

1	Decoding Internally- and Externally-Driven Movement Plans
2	Abbreviated title: Internally- and Externally-Driven Movements
3	
4	Giacomo Ariani ¹ , Moritz F. Wurm ¹ , & Angelika Lingnau ^{1,2,3} *
5	
6	1: Center for Mind/ Brain Sciences (CIMeC), University of Trento, Via delle Regole 101, 38100 Mattarello
7	(TN), Italy
8	2: Department of Cognitive Sciences, University of Trento, Corso Bettini, 31, 38068 Rovereto (TN), Italy
9	3: Department of Psychology, Royal Holloway University of London, TW20 0EX Egham, Surrey, UK
10	
11	*Corresponding author:
12	Angelika Lingnau, <u>angelika.lingnau@unitn.it; angelika.lingnau@rhul.ac.uk</u>
13	
14	
15	
16	Conflict of interest: The authors declare no conflict of interest.
17	
18	Acknowledgements: We are grateful to Jens Schwarzbach and Seth Levine for comments on the design and
19	the manuscript, to Nick Oosterhof for advice on MVPA, and to Jens Schwarzbach for setting up the Arduino
20	for response collection. This research was supported by the Provincia Autonoma di Trento and the
21	Fondazione Cassa di Risparmio di Trento e Rovereto.
22	
23	Author contributions: G.A., and A.L. designed research; G.A. and A.L. performed research; G.A. and M.W.
24	analyzed data; and G.A., M.W., and A.L. wrote the manuscript.

26 ABSTRACT

27 During movement planning, brain activity within parieto-frontal networks encodes information about 28 upcoming actions that can be driven either externally (e.g. by a sensory cue) or internally (i.e. by a 29 choice/decision). Here we used multivariate pattern analysis (MVPA) of functional magnetic resonance 30 imaging (fMRI) data to distinguish between areas that represent (1) abstract movement plans that 31 generalize across the way in which these were driven, (2) internally-driven movement plans, or (3) 32 externally-driven movement plans. In a delayed-movement paradigm, human volunteers were asked to 33 plan and execute three types of non-visually guided right-handed reaching movements towards a central 34 target object, using a precision grip, a power grip, or touching the object without hand preshaping. On 35 separate blocks of trials, movements were either instructed via color cues (Instructed condition), or chosen 36 by the participant (Free-Choice condition). Using region-of-interest (ROI)-based and whole-brain 37 searchlight-based MVPA, we found abstract representations of planned movements that generalize across 38 the way these movements are selected (internally- vs externally-driven) in parietal cortex, dorsal premotor 39 cortex and primary motor cortex contralateral to the acting hand. In addition, we revealed representations 40 specific for internally-driven movement plans in contralateral ventral premotor cortex, dorsolateral 41 prefrontal cortex, supramarginal gyrus, and in ipsilateral posterior parieto-temporal regions, suggesting 42 that these regions are recruited during movement selection. Finally, we observed representations of 43 externally-driven movement plans in bilateral supplementary motor cortex and a similar trend in pre-44 supplementary motor cortex, suggesting a role in stimulus-response mapping.

45 SIGNIFICANCE STATEMENT

46 The way the human brain prepares the body for action constitutes an essential part of our ability to interact 47 with our environment. Previous studies demonstrated that patterns of neuronal activity encode upcoming 48 movements. Here we used multi-variate pattern analysis of human fMRI data to distinguish between brain 49 regions containing movement plans for instructed (externally-driven) movements, areas involved in 50 movement selection (internally-driven), and areas containing abstract movement plans that are invariant to 51 the way these were generated (i.e. that generalize across externally- and internally-driven movement 52 plans). Our findings extend our understanding of the neural basis of movement planning, and have the 53 potential to contribute to the development of brain-controlled neural prosthetic devices.

54 **INTRODUCTION**

55 In daily life we continuously select which movements to plan and execute. Parieto-frontal regions have 56 been implicated in the planning, execution and online control of eye and hand movements in a number of 57 human (Connolly et al., 2002; Filimon, 2010; Beurze et al., 2009; Leoné et al., 2014; Gallivan et al., 2011a, 58 2011b, 2013a; Binkofski et al., 1999; Fabbri et al., 2014; Barany et al., 2014; Cavina-Pratesi et al., 2010; 59 Tunik et al., 2005; Glover et al., 2012; Brandi et al., 2014; Gallivan & Culham, 2015) and monkey (Afshar et 60 al., 2011; Andersen & Cui, 2009; Fattori et al., 2010; Hoshi & Tanji, 2006; Lehmann & Scherberger, 2013; 61 Townsend et al., 2011) studies. Furthermore, pre-movement activity in both parietal and frontal regions 62 has been shown to encode different hand configurations (Raos et al., 2004, 2006; Murata et al., 2000; 63 Begliomini et al., 2007; Fluet et al., 2010; Gallivan et al., 2011a; Tunik et al., 2007; Verhagen et al., 2013).

64 Movements can be planned either on the basis of external cues in our environment (externally-65 driven), or in the absence of such cues (internally-driven). While it has been reported that the same 66 parieto-frontal areas involved during externally-driven movements are recruited during internally-driven 67 movements in monkeys (Pesaran et al., 2008; Cui & Andersen, 2007; Cisek & Kalaska, 2005, 2010), no 68 previous study directly compared the planning of internally- and externally-driven movements in humans. 69 Studies that compared externally- and internally-driven movements did not intend to separate movement 70 planning from execution (Bode et al., 2013; Oliveira et al., 2010; Zhang et al., 2012). By contrast, studies 71 separating between planning and execution focused on externally-driven movements and thus did not 72 allow distinguishing between internally- and externally-driven movements (Bernier et al., 2012; Beurze et 73 al., 2009; Gallivan et al., 2011a, 2011b, 2013a; Pertzov et al., 2011).

74 Here we aimed to distinguish between brain regions representing abstract movement plans that 75 are neither tied to specific external cues nor to internally-driven decisions, and brain regions representing 76 movement plans specific for internally-driven or externally-driven movements (Fig. 1A). We asked 77 participants to perform a delayed-movement paradigm in which they had to plan and execute one of three 78 different movements (i.e. reach to grasp with a precision grip, with a power grip, or reach to touch) toward 79 a single centrally-located object (Fig. 1B). On each trial, a visual cue either instructed to plan a specific 80 movement as instructed by the cue (Instructed condition, i.e. externally-driven), or it indicated to select 81 and plan one of the three movements (Free-Choice condition, i.e. internally-driven; Fig. 1C). We used 82 support-vector-machine (SVM)-based MVPA of fMRI data to compare the decoding of upcoming externally-83 and internally-driven movements. To examine abstract representations of movement plans that generalize 84 across the planning conditions, we used cross-condition classification, i.e. training a classifier to distinguish 85 between upcoming movements on the basis of externally-driven trials, and testing on internally-driven 86 trials, and vice versa.

87 We reasoned that areas containing abstract movement plans should show movement selectivity 88 that generalize across the planning condition. By contrast, areas involved in action selection should show 89 movement selectivity in the Free-Choice but not in the Instructed condition. Finally, areas involved in the 90 processing of sensory cues and/ or the mapping between such cues and the corresponding movements 91 should show movement selectivity in the Instructed, but not in the Free-Choice condition.

<< Figure 1 >>

92

93 MATERIALS AND METHODS

94 Participants. Twenty-five right-handed volunteers (12 males, 13 females; mean age: 27.2 years; age range: 95 21-54 years) took part in the study. All participants were neurologically intact and had either normal or 96 corrected-to-normal vision. The experimental procedures were approved by the ethics committee at the 97 University of Trento. Participants gave written informed consent and were paid for their participation. 98 Seven participants were subsequently excluded from data analysis: one due to technical problems with 99 video recordings (see Setup), one due to not completing the experimental session, and five due to severe 100 head motion. Rapid (i.e. taking place within one volume) head motion was detected on the basis of the 3 101 translation and rotation parameters resulting from 3D motion correction (cut-off criterion: > 1 mm for 102 translation, > 1 degree for rotation). Overall, 18 participants were included in the successive analyses.

103

Setup. Visual stimuli (i.e. fixation cross and fixation dot) were back-projected onto a screen (frame rate: 60
 Hz; screen resolution: 1024 × 768 pixels; mean luminance: 109 cd/m²) via a liquid crystal projector (OC EMP
 7900, Epson Nagano, Japan). Participants viewed the screen binocularly through a mirror mounted on the
 head coil (Fig. 1D). The screen was visible as a rectangular aperture of 17.8° x 13.4°. The auditory go-signal
 was delivered via MR-compatible headphones.

109 Participants performed unimanual (right hand only) reach-to-grasp movements (Fig. 1B) toward a 110 single, centrally located object (according to each participant's sagittal midline) mounted on top of a 111 workspace that consisted of a transparent plexiglas board attached to the scanner bed above the waist of 112 the participant (Fig. 1D). The target object consisted of two custom-made square pieces of wood, glued on 113 top of each other (Fig. 1D). To exclude uncontrolled visual stimulation by the sight of the own hands and 114 the object, or systematic eye movements towards the object, participants were scanned in a conventional 115 fMRI configuration (i.e., horizontally, without tilting the head towards the body; Fig. 1D) and were 116 instructed to maintain fixation throughout the experiment. This precluded direct viewing of their own 117 limbs, or the target object, while performing the task without visual feedback.

An MR compatible response button (Lumina LP 400, Cambridge Research Systems), attached to a custom belt around the waist, was pressed by the participant with the knuckles when at rest (home position, Fig. 1D). A microcontroller board (Arduino Uno) connected to the Lumina Controller positioned outside the magnet room was used to signal the release of that button. This time stamp was used to measure movement onset time. To enable movements as comfortable as possible, the position of the workspace and the response button were adjusted individually to match each participant's arm length (mean distance hand-object: 16.6 cm). Head and trunk movements were minimized by stabilizing the head and the upper right arm with foam blocks and cushions.

To monitor movement execution, we recorded each experimental session using an MR-compatible digital video camera (VP-D15i; Samsung Electronics) mounted on a tripod in a corner of the MR room (outside the 0.5-mT line). Stimulus presentation, response collection, and synchronization with the scanner were controlled using "ASF" (Schwarzbach, 2011), based on the Matlab Psychtoolbox-3 for Windows (Brainard, 1997).

132

Design. We used a mixed design with the factors *planning condition* (Instructed, Free-Choice) and *movement type* (precision grip, PRG; power grip, PWG; touch, TCH; Fig. 1B). *Planning condition* was blocked, *movement type* was randomized within blocks. In Instructed blocks, each movement type occurred equally often (3 times), and the color of the fixation cross indicated which movement to perform. In Free-Choice blocks, participants were instructed to choose one of the three movement types with no restrictions.

139

140 Procedure. To temporally isolate the neural processes associated with movement planning from movement 141 execution, we used a delayed-movement paradigm (Gallivan et al., 2011a, 2011b, 2013a; Andersen & 142 Buneo, 2002; Beurze et al., 2009; Fig. 1C). Each trial started with a grey fixation dot lasting for a variable 143 amount of time that served to alert participants of the upcoming trial. The duration of the fixation dot was 144 chosen from a geometric distribution (p = 0.3; 2000 - 6000 ms, in steps of 500 ms). The fixation dot was 145 followed by a colored fixation cross for 500 ms, either instructing the type of movement to perform 146 (Instructed condition), or indicating to select one of the movements (Free-Choice condition). The colored 147 fixation cross was followed by a jittered inter-stimulus-interval (ISI; Planning phase) independently chosen 148 from a geometric distribution with the same parameters as described above. At the end of the delay period 149 an auditory signal (duration: 100 ms, frequency: 350 hz, amplitude: 0.6) provided the GO-cue to start the 150 movement (Execution phase, 2500 ms), and to return to the home position after completion of the 151 movement. Participants were asked to keep the hand still and relaxed in the home position throughout all 152 the phases of the trial apart from the Execution phase. Reaction times were defined as the time when the 153 response button was released time-locked to the GO-cue.

While in the Instructed condition different color cues corresponded to different movement types, the cue always had the same, non-informative, color in the Free-Choice condition. We used two sets of color-cue assignments that were balanced across participants. Each participant completed a single experimental session consisting of a practice session outside the scanner (~20 min), the structural scan (~5 158 min), and 10 functional runs (~6 min each). Each functional run started and ended with 15 sec rest and 159 contained 4 blocks of trials (2 blocks per planning condition) separated by 15 sec rest each. Between the 160 second and the third block a longer rest period (25 sec) allowed participants to relax their right arm, wrist 161 and hand. The order of block types (I = Instructed; F = Free-Choice) was pseudo-randomized such that the 162 first two (or second two) blocks could never be of the same type (i.e., IFIF, FIFI, IFFI, or FIIF). Each block (~60 163 sec) consisted of 9 trials, for a total of 360 trials per participant. For the Instructed condition, after 164 excluding error trials, we had an average of 58.70 (range: 50-60) repetitions per movement type and 165 planning condition per participant. For the Free-Choice condition, the number of trials per movement type 166 depended on the choices of the participant, with an average of 59.68 (range: 35-81) repetitions per 167 condition per participant (see Multivariate pattern classification analysis section for further details).

168

169 Data acquisition. Functional and structural data were collected using a 4T Bruker MedSpec Biospin MR 170 scanner and an 8-channel birdcage head coil. Functional images were acquired with a T2*-weighted 171 gradient-recalled echo-planar imaging (EPI) sequence. Acquisition parameters were a TR (time to repeat) of 172 2000 ms; voxel resolution, 3 x 3 x 3 mm; TE (time to echo), 33 ms; flip angle (FA), 73°; field of view (FOV), 173 192 x 192 mm; gap size, 0.45 mm. We used 28 slices, acquired in ascending interleaved order, slightly tilted 174 to run approximately parallel to the calcarine sulcus. The number of volumes acquired in the main 175 experiment for each functional run varied according to the length of variable delay periods (range: 178-183 176 volumes). Before each functional run, we performed an additional scan to measure the point-spread 177 function (PSF) of the acquired sequence, which served for distortion correction, expected with high-field 178 imaging (Zaitsev et al., 2004). To be able to coregister the low-resolution functional images to a high-179 resolution anatomical scan, we acquired a T1-weighted anatomical scan (magnetization-prepared rapid-180 acquisition gradient echo; TR: 2700 ms; voxel resolution: 1 x 1 x 1 mm; TE: 4.18 ms; FA: 7°; FOV: 256 x 224 181 mm; 176 slices; generalized autocalibrating partially parallel acquisition with an acceleration factor of 2; 182 inversion time: 1020 ms).

183

184 Data analysis

185 Behavioral analyses. We measured reaction time (RT) as the time to release the response button (see 186 Procedure) with respect to the auditory GO-cue. Moreover, we analyzed video recordings of the 187 experimental sessions to ensure that participants performed the movements correctly, and to know which 188 movements were performed during the Free-Choice condition. Trials were considered errors either when 189 performed incorrectly (i.e., incorrect hand preshaping; temporal anticipation: RT < 100 ms; reaction time 190 timeout: RT > 1500 ms) or, in the Instructed condition only, when participants executed a movement that 191 was different from the one instructed by the cue. Using the videos, we also counted the number of correct 192 trials per movement type, of particular importance for the Free-Choice condition. Next, to potentially

detect participants that showed stereotyped selections (i.e. cognitive strategies) or excessively frequent movement choices, we created a transition matrix that showed the number of times each movement followed any other (3-by-3 matrix, *trial_n* x *trial_n+1*). This allowed us to calculate a measure of randomness (i.e. entropy) for movement selection in Free-Choice trials (separately per participant and run), the Shannon's Entropy (Uncertainty) index (Shannon, 1948):

$$H(X) = -\sum_{i=1}^{n} p(x_i) \log_b p(x_i)$$

where X is a random variable with n outcomes $\{x_1, ..., x_n\}$, and $p(x_i)$ is the probability mass function of the outcome x_i . Shannon's Entropy index (H) ranges from 0 to log_2n , where n is the number of states or possible outcomes.

201

202 fMRI data analysis

203 Preprocessing. Data were preprocessed and analyzed using BrainVoyager QX 2.8.0 (BrainInnovation, 204 Maastricht, The Netherlands) in combination with the BVQX Toolbox and custom software written in 205 Matlab R2012b (MathWorks, Natick, MA, U.S.A.). To correct for distortions in geometry and intensity in the 206 echo planar imaging (EPI) images, we applied distortion correction on the basis of the PSF (see Data 207 acquisition; Zeng & Constable, 2002). To avoid T1 saturation, we discarded the first 4 volumes. The first 208 volume of the first functional run of each participant was aligned to the high-resolution anatomy (6 rigid-209 body transformation parameters). Next, we performed 3D motion correction (trilinear interpolation for 210 estimation and sinc interpolation for resampling) using the first volume of the first run of each participant 211 as reference, followed by slice timing correction (ascending interleaved even-odd order) and high-pass 212 temporal filtering (3 cycles per run). Spatial smoothing was applied with a Gaussian kernel of 8 mm full-213 width half maximum (FWHM) for univariate analysis only. For successive group analysis, both functional 214 and anatomical data were transformed into a common Talairach space, using trilinear interpolation.

215

216 Univariate analysis (GLM). To localize brain areas preferentially involved in movement preparation, we 217 computed a group random-effects (RFX) general linear model (GLM) analysis in the volume. To avoid 218 making assumptions about the shape of the HRF during the Planning phase, we used a deconvolution 219 analysis, estimating the amplitude of the BOLD signal separately for each predictor and time point (TR). We 220 created six (2 planning conditions x 3 movement types) predictors both for the Planning and Execution 221 phases, and 1 predictor modelling the baseline between the first and second half of each run, leading to 13 222 (predictors) x 8 (time points) = 104 predictors. This led to independent estimates of the BOLD amplitude for 223 each condition and time point resulting from the deconvolution analysis. Parameters from 3D motion 224 correction (translation and rotation) and regressors for error trials (modelled separately for each time 225 point) were also included in the model as predictors of no interest. For each voxel, the average of the

- estimated beta-value at the 3rd and 4th time points (i.e. 4 to 8 sec after the onset of the planning cue) was used both for uni- and multivariate analyses (for a similar procedure, see Eisenberg et al., 2010).
- We aimed to identify regions of interest (ROIs) commonly reported to be involved in the planning and execution of prehension movements (see Beurze et al., 2009; Gallivan et al., 2011a, 2011b, 2013a; Fabbri et al., 2014; for a review see Turella & Lingnau, 2014). To do so, we contrasted the Planning phase against the Baseline [Planning > Baseline] (Fig. 2), collapsing across the two planning conditions. The resulting volumetric statistical map was corrected for multiple comparisons using a False-Discovery-Rate (FDR) < 0.05 and projected on the group-averaged surface mesh for visualization (Fig. 2A).
- 234

ROI definition. To identify individual ROIs objectively, we followed a similar procedure as recently used by Oosterhof, Tipper, & Downing (2012a). In brief, we first manually outlined the activations individuated through the RFX-GLM contrast [Planning > Baseline] on the group-averaged surface mesh (for details on the creation of the group-averaged surface mesh, see *Brain segmentation, mesh reconstruction, and cortexbased alignment*), roughly circumscribing the ROIs around known anatomical landmarks (see also Gallivan et al., 2011a, 2011b, 2013a). Specifically, we used the following criteria:

- *Primary motor cortex (M1)*: around the hand-knob area in the anterior bank of the central sulcus;
- Dorsal premotor cortex (PMd): at the junction of the superior frontal sulcus and the precentral sulcus;
- Ventral premotor cortex (PMv): slightly inferior and posterior to the junction of the inferior frontal
 sulcus and the precentral sulcus;
- Anterior intraparietal sulcus (aIPS): on the anterior segment of the intraparietal sulcus, at the junction with the postcentral sulcus;
- *Middle intraparietal sulcus (mIPS)*: on the middle segment of the intraparietal sulcus, not overlapping with aIPS;
- *Posterior intraparietal sulcus (pIPS)*: on the posterior segment of the intraparietal sulcus, not
 overlapping with mIPS;
- Superior parietal lobule (SPL): the anterior portion of the superior parietal lobule, superior to the
 IPS and slightly posterior to the postcentral sulcus;
- Supramarginal gyrus (SMG): the anterior portion of the supramarginal gyrus, slightly posterior to the postcentral sulcus and superior to the lateral sulcus;
- Dorsolateral prefrontal cortex (dIPFC): on the anterior portion of the middle frontal gyrus, around
 Brodmann area (BA) 46 (Badre & D'Esposito, 2009);
- Supplementary motor area (SMA): on the medial wall of the superior frontal gyrus, anterior to the
 medial end of the central sulcus, posterior to the vertical projection of the anterior commissure;

- Presupplementary motor area (preSMA): on the anterior segment of the cingulate sulcus, slightly
 anterior to the vertical projection of the anterior commissure;
- Posterior superior temporal gyrus (pSTG): the posterior portion of the superior temporal gyrus,
 inferior to the supramarginal gyrus;
- 264

• Posterior middle temporal gyrus (pMTG): the posterior portion of the middle temporal gyrus;

265 Next, we projected these marked activation patches from the surface back to the volume. Within each of 266 them, we looked for individual peak voxels coming from the single-subject GLM contrasts [Planning > 267 Baseline], computed as described above. We defined individual ROIs, separately for each participant, as 268 spheres (8 mm radius) centered around each individual peak voxel (for a summary of the Talairach 269 coordinates of individual ROIs, see Table 1). To examine classification performance in regions that are not 270 expected to show predictive power, we additionally included a non-brain control ROI outside the skull of 271 the brain near the right frontal cortex (same size and shape as before, and identical location for all 272 participants).

273

<<Table 1>>

274 Multivariate pattern classification analysis.

275 We ran both ROI- and searchlight-based MVPA using support-vector-machines (SVM) as implemented in 276 LIBSVM (Chang & Lin, 2011). The ROI analysis served to test whether we could decode planned movements 277 in the regions identified individually by the functional contrast [Planning > Baseline] as described above. In 278 addition, to rule out that we missed potentially important regions in the ROI analysis, we carried out a 279 whole-brain surface-based searchlight analysis (Oosterhof et al., 2011; see also Further Observations in the 280 Discussion). For the MVPA we estimated beta weights using the same design matrices as in the univariate 281 analysis, except for the following: since participants freely selected which movements to plan and execute 282 in the Free-Choice condition, the number of trials per movement type in the Free-Choice condition was not 283 fully balanced. To prevent classification on the basis of the number of trials instead of the spatial patterns 284 of brain activity, we balanced the number of trials per movement type in the Free-Choice and the 285 Instructed condition by levelling to the minimum number of repetitions in either condition within each run, 286 and discarding the trials in excess (randomly selected among the total). Beta maps containing the mean of the beta estimates of the 3rd and 4th timepoint for each predictor of interest (13, see Univariate analysis), 287 288 individual spherical ROI (133 voxels) and run (10) were created for each participant. These maps were then 289 z-transformed and normalized into multivoxel patterns of t-values (beta estimates divided by their standard 290 error) that we used as input for the classifier. This procedure resulted in 10 multivoxel patterns of t-values 291 per planning condition (one per experimental run). Classification accuracies were computed using a leave-292 one-run-out cross-validation method, i.e. the classifier was trained using data from 9 patterns and tested 293 on the data from the remaining pattern. Note that while for the within-condition decoding all 10 patterns

came from the same condition, the classifier was trained with 9 patterns from one planning condition (e.g.
 Free-Choice) and tested on one pattern from the other planning condition (e.g. Instructed) for the cross condition decoding. Training and testing was repeated for 10 iterations, using all possible combinations of
 train and test patterns. The average across these 10 iterations constituted the mean decoding accuracy per
 participant and ROI.

To decode upcoming hand movements from preparatory brain activity patterns, multiple binary classifiers were trained to discriminate between two movements within each of the three possible pairs of movements (i.e. precision grip vs power grip, precision grip vs touch, and power grip vs touch) during the Planning phase, separately for the Instructed and the Free-Choice condition. Classification accuracies from the three binary classifiers were successively combined to produce an average accuracy per ROI.

304 To test for representations of planned movement types independent of the planning condition, we 305 carried out cross-condition decoding, i.e. training the classifier on discriminating movement pairs in one 306 condition (e.g. precision grip vs power grip in the Instructed condition) and testing the performance of the 307 classifier to distinguish between the same pair of movements in the other planning condition (e.g. precision 308 grip vs power grip in the Free-Choice condition), and vice versa. As before, the mean of the three pairwise 309 comparisons was computed to produce one accuracy score per ROI. Results from the two cross-condition 310 decoding analyses (i.e. train on Instructed condition, test on Free-Choice condition, and vice versa) were 311 also averaged. Finally, we carried out the same within-condition decoding analysis described above for the 312 Execution phase, but, given that no differences were expected after the movement had started, we 313 collapsed across planning conditions.

To assess statistical significance of the decoding accuracy, we entered the individual (N = 18) classification accuracies (averaged across the three pairwise comparisons) into two-tailed one-sample *t*tests across participants against chance decoding (50%), separately for each ROI. Furthermore, to directly compare our main conditions of interest we performed post-hoc two-tailed paired samples *t*-tests between planning conditions for each ROI. Statistical results were corrected for multiple comparisons (number of ROIs x number of tests) using the False-Discovery-Rate (FDR) method (Benjamini & Yekutieli, 2001).

320

321 Brain segmentation, mesh reconstruction, and cortex-based alignment (CBA). To create high quality 3D 322 brain reconstructions, we gathered, when available, multiple anatomical scans from each participant 323 collected in different experiments carried out at the Center for Mind/ Brain Sciences, which we aligned and 324 averaged (min: 1, max: 13 scans). Individual surface meshes for each hemisphere were reconstructed along 325 the border between grey and white matter. Next, individual reconstructions of each hemisphere were used 326 to generate individual spherical surfaces for each participant that were then morphed to a template surface 327 (a standard sphere). A coarse-to-fine moving target approach with four coarse-to-fine levels of smoothing 328 was then used to extract multiscale surface curvature maps that reflect the gyral and sulcal folding patterns

329 (Fischl et al., 1999; Goebel et al., 2006). This information allowed us to align the individual standardized 330 spherical surfaces of all participants to a group-averaged spherical surface. Transformation matrices 331 resulting from the cortex-based alignment of individual spherical surfaces to the group-averaged spherical 332 surface were then used to align individual functional maps before entering group statistics. Finally, using 333 the curvature maps from CBA, we combined (i.e. averaged) the individual reconstructions of folded 334 surfaces of all participants (N = 18) to create one group mesh for each hemisphere. Group-averaged left 335 and right hemisphere meshes were used to display statistical maps coming from both uni- and multivariate 336 group-analyses.

337

338 Surface-based Searchlight SVM-MVPA. The spherical searchlight (8 mm radius) was restricted to the surface 339 by only including voxels from -1 to 3 mm along the grey/white matter boundary. Decoding procedures 340 were very similar to the ones used for the ROI-based MVPA. For each hemisphere, we first created mesh-341 time-courses (MTCs) from the volume-time-courses (VTCs). Next, we used MTCs to generate whole-brain t-342 maps (20 per participant: 2 hemispheres x 10 runs), and finally we ran pairwise classifications on the t-maps 343 as described above. Decoding results of the spherical searchlight were assigned to the central voxel. 344 Individual surface accuracy maps were projected onto the group-averaged cortical surface mesh (see Brain 345 segmentation, mesh reconstruction, and cortex-based alignment) and then anatomically aligned using the 346 transformation parameters derived from cortex-based alignment. We successively performed a two-tailed 347 one-sample t-test across individual cortical maps to identify vertices where classification was significantly 348 greater than chance (50%). Statistical t-maps were thresholded at p < 0.01 and corrected for multiple 349 comparisons (p < 0.05) using a cluster-size algorithm (Forman et al., 1995) based on Monte Carlo 350 simulations (1000 iterations) as implemented in Brain Voyager 2.8.0. For each hemisphere, we generated t-351 maps and decoding accuracy maps separately for the Instructed condition, the Free-Choice condition, and 352 across planning conditions.

353

354 **RESULTS**

355 Behavioral results

356 *Reaction times (RTs).* Participants responded slightly faster in the Instructed [602.12 \pm 18.67 ms] compared 357 to the Free-Choice condition [605.51 \pm 18.65 ms; *F*(1,17) = 8.37, *p* < 0.01]. However, RTs did not differ 358 between movement types [*F*(2,34) = 0.42, *p* < 0.65], and the interaction between planning condition and 359 movement type was not significant [*F*(2,34) = 2.66, *p* < 0.08].

Error rates (ERs). Participants were generally accurate in performing the delayed-movement task. Overall error rates were very low: 2.15% of all the trials in the Instructed condition, and 0.54% in the Free-Choice condition. The fact that error rates were lower in the Free-Choice compared to the Instructed condition was expected given that, while errors in the Free-Choice condition only concerned kinematics, timing, or hand preshaping of the movements, errors in the Instructed condition also included executing a movementthat was different from the instructed movement type.

366

367 Shannon's Entropy in Free-Choice trials. To examine whether the movements selected in successive trials 368 followed a regular pattern, we calculated a measure of randomness for movement selection in Free-Choice 369 trials, defined as Shannon's Entropy index (Shannon, 1948; see Materials and methods). A low entropy 370 index (0 < H < 1) indicates that one of the outcomes was chosen more often than others, or that the 371 participant used a stereotyped transition pattern (e.g. 1 2 3, 1 2 3, etc.). By contrast, a high entropy index 372 (H > 1.5) indicates that it is very hard to predict the next outcome on the basis of the previous outcomes. In 373 our study, the mean entropy index per participant was 1.53, which is close to the maximum entropy level 374 for three alternatives (H = 1.584). This analysis indicates that participants did not choose movements in a 375 systematic, predictable way. As an example, this is a sequence chosen in the two consecutive blocks of one 376 run by a representative participant: 2,1,2,3,2,2,1,1,3 - 2,1,2,3,2,3,1,2,2 (1 = precision grip, PRG; 2 = power grip, PWG; 3 = touch, TCH). 377

378

379 Univariate RFX-GLM results

380 To identify brain regions preferentially recruited during movement planning, we carried out a univariate 381 random effects general linear model (RFX-GLM) contrast [Planning > Baseline] (Fig. 2A). Note that this 382 contrast is unbiased with respect to comparisons between the Instructed and Free-Choice Planning 383 condition, or between different movement types. The resulting statistical map was used to define 16 384 group-ROIs: left primary motor cortex (L-M1); left dorsal and ventral premotor cortex (L-PMd, and L-PMv, 385 respectively); left anterior, middle and posterior intraparietal sulcus (L-aIPS, L-mIPS, and L-pIPS, 386 respectively); left superior parietal lobule (L-SPL); left supramarginal gyrus (L-SMG); left dorsolateral 387 prefrontal cortex (L-dIPFC); left supplementary motor area (L-SMA); left pre-supplementary motor area (L-388 preSMA); right posterior intraparietal sulcus (R-pIPS); right posterior superior temporal gyrus (R-pSTG); 389 right posterior middle temporal gyrus (R-pMTG); right supplementary motor area (R-SMA); and right pre-390 supplementary motor area (R-preSMA; for details on the definition of individual ROIs, see the section 391 Univariate analysis (GLM) and ROI definition and Table 1). Additionally, we contrasted the Planning phase 392 against the Baseline separately for the two planning conditions ([Planning Instructed > Baseline]; [Planning 393 Free-Choice > Baseline], Fig. 2B). Overall, the statistical maps for the Instructed and Free-Choice planning 394 condition looked very similar, in particular in the left hemisphere, and the direct comparison [Planning 395 Instructed > Planning Free-Choice] did not reveal any significant univariate effects.

- 396
- 397
- 398

<< Figure 2 >>

399 Multivariate results

400 ROI-based MVPA. In the ROI-based MVPA we tested whether upcoming movements could be decoded on 401 the basis of patterns of preparatory brain activity within regions recruited during movement planning. To 402 this end, for each ROI and planning condition we ran two-tailed one-sample t-tests (FDR corrected for 403 multiple comparisons) on the mean decoding accuracy across participants (N = 18) against chance (50%). 404 Figure 3 shows the mean classification accuracy in each ROI for averaged pairwise comparisons of 405 movement types in four types of ROIs: (1) During the Planning phase, i.e. before any movement occurred, 406 we found significant decoding of movement type both within (red and blue bars) and across (yellow bars) planning conditions in L-mIPS, L-pIPS, L-PMd, L-SPL, L-aIPS and L-M1, suggesting abstract representations of 407 408 planned movements that generalize across planning condition (i.e. Instructed vs Free-Choice; Fig. 3A). (2) 409 In R-pIPS, L-dIPFC, R-pSTG, L-PMv and R-pMTG we were able to predict upcoming movements for the Free-410 Choice planning condition, but not for the Instructed planning condition (Fig. 3B). In L-SMG we found a 411 similar trend (p = 0.044) that did not survive FDR correction for multiple comparisons. (3) In L-SMA we 412 obtained above chance decoding for the Instructed, but not for the Free-Choice planning condition (Fig. 413 3C). R-SMA (p = 0.018), L-preSMA (p = 0.033) and R-preSMA (p = 0.026) showed trends in the same 414 direction that did not pass FDR correction. (4) As expected, decoding of movement type was not possible 415 (i.e. chance performance for all experimental conditions) in the non-brain control region outside the brain 416 (Fig. 3D).

To further examine the nature of our effects, we performed post-hoc two-tailed paired samples *t*-tests on the mean decoding accuracy between the two planning conditions for each ROI. After FDR correction for multiple comparisons (q < 0.05), these tests revealed a significant effect in L-PMv (t(17) = -4.44, p = 0.0004), indicating that decoding was significantly higher for Free-Choice compared to Instructed planning in this region. Post-hoc comparisons that did not survive FDR correction for multiple comparisons include R-pIPS (p = 0.016), L-dIPFC (p = 0.027), R-pSTG (p = 0.042) and R-pMTG (p = 0.045).

Finally, during the Execution phase (Fig. 3, green bars), we were able to decode upcoming movements in all the ROIs, with the exception of R-pSTG (trend at p = 0.043), R-preSMA (p = 0.063) and the non-brain control region. Not surprisingly, we observed the highest decoding accuracy during the execution phase in the left (contralateral) primary motor cortex (L-M1), followed by the left aIPS.

427

<< Figure 3 >>

428 *Searchlight-based MVPA*. To identify additional regions beyond our ROIs that potentially represent 429 information about upcoming movements, we conducted a whole-brain searchlight-based MVPA on the 430 surface (Fig. 4, Fig. 5). Figure 4 shows the performance of the classifier across the two planning conditions 431 superimposed on the group-averaged inflated surface mesh. The cross-condition decoding *t*-map (Fig. 4A) 432 revealed significant clusters in left orbitofrontal (L-OFC) and fronto-polar cortex (L-FP), L-dIPFC, posterior 433 dorsal L-SMA, L-PMd, left anterior superior temporal sulcus (L-aSTS), L-IPS, inferior L-SPL, L-pSTG, L-SMG, 434 left angular gyrus (L-AnG) and the left precuneus (L-preCu). In the right hemisphere, this analysis revealed
435 significant clusters in R-FP, R-PMd, R-SPL, right superior parieto-occipital cortex (R-SPOC), R-pSTG, R-MTG
436 and right lateral occipital gyrus (R-LOG).

437 Figure 5A shows the within-condition-decoding t-maps with cluster-size correction (p = 0.05) for 438 multiple comparisons (red, Instructed; blue, Free-Choice) and their overlap (purple). Overall, significant 439 clusters for Instructed and Free-Choice Planning appeared in neighboring but mostly non-overlapping 440 locations (except for the left anterior fronto-median cortex, bilateral superior dIPFC and pSPL), and 441 generally more widespread for the Free-Choice in comparison to the Instructed condition, especially in 442 frontal (FP, dIPFC, PMd) and parietal (IPS, pIPL, pSPL) areas. For the Free-Choice planning condition we 443 obtained significant clusters in the left hemisphere in the anterior fronto-median cortex and L-OFC, L-FP, L-444 dIPFC, L-PMv, L-PMd, L-aIPS, L-pSPL, L-SPOC, L-AnG. In the right hemisphere, this analysis revealed 445 significant clusters in R-FP, superior R-dIPFC, R-aIPS, R-SMG, R-pSTG, R-pIPS, the right posterior inferior 446 parietal lobule (R-pIPL), R-pSPL, R-SPOC, and, medially, the right cuneus (R-Cu) and R-preCu. For the 447 Instructed planning condition we obtained significant clusters in the left hemisphere in the superior L-448 dIPFC, the anterior fronto-median cortex (slightly anterior to L-SMA and superior to L-preSMA), L-PMd, L-449 SMG, L-pSPL, and L-LOG. For the right hemisphere, we obtained significant clusters in the superior R-dIPFC, 450 the anterior R-SPL (right above R-aIPS), R-MTG (extending to the superior temporal sulcus), R-pSPL and R-451 SPOC. When using a more conservative threshold of p = 0.001 (not shown here), only clusters in L-dlPFC, L-452 PMd, L-IPS, for the cross-condition decoding, and in bilateral dIPFC, pSPL, L-aIPS, and R-pIPS for the Free-453 Choice planning condition survived (i.e. no clusters for Instructed planning condition).

Figures 4B and 5B illustrate mean decoding accuracies for the cross-condition (Fig. 4B) and withincondition (Fig. 5B) decoding. These figures show both significant and sub-threshold clusters of decoding accuracy to complement the information present in the searchlight *t*-maps. Although we observed slight discrepancies between the ROI-based and searchlight-based MVPA results in some regions (L-M1, L-aIPS, LmIPS, L-SMG, R-pMTG, R-pSTG), overall searchlight results appear to be largely in line with ROI results in several frontal (L-dIPFC, L-PMd, L-PMv, bilateral SMA and preSMA) and parietal (L-pIPS, R-pIPS, L-SPL) regions (for a comparison of the two MVPA approaches see section *Further Observations* in the Discussion).

<< Figure 4 >>

<< Figure 5 >>

- 461
- 462

463 **DISCUSSION**

Frontal and parietal regions recruited during movement planning encode information about upcoming movements (Andersen & Buneo, 2002; Cisek & Kalaska, 2005; Cui & Andersen, 2007). Here we aimed to distinguish between areas representing abstract movement plans, areas involved in movement selection, and areas involved in the mapping between arbitrary sensory cues and the corresponding responses. We obtained three key results (summarized in Fig. 6): (1) contralateral (i.e. left) SPL and IPS, PMd and M1 discriminate between planned movements irrespective of the planning condition (i.e. both within and across internally- and externally-driven movements); (2) contralateral (i.e. left) PMv, dIPFC, SMG and ipsilateral (i.e. right) pIPS, pSTG, and pMTG encode internally-driven but not externally-driven movement plans. (3) Bilateral SMA, possibly supported by pre-SMA, encodes the processing of externally-driven movement plans.

474

475 Areas representing abstract movement plans

476 We obtained significant within-condition decoding of movement plans for both planning conditions, as well 477 as significant cross-condition decoding, in the left (i.e. contralateral to the moving limb) SPL, pIPS, mIPS, 478 aIPS, PMd and M1 (Fig. 3A, Fig. 6). Our results are in line with studies showing that premotor regions are 479 sensitive to arbitrary instructing cues (i.e. which movement to perform, or which effector to use; Hoshi 480 &Tanji, 2000, 2006, 2007), while also participating in action selection, when movements are freely chosen 481 (Beudel & de Jong, 2009; Cisek & Kalaska, 2005; Klaes et al., 2011; Pesaran et al., 2008). Our results thus 482 show that contralateral parieto-frontal regions represent abstract movement plans that are invariant to the 483 way these are generated rather than being tied to simple stimulus-response mapping (Hartstra et al., 2011, 484 2012) or movement decisions.

485 Movement plans can be abstract in a number of different ways. For instance, Gallivan et al. (2013a, 486 2013b) observed that bilateral posterior parietal cortex (PPC), PMd, posterior fusiform sulcus (pFs) and 487 fusiform body area (FBA) contain representations of upcoming movements that generalize across the 488 effector (left vs right hand). These studies provide further evidence for abstract representations of 489 movement plans in frontal, parietal and ventral stream areas.

During movement execution, aIPS and M1 have been shown to represent handwriting movements generalizing across letter scale (Kadmon Harpaz et al., 2014). During movement observation, a number of recent studies revealed abstract action representations that generalize across viewpoint and modalities (Oosterhof et al., 2012a), and the object on which these actions are performed (Wurm & Lingnau, 2015; Wurm et al., in press), in aIPS and lateral occipitotemporal cortex (LOTC). Further research is required to determine to which degree abstract movement representations are shared across planning, observation, and execution.

497

498 Areas involved in action selection

We were able to decode upcoming movements in the Free-Choice, but not in the Instructed condition in contralateral (left) PMv, dIPFC, SMG and ipsilateral (right) pIPS, pSTG, and pMTG (Fig. 3B, Fig. 6). The dorsolateral pathway has been historically associated with grasping movements (Jeannerod et al., 1995; Luppino et al., 1999; for a recent review see Turella & Lingnau, 2014). Our results extend these findings by revealing areas preferentially representing the selection rather than the planning of movements. 504 In contrast to studies that found significant decoding for instructed movements in PMv (Gallivan et 505 al., 2011a, 2013a), we were able to decode upcoming movements in PMv for internally-driven but not for 506 externally-driven movements, suggesting a more prominent role in action selection (i.e. deciding which 507 movement to perform). It is possible that these inconsistencies are due to methodological differences. As 508 an example, in contrast to the studies by Gallivan et al. (2011a, 2013a), participants in the current study 509 neither saw the object nor their own hand throughout the experiment. Likewise, our planning phase was 510 substantially shorter than the planning phase used by Gallivan et al. (2011a, 2013a). It is therefore possible 511 that PMv represents both internally- and externally-triggered movement plans, depending on the 512 availability of sensory cues and/ or time for movement planning.

513 We were able to decode internally-triggered movement plans in pMTG, a portion of the LOTC. LOTC 514 is recruited during the processing of a variety of visual stimuli, e.g. basic and biological motion, tools, body 515 parts and actions, but also has been implicated to host action concepts (for a recent review, see Lingnau & 516 Downing, 2015). In addition, and perhaps more surprising, LOTC has been demonstrated to be recruited 517 during the planning and control of actions (Astafiev et al., 2004; Kühn et al., 2011; Johnson-Frey et al., 518 2005; Gallivan et al., 2013b, 2015; Kilintari et al., 2014; Verhagen et al., 2008). Integrating various kinds of 519 information from the dorsal (e.g. visuo-spatial, motoric) and the ventral stream (e.g. semantics), LOTC 520 might be an optimal site of convergence to create a link between perceiving, understanding and interacting 521 with the environment (Lingnau & Downing, 2015). Moreover, LOTC and the dorsal stream might exchange 522 information about upcoming movements and/ or anticipated sensory consequences of selected actions 523 (Kühn et al., 2011; Verhagen et al., 2008; Gallivan, 2014; Lingnau & Downing, 2015). Finally, some studies 524 suggest that, in contexts that lack visual feedback, occipito-temporal regions could play a role in motor 525 imagery, dynamically updating representations of the moving limbs (Astafiev et al., 2004; Kühn et al., 2011; 526 but see Orlov et al., 2010).

527

528 Areas involved in stimulus-response associations

529 We were able to decode externally-triggered movement plans in left SMA, with a similar trend in the right 530 SMA and left preSMA (Fig. 3C, Fig. 5A), in agreement with previous studies (Hoshi & Tanji, 2004; Hartstra et 531 al., 2012; Mars et al., 2008; Gallivan et al., 2011a, 2011b, 2013a). This suggests a role for the fronto-median 532 cortex in stimulus-response mapping, possibly in a broader network that includes also posterior parietal 533 and premotor regions (Figure 5). However, other studies have also linked SMA activity to voluntary action 534 selection (Lau et al., 2004; Zhang et al., 2012, 2013) or self-initiated movements (Cunnington et al., 2002, 535 2003; Fried et al., 2011). Further work will be required to define the specific role of the SMA and preSMA, 536 and possibly also posterior parietal and premotor regions, in stimulus-response mapping and movement 537 planning.

538

539 **Further observations**

The univariate contrast [Planning > Baseline] revealed a more widespread recruitment of the contralateral in comparison to the ipsilateral hemisphere (Fig. 2), whereas the searchlight MVPA revealed significant clusters in both hemispheres (Fig. 4, 5). It thus appears that, despite weak activation, the hemisphere ipsilateral to the moving limb (in our study: the right hemisphere) also contains information about planned movements (see also Gallivan et al., 2013a; Leoné et al., 2014). This apparent inconsistency is likely due to the fact that MVPA relies on differences between activation patterns that can occur in the absence of amplitude differences (e.g Haxby et al., 2012; Kriegeskorte et al., 2006).

547 We found significant cross-condition decoding in regions that only show significant within-condition 548 decoding for one of the two planning conditions (Free-Choice: R-pSTG, R-MTG; Instructed: L-SMA; Fig. 3). At 549 first glance, this result might look implausible: if a region codes movement plans independent of the task, 550 then it should also reveal decoding in both tasks alone. There are, however, theoretical reasons that can 551 explain this pattern of results. If condition A tends to evoke more consistent patterns in comparison to 552 condition B, condition A might improve cross-condition decoding. If condition A is used for the training 553 dataset, the classifier can more easily learn to distinguish the patterns. Likewise, if condition A is used for 554 the testing dataset, even if the classifier was trained on condition B, it is more likely to guess correctly. In 555 other words, training on more consistent patterns and testing on less consistent patterns (or vice versa) 556 would produce better results than just training and testing within the same inconsistent pattern (see also 557 Oosterhof et al., 2012b).

558 While the ROI- and the searchlight-based MVPA overall reveal converging results, the ROI analysis 559 tended to be more sensitive than the searchlight analysis, in line with previous studies (Oosterhof et al., 560 2012b; Wurm & Lingnau, 2015). This is likely due to methodological differences between the two 561 approaches (see also Etzel et al., 2013). In particular, the use of individual ROIs is less affected by individual 562 differences in functional brain topography. By contrast, the searchlight approach is not limited to ROIs 563 defined a priori, but requires stricter criteria to produce significant results: first, the exact same voxels in 564 group space have to show significant decoding in the majority of participants. Second, given the number of 565 voxels in the brain, correcting for multiple comparisons is a much harder problem for searchlight-based 566 MVPA. Given the pros and cons of both approaches, we present both analyses to provide the reader with a 567 more complete picture of the results.

568

569 **Conclusions**

570 Our results extend the existing literature on movement planning, distinguishing between regions containing 571 abstract movement plans that are invariant to the way these were generated (externally- vs internally-572 driven), areas involved in movement selection, and areas containing movement plans for instructed 573 movements.

574 **REFERENCES**

- 575 Afshar A, Santhanam G, Yu BM, Ryu SI, Sahani M, Shenoy KV (2011) Single-trial neural correlates of arm 576 movement preparation. Neuron 71:555–564.
- 577 Andersen RA, Cui H (2009) Intention, action planning, and decision making in parietal-frontal circuits. 578 Neuron 63:568–583.
- 579 Andersen RA, Buneo C (2002) Intentional maps in posterior parietal cortex. Annu Rev Neurosci 25:189–220.
- Astafiev SV, Stanley CM, Shulman GL, Corbetta M (2004) Extrastriate body area in human occipital cortex
 responds to the performance of motor actions. Nature Neuroscience 7(5):542-548.
- 582 Badre D, D'Esposito M (2009) Is the rostro-caudal axis of the frontal lobe hierarchical? Nature Reviews 583 Neuroscience 10.
- Barany DA, Della-Maggiore V, Viswanathan S, Cieslak M, Grafton ST (2014) Feature interactions enable
 decoding of sensorimotor transformations for goal-directed movement. Journal of Neuroscience
 34:6860–6873.
- 587 Begliomini C, Wall MB, Smith AT, Castiello U (2007) Differential cortical activity for precision and whole-588 hand visually guided grasping in humans. The European Journal of Neuroscience 25(4):1245–52.
- Benjamini Y, Yekutieli D (2001) The control of the false discovery rate in multiple testing under dependency.
 Annals of Statistics 1165-1188.
- 591 Bernier P-M, Cieslak M, Grafton ST (2012) Effector selection precedes reach planning in the dorsal 592 parietofrontal cortex. Journal of Neurophysiology 108:57–68.
- 593 Beudel M, de Jong BM (2009) Overlap and segregation in predorsal premotor cortex activations related to 594 free selection of self-referenced and target-based finger movements. Cerebral Cortex 19:2361–2371.
- 595 Beurze SM, de Lange FP, Toni I, Medendorp WP (2009) Spatial and effector processing in the human 596 parietofrontal network for reaches and saccades. Journal of Neurophysiology 101:3053–3062.
- Binkofski F, Buccino G, Stephan KM, Rizzolatti G, Seitz RJ, Freund HJ (1999) A parieto-premotor network for
 object manipulation: evidence from neuroimaging. Experimental Brain Research 128(1-2):210-213.

- Bode S, Bogler C, Haynes J-D (2013) Similar neural mechanisms for perceptual guesses and free decisions.
 Neuroimage 65:456–465.
- 601 Brainard DH (1997) The psychophysics toolbox. Spatial Vision 10:433-436.
- Brandi ML, Wohlschläger A, Sorg C, Hermsdörfer J (2014) The Neural Correlates of Planning and Executing
 Actual Tool Use. Journal of Neuroscience 34(39):13183-13194.
- Cavina-Pratesi C, Monaco S, Fattori P, Galletti C, McAdam TD, Quinlan DJ, Goodale MA, Culham J (2010)
 Functional magnetic resonance imaging reveals the neural substrates of arm transport and grip
 formation in reach-to-grasp actions in humans. Journal of Neuroscience 30(31):10306-10323.
- 607 Chang CC, Lin CJ (2011) LIBSVM: a library for support vector machines. ACM Transactions on Intelligent
 608 Systems and Technology (TIST) 2:27.
- Cisek P, Kalaska JF (2005) Neural correlates of reaching decisions in dorsal premotor cortex: specification of
 multiple direction choices and final selection of action. Neuron 45:801–814.
- Cisek P, Kalaska JF (2010) Neural mechanisms for interacting with a world full of action choices. Annu Rev
 Neurosci 33:269–298.
- 613 Connolly JD, Goodale MA, Menon RS, Munoz DP (2002) Human fMRI evidence for the neural correlates of
 614 preparatory set. Nature Neuroscience 5:1345–1352.
- 615 Cui H, Andersen RA (2007) Posterior parietal cortex encodes autonomously selected motor plans. Neuron
 616 56:552–559.
- 617 Cunnington R, Windischberger C, Deecke L, Moser E (2002) The preparation and execution of self-initiated
 618 and externally-triggered movement: a study of event-related fMRI. Neuroimage 15:373–385.
- 619 Cunnington R, Windischberger C, Deecke L, Moser E (2003) The preparation and readiness for voluntary
 620 movement: a high-field event-related fMRI study of the Bereitschafts-BOLD response. Neuroimage
 621 20:404–412.
- Eisenberg M, Shmuelof L, Vaadia E, Zohary E (2010) Functional organization of human motor cortex:
 directional selectivity for movement. Journal of Neuroscience 30(26):8897-8905.
- Etzel JA, Zacks JM, Braver TS (2013) Searchlight analysis: promise, pitfalls, and potential. Neuroimage
 78:261-269.

- Fabbri S, Strnad L, Caramazza A, Lingnau A (2014) Overlapping representations for grip type and reach
 direction. Neuroimage, 94:138–146.
- Fattori P, Raos V, Breveglieri R, Bosco A, Marzocchi N, Galletti C (2010) The dorsomedial pathway is not just
 for reaching: grasping neurons in the medial parieto-occipital cortex of the macaque monkey. Journal
 of Neuroscience 30:342–349.
- Filimon F (2010) Human cortical control of hand movements: parietofrontal networks for reaching,
 grasping, and pointing. Neuroscientist 16:388–407.
- Fischl B, Sereno MI, Tootell RB, Dale AM (1999) High-resolution intersubject averaging and a coordinate
 system for the cortical surface. Human Brain Mapping 8:272-284.
- Fluet M-C, Baumann MA, Scherberger H (2010) Context-specific grasp movement representation in
 macaque ventral premotor cortex. J Neurosci 30:15175–15184.
- Forman SD, Cohen JD, Fitzgerald M, Eddy WF, Mintun MA, Noll DC (1995) Improved assessment of
 significant activation in functional magnetic resonance imaging (fMRI): use of a cluster-size threshold.
 Magnetic Resonance in medicine 33:636-647.
- Fried I, Mukamel R, Kreiman G (2011) Internally generated preactivation of single neurons in human medial
 frontal cortex predicts volition. Neuron 69:548–562.
- 642 Gallivan JP (2014) A motor-oriented organization of human ventral visual cortex?. Journal of Neuroscience
 643 34(9):3119-3121.
- Gallivan JP, Chapman CS, McLean DA, Flanagan JR, Culham JC (2013b) Activity patterns in the category selective occipitotemporal cortex predict upcoming motor actions. European Journal of Neuroscience,
 38(3):2408-2424.
- 647 Gallivan JP, Culham JC (2015) Neural coding within human brain areas involved in actions. Current opinion
 648 in neurobiology 33:141-149.
- Gallivan JP, Johnsrude IS, Flanagan JR (2015) Planning ahead: object-directed sequential actions decoded
 from human frontoparietal and occipitotemporal networks. Cerebral Cortex bhu302.

- Gallivan JP, McLean D, Flanagan J, Culham J (2013a) Where one hand meets the other: limb-specific and
 action-dependent movement plans decoded from preparatory signals in single human frontoparietal
 brain areas. Journal of Neuroscience 33:1991–2008.
- Gallivan JP, McLean D, Smith F, Culham J (2011a) Decoding effector-dependent and effector-independent
 movement intentions from human parieto-frontal brain activity. Journal of Neuroscience 31:17149–
 17168.
- 657 Gallivan JP, McLean D, Valyear K, Pettypiece C, Culham J (2011b) Decoding action intentions from 658 preparatory brain activity in human parieto-frontal networks. Journal of Neuroscience 31:9599–9610.
- 659 Glover S, Wall MB, Smith AT (2012) Distinct cortical networks support the planning and online control of 660 reaching-to-grasp in humans. European Journal of Neuroscience 35(6):909-915.
- Goebel R, Esposito F, Formisano E (2006) Analysis of functional image analysis contest (FIAC) data with
 brainvoyager QX: From single-subject to cortically aligned group general linear model analysis and
 self-organizing group independent component analysis. Human Brain Mapping 27:392-401.
- Hartstra E, Kühn S, Verguts T, Brass M (2011) The implementation of verbal instructions: an fMRI study.
 Human Brain Mapping 32(11):1811-1824.
- Hartstra E, Waszak F, Brass M (2012) The implementation of verbal instructions: dissociating motor
 preparation from the formation of stimulus-response associations. Neuroimage 63:1143–1153.
- Haxby JV (2012) Multivariate pattern analysis of fMRI: the early beginnings. Neuroimage 62:852–855.
- Hoshi E, Tanji J (2000) Integration of target and body-part information in the premotor cortex when
 planning action. Nature 408:466–470.
- Hoshi E, Tanji J (2004) Differential roles of neuronal activity in the supplementary and presupplementary
 motor areas: from information retrieval to motor planning and execution. Journal of Neurophysiology
 92:3482-3499.
- Hoshi E, Tanji J (2006) Differential involvement of neurons in the dorsal and ventral premotor cortex during
 processing of visual signals for action planning. Journal of Neurophysiology 95:3596–3616.
- Hoshi E, Tanji J (2007) Distinctions between dorsal and ventral premotor areas: anatomical connectivity and
 functional properties. Curr Opin Neurobiol 17:234–242.

- Jeannerod M, Arbib MA, Rizzolatti G, Sakata H (1995) Grasping objects: the cortical mechanisms of
 visuomotor transformation. Trends Neurosci 18:314–320.
- Johnson-Frey SH, Newman-Norlund R, Grafton ST (2005) A distributed left hemisphere network active
 during planning of everyday tool use skills. Cerebral Cortex 15(6):681-695.
- Kadmon Harpaz N, Flash T, Dinstein I (2014) Scale-invariant movement encoding in the human motor
 system. Neuron 22: 452-462.
- Kilintari M, Raos V, Savaki HE (2014) Involvement of the superior temporal cortex in action execution and
 action observation. Journal of Neuroscience 34(27):8999-9011.
- Klaes C, Westendorff S, Chakrabarti S, Gail A (2011) Choosing goals, not rules: deciding among rule-based
 action plans. Neuron 70:536–548.
- Kriegeskorte N, Goebel R, Bandettini P (2006) Information-based functional brain mapping. Proc Natl Acad
 Sci U S A 103:3863–3868.
- Kühn S, Keizer AW, Rombouts SA, Hommel B (2011) The functional and neural mechanism of action
 preparation: roles of EBA and FFA in voluntary action control. Journal of Cognitive Neuroscience
 23(1):214-220.
- Lau HC, Rogers RD, Ramnani N, Passingham RE (2004) Willed action and attention to the selection of action.
 Neuroimage 21(4):1407–15.
- Lehmann SJ, Scherberger H (2013) Reach and gaze representations in macaque parietal and premotor grasp
 areas. Journal of Neuroscience 33:7038–7049.
- Leoné FT, Heed T, Toni I, Medendorp WP (2014) Understanding effector selectivity in human posterior
 parietal cortex by combining information patterns and activation measures. Journal of Neuroscience
 34(21):7102-7112.
- Lingnau A, Downing PE (2015) The lateral occipitotemporal cortex in action. Trends in Cognitive Sciences
 19(5):268-277.
- Luppino G, Murata A, Govoni P, MatelliM (1999) Largely segregated pari- etofrontal connections linking
 rostral intraparietal cortex (areas AIP and VIP) and the ventral premotor cortex (areas F5 and F4). Exp
 Brain Res 128:181–187.

- Mars RB, Coles MGH, Hulstijn W, Toni I (2008) Delay-related cerebral activity and motor preparation.
 Cortex 44:507–520.
- Murata A, Gallese V, Luppino G, Kaseda M, Sakata H (2000) Selectivity for the shape, size, and orientation
 of objects for grasping in neurons of monkey parietal area AIP. Journal of Neurophysiology 83:2580–
 2601.
- Oliveira FTP, Diedrichsen J, Verstynen T, Duque J, Ivry RB (2010) Transcranial magnetic stimulation of
 posterior parietal cortex affects decisions of hand choice. Proc Natl Acad Sci U S A 107:17751–17756.
- Oosterhof NN, Wiestler T, Downing PE, Diedrichsen J (2011) A comparison of volume-based and surface based multi-voxel pattern analysis. Neuroimage 56:593-600.
- Oosterhof NN, Tipper SP, Downing PE (2012a) Viewpoint (in)dependence of action representations: an
 MVPA study. Journal of Cognitive Neuroscience 24: 975-989.
- Oosterhof NN, Tipper SP, Downing PE (2012b). Visuo-motor imagery of specific manual actions: a multi variate pattern analysis fMRI study. Neuroimage 63(1):262-271.
- Orlov T, Makin TR, Zohary E (2010) Topographic representation of the human body in the occipitotemporal
 cortex. Neuron 68(3):586-600.
- Pertzov Y, Avidan G, Zohary E (2011) Multiple reference frames for saccadic planning in the human parietal
 cortex. Journal of Neuroscience 31:1059–1068.
- Pesaran B, Nelson MJ, Andersen RA (2008) Free choice activates a decision circuit between frontal and
 parietal cortex. Nature 453:406–409.
- Raos V, Umiltá M-A, Gallese V, Fogassi L (2004) Functional properties of grasping-related neurons in the
 dorsal premotor area F2 of the macaque monkey. Journal of Neurophysiology 92:1990–2002.
- Raos V, Umiltá M-A, Murata A, Fogassi L, Gallese V (2006) Functional properties of grasping-related
 neurons in the ventral premotor area F5 of the macaque monkey. Journal of Neurophysiology 95:709–
 728 729.
- Schwarzbach J (2011) A simple framework (ASF) for behavioral and neuroimaging experiments based on
 the psychophysics toolbox for MATLAB. Behavior Research Methods 43:1194-1201.

- Shannon CE (2001) A mathematical theory of communication. ACM SIGMOBILE Mobile Computing and
 Communications Review 53-55. Reprinted with corrections from The Bell System Technical Journal
 27:379–423, 623–656, July, October, 1948.
- Townsend BR, Subasi E, Scherberger H (2011) Grasp movement decoding from premotor and parietal
 cortex. Journal of Neuroscience 31:14386–14398.
- Tunik E, Frey SH, Grafton ST (2005) Virtual lesions of the anterior intraparietal area disrupt goal-dependent
 on-line adjustments of grasp. Nature Neuroscience 8:505–511.
- Tunik E, Rice NJ, Hamilton A, Grafton ST (2007) Beyond grasping: representation of action in human
 anterior intraparietal sulcus. Neuroimage 36:T77–T86.
- 740 Turella L, Lingnau A (2014) Neural correlates of grasping. Frontiers in Human Neuroscience 8.
- Verhagen L, Dijkerman HC, Grol MJ, Toni I (2008) Perceptuo-motor interactions during prehension
 movements. Journal of Neuroscience 28(18):4726-4735.
- Verhagen L, Dijkerman HC, Medendorp WP, Toni I (2013) Hierarchical organization of parietofrontal circuits
 during goal-directed action. Journal of Neuroscience 33(15):6492-6503.
- Wurm MF, Ariani G, Greenlee MW, Lingnau A (in press) Decoding concrete and abstract action
 representations during explicit and implicit conceptual processing. Cerebral Cortex.
- 747 Wurm MF, Lingnau A (2015) Decoding Actions at Different Levels of Abstraction. Journal of Neuroscience
 748 35: 7727-7735.
- Zaitsev M, Hennig J, Speck O (2004) Point spread function mapping with parallel imaging techniques and
 high acceleration factors: Fast, robust, and flexible method for echo-planar imaging distortion
 correction. Magnetic Resonance in Medicine 52:1156-1166.
- Zeng H, Constable RT (2002) Image distortion correction in EPI: comparison of field mapping with point
 spread function mapping. Magnetic Resonance in Medicine 48:137-146.
- Zhang J, Hughes LE, Rowe JB (2012) Selection and inhibition mechanisms for human voluntary action
 decisions. Neuroimage 63:392–402.

Zhang J, Kriegeskorte N, Carlin JD, Rowe JB (2013) Choosing the rules: distinct and overlapping
frontoparietal representations of task rules for perceptual decisions. Journal of Neuroscience
33(29):11852–62.

759 **FIGURE CAPTIONS**

760 Figure 1. Experimental question, design, timing and setup. A. Schematic representation of the research 761 question: is it possible to distinguish between areas representing externally-triggered (instructed) 762 movement plans (red), internally-triggered (freely chosen) movement plans (blue) and abstract movement 763 plans that are invariant to the way these movement plans are generated (purple)? B. 2x3 mixed factorial 764 design: Planning condition (Instructed, Free-Choice), blocked, and Movement type (precision grip, PRG: two 765 fingers only, index and thumb; power grip, PWG: whole hand open; touch, TCH: hand closed in a fist, 766 without hand preshaping), randomized. C. Example trial with timing (Instructed block, PRG). Each trial 767 began with participants fixating a dot (Baseline) for a variable amount of time randomly selected from a 768 geometric distribution (p = 0.3, 2000 - 6000 ms). This interval was followed by a color fixation cross (500 769 ms) either instructing which movement to plan (Instructed blocks), or indicating to freely select one of the 770 movements (Free-Choice blocks). The Planning phase consisted of a a jittered ISI (independently chosen 771 from the same geometric distribution). After this delay, an auditory cue (100 ms) provided the GO-signal to 772 start the movement (Execution phase, 2500 ms). In the Instructed condition the color of the fixation cross 773 corresponded to one of the three movements. In the Free-Choice condition the cue always had the same, 774 non-informative, color (in this example, blue). D. Lateral view of a participant with the right hand at the 775 home position. The central wooden target object on which the reach-to-grasp movements were performed 776 was mounted on a plexiglas workspace positioned above the waist of the participant. The size of the small 777 and large wooden cuboids were 2x2x1 and 7x7x2 cm, respectively. Participants saw the screen through a 778 mirror attached to the head coil (line of sight illustrated by black dashed line). This setup ensured that 779 participants neither saw the target object nor their own movements.

780

781 Figure 2. Univariate RFX-GLM analysis. A. The univariate contrast [Planning > Baseline] (collapsing across 782 planning conditions) was used to identify ROIs preferentially involved in movement planning. The resulting 783 statistical RFX group-map (N = 18) was corrected for multiple comparisons using a false discovery rate 784 q(FDR) < 0.05 and projected on the group-averaged inflated surface mesh for visualization. Individual ROIs 785 were defined as spheres (8 mm radius) around individual peak voxels resulting from single-subject 786 statistical maps (black circles represent an example of the individual spherical ROIs; for additional details, 787 see Materials and Methods section and Table 1). B. Univariate contrast [Planning > Baseline], separately for 788 each Planning condition ([Planning Instructed > Baseline], red; [Planning Free-Choice > Baseline], blue), 789 projected on the same group-averaged inflated surface mesh. Purple areas denote the overlap between 790 the two statistical group maps.

791

Figure 3. ROI-based MVPA. Mean percentage decoding accuracies for movement type resulting from
 multiple binary classifiers. SVM classification accuracies for the three possible discriminations between

794 movement pairs were averaged to produce a unique score per ROI and planning condition. Red bars, 795 Planning Instructed; blue bars, Planning Free-Choice; yellow bars, Planning cross-condition (see Methods); 796 green bars, Execution (collapsing across Planning conditions). Statistical significance was assessed via one-797 sample t-tests (two-tailed) against 50% chance. Results were FDR-corrected for multiple comparisons 798 (number of ROIs x number of tests). Significance levels: one black asterisk, uncorrected p < 0.05; two black 799 asterisks, uncorrected p < 0.005; one red asterisk, FDR corrected q < 0.05. **A.** Regions where we found both 800 significant within- and cross-condition decoding. B. Regions where we observed significant effects (or 801 trends) for the Free-Choice, but not for the Instructed Planning task. C. Regions where we observed 802 significant effects (or trends) for the Instructed, but not for the Free-Choice Planning task. D. Control non-803 brain region outside the brain.

804

Figure 4. Searchlight SVM-MVPA: cross-condition decoding. The spherical searchlight (8 mm radius) was restricted to the surface (-1 to 3 mm). Decoding procedures were very similar to the ones used for the ROIbased MVPA (see Materials and Methods section). A. Group *t*-map (thresholded at p < 0.01 and then cluster-size corrected) for the cross-condition decoding projected on the group-averaged surface mesh. White dashed lines indicate the outlines of the statistical map revealed by the univariate contrast [Planning > Baseline]. B. Group accuracy map (%) for cross-condition decoding.

811

Figure 5. Searchlight SVM-MVPA: within-condition decoding. A. Group *t*-maps (thresholded at *p* < 0.01 and then cluster-size corrected), separately for each planning condition (red, Instructed; blue, Free-Choice), projected on the group-averaged surface mesh. B. Group decoding accuracy maps (%) separately for each planning condition (Planning Instructed, left; Planning Free-Choice, right). All other conventions are the same as in Fig. 4.

817

Figure 6. Summary of decoding results for the Planning phase. Circles superimposed on the group-averaged surface mesh represent examples of individual spherical ROIs color-coded according to the results of the ROI MVPA (significant cross-condition decoding, yellow; preferential decoding for Free-Choice planning, blue; preferential decoding for Instructed planning, red). White-shaded areas with dashed outlines indicate the statistical map revealed by the univariate contrast [Planning > Baseline].

823 **TABLES**

Table 1. TAL coordinates (x, y, z rounded mean and standard deviation across participants) of individual
 peak voxels for the ROIs identified by the group contrast [Planning > Baseline].

Region	x	У	Z	SD x	SD y	SD z
L-M1	-33	-25	50	2,7	2,7	2,4
L-PMd	-25	-11	48	3,1	3,3	4,0
L-PMv	-46	3	27	4,5	2,3	5,1
L-aIPS	-39	-34	39	3,5	3,6	2,2
L-mIPS	-35	-45	40	2,7	3,5	2,1
L-pIPS	-30	-57	42	2,5	2,8	2,8
L-SPL	-31	-51	54	2,9	5,5	2,9
L-SMG	-56	-28	29	2,3	5,0	4,8
L-dIPFC	-36	34	28	3,4	3,3	2,8
L-SMA	-7	-3	50	1,5	2,6	4,4
L-preSMA	-8	4	41	1,7	3,6	2,5
R-pIPS	30	-50	42	2,3	3,3	2,5
R-pSTG	53	-39	13	3,9	2,7	3,0
R-pMTG	51	-51	4	3,4	5,2	3,7
R-SMA	6	-4	51	2,3	3,1	2,8
R-preSMA	7	7	39	1,6	3,3	2,3
Out of brain	51	53	56	0	0	0

Abbreviations: L-M1, left primary motor cortex; L-PMd, left dorsal premotor cortex; L-PMv, left ventral premotor cortex; L-aIPS, left anterior intraparietal sulcus; L-mIPS, left middle intraparietal sulcus; L-pIPS, left posterior intraparietal sulcus; L-SPL, left superior parietal lobule; L-SMG, left supramarginal gyrus; L-dIPFC, left dorsolateral prefrontal cortex; L-SMA, left supplementary motor area; L-preSMA, left pre-supplementary motor area; R-pIPS, right posterior intraparietal sulcus; R-pSTG, right posterior superior temporal gyrus; R-pMTG, right posterior middle temporal gyrus; R-SMA, right supplementary motor area; R-preSMA, right pre-supplementary motor area.