

Accepted Manuscript

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PII: S0168-1702(15)00079-9
DOI: <http://dx.doi.org/doi:10.1016/j.virusres.2015.02.009>
Reference: VIRUS 96541

To appear in: *Virus Research*

Received date: 14-11-2014
Revised date: 13-1-2015
Accepted date: 10-2-2015

Please cite this article as: Anderson, T.K., Campbell, B.A., Nelson, M.I., Lewis, N.S., Janas-Martindale, A., Killian, M.L., Vincent, A.L., Characterization of co-circulating swine influenza A viruses in North America and the identification of a novel H1 genetic clade with antigenic significance, *Virus Research* (2015), <http://dx.doi.org/10.1016/j.virusres.2015.02.009>

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1 *Running head: Novel clade of H1 swine influenza A viruses in US swine*

2 **Characterization of co-circulating swine influenza A viruses in North America and the identification**
3 **of a novel H1 genetic clade with antigenic significance**

4

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24 **Characterization of cocirculating swine influenza A viruses in North America and the identification**
25 **of a novel H1 genetic clade with antigenic significance**

- 26 • A phylogenetic analysis of North American swine influenza A viruses is presented
- 27 • Continual co-circulation of 3 subtypes and 13 genetic clades in the US swine population
- 28 • A novel H1 genetic clade, H1 γ -2, was detected
- 29 • The H1 γ -2 clade forms a unique antigenic cluster with functional significance

30

31

32 **Abstract**

33 Multiple genetically and antigenically distinct hemagglutinin genes of the H1 and H3 influenza A virus
34 (IAV) subtypes co-circulate in North American swine. This diversity has evolved by repeated transmission
35 of IAVs from humans to swine and subsequent antigenic drift in swine. To understand the evolutionary
36 dynamics of these diverse HA lineages in North American swine, we undertook a phylogenetic analysis of
37 1576 H1 and 607 H3 HA gene segments, as well as 834 N1 and 1293 N2 NA gene segments, and 2126 M
38 gene segments. These data revealed yearly co-circulation of H1N1, H1N2, and H3N2 viruses, with three
39 HA clades representing the majority of the HA sequences: of the H1 viruses, 42% were classified as H1 δ 1
40 and 40.6% were classified as H1 γ ; and of the H3 viruses 53% were classified as cluster IV-A H3N2. We
41 detected a genetically distinct minor clade consisting of 37 H1 viruses isolated between 2003 and 2013,
42 which we classified as H1 γ -2. We estimated that this clade circulated in swine since approximately 1995,
43 but it was not detected in swine until 2003. Though this clade only represents 1.07% of swine H1
44 sequences reported over the past 10 years, hemagglutination inhibition (HI) assays demonstrated that
45 representatives of this clade of viruses are antigenically distinct, and, when measured using antigenic
46 cartography, were as many as 7 antigenic units from other H1 γ viruses and therefore vaccines against the

47 contemporary H1 γ viruses are not likely to cross-protect against γ -2 viruses. The long-term circulation of
48 these γ -2 viruses suggests that minor populations of viruses may be underreported in the national dataset
49 given the long branch lengths and gaps in detections. The identification of these γ -2 viruses demonstrates
50 the need for robust surveillance to capture the full diversity IAVs in swine in the USA and the importance
51 of antigenic drift in the diversification and emergence of new antigenic variants in swine, which
52 complicates vaccine design.

53 *Keywords: influenza A virus; antigenic drift; swine; zoonotic diseases; vaccines; epidemiology*

54

55 **1. Introduction**

56 Influenza A virus (IAV) was first detected in swine coincident with the 1918 “Spanish flu” (Koen,
57 1919) and subsequently isolated and characterized as an H1N1 (Shope, 1931). This lineage of swine H1N1,
58 classical-swine H1N1 (cH1N1), was the first of a number of swine IAV lineages to be detected in North
59 America. Though swine are only infected by a fraction of the subtypes found in the natural avian host,
60 phylogenetic methods have identified at least 16 distinct genetic clades within the 3 predominant H1N1,
61 H1N2, and H3N2 subtypes (Kitikoon et al., 2013; Rajao et al., 2014). This diversity has public health and
62 agricultural relevance: the 2009 human pandemic (Garten et al., 2009) was of swine origin, as were variant
63 H3N2 viruses that emerged in 2010-2012 (CDC 2012a; 2012b; Wong et al., 2012), and intervention efforts
64 in swine herds using vaccines are likely to often be equivocal in the face of this extraordinarily high degree
65 of variability in a single mammalian host species (Lewis et al., 2014; Vincent et al., 2008). Consequently, it
66 is necessary to develop a framework that quantifies swine IAV genetic and antigenic diversity; in doing so,
67 emerging viral threats may be identified and objective criteria for updating vaccine composition could be
68 implemented.

69 During the last 20 years, quantifying swine IAV diversity has been challenged by the incursion of
70 novel subtypes (Nelson et al., 2012; 2014), and the continual process of antigenic drift and shift (Carrat and
71 Flahault, 2007; Webster, 1999). Specifically, in the late 1990s a novel triple-reassortant H3N2 virus was
72 identified in the North American swine population comprised of seasonal human H3N2, avian influenza,
73 and the cH1N1 swine IAV (Olsen, 2002; Zhou et al., 1999). These triple-reassortant viruses co-circulated
74 and reassorted with cH1N1 viruses resulting in additional lineages of H1N1 and H1N2 viruses (Karasin et
75 al., 2002; 2000). Additional lineages of H1N1 and H1N2 viruses derived from human seasonal IAV were
76 detected in the early 2000s and now represent approximately 40% of circulating swine isolates (Anderson
77 et al., 2013; Vincent et al., 2009a). All of these newly introduced lineages, after becoming endemic,
78 continue to generate novel swine IAVs: this is exemplified by the bidirectional transmission of the

79 H1N1pdm09 from swine-to-human and then back to swine. This genetic lineage donated its matrix gene to
80 a majority of endemic swine IAV in U.S. viruses via reassortment (Anderson et al., 2013). Thus, there are
81 at least 16 genetically defined clades reported in North America (Rajao et al., 2014), with little evidence
82 that the rapid evolutionary diversification is slowing.

83 Given the importance of controlling IAV in swine agriculture, and the recognition that pigs can act
84 as potential ‘mixing vessels’ for novel viruses (Ma et al., 2009), the United States Department of
85 Agriculture (USDA) initiated a coordinated surveillance system in 2009. This program has monitored
86 circulating H1N1, H1N2, and H3N2 subtypes through a voluntary and anonymous sample submission
87 process as part of the National Animal Health Laboratory Network (NAHLN). These data allow for the
88 characterization of the evolutionary and seasonal dynamics of IAV (Anderson et al., 2013; Kitikoon et al.,
89 2013; Lewis et al., 2014). In this study, we conducted a comprehensive phylogenetic analysis of North
90 American swine IAV collected from 2009-2014 and characterized an H1 clade that circulated in North
91 America for nearly 20 years despite very low levels of detection.

92 **2. Materials and methods**

93 **2.1 Data generation**

94 For the years 2009 to 2014, all nucleotide sequences sourced through the USDA Influenza Virus
95 Surveillance System for swine were downloaded from GenBank, the National Center for Biotechnology
96 Information’s online sequence repository. These data comprised 2183 HA gene segments, 2127 NA gene
97 segments, and 2126 M gene segments. Viruses were collected from swine in 30 US states (Alabama,
98 Arkansas, California, Colorado, Iowa, Illinois, Indiana, Kansas, Kentucky, Maryland, Michigan,
99 Minnesota, Mississippi, Missouri, Montana, North Carolina, North Dakota, Nebraska, New York, Ohio,
100 Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Tennessee, Texas, Virginia, Wisconsin,
101 and Wyoming) following methods described by Korslund et al. (2013). All sequence data were
102 downloaded from the Influenza Virus Resource on June 9, 2014 (Table S1; Bao et al., 2008).

103 **2.2 Phylogenetic methods**

104 From these data, five sequence alignments were constructed using MUSCLE with default settings
105 in MEGA5.2 with subsequent manual correction: an alignment of 1576 H1 and 607 H3 hemagglutinin gene
106 segments, an alignment of 834 N1 and 1293 N2 neuraminidase gene segments, and 2126 matrix gene
107 segments (Edgar, 2004; Tamura et al., 2011). For each alignment, a maximum likelihood tree was inferred
108 using RAxML (8.0.24; Stamatakis, 2006) on the CIPRES Science Center Gateway (Miller et al., 2010)
109 employing a general time-reversible (GTR) model of nucleotide substitution with Γ -distributed rate
110 variation among sites. The starting tree was generated under parsimony methods, with the best-scoring tree
111 and statistical support values obtained with the rapid bootstrap algorithm (1000 replications). Using the H1
112 phylogeny, H1N1 and H1N2 isolates were assigned to one of six previously described H1 antigenic
113 lineages: H1 α , H1 β , H1 γ , H1 δ 1, H1 δ 2, H1pdm09 (Vincent et al., 2009a; 2009b; Lorusso et al., 2011).
114 Similarly, H3N2 isolates were assigned to one of four main clusters based upon the H3 phylogeny, and H3
115 Cluster IV isolates to one of 6 putative clades (Kitikoon et al., 2013). Within and between clade nucleotide
116 distances for the H1 and H3 antigenic clade designations were calculated in MEGA5.2 (Tamura et al.,
117 2011).

118 The phylogenetic analyses identified a cluster of 11 sequences that were separated by a high
119 number of nucleotide substitutions from other classic lineage H1 swine IAV. To explore the evolutionary
120 origins of these divergent sequences, a second phylogenetic tree was inferred for 378 classical-swine H1N1
121 lineage sequences. These data included an additional 45 sequences from GenBank that had high sequence
122 identity using BLASTn (Altschul et al., 1990) to the set of 11 unique sequences identified in the 2009-2014
123 USDA dataset, 67 Canadian H1 α were included to ensure representation of this clade, and we omitted
124 H1N1pdm09 viruses given their unique transmission history between humans and pigs. To study the
125 genome patterns of these viruses, where data was available, we downloaded the internal genes of 378 H1 γ -
126 2, and other classic-lineage (H1 α , H1 β , H1 γ) viruses. In addition to sequences already available, we
127 selected 18 isolates for whole genome sequencing following the methods in Bowman et al. (2012) using
128 the Ion 316 v2 chip and Ion PGM 200 v2 Sequencing Kit. We then inferred maximum likelihood trees for

129 each gene segment using RAxML (8.0.24; Stamatakis, 2006) on the CIPRES Science Center Gateway
130 (Miller et al., 2010) employing a GTR model of nucleotide substitution with Γ -distributed rate variation
131 among sites. Each internal gene segment was then classified to one of two evolutionary lineages: H1pdm09
132 or TRIG.

133 To estimate the evolutionary dynamics of the cH1-lineage, we implemented a time-scaled Bayesian
134 approach for the HA alignment. We employed a relaxed uncorrelated lognormal (UCLN) molecular clock,
135 a flexible Bayesian skyline plot (BSP) demographic model (10 piece-wise constant groups), and a general-
136 time reversible (GTR) model of nucleotide substitution with Γ -distributed rate variation among sites. The
137 precision function in BEAUTi was used to sample uniformly within a one-year or one-month window for
138 those viruses for which an exact date of collection was not available. The MCMC chain was run
139 independently 3 times for 200 million iterations with sub-sampling every 20,000 iterations. We used the
140 BEAST package (v1.8.0: Drummond et al., 2012) with the BEAGLE library (v2.0.2: Ayres et al., 2011),
141 incorporating the date of sampling: all parameters reached convergence, assessed visually using Tracer
142 v.1.6. The initial 10% of the chain was removed as burn-in, runs were combined using LogCombiner
143 v.1.8.0, and the evolutionary history was summarized using an annotated maximum clade credibility
144 (MCC) tree using TreeAnnotator v.1.8.0. Posterior probability values were used to assess the degree of
145 support for each node in the tree.

146 **2.3 Antigenic characterization**

147 Swine antisera against two isolates identified in the H1 γ -2 phylogenetic node (A/Swine/South
148 Dakota/A01349306/2013 and A/Swine/Illinois/A01203922/2012) were generated using previously
149 described methods (Lorusso et al., 2011; Lewis et al., 2014). Forty-five cH1-lineage swine viruses were
150 selected as hemagglutinin inhibition (HI) test antigens following methods described in Lewis et al. (2014).
151 In brief, prior to HI testing, sera were treated with kaolin (Sigma-Aldrich, MO, USA), heat inactivated at
152 56°C for 30 min and adsorbed with 50% turkey red blood cells (RBC) to remove nonspecific inhibitors of
153 hemagglutination. HI assays were performed by testing reference antisera raised against 45 swine H1

154 viruses according to standard techniques (Lorusso et al., 2011). Serial 2-fold dilutions starting at 1:10 were
155 tested for their ability to inhibit the agglutination of 0.5% turkey RBC with 4 HAU of swine H1 viruses.
156 These data were visualized using antigenic cartography (Lewis et al., 2014; Lorusso et al., 2011; Smith et
157 al., 2004). Antigenic distances (Euclidean distances) were measured between all strains in the antigenic
158 map and from reference antigen A/H1N1/swine/Ohio/511445/2007, selected as the H1 γ phylogenetic
159 cluster representative, and from A/CA/4/2009 as the prototype H1N1pdm09 strain. Additionally, to assess
160 the cross-reactivity with currently available vaccines, the H1 γ -2 antigens were tested against anti-sera
161 generated in swine with three commercially available fully-licensed swine influenza vaccines as previously
162 described (Kitikoon et al., 2013b). Two pigs were vaccinated with each of the three vaccines and sera
163 tested in the HI assay.

164 **3. Results**

165 **3.1 H1 phylogeny revealed a contemporary H1 γ -2 clade**

166 The H1 phylogeny contained 11 isolates that shared a common ancestor with H1 γ and H1pdm09
167 subtypes, but was located on an independent branch with a long branch length: we designated viruses in
168 this clade as H1 γ -2 based on 4.3% within and 11.8% between cluster nucleotide divergence (Table 1) and
169 100% posterior probability support (Fig. 1: 92% bootstrap support in maximum likelihood phylogeny, Fig.
170 S1). A BLASTn analysis with the H1 γ -2 sequences identified 45 additional viruses isolated prior to the
171 inception of the USDA national IAV-S surveillance program with relatively high sequence identity. The
172 historical isolates were added to USDA surveillance data to investigate the evolution of this novel clade.
173 The new H1 data set contained randomly selected H1 sequences from H1 α , H1 β , and H1 γ isolates, as well
174 as the 11 contemporary H1 γ -2 and 45 historical H1 sequences with high sequence identity (Fig. 1). This
175 analysis revealed two clades with high statistical support closely related to H1 γ viruses. One clade (n=19)
176 (black clade, Fig. 1) does not appear to have persisted in swine herds, circulating only from 2003-2007,
177 whereas the clade we designate H1 γ -2 (n=37) demonstrates onward transmission with contemporary
178 isolates. From the time-scaled phylogeny (Fig. 1) we estimate that the time to the most recent common

179 ancestor (tMRCA) for the H1 γ -2 viruses and the most closely related swine IAV H1 γ viruses falls between
180 1994.6 and 1997.6 (95% HPD). This represents an estimated 5-8 years of undetected circulation prior to
181 the first collection of a virus from this clade in Iowa on October 10, 2003. Of the H1 γ -2 viruses collected in
182 the USDA surveillance system, HA genes were paired with classical N1 in most cases, with the exception
183 of one 2002-lineage N2. In order to determine whether H1 γ -2 HA/NA surface glycoproteins co-evolved, an
184 analysis of the NA genes was conducted. Of the NA data (n=18) that were available, we found 16 H1 γ -2
185 isolates formed a monophyletic group in the NA gene segment phylogeny (Fig. 2). These results suggested
186 H1 γ -2 HA and classical N1 genes co-segregated.

187 The 18 H1 γ -2 viruses with sequences for all 8 gene segments were included in phylogenetic
188 analysis of the remaining 6 genes. Historical H1 γ -2 isolates (2003-2007) contained an internal gene TRIG
189 constellation (swine (M, NP and NS genes), avian (PB2 and PA genes), and human origin (PB1) genes);
190 however the contemporary H1 γ -2 isolates showed evidence of reassortment with H1N1pdm09. Two of the
191 nine contemporary USDA surveillance isolates carried the entire H1N1pdm09 internal gene backbone; five
192 carried a TRIG PB1 gene with the remaining internal genes being from the H1N1pdm09. One of the
193 remaining contemporary isolates, A/swine/Minnesota/A01201429/2011, contained an H1N1pdm09 NP
194 gene with the remaining genes TRIG lineage; and one contained the internal gene TRIG constellation,
195 A/swine/South Dakota/A01349306/2013. Taken together, this unique HA clade is also unique from a
196 whole genome perspective (Fig S4 – S8).

197 In spite of being under-sampled with sporadic detection, the H1 γ -2 had a broad geographic
198 distribution across the midwest, south-east and south-central states: this included Iowa (IA), Illinois (IL),
199 Minnesota (MN), Missouri (MO), North Carolina (NC), Oklahoma (OK), Texas (TX), and South Dakota
200 (SD). H1 γ -2 continues to co-circulate in the US in addition to the other contemporary subtypes and cluster
201 types. The gaps in years of isolation and long branch lengths suggest gaps in surveillance in herds that are
202 infected with H1 γ -2.

203 **3.2 HA, NA and M evolutionary trends in contemporary swine IAV**

204 From January 2013 to March 2014, 813 HA, 809 NA, and 810 M sequenced gene segments were
205 generated from the USDA IAV-S surveillance system and analyzed in addition to 1075 HA segments, 1049
206 NA segments, and 1040 M segments reported from 2009 – 2012 that were reported previously (Table S2:
207 Anderson et al., 2013). The 2013 through March 2014 H1 data (n = 590) contained 0.17% H1 α (n = 1),
208 3.56% H1 β (n = 21), 49.66% H1 γ (n = 293), 0.34% H1 γ -2 (n = 2), 37.28% H1 δ 1 (n = 220), 4.07% H1 δ 2 (n
209 = 24), and 4.92% H1pdm09 (n = 29) viruses (Fig. S1). The H3 data (n=223) contained 1.35% Cluster IV (n
210 = 3), 76.68% Cluster IV-A (n = 171), 13.90% Cluster IV-B (n = 31), 2.24% Cluster IV-C (n = 5), 3.14%
211 Cluster IV-E (n = 7), and 2.69% Cluster IV-F (n = 6) viruses; no H3 Cluster IV-D HA were detected (Fig.
212 S9 and Table S2). The within and between clade nucleotide distance criteria for delineating clusters
213 proposed in Anderson et al. (2013) were consistent with this extended dataset (Table 1 and 2).

214 All NA genes circulating among US swine are N1 or N2 subtypes. NA genes from N1 subtype
215 viruses (n=341) were categorized as classical swine or H1N1pdm09 lineages, while N2 subtype viruses
216 (n=468) were classified as 1998- or 2002-human seasonal H3N2 lineages (Nelson et al., 2012). N1 genes
217 of classical swine origin accounted for 87.4% (n=298) while N1 genes of H1N1pdm09 origin accounted
218 for 12.6% (n=43) of N1 detections (Fig. S2). N2 genes of the 1998 lineage accounted for 6.0% (n=28)
219 whereas N2 genes of the 2002 lineage accounted for 94.0% (n=440) of N2 detections.

220 Matrix genes were characterized by phylogenetic analysis as North American swine or
221 H1N1pdm09 lineage (Lorusso et al., 2011). The 2013 through March 2014 M data (n=810) contained
222 90.7% (n=735) H1N1pdm09 lineage viruses and 9.3% (n=75) North American swine lineage viruses (Fig.
223 S3). A 33.0% increase in pandemic matrix genes was detected compared to 2012, indicating widespread
224 reassortment between viruses carrying the pandemic matrix with endemic swine viruses. While the HA and
225 NA from the H1N1pdm09 have dramatically decreased over recent years since 2009, the M pandemic gene
226 detections continued to increase, suggesting an unknown advantage for this gene.

227 ***3.3 H1 γ -2 isolates demonstrated divergent antigenic properties within and between the H1 clusters and***
228 ***with vaccine anti-sera***

229 We characterized the antigenic inter-relationships among a subset of 45 H1 viruses consisting of
230 reference swine influenza virus strains and representative strains from the emerging H1 γ -2 cluster (Figure
231 3). The antigenic map shows that of the 6 H1 γ -2 viruses we characterized in HI assays, only the isolate
232 from Minnesota (A/swine/Minnesota/A01201429/2011) is antigenically similar to the previously
233 circulating H1 γ cluster strains, albeit 1.9 antigenic units away from the 2007 H1 γ clade reference strain
234 (A/swine/Ohio/511445/2007). The isolate from South Dakota is over 4 antigenic units away from the H1 γ
235 reference strain and maps closest to circulating H1N1pdm09 cluster strains from 2009-2011. The
236 Oklahoma isolate (A/swine/Oklahoma/A01134906/2011) and one from Illinois
237 (A/swine/Illinois/A01047930/2011) are antigenically similar to each other, but approximately 7 antigenic
238 units from the reference strain. The second isolate from Illinois (A/swine/Illinois/A01203922/2012) and the
239 isolate from Iowa (A/swine/Iowa/A01510129/2012) are also antigenically similar to each other, again
240 approximately 7 antigenic units from the H1 γ reference strain, but in a different trajectory from the IL/11
241 and OK/11 isolates. We aligned the HA1 coding region of the HA protein and from this we determined a
242 number of potential amino acid substitutions that are likely associated with such dramatic antigenic
243 variation (Table S3). For example, the two pairs of antigenically outlying strains differ from each other at
244 positions E186K and R189Q. These two substitution positions would be equivalent to position 189 and 193
245 in H3 (Burke and Smith, 2014) and have been shown in H3 to be associated with significant antigenic drift
246 (Koel et al., 2013; Lewis et al., 2014).

247 Against the vaccine antisera, A/Swine/South Dakota/A01349306/2013 and
248 A/swine/Minnesota/A01201429/2011 demonstrated low but detectable titers with all three vaccines, with
249 reciprocal titers ranging from 20 to 80. However, the remaining four H1 γ -2 viruses had reciprocal titers of
250 20 or less against the three vaccine antisera, suggesting a potential risk of vaccine failure against the novel
251 H1 γ -2 clade. These HI data were consistent with the positioning of A/Swine/South
252 Dakota/A01349306/2013 and A/swine/Minnesota/A01201429/2011 closer to H1 γ and H1N1pdm09 strains
253 in the antigenic map, whereas the other four demonstrated greater antigenic distance from the

254 contemporary swine H1 clusters.

255 **4. Discussion**

256 Swine IAV continues to circulate in North America in spite of control efforts, causing considerable
257 morbidity in the swine population (Brown, 2000), and representing a potential risk to the human population
258 (CDC, 2012a; CDC 2012b). Here, we studied the evolution of co-circulating swine IAV using passive
259 surveillance data sourced from across 30 U.S. states. In doing so, we detected a novel clade of H1 γ -2
260 viruses that had sustained transmission in North American swine but went largely undetected for 5-8 years.
261 We demonstrated that these contemporary viruses have unique reassorted genome constellations via 18
262 whole genome-sequenced H1 γ -2. We found that all the isolates predating the surveillance system (9 of 9)
263 contained North American TRIG M, NP, NS and polymerase gene segments, whereas the majority of those
264 collected from 2009-2014 (8 of 9) had reassorted with H1N1pdm09 viruses. In addition, we continued to
265 observe an increase in detection of the pandemic matrix gene segment, suggesting an unknown advantage
266 for viruses acquiring this gene (Nelson et al. 2012; Anderson et al. 2013).

267 Further, we demonstrate that not only is there marked antigenic variation within this novel H1 γ -2
268 clade (between 1.9 and 7 antigenic units among the antigenically characterized strains of this novel clade)
269 but importantly, there are also antigenic differences between this novel clade and other currently
270 circulating H1 γ and H1N1pdm09 that would be more likely to be included in current swine vaccines. The
271 antigenic distance is consistent with a number of amino acid changes in the HA1 domain compared among
272 the H1 γ -2 HA and between H1 γ -2 and other swine-lineage H1. The diversity that we document herein
273 presents two distinct problems: first, currently formulated vaccines are likely inadequate as a means of
274 H1 γ -2 control in pigs; and secondly, there is a potential risk of swine variants such as these emerging in the
275 human population due to the antigenic distance from seasonal H1 viruses, including H1N1pdm09 and pre-
276 2009 seasonal strains (Nelson et al., 2014). Our data indicate between 2.1 and 4.6 antigenic units separate
277 the H1 γ -2 swine strains from the prototypic human H1N1pdm09 strain (A/CA/4/2009). The sustained
278 transmission of the H1 γ -2 with years of going unreported despite their widespread geographic distribution

279 underscores the need for the national surveillance system and increased participation from under-sampled
280 populations.

281 Previous studies using active and passive surveillance in the U.S. revealed continuous circulation of
282 at least 7 genetic clades of swine IAV in vaccinated and non-vaccinated commercial farms (Anderson et
283 al., 2013; Corzo et al., 2013). In addition, antigenic studies on swine IAV demonstrated that increased
284 levels of genetic diversity have functional antigenic consequences (Feng et al., 2013; Lewis et al., 2014).
285 We demonstrate 3 major phylogenetic clades representing 83% of all co-circulating IAV in North
286 American swine in 2013-2014 alongside an additional 12 diverse but minor milieu of genetic clades. From
287 these data, current swine management and/or IAV control practices appear insufficient to prevent IAV
288 from being persistently transmitted within and between swine production facilities (Rose et al., 2013) and
289 across seasons (Anderson et al., 2013). A potential explanation for the continual circulation is that vaccines
290 may only decrease IAV transmission rather than eliminate it (Romagosa et al., 2011); and further, given
291 relatively mild or subclinical disease presentation, there may be less impetus for implementation of
292 vaccination programs (Bowman et al., 2012a; 2012b). Although this may be financially appealing in the
293 short-term, a long-term vision is needed for controlling IAV in swine: swine IAV represents a substantial
294 economic burden (Rajao et al., 2014); strains with seemingly low disease phenotypes can gain virulence
295 through adaptive mutations or reassortment or contribute to multi-factorial pneumonia when combined
296 with additional pathogens or environmental stressors; the risk of incursion of a newly introduced strain of
297 IAV is relatively high due to the widespread circulation of diverse swine IAV genotypes with potentially
298 dramatic consequences in naïve herds; humans and swine express similar influenza virus-binding α -2,6
299 sialic acid receptors that can facilitate bidirectional transmission (Imai and Kawaoka, 2012); and swine can
300 play an important role in the generation of novel viruses via reassortment (Nelson et al., 2012).

301 Currently in North America there are a number of commercially available swine IAV vaccines
302 (reviewed in Reeth and Ma, 2012). While manufacturers disclose the phylogenetic “cluster” of the strains
303 that the product contains, they do not provide genetic or antigenic data. Regardless of the exact constitution

304 of these formulated vaccines, the marked genetic diversity we document poses a significant problem to
305 their use as an effective means of control as demonstrated by the HI data with the vaccine antisera reported
306 here. Experimental studies in North America and in Europe (e.g., Loving et al., 2013; Van Reeth et al.,
307 2001) revealed that protection against infection can be correlated with the genetic relatedness of the
308 vaccine strain to challenge strains (but see Kyriakis et al., 2010). However, despite the observation that
309 there are broad antigenic clusters in H3 and H1 swine IAV (Feng et al., 2013; Lorusso et al., 2011), it
310 appears that as few as one or two amino acid changes in the HA-1 domain are enough to drive a more than
311 4-fold reduction in relative cross-reactivity (Lewis et al., 2014). To counter this problem, there are three
312 potential strategies that are not mutually exclusive: the implementation of vaccine platforms that provide
313 broader heterologous protection (e.g., Rajao et al., 2014; Vincent et al., 2012); the generation of more
314 immunogenic vaccines via appropriate dosage and adjuvants; or the regular reconstitution of vaccine
315 formulations to reflect circulating genetic and antigenic diversity. Given that it is unlikely even a highly
316 immunogenic vaccine will protect against all known circulating diversity (Anderson et al., 2012), regular
317 assessment of the predominant antigenic clades will facilitate the appropriate selection of vaccine seed
318 strains and may help prevent human zoonotic events such as variant H3N2 (Wong et al., 2012).

319 Interestingly, our data revealed a statistically supported clade of 37 viruses that we classify as H1 γ -
320 2 that included 11 contemporary isolates (Fig. 1). The H1 γ -2 was first identified in Iowa in 2003, with two
321 thirds of the H1 γ -2 viruses identified from 2003 – 2007. The H1 γ -2 lineage was only detected in IA and
322 TX in 2003, but appeared to have spread throughout the midwest (MN) and south-central (OK, MO) U.S.
323 by 2004. In subsequent years, in addition to SD and IL, H1 γ -2 viruses were detected in southeastern states
324 such as NC. Following initial records in 2003, viruses in this clade continued to circulate in swine herds
325 across the U.S. until 2007, followed by a period of no detection between 2008-2009, and then evidence of
326 onward transmission in 2010 – 2013. Millions of hogs are transported within the U.S. in addition to the
327 millions more that are imported from Canada and other countries as part of the integrated North American
328 swine market (USDA-NASS: <http://usda.mannlib.cornell.edu/>). Likewise, the USA exports thousands of

live pigs to Mexico (as well as mainland China and Russia) each year (USDA-NASS: <http://usda.mannlib.cornell.edu/>). These movements provide a potential route for the introduction and transmission of genetically distinct swine IAV such as the H1 γ -2. Though not explicitly tested, the dissemination of the H1 γ -2 clade does not appear to follow swine transportation routes from south-central U.S. and southeastern U.S. to the Midwestern U.S. documented in swine H1 δ 1 and H1 δ 2 IAV and porcine reproductive and respiratory syndrome virus (Nelson et al., 2011; Shi et al., 2010). The long branch lengths and absence of detection over long periods of time suggest gaps in surveillance in herds that are infected with H1 γ -2. Further, although H1 γ -2 was infrequently detected, the persistence of this viral lineage indicates potential co-circulation with a risk of expansion, particularly as these isolates may be more than 7 antigenic units from other contemporary H1 γ or other H1 cluster viruses and found in states with relatively high population densities of hogs.

5. Conclusion

In this study, we identified a novel clade of H1 viruses that we classified as H1 γ -2 due to their divergence from the major clade of H1 γ viruses approximately 20 years ago. These viruses appear to be endemic in North American swine, are geographically widespread, and have reassorted with other endemic swine IAV and H1N1pdm09. In addition, we demonstrate that current vaccine formulations are unlikely to protect against these H1 γ -2 viruses due to substantial antigenic drift from H1 γ viruses during many years of independent evolution. These data highlight the importance of the passive USDA Influenza Virus Surveillance System in swine for detecting minor variants that present a threat to swine and potentially to human health, and for providing a more complete understanding of the evolutionary dynamics of IAVs in North American swine (Jhung et al., 2013; Ma et al., 2009; Vincent et al., 2013).

Acknowledgments

We gratefully acknowledge pork producers, swine veterinarians, and laboratories for participating in the USDA Influenza Virus Surveillance System for swine. We wish to thank Michelle Harland, Gwen Nordholm, and Juan Carlos Mora for laboratory assistance and Jason Huegal, Jason Crabtree and Tyler

354 Standley for animal care and handling assistance. Funding was provided by USDA-ARS and USDA-
355 APHIS-VS by the Supplemental Appropriations Act of 2009. NSL was funded by USDA-ARS SCA
356 agreement number 58-3625-2-103F and the EC FP7 award number 259949. TKA was funded by USDA-
357 ARS SCA agreement number 58-3625-4-070. Mention of trade names or commercial products in this
358 article is solely for the purpose of providing specific information and does not imply recommendation or
359 endorsement by the U.S. Department of Agriculture. USDA is an equal opportunity provider and employer.

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540

540

541 **Figure legends**

542 **Figure 1.** Phylogenetic relationships of classic lineage swine H1. Time-scaled Bayesian MCC tree inferred
543 for the HA (H1) sequences of 378 swine IAVs. Isolates are color-coded and labeled by the HA lineage.
544 H1 α are cyan; H1 β are blue; H1 γ are green; and H1 γ -2 are purple. The clade in black is closely related to
545 H1 γ viruses, but appears not to have persisted in swine herds, circulating only from 2003-2007. Posterior
546 probabilities >0.9 are included for key nodes; phylogeny with tip labels included is presented in Figure
547 S10.

548

549 **Figure 2.** Maximum-likelihood phylogeny of NA N1 gene swine influenza A viruses collected as part of
550 the United States Department of Agriculture surveillance system from 2009-2014. These data represent
551 782 viruses, along with an additional 45 putative H1 γ -2 viruses that predated the surveillance program
552 were also (total n=827). The branches are colored by NA genetic lineage; N1 NA classic swine are colored
553 gray; N1 NA H1N1pdm09 viruses are colored red; the H1 γ -2 viruses are identified and colored purple. The
554 scale bar represents nucleotide substitutions and tree is midpoint rooted for clarity. A phylogeny with tip
555 labels included is presented in the supplementary materials.

556

557 **Figure 3.** 3D antigenic map of swine influenza A (H1N2 and H1N1) from 2009–2013. The relative
558 positions of isolates (colored spheres) and antisera (open grey cubes) were computed (A) such that the
559 distances between isolates and antisera in the map correspond with the least error to measurements in the
560 HI assay (Smith et al. 2004). The white scale bar represents 1 unit of antigenic distance, corresponding to a
561 twofold dilution of antiserum in the HI assay. The strains are colored by phylogenetic cluster: H1 α (pink),
562 H1 β (cyan), H1 γ (green), H1N1pdm09 (red) and H1 γ -2 (purple). The strain names for the numbered purple
563 isolates are shown in the legend.

564

565

565

566 Table 1. Average percentage pairwise nucleotide distances within and between H1 antigenic clades

	Within (%)	Between (%)					
		H1 α	H1 β	H1 γ	H1 γ -2	H1pdm09	H1 δ 1
H1 α *	14.5						
H1 β	5.1	18.9					
H1 γ	2.4	17.8	13.2				
H1 γ -2	4.3	19.7	15.8	11.8			
H1pdm09	1.7	18.4	14.1	9.40	13.2		
H1 δ 1	3.0	43.8	40.0	41.0	41.6	41.6	
H1 δ 2	4.7	44.3	40.0	40.0	40.2	41.7	11.3

567 *H1 α is represented by 2 isolates in USDA surveillance data from 2009-2014 (see Table S2);

568 consequently, these data may not represent true diversity.

569

570 Table 2. Average percentage pairwise nucleotide distances within and between cluster IV H3 antigenic
 571 clades designated using the terminology of Kitikoon et al. (2013).

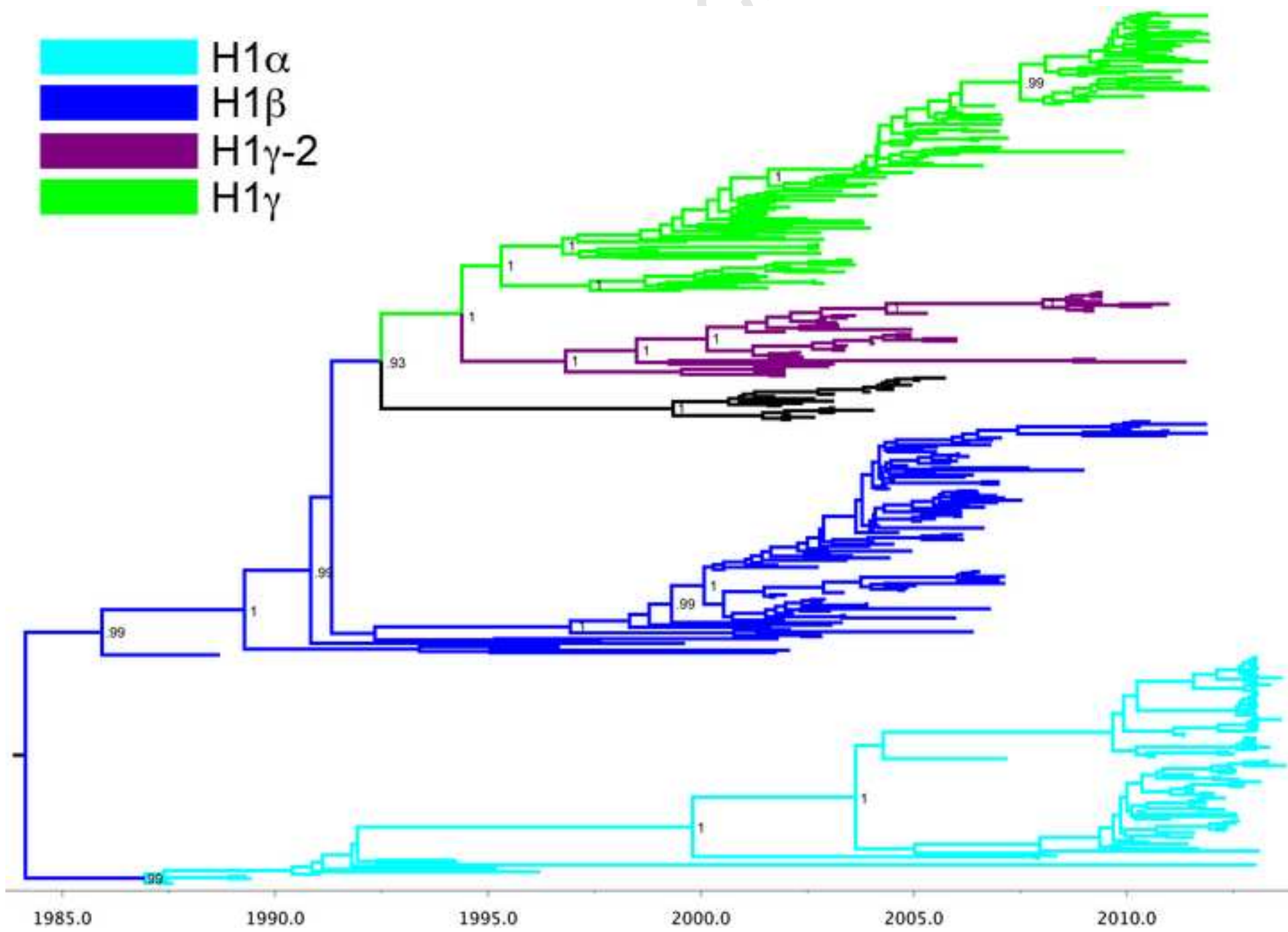
572

		Between (%)					
	Within (%)	IV	IV-A	IV-B	IV-C	IV-D	IV-E
IV	4.0						
IV-A	1.3	5.9					
IV-B	3.4	5.8	6.3				
IV-C	1.4	6.6	7.0	7.1			
IV-D	4.1	5.4	6.1	6.0	6.8		
IV-E	1.3	5.6	5.6	6.1	6.9	5.5	
IV-F	1.3	6.5	6.6	7.3	7.6	6.6	6.7

573

574

Figure 1



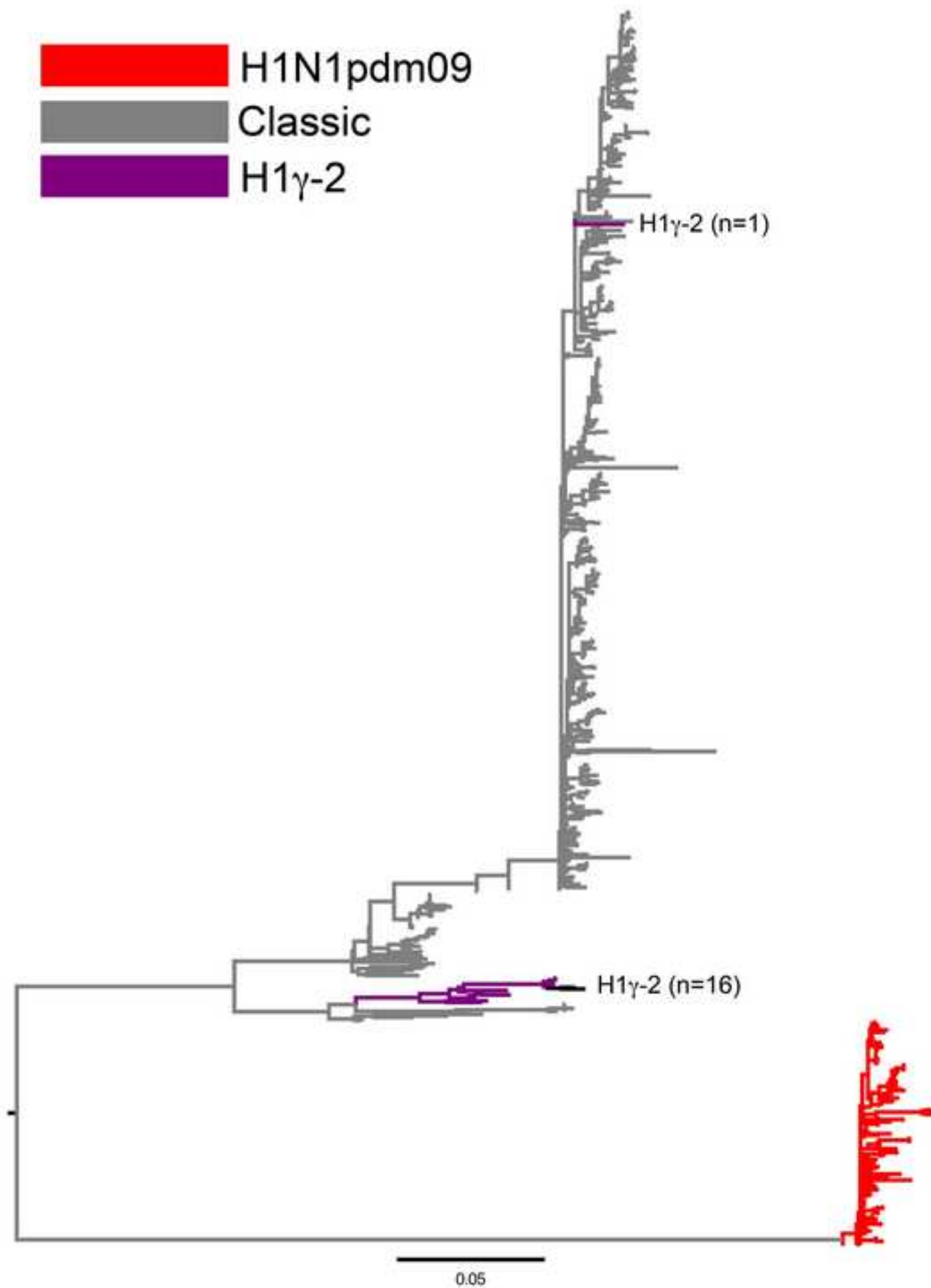


Figure 3

