

1 **Approaching rice domestication in South Asia: new evidence from**
2 **Indus settlements in northern India**

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13

14 **Abstract**

15 The nature and timing of rice domestication and the development of rice cultivation in South
16 Asia is much debated. In northern South Asia there is presently a significant gap (*c.*4200
17 years) between earliest evidence for the exploitation of wild rice (Lahuradewa *c.*6000 BC)
18 and earliest dated evidence for the utilisation of fully domesticated rice (Mahagara *c.*1800
19 BC). The Indus Civilisation (*c.*3000-1500 BC) developed and declined during the intervening
20 period, and there has been debate about whether rice was adopted and exploited by Indus
21 populations during this ‘gap’. This paper presents new analysis of spikelet bases and weeds
22 collected from three Indus Civilisation settlements in north-west India, which provide insight
23 into the way that rice was exploited. This analysis suggests that starting in the period before
24 the Indus urban phase (Early Harappan) and continuing through the urban (Mature
25 Harappan/Harappan), post-urban (Late Harappan) and on into the post-Indus Painted Grey
26 Ware (PGW) period, there was a progressive increase in the proportion of domesticated-type
27 spikelet bases and a decrease in wild-types. This pattern fits with a model of the slow
28 development of rice exploitation from wild foraging to agriculture involving full cultivation.
29 Importantly, the accompanying weeds show no increased proportions of wetland species
30 during this period. Instead a mix of wetland and dryland species was identified, and although
31 these data are preliminary, they suggest that the development of an independent rice tradition
32 may have been intertwined with the practices of the eastern most Indus peoples. These data
33 also suggest that when fully domesticated *Oryza sativa* ssp. *japonica* was introduced around
34 2000 BC, it arrived in an area that was already familiar with domesticated rice cultivation and
35 a range of cultivation techniques.

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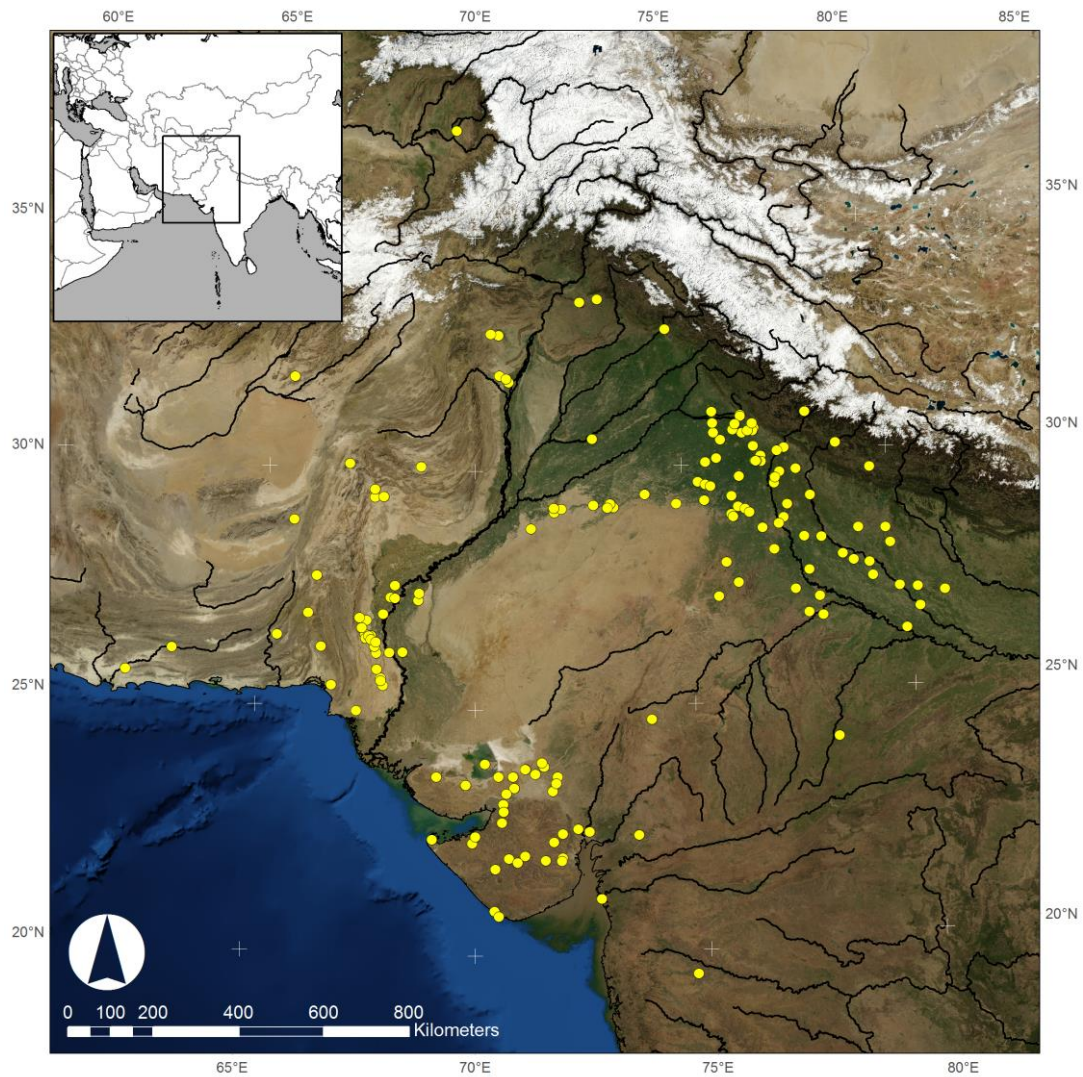
37 **Keywords**

38 Rice (*Oryza sativa*); Indus Civilisation; South Asia; macrobotanical analysis; cultivation
39 systems

40 **I. Introduction**

41 Since the rediscovery of South Asia's Indus Civilisation (c.3000-1500 BC) (Figure 1, Table
42 1) in the early 1900s, the nature of the agricultural practices used by Indus populations has
43 been a source of speculation (e.g. Mackay 1931; Wheeler 1953; Fairservis 1961, 1967). In
44 particular, the role of rice in Indus agriculture has been a continuing source of debate, which
45 is at least partly due to its long and complex history of exploitation in the subcontinent (see
46 Fuller *et al.* 2010). This paper contributes new evidence to the Indus rice debate by
47 presenting an analysis of archaeobotanical data collected from three settlement sites in the
48 most easterly part of the area occupied by Indus populations. First it will outline the history
49 of rice in South Asia, and it will then review how the Indus Civilisation fits into this debate,
50 before presenting the new evidence and then assessing its significance.

51



52

53 *Figure 1: Map showing the distribution of excavated sites belonging to the Indus Civilisation and Painted Grey Ware*
54 *periods, based on published data as of date of paper submission. Data obtained from in Indian Archaeology, a Review and*
55 *Possehl (1999). For more analysis see Bates (forthcoming).*

56
57

Table 1: Periodisation of the Indus Civilisation (after Possehl, 2002b:29).

Stage	Dates
Early Harappan	3200-2600 BC
Early-Mature Harappan Transition	2600-2500 BC
Mature Harappan	2500-1900 BC
Late Harappan	1900-1300 BC
Painted Grey Ware (PGW) (early Iron Age)	1300-500 BC

58

59 II. Background

60 II.1. Rice Domestication and South Asia

61 Modern domesticated rice, *Oryza sativa*, has a complex history as it is the product of
62 repeated instances of hybridization. Current genetic evidence suggests that it developed from
63 the hybridization of two other domesticated forms: *O.sativa* ssp. *japonica*, which is a Chinese
64 rice domesticated from wild *O.rufipogon* around 4000 BC (Fuller and Weisskopf 2011), and
65 *O.sativa* ssp.*indica*, which is a domesticated version of the South Asian *O.sativa* ssp. *nivara*
66 (Fuller et al. 2010). Based on this evidence, Fuller (2005, 2006, 2011) has suggested that
67 *O.sativa* ssp. *indica* may have been domesticated many times, including during what he has
68 referred to as a ‘proto-indica’ phase of cultivation (Fuller 2011). Using a combination of
69 genetics, the modern distribution of wild rice species, and archaeological evidence, Fuller
70 (2002, 2005, 2006, 2011; Fuller and Weisskopf 2011) has also suggested that one of these
71 domestication events may potentially have taken place in the Ganges region of India.

72 Fuller and Madella (2002) have, however, long argued that the archaeological evidence
73 for rice exploitation in South Asia is patchy and often inconclusive. Based on what is
74 available, Fuller (2011: 82) has proposed that the “independent rice tradition in north India
75 [...] never [...] proceeded on its own to full domestication” until the arrival of *O.sativa* ssp.
76 *japonica* c.2000 BC. The earliest evidence for rice cultivation in South Asia comes from the
77 site of Lahuradewa, which is situated in the Middle Ganges plains in north India. Tewari et
78 al. (2008) have recovered charred rice grains from the site that have been radio-carbon dated
79 to 6409 BC (8359 cal BP) (Tewari et al., 2008: 350), and based on grain length, width and
80 thickness ratios they have suggested that the rice was a domesticated variety. Fuller et al.
81 (2010) have, however, noted that the morphometrics for these grains from Lahuradewa
82 overlap significantly with those of wild grains, and have therefore argued that Lahuradewa
83 could instead represent the beginnings of a long history of cultivation of wild rice that

84 continues throughout the sites occupation. Other sites such as Balu, Banawali and Kunal
85 (Saraswat and Pokharia 2000, 2002, 2003) provide evidence of rice that is poorly dated but
86 roughly place its use within the third millennium BC (see below) while wild rice was also
87 noted at Senubar 2 in the Middle Ganges (Saraswat 2005). Until recently the earliest
88 evidence for domesticated rice based on spikelet base evidence was from the site of
89 Mahagara in the same region, c.1800-1600 BC. However, as Fuller *et al.* (2010) have
90 remarked, this attestation is representative of the end of the process of domestication, and is
91 likely to date close to the point when there was a hybridisation between *O.sativa* ssp.
92 *indica/O.nivara* and *O.sativa* ssp. *japonica*.

93 The presence of rice at sites like Kunal, Balu, Banawali and Harappa (Saraswat and
94 Pokharia 2000, 2002, 2003; Weber, 2003) has led scholars to question the role of the Indus
95 Civilisation in the development of rice cultivation systems and even in rice domestication
96 (e.g. Fuller and Madella 2002; Fuller 2011). Evidence for rice in northern South Asia in the
97 period between the first exploitation of rice (whether wild or domesticated) at Lahuradewa
98 and the later appearance of clearly domesticated agriculturally grown rice at sites like
99 Mahagara has been eagerly sought, and it has been suggested that Indus Civilisation
100 settlements could provide it (e.g. Fuller 2002, 2006, 2011). The next section will explore how
101 these debates have evolved.

102

103 *II.2. Rice exploitation by Indus Civilisation populations*

104 Indus Civilisation populations inhabited the north-west of South Asia between c.3000-
105 1500 BC, and although settlements were primarily distributed in the Indus and Punjab
106 drainage basin, Indus populations also occupied parts of the Yanuma-Ganges doab (Figure 1),
107 where theoretically they could have come in contact with, and adopted, rice from the
108 Gangetic region (Fuller and Madella 2002).

109 Arguments for and against the use of rice by Indus populations began when impressions
110 of rice grains were observed in pottery from Indus settlement sites in Gujarat and Rajasthan
111 (e.g. Ghosh and Lal 1963; Vishnu-Mittre and Savithri 1975). Evidence of rice grains has also
112 been recovered from several sites in northwest India (e.g. Early Harappan Kunal, Saraswat and
113 Pokharia 2003; Early Harappan Balu, Saraswat and Pokharia 2002; Mature Harappan
114 Banawali, Saraswat and Pokharia, 2000), but these attestations have not been securely dated,
115 and the chronology presented in the reports is opaque. Evidence of rice phytoliths from
116 Harappa was presented by Fujiwara *et al.* (1992) who tentatively dated some of their samples
117 to the Mature Harappan period, confirmed by Madella (2003) in contexts c.2200BC, although

118 the only macrobotanical evidence for rice grains from the site places it in the Late Harappan
119 period (Weber, 1997, 2003). As such Possehl (1999: 246) has argued that there is no evidence
120 for rice cultivation before the Mature Harappan period (i.e. pre-c.2500 BC). Fuller and
121 Madella (2002: 336-7) have argued that “rice was *available* as a crop [...] but not adopted”
122 and “there is no reason as yet to believe it was an important crop”, while Fuller and Qin
123 (2009) have argued that there is no evidence of rice agriculture until the Late Harappan
124 period c.2000 BC, when it is likely *O.sativa* ssp. *japonica* arrived. More recently Madella
125 (2014: 230) has considered whether the role of rice changed over time from a secondary crop
126 in the late Mature Harappan to become a staple crop either in the Late Harappan periods or
127 the Early Historic periods. He suggested that rice may have been a secondary but sought after
128 product by Indus Civilisation peoples, explaining its appearance at Harappa, outside its
129 natural habitat and in only small quantities. Madella (2014: 230) also argued that rice only
130 became a staple when its status as a rare crop was lost as superior strains were introduced
131 c.1900BC, and as diversification in agricultural strategies occurred during the Late Harappan
132 period and into post-Harappan periods.

133 Three major issues arise from these interpretations. Firstly, there has been a consistent
134 lack of systematic archaeobotanical sampling from Indus sites and many of the rice remains
135 recovered have been of the larger and more obvious grains (Bates 2015). Secondly, models
136 that differentiate wild gathering, semi-domesticated or wild cultivation, and domesticated
137 agriculture have been developed without an assessment of the spikelet bases at Indus
138 settlements to ascertain how the numerical proportions of wild and domesticated varieties
139 changed over time. Furthermore, the dating of rice use at Indus Civilisation settlements
140 remains problematic (Petrie *et al.*, in press a).

141 A lack of systematic archaeobotanical sampling has long bedevilled South Asian
142 archaeology, and the evidence from Indus sites has typically been presented as
143 presence/absence data with little indication of how crop seed grains were recovered.
144 Furthermore, although it has long been argued that grains alone are not suitable for analysis
145 of domestication (Thompson 1996; Harvey 2006; Fuller and Weisskopf 2011),
146 archaeobotanical publications for South Asian sites typically only discuss grains, and neglect
147 to consider weeds and crop processing residues.

148 There have been several attempts to differentiate wild and domesticated rice in South
149 Asia. Harvey (2006) conducted studies comparing the length : width : thickness ratios of rice
150 reference and archaeological material and concluded that there was too much overlap in the
151 morphometrics of wild and domesticated species, in particular between the wild *O.nivara* and

152 *O.rufipogon*, and between *O.nivara* and its domesticated form, *O.sativa* ssp. *indica*. Recently
153 Castillo *et al.* (2015) have re-evaluated the use of grain morphometrics to distinguish
154 domestication in rice, and have suggested that some distinction can be made between *O.*
155 *sativa* ssp. *indica* and *japonica*, but they also note that no distinction can be made between
156 wild and domesticated rice grains using this method. In contrast, spikelet bases have been
157 observed to change morphologically during the domestication process, due to changes in seed
158 dispersal mechanisms (Thompson 1996). Wild spikelet bases have smooth scars as the rachis
159 shatters to allow for seed dispersal, while domesticated spikelet base scars are rough, because
160 the rachis is non-shattering (Harvey 2006; Thompson 1996). Spikelet bases are far smaller
161 than grains, and are often not visible to the naked eye in soil, so they are likely to have been
162 missed at sites where only hand-collecting of remains has been carried out. Analysis of the
163 smaller fractions of floated samples is necessary for gathering such data, but this approach is
164 not often carried out in South Asian excavations (Harvey 2006).

165 The complexities of this situation are compounded by the fact that the dating of Indus
166 rice in particular remains vexed. Although rice grains have been noted from the Early and
167 Mature Harappan site of Balu (Saraswat and Pokharia, 2002; Saraswat, 2002), the contexts
168 from which these grains come is unclear, and the date of rice use is difficult to ascertain. For
169 example, the Early and Mature Harappan occupation at Balu has been given the date range of
170 2300-1700 BC (Saraswat and Pokharia, 2002; Saraswat, 2002), which spans both the Mature
171 and Late Harappan periods (Petrie *et al.*, in press a). The presence of rice has also been noted
172 at Kunal (Saraswat and Pokharia, 2003), but the lack of clear contextual information again
173 makes assessing the precise date of its use difficult to ascertain (Petrie *et al.*, in press a).

174 In addition to these issues, the date and impact of the shift to wetland rice cropping has
175 also been debated. For example, Coningham (1995: 66-67) has hypothesised that during the
176 post-Indus period there were changes in the methods of growing crops, particularly rice, with
177 a shift from dry to wet land rice. He speculated that with wetland rice exploitation there
178 might have been an increase in yield (kg per acre), which could have supported the rise of
179 even larger urban centres than seen in the preceding Indus Civilisation period (Coningham
180 1995: 66-67). This argument was based on the presumed preference for different ecologies of
181 the two main rice crops, as both the wild *nivara* and domesticated *indica* grow in drier
182 conditions than *rufipogon* or *japonica*. However, Fuller and Qin (2009) have noted that all
183 rice species prefer wetter conditions, and can be exploited in a wide range of conditions.
184 They have instead argued that hybridization did not necessarily have to lead to a sudden shift
185 in cropping system towards wetland irrigated rice, and that a more mixed strategy may have

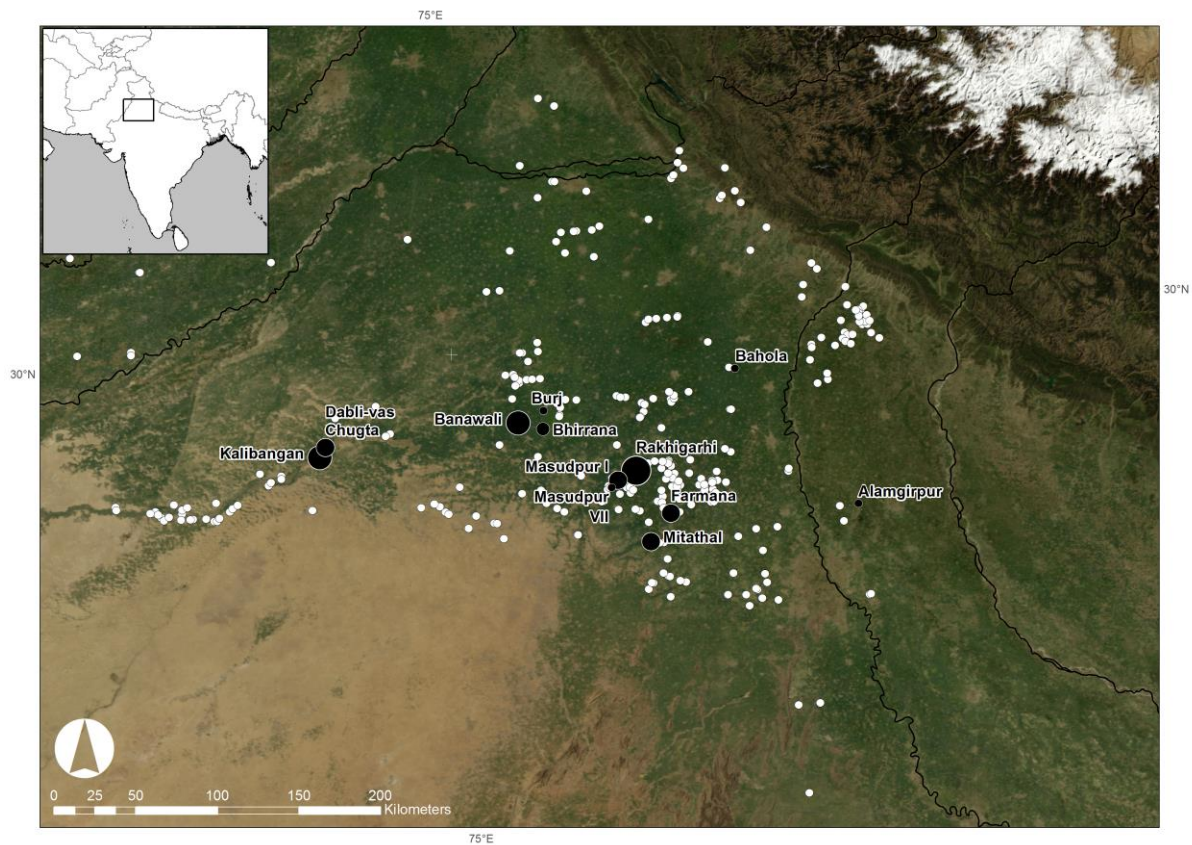
186 been seen, with a range of wet and dry cropping exploited as it is today in some areas of South
187 Asia (Fuller and Qin 2009). Exploring when wetland rice was introduced and the impact it
188 had is, however, important as wetland systems do increase yield as noted by Coningham
189 (1995). In order to identify this transition, the weed flora must be considered, but it is often
190 not reported in detail in archaeobotanical studies (Fuller and Qin, 2009). In the absence of
191 weed data, Fuller and Qin (2009: 104) relied on the percentage-presence of wet and dry weed
192 taxa from several sites across northern India from the Neolithic to Early Historic periods, and
193 suggested that an increase in the amount of wetland species and a decrease in the presence of
194 dryland species is evident, with only dryland species disappearing over time. However, their
195 study does not take into account the role of the Indus Civilisation in this process. Given the
196 new finds of securely dated rice grains (Petrie *et al.* in press a) and the associated spikelet
197 bases reported in this study, the Indus Civilisation becomes an important part of the picture of
198 rice cultivation strategies in the subcontinent.

199 Our understanding of rice exploitation by Indus populations and the development of
200 rice agriculture during this period in South Asia thus remains patchy and poorly understood,
201 as highlighted by Madella and Fuller in 2002. This paper will attempt to fill some of these
202 gaps and consider how rice exploitation may have developed over time in north-western
203 South Asia. To do this, it will present new archaeobotanical data from settlement sites in
204 northwest India, which lies in the north-east of the Indus region.

205

206 **III. New Excavations at Indus Settlements on the Plains of north-west India**

207 Recent excavations in north-west India by the *Land, Water and Settlement* project have
208 yielded rice grains and spikelet bases from systematically collected flotation samples from
209 three Indus settlements. *Land, Water and Settlement* is a collaborative project between the
210 University of Cambridge and Banaras Hindu University that is operating with the support of
211 the Archaeological Survey of India, and is co-directed by C.A. Petrie and R.N. Singh, and
212 since 2008 the project has conducted surveys and excavated six Indus period village
213 settlements in Rajasthan, Haryana and Uttar Pradesh (Singh *et al.* 2008, 2010a, 2010b, 2011,
214 2012a, 2012b, 2013a, 2013b; Petrie *et al.* 2009, in press a, in press b; also Pawar 2012)
215 (Figure 2). As part of the *Land, Water and Settlement* environmental sampling programme,
216 soil samples were floated using a bucket flotation system and a 500 micron mesh. These
217 samples from three of the sites have produced significant quantities of rice spikelet bases:
218 Masudpur VII (Early-Mature-Late Harappan), Masudpur I (Mature Harappan) and Bahola
219 (Late Harappan-PGW).



221

222 *Figure 2: Six sites (Dabli vas Chugta, Burj, Masudpur VII and I, Bahola, and Alamgirpur) excavated by the Land, Water,*
 223 *Settlement Project and their spatial relationship to other Indus sites. (Source: Petrie, pers. com.).*

224

225 Masudpur VII (known locally as Bhimwada Jodha) is a 1-hectare “small village” site in
 226 Hissar District, Haryana (Petrie *et al.* 2009: 45), situated within 15 km of the Indus city of
 227 Rakhigarhi. Two trenches were excavated – YB2 and YB1 – and a range of local and non-
 228 local artefacts were found, including a gold bead and a lapis bead (Petrie *et al.* 2009).
 229 Radiocarbon dating and the associated ceramic material suggested this site was established in
 230 the Early Harappan period, occupied during the earlier parts of the Mature Harappan, and
 231 also during the Late Harappan period (Petrie *et al.* in press a).

232 Masudpur I (known locally as Sampolia Khera) is a 6-hectare “large village” site also
 233 in Hissar (Petrie *et al.* 2009: 39), which is situated approximately 12 km from Rakhigarhi.
 234 Three trenches were excavated – XA1, YA3, XM2 – and a wide range of cultural material
 235 was found including several beads made of non-local materials like carnelian and faience
 236 (Petrie *et al.* 2009). Radiocarbon dates from the trenches and the associated ceramic material
 237 indicate that the site was occupied in the middle and later parts of the Mature Harappan
 238 period (Petrie *et al.* in press a).

239 Bahola is a 1-2 hectare “small village” site in Karnal district with Late Harappan, PGW
240 and Early Historic occupation (Singh *et al.* 2013a: 7). One sounding trench – AB1 – and a
241 section cleaning – YK3 – were excavated, but only material from AB1 was collected for
242 flotation. As at Masudpur I and VII, local and non-local artefacts were found including agate
243 and faience objects (Singh *et al.* 2013a). Radiocarbon dating has not yet been carried out on
244 material from Bahola, but flotation was carried out on soil samples taken from a range of
245 context types.

246 Rice (*Oryza* sp.), several varieties of millet (*Echinochloa* cf. *colona*, *Setaria* cf. *pumila*
247 and *Panicum* sp.) and a range of tropical (also called *kharif* or summer) pulses (*Vigna mungo*,
248 *Vigna radiata*, *Vigna unguiculata*, *Macrotyloma uniflorum*) were found alongside barley
249 (*Hordeum vulgare*), wheat (*Triticum* sp.) and *rabi* (winter) pulses (*Lens* cf. *culinaris*, *Pisum*
250 sp., *Cicer* sp., *Lathyrus* sp.) at all three sites (Bates 2015; Petrie *et al.* in press a). Rice
251 spikelet bases were also recovered from a range of contexts at both sites (Bates 2015),
252 including deposits that have been dated to Early Harappan, Mature Harappan, Late Harappan
253 and PGW periods on the basis of relative comparanda (Petrie *et al.* 2009, in press a; Singh *et*
254 *al.* 2012a, 2013a). Following the discovery of rice grains at these site, a programme of
255 directly dating rice grains was carried out as part of a wider programme of dating the use of
256 summer crops at Masudpur I and VII (Petrie *et al.*, in press a). These dates demonstrates that
257 rice was being exploited in both Mature and Late Harappan periods, and the recovery of rice
258 grains and spikelet bases from stratigraphically earlier contexts that were direct dating
259 through dates on other crop species shows that rice was also used as early as the Early
260 Harappan period (Petrie *et al.*, in press a).

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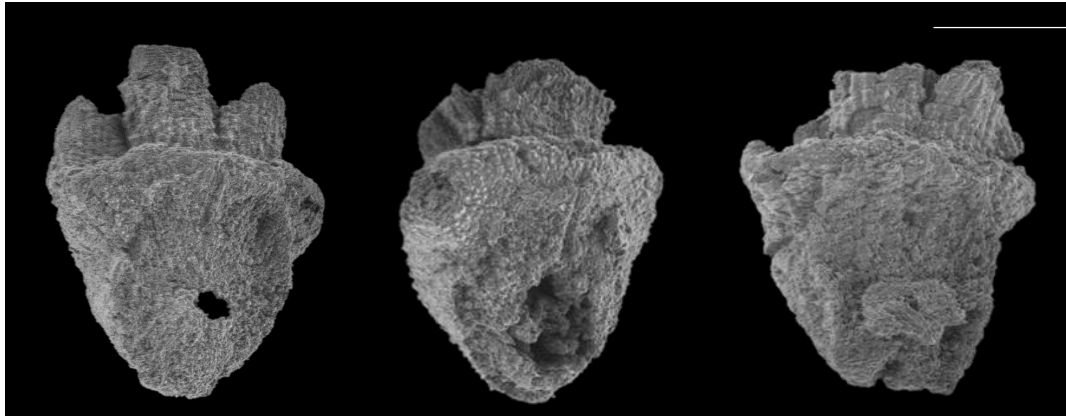
262 **IV. Analytical Methodology**

263 *IV.1. Spikelet Bases*

264 Following their identification, the spikelet bases were separated into wild, domesticated
265 and immature types based on their abscission scars. Following Thompson (1996), Harvey
266 (2006) and Fuller and Qin (2009), the criteria for categorising the spikelet bases were as
267 follows (see Figure 3):

- 268 • Wild – shallow circular indented abscission scar with smooth edges and a circular pit
- 269 • Domesticated – reniform indented scar with ragged edges and an upstanding stump of
270 tissue or a sub-circular pit

- 271 • Immature – out-jutting scar (Fuller and Qin 2009, Fuller *et al.* 2010; note that it is
272 important to distinguish between mature wild/domesticated and immature grains as
273 during the process of domestication the proportion of immature rice collected should
274 decrease as grain maturation time narrows and becomes more even across the crop)
- 275 • Uncertain – any spikelet bases where the abscission scar had been damaged were
276 categorised as uncertain.
- 277



278
279 *Figure 3: SEM images of rice spikelet bases. (Left) wild type with indented, smooth scar, (Middle) domesticated type with*
280 *indented ragged scar, (Right) immature type with out-jutting ragged scar. Line at top right shows 500micron scale. Images*
281 *J.Bates.*

282

283 Fuller and Weisskopf (2011) have outlined a simple model for identifying the
284 domestication process of rice, which is applied here. They argued that in a wild rice harvest
285 only wild and immature types will be collected. During periods of cultivation of wild stands,
286 domestication can occur slowly, and the proportion of domestic types increases while the
287 proportion of wild and immature spikelet bases decreases, until finally domesticated types
288 dominate the assemblage, which suggests cultivation of a fully domesticated crop. Fuller and
289 Weisskopf (2011) equated such fully domesticated crops with “agriculture”, and suggest that
290 wild types will persist in a fully domesticated crop as weeds, comprising up to 20% of the
291 spikelet base assemblage (Fuller and Weisskopf 2011). This model has been applied to
292 Chinese sites (Fuller *et al.* 2009) and Chinese and Thai rice samples (Fuller *et al.* 2010), and
293 the authors have argued that no absolute proportions for ‘a wild harvest’ or ‘a domesticated
294 crop’ should be assigned, as the development of any agricultural system is a gradual process,
295 not a series of events.

296 For the analysis presented here, the data has been assessed for evidence of gradual
297 change over time rather than looking to assign a ‘stage of development’ (*cf.* Fuller and
298 Weisskopf 2011). Fuller *et al.* (2009) were able to apply ANOVA tests to assemblages from

299 China to explore the statistical significance of change over time, but the archaeobotanical
300 remains available from the three *Land, Water and Settlement* sites were not as abundant, so
301 this approach has not been attempted here. Instead simple percentages was used to
302 quantitatively compare the sites, following the less complex initial phases of analysis carried
303 out by Fuller *et al.* (2009).

304

305 VI.2. Weeds

306 In addition to spikelet bases, Fuller and Qin (2009) have also used weed assemblages to
307 explore how rice was cultivated. Following Fuller and Qin (2009: 104), the ubiquity of
308 wetland and dryland weed species are here compared by period at each site to explore
309 whether the hypothesised shift from dryland cropping to wetland or irrigated cropping could
310 be seen across the Early Harappan to PGW periods. Species have been grouped into
311 wetland/irrigated and dry/upland following Moody (1989), and have been plotted by period
312 for each site where rice grains were found in the macrobotanical samples.

313

314 V. Results

315 V.1. Spikelet Bases

316 Masupdur VII

317 A total of 25 contexts from Masupdur VII contained macrobotanical remains: 10 Early
318 Harappan, 12 Mature Harappan and three Late Harappan. *Oryza* sp. grains were found in
319 Early and Late Harappan contexts, and increased in ubiquity and density in the Late
320 Harappan period. Rice was absent macroscopically from the Mature Harappan contexts, but
321 spikelet bases were found in Early, Mature and Late Harappan contexts. As well as rice, a
322 mixture of other summer and winter crops were found, including wheat, barley, small native
323 millets (*Echinochloa colona* and *Setaria* cf. *pumila*) and winter and summer pulses (Bates
324 2015; Petrie *et al.* in press a).

325 Spikelet bases were recovered in only three contexts – one Early Harappan, one Mature
326 Harappan and one Late Harappan. The Early Harappan context presented only one spikelet
327 base and was therefore not included in the analysis. The Mature and Late Harappan contexts,
328 however, each had numerous spikelet bases, which were differentiated using the
329 methodology outlined above, and these are shown in Table 2.

330

331 *Table 2: Number of spikelet bases per 20l sediment and as a proportion of spikelet bases from Mature and Late Harappan*
332 *contexts at Masupdur VII.*

<i>Rice Spikelet Base Type</i>	<i>Context</i> 514	<i>Mature</i> <i>Harappan</i> (%)	<i>Context</i> 515	<i>Late</i> <i>Harappan</i> (%)
Wild	135	75.84%	0	0%
Domesticated	17	9.55%	2	28.57%
Immature	26	14.61%	3	42.86%
Uncertain	0	0%	2	28.57%

333

334 Converting these densities into percentages (Table 2), it is clear that in the Mature
335 Harappan context, wild types were the most predominant form, comprising *c.*76% of the
336 spikelet bases, whereas in the Late Harappan context wild forms were not present at all.
337 Instead the percentage of domesticated and immature increased compared with the previous
338 period.

339

340 **Masupdur I**

341 A total of 29 contexts from Masudpur I contained macrobotanical remains, all from the
342 Mature Harappan period (Bates 2015; Petrie *et al.* in press a). Rice grains were found in over
343 half of the contexts, and formed a large proportion of the crop assemblage. Small native
344 millets (*Echinochloa colona*, *Setaria cf. pumila*) and barley also appeared with similar
345 frequency and in large proportions as part of a mixture of winter and summer crops.

346 Spikelet bases were found in nine contexts, though three of these contained only one
347 spikelet each so were not included in the analysis. The contexts examined and the types of
348 spikelet bases identified are shown in Table 3.

349

350 *Table 3: Number of spikelet bases per 20l sediment and as a proportion of spikelet bases from Mature Harappan contexts at*
351 *Masudpur I.*

<i>Rice Spikelet Base</i> <i>Type</i>	<i>Context</i> 310	<i>Context</i> 314	<i>Context</i> 317	<i>Context</i> 319	<i>Context</i> 321	<i>Context</i> 323	<i>Mature</i> <i>Harappan</i> (%)
Wild	0.5	0	1	29.5	4	118	39.46%
Domesticated	0.75	1.5	0.5	23.5	0.5	12	9.99%
Immature	0	0.5	0	4.5	0	12.5	4.51%
Uncertain	1	4	0	19.5	2.5	151.5	46.03%

352

353 After converting these densities into an average percentages of the spikelet base assemblage
354 for the Mature Harappan period (Table 3), it is evident that there were proportionately more

355 wild than domesticated types, but there was also a large portion of unidentifiable examples
 356 which may have skewed the data.

357

358 **Bahola**

359 A total of 30 contexts from Bahola contained macrobotanical remains: ten Late
 360 Harappan and 20 PGW (Bates 2015). Rice grains appeared in 50% of Late Harappan contexts
 361 and 60% of PGW contexts, and together with *Echinochloa colona* were the most commonly
 362 found cereals. Unlike the two Masudpur sites, Bahola displayed a dominance of summer
 363 crops, although some winter crops like barley were still present in smaller quantities. Spikelet
 364 bases appeared in 13 contexts in total. However, the four PGW contexts contained few
 365 spikelet bases so they have been excluded from this analysis, and of the 9 Late Harappan
 366 contexts, three contained only one spikelet each and were therefore not included. The data
 367 from the remaining six contexts is shown in Table 4.

368

369 *Table 4: Number of spikelet bases per 20l sediment and as a proportion of spikelet bases from Late Harappan contexts at*
 370 *Bahola.*

<i>Rice Spikelet Base Type</i>	<i>Context 125</i>	<i>Context 125b</i>	<i>Context 126</i>	<i>Context 131</i>	<i>Context 137</i>	<i>Context 141</i>	<i>Late Harappan (%)</i>
Wild	0	2.22	0	0	0	0	6.92%
Domesticated	1.33	2.22	0	2.67	2.4	2	33.15%
Immature	0	0	0	0	0	0	0%
Uncertain	1.33	11.11	3	1.33	2.4	0	59.93%

371

372 After converting these figures into an average for the Late Harappan period (Table 4), it
 373 can be seen that while there was a lot of uncertain material, the proportion of domesticated
 374 spikelets was greater than those of the wild spikelets, and no immature spikelet bases were
 375 identified.

376

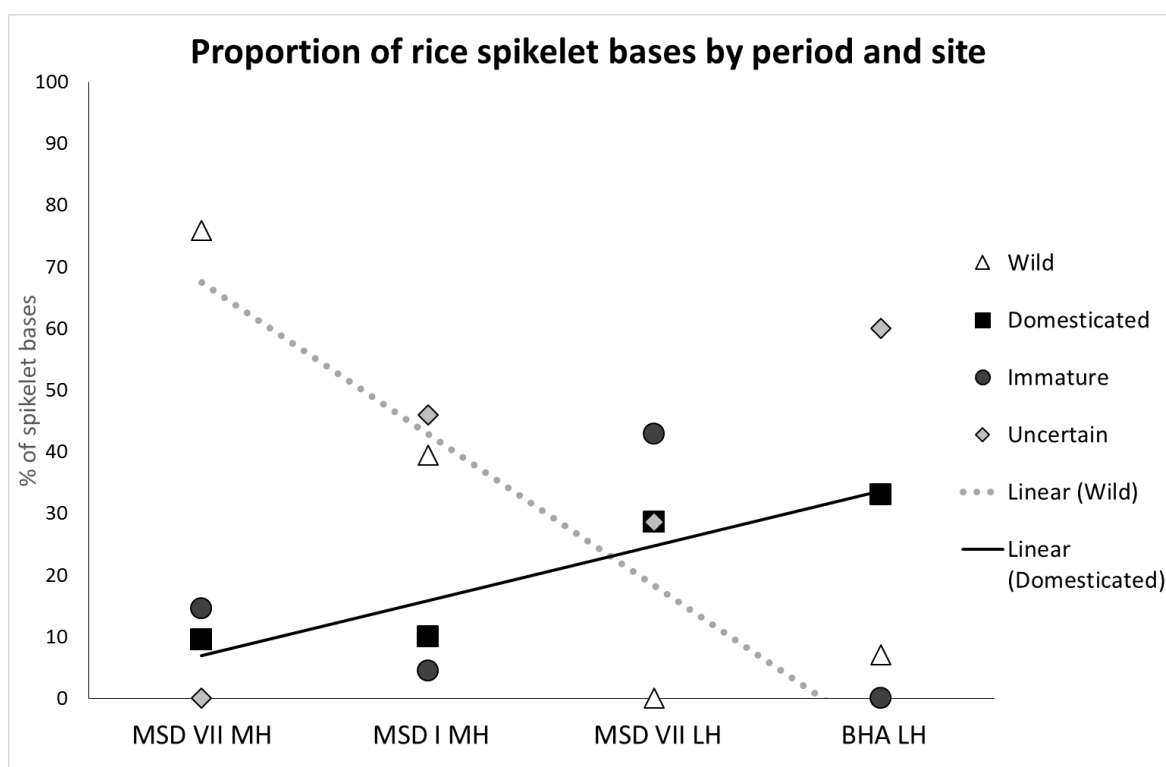
377 **Contrasting the data**

378 The average proportions for each site arranged chronologically are shown in Figure 4
 379 (earliest to the left, latest to the right). Linear regression trendlines are shown, and indicate a
 380 strongly correlated negative trend between time and wild spikelet bases (R^2 value 0.8361) and
 381 a strongly correlated positive trend between time and domesticated forms (R^2 value 0.8758).
 382 Comparing this with Fuller and Weisskopf's model (2011), it can be argued that there was

383 indeed a gradual increase in the amount of exploitation of domesticated rice over time. This
 384 data potentially provides the first evidence for the ‘proto-indica’ domestication hypothesised
 385 for the Gangetic region by Fuller (2005, 2006, 2011).

386 It should also be noted that there is a positive correlation in the uncertain category of
 387 spikelet bases with time. This correlation is interesting in association with the positive
 388 correlation in domesticated type bases, but whether there is a relationship between the two
 389 correlations is difficult to determine. No studies have been carried out to ascertain whether
 390 domesticated spikelet bases are more likely to be damaged than other forms, so this positive
 391 trend could be coincidental rather than linked with the story of domestication processes.
 392 Further research into the breakage patterns of rice spikelet bases could help to untangle these
 393 trends and determine if the uncertain spikelet bases seen in this dataset are more likely to
 394 have been domesticated types or if no such assumptions can be made.

395



396

397 *Figure 4: Graph showing the proportion of spikelet base types in chronological order (earliest from left, latest to the right).*
 398 *Lines show the linear regression trendlines. As can be seen, the proportion of domesticated types increased over time and*
 399 *the proportion of wild types decreased over time. Site and period codes have been used: MSD I = Masudpur I, MSD VII =*
 400 *Masudpur VII, BHA = Bahola, MH = Mature Harappan (c.2500-1900BC), LH = Late Harappan (c.1900-1300BC).*

401

402 V.2. Weeds

403 A total of 11 weed species identified in the archaeological assemblages of Masudpur I,
 404 Masudpur VII and Bahola could be considered as possible summer rice weeds and assigned

405 as wet/dry/either water preferences (Bates 2015; after Moody 1989). The ubiquities of these
 406 weeds by period are shown in Table 5, and include examples from contexts that did not
 407 contain rice grains and/or spikelets. Ubiquity is a measure of the frequency of occurrence
 408 across a site, presented as the percentage of contexts a species was found in.

409

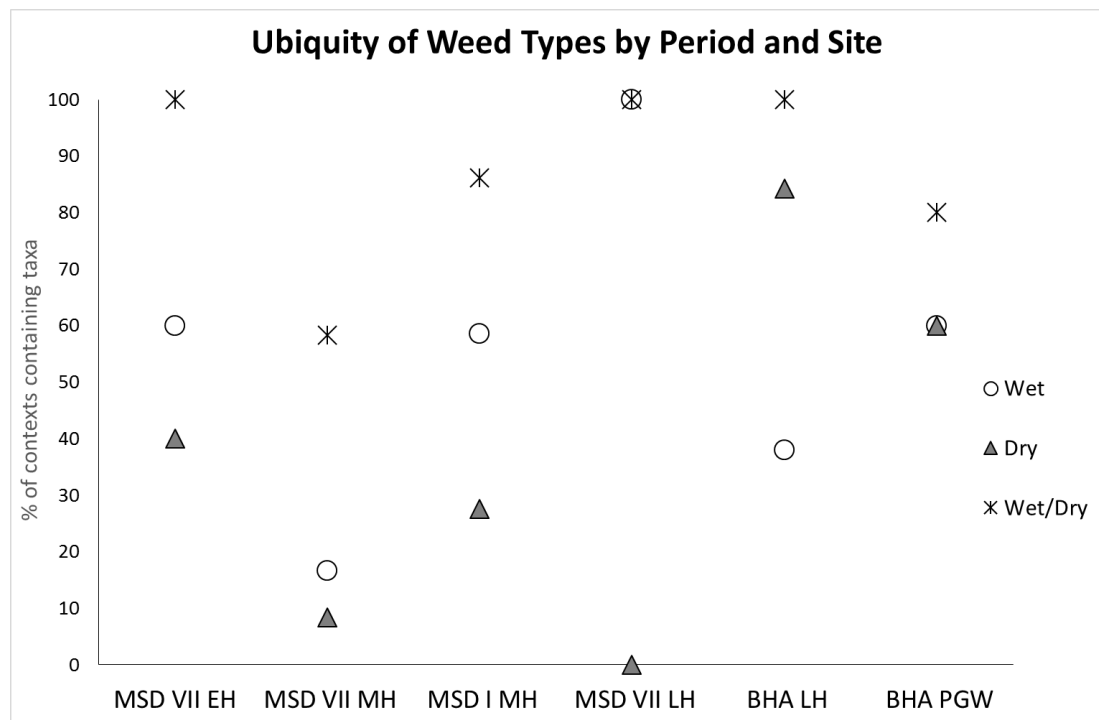
410 *Table 5: Ubiquity of weed species by site and period, with coding in the right most column to indicate species water*
 411 *preference: W (wet), D (dry) and W/D (Wet or dry). Sites and periods have also been coded for simplicity: MSD I =*
 412 *Masudpur I, MSD VII = Masudpur VII, BHA = Bahola, EH = Early Harappan (3200-2600BC), MH = Mature Harappan*
 413 *(c.2600-1900BC), LH = Late Harappan (c.1900-1300BC), PGW = Painted Grey Ware (c.1300-500BC).*

<i>Weed Taxa</i>	<i>MSD VII EH</i>	<i>MSD VII MH</i>	<i>MSD VII LH</i>	<i>MSD I MH</i>	<i>BHA LH</i>	<i>BHA PGW</i>	<i>Wet/ Dry</i>
<i>Eleocharis sp.</i>	80	16.66	33.33	41.38	57.89	50	W
<i>Scirpus sp.</i>	10	8.33	66.67	6.9	0	10	W
<i>Rumex sp.</i>	0	0	0	0	5.26	0	W
<i>Coix lachryma-jobi</i>	0	0	0	3.45	0	0	W
<i>Echinochloa crus-galli</i>	0	0	0	17.24	5.26	0	W
Polygonaceae	10	0	0	13.79	0	10	W
<i>Chenopodium album</i>	0	0	0	3.45	15.79	10	D
<i>Trianthema triquetra</i>	30	0	0	17.24	10.53	0	D
<i>Solanum sp.</i>	10	0	0	6.9	0	0	D
<i>Eragrostis sp.</i>	0	0	0	13.79	47.37	50	D
<i>Brachiaria sp.</i>	0	0	0	17.24	0	0	D
<i>Chrysopogon sp.</i>	10	8.33	0	13.79	57.89	10	D
Cyperaceae	100	58.33	100	86.21	100	80	W/D

414

415 The data from all phases at all sites to show the ubiquity of dry versus wet and wet/dry types
 416 is illustrated in Figure 5.

417



418

419 *Figure 5: Comparing the ubiquity (% of samples containing the taxa) of wet, dry and wet/dry weeds by period (earliest to*
 420 *the left, latest to the right). Very little by way of patterning can be seen in this data set. There is no clear trajectory of change*
 421 *over time. Sites and periods have been coded: MSD I = Masudpur I, MSD VII = Masudpur VII, BHA = Bahola, EH = Early*
 422 *Harappan (3200-2600 BC), MH = Mature Harappan (c.2600-1900 BC), LH = Late Harappan (c.1900-1300 BC), PGW =*
 423 *Painted Grey Ware (c.1300-500 BC).*

424

425 The data presented here shows that there was no strong positive correlation in wetland
 426 species and negative correlation in dryland species. Instead, weak positive correlations are
 427 seen in both (R^2 linear regression values of 0.0411 for wet species and 0.2549 for dry). This
 428 is contrary to the hypothesis that rice cultivation would have relied on dryland techniques
 429 until the introduction of *O.sativa* ssp. *japonica* c.2000 BC when wetland techniques would
 430 have been required (cf. Fuller and Weisskopf 2011; Fuller and Qin 2009). Indeed, the
 431 positive correlation for dryland species was slightly stronger than that for wetland species.

432 Significantly, at Masudpur I and VII there were more wetland weed species than
 433 dryland in all periods. In contrast, at Bahola there was a patterning similar to that expected by
 434 Fuller and Weisskopf's (2011) hypothesis, as there was a decrease in the ubiquity of dry
 435 species and an increase in wet species in the PGW. However, in light of the overall patterns
 436 from all three sites it can be argued that the weeds do not fit with the idea of a change
 437 towards wetland cropping over time and no sudden shift to wetland species is seen c.2000
 438 BC.

439 The presence of wet environment weeds does not, however, suggest that complex
 440 paddy systems were being used pre-2000 BC. It is possible that marginal wet-dry

441 environments could have been exploited, or simple irrigation techniques like garbarbands
442 might have been used to trap water seasonally rather than permanently. It is important to
443 remember that the plains of north-west India were clearly within the zone affected by and
444 benefitting from the Indian Summer Monsoon (Dixit *et al.* 2014; Petrie *et al.* in press b).

445

446 **VI. Implications of these data**

447 There has been a tendency in archaeology to conflate domestication with agricultural
448 strategies (Harris 2007), and this is seen in the models of South Asian rice exploitation that
449 have been developed. Harris (2007) has argued that cultivation is any act that promotes plant
450 growth, and can lead to domestication without full agriculture, which he defines as tillage of
451 the land to promote plant growth. The new data from north-western India presented here fills
452 some of the gap between wild ‘cultivation’ at Lahuradewa and domesticated ‘agriculture’ at
453 Senubar 2 and Mahagara, and suggests that the process of domestication was well underway
454 in northern South Asia before the arrival of *O.sativa* ssp. *japonica* and the form of wet rice
455 agriculture it required. These new data suggests that there may have been the exploitation of
456 domesticated rice before the arrival of wetland rice agriculture, and that rice cultivation needs
457 to be considered as a central issue in discussions of the exploitation of domesticated rice in
458 northern South Asia. We suggest that the debates over rice in South Asia need to be separated
459 into two issues in future analyses, specifically the untangling of the complex issue of the
460 domestication of *O.nivara* to *O.sativa* ssp. *indica* in northern South Asia from the issues
461 related to the development of rice agriculture.

462

463 **VII. Conclusions**

464 The evidence for rice grains, spikelet bases and weed species from the three *Land,*
465 *Water and Settlement* project sites reviewed here illuminates the process of rice
466 domestication in northern South Asia in the period between the wild cultivation seen at
467 Lahuradewa and the evidence of full agriculture from Mahagara. At all three *Land, Water*
468 *and Settlement* sites there is a pattern of increasing proportions of domesticated and
469 corresponding decrease in wild spikelet types over time. The material from the *Land, Water*
470 *and Settlement* excavations also demonstrates that the exploitation of rice by Indus
471 populations appears to pre-date the arrival of *O.sativa* ssp. *japonica* and wet rice farming.
472 Furthermore, the weeds suggest that rather than a shift towards wetland cropping during the
473 Late Harappan or PGW periods, as has been previously hypothesised, a complex pattern of
474 exploiting both wet and dry land species is seen in the Early, Mature and Late Harappan

475 periods and also in the post-Harappan PGW phase at these settlements. The analyses of the
476 rice grains, spikelet bases and weeds suggest therefore that the relationship between
477 agricultural strategy and domestication is more complex than has been previously suggested
478 and that rice domestication without paddy fields may have occurred in northern South Asia
479 between c.6000 BC (Lahuradewa) and the arrival of Chinese rice c.2000 BC.

480 These new data thus demonstrate that rice cultivation has a complicated history in the
481 subcontinent, and needs further consideration with relation both to the nature of Indus
482 agriculture in the region and also to the domestication of rice in northern South Asia. More
483 research incorporating systematic flotation at Indus settlements and also those
484 contemporaneous to the Indus Civilisation is needed to explore the range of cultivation
485 practices being exploited in this complex agricultural and environmental region.

486

487

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501

502

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