  
8 of the few allele-level population studies of *KIR*<sup>16; 29-32</sup> however, show that such investigation is  
9 likely to be informative. For example, some *KIR* are restricted to population groups of specific  
10 geographic ancestry.<sup>30; 31</sup> Other *KIR* have lost expression, but appear common and widely  
11 distributed.<sup>29; 32</sup> To extend such studies to other populations, as well as disease cohorts, we have  
12 developed a sequencing and bioinformatics method that determines complete *KIR* and *HLA class I*  
13 genomic diversity.

14

15

# 1 **Material and Methods**

## 2 **Overview**

3 To target *KIR* and *HLA class I* genes for next generation nucleotide sequencing (NGS), we  
4 designed sets of specific oligonucleotide probes to capture the *KIR* region (140-240kbp) and the  
5 individual *HLA-A*, *-B* and *-C* genes (each ~3kbp) from libraries prepared from sheared genomic  
6 DNA. We then developed a bioinformatics pipeline (PING: Pushing Immunogenetics to the Next  
7 Generation) specifically to convert sequence data obtained from the highly polymorphic *KIR* genes  
8 into high-resolution genotypes. A summary of the pipeline is shown in Figure 1A. PING first sorts  
9 the sequence reads to isolate those that represent fragments from the *KIR* genomic region from  
10 those that do not (a process termed filtering). The final *KIR* genotypes are obtained from these  
11 filtered reads, using a composite of two core modules that describe the gene and allele content for  
12 each individual and also return information on newly-identified SNPs and recombinant alleles. The  
13 first module (PING\_gc), which determines the *KIR* gene copy-number, is used to inform the  
14 second module (PING\_allele) that generates allele data (Figure 1A and Figure S1). Each module is  
15 split into two sub modules. KIR Filter Fish (KFF), which is used in both main modules, probes the  
16 *KIR* sequence data with specific sequence search strings and determines which genes (KFFgc) or  
17 alleles (KFFallele) are present. The function served by KFF is equivalent to genotyping with  
18 sequence-specific oligonucleotide probes (SSOP).<sup>33</sup> To complement KFF, MIRAgc (based around  
19 the program MIRA)<sup>34</sup> and Son of Samtools (SOS; based around Samtools)<sup>35</sup> create alignments to  
20 reference sequences in order to determine the gene and allele content, respectively. The output is  
21 designed to comply with the genotype list (GL string) format that is used for reporting *HLA* and  
22 *KIR* data by clinical transplantation laboratories.<sup>36</sup> We validated the typing obtained from the  
23 complete capture/NGS and bioinformatics method by using standard molecular techniques, and

1 further tested the bioinformatics component using existing data sets from whole-genome  
2 sequencing experiments. A summary of the data generated or otherwise obtained is shown in  
3 Figure 1B. *KIR* and *HLA class I* allele sequences used for probe design and as reference data were  
4 obtained from the ImmunoPolymorphism Database (IPD; see Web Resources).<sup>15</sup> Throughout this  
5 paper any unique DNA sequence that spans a coding region (coding DNA sequence: CDS) is  
6 considered a distinct allele. An explanation of *KIR* and *HLA* nomenclature is given in Appendix  
7 A.

## 9 **Human Subjects and Data**

10 Ethical approval for this study was obtained from the Stanford University Administrative Panels  
11 on Laboratory Care and Human Subjects in Medical Research and The Committee on Human  
12 Research at the University of California, San Francisco. Written informed consent was obtained  
13 from all individuals.

14  
15 To develop and validate the complete capture/NGS and bioinformatics method we generated data  
16 from three sources of human genomic DNA:

### 18 ***1. A panel of IHWG lymphoblastoid B cell lines.***

19 Genomic DNA was extracted from 97 International Histocompatibility Working Group (IHWG)  
20 cell lines. These cells have been used extensively in developing methods for genotyping  
21 polymorphic loci including *KIR* and *HLA*.<sup>37-41</sup> Most of the cell lines (93%) are homozygous for the  
22 *HLA-A*, *-B*, and *-C* genes.<sup>37-41</sup> A substantial majority of the IHWG cells (80%) are derived from  
23 donors of European origin and represent many of the common *HLA* alleles.<sup>42; 43</sup> Also studied was

1 genomic DNA from a chimpanzee B cell line, derived from Clint<sup>44</sup> (Yerkes pedigree number  
2 C0471), a chimpanzee of the *Pan troglodytes verus* (western chimpanzee) subspecies, and subject  
3 of the Chimpanzee Genome sequence study.<sup>44</sup>

4

## 5 **2. West African Trios**

6 Genomic DNA samples from 30 family trios (both of the parents and one child) from Mali in West  
7 Africa were analyzed.<sup>45</sup>

8

## 9 **3. European control samples**

10 De-identified DNA samples from 188 unrelated healthy individuals of European origin, with no  
11 history of chronic disease, were studied. These samples were selected at random from a larger data  
12 set (n=500) developed as controls for genome wide association studies of multiple sclerosis (MS  
13 [MIM: 126200]).<sup>46</sup> These samples were used because their high-resolution *HLA-A*, *-B*, and *-C*  
14 genotypes had been determined using Sanger sequencing.<sup>46</sup>

15

## 16 **4-5. Analysis of existing NGS data**

17 To validate the PING pipeline, we used existing sequence read data from an additional two  
18 sources, described below under 4 and 5. To extract *KIR* specific sequences from these data sets,  
19 read-pairs that mapped within the *KIR* region (hg19 coordinates: 19:55,228,188-55,383,188) or an  
20 unallocated region of chromosome 19 (GL000209.1) that corresponds to an alternative *KIR*  
21 haplotype were identified using SAMtools 0.1.18.<sup>35</sup>

22



1 4. Fifteen KhoeSan individuals,<sup>47</sup> who had also been *KIR* genotyped using standard lower  
2 throughput methods of pyrosequencing and Sanger sequencing.<sup>16</sup>  
3  
4 5. The 1000 genomes project data.<sup>48</sup> All 2,532 of the whole-exome sequenced individuals  
5 described in the May 2013 release were targeted.<sup>48</sup> To ensure sufficient quantity of sequence reads  
6 for the analysis, samples were excluded if there were fewer than 25 reads that map to exon 3 of  
7 *KIR3DL2* or *KIR3DL1/2v* (See Appendix A: KIR and HLA nomenclature). There were 420 of the  
8 1000 genomes samples excluded on this basis and the remaining 2,112 were genotyped. When  
9 novel *KIR* alleles were identified in the 1000 genomes data set, genomic DNA from the source  
10 samples was purchased from the Coriell repository for confirmation of their sequence using  
11 standard molecular methods.

## 13 **Laboratory Methods**

### 14 *Design of capture oligonucleotide probes*

15 To account for gene content variation of the *KIR* region, we targeted a panel of independently  
16 generated reference *KIR* haplotypes,<sup>6; 14</sup> that together represent all of the 13 recognized *KIR* genes.  
17 First we designed probes against the two complete *KIR* haplotypes (FP089703 and FP089704) that  
18 were generated from the PGF cell line, which was the source of the human reference sequence for  
19 the *KIR* region.<sup>6</sup> We used end-to-end tiling, with strand-swapping, to design non-overlapping 80-  
20 mer probes to match these reference sequences. We then designed a similar set of probes using a  
21 further 27 complete *KIR* haplotype sequences<sup>6; 14</sup> and all *KIR* sequences included in the January  
22 2013 release of the IPD/KIR database.<sup>15</sup> In this second stage, probes that differed by more than  
23 three nucleotides from the corresponding segment of the initial reference haplotypes were selected

1 for use. We did not mask any repetitive elements in the target haplotypes. The *KIR* genomic region  
2 targeted by the probes is equivalent to that covered by (hg19) chr19:55,228,188-55,383,188 and  
3 chr19: unmapped GL000209.1, which are the two *KIR* haplotypes present in the hg19 reference  
4 genome. In a similar manner to the *KIR* probes, we designed probes against the alleles of the  
5 classical *HLA class I* genes present in PGF, which was also the source of the human reference  
6 sequence for the *HLA* region.<sup>1; 14</sup> These probes were supplemented with probes designed against  
7 the 6,795 *HLA class I* sequences reported in the January 2013 release of the IPD/HLA database.<sup>15</sup>  
8 A total of 10,456 capture probes were used.

9

#### 10 ***Preparation of biotinylated capture probes.***

11 The set of capture oligonucleotides, each one comprising a unique sequence flanked by the  
12 common sequences, GGTGATTGCGTATCT (PTL3) and CATGTCGTGGGAATT (PTR3), was  
13 synthesized by CustomArray (Bothell, WA). This set of oligonucleotides was pooled and  
14 amplified in a single PCR, using primers with sequences corresponding to PTL3 and PTR3. The  
15 PCR comprised 1x Titanium Taq buffer (Clontech, Mountain View, CA), 1uM each of biotin-  
16 PTL3 and PTR3 primers (Integrated DNA Technologies (IDT), Coralville, IA), 0.2 uM dNTPs  
17 with 12.5% dUTP (Roche, Indianapolis, IN), 1ul (1 unit) Uracil-DNA Glycosylase (UDG; New  
18 England Biolabs), 1M betaine, 3ul (15 units) Amplitherm polymerase (Epicentre, Madison, WI),  
19 0.2 ng of the pool of capture oligonucleotides and H<sub>2</sub>O added to a final volume of 100ul. PCR  
20 cycling conditions were as follows: 37°C (10 min), 95 °C (3 min), (95°C (30 s), 55°C (30 s), 72°C  
21 (30 s) x 28), 72°C (10 min.), and 10°C (hold).

22

1 The biotinylated PCR product (100ul aliquot) was then bound to streptavidin-coated magnetic  
2 beads (Illumina, Inc) that had been pre-washed with 100ul 6x Hybridization Buffer (HB:1 M  
3 NaCl, 0.5M phosphate buffer, 0.05% Tween-20) and suspended in 100ul 12x HB. The incubation  
4 was carried out for 30 min at room temperature in HB and with agitation. The beads, now coated  
5 with biotinylated oligonucleotides, were then washed: once with 100ul 6x HB, twice with 100ul  
6 0.2x HB, once with 100ul 0.1nM NaOH and 100ul 10 mM EDTA, and, lastly, once with 100ul  
7 0.2x HB. Biotinylated oligonucleotides were eluted from the beads using 0.1 mM EDTA, then  
8 concentrated using speed vacuum to a final concentration of 2.5nM for each capture probe.

9

#### 10 ***Library preparation, enrichment and sequencing***

11 The protocol was based on the Truseq Nano method for library preparation (Illumina Inc., San  
12 Diego, CA). The DNA samples we used are described below. For each sample, 300ng genomic  
13 DNA (as determined by Qubit instrument: ThermoFisher, Sunnyvale, CA) were sheared into  
14 800bp fragments using a Covaris S220 instrument (Covaris, Woburn, MA). The library preparation  
15 was then performed according to the manufacturer's instructions, with 96 unique 'dual index'  
16 combinations used individually to label the library obtained from each DNA sample, and the  
17 following modifications: 1. To clean/size-select the samples following end-repair, 70.2ul sample  
18 purification beads plus 89.8ul H<sub>2</sub>O were added to 100ul sample. 2. In the final PCR the 72°C  
19 extension time was changed from 30s to 90s to account for the 800bp fragment length.

20

21 Enrichment for *HLA/KIR* region sequences was performed using a modified version of the Nextera  
22 Rapid Capture Exome enrichment protocol (Illumina Inc., San Diego, CA), a solution-based target  
23 capture assay. The libraries of genomic DNA, indexed uniquely for each sample as described

1 above, were pooled prior to their hybridization with the capture probes. Thus, each hybridization  
2 mix (100ul) contained 96 uniquely indexed sequence libraries (62.5ng for each library: 6000ng in  
3 total), 50 pM of each biotinylated capture probe and hybridization buffer (CT3, and all subsequent  
4 buffers from Illumina Inc., San Diego, CA). The hybridization mix was incubated at 95°C for 10  
5 mins, gradually cooled by 2°C per minute to 58°C then maintained at 58°C for 90 mins. In this  
6 reaction, fragments of genomic DNA that contained targeted *KIR* and *HLA* sequences became  
7 specifically hybridized to biotinylated capture probes.

8  
9 In the next reaction, 100ul of streptavidin-coated magnetic beads were used to separate the specific  
10 hybridized genomic DNA away from the non-specific un-hybridized genomic DNA. The biotin  
11 present in hybrid DNA molecules was bound to streptavidin on the beads, leaving the non-specific  
12 DNA in solution. The DNA preparation enriched for the targeted *KIR* and *HLA* genes was then  
13 eluted from the beads. Binding of the hybridization product to the beads was achieved by 30 min  
14 incubation with agitation at 1000rpm on a plate shaker at room temperature. To clean the product,  
15 the streptavidin beads were removed from solution using a magnetic separator, mixed with 200ul  
16 Enrichment Wash Solution (Illumina Inc., San Diego, CA), and incubated at 50°C for 30 minutes.  
17 This wash step was repeated. To elute the enriched DNA from the beads we added 23ul of elution  
18 mix (made from 1.5ul 2M NaOH plus 28ul Elution Buffer 1), incubated for 5 minutes at room  
19 temperature and neutralized with 4ul Elute Target Buffer 2. The eluted material was then subject to  
20 a second round of enrichment from the hybridization step onwards. After the gradual cooling step,  
21 the hybridization mix was maintained at 58°C for 14-18 hours.

22

23

1 An aliquot of 10ul of the enriched DNA preparation was subject to PCR amplification in a 50ul  
2 reaction mix containing 5ul of PCR Primer Cocktail and 20ul of Nextera Enrichment  
3 Amplification Mix PCR cycling was performed as follows: 98°C for 30s, 17 cycles of 98°C for  
4 10s, 60°C for 30s, and 72°C for 30s with a final elongation step at 72°C for 5 min. Amplified  
5 material was purified with 40ul of Sample Purification Beads and eluted in 30ul of RSB (Illumina  
6 Inc. San Diego, CA).

7

### 8 *NGS strategies*

9 Set 1. (IHWG cell lines) The enriched libraries were sequenced using a HiSeq2000 instrument and  
10 sequencing chemistry (Illumina Inc., San Diego, CA). Samples were clustered and paired-end  
11 sequencing performed with the TruSeq SBSv3-HS kit (Illumina Inc., San Diego, CA). The  
12 sequencing read length was 2 x 101bp.

13

14 Set 2. (Trios and chimpanzee). The enriched libraries obtained from these samples were sequenced  
15 using a HiSeq2500 instrument and sequencing chemistry (Illumina Inc., San Diego, CA). The  
16 sequencing read length was 2 x 250bp. These samples were also genotyped for *HLA-A*, *-B* and *-C*  
17 using SSOP, and *Lineage II KIR* using pyrosequencing<sup>23</sup> (See Appendix A: KIR and HLA  
18 nomenclature).

19

20 Set 3. (European controls) These samples were analyzed using a MiSeq instrument (Illumina Inc.,  
21 San Diego, CA) with V3 chemistry and the sequencing read length was 2 x 300bp.

22

### 23 *Enrichment efficiency*

1 Enrichment efficiency was estimated by mapping unprocessed sequence reads to the human  
2 reference (hg19) using BWA-MEM<sup>49</sup> (with k=16) and counting the number of reads that map  
3 within the target coordinates of hg19.

4

#### 5 ***Validation of the results from capture/NGS using established methods***

6 Previously described protocols were used for pyrosequencing<sup>16</sup> and real-time PCR.<sup>12</sup> Standard  
7 Sanger DNA sequencing reactions were performed in forward and reverse directions using BigDye  
8 Terminator v3.1 and analyzed using an ABI-3730 sequencer (ABI, Foster City CA). To verify the  
9 sequences of novel alleles, PCR products were cloned using the pCR2.1-TOPO vector (Invitrogen,  
10 Carlsbad, CA) and sequenced using M13 and internal primers. For each individual in whom an  
11 allele was identified for the first time, five or more clones corresponding to the allele were  
12 sequenced. HLA class I genotyping was performed using Luminex bead-based SSOP hybridization  
13 as described previously<sup>16</sup> except where indicated otherwise.

14

#### 15 **Bioinformatics methods: Pushing Immunogenetics to the Next Generation (PING) pipeline**

##### 16 ***Harvesting KIR specific reads***

17 For sequence data obtained by the capture/NGS sequencing method, sequence reads specific to the  
18 *KIR* region were identified and harvested using Bowtie 2.<sup>50</sup> The 29 sequenced *KIR* haplotypes and  
19 all *KIR* alleles from the IPD/*KIR* database<sup>6; 14; 15</sup> were concatenated to create a single reference file  
20 for this purpose. The equivalent of 70,000 reads (at 2 x 300 bp) that passed this filter stage were  
21 taken per sample for *KIR* genotyping.

22

##### 23 ***KIR gene-content module (PING\_gc)***

1 This module is divided into two complementary components, the first (KFFgc) based on string  
2 searches of raw data and the second (MIRAgc) on read-depth following alignment to reference  
3 sequences.

4

5 *1. KIR gene-content determination by virtual probes (KFFgc)*

6 The sequence read files specific to the *KIR* region were first processed using the ‘fastq quality  
7 trimmer’ function of Fastx Toolkit 0.0.13 (see Web Resources). From an alignment of all human  
8 *KIR* sequences (IPD/KIR Release 2.6.1, 17 February 2015),<sup>15</sup> all possible 32bp sequences specific  
9 to just one of the *KIR* genes were generated, and those sequences covering polymorphic positions  
10 removed. For each *KIR* gene, this process produced a set of probes that is gene-specific but not  
11 allele-specific. For each gene, ten such probes were selected at random and used for determining  
12 *KIR* gene-content. We then counted the total number of exact matches to each probe sequence, and  
13 its reverse complement, present in the forward and reverse (read1.fastq and read2.fastq) sequence  
14 read files for each sample. As every *KIR* haplotype has one copy of *KIR3DL3*,<sup>6; 14; 16</sup> the  
15 presence/absence of other *KIR* genes was determined from the mean number of probe-hits per  
16 target *KIR* divided by the mean number of *KIR3DL3* probe-hits on *KIR3DL3*. We used a ratio of  
17 0.2 as the threshold, and values above this were considered positive.

18

19 *2. KIR gene copy number determination by analysis of read depth (MIRAgc)*

20 As shown previously, the depth of reads aligned to a reference can be used to estimate structural  
21 variation on a genomic scale.<sup>51; 52</sup> We incorporated this concept into the *KIR* genotyping pipeline.  
22 Using MIRA 4.0.2<sup>34</sup>, the *KIR* region specific sequence read pairs were aligned simultaneously  
23 against one reference sequence for each *KIR* gene. Using values extracted from the ‘contigstats’

1 results table from the MIRA output, the number of reads that align to each *KIR* gene were divided  
2 by the number of reads aligning to *KIR3DL3*. This comparison produced a clustering of read ratios  
3 that correlates with differences in *KIR* gene content (Figure 2 and Figure S2). For example, for  
4 *KIR2DL4*, which is absent from some haplotypes and duplicated on others,<sup>13; 53</sup> we observed three  
5 clusters of read ratios, corresponding to one, two or three copies of *KIR2DL4* (Figure 2). For  
6 *KIR2DL5*, which can be present at centromeric and telomeric locations in the *KIR* haplotype,<sup>6; 54</sup>  
7 we observed five clusters of read ratios, corresponding to individuals having zero, one, two, three  
8 or four copies of *KIR2DL5* (Figure 2). Representative examples of clustering results derived for all  
9 the *KIR* are given in Figure S2.

10

11 In very rare cases there may be copy number variation of *KIR3DL3*. For example, an individual  
12 with duplicated *KIR3DL3* on one haplotype was observed in a study of >3,500 subjects.<sup>12</sup> Such  
13 individuals would then show an unusual copy number for all of the *KIR* genes, and hence would be  
14 identified for manual inspection. The individual identified with a duplicated *KIR3DL3* had a  
15 normal genotype of two *KIR3DL2* copies,<sup>12</sup> so in this case *KIR3DL2* could be used as a substitute  
16 standard.

17

### 18 ***KIR* allele genotyping module (*PING*\_allele).**

19 This module is also divided into two complementary components, the first (KFFallele) based on  
20 string searches of raw data and the second (SOS) on read-depth following alignment to reference  
21 sequences. A summary of the KFFallele workflow is shown in Figure S1A, and SOS in Figure  
22 S1B and Figure S1C.

23



1        1. *High-resolution KIR genotyping with virtual probes (KFFallele)*

2 For each *KIR* gene, an alignment of coding region alleles was generated. From these, every  
3 possible unique 32-mer sequence that did not overlap an exon boundary was generated and the  
4 resulting pool screened to remove all 32-mers that are present in another *KIR* gene. A ‘hit table’  
5 was generated using the original allele alignment and bespoke R scripting  
6 (`allgenos_hit_table_2.R`). The hit table was passed on to a random forest algorithm using the  
7 ‘RandomForest’ package of the R computer program suite.<sup>55</sup> This algorithm ranks the probes  
8 according to the amount of information they contribute to the final answer. The purpose of the  
9 random-forest step is to obtain the most efficient subset of probes, thereby increasing the  
10 computational speed of genotype assignment. The output consists of a series of probe subsets that  
11 have an increasing proportion of the total set (5%, 10%, 15% ...etc.). Empirical testing found the  
12 most efficient subset is usually the 40% most informative probes. The final probe subset was  
13 applied to the original allele alignment, to produce a hit table of the expected probe pattern for all  
14 possible genotypes (again using `allgenos_hit_table_2.R`). The number of exact matches to each  
15 probe sequence, or its reverse complement present in the forward and reverse files (`read1.fastq` and  
16 `read2.fastq`) for each sample, was then counted. Aggregate counts above a threshold of 10 were  
17 considered positive, and the results compared with the genotype ‘hit table’ using a bespoke R  
18 script (`KFFsums.R`) to assign the *KIR* allele genotype.

19  
20        2. *KIR sequence alignment genotype by SOS*

21 Data used to generate filters and reference sequences were obtained from the IPD/KIR database  
22 (Release 2.6.1, 17 February 2015) and the set of 29 complete *KIR* haplotype sequences.<sup>6; 14; 15</sup> For  
23 each given *KIR* gene, all available allele sequences were selected for use as a positive filter and all

1 allele sequences of all other *KIR* genes were used to form a negative filter. Sequence reads specific  
2 to the given *KIR* were selected by mapping them to the positive filter, using Bowtie 2.<sup>50</sup> This  
3 mapping was performed non-stringently ( $\geq 97\%$  nucleotides match) to allow for detection of  
4 unknown SNPs. All reads that aligned to the positive filter were retained, and those that did not  
5 were excluded. The retained reads were mapped stringently ( $\geq 99\%$  match) to the negative filter,  
6 and in this case those that aligned were excluded. Finally, the selected reads were aligned to a  
7 single reference sequence, chosen for each *KIR* (Figure S3), and the SNP variants ascertained  
8 using SAMtools/bcf version 1.2.<sup>35; 50</sup>

9  
10 The resulting variant call files (vcf) were analyzed using a custom R script (jSOS) to generate  
11 genotypes based on the known combinations of SNPs (i.e. the unique *KIR* alleles available from  
12 IPD). A post-filtered and aligned read depth of 20 was used as the minimum for calling the  
13 genotype at any given nucleotide position. The jSOS algorithm determines all of the possible allele  
14 combinations based on the genotypes of those SNPs that achieve the threshold read depth. Thus, if  
15 a specific SNP fails to reach the threshold, the ambiguity of the final allele call will increase, but  
16 an incorrect allele-level genotype will not be returned. When the combination of SNPs does not  
17 correspond to a known pair of alleles, jSOS identifies that a novel combination of known SNPs is  
18 present. In these situations, the known allele most likely present is identified as well as the new  
19 combination (we view this as the most parsimonious genotype, and the least parsimonious could be  
20 two novel combinations present). The jSOS algorithm also identifies novel SNPs. When manual  
21 confirmation was sought, such as these cases of novel polymorphism, alignments for visual  
22 inspection were created using MIRA 4.0.2, and examined using Gap4 of the Staden package.<sup>56</sup>

23

1 ***HLA class I* genotypes**

2 The *HLA class I* allele compositions were determined using NGSengine 1.7.0 (GenDX software,  
3 Utrecht, NL), kindly provided by Wietse Mulder and Erik Rozemuller, with the ‘IMGT 3.18.0  
4 combined’ reference set. There was no pre-filtering for *HLA* genes and the data was analyzed  
5 directly with the software. The one exception was the removal of reads mapping to *HLA-Y*, an  
6 *HLA class I* pseudogene present on a subset of *HLA* haplotypes.<sup>57</sup> Presence or absence of *HLA-Y*  
7 was determined using sequence specific string searches of the fastq data. For the IHWG cells these  
8 assignments agreed with those previously determined using PCR.<sup>43</sup> The assignment of *HLA class I*  
9 alleles was further validated by analyzing the sequence data with Assign MPS 1.0 (Conexio  
10 Genomics, Fremantle Australia), kindly provided by Damian Goodridge. Any discrepancies  
11 between the two methods, or with previously obtained results, were resolved by designing virtual  
12 probes that distinguish the two possibilities in question and searching the unprocessed sequence  
13 read data (as described for KFF). Then sequence reads specific to the locus under question were  
14 extracted and aligned to reference sequences (as described for SOS) and inspected manually. Here,  
15 the reference sequences used were chosen based on the *HLA class I* genotype of the respective  
16 sample. The majority of *HLA class I* alleles are not fully characterized through all exons and  
17 introns (>95%)<sup>15</sup>. Thus for some of the validations, the second or third fields of resolution were  
18 compared.

19

20 **Haplotype assignments**

21 Haplotypes derived from homozygous cell lines were unambiguous. Haplotypes were assigned for  
22 the family trios by segregation. For all other individuals, centromeric and telomeric allele-level

- 1 *KIR* haplotypes were assigned for each individual using the expectation–maximization (EM)
- 2 algorithm of haplo.stats implemented in the R programming language (see Web Resources).
- 3
- 4

## 1 **Results**

### 2 **Validation of the *KIR* capture method**

3 We applied our capture/NGS method to DNA extracted from 97 publically available cell lines  
4 (sample Set 1; Material and Methods), originally collected by the IHWG to facilitate study of *HLA*  
5 genes.<sup>40</sup> PGF is one of these cell lines having both *KIR* haplotypes characterized previously by  
6 standard methods.<sup>6; 14</sup> Data we obtained from PGF were therefore used to assess the quality of *KIR*  
7 sequences produced. The PGF *KIR* sequence reads were mapped back onto the two conventionally  
8 determined haplotype sequences. For PGF *KIR* haplotype 1 (FP089703: 137,813bp), our method  
9 gave 100% coverage, a mean read depth of 49.4x and a read depth of >10x for 99.5% of the  
10 haplotype (Figure 3A-B). For PGF *KIR* haplotype 2 (FP089704: 142,732bp), we obtained 99.99%  
11 coverage, a mean read depth of 49.8x and a read depth >10x for 99.5% of the haplotype. There are  
12 two short gaps in our haplotype 2 sequence, comprising 18 nucleotides in total (Figure 3C). The  
13 missing sequences are not predicted to be of functional importance (Figure 3C). Thus our method  
14 gives full coverage of the *KIR* region when targeting haplotypes that were among those included in  
15 the probe design. However, for population studies it is important that our probe sets can cope with  
16 a wider (and unknown) range of diversity, with no ‘allelic dropout’. As a test, we applied our  
17 method to genomic DNA from the subject of the chimpanzee genome project.<sup>44</sup> We mapped the  
18 reads obtained to the two full *KIR* haplotype sequences (BX842589 and AC155174) that were  
19 previously characterized from this individual.<sup>25; 58</sup> These sequences represent both haplotypes of  
20 the *KIR* region and include ten of the fourteen chimpanzee *KIR* genes. We obtained 98.8%  
21 coverage for both of the haplotypes, with mean read depth of 130x for haplotype 1 and 110x  
22 haplotype 2. This success in capturing the chimpanzee *KIR* region strongly indicates that our  
23 method captures the full range of human *KIR* haplotypes.

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**Efficiency of the *KIR* capture method**

To estimate the efficiency of the capture process we mapped all sequence reads generated for each individual back to the human genome, and counted those that fell within the target coordinates. Using this measure, the mean enrichment efficiency of the optimized 2 x 300bp sequencing runs (sample Set 3) was 87.01% (sd 5.01). Because the target region represents <0.01% of the human genome, this represents a significant (10,000 x) reduction in sequencing capacity required to analyze the target, compared with whole genome sequencing.

**Specificity of sequence read harvesting**

To begin the bioinformatics analysis of *KIR* we use a panel reference haplotype sequences as filters to harvest from the main pool of sequenced fragments, any sequence reads that could map to the *KIR* region (described in Material and Methods). As both the capture probes and the reference sequences for harvesting reads were designed using complete *KIR* haplotypes that did not have repetitive elements masked, we performed a further test for specificity on the harvested *KIR* reads. By analyzing 70,000 of these read pairs per individual, we showed a mean of three read pairs (modal value = 0) could map outside the target region of human genome build hg19. Thus, the combination of capture/NGS method and *KIR* sequence read harvesting is highly-specific. We also note that when we generated 2 X 100 bp sequence reads (instead of 2 X 300), up to 12% of the harvested reads potentially originate from repetitive elements outside the *KIR* region. However, 100% of these reads map to a 1.8kb LINE insertion that is located in intron 6 of *KIR3DL2*<sup>13</sup> (Figure S4) and does not overlap with any known control elements. Thus these reads do not affect the subsequent analysis.

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**Measurement of *KIR* gene copy number (PING\_gc)**

The PING\_gc component of PING specifically determines gene copy number. *KIR3DL3*, a single-copy gene common to all *KIR* haplotypes<sup>6; 14; 16</sup> is used as the standard to which other *KIR* genes are compared (Material and Methods). To assess the correlation between read ratio and *KIR* gene content, we applied PING\_gc to the sequence data generated from the 97 IHWG samples. In using the first module of PING\_gc (called KFFgc) we observed identical results to those obtained by established methods,<sup>26; 59; 60</sup> producing thirteen distinct *KIR* gene presence/absence genotypes (Figure 4A). We then applied the second module of PING, MIRAgc, and used the observed clustering (Figure 2) to set threshold values for determining *KIR* gene copy numbers (Figure S3). To validate these results, DNA samples from 85 of the same cell lines were studied using an established real-time PCR method for quantifying *KIR* genes.<sup>12</sup> We observed 99.4% concordance between the results obtained by PING\_gc and those obtained by the real-time PCR (Figure 4B). Of 10 discordant results, from 1,700 determinations, four involve rare alleles that were not detected by the primers of the real-time PCR assay (*KIR2DL2\*009* and *KIR3DL2\*076*; the latter allele being discovered during this study). Of the other six discordant results, two were due to false positives of the real-time PCR (as shown independently using a standard PCR method)<sup>27</sup>, two were just below the threshold values of the real-time PCR (but clearly positive with PING\_gc and standard PCR) and two remain unexplained (Figure 4B). Thus, the discrepancies were likely due to low-frequency errors in sensitivity or specificity of the real-time PCR method. We conclude that analysis of high-throughput sequencing data with the PING\_gc module provides precise measurement of *KIR* gene content and copy number, giving almost 100% accuracy. Using PING\_gc, we identified 26 distinct *KIR* gene copy number genotypes in the 97 cell lines analyzed (Figure 4C). Two cells have

1 duplicated *KIR3DP1-2DL4-3DL1/S1* segments (Copy number genotypes 10 and 18; Figure 4C)  
2 and three cells have haplotypes lacking *KIR2DL4* (genotypes 12, 13 and 15; Figure 4C). In  
3 summary, determination of copy number alone increases the resolution of *KIR* genotyping and is  
4 an important step towards understanding the role of KIR polymorphism in disease.<sup>61</sup> We next  
5 sought to include the allele calling components in validation of the PING pipeline in order to  
6 achieve full resolution of *KIR* genotypes.

### 7 **High resolution genotypes of *KIR* alleles (PING\_allele)**

8 PING\_allele determines *KIR* allele genotypes according to all known *KIR* coding sequence alleles  
9 (Material and Methods). PING\_allele was first validated using whole-exome data from a sample of  
10 15 KhoeSan individuals.<sup>47</sup> For these individuals, the *KIR* copy number and allele data produced by  
11 PING matched the data obtained previously using the established methods of Sanger sequencing  
12 and pyrosequencing-based genotyping of *KIR* genes.<sup>16; 31</sup> Because the *KIR3DL1*, *KIR3DS1* and  
13 *KIR3DL2* genes of lineage II KIR (See Appendix A: KIR and HLA nomenclature) exhibit high  
14 polymorphism and structural variation,<sup>13; 23; 62</sup> they were chosen as a further test of PING\_allele.  
15 The pipeline was applied to data obtained, using the capture/NGS method, from 30 family trios  
16 from Mali in West Africa (sample Set 2; Material and Methods). In this highly heterozygous  
17 population we identified 18 *KIR3DL1/S1*, 15 *KIR3DL2* alleles and three *KIR3DL1/2v* alleles  
18 (Figure S5A). These alleles were authenticated using established pyrosequencing and Sanger  
19 sequencing methods (Material and Methods), as well as by their segregation in the trios.  
20 *KIR3DL1/2v* is a fusion of *KIR3DL1* and *KIR3DL2* that segregates with *KIR3DL1/S1* and  
21 expresses a functional protein.<sup>13</sup> Importantly, we correctly identified individuals having distinct  
22 combinations of *KIR3DL1/S1* alleles, *KIR3DL1/2v* fusion genes and *KIR3DL1*-deleted haplotypes  
23



1 (Figure 5). To expand the analysis, we next analyzed 2,112 individuals of the 1000 genomes data  
2 set.<sup>48</sup> From their exome sequences, we identified 50 *KIR3DL1/S1*, 46 *KIR3DL2* and five  
3 *KIR3DL1/2v* alleles (Figure S5A), as well as 14 *KIR3DL1/S1* duplication and 13 *KIR3DL1/S1*  
4 deletion haplotypes (Figure S5B). Such duplicated and deleted *KIR* haplotypes were detected in all  
5 26 populations represented in the 1000 genomes dataset (Figure S5B). These results demonstrate  
6 that the capture/NGS method coupled with the copy number and allele components of the PING  
7 pipeline correctly identify the extensive and complex variation of lineage II *KIR*. The *KIR3DL1/S1*  
8 and *KIR3DL2* genotypes obtained for all individuals analyzed from the 1000 Genomes project are  
9 shown in Figure S5C.

10

### 11 **Novel Allele Identification by PING**

12 We describe *KIR* variants that were previously undiscovered, but identified and characterized  
13 using the methods described here, as ‘novel’. The PING pipeline identifies such novel alleles by  
14 the presence either of one or more novel SNPs or a novel combination of known SNPs (Material  
15 and Methods). To test this ‘new allele discovery’ component of PING we again used the lineage II  
16 *KIR* genes. In the course of analyzing the 1000 Genomes data, we identified 100 novel alleles: 33  
17 *KIR3DL1/S1*, 65 *KIR3DL2*, and two forms of *KIR3DL1/2v*. Defining these alleles are 88 novel  
18 SNPs (39 in *KIR3DL1/S1*, 49 in *KIR3DL2*: Figure S6A and Figure S6B) and 17 novel  
19 combinations of known SNPs (Figure S6C). Sequences for all the novel alleles were validated by  
20 standard methods: PCR amplification from genomic DNA of source material, followed by cloning  
21 and/or Sanger sequencing (Material and Methods). Of the 2,112 individuals studied, 229 (10.8%)  
22 have at least one novel lineage II *KIR* allele (Figure S5C). A total of 333 different *KIR3DL1/S1*-  
23 *KIR3DL2* haplotypes were identified in this analysis (Figure S5C).

1

## 2 **High resolution allele and copy number *KIR* genotypes**

3 We applied PING\_allele to the *KIR* sequence data obtained from the 97 IHWG cells. This analysis  
4 of 13 *KIR* genes identified 144 different *KIR* sequences, 128 corresponding to established alleles  
5 and 16 representing novel alleles. The latter were all shown to be authentic using the standard  
6 methods described above for *KIR3DL1/S1* and *KIR3DL2* (Figure S6D). By considering all 144  
7 *KIR* variants, we identified a minimum of 104 centromeric and 42 telomeric *KIR* haplotypes in the  
8 cell panel (Figure 6 and Figure S7). Consistent with our results are *KIR* genotyping data obtained  
9 previously from the IHWG cells, which achieved a limited discrimination of alleles.<sup>6; 59</sup> These  
10 analyses demonstrate that PING accurately processes high-throughput sequence data to give  
11 accurate high-resolution *KIR* genotypes. The high-resolution *KIR* genotypes of the IHWG cell  
12 panel has been compiled (Figure S7), providing a resource for future investigation.

13

## 14 **Validation of the high-resolution *HLA class I* capture method**

15 Because KIR and HLA class I glycoproteins are functionally interacting receptors and ligands, the  
16 capture/NGS method was designed to capture and analyze the two gene families in the same  
17 reaction (Material and Methods). To validate the *HLA class I* sequences obtained by this method,  
18 we first analyzed the panel of 97 IHWG cell lines. In previous studies<sup>38-40</sup>, including high-density  
19 whole genome SNP analysis,<sup>43</sup> 90 of the IHWG cells were judged to be homozygous for *HLA-A*, *B*  
20 and *C*, with five of the other seven cells being homozygous for two of the three *HLA class I* genes.  
21 Our high-throughput sequencing results are completely concordant with the genotypes previously  
22 determined by conventional methods<sup>15</sup> (Figure S8A). In the course of validation, we defined two  
23 novel *HLA-C* alleles that encode distinctive proteins (Figure S8B). Because our method gives full-

1 length genomic sequences, including intron and flanking region sequences, all the *HLA -A, -B, -C*  
2 alleles of the IHWG cells are now defined at much higher resolution (“four field” see Appendix A:  
3 KIR and HLA nomenclature) than previously achieved.

4  
5 The IHWG cells represent an unusual, and highly selected, sampling of the human population  
6 because they are *HLA* homozygous, and many of them derive from consanguineous individuals. To  
7 extend the study to heterozygous individuals, we applied the capture/NGS method to 30 West  
8 African family trios from Mali (sample Set 2; Material and Methods) and 188 individuals selected  
9 at random from a panel of healthy Europeans (sample Set 3). The capture/NGS method achieved  
10 full coverage of the *HLA class I* genes, and an example of the result obtained from one individual  
11 is shown in Figure 7A. In the Africans, 22 *HLA-A*, 30 *HLA-B* and 15 *HLA-C* alleles were  
12 identified. These allele sequences agreed completely with *HLA class I* genotypes we determined  
13 using standard probe-based and Sanger sequencing methods. They were also consistent with the  
14 observed segregation of *HLA -A, -B, -C* alleles within the family trios (Figure S8C-D). In total, 170  
15 distinct *HLA class I* alleles were identified in the three validation sets (IHWG cell lines, African  
16 trios and the Europeans). These 170 alleles, include 62 of the 69 fundamental allele types defined  
17 by the first two digits of the HLA nomenclature (Figure 7B), and thus cover most, if not all, of the  
18 breadth of *HLA class I* allelic diversity.<sup>21</sup> Thus it is likely that all *HLA-A, -B* and *-C* alleles can be  
19 captured and sequenced by our method. In support of this thesis, the capture/NGS method robustly  
20 detects and sequences *B\*73:01* (Ashouri et al. in prep), a unique allele of archaic origin that has by  
21 far the most divergent *HLA class I* gene sequence in the modern human population.<sup>63</sup> Further  
22 demonstrating our method’s robust capacity to target divergent sequences, we successfully  
23 captured and sequenced all alleles of the *Patr-A, -B, -C* genes (Figure S8E), the orthologs of *HLA-*

1 *A -B -C*, respectively, from the chimpanzee that was the subject for the chimpanzee genome  
2 project.<sup>44</sup> In summation, the breadth and depth of our results give confidence that our method will  
3 be able to capture the full range of *HLA class I* alleles.

4

5

## 1 **Discussion**

2 We developed an integrated capture/NGS and bioinformatics method to characterize completely  
3 the structure and sequence of the highly polymorphic *KIR* and *HLA class I* genes. The approach  
4 enables a focused and extensive definition of this physiologically important variation, which is not  
5 possible with any other single method. Our method is also well-suited for genotyping the large  
6 cohorts required for insightful study of population genetics and disease association, as well as  
7 donor selection for clinical transplantation. All components of the method were validated using  
8 panels of DNA samples that represent the observed range of human variation for these complex  
9 genomic regions. Both the method and the information we have obtained during its development  
10 should prove valuable resources for future studies.

11  
12 We used DNA from well-characterized immortalized human B cell lines as reference materials  
13 during the design and optimization of the laboratory and bioinformatics methods. These panels of  
14 IHWG and 1000 Genomes cell lines are generally available for other researchers (see Web  
15 Resources). For validation we focused on *lineage II KIR* genes, because they exhibit some of the  
16 most extreme and complex genomic variation within the human *KIR* locus. We identified and  
17 distinguished deletions and duplications of *KIR3DL1/S1* and presence of the *KIR3DL1/2v* fusion  
18 gene, at the same time as defining the alleles of these genes. This was achieved by the combination  
19 of quantitative assessment of read depth and virtual sequence probing, coupled with reference  
20 alignment. Such independent verification is critical for characterizing structural *KIR* variants,  
21 which are not detected by methods that depend only on the alignment of sequence reads to  
22 reference haplotypes.<sup>64; 65</sup> In summary, the validation experiments demonstrate our method to be  
23 robust and capable of detecting the full range of *KIR* genomic variation.

1  
2 With few exceptions, studies of *KIR* in human populations and disease cohorts have analyzed *KIR*  
3 gene content, but not allelic diversity.<sup>66; 67</sup> Such studies were seminal for showing how *KIR*  
4 genomic diversity can shape the immune response and provide resistance to disease.<sup>68</sup> Gene  
5 content studies also uncovered the influence of *KIR* diversity on the success of reproduction and  
6 bone marrow transplantation.<sup>9; 11</sup> The few studies that have focused on specific *KIR* genes, their  
7 allelic diversity and copy number, have refined these disease associations and implicated specific  
8 alleles.<sup>61; 69</sup> In the course of validating our method, we identified and characterized 116 novel *KIR*  
9 alleles. This new knowledge of *KIR* polymorphism makes substantial contributions to the *KIR*  
10 database.<sup>15</sup> For example, the number of *KIR3DL2* alleles was doubled and is now in excess of 100.  
11 We also show that 476 (22.5%) of the 1000 Genomes individuals have at least one example of a  
12 structural variant or novel allele of *KIR3DL1/S1* or *KIR3DL2* (Figure S5c). All of these genomic  
13 variations have potential to influence NK cell function, but they are not visible to typing at the  
14 level of *KIR* gene content. A strong case can therefore be made that high-resolution knowledge of  
15 *KIR* diversity, in all its forms, will identify additional disease associations and improve the  
16 understanding of those already known.

17  
18 The study of human populations, their evolutionary dynamics, ancestry and disease, has benefited  
19 from methods of genome-wide associations (GWAS) that genotype numerous SNP markers in  
20 large cohorts of individuals. Such analysis of the *KIR* region has been impractical, because its  
21 extraordinary structural diversity leaves few locations suitable for designing binary SNP markers,  
22 and many of the *KIR* region genotyping results fail routine quality control filters. Thus the  
23 ‘immunochip’, which focuses on immune-system genes<sup>70</sup> and has refined the role of *HLA*-

1 associated diseases, includes relatively few informative SNPs in the *KIR* region. These SNPs are  
2 located in the *KIR3DL3*, *KIR2DL4*, *KIR3DL1/S1* and *KIR3DL2* genes, which were previously  
3 assumed to be present in one copy on every haplotype.<sup>14</sup> Our study demonstrates that this is not the  
4 reality. In more than 10% of the 1000 Genomes individuals, one of these four *KIR* genes is either  
5 deleted, duplicated or part of a fusion gene. We conclude that SNP genotyping within the *KIR*  
6 locus using standard binary measurement is of little practical value.

7  
8 To compensate for the absence of suitable SNPs within the *KIR* genes, an imputation method was  
9 recently described, which should give accurate re-assessment of *KIR* gene content diversity for  
10 many of the reported GWAS.<sup>28</sup> Imputation of *HLA class I* alleles from GWAS data has been  
11 informative for studies of immune-mediated diseases.<sup>71</sup> *HLA* allele imputation has varying  
12 efficiency, particularly in non-European individuals, in part because >10,000 alleles are described,  
13 but also because imputation relies on linkage disequilibrium, which can extend for shorter genomic  
14 tracts in non-Europeans than Europeans.<sup>15; 71</sup> Many of the *KIR* variants and polymorphisms  
15 identified by our method are not evenly distributed across human populations. For example, the  
16 *KIR3DL1/2v* gene fusion is restricted to Africans, who exhibit the lowest linkage disequilibrium,  
17 worldwide. Thus, it is unlikely that imputation will be able to resolve all the structural and allelic  
18 diversity of *KIR*.

19  
20 We employed short-read technology because of its high fidelity. Pressing this point, all the novel  
21 SNPs identified by our method were confirmed by independent and well-established sequencing  
22 methods. The capture method we used, will probably soon be adapted to obtain longer fragment  
23 sizes and read lengths, which should become increasingly valuable as the sequencing error rates

1 decrease.<sup>72</sup> Because we are able to capture and sequence the chimpanzee *KIR* region, we show the  
2 method we described likely captures the extent of human *KIR* diversity. Thus there is limited  
3 allelic dropout. Alternative methods that do not suffer allelic dropout are whole genome  
4 approaches. Because our method targets large numbers of individuals and with low impact on  
5 sequencing instrument and reagent resources, our assay provides an economic and practically  
6 viable alternative to whole-genome experiments. We also note our bioinformatics pipeline can  
7 obtain accurate *KIR* genotypes from any whole-genome sequencing experiments of sufficient mean  
8 depth. The pipeline is also designed for application to any highly polymorphic gene system. Our  
9 approach is aimed for genotyping very large numbers of individuals but with low impact on  
10 computer resources. In those properties it differs from the population graph method of allele  
11 designation that has been applied to *HLA*.<sup>73</sup> However, this method could be a valuable complement  
12 to our methods if also applied to *KIR*.

13  
14 The first *KIR* cDNA sequences were reported in the late 1990s.<sup>74: 75</sup> This led to research that  
15 revealed the unanticipated scope of genetic complexity and diversity of the human *KIR* gene  
16 family.<sup>27</sup> The method we describe here will facilitate determination of a complete description of  
17 *KIR* variation in the human population, its interaction and co-evolution with *HLA class I*, and its  
18 influence on physiology, disease and immunotherapy.

19  
20



## 1 **Appendix A; *KIR* nomenclature**

2 Throughout this paper any unique DNA sequence that spans a coding region (otherwise known as  
3 coding DNA sequence; CDS) is considered a distinct allele. Those alleles that encode a unique  
4 protein sequence define an allotype. *KIR* genes and alleles are named by the *KIR* nomenclature  
5 committee, formed from members of the WHO Nomenclature Committee for factors of the HLA  
6 system, and members of the HUGO Genome Nomenclature Committee.<sup>15</sup> The *KIR* database is part  
7 of the ImmunoPolymorphism database (IPD), which is listed in the Web Resources section.

8  
9 In the nomenclature for alleles, the digit (2 or 3) and letter D following the '*KIR*' prefix indicate  
10 whether two (2D) or three (3D) immunoglobulin-like domains are present in the encoded protein.  
11 After the letter D is another letter, either L, S or P. The letters L and S refer to the relative length of  
12 the cytoplasmic tail: being either short (S) or long (L). Long-tailed *KIR* are inhibitory receptors,  
13 whereas short-tailed *KIR* are activating receptors. P denotes a pseudogene. Next is a number that  
14 distinguishes *KIR* encoded by different genes, but having the same domain number and signalling  
15 function. There are two instances where a pair of *KIR* gene names was combined to form one name  
16 after it became apparent they occupied the same locus –these are *KIR2DL2/3* and *KIR3DL1/S1*.  
17 Following the gene name, there are three fields of numbers that distinguish the various types of  
18 alleles. The first field, of three digits, distinguishes alleles that encode different allotypes. Thus  
19 these alleles encode proteins with different amino-acid sequences. The second field, of two digits,  
20 distinguishes alleles that encode the same allotype, but differ by one or more synonymous  
21 substitutions in the coding region. The third field, also of two digits, distinguishes alleles with the  
22 same coding region sequence but have one or more substitutions in introns, flanking regions and  
23 other parts of the gene. For example, *KIR3DL2* is a receptor with three Ig-like domains and

1 inhibitory signalling function. *KIR3DL2\*001* and *KIR3DL2\*002* are alleles that encode allotypes  
2 with different amino-acid sequence, whereas the *KIR3DL2\*00101* and *KIR3DL2\*00102* alleles  
3 both encode the *KIR3DL2\*001* allotype, but differ by a synonymous nucleotide substitution in the  
4 coding region sequence. The *KIR3DL2\*0010101* and *KIR3DL2\*0010102* alleles also encode the  
5 *KIR3DL2\*001* allotype, but they differ by nucleotide substitutions in the introns.

6  
7 There are four phylogenetically distinguished lineages of human *KIR*.<sup>76</sup> Lineage I and III *KIR*  
8 molecules possess two Ig-like domains. Lineage I (*KIR2DL4-5*) interact with HLA ligands of low  
9 polymorphism including HLA-G, and lineage III (*KIR2DL1-3*, *KIR2DS1-5*) interact with specific  
10 allotypes of HLA-B and -C molecules. Lineage II (*KIR3DL1/S1* and *KIR3DL2*) and V  
11 (*KIR3DL3*) molecules have three Ig-like domains. Lineage II molecules interact with allotypes of  
12 HLA-A or -B,<sup>77</sup> and the ligand for lineage V remains unknown. We focused on the lineage II  
13 because this lineage is characterized by extensive structural and sequence diversity.<sup>13; 23</sup> Although  
14 most human *KIR* haplotypes have two lineage II genes, *KIR3DL1/S1* and *KIR3DL2*, some  
15 haplotypes have deleted *KIR3DL1/S1*, some have duplicated *KIR3DL1/S1* and some have an in-  
16 frame fusion of *KIR3DL1* and *KIR3DL2*, termed *KIR3DL1/2v*.<sup>13</sup> There are three divergent lineages  
17 of *KIR3DL1/S1*, the inhibitory *KIR3DL1\*005* and *KIR3DL1\*015*, which are divergent and highly  
18 polymorphic, and activating *KIR3DS1*, which is less polymorphic.<sup>23</sup>

19  
20 *KIR* haplotypes form two groups: *A* and *B*. *KIR A* haplotypes have a fixed content of seven genes  
21 and two pseudogenes, whereas *KIR B* haplotypes vary in gene content.<sup>6; 14; 27</sup> There are around 20  
22 common *KIR B* haplotypes with different gene content and numerous additional *KIR B* haplotypes  
23 that are rare<sup>6; 12; 27</sup>

1  
2 HLA class I alleles are named using a hierarchical set of fields, separated by colons, and each  
3 containing as many different digits as is needed to distinguish all alleles. The first field  
4 distinguishes the major alleles, which differ by multiple nucleotide and amino-acid substitutions  
5 (e.g. *HLA-A\*01* and *HLA-A\*02*). The second field distinguishes the subtypes of each major allele  
6 (e.g. *HLA-A\*02:01* and *HLA-A\*02:153*), which encode allotypes that differ by one or more amino-  
7 acid substitutions (e.g. *HLA-A\*02:01* and *HLA-A\*02:153*). The third field distinguishes subtypes  
8 that encode proteins of identical amino-acid sequence, but differ by one or more synonymous  
9 substitutions within the protein-encoding exons (e.g. *HLA-A\*02:01:01* and *HLA-A\*02:01:02*). The  
10 fourth field distinguishes subtypes that have identical coding-region sequence, but differ by one or  
11 more nucleotide substitutions within introns or the transcribed 3' and 5' flanking regions (e.g. *HLA-*  
12 *A\*02:01:01:01* and *HLA-A\*02:01:01:02*). In addition, suffix letters are used to denote known  
13 expression variants (e.g. N denotes a 'Null' allele, for which the encoded protein is not expressed at  
14 the cell surface).

15

## 16 **Supplemental Data**

17 Supplemental Data include four figures and four Excel spreadsheets.

18

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23

1 **Web Resources and Accession Numbers**

2 The URLs for data, material and programs used herein are as follows:

3 Coriell repository, <https://catalog.coriell.org/>

4 FastX toolkit, [http://hannonlab.cshl.edu/fastx\\_toolkit/](http://hannonlab.cshl.edu/fastx_toolkit/)

5 GenDX NGSengine, <http://www.gendx.com/>

6 Haplo.stats, <http://www.mayo.edu/research/labs/statistical-genetics-genetic-epidemiology/>

7 ImmunoPolymorphism database (IPD), <http://www.ebi.ac.uk/ipd/>

8 International Histocompatibility Working Group (IHWG), [www.ihwg.org/](http://www.ihwg.org/)

9 OMIM, <http://www.omim.org/>

10 PING scripts (The versions of PING components used to generate the data described here),

11 <https://web.stanford.edu/~n0rmski/projectH/>

12 PING executable R files (The latest versions of PING\_gc and PINGallele),

13 <https://github.com/wesleymarin/>

14 R program, <https://www.r-project.org/>

15

16 Newly-discovered *KIR and HLA class I* allele sequences were submitted to GenBank and the IPD

17 database.<sup>15</sup> Their official names and GenBank accession numbers are given below and in Figure S6

18 (*KIR* prefix excluded for brevity):

19 *3DL1\*00103* (LN606766), *3DL1\*00104* (KP784298), *3DL1\*00105* (KP784297), *3DL1\*00404*

20 (KP784290), *3DL1\*00504* (KP784291), *3DL1\*00505* (KP784294), *3DL1\*01506* (KP784293),

21 *3DL1\*05902* (KP784300), *3DL1\*077* (LN606765), *3DL1\*088* (LN606767), *3DL1\*089*

22 (LN606768), *3DL1\*090* (LN606769), *3DL1\*091* (LN606770), *3DL1\*092* (LN606771),

23 *3DL1\*093* (LN606772), *3DL1\*094N* (LN606773), *3DL1\*095* (KP784289), *3DL1\*096*

1 (KP784285), 3DLI\*097 (KP784286), 3DLI\*098 (KP784301), 3DLI\*099 (KP784288), 3DLI\*100  
2 (KP784299), 3DLI\*101 (KP784292), 3DLI\*102 (KP784295), 3DLI\*103 (KP784296), 3DLI\*109  
3 (KP784287), 3DSI\*01304 (KP784279), 3DSI\*01305 (KP784278), 3DSI\*01306 (KP784276),  
4 3DSI\*01307 (KP784284), 3DSI\*104 (KP784277), 3DSI\*105 (KP784280), 3DSI\*106  
5 (KP784283), 3DSI\*107 (KP784281), 3DSI\*108 (KP784282), 3DL2\*00106 (KJ535483),  
6 3DL2\*081 (KJ535484), 3DL2\*073 (KJ535485), 3DL2\*071 (KJ535486), 3DL2\*080 (KJ535487),  
7 3DL2\*00303 (KJ535488), 3DL2\*00703 (KJ535489), 3DL2\*069 (KJ535490), 3DL2\*070  
8 (KJ535491), 3DL2\*072 (KJ535492), 3DL2\*074 (KJ535493), 3DL2\*068 (KJ535494),  
9 3DL2\*00304 (KJ535495), 3DL2\*079 (KJ535496), 3DL2\*077 (KJ535497), 3DL2\*01002  
10 (KJ535498), 3DL2\*076 (KJ535500), 3DL2\*00203 (KJ535501), 3DL2\*082 (KJ535502),  
11 3DL2\*078 (KJ535503), 3DL2\*101 (LN995832), 3DL2\*00708 (LN995833), 3DL2\*100  
12 (KP784305), 3DL2\*102 (LN995834), 3DL2\*00705 (LN649139), 3DL2\*089 (LN649146),  
13 3DL2\*00502 (LN649136), 3DL2\*095 (LN649155), 3DL2\*00204 (LN649156), 3DL2\*00706  
14 (LN649150), 3DL2\*084 (LN649140), 3DL2\*093 (LN649152), 3DL2\*087 (LN649143),  
15 3DL2\*00707 (LN649153), 3DL2\*098 (KP784302), 3DL2\*094 (LN649154), 3DL2\*01003  
16 (LN649149), 3DL2\*00107 (LN649144), 3DL2\*085 (LN649141), 3DL2\*086 (LN649142),  
17 3DL2\*088 (LN649145), 3DL2\*090 (LN649147), 3DL2\*097 (KP784303), 3DL2\*091  
18 (LN649148), 3DL2\*096 (LN649157), 3DL2\*092 (LN649151), 3DL2\*00704 (LN606764),  
19 3DL2\*01004 (KP784304), 3DP1\*01002 (KP893537), 3DP1\*015 (KP893538), 3DL2\*108  
20 (LN999781), 3DL2\*107 (LN999782), 3DL2\*104 (LN999783), 3DL2\*109 (LN999784),  
21 3DL2\*00602 (LN999785), 3DL2\*106 (LN999786), 3DL2\*04302 (LN999787), 3DL2\*00709  
22 (LN999788), 3DL2\*01004 (LN999790), 3DL2\*103 (LN999791), 3DL2\*01902 (LN999792),  
23 3DL2\*021 (LN999793), 3DL2\*07902 (LN999794), 3DL2\*06002 (LN999795), 3DL2\*105

1 (LN999796), *3DL2\*00109* (LN999797), *3DL2\*10002* (LN999798), *2DL1\*032N* (KP893536),  
2 *2DL3\*034* (KP784272), *2DL5A\*021* (KP784273), *2DPI\*00203* (KP784307), *2DPI\*00204*  
3 (KP784309), *2DPI\*015* (KP784306), *2DPI\*016* (KP784275), *2DPI\*017* (KP784308),  
4 *2DPI\*018* (KP784274), *2DPI\*019* (KP784310), *2DS3\*008* (KP784269), *2DS5\*015* (KP784270),  
5 *2DS5\*016* (KP784271), *HLA-C\*01:02:30* (KP893072), *HLA-C\*07:18* (KP893073).  
6

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- 22

1 **Figure Titles and Legends**

2 **Figure 1. Pipeline for analyzing sequence data from highly-polymorphic and structurally**  
3 **divergent haplotypes (*KIR*).**

4 A. The PING (Pushing Immunogenetics to the Next Generation) pipeline has two broad arms and  
5 two modules. The first module (PING\_gc) determines *KIR* gene copy numbers, and the second  
6 module (PING\_allele) determines their alleles. Within each module are two arms. The first arm  
7 (KFFgc and KFFallele) is an analysis independent of any alignment or assembly that uses virtual  
8 probes to mine the raw data, and the second arm (MIRAgc and SOS) performs filtering and  
9 alignment of reads to reference sequences. Thus both copy-number and allele genotype are each  
10 derived by two independent methods. The techniques are described fully in the Methods.

11  
12 B. Lists the data generated using the method described herein (1-3), and those obtained from other  
13 sources (4). Shown are the number of individuals, the genotyping results that they are used to  
14 validate, and the independent laboratory method used for this purpose is given at the far right.

15  
16 **Figure 2. *KIR* gene copy number genotype determined by read depth.**

17 Shows that the ratio of reads mapping to a specific *KIR* gene relative to those that map to  
18 *KIR3DL3* can be used to calculate *KIR* copy number. The results from 97 samples are shown and  
19 sorted by the ratio. *KIR2DL4* (left) was present in 1, 2 or 3 copies per individual in the sample set  
20 and *KIR2DL5* (right) as 0, 1, 2, 3 or 4 copies.

21

22 **Figure 3. The *KIR* region is >99.99% covered by the sequence data**

1 A. Shows the target *KIR* region of chromosome 19: the gene locations are shown in orange and  
2 pseudogenes in gray. The *KIR* region varies in gene content and shown are two frequent ‘A’ and  
3 ‘B’ haplotypes. The ‘KIR’ prefix is omitted from the gene names for clarity (See Appendix A: KIR  
4 and HLA nomenclature). The human reference build hg19 has a *KIR A* haplotype. Underneath is a  
5 *KIR B* haplotype shown to scale.

6  
7 B. Shows the read depth following stringent alignment of sequence reads (no base pairs mismatch  
8 and duplicates removed) from the PGF cell line to the PGF reference *KIR* haplotypes 1 (light  
9 purple) and 2 (dark purple).

10  
11 C. Coordinates and features of two short gaps in PGF *KIR* haplotype 2. At the right is shown the  
12 location of the gaps.

13

#### 14 **Figure 4. *KIR* gene content and copy number genotypes**

15 A. Gene *content* genotypes derived from all 97 cell lines using the PING pipeline. Black box  
16 indicates gene is present and clear box indicates absence. One example from each observed gene  
17 content genotype (GC type) is shown, with the number observed shown at the right.

18

19 B. Independent validation of the *KIR copy number* genotypes by real-time PCR<sup>12</sup> on 85 samples.  
20 There were four discrepancies due to alleles undetected by real-time PCR (allele). There were two  
21 false positives (pos) and two false negatives (neg), by real-time PCR. Two discrepancies remain  
22 unexplained.

23

1 C. Gene *copy number* genotypes derived from all 97 cell lines using PING\_gc. Colored rectangle  
2 indicates gene present, and the shades represent the copy number as indicated in the key. One  
3 example from each observed gene copy number genotype (CN type) is shown, with the number  
4 observed at the right.

5  
6 **Figure 5. High resolution allele-level genotyping of *KIR***

7 Four examples of high-resolution allele and copy-number genotypes of lineage II *KIR* and their  
8 segregation in family trios: C - child, F -father, M - mother. Colored boxes show the segregating  
9 alleles. All members of family 1 have two alleles each of *KIR3DL1/S1* and *KIR3DL2*. Family 2  
10 shows segregation of the *KIR3DL1/2v* fusion gene (the allele named *KIR3DL1\*059*) that consists  
11 of exons 1-6 from *KIR3DL1* and 7-9 from *KIR3DL2*.<sup>13; 78</sup> For clarity *KIR3DL1/2v* is shown as an  
12 allele of *KIR3DL1*, and so there is no allele of *KIR3DL2* on this haplotype. Family 3 shows  
13 segregation of a haplotype that lacks *KIR3DL1/S1* and marked by presence of *KIR3DL2\*006*. The  
14 gene copy numbers were determined using PING\_gc, which indicated one copy of *KIR3DL1* was  
15 present in each of individuals 3C and 3M and two copies in 3F. Family 4 shows both the  
16 *KIR3DL1/2v* and the *KIR3DL1* negative haplotypes segregating to the child.

17  
18 **Figure 6. High resolution *KIR* allele and copy number genotypes of 97 IHWG cells**

19 Shows four examples of high-resolution allele and copy-number genotypes of *KIR*. Individual 1  
20 (SP0010) is homozygous *KIR-A* haplotype. Individual 2 (CB6B) has two different *B* haplotypes.  
21 Individual 3 (E481324) has duplication of three loci (in blue shading: denoted as *3DP1b*, *2DL4b*,  
22 *3DL1/S1b*). Individual 4 (LZL) has deletion of the central segment of the *KIR* haplotype (red).

1 Yellow shading denotes alleles that were identified for the first time in the current study. The full  
2 genotypes for each IHWG cell are given in Figure S7.

3

4 **Figure 7. Capture of *HLA class I* genes for high-resolution allele genotyping**

5 A. Shown is the read depth across each of the *HLA class I* genes from a representative sample  
6 (chosen virtue of having closest to the mean number of *HLA*-specific reads). Green lines indicate  
7 the coordinates of the exons that were covered. To generate this figure, full gene sequences (~3kb  
8 each) were obtained from IPD to represent all of the five *HLA class I* alleles known to be present  
9 in this sample (the sample is homozygous for a common allele of *HLA-A*). Sequence reads were  
10 filtered to be specific for *HLA-A*, *-B* *-C*, then aligned to these references with high stringency. The  
11 read depth was measured using Samtools/bcf.

12

13 B. Lists the major *HLA class I* allele types detected in the study.

14