# Cell types, network homeostasis and pathological compensation from a biologically plausible ion channel expression model

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#### **Running title**

Biochemical control of excitability

#### Highlights

- A simple biochemical model of ion channel expression can explain activity setpoints
- Physiological cell types are encoded by ion channel expression rates
- Variability and network homeostasis emerge from cell-autonomous regulation
- Homeostatic mechanisms can cause pathological loss of function

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# Summary

A fundamental question in neuroscience is how neurons develop, control, and maintain their electrical signaling properties in spite of ongoing protein turnover and activity perturbations. We address this question using theory and computational modeling. From generic assumptions about the molecular biology underlying channel expression we derive a simple model and show how it encodes an 'activity set-point'. The model can generate diverse self-regulating cell types and relates correlations in conductance expression observed in vivo to underlying channel expression rates. Both synaptic as well as intrinsic conductances can be regulated to make a self-assembling central pattern generator network; thus network-level homeostasis can emerge from cell-autonomous regulation rules. Finally, we demonstrate that homeostatic regulation depends on the complement of ion channels expressed in cells: in some cases loss of specific ion channels can be completely compensated, in others the homeostatic mechanisms itself causes pathological loss of function.

# Introduction

A mysterious yet essential property of the nervous system is its ability to self-organize during development and maintain function in maturity despite ongoing perturbations to activity and to the biochemical milieu upon which all cellular processes depend (Davis and Bezprozvanny, 2001; Desai, 2003; Marder and Goaillard, 2006; Marder and Prinz, 2002; Mease et al., 2013; Moody, 1998; Moody and Bosma, 2005; O'Donovan, 1999; Spitzer et al., 2002; Turrigiano and Nelson, 2004; van Ooyen, 2011).

Although we are beginning to understand the homeostatic mechanisms that underlie this robustness, there are many substantial open questions. First, conceptual and computational models of neuronal homeostasis assume a 'set-point' in activity that neurons and networks return to following perturbations (Davis and Bezprozvanny, 2001; LeMasson et al., 1993; Liu et al., 1998; Turrigiano, 2007). Where does this set-point come from? How can it be encoded biologically? Second, previous work has shown that phenomenological feedback control rules can maintain specific activity patterns in model neurons by regulating intrinsic and synaptic ion channel densities using intracellular Ca<sup>2+</sup> as a monitor of cellular excitability (Desai, 2003; LeMasson et al., 1993; Liu et al., 1998), but it remains to be shown how such rules can be implemented in a biologically plausible way that incorporates the underlying mechanisms of channel expression (Davis, 2006; O'Leary and Wyllie, 2011). Third, the nervous system is heterogeneous, with many distinct cell types that have specific combinations of ion channels that lend them their unique electrical properties (Marder, 2011). How is this diversity achieved while ensuring that global levels of activity are maintained? Fourth, does homeostatic plasticity occur at the network level, or are nominally cell-autonomous homeostatic mechanisms sufficient to confer network stability (Maffei and Fontanini, 2009)? Fifth, nervous systems do not always behave homeostatically; mutations in ion channel genes are the basis of many channelopathies and genetic knockout animals often have measurable phenotypes. Is this a failure of regulatory mechanisms (Ramocki and Zoghbi, 2008)? Or, is homeostatic regulation compatible with incomplete or aberrant compensation in certain situations? We specifically address these questions using theory and computational models.

Previous modeling and theoretical work has shown that feedback rules can sculpt and stabilize activity in single neurons and networks (Abbott and LeMasson, 1993; Golowasch et al., 1999b; LeMasson et al., 1993; Liu et al., 1998; Soto-Trevino et al., 2001; Stemmler and Koch, 1999). These models were successful in establishing that intrinsic properties and synaptic strengths are subject to homeostatic regulation, but questions of biological implementation, such as the nature of set-points, are still largely unanswered. In addition, models that were intended to capture regulation of multiple intrinsic conductances either generated unique conductance densities given a range of starting conditions (Abbott and LeMasson, 1993; LeMasson et al., 1993; Soto-Trevino et al., 2001) or produced such high degree of variability that the model neurons were sometimes unstable (Liu et al., 1998). Underlying this problem is the fact that the set of conductance densities that produce a specific kind of activity comprises disparate solutions with a highly irregular distribution (Prinz et al., 2003; Taylor et al., 2009; Taylor et al., 2006). Thus, a biologically plausible regulation rule needs to navigate this complex space so as to allow variability, but maintain certain relations between conductances. Here we achieve this from first principles, deriving a straightforward and biologically plausible model of gene regulation to show how neurons can use a single physiological variable – intracellular Ca<sup>2+</sup> – to robustly control their activity and develop specific electrophysiological properties that enable function at the circuit level.

## Results

The first part of the Results (Figs 1-3) is a technical derivation of an activity-dependent regulation rule. The consequences and interpretation of this rule are covered in the latter part of the Results (Fig 4 onwards).

#### Integral control from a simple model of ion channel expression

Experiments have shown that the processes responsible for regulating intrinsic neuronal properties are slow relative to fluctuations in electrical activity (Desai et al., 1999; O'Leary et al., 2010; Thoby-Brisson and Simmers, 2000). These processes behave as a feedback control mechanism that monitors average activity and adjusts membrane conductances to achieve some kind of target activity. The readout signal appears to be instantiated in intracellular [Ca<sup>2+</sup>] which correlates with electrical activity due to voltage-dependent Ca<sup>2+</sup> channels and buffering mechanisms that average out fluctuations in time and space (Berridge, 1998; Wheeler et al., 2012). Thus, [Ca<sup>2+</sup>] is a key regulatory signal for the expression many ion channel types (Barish, 1998; Mermelstein et al., 2000; O'Leary et al., 2010; Turrigiano et al., 1994; Wheeler et al., 2012).

Hence we implement a model of activity-dependent conductance regulation using intracellular [Ca<sup>2+</sup>] as a feedback control signal. There are many ways to implement feedback control of membrane conductances (Gunay and Prinz, 2010; LeMasson et al., 1993; Liu et al., 1998; Olypher and Prinz, 2010; Stemmler and Koch, 1999). We wanted to restrict our attention to a rule that captures the essence of known biological principles and that has properties that make it experimentally testable. Membrane channels are proteins and their expression depends on the level of channel mRNA in the cell. The simplest way of capturing this in a biochemically plausible way leads to a *canonical model* of regulation (sometimes called the 'central dogma' of molecular biology):

$$\dot{m} = \alpha_m - \beta_m m$$

$$\dot{g} = \alpha_g m - \beta_g g$$
(1)

Here *m* is the concentration of mRNA for channel protein *g* and  $\alpha_x$ ,  $\beta_x$  are synthesis and degradation rates. A schematic of the biochemical reaction scheme underlying this model is shown in Figure 1A. In spite of its simplicity, this model is useful for understanding gene expression dynamics in systems biology (Alon, 2007). Neurons possess a rich repertoire of other regulatory mechanisms including alternative splicing, alternative promoter usage, RNA-interference, regulated protein trafficking and post-translational modifications to channel proteins in the plasma membrane. Therefore the simplified biochemical scheme we use considers all of these processes in aggregate (Methods), and is therefore a first approximation that can be refined to take into account more intricate aspects of regulation when and where sufficient experimental data are available.

Where does activity-dependence enter this model? mRNA expression rates depend on the activity of transcription factors. In particular, many important transcription factors such as CREB are known to be Ca<sup>2+</sup>-dependent or dependent on other Ca<sup>2+</sup>-sensing enzymes (Finkbeiner and Greenberg, 1998; Mermelstein et al., 2000; Mihalas et al., 2013; Wheeler et al., 2012). Furthermore, transcriptional changes in ion channel genes occur in response to activity perturbations (Kim et al., 2010) and may underlie homeostatic regulation of network activity (Thoby-Brisson and Simmers, 2000). We therefore assume that mRNA production depends on some Ca<sup>2+</sup>-sensitive enzyme, or enzyme complex, *T*, whose production rate is Ca<sup>2+</sup>-dependent and whose rate of degradation is saturated (Drengstig et al., 2008). Incorporating this into the model, we have:

$$\dot{T} = \alpha_T ([Ca^{2+}]) - \beta_T$$
  
$$\dot{m} = \alpha_m T - \beta_m m \qquad (2)$$
  
$$\dot{g} = \alpha_g m - \beta_g g$$

The assumption that the degradation rate of T is saturated means the equilibrium of the system occurs at a unique value of  $[Ca^{2+}]$ . This is important because average  $[Ca^{2+}]$ , and therefore average activity, will be maintained at a specific 'target' value. The target,  $Ca_{tgt}$ , is found by solving the steady-state,  $\dot{T} = 0$ :

$$Ca_{tgt} = \alpha_T^{-1}(\beta_T)$$

We do not know in general how  $\alpha_T$  depends on  $[Ca^{2+}]$ . If this rate is determined by a single reaction involving  $Ca^{2+}$  binding then it will typically have a monotonic dependence in the form of a Hill equation (see Methods for an explicit example of a biochemical scheme). Thus, for simplicity, we assume a linear approximation,  $\alpha_T([Ca^{2+}]) = \alpha_T \cdot [Ca^{2+}]$ . In this case the 'target'  $[Ca^{2+}]$ ,  $Ca_{tgt}$ , is the fixed point of the equation for T, which is simply the ratio of the two rate constants:  $Ca_{tgt} = \beta_T / \alpha_T$ .

We can now show how mRNA and conductances are continually modified to keep the system at  $Ca_{tgt}$ . Inspection of (2) reveals that T explicitly integrates the difference between  $[Ca^{2+}]$  and  $Ca_{tgt}$  over time:

$$T = \int (\alpha_T \cdot [\operatorname{Ca}^{2+}] - \beta_T) dt = \alpha_T \int ([\operatorname{Ca}^{2+}] - \operatorname{Ca}_{\operatorname{tgt}}) dt$$

This integrated  $Ca^{2+}$  'error' signal is then fed into the synthesis term of the channel mRNA (equation 2). Similarly, m directly controls the expression rate of g via the term  $\alpha_g m$ . Finally, g controls the membrane potential and  $Ca^{2+}$  dynamics. The scheme in (2) therefore constitutes a feedback loop that can maintain average [ $Ca^{2+}$ ] by continually modifying the expression rates of channels in the membrane. This is illustrated in Figure 2B, where the shaded area shows the accumulated error signal over time. As long as [ $Ca^{2+}$ ] is different from the target, error will continue to accumulate and drive changes in the expression of mRNA and membrane conductances. The system reaches equilibrium if and only if [ $Ca^{2+}$ ] =  $Ca_{tgt}$ .

So far we assumed that a global 'master regulator' (T) controls downstream precursors of membrane conductances. What if these conductances are controlled by independent pathways that have the same kind of integrating mechanism? An immediate problem arises if the set-points for each controller are not tuned so that they all agree precisely. In Figure 2A an inward and outward conductance are under the control of a single Ca<sup>2+</sup>-integrating regulator,  $T_1$ , with target  $t_1$ . Now suppose (Fig 2B) that each conductance is controlled by a separate regulator,  $T_1$ ,  $T_2$ , with different targets,  $t_1 \neq t_2$ . Two possibilities exist: either one target will become satisfied, in which case error will accumulate without bound in the other controller, or neither controller will be satisfied, in which case both controllers will accumulate error without bound. This situation occurs in Figure 2B, causing the two conductances to upregulate without bound. In control theory this accumulation of error is known as 'windup'. In biological terms, windup would result in unbounded (eventually saturating) production of mRNAs and channels and loss of regulatory control. This is clearly disastrous and can only be avoided if the reaction rates determining the independent targets are precisely matched. In biological systems, such precise tuning seems unlikely. We therefore conclude that for this model to work across a set of conductances, a single master regulator pathway is preferable, and possibly required.

#### Specifying and maintaining cell types with multiple regulated conductances

The above analysis shows how activity in neurons can be maintained using a simple model of gene regulation. Overall, the regulation scheme can be written in simplified form for a neuron with multiple conductances,  $g_i$ :

$$\tau_g \dot{g}_i = m_i - g_i \tag{3}$$

$$\tau_i \dot{m}_i = [\mathrm{Ca}^{2+}] - \mathrm{Ca}_{\mathrm{tgt}}$$

In this simplified form, concentrations are scaled and reaction rates are replaced by single time-constants.  $\tau_g$  represents the characteristic time-constant of channel expression and  $\tau_i$  represents the coupling of channel 'gene' expression to [Ca<sup>2+</sup>]. We use this simplified system in what follows.

Integral control achieves target activity over long timescales relative to the time-constants in a model neuron, but what combination of conductances will the neuron express at this target? There are typically many ways to reach the same average activity using different maximal conductances (Bhalla and Bower, 1993; Golowasch et al., 2002; Olypher and Calabrese, 2007; Prinz et al., 2003; Sobie, 2009; Swensen and Bean, 2005; Taylor et al., 2009; Taylor et al., 2006). Recent mathematical work has made the relationships between conductances and excitability clearer and more precise and can be understood in terms of ratios of conductances that act on different timescales (Drion et al., 2012; Franci et al., 2012; Franci et al., 2013). We see next that the integral control rule produces 'nice' conductance distributions with constant ratios, qualitatively similar to those observed biologically.

Previous work (O'Leary et al., 2013) showed how regulation time-constants determine correlations in conductance expression at steady state. This suggests that by specifying different combinations of time-constants, we can specify 'cell types' in our model. What is the relation between conductance ratios and the regulation time-constants,  $\tau_i$ ? From the simplified scheme (3), each  $m_i$  converges to a value that depends on the time-integral of average [Ca<sup>2+</sup>], scaled by the inverse expression time constant,  $\tau_i$ . Thus for positive time-constants and small initial conductances:

$$g_i \approx m_i = \frac{1}{\tau_i} \int_0^{t_{ss}} ([Ca^{2+}] - Ca_{tgt}) dt$$

When taking ratios the integrals cancel, so that:

$$g_i/g_j \approx \tau_j/\tau_i.$$
 (4)

Thus, by choosing different ratios of the  $\tau_i$ 's we can specify correlations between each conductance. Correlations in conductance expression, in turn, promote defined electrophysiological characteristics because ratios of different kinds of voltage-gated conductances largely determine single neuron dynamics (Drion et al., 2012; Franci et al., 2013; Hudson and Prinz, 2010).

Figure 3 shows an example of a complex model neuron with several voltage-dependent conductances, all regulated by this integral control rule. Figure 3A shows the time evolution of the membrane conductances for multiple runs of the model initialized with uniformly random conductances (Methods). The membrane potential of an example neuron is shown above at four different time points. Each model starts in a nascent state that is initially non-excitable (left-most trace, Figure 3A), which is ensured by choosing the random initial distribution of conductances to be small relative to the leak conductance, which is itself randomly distributed over two orders of magnitude (Methods). The steady-state behavior of the model neuron is stereotyped and develops a rhythmic bursting activity (right-most trace, Figure 3A). In spite of varying initial conditions, the neuron models at steady state all have similar membrane potential activity, as can be seen in the example traces for 6 different model neurons that developed from different initial conditions (Figure 3B).

Figure 3C (lower panel) shows the steady-state conductance distribution from 20 independent runs of this simulation with different initial conditions. The intrinsic properties of this set of neurons are quantified in the upper panel. The conductance distributions over the population vary several-fold, but all of the neurons have a similar bursting phenotype. Both the conductances and the firing properties show clear pairwise correlations that are reminiscent of

experimental data in identified crustacean as well as mammalian neurons (Amendola et al., 2012; Liss et al., 2001; Schulz et al., 2007; Tobin et al., 2009). In contrast to previous work that used a less biologically realistic regulatory control model (Liu et al., 1998; O'Leary et al., 2013) these correlations are close to being linear. Secondly, using a single activity sensor contrasts markedly from this previous work which used three sensors with different timescales to promote bursting behavior. While we do not rule out the possibility that more than one activity sensor is used biologically, the current model shows that this is not necessary.

## **Generating cell types**

The simple relationship between regulation time-constants and conductance ratios in the model means we can determine arbitrary correlations between conductances, and thus construct self-regulating cells with specific intrinsic properties using only a single activity sensor. These intrinsic properties can encompass any excitability type provided we have a sufficiently rich set of available conductances (i.e. a sufficiently rich 'genome' in the model). Figure 4 shows five distinct neuron types that are achieved using the same underlying model and initial conditions but in each case choosing a different set of regulation time-constant and  $[Ca^{2+}]$  target. We can thus specify cells that establish and maintain specific input-output relations, as quantified by the Type I/II FI curves in the first two examples. Type I excitability is characterized by the existence of arbitrarily low firing frequencies at spiking threshold (Figure 4A, example 1); in contrast, Type II excitability does not support firing below a fixed non-zero rate (example 2) (Rinzel, 1989). Similarly, we can specify cells that respond reliably to input, as exemplified by an excitable rebound bursting cell which generates action potentials coupled to slow membrane potential oscillations in response to both depolarizing and hyperpolarizing current (example 3, Fig 4A). Finally, we can specify cells that are active in either a tonic spiking mode, or bursting mode (examples 4 and 5, Fig 4A). Each of these cell types has a unique correlation structure in its steady-state conductance distribution, as seen when each model is randomly initialized over a uniform range of initial conductances (Fig 4B). Furthermore, the straight line calculated from the ratio of expression time-constants in equation (4) predicts the pairwise conductance distributions in each case (Fig 4B).

The generic linear correlation structure of conductances at steady state in the model explains cell-specific linear correlations that are found biologically. Figure 4C reproduces data from Schulz et al (2007) where the expression levels of multiple ion channel genes were measured in single stomatogastric ganglion (STG) neurons using quantitative PCR. This revealed linear correlations in the expression that are specific the cell type (Fig 4C). The model predicts that the slopes of the correlations in the data should equal the (time-averaged) expression rates of the respective mRNAs in each plot.

There are two important biological assumptions in this instantiation of the model. First, the leak conductance, which can be thought of as an aggregate of multiple conductances, is fixed but can vary between cells. This serves as a model of conductances that are not regulated in an activity dependent way and that may vary across a population of neurons. Second, we have assumed that expression rates are fixed. Biologically, this corresponds to steady values in average promoter activity, binding affinities of signaling enzymes, translation rates, protein trafficking and degradation rates. While this may be a reasonable assumption at any given stage in nervous system development, it is entirely plausible that these relationships change over time. The process of neuronal differentiation may include intermediate steps where the cell becomes weakly excitable, or transiently expresses particular conductances at specific times. Neurons may thus cycle through several physiological 'types' as they develop and this process will be highly specific to the species and brain area in question. We have not explicitly attempted to model these transient stages as our goal is more general; they can however be incorporated by switching the rates in the regulatory rule – an idea we examine in the final part of this work.

## Expression rates vs activity targets as determinants of electrophysiological properties

We have shown how different sets of regulation rates/time-constants determine cell-types and that these cell types can have the same [Ca<sup>2+</sup>] target in principle. What happens when the [Ca<sup>2+</sup>] target is scaled within a cell type? While the regulation time-constants determine the direction in which the cell moves in conductance space, the target determines how far it travels along a trajectory before reaching equilibrium. Thus targets can determine the location of the conductance distribution as well as scaling activity. Figure 5 shows the steady-state activity of three example neuron types as target [Ca<sup>2+</sup>] is scaled. Below the traces are plots showing the regulation time-constants for each cell type. Typically, as the target is raised, spiking activity elevates because this corresponds to greater average Ca<sup>2+</sup> influx, as can be seen in the first two examples. In some cases, moving the target can also cause a qualitative change in activity as seen in the third example, which transitions from bursting to spiking as [Ca<sup>2+</sup>] target is increased. Thus the combined contributions of ion channel expression dynamics can be dissociated from activity set-points in neurons, but both have a role in determining electrical signaling.

#### A self-assembling motor circuit

If we can reliably specify cell types using this model, it should be possible to construct a self-assembling, homeostatically-regulated network whose activity depends on specific properties of the component cells (Golowasch et al., 1999b). The pyloric central pattern generating network of the crustacean STG consists of three distinct cell-type modules: a pacemaker complex and two follower cell types that fire in successive phases. Activity in this network always consists of a triphasic pattern of firing starting with the AB/PD complex and followed by the LP cell and then PY (Marder and Bucher, 2007). The synaptic connectivity is known (Figure 6A), and consists of slow K<sup>+</sup> permeable acetylcholine-dependent synapses as well as fast Cl<sup>-</sup> permeable glutamatergic synapses (Marder and Eisen, 1984). We reasoned that by finding approximate steady-state conductances and synaptic strengths that produced a triphasic pattern, we could then find expression time-constants for intrinsic conductances and synapses that would dynamically specify and maintain this network in its characteristic activity pattern.

After randomly searching conductance values in a single compartment model to find combinations that produced bursting pacemaker cell types, we hand-tuned an unregulated network to produce a qualitatively realistic triphasic rhythm. We then converted maximal conductances and synaptic conductances in the hand-tuned network to expression time-constants using equation (4). After hand-tuning the regulation time-constants further, we searched around an initial set of time-constants using a log-normal distribution to find those that reliably produced triphasic networks from random initial conductance distributions (Methods).

The network always starts in a non-functional state (Fig 6B, top). The membrane potential activity of the cells after the network has reached steady-state (Fig 6B, second from top) shows a regular triphasic rhythm. Furthermore, the network activity is robust to perturbations at steady-state, as exemplified by recovery from the addition of a hyperpolarizing leak conductance ( $0.02 \mu$ S,  $E_{rev} = -80 \text{ mV}$ ) that silences the PD/AB pacemaker cell (Fig 6B, third and fourth panels from top). Over multiple runs (n = 507) of this model, 99.6% produced stable triphasic rhythms. Of these, 93.5% recovered after the perturbation (which abolished rhythms in 99.2% of networks). Figure 6C shows the evolution of intrinsic and synaptic conductances in the example network of Figure 6B. Notably, the synaptic and intrinsic conductances in all cells respond to the perturbation in the PD/AB cell.

#### Activity-dependent regulation can be compensatory or pathological

Under what conditions does activity-dependent regulation compensate for mutation or pharmacological blockade? The conductances in the model neurons, as in biological neurons, overlap in some of their properties. Thus if certain

conductances are lost, others can be up or down-regulated to compensate. Figure 7A shows the steady-state behavior of a self-regulating bursting pacemaker neuron. Upon deletion of the lh conductance, the models become silent, leading to a decrease in average [Ca<sup>2+</sup>]. Following deletion, the integral control rule restores bursting activity by altering conductance expression to achieve target [Ca<sup>2+</sup>]. Similar outcomes are possible when the deletion has variable effects owing to variability in the cells produced by the model's initial conditions. Figure 7B shows two examples of deleting a slow Ca<sup>2+</sup> conductance in the same population of bursting neurons. In one example, the model increased in frequency; in the other, the model became silent. Again, average [Ca<sup>2+</sup>] encodes this increase or decrease in activity and the resulting conductance regulation restores bursting.

Can compensation lead to loss of function? The model assumes that neurons sense a gross physiological variable,  $[Ca^{2+}]$ , which cannot always distinguish specific activity patterns. Previous work identified this as a potential problem for regulation (Liu et al., 1998), but as we have shown here, it is nonetheless possible to use  $[Ca^{2+}]$  to generate and maintain specific electrical properties using differential ion channel expression rates. However, this model will fail to preserve neuronal properties if the relationship between electrophysiological properties and  $[Ca^{2+}]$  activity changes drastically. Such a change occurs in the example neuron in Figure 7C. Deletion of the transient  $Ca^{2+}$  conductance,  $g_{CaT}$ , silences the neuron, but following compensation to target  $[Ca^{2+}]$  the neuron no longer bursts and instead fires tonically. In this case, the deletion of  $g_{CaT}$  has resulted in changes in  $Ca^{2+}$  influx so that target  $[Ca^{2+}]$  occurs for a fundamentally different pattern of membrane potential activity.

In a different tonic spiking model, deletion of one of the two  $Ca^{2+}$  currents has distinct effects. In Figure 7D, deletion of the slow  $Ca^{2+}$  conductance,  $g_{CaS}$ , slightly alters the spiking frequency and this is compensated by regulation. However, deletion of  $g_{CaT}$  (Fig 7E) results in faster spiking and compensation to the [Ca<sup>2+</sup>] target instead renders the cell silent.

#### Switching regulation rates can preserve specific properties

The cell-type specific sets of regulation time-constants we have studied so far are all fixed and positive. This achieves growth from random initial conditions, but does not necessarily preserve all intrinsic properties when compensating for perturbations. Models with fixed positive regulation time-constants predict that all conductances will up or down-regulate in the same direction in response to a perturbation. This is known to be false once neurons have more mature and stable properties (Desai et al., 1999; O'Leary et al., 2010) which suggests that a regulation rule suitable for growth could switch to one that is more appropriate for maintenance of intrinsic properties in maturity.

We have previously shown (O'Leary et al., 2013) that regulation rules are robust to changes in sign as well as magnitude of the regulation rates of each conductance. A negative time-constant means the conductance is up/down-regulated in the opposite direction to those with positive time-constants as activity moves above or below target. We therefore examined whether regulation time-constants could be switched in sign and magnitude to preserve a specific intrinsic property once a cell type had reached its steady-state. We began with a set of regulation time-constants that encodes a bursting pacemaker cell (Fig 8A) with a characteristic burst period (mean  $\pm$  standard deviation = 123.1  $\pm$  1.7 ms, n = 100 runs). When perturbed by adding a hyperpolarizing leak conductance (0.02  $\mu$ S,  $E_{rev}$  = -80 mV) the resulting cells increased in burst period by 47% to 181.2  $\pm$  1.5 ms, n = 100 runs. We then searched sets of regulation time-constants (see Methods) to find a set of time-constants that could compensate burst period more accurately. After numerically searching over 38,400 sets of time-constants we found a set of time-constants that maintained burst period within 5% of the unperturbed value (128.8  $\pm$  3.9 ms, n = 100 runs) during the perturbation. An example run is shown in Figure 8B. Notably, three of the time-constants are negative (those regulating g<sub>CaT</sub>, g<sub>KA</sub> and g<sub>Kd</sub>) in the best 'mature' rate set. In summary, regulation time-constants that promote development of specific physiological properties can be switched to mature time-constants that preserve those properties during specific kinds of perturbation.

# Discussion

The proteins and other molecules that are found in neurons (or any other type of cell), are turned over continually and at any point in time exhibit variability in their quantity and structural relationships from cell to cell. In spite of this, and in spite of additional external perturbations, neurons must develop and maintain specific physiological properties. Otherwise the nervous system would be unable to learn, remember, process sensory information, produce movements, or perhaps function at all. Ion channels underlie all electrical activity in the brain and the relationship between ion channel expression and resulting activity is complex. We know from realistic biophysical models that sets of conductance parameters – which, in biological terms, represent the expression levels and enzymatic states of ion channels – can be wildly disparate and nevertheless give rise to highly specific physiological properties that are essential for a functioning nervous system (Bhalla and Bower, 1993; Golowasch et al., 2002; Marder and Goaillard, 2006; Prinz et al., 2003; Prinz et al., 2004; Taylor et al., 2009). Small changes in some conductances can lead to catastrophic changes in excitability, while others can change several-fold without any noticeable effect. This does not mean that the underlying parameters in biological systems are as disparate as they can be in principle; rather, it conveys the necessity of navigating this wider 'parameter space' in a robust way (Drion et al., 2012; Franci et al., 2013; Goldman et al., 2001; Hudson and Prinz, 2010; Olypher and Calabrese, 2007; Zhao and Golowasch, 2012).

Experiments show that neurons use activity-dependent feedback to regulate membrane conductances and receptors (Amendola et al., 2012; Baines et al., 2001; Brickley et al., 2001; Desai et al., 1999; Golowasch et al., 1999a; Mee et al., 2004; O'Leary et al., 2010; Turrigiano et al., 1994; Turrigiano et al., 1995). This allows ongoing perturbations or phenotypic variability in a cell population to be dynamically compensated. We showed how a regulatory scheme that captures the major events underlying ion channel expression gives rise to a simple, flexible and robust model of activity-dependent conductance regulation. The model we derived differs from previous models (Abbott and LeMasson, 1993; Golowasch et al., 1999b; LeMasson et al., 1993; Stemmler and Koch, 1999) in several important ways that shed insight into the biology of activity-dependent regulation. First, the origin of the 'activity set-point' is derived from biochemical principles in a way that depends on rates of enzymatic reactions. Second, the regulation mechanism is consistent with known biology. Third, the model shows biologically plausible levels of variability in the final conductance distributions without the conductances diverging or occasionally growing without bound. Fourth, the same model can be used to produce distinct cell types and only requires a single 'Ca<sup>2+</sup> sensor' to do so.

Biological neurons almost certainly possess more complex regulatory machinery than we have captured. However, this work shows how much can be done with minimal assumptions that are consistent with known biology. We thus view this model as a first approximation that can be refined rather than completely rewritten as experimental observations dictate.

#### **Model interpretation**

A technical message of this work is that a canonical model of channel expression can be interpreted as a well-known control law: the integral controller. Integral control has been suggested as a mechanism of neuronal homeostasis based on the available molecular machinery for integrating Ca<sup>2+</sup> signals in neurons (Davis, 2006; O'Leary and Wyllie, 2011). We showed in this work how activity-dependent transcription can be an instantiation of integral control. The essential component of integral control is a variable whose rate of change depends on error. In the model presented here, error is deviation in [Ca<sup>2+</sup>] from a specific value, resulting in a change in the equilibrium of a putative 'master regulator'. The rate of change of ion channel mRNA is proportional to this error; consequently, ion channel mRNA concentration can be interpreted as the 'accumulated error signal' with respect to an activity target. The identity of the master regulator could be a Ca<sup>2+</sup>-dependent transcription factor complex, or it could be a Ca<sup>2+</sup>-binding enzyme upstream of a set of

transcription factors. The biological counterpart of the [Ca<sup>2+</sup>] signal we consider is therefore a somatic or nuclear Ca<sup>2+</sup> signal.

The form of the model also placed a strong constraint on its biological implementation. If multiple, parallel integral control pathways using the same error signal exist within a cell, the 'targets' for each pathway need to agree, otherwise the continual (and deleterious) accumulation of the molecules that encode error (such as mRNAs) will occur. While in principle it is possible that multiple independent controllers are tuned so that their setpoints are equal, in biological reality slight deviations are unavoidable. Thus for integral control to work as a means of jointly regulating a set of conductances in a neuron, a 'master regulator' may be required.

Integral control exists as a regulatory mechanism in simple organisms such as bacteria, where it permits sensitivity to environmental chemical cues and robust chemotaxis (Alon et al., 1999; Yi et al., 2000). It is thus a plausible and testable hypothesis that neurons have developed integral control pathways to regulate membrane conductances. Integral control implies perfect compensation in the control variable (average [Ca<sup>2+</sup>] in our case). Conversely, in systems that can be locally linearly approximated, perfect compensation *implies* integral control (Yi et al., 2000). Therefore, an experimental test of one assumption of this model is whether a relevant physiological variable such as [Ca<sup>2+</sup>] is perfectly compensated over a range of perturbations. It is important that the perturbations do not exceed the capacity of the system to compensate, so a carefully controlled range of perturbations may be required along with precise monitoring of activity to do this test.

The biochemical framework also allows a straightforward interpretation of an 'activity target'. The nature of this target has been a source of speculation and even controversy since homeostatic regulation was first proposed (Maffei and Fontanini, 2009; Marder and Prinz, 2002). We showed that target [Ca<sup>2+</sup>] can be encoded by the rates of the underlying molecular mechanisms. Because these rates will ultimately depend on structural properties of enzymes, such as their affinity of binding to their substrates, the target can be reliably defined in a given cell or cell-type.

How literally should one interpret this model? The mechanisms involved in regulating neuronal conductances are the focus of ongoing research and have many intricate components that we have omitted. Transcriptional control is involved in ion channel regulation (Weston and Baines, 2007) and transcript editing, alternative splicing and RNA interference can occur at the early stages of the process (Lin et al., 2012; Seeburg and Hartner, 2003; Wang, 2013). Similarly, at the stage when functional channels are expressed in the plasma membrane, phosphorylation and auxiliary subunit interactions can alter the biophysical properties of channels (Lipscombe et al., 2013). We did not attempt to model the effects of all of such processes; instead we focus on the major events underlying channel expression that are encapsulated in the canonical model of gene expression: channel genes are activated, channel mRNA is transcribed and channel protein is produced from mRNA. This simplification can be thought of as averaging-out the contribution of more intricate processes, or as forming a backbone onto which the additional processes can be added. The task of refining the model will not be trivial; while we would expect the canonical model to hold across species and cell types, it would be surprising if more detailed models generalize without incorporating data that are specific to each experimental preparation.

#### **Model predictions**

This work makes three general predictions. First, it predicts linear correlated variability in ion channel expression and that the slopes of the pairwise correlations between two ion channel expression measures should correspond to the ratio of their expression rates. For example, if one were to measure the average mRNA expression rates of two ion channels that are known to show a positive linear correlation in single-cell quantitative PCR measurements, then the ratio of the expression rates should equal the measured correlation slope (for example, the K<sup>+</sup> channel genes *shaw* and

shab in LP cells of the crab STG – see Figs 4B-C). Measuring mRNA expression dynamics is challenging and has not, to our knowledge, been performed in single neurons, although tools that may permit such measurements are being developed. On the other hand, single-cell quantification of ion channel gene expression has been achieved in identified cell types and does indeed show correlations that are close to linear (Liss et al., 2001; Schulz et al., 2006; Schulz et al., 2007; Temporal et al., 2012; Tobin et al., 2009).

Second the model predicts that neurons do not necessarily perfectly compensate their electrical properties when perturbed, or when an ion channel type is knocked-out – even if average  $[Ca^{2+}]$  (or the relevant activity signal) is perfectly compensated over long timescales. This is illustrated in Figure 7, where we see that average  $[Ca^{2+}]$  is always compensated while the physiological behavior of the neuron can be compensated, partially compensated or can even show pathological changes in behavior caused by the regulatory mechanism. In non-pathological case the model works because the regulation signal,  $[Ca^{2+}]$ , distinguishes different regions of conductance space. However, this sensing mechanism is dependent on certain combinations of conductances being present together. For example, if the ratio of delayed-rectifier K<sup>+</sup> to fast Na<sup>+</sup> conductances is within a certain (possibly large) range, then (ignoring other conductances and assuming a source of Ca<sup>2+</sup> influx) low average  $[Ca^{2+}]$  will correspond to silent cells, while high average  $[Ca^{2+}]$  will only be achievable if the cell is firing tonically. Removal of one or more conductances overlap in their properties with other conductances, then removal may have only a subtle effect, or may have a substantial acute effect that can be compensated by the regulation mechanism.

This disconnect between nominally 'homeostatic' behavior in one variable and non-homeostatic behavior in the larger system has been suggested previously (O'Leary and Wyllie, 2011) and illustrates the need for a careful definition of what homeostasis means. The safest definition is that homeostasis is an emergent phenomenon and occurs because the components in biological systems (such as ion channels) are often regulated using feedback. Thus neurons can exhibit firing rate setpoints (Hengen et al., 2013) or even maintenance of a coordinated motor pattern (Fig 6) but this does not necessarily mean the system directly measures and maintains these specific properties. This point is perhaps underappreciated but important because it is difficult to assess experimentally which are the controlled features of a homeostatic process.

The third broad prediction of this work is that changes in the regulatory rule itself may be part of nervous system development. The sets of regulation rates that define cell types in the model bring each cell to a steady state from random initial conditions where the densities of all conductances are small relative to the background leak conductance. This is a reasonable model of the early stage of differentiation from a non-neuronal cell with a non-excitable (or weakly excitable) membrane (Moody and Bosma, 2005; Spitzer et al., 2002). To reach mature levels of conductance expression, all conductances need to increase initially. This coordinated increase is inherent in the model of cell types (Fig 4) and predicts that the appearance of each ion channel type above some detection threshold will show a cell type-specific ordering, in agreement with experimental observations in developing nervous systems (Baccaglini and Spitzer, 1977; Moody and Bosma, 2005; Spitzer, 1991).

However, other experiments in nominally mature systems have shown that conductances can change their expression in opposite directions in response to perturbations in activity (Desai et al., 1999; O'Leary et al., 2010). Furthermore, while one rule may be sufficient for establishing broad correlations in conductances, changes to the rule could fine-tune conductances so the cell can preserve specific properties more effectively. We explored this idea speculatively in Figure 8, where we showed that tighter control of specific properties entails a switch in the regulation rates. Importantly, the 'mature' regulation parameters are incapable of establishing mature properties when used exclusively. Moving to a biological interpretation, this idea incorporates the observation that in biological neurons, molecular switching events

alter the expression rates of different genes (including ion channels) early in development and that some developmental changes have strictly sequential critical periods.

#### Network homeostasis from cell-autonomous regulation

Although the regulation rules are local to each cell, i.e. 'cell autonomous', the network model in Figure 6 shows coordinated responses across the network in response to perturbation of only one cell. Thus when self-regulating cells are part of an interacting network, it is no longer sensible to label compensatory mechanisms as 'cell-autonomous' or 'cell non-autonomous' by solely observing responses within and across cells in the network.

The relative ease with which we constructed a self-regulating network is reassuring when we consider how biological nervous systems solve the analogous task. When systematically searched, the set of parameters that produces a triphasic CPG in a similar model is found to be extremely complex (Prinz et al., 2004). Biological systems, in spite of their computational complexity, need simple solutions to this kind of problem because these will be the most robust (Carlson and Doyle, 2002; Morohashi et al., 2002; Stelling et al., 2004). We have shown that finding functional parameters in a complex space and reliably assembling a circuit is relatively straightforward with a well-behaved and biologically realistic feedback control mechanism. The key ingredient to this ease is a kind of modularity: in isolation, cell types can grow and self-regulate. Self-regulation ensures that when cells are combined in networks, the resulting perturbations due to network activity are compensated such that the individual cells are able to retain their physiological function. The process of combining modular components would be impossibly fragile without some form of feedback control within the cells themselves, or as it is commonly known, homeostatic plasticity.

## **Figure legends**

## Figure 1: Integral control from the canonical model of gene expression

(A) A simple biochemical scheme for activity-dependent ion channel expression. Channel mRNAs are produced at a rate  $\alpha_m$  that depends on a Ca<sup>2+</sup>-activated factor, *T*, and degraded at rate  $\beta_m$ . Functional channel proteins are then produced at a rate  $\alpha_g$  from mRNAs and degraded at a rate  $\beta_g$ . (B) The scheme in (A) is equivalent to an integral controller. Error (deviation from [Ca<sup>2+</sup>] target, [Ca<sup>2+</sup>]<sub>tgt</sub>) is accumulated in the mRNA (*m*) concentration (shaded region) which causes a change in ion channel expression (*g*).

## Figure 2: Integral control implies a master regulator

A model cell with one inward and one outward leak conductance implements integral control to maintain a target  $[Ca^{2+}]$ .  $[Ca^{2+}]$  is a simplified monotonic function of membrane potential (Methods). Time is normalized to conductance expression rate,  $\tau_g$ . (A) A single master regulator, *T*, in the integral controller produces a stable model. (B) Two separate regulators  $T_1$ ,  $T_2$ , with non-equal targets. The arrows indicate unbounded increase in conductance.

## Figure 3: Regulation in a complex biophysical cell model.

(A) Time evolution of a self-regulating neuron implementing integral control for all of its seven voltage-dependent conductances (fast sodium,  $g_{Na}$ ; slow Ca<sup>2+</sup>,  $g_{CaS}$ ; transient Ca<sup>2+</sup>,  $g_{CaT}$ ; A-type/transient potassium,  $g_{KA}$ ; Ca<sup>2+</sup>-dependent potassium,  $g_{KCa}$ ; delayed-rectifier potassium,  $g_{Kd}$ ; hyperpolarization-activated mixed-cation,  $g_H$ ). A total of 20 independent runs are shown, mean in bold, axes are log-log and time is normalized to  $\tau_g$ . (Top) Membrane potential traces for an example neuron at the stages indicated. (B) Examples of steady-state behavior of the bursting pacemaker from six independent runs in (A). (C) Scatter plots of conductance distributions (bottom left) and intrinsic properties (top right) at steady-state of the 20 neurons from the independent runs in (A). Intrinsic properties are: intra-burst spike frequency (freq), burst duty cycle (dut cyc), slow-wave amplitude (amp), spike height (spike) and burst period (per).

## Figure 4: Specifying different cell types with the same model

(A) Example cell types produced from the same set of seven voltage-dependent conductances. Left-hand plots: log-log plots of conductance evolution over time. Each example has a different set of regulation time-constants for the conductances (methods). Total duration for all simulations is  $10 \times \tau_g$ . Right-hand plots: membrane potential traces with current injection traces shown below. FI (frequency vs current amplitude) plots are shown for the for the type I/II neurons (1-2). Current injection amplitudes for each example are: 100, 200, 500 pA (1-2); -200, -100, 100, 200 pA (3); - 500 pA (4-5). Time base for all membrane potential traces (from duration of current pulse): 500 ms. (B) Scatter plots of steady-state conductances in each cell type (1-5) shown in (A) after 20 independent runs. Straight lines are calculated from the ratio of regulation time-constants for each pair of conductances in each cell type, see equation (4) in the main text. (C) Experimental data reproduced from Schulz et al (2007) showing cell-type specific correlations in ion channel gene expression. Quantitative PCR was performed on ion channel mRNAs obtained from single identified cells in the crab STG (cell types shown are GM, IC, LG, LP and PD).

## Figure 5: Changing targets within cell-types

Each column shows 500 ms segments of steady-state membrane potential activity in a different self-regulating model at steady state with the [Ca<sup>2+</sup>] target (= 4 $\mu$ M) scaled. The regulation time-constants for each conductance are shown below, normalized to  $\tau_g$ .

## Figure 6: A self-assembling, self-regulating central pattern generating network

(A) Connectivity diagram of the model CPG, based on the synaptic connectivity of the pyloric network in the crustacean STG (PD/AB = pyloric dilator/anterior burster, LP = lateral pyloric cell, PY = pyloric cell). The PD/AB pacemaker kernel is modelled as a single cell. All synapses are inhibitory and graded; glutamate (Glu) synapses are instantaneous, acetylcholine (ACh) synapses are slow (activation time-constant = 50 ms). (B) Top: example membrane potential traces for random initial conductances. Second from top: example steady-state behavior of the model. The triphasic order (PD, LP, PY) is highlighted with shaded boxes. Third from top: perturbation of network activity by addition of hyperpolarizing (reversal potential = -80 mV) conductance to PD. Bottom: steady-state recovery of the network with hyperpolarizing conductance still present. All traces = 1s. (C) Example time evolution of intrinsic and synaptic conductances in a self-regulating pyloric network model for a single run. Onset of the PD/AB perturbation is indicated by the vertical line. Insets show detail of the conductance dynamics on a linear timescale.

## Figure 7: Outcome of homeostatic compensation after channel deletion depends on cell and channel type

Membrane potential activity for a self-regulating bursting (A-C) and tonic (D-E) pacemaker models in which specific conductances are deleted. The first column ('wildtype') shows model behavior at steady state with all conductances present. Acute deletion of the indicated conductance produces the behavior shown in the middle column ('acute KO'). Following conductance deletion, each model is allowed to reach steady-state (third column, 'compensated KO').

## Figure 8: Switching regulation rates in the same cell can preserve specific properties

(A) Conductance regulation in a bursting pacemaker neuron. Membrane potential traces (500 ms duration) are shown at steady-state, at the onset of a perturbation (hyperpolarizing leak) and at steady-state following perturbation. Arrowheads above the rightmost trace indicate burst onset times of the unperturbed neuron, aligned to the first burst.
(B) Evolution of the same model as (A), but with regulation rule switched prior to the onset of the perturbation. Regulation time-constants following the switch were chosen to preserve burst duration (see Methods). Arrowheads as in (A). Membrane potential trace durations: 500 ms.

### Methods

Single-compartment Hodgkin-Huxley models were used for all neuron models. The membrane potential, V, of a cell containing N conductances and membrane capacitance, C, is given by:

$$C\frac{dV}{dt} = \sum_{i=1}^{N} \bar{g}_i m_i^{p_i} h_i^{q_i} (V - E_i)$$

 $\bar{g}_i$  is maximal conductance,  $p_i$  and  $q_i$  are the number of 'gates' in each conductance, and  $E_i$  is the reversal potential. m and h are the activation and inactivation variables. All models have unit capacitance (1 nF); maximal conductance values in the manuscript are therefore equivalent to conductances densities in units of  $\mu$ S/nF. The kinetic equations describing the 7 voltage-gated conductances are taken from experimentally measured currents in isolated crab STG neurons, as described previously (Liu et al., 1998).

Numerical integration was performed using the exponential Euler method with a fixed timestep of 0.1 ms. Maximal conductances in all models were regulated using the integral control equations:

$$\tau_g \dot{g}_i = m_i - g_i$$
  
$$\tau_i \dot{m}_i = [\text{Ca}^{2+}] - \text{Ca}_{\text{tgt}}$$

To avoid negative conductances, variables were bounded at 0; however, this condition was not required for the models presented. The parameters for each neuron type were found by first identifying steady-state conductance densities that give a desired behavior. This was aided by a random search of conductance space ( $2 \times 10^6$  models) to find combinations of conductances that produced bursting, tonic spiking or neurons that responded to injected current. The resulting conductance ratios were then scaled to give regulation time-constants that were modified by hand where necessary to tune behavior. Regulation parameters and initial conditions for all models are provided in Table S1. Additional simulation details and an example biochemical scheme that implements integral control are in Supplemental Methods.

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