

1 Practical Issues for Atom Probe Tomography Analysis of III-Nitride Semiconductor

2 Materials

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14 **Abstract:**

15 Various practical issues affecting atom probe tomography (APT) analysis of III-nitride
16 semiconductors have been studied as part of an investigation using a **c**-plane InAlN/GaN
17 heterostructure. Specimen preparation was undertaken using a focused ion beam microscope
18 (FIB) with a mono-isotopic Ga source. This enabled the unambiguous observation of
19 implantation damage induced by sample preparation. In the reconstructed InAlN layer Ga
20 implantation was demonstrated for the standard ‘clean-up’ voltage (5 kV), but this was
21 significantly reduced by using a lower voltage (e.g. 1 kV). The characteristics of APT data
22 from the desorption maps to the mass spectra and measured chemical compositions were
23 examined within the GaN buffer layer underlying the InAlN layer in both pulsed laser and
24 pulsed voltage modes. The measured Ga content increased monotonically with increasing

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25 laser pulse energy and voltage pulse fraction within the examined ranges. The best results
26 were obtained at very low laser energy, with the Ga content close to the expected
27 stoichiometric value for GaN and the associated desorption map showing a clear
28 crystallographic pole structure.

29

30 **Key words:** III-nitrides, InAlN, GaN, focused ion beam, Ga implantation, atom probe
31 tomography

32

33 INTRODUCTION

34 III-nitride semiconductors achieve light emission at wavelengths spanning from the
35 ultraviolet to near infrared by exploiting the range of direct band gaps of AlN, GaN and InN
36 and their alloys ([Ambacher, 1998](#); [Jarjour, et al., 2007](#); [Nakamura, et al., 1995](#); [Speck &
37 Chichibu, 2009](#); [Zhu, et al., 2013](#)). This leads to wide-ranging current and future applications
38 in optoelectronics, for instance, light emitting diodes (LEDs), laser diodes (LDs) ([Zhu, et al.,
39 2013](#)) and single photon sources ([Jarjour, et al., 2007](#)). Blue and green LEDs and LDs
40 typically employ ternary quantum well (QW) structures in their active regions which improve
41 their quantum efficiency due to the confinement of carriers ([Nakamura, et al., 1995](#)). Internal
42 quantum efficiencies in excess of 70% are routinely achieved for blue-emitting QWs, which
43 is rather surprising given that typical GaN-based devices are grown heteroepitaxially on
44 substrates such as sapphire and silicon, and the resulting lattice mismatch leads to threading
45 dislocation densities in the $10^8 - 10^9 \text{ cm}^{-2}$ regime. Even defect densities several orders of
46 magnitude lower would render conventional III-V semiconductor devices inoperable,
47 suggesting that some aspect of the nanoscale structure of nitride QWs makes devices robust
48 to the effects of non-radiative carrier recombination at defect sites ([Oliver, et al., 2010](#)).
49 Further development requires precise nanoscale characterisation of these materials. However,

50 in the case of structural analysis by transmission electron microscopy (TEM) (Baloch, et al.,
51 2013; Oliver, et al., 2010; Rhode, et al., 2013; Smeeton, et al., 2003), there is significant
52 concern that the incident high energy electron beam may in fact damage the QWs, hence
53 leading to misinterpretations of the QW structure (Baloch, et al., 2013; Bennett, 2011;
54 Smeeton, et al., 2003). This concern has motivated the increasing application of atom probe
55 tomography (APT) characterisations in nitride research. In addition to avoiding the electron-
56 beam induced damage of TEM, APT has its own unique merits, however characterisation of
57 its limitations and development of optimised experimental protocols specifically for the
58 analysis of III-nitride materials requires further research.

59

60 APT is a microscopy technique based on the highly controlled field evaporation of individual
61 atoms from the surface of a very sharp needle-shaped specimen. It is capable of providing
62 three dimensional (3D) microstructural information with near atomic-scale resolution. The
63 chemical identity of evaporated atoms is determined by means of time-of-flight mass
64 spectroscopy (Gault, et al., 2012; Miller, et al., 1996). Until recently, the removal of an atom
65 from the sample in the experiment could only be triggered by the enhanced electric field
66 induced by application of high-voltage (HV) pulse. Hence, APT was conventionally limited
67 to the study of metallic materials, due to their sufficient electrical conductivity. However,
68 contemporary instruments have the capacity to replace the action of the HV-pulse with an
69 ultrafast laser pulse (Kellogg & Tsong, 1980). The advent of pulsed-laser APT has greatly
70 broadened the application of the technique into non-metallic materials, such as metal oxides
71 and nanocomposites (Devaraj, et al., 2013; Kirchhofer, et al., 2013; Tang, et al., 2010). In the
72 case of III-nitrides, Galtrey et al. in 2007 pioneered the application of APT to assess whether
73 indium ‘clusters’ were present in c -plane InGaN/GaN multiple quantum wells (MQWs)
74 (Galtrey, et al., 2007). Thereafter, a number of studies have been reported for both polar

75 ([Bennett, et al., 2011](#); [Galtrey, et al., 2008](#); [Gu, et al., 2013](#); [Müller, et al., 2012](#)), semi-polar
76 ([Prosa, et al., 2011](#)) and non-polar ([Riley, et al., 2014](#); [Tang, et al., 2015](#)) InGaN-based
77 structures, and for AlN-containing materials ([Choi, et al., 2012](#); [Mazumder, et al., 2013](#)). All
78 of these studies rely on pulsed-laser APT. When studying GaN nanowires, it has been found
79 that the measured Ga/N ratios deviated from expected stoichiometry at high laser energies
80 ([Agrawal, et al., 2011](#); [Diercks, et al., 2013](#); [Riley, et al., 2012](#); [Sanford, et al., 2014](#)). This
81 was attributed to the difference in electric field required to evaporate different elemental
82 species from the material, leading to uncontrolled evaporation and hence preferential loss of
83 nitrogen or nitrogen-containing ions from the experiment. [Dawahre et al.](#) in 2013 identified
84 similar limitation. In addition, that study also attempted HV-pulsed APT analysis of a bulk
85 GaN material. In that case, only a mass spectrum was reported, however, it contained a
86 number of unidentified, most likely spurious peaks. A large operational space of experimental
87 conditions remains to be fully explored in the APT analysis of III-nitrides.

88
89 The application of APT has also significantly benefited from the development of specimen
90 preparation techniques utilising the dual beam focused ion beam microscope (FIB). Using
91 FIB-based techniques site-specific APT samples can be made from a wide variety of
92 materials. A possible limitation of this approach is potential damage to the specimen by the
93 Ga ion beam. Although it is generally known in the APT community that the damage to the
94 material caused can be limited by lowering the FIB accelerating voltage during the final
95 “clean-up” step, for instance, typical Cu/Co layers and Si materials ([Larson, et al., 1999](#);
96 [Thompson, et al., 2006](#); [Thompson, et al., 2007](#)), there is no clear consensus as to the optimal
97 FIB conditions that should be employed for studying III-nitride materials in the ever
98 increasing literature on these materials ([Bennett, et al., 2011](#); [Choi, et al., 2012](#); [Dawahre, et](#)
99 [al., 2013](#); [Galtrey, et al., 2008](#); [Galtrey, et al., 2007](#); [Gu, et al., 2013](#); [Müller, et al., 2012](#);

100 [Prosa, et al., 2011](#)). Amidst these, variable ‘clean-up’ FIB voltages, up to 5 kV, have been
101 reported ([Bennett, et al., 2011](#); [Gu, et al., 2013](#)). When investigating Ga-containing materials,
102 this issue becomes challenging due to the question of differentiation of FIB-implanted Ga
103 damage from Ga originally present in the sample. Without validation of an effective approach
104 to clean-up, doubt is cast, particularly within the GaN community, on the reliability of APT
105 investigations of Ga-containing materials, for instance, the determination of unintentional
106 alloyed Ga in InAlN layer ([Choi, et al., 2014](#); [Kim, et al., 2014](#); [Smith, et al., 2014](#)) and the
107 width of InAlN/GaN interface where the possible existence of small amount of FIB-induced
108 Ga could cast shadow on the results.

109

110 In this work, the FIB-induced Ga implantation with different ‘clean-up’ voltages was
111 experimentally evaluated using an InAlN layer grown on a c -plane GaN pseudo-substrate.
112 InAlN/GaN structures are relevant to, for example, fabrication of high electron mobility
113 transistors ([Dawahre, et al., 2013](#)). The motivation for using InAlN rather than GaN in our
114 experiment was to facilitate the observation of Ga from FIB implantation. An approach was
115 also established to effectively differentiate the FIB-induced Ga from sample-contained Ga
116 based on the fact that a monoisotope Ga source was employed in FIB. The analysis has
117 revealed some unintentional incorporation of Ga into the InAlN layer during the growth
118 process, the origin of which has been discussed in some recent reports ([Choi, et al., 2014](#);
119 [Dawahre, et al., 2013](#); [Kim, et al., 2014](#); [Smith, et al., 2014](#); [Zhu, et al., 2012](#)). Since GaN
120 forms the basis of a wide range of optoelectronic and electronic devices, the impact of APT
121 experimental conditions on analysis of the GaN pseudo-substrate in both pulsed laser and
122 pulsed voltage modes was explored. This work aims to provide guidance on the practical
123 issues which must be overcome for accurate analysis of III-nitrides by APT.

124

125 MATERIALS AND METHODS

126 MATERIALS

127 The InAlN/GaN sample was grown on a pseudo-substrate by metal-organic vapour phase
128 epitaxy using a Thomas Swan 6 × 2” close-coupled showerhead reactor. The pseudo-
129 substrate consisted of ~ 6 μm of GaN grown on c -plane sapphire using the method described
130 by Datta et al. (Datta, et al., 2004). Prior to the InAlN growth, a ~ 500 nm thick buffer layer
131 of GaN was grown on the pseudo-substrate. InAlN was then grown at 790°C under a nitrogen
132 carrier gas using ammonia, trimethylindium (TMI) and trimethylaluminium (TMA) as
133 precursors. The optimised growth conditions identified by Sadler et al. in 2011 were
134 employed (Sadler, et al., 2011). Basic sample characterisation was carried out using XRD,
135 employing a Philips/Panalytical PW3050/65 X'Pert PRO high resolution horizontal
136 diffractometer, and details of the method may be found in Sadler et al. in 2011. The results of
137 these preliminary investigations suggested an InAlN layer thickness of 90 ± 10 nm and a
138 composition of (18 ± 2) site%, close to lattice matching. It should be noted that these values
139 assume no incorporation of Ga into the InAlN layer.

140

141 METHODS

142 APT sample preparation using a FIB-based in situ lift-out method

143 APT samples were prepared using a dual beam FIB (FEI Helios NanoLab™) in which a
144 Kleindiek micromanipulator was configured inside the chamber for *in-situ* lift-out (Larson, et
145 al., 1999; Thompson, et al., 2007). In order to systematically evaluate FIB implantation
146 caused by sample preparation, the following procedures were adopted:

147 (i) An InAlN/GaN sample was first coated with a ~50 nm thick Pt layer using a sputter coater
148 before loading into the FIB chamber;

149 (ii) A strip of Pt with dimensions of about 20 μm (length) \times 2 μm (width) \times 1 μm (thickness)
150 μm was further deposited on the sample surface using electron beam (e-beam) assisted Pt
151 deposition at voltages of 5-10 kV with beam currents of 1.4-2.7 nA. This approach was used
152 to avoid possible Ga implantation into the sample surface, which could occur during the FIB-
153 induced Pt deposition (Cullen & Smith, 2008; Thompson, et al., 2007);

154 (iii) Following the standard protocols (Thompson, et al., 2006; Thompson, et al., 2007),
155 hereafter, trench-cutting, extraction and mounting of the sample wedge were performed at 30
156 kV (FIB) with variable beam currents 9.3 nA to 24 pA, and from this sample wedge a number
157 of sections were mounted onto the Si posts of a standard flat-top microtip array coupon;

158 (iv) The samples were then sharpened using variable beam currents (0.44-0.13 nA) at a 15 kV
159 FIB voltage, making sure to retain at least \sim 80 nm of the Pt layer.

160 (v) The final FIB 'clean-up' procedure was carried out at different voltages (5, 3.5 and 1 kV)
161 on each individual sample. During this step the FIB processing was closely monitored via
162 simultaneously SEM imaging, and the milling was stopped at as close to the Pt/InAlN
163 interface as possible. Post APT examination of the very first evaporated ions in the data sets
164 showed no apparent Pt peak in the mass spectrum in the case of 3.5 kV clean up, but a very
165 small number of Pt counts in the cases of 1 kV and 5 kV. Thus, these Pt counts were ignored
166 in the later APT analysis of Ga-implantation.

167

168 **APT analysis**

169 APT experiments were carried out using a reflectron-fitted Cameca LEAP 3000X. The
170 system can be operated in either pulsed-laser mode or HV-pulsing mode. The laser position
171 was aligned onto the apex of the APT sample by maximising the detection rate. The laser had
172 a wavelength of 532 nm with a nominal pulse duration of 12 ps and a spot size of \sim 10 μm .

173 The base temperature of the sample was set at 30 K, and a constant pulse repetition rate of
174 200 kHz was used for both modes. 3D reconstruction was performed using the IVASTM
175 software package (CAMECA Version 3.6.6). To assist the reconstruction, SEM (scanning
176 electron microscope: FEI Helios NanoLabTM) images were also taken from some specimens
177 before and/or after APT analysis (Prosa, et al., 2013).

178

179 A pulsed laser mode was used to analyse the InAlN/GaN layer for the examination of the
180 FIB-implanted Ga content. The average detection rate was set at 0.005-0.01 average ions per
181 pulse. A laser pulse energy of 0.5 nJ was employed for analysis of the InAlN layer. In most
182 cases the laser energy was gradually reduced when the analysis reached the underlying GaN
183 to retain an appropriate stoichiometry. APT samples prepared with ‘clean-up’ voltages of 5,
184 3.5 and 1 kV were examined.

185

186 Both laser-pulsed and HV-pulsed analysis were carried out on the underlying c-plane GaN
187 buffer layer after the analysis of InAlN layer. The impact of laser pulse energy was examined
188 across a wide range of values from 0.004 to 1.0 nJ from a single APT specimen. About 10^6
189 detector hits were collected at each energy at a constant detection rate of 0.01 average ions
190 per pulse. The datasets were acquired in order of increasing laser energy, 0.004, 0.008, 0.015,
191 0.1, 0.3, 0.5, 1.0 nJ. This was followed by a repeat of the 0.3 nJ condition so as to estimate
192 the uncertainty caused due to the evolution of specimen geometry.

193

194 The effect of pulse fraction (PF) on HV pulsing mode was also explored. For these
195 experiments the detection rate was held constant at an average of 0.01 ions per pulse on the
196 same tip, and $\sim 10^6$ detector hits were collected at each pulse fraction: 30%, 20%, 40%, 25%
197 and 20% again for a repeated run. After completion of these, a data set of 10^6 ions at laser

198 pulse mode was also acquired at 0.065 nJ in order to get a direct comparison between the two
199 modes.

200

201 **RESULTS**

202 **Ga implantation induced by FIB sample preparation**

203 Natural Ga is composed of two isotopes, i.e. the 69 Da peak (Ga-69) with a natural
204 abundance of 60.1% and the 71 Da peak (Ga-71) with 39.9% abundance (Miller, et al., 1996).

205 The trimethyl gallium used for GaN growth is expected to exhibit this isotopic ratio.

206 However, the ion source in the FIB instrument contains monoisotopic Ga-69. The

207 reconstructed spatial distribution of all Ga atoms detected in the APT specimen made with a

208 5 kV ‘clean-up’ voltage is depicted in Fig. 1; this includes Ga atoms implanted in the FIB and

209 those from the original growth of the sample. Fig. 1(a) is a 3D reconstruction, for clarity

210 showing only 20% of the detected Ga atoms (royal blue). A 1 at.% In isosurface is used to

211 mark the InAlN/GaN interface. The z-axis marked in the figure represents the APT

212 reconstruction axis along the specimen tip, although the reconstructed image shown is

213 slightly tilted for a better visualization. This direction is almost perpendicular to the

214 InAlN/GaN interface and approximately parallel to the direction of incidence of the ion beam

215 during the annular milling and final cleaning steps, and hence is at approximately 180° to the

216 growth direction. Ga atoms are visible in the nominally InAlN layer both close to the tip apex

217 and close to the InAlN/GaN interface. A close-up of the apex of the specimen in Fig 1(a), is

218 shown in more detail Fig. 1(b), where for the sake of clarity only 50% of the **detected** Ga

219 atoms (royal blue, large size dots) and 50% of the **detected** Al atoms (light blue, small size

220 dots) are shown. Further, Fig. 1(c) represents a 5 nm thin slice extracted from (b) as indicated

221 by the rectangular box in Fig. 1(b). These reconstructions show significant levels of

222 implanted Ga towards the exposed top sample surfaces.

223

224 A 1D concentration profile for the Ga-69 content along the z-axis of the reconstruction in
225 Fig. 1 is presented in Fig. 2(a). This figure also includes corresponding data from the other
226 samples prepared using different 'clean-up' voltages. The z-coordinates of the three data sets
227 are aligned so that the InAlN/GaN interface is in the same position for each voltage. Close to
228 the apex of the tip (i.e. close to the minimum z in each case), a significant concentration of
229 monoisotopic Ga is seen for the samples prepared using 5 kV 'clean-up' voltage, but this
230 appears reduced at lower voltages. The measured Ga consists of about 95% Ga-69 Da,
231 suggesting a concentration of ~ 10 at.% for the FIB-implanted Ga. Measurable implantation
232 extends to a depth of ~ 20 nm for the case of 5 kV, whereas for the samples finished at 3.5
233 kV and 1 kV, implantation of Ga-69 was far less obvious, if present at all within the errors.

234

235 The unintentional incorporation of Ga during InAlN growth is also observed. Fig. 2(b) shows
236 a proximity histogram (proxigram) which plots the In, Ga and Al concentration as a function
237 of distance across the InAlN interface for the 5 kV-cleaned sample. Ga concentration
238 amounts to about 20 site% from the InAlN/GaN interface, and the Ga-69 profile indicates
239 that FIB implanted Ga is at a negligible level, suggesting that it originates from sample
240 growth. This proxigram reveals a relatively sharp transition from GaN to InAlN, and the
241 width of the interface is only ~ 1-2 nm when measured from 90% of average values of the
242 plateau regions in the InAlN layer to essentially zero in the GaN layer for both In and Al
243 profiles. However, in every case a long Ga tail is observed penetrating into the layer which
244 had been assumed to be pure InAlN with an amount of less than 1 at.%. The unexpected
245 incorporation of Ga into InAlN, in the absence of any Ga flux, was previously attributed to
246 the residual Ga-containing materials in the growth environment (Choi, et al., 2014; Kim, et
247 al., 2014; Smith, et al., 2014) and the possible inter-diffusion from the underlying GaN layer

248 (Dawahre, et al., 2013; Zhu, et al., 2012). Our XRD analysis of the In content of this layer
249 assumed that the InAlN layer did not contain any Ga. It is interesting to note that despite this
250 source of error, in the APT data at the distance of 60 nm region away from the InAlN/GaN
251 interface in Fig. 2(b) the measured In content is approximately 20 site%, in reasonable
252 agreement with the XRD value.

253

254 **APT analysis of c -plane GaN in laser-pulse mode**

255 Fig. 3 shows desorption maps of the GaN buffer layer collected at different pulse laser
256 energies for the cases of 0.004 nJ, 0.1 nJ and 0.5 nJ; each data set contains about 10^6 ions.
257 The desorption map is the 2D histogram of collected ion-hits across the surface of the
258 position sensitive APT detector. Areas with a low density of hits are shaded purple and blue,
259 and high density areas are shaded orange and red. A crystallographic pole structure with
260 distinct 6-fold symmetry is clearly revealed in the case of 0.004 nJ experiment (Fig. 3(a)),
261 corresponding to a $\{0001\}$ plane projection. With increasing laser energy the pole structures
262 gradually become more blurred.

263

264 The mass spectra obtained at different laser energies are presented in Fig. 4. Fig. 4(a) is an
265 overview of mass spectrum at 0.004 nJ, and Figs. 4(b) and 4(c) highlights a region of interest
266 within the mass spectra compared across selected laser energies. In Fig. 4(a), the identified
267 nitrogen and gallium ionic species detected in the APT experiment are N_2^+ , N^+ , and N^{2+} ions,
268 and Ga^+ , Ga^{2+} , and Ga^{3+} respectively, and the complex molecular ions of N_3^+ , GaN^{2+} and
269 GaN_3^{2+} are also present. The contribution of N_2^{2+} at 14 Da may be treated as negligible,
270 because no significant 14.5 Da peak was observed, in agreement with previous reports
271 (Agrawal, et al., 2011; Dawahre, et al., 2013). Complex-molecular ions are seen at high laser
272 energy conditions, whereas the high charge state Ga^{3+} ions appear at low laser energy as

273 depicted in Fig. 4(b). The main peaks of N_2^+ , Ga^{2+} and Ga^+ ions also varied considerably with
274 increasing pulse laser energy, as depicted in Fig. 4(c). In the case of 0.004 nJ the dominant
275 peak in the mass spectrum is N_2^+ at 28 Da, but it shifts to Ga^+ at 69 Da at 0.3 nJ and over.
276 The mass spectra in Figs. 4(b) and 4(c) were normalized relative to the Ga^{2+} peak (34.5 Da)
277 to facilitate comparison. A small number of GaN_2^{2+} ions appears only at a relatively high
278 laser energies, which might be related to the stability of these molecular ions at certain field
279 strengths (Tsong, 1984).

280

281 A quantitative analysis of the mass spectra at different laser energies is illustrated in Fig. 5. In
282 Fig. 5(a), the charge-state-ratio of Ga^+/Ga^{2+} ions increases over 100 times as the laser energy
283 increased from 0.004 nJ to 1.0 nJ. This clearly indicates the effect of the reduced electric field
284 that is required as a result of the increase in laser pulse energy. The measured ratios of
285 molecular species of GaN_3^{2+} over all detected ions increases with increasing laser energy up
286 to 0.1 nJ, and then decreases (Fig. 5(b)). The reason for this reduction remains unclear, but it
287 is presumably related to irregular evaporation at high laser energies. The percentage of the
288 total number of detector hits that were multiple hit events (Fig. 5(c)) decreased with
289 increasing laser energy from about 22% at 0.004 nJ to 4% at 1 nJ. Fig. 5(d) shows the
290 variation with laser energy of the ranged ion ratio which can be considered an approximate
291 measure of the signal-to-noise ratio. It was calculated by taking the ratio of the total number
292 of ranged ion counts (i.e. detector hits identified as actual ions from the specimen and
293 incorporated into the reconstruction) to the total number of all counts (ranged and unranged)
294 across the entire mass spectrum from 0 to 120 Da. This suggests that the signal to noise ratio
295 is best at relatively low pulse laser energy, i.e., less than 0.1 nJ, but deteriorates at higher
296 energies. Fig. 5(e) shows the influence of laser energy on Ga content which changed
297 gradually with increasing laser energy. The GaN layer is expected to exhibit a 1:1

298 stoichiometric composition. The Ga content approached the expected value of 50% only at
299 the lowest pulse laser energy (~ 55% at 0.004 nJ), whereas the most severe deviations are
300 observed at high energy (~ 95% at 1.0 nJ).

301

302 The effective pulse fraction for laser energy was also calculated using a similar approach to
303 that used in reference (Gault, et al., 2010). The representative standing voltage was estimated
304 from the voltage curve obtained at each laser energy. It was then plotted against the
305 corresponding laser energy, from which a reference voltage (here, 9650 V) at zero laser
306 energy was estimated by graphically extrapolating the tendency of the curve. It should be
307 noted that the plot was not suitable for a linear fit. The estimated effective pulse fraction was
308 below ~ 5% for laser energies less than 0.015 nJ, and ~ 14% at 0.1 nJ and ~ 30% at 1.0 nJ

309

310 **APT analysis of c -plane GaN in HV-pulse mode**

311 APT analysis of GaN buffer layer in HV pulsing mode for different pulse fractions (PFs) is
312 shown in Fig. 6. Fig. 6(a) depicts a desorption map acquired at a 20% PF, showing
313 crystallographic pole structures. Increasing the pulse fraction from 20% to 40% led to a slight
314 degradation of the pole structures in terms of the 2D visualisation. Additional significant
315 erroneous peaks were also observed after each large peak such as N^+ , N_2^+ , Ga^{2+} and Ga^+ ions
316 (as designated in Fig. 6(b) by the arrows and labeled in Fig. 6(c)), the mechanism behind
317 which is not clear. As such, the identification of peaks in the mass spectrum is much more
318 complicated in voltage mode and presents significant difficulty in obtaining appropriate
319 compositions.

320

321 The mass spectrum obtained at the 20% PF is illustrated in Fig. 6(b). Similar to the mass
322 spectrum obtained at the 0.004 nJ in Fig. 4(a), the dominant detected ionic species are N_2^+

323 and N^+ ions for N, and Ga^+ , Ga^{2+} for Ga. The higher charge state ion of N^{2+} and Ga^{3+} ions
324 and the molecular species N_3^+ , GaN^{2+} , and GaN_3^{2+} were also observed. The striking feature in
325 the mass spectrum is the presence of broad peaks associated with the larger peaks, as
326 indicated by the arrows. The origins of these broad peaks are unclear, since it is apparent that
327 they are not representative of a time-of-flight of an ion from the specimen to the detector. Fig.
328 6(c) shows the Ga^+ and Ga^{2+} peaks at the different pulse fractions, where the counts were
329 normalized with respect to the 34.5 Da peak associated with the Ga^{2+} ions for comparison.
330 The relative position of the two broad peaks associated with Ga^+ and Ga^{2+} was approximate 2
331 Da and 1 Da respectively, as depicted in the figure.

332

333 The voltage PF also showed a considerable impact on the measurement of the Ga content
334 (Fig. 6(d)). Although about 50 at.% Ga was measured at the 20% PF, it shifted markedly, to
335 75 at.%, at the 40% PF. After the identification of normal peaks in the mass spectrum, the
336 broad peaks following the known large peaks such as N^+ , N_2^+ , Ga^{2+} and Ga^+ ions were
337 assigned in the regions where they have prevalently large counts over the adjacent
338 backgrounds. For example, the broad peak associated with N_2^+ (28 Da) was ranged as ~29.1-
339 29.7 Da in the case of 40% PF. The broad peaks in the mass spectrum induced an uncertainty,
340 of up to 5 at.%, on the measured Ga composition. It should be noted that the analysis of broad
341 peaks is difficult, and here is merely to provide a crude estimation in terms of their influences
342 on the quality of mass spectrum and measured composition. The ranged ion ratio over entire
343 mass spectrum (0-120 Da) was about 80% without taking the broad peaks into account. In
344 addition, the percent of multiple hits decreased from 18% at 20% PF to 7% at 40% PF.

345

346 **DISCUSSION**

347 **Evaluation of FIB-induced Ga damage and unintentional Ga incorporation during**
348 **growth**

349 To ensure that the implanted Ga retained in the APT reconstruction resulted from the ‘clean-
350 up’ stage of the sample preparation, the FIB-induced damage during the sample shaping prior
351 to clean up was minimised by using a 15 kV FIB voltage for shaping, instead of the more
352 usual 30 kV. In addition, a thick Pt layer (i.e. thicker than ~ 80 nm) was retained before
353 starting the ‘clean-up’ procedure, again to try and prevent Ga implantation into the InAlN
354 during the sample shaping stage. Previously, [Thompson et al. in 2007](#) ([Thompson, et al.,
355 2007](#))) estimated the stopping range of Ga ions in Pt using SRIM, and it was found that a Pt
356 layer with a 10 nm thickness was necessary in order to avoid Ga implantation at 30 kV, and
357 [Cullen et al.](#) ([Cullen & Smith, 2008](#))) found that the region affected by FIB can be up to 32
358 nm at 30 kV in an AlGa_N-based structure. It should be noted that the work by [Cullen et al.](#)
359 was based on the TEM examination, whereas the effects of Ga-implantation damage in this
360 work has been directly characterized from an APT reconstruction.

361

362 The original intention of this study was to use InAlN as a Ga-free nitride material to assess
363 FIB damage in this family of materials. However, it ultimately proved necessary to use the
364 isotopic purity of the FIB implanted Ga to differentiate it from Ga unintentionally
365 incorporated during crystal growth. FIB-induced Ga was clearly identified within the samples
366 prepared with relative high ‘clean-up’ voltages of 5 kV ([Figs. 1 and 2](#)), suggesting that a low
367 ‘clean-up’ voltage, below 5 kV, is necessary to minimize FIB-induced artefacts at the top of
368 the reconstructed volume. However, the amount of Ga implantation in the first few nm of the
369 reconstructed volume (i.e. the minimum z value in each curve [in Fig. 2\(a\)](#)) does not increase
370 monotonically with increasing ‘clean-up’ voltage. This measurement may in part be
371 influenced by the fact that some material at the very apex of the tip at the start of the APT

372 experiment could not be correctly collected and/or reconstructed. It is known that generally at
373 the apex for a newly prepared tip some material needs to be field evaporated before a stable
374 tip end-form may be formed. This process is likely to involve irregular field evaporation and
375 may lead to loss of the material that contained FIB-implanted Ga to a large extent (Thompson,
376 et al., 2007). Moreover, before APT data acquisition starts, the sample has to be precisely
377 aligned relative to the local electrode. The effects of these will probably be limited, however,
378 it will not be consistent between samples and hence it is not possible to extract precise Ga
379 implantation depths from the APT reconstructions.

380

381 It should be noted that the FIB-induced Ga implantation was examined in an InAlN layer, and
382 Ga implantation in the FIB is closely related to sample properties, such as bond strength, ion
383 channelling effects (Kempshall, et al., 2001) and grain boundaries (Tang, et al., 2012). For
384 instance, it has been found that ion channelling effects may cause a reduced sputtering yield,
385 but an expected deeper penetration depth into materials in the FIB study of Cu grains
386 (Kempshall, et al., 2001). In terms of binary III-nitrides, the metal–N bond length in the
387 wurtzite structure increases from AlN, to GaN and to InN (Ambacher, 1998), being
388 associated with corresponding bond energy of 2.88 eV, 2.2 eV and 1.93 eV respectively
389 (Keller & DenBaars, 2003). It thus would be expected that the damage in GaN and InN
390 would be relative larger over that of AlN in identically operational conditions. Additionally,
391 this work can also provide some insight on the FIB-based TEM specimen preparation of III-
392 nitride materials.

393

394 **Desorption map, mass spectrum and measured composition**

395 The c -plane GaN buffer layer was examined as a function of pulse laser energy and voltage
396 pulse fraction (PF) respectively, and the characteristics of the desorption map, mass spectrum,

397 and measured composition are discussed in this section, with comparisons being drawn
398 between the two modes.

399

400 *(i) Desorption map*

401 The gradual degradation of the pole structures in the desorption maps (Fig. 3) with increasing
402 laser energy implies the occurrence of a less controlled sequence of field evaporation as the
403 surface ions are supplied with an increasing amount of thermal energy. It is known that this
404 thermal energy supplied by laser illumination can also induce surface migration on the tip
405 surface, introducing ion trajectory aberrations detrimental to reconstruction analysis (Gault, et
406 al., 2010). Hence, the visibility of crystallographic pole structures in desorption maps may be
407 used as an indirect reference for the quality of lateral resolution in 3D reconstructions. The
408 clearest pole structures were observed in HV-pulsing mode for the case of 20% PF (Fig. 6(a)),
409 being comparable to that obtained at low laser energy of 0.004 nJ (Fig. 3(a)), or perhaps even
410 slightly sharper. With increasing PF, the pole structures on desorption maps showed a slight
411 change in terms of the 2D visualization, although the detected Ga composition (discussed as
412 below) deviated considerably.

413

414 *(ii) Mass spectrum*

415 The major peaks in mass spectra obtained at relative high laser energy (e.g. larger than 0.1 nJ)
416 were associated with ‘thermal tails’ (Kirchhofer, et al., 2013). At higher laser energies the
417 specimen must dissipate an increasing amount of heat between pulses. Thermal tails occur
418 because, depending on the rate of this heat dissipation, for a time after the application of the
419 pulse there is a probability that surface atoms will maintain enough thermal energy to be field
420 evaporated. If this evaporation occurs long enough after the pulse, meaningful time-of-flight
421 information is lost and then the ion is simply registered as noise in the mass spectrum. Thus,

422 the reduction of the ratio of ranged ion counts (Fig. 5(d)) at high pulse laser energies is
423 related to the occurrence of these ‘thermal tails’ and uncorrelated field evaporation between
424 pulses while heat is dissipated.

425

426 The observed ion species in the mass spectrum in laser mode (Fig. 4(a)) are consistent with
427 previous reports on the analysis of various GaN-based semiconductors (Agrawal, et al., 2011;
428 Bennett, 2011; Dawahre, et al., 2013; Riley, et al., 2012; Sanford, et al., 2014). The relatively
429 large portion of multiple hit events at low laser energy (Fig. 5(c)) could be pertinent to the
430 dissociation mechanism proposed by Stepień et al. (Stepień & Tsong, 1998), since the
431 dissociation of a single complex molecular ion into two or more fragment ions after each
432 laser pulse (or voltage pulse (Yao, et al., 2010)) would lead to a multiple-hit event. Further,
433 since the probability of the dissociation process would tend to be larger at a relatively more
434 intense electric field, an increased fraction of multiple hits would be expected at a low laser
435 energy. This is in line with the decrease of molecular species observed in the low laser energy
436 regime in Fig. 4 (b). It should be noted that the fraction of multi-hits (e.g. ~ 22% at 0.004 nJ)
437 is not very high in contrast to other non-metallic materials for instance, TiSiN, where it can
438 amount to over 50% (Tang, et al., 2010).

439

440 For the mass spectra obtained at different PFs: broad peaks appeared after each large peak
441 (Figs. 6(b) and (c)). These broad peaks are associated with a longer recorded flight time than
442 that of the relevant main peak. Similarly, in the analysis of GaN materials, Dawahre et al. in
443 2013 found a number of as yet unidentified peaks, particularly in the range of 80-95 Da.
444 When analyzing AlMgSi, Gault et al. in 2012 observed a number of minor peaks in the mass
445 spectrum, which they term ‘replicas’. It was suggested that the minor peaks may arise from
446 the delayed evaporation induced by a reflected voltage pulse. While the peaks observed in

447 this study are more extreme, they could be interpreted in the context of the mechanism
448 proposed by [Gault et al.](#). Overall, interpretation of this phenomena closely depends on the
449 field evaporation mechanisms of wide band gap semiconductors and insulators which are not
450 well established ([Kelly, et al., 2014](#); [Sanford, et al., 2014](#); [Silaeva, et al., 2013](#); [Tamura, et al.,](#)
451 [2012](#)).

452

453 The broad peaks in the mass spectrum may account for over 10% of total counts. Without
454 including these peaks, the ratio of ranged ions over entire mass spectrum is about 80%, less
455 than those obtained in the cases with low pulse laser energies below 0.1 nJ ([Fig. 5\(d\)](#)). This
456 was further confirmed by the laser-pulsed run completed immediately after the final voltage-
457 pulsed run on the same tip at 0.065 nJ pulse laser energy, in which a ratio of about 90% was
458 observed. Ignoring the contributions from the broad peaks observed in pulsed voltage mode,
459 the mass spectra which show close to the expected GaN stoichiometry (i.e., 20% PF ([Fig.](#)
460 [6\(b\)](#)) and 0.004 nJ ([Fig. 4\(a\)](#))) have similar characteristics in both pulsing modes. Specifically,
461 the N_2^+ ions are the most intense peak for nitrogen species, and the Ga^+ ions for gallium
462 species, consistent with previous reports for pulsed laser ([Agrawal, et al., 2011](#); [Dawahre, et](#)
463 [al., 2013](#); [Riley, et al., 2012](#)) and pulsed voltage ([Dawahre, et al., 2013](#)) modes.

464

465 One of main reasons for the failure of an APT analysis is premature fracture of the sample,
466 which is induced by electrostatic stress ([Moy, et al., 2011](#)) imposed on the APT tip. When
467 analysing non-metallic materials, the sample may suffer a high stress level due to the large
468 voltage attenuation resulting from insufficient conductivity ([Melmed, et al., 1981](#)). The
469 electrical resistivity of GaN may be considerably influenced by the growth condition (e.g.
470 growth rate and thickness), the level of unintentionally doped impurity ([Götz, et al., 1999](#))
471 and crystal imperfection. For instance, a wide range of 10^{-2} -1 Ω cm was reported at 100-10 K

472 (Ilegems & Montgomery, 1973). Pulsed laser mode is therefore often used in preference to
473 pulsed voltage mode, because the increased temperature of the APT sample upon laser
474 illumination may reduce the field strength required for field evaporation, and thus alleviate
475 field-induced stress on the sample. In this work, however, the analysis with low laser energy
476 (less than 0.015 nJ) yielded an effective pulse fraction < 5%, which means that there is no
477 significant mitigation of the standing voltage. However, the APT sample in laser-pulse mode
478 does not suffer from the cyclic stresses occurring in voltage mode (Gault, et al., 2012) which
479 may be an advantage to avoiding fracture.

480

481 In addition, the mass resolving power (Gault, et al., 2012; Kelly, et al., 2014) of the main
482 peak at 69 Da in each mass spectrum obtained in HV mode was in the range of ~ 750-1030 at
483 full-width at half-maximum (FWHM), which was less than those obtained in pulsed laser
484 mode (the range of ~ 1190-1260). This was further confirmed by a run in pulsed laser mode
485 which was included after a series of voltage runs on the same APT tip. It should be noted that
486 the influence of tip geometry on the mass resolving power (Tang, et al., 2010) has not been
487 excluded in above estimations. In fact, the improved mass resolving power in pulsed laser
488 mode is generally expected, because energy deficits occurring in HV pulsing can induce a
489 spread in the time-of-flight of the ions, limiting mass resolving power (Müller &
490 Krishnaswamy, 1974). The broad peaks could have a detrimental influence on APT analysis
491 in voltage mode (as discussed above). This effect can lead to the difficulty in accurately
492 assigning mass spectrum peaks: for example, in the case of 30% PF where the broad peak
493 overlaps with the relevant Ga⁺ peak (Fig. 6(c)). Thus, HV pulsing mode was not a viable
494 option for the analysis of GaN semiconductors in this work.

495

496 (iii) Measured chemical composition of c-plane GaN

497 The measured Ga composition increased monotonically with increasing pulse laser energy
498 and voltage pulse fraction within the experimental ranges (Figs. 5(e) and 6(d)). A Ga content
499 close to nominal value of 50% can only be achieved at either low laser energy (ca. 0.004 nJ
500 with an effective pulse fraction < 5%) or the lowest voltage PF (ca. 20 %) within this work,
501 where in both cases the sample experiences a high standing voltage. The result in pulsed laser
502 mode is in agreement with previous reports on c -plane GaN samples prepared from epitaxial
503 wafers (0.14 to 2.0 nJ) (Dawahre, et al., 2013), and from c -oriented GaN nanowires (0.003 nJ
504 to 0.3 nJ) (Agrawal, et al., 2011). In both studies, a nitrogen content approaching the
505 expected value was obtained only at the lowest laser energies studied. It should be noted that
506 the detected Ga concentration appears non-uniform in the lateral directions (in approximate
507 X/Y plane in the desorption map) in each run, apparently related to the crystallographic
508 features such as poles and zone lines formed on the desorption maps. The observation is
509 consistent with the analysis of nanowires (Diercks, et al., 2013).

510

511 The loss of nitrogen ions in the APT measurement, particularly for those analyses undertaken
512 with increasingly high laser-pulse energies, remains an outstanding and poorly understood
513 issue. It has previously been proposed that this erroneous measurement involves the
514 generation of nitrogen neutrals which are not necessarily detectable by the experiment
515 (Agrawal, et al., 2011; Dawahre, et al., 2013; Diercks, et al., 2013). It has been speculated
516 that this may occur through the direct evaporation of neutral N_2 molecules from the surface of
517 the specimen, which would fail to be detected by the experiment. However, this proposed
518 mechanism still needs further investigation. Alternatively, it has also been proposed that N_2
519 neutrals can be formed by the dissociation of complex molecular ions post evaporation. Using
520 a correlation analysis of detected ions, Saxey (Saxey, 2011) provided evidence indicating that
521 the dissociation of larger complex ions. Specifically, it was shown that the dissociation of

522 GaN₃²⁺ ions into Ga⁺, N⁺ and N₂ species did play a role in the APT analysis of GaN.
523 However, this study also demonstrated that this was not the primary mechanism for the
524 compositional discrepancy, accounting for only a tiny fraction of the lost nitrogen.

525

526 A more straightforward explanation for this loss in compositional accuracy is that N and/or
527 N₂ ions evaporate preferentially to Ga. At higher laser energies there is an increase in the
528 evaporation of ions that are not correlated to the application of the laser pulse, as indicated by
529 Fig. 5(d). It is feasible that relative to Ga a greater amount of N ions (or complex N ions) are
530 erroneously identified as noise in the resulting mass spectrum, and hence a systematic
531 decrease in the measure composition of nitrogen. However, it is unlikely that this alone could
532 explain the observations in the low laser energy range (0.1 nJ and less) and in pulsed-voltage
533 mode. Another possibility is driven by the frequency at which N₂ ions tend to evaporate as
534 part of multi-ion events. This refers to situation where more than one ion is field evaporated
535 by a single pulse. This can lead to a phenomenon known as pile-up (Gault, et al., 2012),
536 which occurs when many ions of the same type arrive simultaneously at the detector. Pile-up
537 results in the situation where this excess of information cannot be processed and leads to
538 omission of these ions from the analysis. However, in view of the fact that measured Ga
539 concentrations close to the theoretical stoichiometric ratio of GaN were obtained at a relative
540 low laser pulse energy where a relative high fraction of multiple hits (e.g. about 20% in Fig.
541 5(c)) and a large ranged ion ratio in the mass spectrum (Fig. 5(d)) were involved, it is thus
542 expected that the contribution of multiple hit events to deficient nitrogen measurement is not
543 significant in this work. In addition, because the detected Ga composition close to the
544 theoretical value of GaN was associated with a high standing voltage irrespective of whether
545 pulsed laser or pulsed voltage mode was used, it is hypothesized that the underlying
546 mechanism leading to the erroneously measured composition might be similar for both cases.

547

548 **CONCLUSIONS**

549 Discerning the extent of potential FIB implantation damage during specimen preparation is a
550 significant concern for APT analysis for GaN-based materials. The application of
551 monoisotopic Ga-69 during FIB specimen preparation enabled differentiation of implanted
552 Ga from that naturally occurring in the specimen. Our analysis also demonstrated that
553 significant amounts of Ga are unintentionally incorporated into the InAlN during sample
554 growth.

555

556 The Ga implantation induced by FIB sample preparation was found within the reconstructed
557 APT data when the sample was prepared with a relative high ‘clean-up’ voltage, for instance,
558 in the first 10 nm of the analysis the implanted Ga content reached values as high as ~ 10 at.%
559 in the case with at a 5 kV clean-up voltage. However it is shown here that this with
560 appropriate precautions (Pt cap) and milling conditions (FIB clean-up voltages below 5 kV),
561 damage can be dramatically reduced and certainly limited to depths of less than 20 nm.
562 Hence, specimens should ideally be designed and fabricated such that any region-of-interest
563 for analysis is at least this depth from the apex of the tip.

564

565 There exists a strong dependency of the measured Ga composition on the applied laser pulse
566 energy. Measured composition were closest to the expected value for stoichiometry for the
567 lowest laser-pulse energy applied - 0.004 nJ. For low-laser pulse energy a clear pole structure
568 is seen in the desorption map, and surface migration of the atoms should therefore be
569 minimised and hence spatial resolution optimised. Further, the relatively large ratio of ranged
570 ion counts compared to all counts across the entire mass spectrum is indicative of the best

571 signal-to-noise ratio. However, under these conditions there is also a relatively large fraction
572 of multi-hit events (~ 22%).

573

574 A clear pole structure is also observed in the desorption map when the specimen is analysed
575 under HV-pulsing conditions. However, under these conditions anomalous broad peaks are
576 seen in the mass spectra. While **increasing** the pulse fraction did not affect the observed pole
577 structure significantly, the contribution of the unexplained peaks ion to the mass spectrum
578 degraded the quality of the analysis.

579

580 The results indicate that with appropriate FIB specimen preparation and experimental
581 conditions APT provides **a suitable** approach to compositional analysis of nitride
582 semiconductors, and validates many of the studies performed so far using this technique.

583

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588

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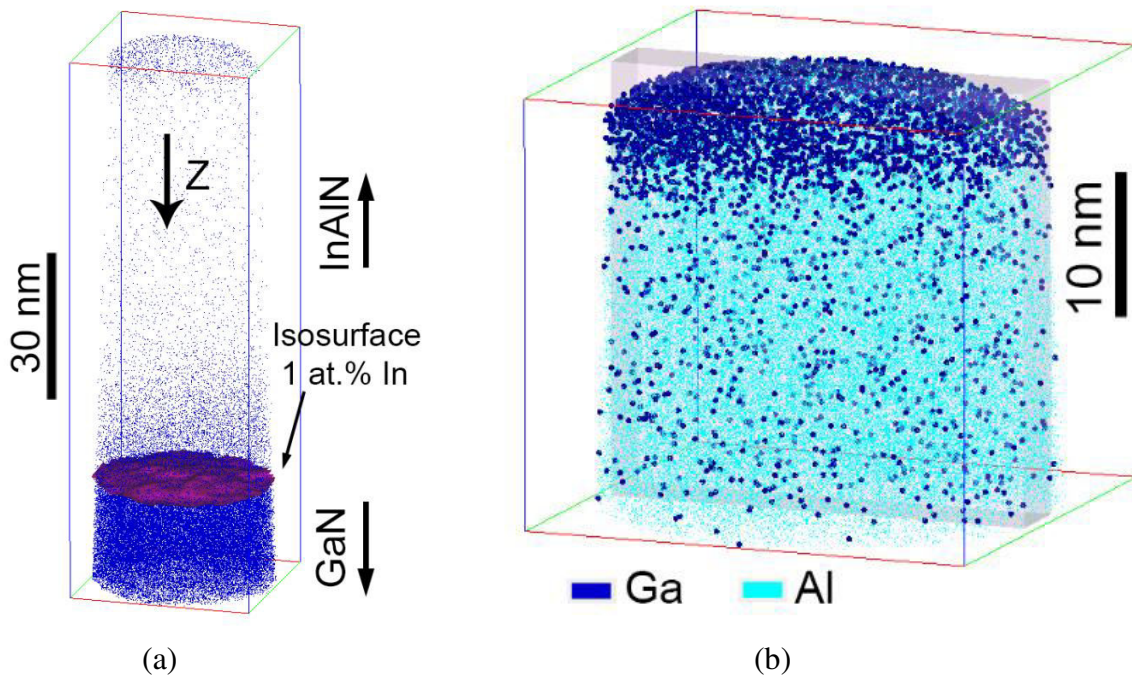
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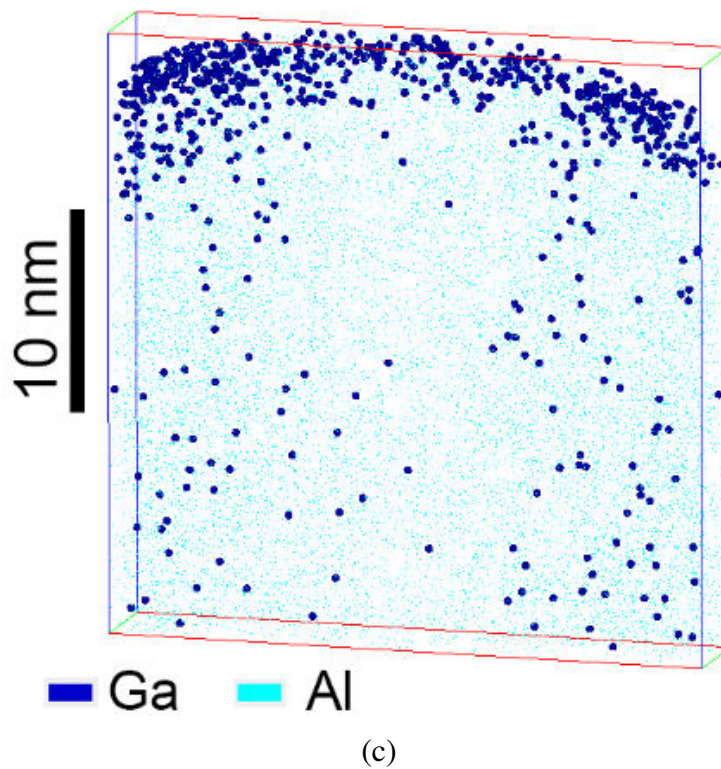
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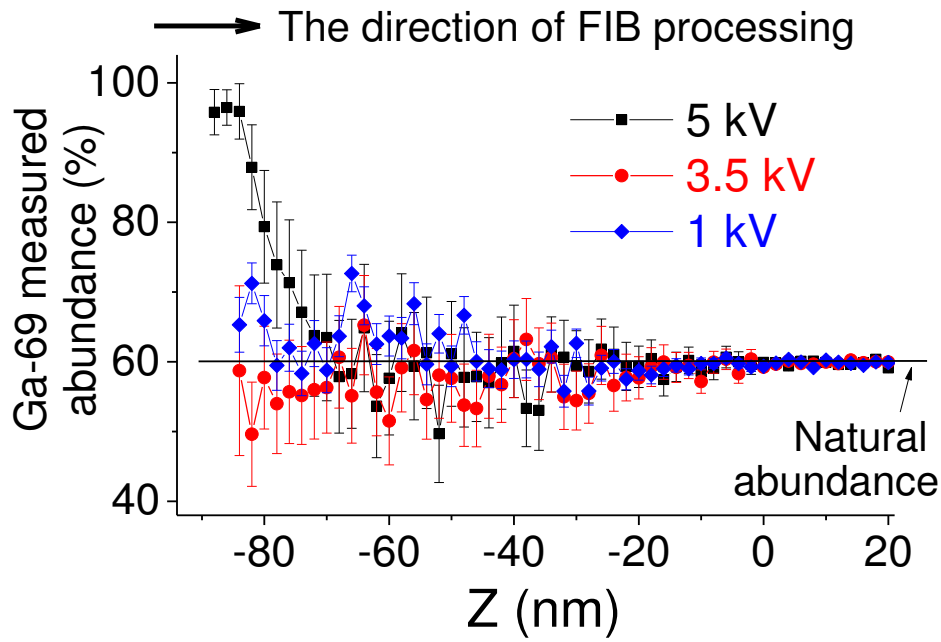
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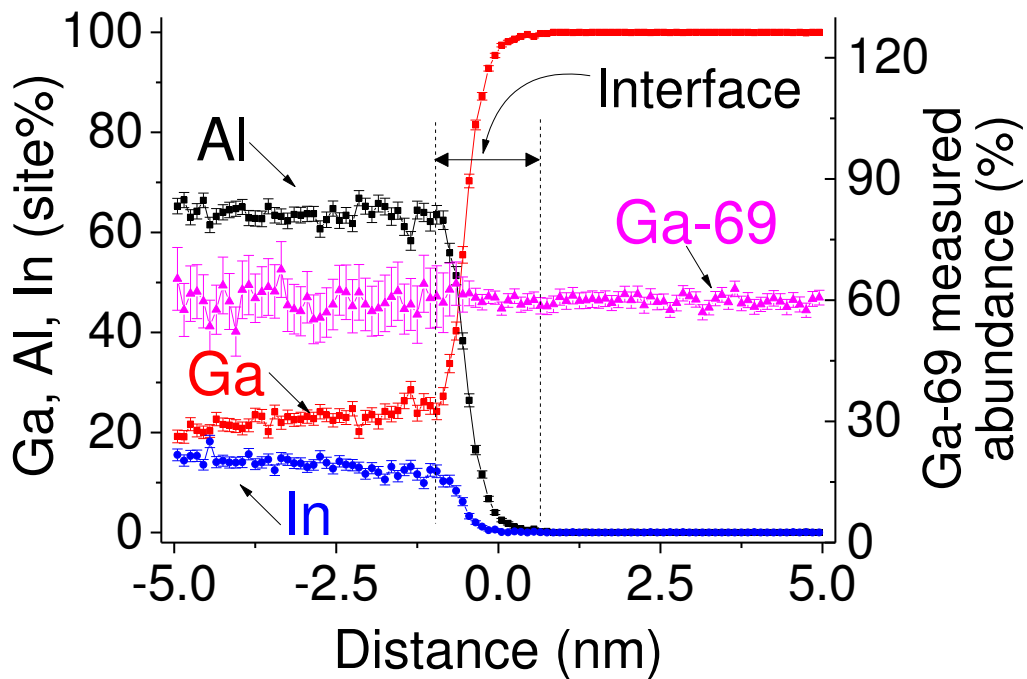
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747 **Figure 1.** Ga distributions in a tip with a ‘clean-up’ FIB voltage of 5 kV. (a) 3D
748 reconstruction showing 20% of the reconstructed Ga atoms, (b) 3D reconstruction from the
749 top of (a) displaying 50% of the detected Ga atoms and 50% of the detected Al atoms and (c)
750 of a 5 nm slice whose position is designated in (b).



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(a)



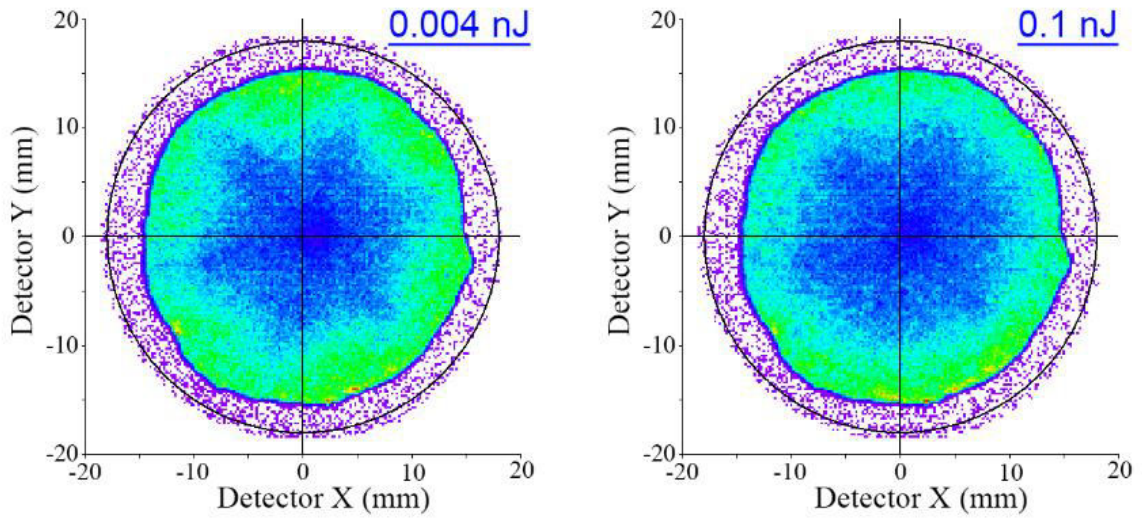
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(b)

755 **Figure 2.** The summary of 1D concentration profiles of (a) Ga-69 measured abundance along
 756 the z-axis (as designated in Fig. 1(a)) in three samples with variable ‘clean-up’ FIB voltages,
 757 in which the coordinates are aligned against the InAlN/GaN interface; and (b) the proxigram
 758 computed using 1 at.% In isosurfaces on the case with a 5 kV ‘clean up’ voltage, where a
 759 sharp interface across the InAlN/GaN heterostructure is revealed but no apparent FIB-
 760 implanted Ga as manifested by the Ga-69 profile.

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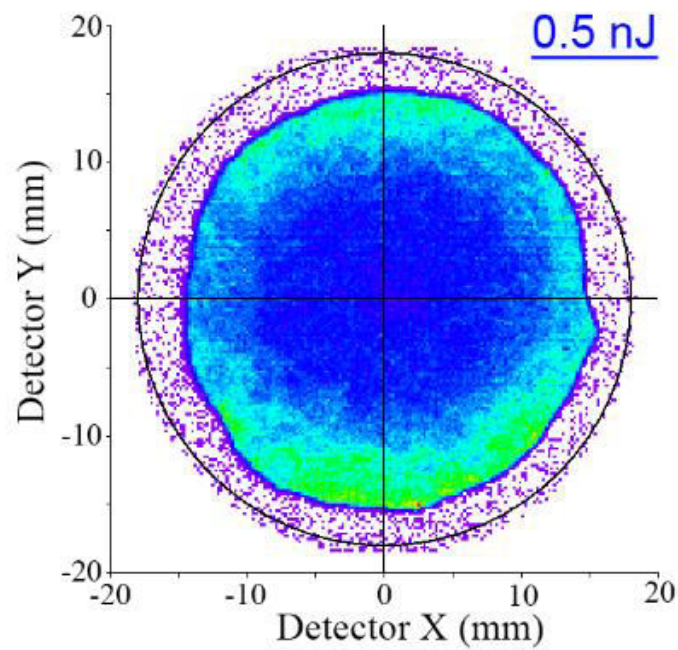
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(a)

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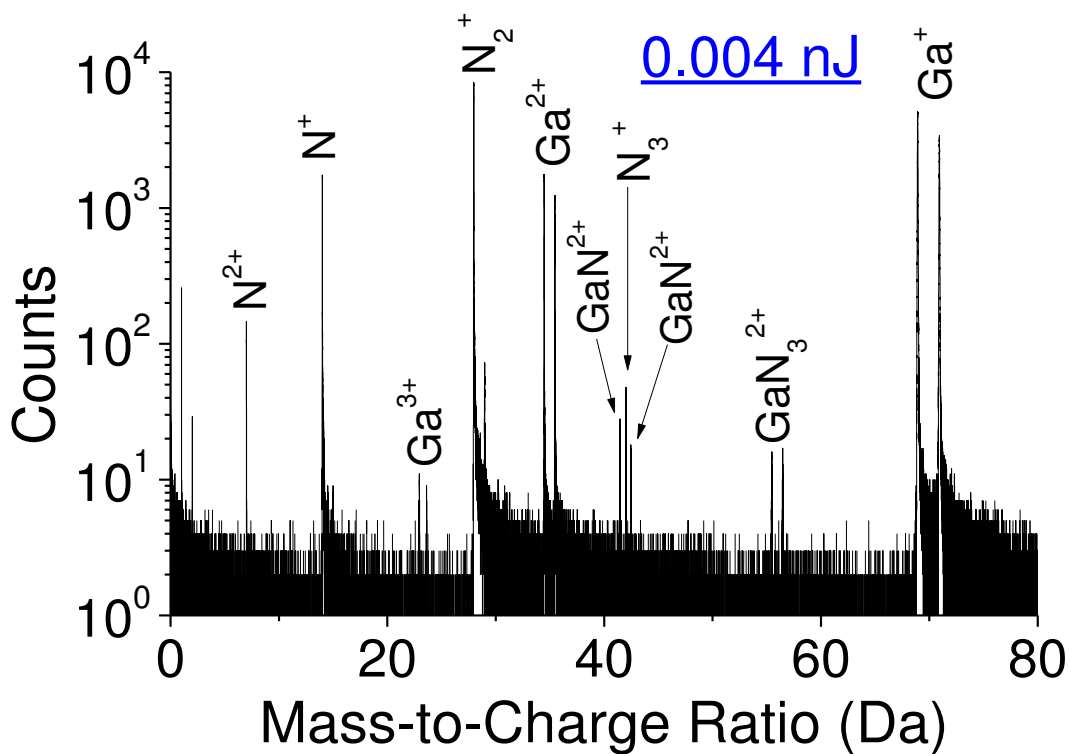
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(c)

768 **Figure 3.** Evolution of desorption map with increasing laser energy from the analysis of the
769 underlying GaN layer. Each data set is about 10^6 hits. The crystallographic pole structures in
770 the case at 0.004 nJ clearly reveals a 6-fold symmetry, indicative of $\{0001\}$ projection.

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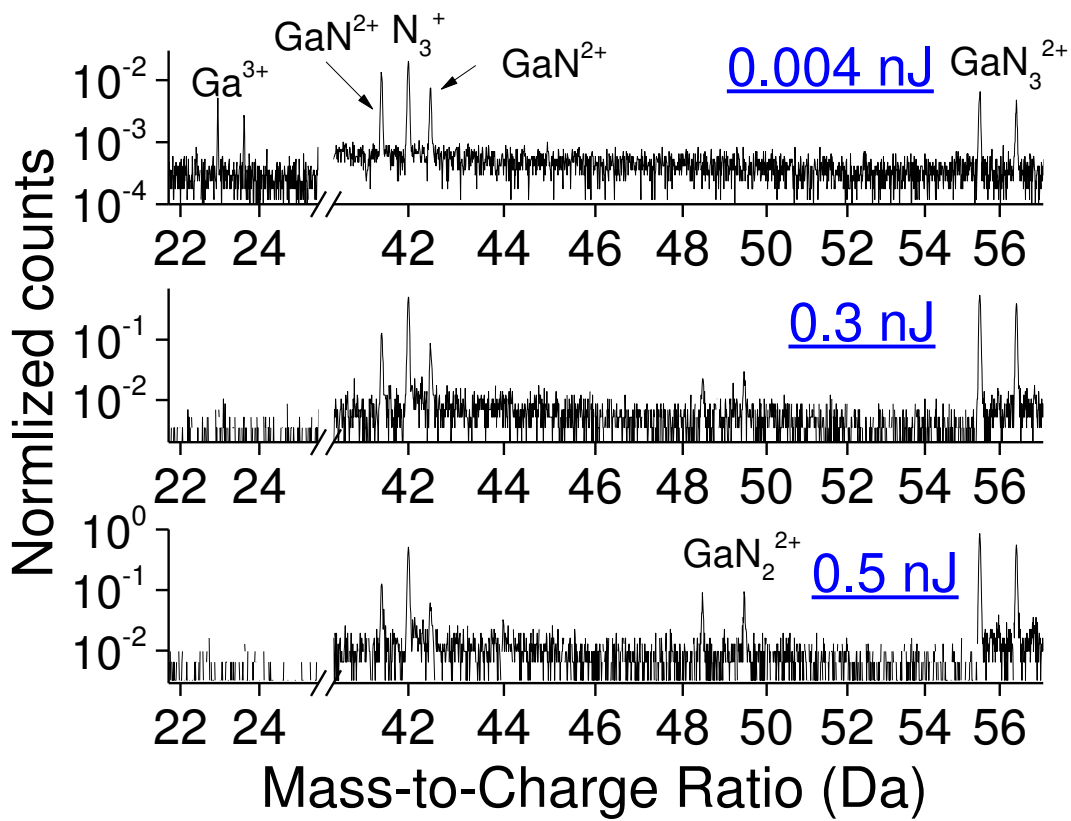


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(a)

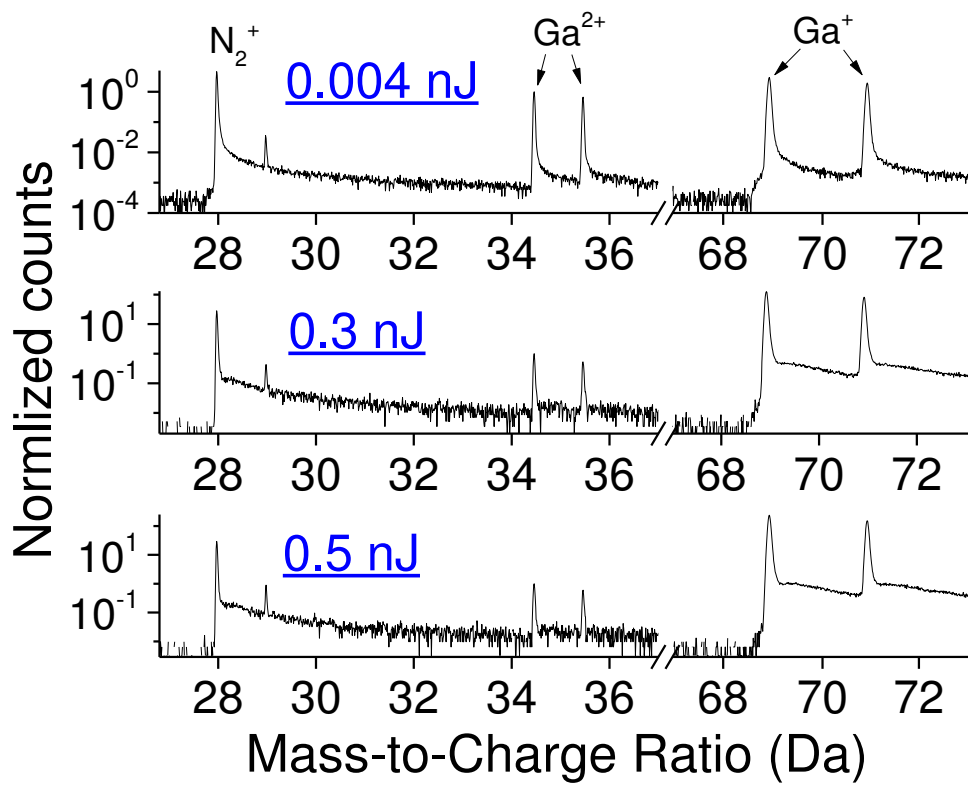


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(b)

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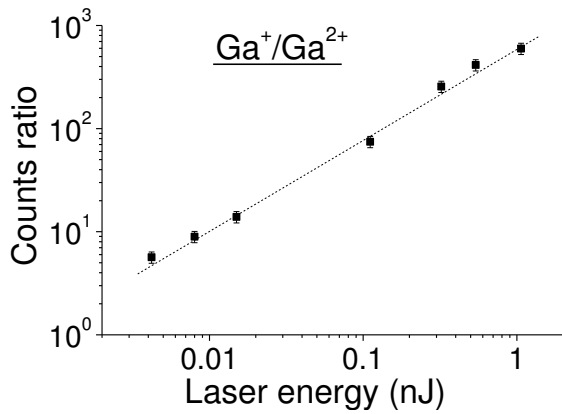


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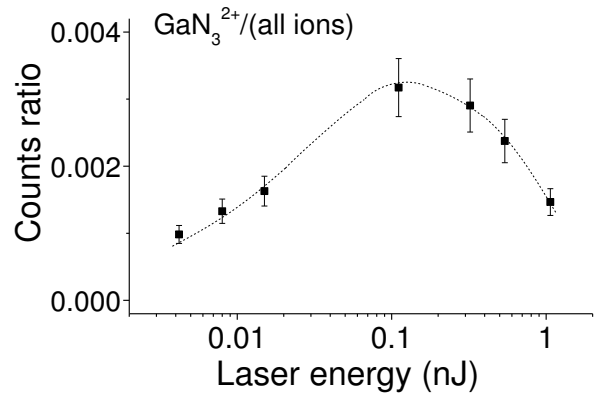
(c)

787 **Figure 4.** (a) Overview of mass spectrum obtained at the 0.004 nJ pulse laser energy, and the
788 evolution of Ga^{3+} , GaN^{2+} , GaN_2^{2+} and GaN_3^{2+} ions (b) and N_2^+ , Ga^{2+} and Ga^+ ions (c) as a
789 function of laser energy. In (b) and (c), each mass spectrum was normalized relative to the
790 peak of Ga^{2+} ions.

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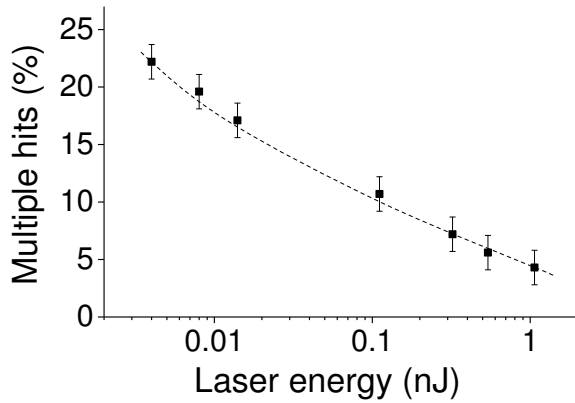


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(a)

(b)

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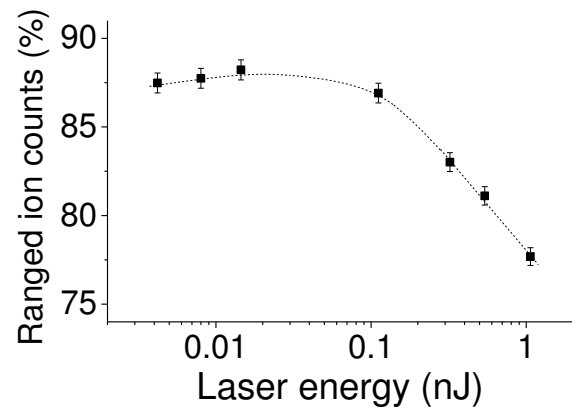


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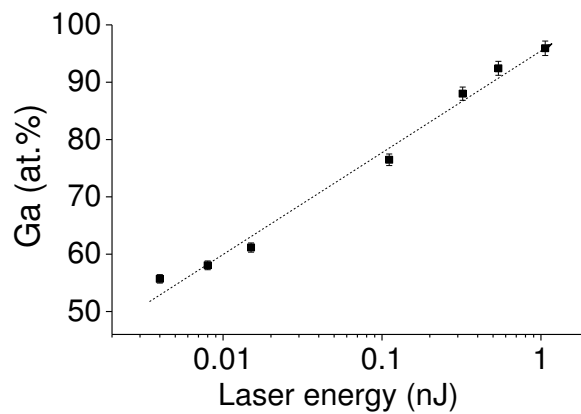
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(c)



(d)



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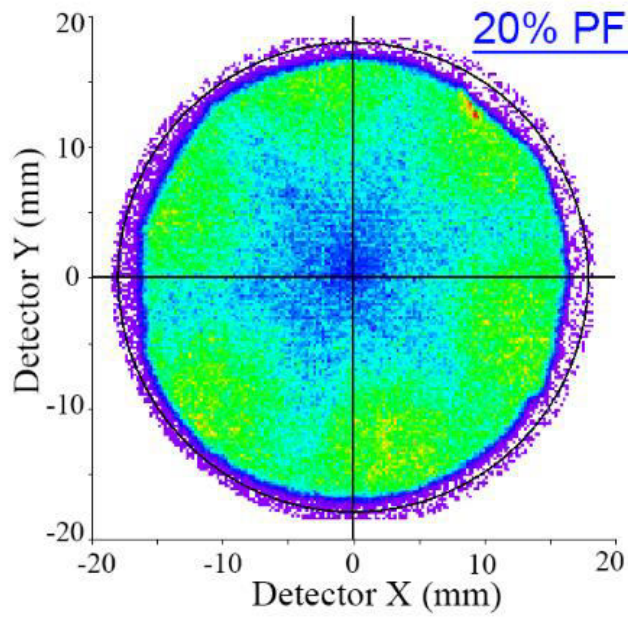
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(e)

800 **Figure 5.** The impact of laser energy on (a) the charge-state-ratio of $\text{Ga}^+/\text{Ga}^{2+}$ ions, (b) the
801 ratio of $\text{GaN}_3^{2+}/(\text{all ions})$, (c) the percent of multiple hit events, (d) the ratio of ranged ion
802 counts over that entire mass spectrum and (e) the detected Ga concentration. The curves are
803 drawn as guides to the eye.

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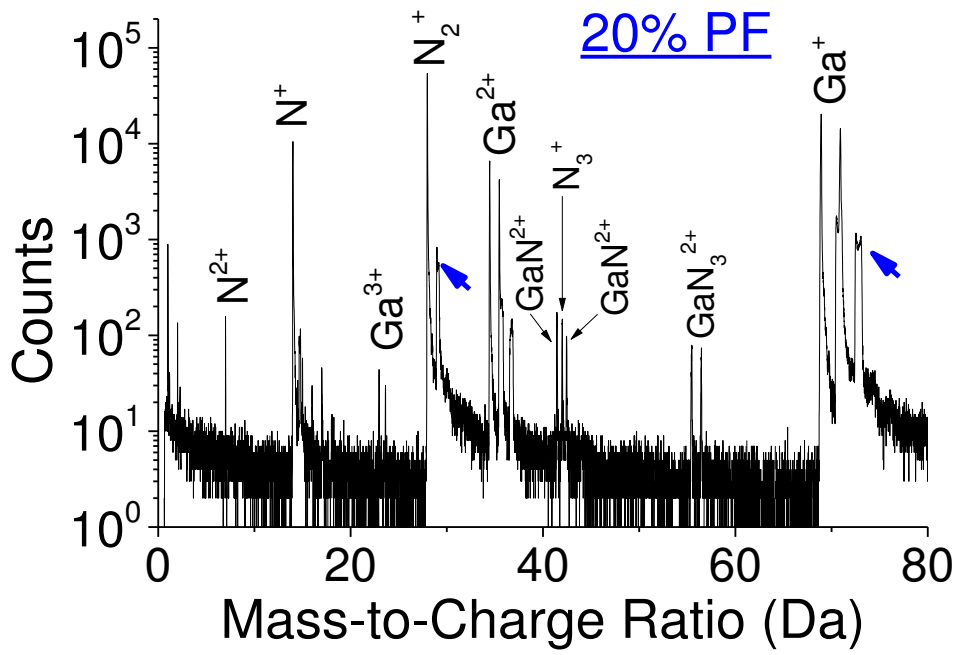


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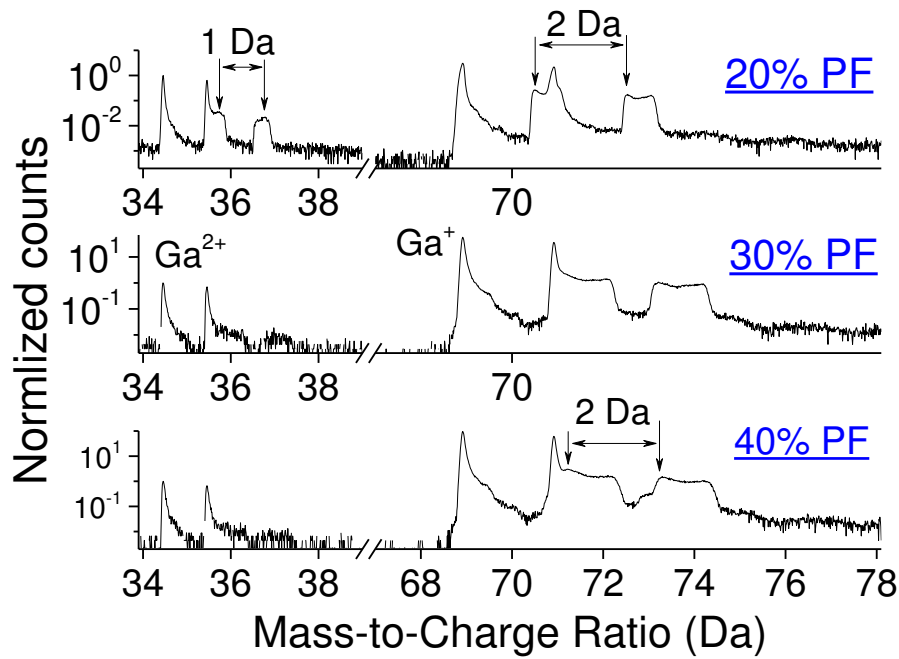
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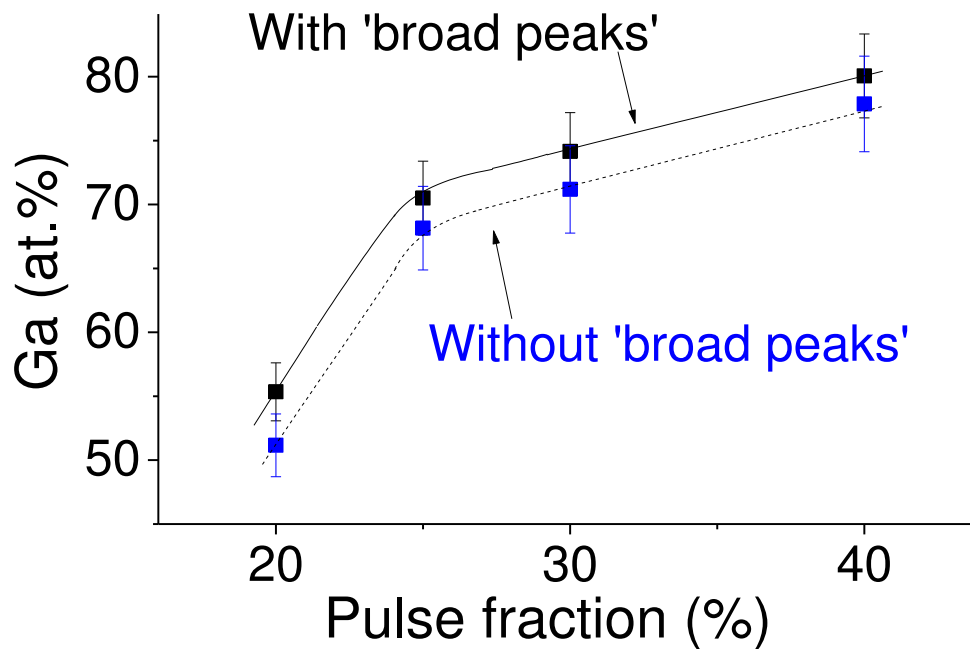
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(b)



(c)



(d)

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815 **Figure 6.** (a) A desorption map of GaN buffer layer collected at the voltage-pulse mode with
816 a 20% pulse fraction and (b) its corresponding mass spectrum, (c) the evolutions of Ga^+ and
817 Ga^{2+} peaks and anomalous peaks, where the mass spectrum was normalized with respect to
818 the counts of Ga^{2+} ions, and (d) Ga concentration as a function of pulse fraction, where the
819 line is simply drawn to guide the eye.

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