

1 **Impaired myocardial function does not explain reduced left ventricular filling and stroke volume at**
2 **rest or during exercise at high altitude**

3

4 Mike Stembridge¹; Philip N. Ainslie²; Michael G. Hughes¹; Eric J. Stöhr¹; James D Cotter³; Michael M.
5 Tymko^{2,4}; Trevor A. Day⁴; Akke Bakker⁵; and Rob Shave¹.

6 ¹Cardiff School of Sport, Cardiff Metropolitan University, Cardiff, UK. ²Centre for Heart, Lung and
7 Vascular Health, School of Health and Exercise Sciences, University of British Columbia Okanagan
8 Campus, Kelowna, Canada. ³School of Sport and Exercise Sciences, University of Otago, Dunedin,
9 New Zealand. ⁴Department of Biology, Mount Royal University, Calgary, Canada. ⁵MIRA Institute,
10 University of Twente; Twente, The Netherlands.

11 **Running head:** Left ventricular function during exercise at high altitude.

12 **Correspondence to:**

13 Mike Stembridge, Cardiff Metropolitan University, School of Sport, Cyncoed Road, Cardiff, CF23 6XD,
14 UK. Tel: +44 2920 416593

15 Email: mstembridge@cardiffmet.ac.uk

16 *Author Contributions*

17 M.S, P.N.A and R.S contributed to the conception and design of the experiment, data analysis,
18 interpretation and the drafting of the manuscript. E.J.S, M.G.H and J.D.C contributed to the data
19 analysis, interpretation and critical review of the manuscript. M.M.T built the custom cycle
20 ergometer. M.M.T, T.A.D and A.B contributed to data collection and analysis, and critically reviewed
21 the manuscript. All authors have approved the final version of the manuscript.

22 Word Count: 3678

23

24 Abstract

25 Impaired myocardial systolic contraction and diastolic relaxation have been suggested as possible
26 mechanisms contributing to the decreased stroke volume (SV) observed at high altitude (HA). To
27 determine whether intrinsic myocardial performance is a limiting factor in the generation of SV at
28 HA, we assessed left ventricular (LV) systolic and diastolic mechanics and volumes in 10 healthy
29 participants (aged 32 ± 7 ; mean \pm SD) at rest and during exercise at sea level (SL; 344 m) and
30 following 10 days at 5050 m. In contrast to SL, LV end-diastolic volume was \sim 19% lower at rest
31 ($p=0.004$) and did not increase during exercise despite a greater untwisting velocity. Furthermore,
32 resting SV was lower at HA (\sim 17%; 60 ± 10 vs. 70 ± 8 ml) despite higher LV twist (43%), apical rotation
33 (115%) and circumferential strain (17%). With exercise at HA, the increase in SV was limited (12 ml
34 vs. 22 ml at SL), and LV apical rotation failed to augment. For the first time, we have demonstrated
35 that EDV does not increase upon exercise at high altitude despite enhanced *in vivo* diastolic
36 relaxation. The increase in LV mechanics at rest may represent a mechanism by which SV is
37 defended in the presence of a reduced EDV. However, likely due to the higher LV mechanics at rest,
38 no further increase was observed up to 50% peak power. Consequently, whilst hypoxia does not
39 suppress systolic function *per se*, the capacity to increase SV through greater deformation during
40 submaximal exercise at HA is restricted.

41 **Abstract word count:** 245

42 **Key words:** Hypoxia; Left ventricular mechanics; stroke volume

43

44 Introduction

45 During initial exposure to hypobaric hypoxia at high altitude (HA), cardiac output for a given absolute
46 workload is increased to compensate for a lower arterial oxygen content before returning to
47 baseline levels with acclimatization (8). However, after 2-5 days of acclimatization, the required
48 cardiac output is generated through a lower stroke volume (SV) and higher heart rate (38). The
49 reduced SV is suggestive of either lower ventricular filling, potentially caused in part by an impaired
50 myocardial relaxation, or impaired ejection secondary to systolic contractile dysfunction. There is
51 however, a paucity of data in humans supporting a direct effect of hypoxia on myocardial function at
52 HA (25, 41).

53 The suggestion that hypoxia may impair myocardial systolic function during exercise was proposed
54 nearly 50 years ago (3) and has been revisited more recently (27-29). Negative inotropic effects of
55 hypoxia (arterial oxygen tension of 44 mmHg) have been shown in intact animal models (39) and
56 isolated myocardial fibers under severe hypoxia (1% O₂) (33). Exercise training under hypobaric
57 hypoxia is also associated with altered mechanical properties at a cellular level in rodents (9),
58 although chronic hypoxia alone did not decrease myofilament sensitivity to calcium. However, in
59 contrast to animal studies, data in humans indicate that systolic function is maintained or enhanced
60 at HA. For example, Suarez, Alexander and Houston (37) reported the maintenance of systolic
61 function after gradual decompression to a barometric pressure of 282 mmHg, a finding that was
62 subsequently confirmed by numerous investigations during acute and prolonged hypoxic exposure
63 (6, 10, 12, 23, 31). However, of these studies, only Suarez, Alexander and Houston (37) investigated
64 systolic function during light exercise (60 W), where function appeared to be maintained. It is not
65 known whether systolic function is maintained at higher exercise intensities.

66 It has also been speculated that reduced oxygen availability may impair diastolic relaxation at HA
67 (15, 18) and thus explain the decreased left ventricular (LV) end-diastolic volume (EDV) commonly
68 observed (2, 6, 18). However, despite numerous studies reporting a decrease in plasma volume and

69 altered transmitral filling patterns (2, 6, 20), myocardial relaxation has only previously been
70 investigated during hypoxia in dogs (15), and no data exist examining LV relaxation during exercise at
71 high altitude. Using sensitive, non-invasive imaging techniques (2D speckle tracking) it is now
72 possible to examine the LV deformation mechanics (strain, twist and untwist velocity) that underpin
73 LV systolic and diastolic function. LV strain and twist have been shown to be sensitive measures of
74 global and regional myocardial function, and reveal sub-clinical dysfunction in patients where
75 ejection fraction is unchanged (16, 22). In addition, diastolic LV untwist velocity correlates well with
76 invasive measures of LV stiffness and provides a temporal link between relaxation and the
77 development of intraventricular pressure gradients (30, 43). Therefore, examination of LV mechanics
78 at HA may determine whether the decreased SV observed at HA is dependent on impaired
79 myocardial relaxation and/or myocardial contractile dysfunction, or confirm previous findings of
80 preserved ventricular function during exercise (37).

81 We therefore assessed systolic and diastolic ventricular mechanics during incremental exercise at
82 sea level and HA to examine whether impaired myocardial relaxation or systolic dysfunction explains
83 the previously reported reduction in SV at HA. We hypothesized that at HA, (i) ventricular filling
84 would be lower at rest and during exercise and would be accompanied by a reduction in untwist
85 velocity and (ii) systolic mechanics would be impaired during exercise at HA.

86 Materials and Methods

87 *Participants.* All experimental procedures and protocols were approved by the Clinical Research
88 Ethics Board at the University of British Columbia and the Nepal Health Medical Research Council,
89 and conformed to the standards set by the Declaration of Helsinki. Ten Caucasian lowlanders (nine
90 male) aged 32 ± 7 years (mean \pm SD), with a height of 176 ± 7 cm and a mass of 80 ± 10 kg, provided
91 informed consent and volunteered to participate in the study. All participants were free from
92 respiratory and cardiovascular disease and were not taking any prescription medications.

93 *Experimental Design and Protocol.* The experimental design required two periods of data collection,
94 each consisting of two separate laboratory visits separated by 24 hours. Within each period, the first
95 visit was to determine peak power, while the second was to assess cardiac function at rest and
96 during exercise. For the determination of peak power, participants performed an incremental
97 exercise test to volitional fatigue on a purpose built, portable supine ergometer close to sea level
98 (SL; Kelowna, Canada; 344 m) and 10 days after arrival at the Ev-K2-CNR Pyramid Laboratory
99 (Lobuche, Nepal; 5050 m). During the incremental test, power output was increased in a stepwise
100 fashion by 50 W every two minutes until fatigue. Participants were asked to maintain a steady
101 cadence and resistance was adjusted by a test administrator. The maximum workload achieved was
102 recorded in order to calculate relative workloads for the graded exercise test. The following day,
103 venous blood samples were taken in the supine position to assess total hemoglobin concentration
104 (HemoCue[®], Ängelholm, Sweden) and hematocrit (Micro Hematocrit Reader). Altitude-mediated
105 reductions in plasma volume were then estimated from hemoglobin and hematocrit (11) assuming
106 erythropoiesis to have had only minor effects on hemoglobin content after 10 days at HA (32).

107 Following blood sampling, a brief echocardiographic examination was completed in the left lateral
108 decubitus position. Participants were then asked to complete a discontinuous, graded exercise
109 challenge at 10, 30 and 50% of the peak power achieved during the preceding maximal test at the
110 corresponding altitude. Exercise bouts lasted four minutes and were separated by four minutes of

111 rest. Echocardiographic image acquisition was completed during the final two minutes of exercise.
112 During echocardiography, measurements were made of blood pressure using a manual
113 sphygmomanometer, arterial oxygen saturation (SpO₂) from finger pulse oximetry (Nonin Onyx
114 Oximeter, Plymouth, MN) and heart rate from a 3-lead ECG (Vivid q, GE Medical Systems, Israel Ltd)
115 at the beginning and end of the two-minute imaging protocol and averaged.

116 This study was conducted as part of a large-scale high altitude research expedition. Due to the
117 nature of high altitude research, participants recruited for this study also took part in a number of
118 other investigations (1). Therefore, particular attention was paid to the timing and management of
119 experiments to ensure there was no potential for confounding results. In addition, some of the
120 resting cardiac data from a selection of our participants (n=9) has already been published (34).
121 However, these data were only used to compare resting LV function with highland natives.

122 *Transthoracic Echocardiography.* Echocardiographic images were obtained by the same highly
123 trained sonographer using a commercially available ultrasound system (Vivid q, GE Medical Systems,
124 Israel Ltd) with a 1.5-4 MHz phased array transducer. Parasternal short-axis and apical four chamber
125 views were recorded and three consecutive cardiac cycles were stored for analysis offline (Echopac,
126 GE Medical, Horton, Norway). Left ventricular end-systolic volume (ESV) and end-diastolic volume
127 (EDV) were calculated from planar tracings of the LV endocardial border in the apical four-chamber
128 view in accordance with the European Society of Cardiology (24). Left ventricular stroke volume and
129 ejection fraction were then calculated. Pulmonary artery systolic pressure was quantified as the
130 maximum systolic pressure gradient across the tricuspid valve (ΔP_{max}) (4). Peak systolic regurgitation
131 jet velocity (V) was measured using continuous wave Doppler and the peak systolic right ventricle
132 (RV) to right atrium (RA) pressure gradient was calculated using the simplified Bernoulli equation
133 ($4V^2$). Due to the difficult and time consuming nature of this measurement during exercise, it was
134 only attempted at 50% peak power and accurately obtained in 60% of the participants.

135

136 Left ventricular circumferential strain, rotation and their respective deformation rates were assessed
137 from parasternal short-axis views obtained from the LV base at the level of the mitral valve and the
138 LV apex. The LV apex was defined as the point just above end-systolic luminal obliteration (40) and
139 obtained by moving the transducer one-two inter-costal spaces caudally from the basal position to
140 align with the apical short-axis. Left ventricular longitudinal strain and strain rate were analyzed
141 from an apical four chamber view. Image analysis was performed offline using 2D speckle tracking to
142 assess global rotation, rotational velocity, strain and strain rate. Apical frame-by-frame data were
143 subtracted from basal data to calculate LV twist and untwist (Echopac, GE Medical, Horten, Norway,
144 version 110.1.1). Peak untwist velocity was identified as the highest point of the first peak in
145 diastole. In order to time-align and adjust for inter-individual variability of heart rate, frame-by-
146 frame data were exported to custom-made software that completed cubic spline interpolation to
147 produce 600 data points for both the systolic and diastolic periods as previously described (34, 35).
148 Intra-observer coefficient of variation of the sonographer in the present study for twist, systolic twist
149 velocity and untwisting velocity are 8.1%, 7.8% and 11%, respectively.

150 *Statistics.* Results are presented as means \pm SD. Differences between conditions and exercise
151 intensities were analyzed using repeated measures two-way ANOVA, with altitude and exercise
152 intensity as within-subject factors (IBM SPSS for Windows, V20, Armonk, NY). When *F* was
153 significant, pair-wise comparisons were carried out *post hoc* using paired-samples *t*-test with
154 Bonferroni correction. Relationships were determined using non-linear regression analysis
155 (GraphPad Prism for Windows, Version 5.0.1, Dan Diego, California, USA) with alpha set *a priori* to
156 0.05.

157 Results

158 *Maximal incremental exercise test*

159 Exposure to HA reduced maximal aerobic power output by 44%. At exhaustion, SpO₂ was 96 ± 3%
160 and 72 ± 4% at sea level and HA, respectively.

161 *Systemic and pulmonary response to incremental exercise*

162 Plasma volume decreased by 18% with HA exposure (P<0.05). Resting mean arterial pressure (MAP)
163 was higher at HA but increased to a lesser extent with incremental exercise (Figure 1; interaction
164 P<0.05). After ascent to 5050 m, resting pulmonary artery systolic pressure increased from 16.0 ± 1.1
165 to 28.9 ± 6.4 mmHg (P<0.05) compared to sea level and remained elevated during exercise (Figure
166 2). From rest to 50% peak power, ΔP_{max} increased by 49% and 50% at sea level and HA, respectively
167 (Figure 2).

168 Cardiac output was the same at rest between conditions but increased to a greater extent at sea
169 level, such that there was a 25% difference at 50% peak power (P<0.01; Table 1). The higher cardiac
170 output at sea level was driven by a larger SV, as heart rate was not different between conditions.
171 The higher SV at sea level reflected a significantly larger EDV and ESV with a lower ejection fraction;
172 however, by 50% peak power, previous differences in ejection fraction between sea level and HA
173 were no longer present. With the onset of exercise, EDV increased at sea level but not at HA (Figure
174 1 and Table 1).

175

176

177

178

179 *Left ventricular diastolic mechanics*

180 Left ventricular untwist velocity and apical diastolic rotational velocity both increased with
181 incremental exercise and were significantly higher at HA compared to sea level (Table 2; Figure 3).
182 There was, however, no significant interaction between conditions (exercise intensity vs. altitude)
183 and basal rotational velocity was not different at HA. In addition to changes in untwist velocity, the
184 slope of the relationship between systolic and diastolic untwisting was altered, in that untwisting
185 velocity was higher at HA for a given systolic twist velocity (Figure 3).

186 *Left ventricular systolic mechanics*

187 LV twist was higher at HA compared to sea level driven by an increase in apical rotation with no
188 significant effect of altitude on basal rotation. There was, however, no difference in peak twist and
189 apical rotation at 50% peak power between sea level and HA,. Therefore, apical rotation did not
190 augment with exercise at HA (Table 2 and Figure 4a). Higher LV twist and apical rotation were also
191 accompanied by greater velocities at HA. In contrast to changes in apical rotation, apical
192 circumferential strain and strain rate increased with submaximal incremental exercise in *both*
193 conditions. However, higher resting strain and strain rate at HA meant the magnitude of increase
194 during exercise was smaller than at sea level (interaction $P < 0.01$; Table 2), mirroring the response in
195 rotational mechanics. Although longitudinal strain and strain rate increased with exercise intensity,
196 and strain rate was higher at HA, the profiles were not different between sea level and HA.

197 *Relations between left ventricular volumes and mechanics*

198 The close relation between twist and apical rotation with SV during incremental exercise at sea level
199 was not evident at HA (Figure 4b). Elevated resting systolic mechanics resulted in a rightward shift of
200 the relation between SV with twist and apical rotation. Higher resting apical rotation (115%) meant
201 there was no increase during incremental exercise and SV only increased by 20% at HA compared to
202 a 31% increase at sea level.

203

204 Discussion

205 The primary aim of this study was to determine whether ten days of exposure to hypobaric hypoxia
206 impairs LV contractile function and/or diastolic relaxation during incremental exercise. There were
207 three novel findings (1) in contrast to sea level, LV EDV does not increase from rest to exercise at HA;
208 (2) despite the lack of increase in EDV, diastolic untwisting was enhanced at HA and the coupling of
209 systolic-diastolic twist velocity was preserved, and; (3) in contrast to our hypothesis, despite lower
210 arterial oxygenation, ejection fraction was higher at HA and coincided with greater twist, rotation
211 and strain. Thus, decreased SV observed during submaximal exercise at HA is not explained by
212 impaired systolic contractile function or myocardial relaxation *per se*, and is more likely explained by
213 a decreased ventricular filling pressure.

214 *Decreased left ventricular filling and enhanced myocardial relaxation at 5050 m*

215 The increase in SV observed during submaximal incremental exercise at sea level is partly the result
216 of an increase in EDV (Sundstedt, Hedberg et al. 2004). However, at HA EDV did not increase with
217 exercise which is indicative of a limitation to ventricular filling, to which three possible mechanisms
218 have been proposed; (i) impaired myocardial relaxation (15, 18), (ii) lower ventricular preload
219 secondary to decreased blood volume (6), and (iii) higher pulmonary artery pressure limiting right
220 ventricular systolic performance (26).

221 In contrast to the proposed impairment of myocardial relaxation, we found LV diastolic untwisting
222 velocity to be significantly enhanced at HA during both rest and exercise despite lower absolute
223 exercise intensities and the same heart rate. In addition, the close relation between systolic twist
224 velocity and diastolic untwist velocity was altered such that untwist velocity was greater for a given
225 systolic velocity (Figure 3). Combined, these data indicate that myocardial relaxation and the
226 coupling with systolic twist normally expected are preserved or even enhanced during short-term
227 exposure to HA. Whilst speculative, it would appear the myocyte components responsible for the
228 restoring forces in the myocardial fibers appear to be unaffected by moderate-severe levels of

229 arterial deoxygenation and subsequent changes in cardiac metabolism (18). In addition to the impact
230 at HA, this could have relevance for a myriad of clinical conditions where transient arterial
231 hypoxemia is present, such as an acute exacerbation of chronic obstructive lung disease.

232 The reduction in SV observed at rest and during sub-maximal exercise at HA has also previously been
233 attributed to a decrease in PV (6, 20). However, when lowlanders were made hypervolemic through
234 the infusion of 1 liter of 6% dextran after nine weeks of residence at 5260 m, SV remained the same
235 and HR increased to compensate for the hemodilution (7). In addition, from studies performed by
236 Calbet, Radegran, Boushel, Sondergaard, Saltin and Wagner (7) and Robach, Dechaux, Jarrot, Vaysse,
237 Schneider, Mason, Herry, Gardette and Richalet (32), only the latter found PV expansion to increase
238 VO_2 peak at HA. Neither study reported LV EDV and importantly, both employed upright cycle
239 ergometry as opposed to the supine modality used in the present investigation. During exercise on
240 the supine ergometer developed for this study, the torso was in a horizontal position with a slight
241 elevation (~25 cm) of both feet when the pedals were in the neutral position. This elevation, which
242 was consistent in both trials, would have likely aided venous return and could negate the effect of a
243 decreased blood volume at HA by transiently increasing central blood volume. Elevation of the feet
244 combined with increased muscle pump activity would normally be expected to increase EDV, as was
245 evident at sea level in the present study. However, as both EDV and SV were lower at rest and during
246 exercise at HA, it would appear an alternative mechanism other than blood volume *per se* was the
247 limiting factor in LV EDV.

248 Pulmonary artery pressure was higher at rest and 50% peak power at HA compared to sea level. This
249 is to be expected, as hypoxia is known to induce pulmonary vasoconstriction (HPV) almost
250 immediately upon exposure (25). In response to HPV, we have previously shown RV longitudinal
251 systolic function and SV to be decreased at HA with no change in RV end-diastolic area (34).
252 Collectively, these findings indicate that increased pulmonary artery pressure likely reduces RV SV
253 which would in turn affect LV filling. This is supported by investigations that pharmacologically

254 reversed HPV and demonstrated an increased VO_2 peak (13, 19, 26). Further work is required to
255 establish causality between HPV and decreased LV EDV during exercise, especially during exercise in
256 an upright posture.

257 *Higher ejection fraction and greater twist, apical rotation and strain during submaximal exercise at*
258 *5050 m.*

259 Very limited data exist on detailed LV function during exercise at HA, which has led to speculation
260 that hypoxia may directly impair contractile function. Similar to Suarez, Alexander and Houston (37),
261 we observed a higher ejection fraction at rest and during incremental exercise at HA. However,
262 ejection fraction alone does not solely reflect systolic function due to its dependency on diastolic
263 filling, and more sensitive measures can assess the underlying function (21). The higher ejection
264 fraction in the current study was coupled with higher LV twist, apical rotation and their respective
265 velocities, indicating a short-term response to HA exposure in LV function in order to maximize SV
266 when EDV is reduced. In experimental models, myocardial ischemia lowers LV twist and strain, a
267 change that is considered to reflect impaired function (5, 43). However, the increased LV systolic
268 mechanics evident in the present study indicate that moderate-severe hypoxemia (SpO_2 72%) in
269 healthy individuals does not impair systolic performance. The increase in mechanics is likely
270 mediated through a combination of decreased LV preload and increased sympathetic nerve activity,
271 as both stimuli are known to increase LV mechanical parameters such as apical rotation (14, 17). An
272 increase in LV twist has also been reported during acute (30 minutes) normobaric hypoxia (10).
273 However, unlike acute normobaric hypoxia where LV twist is higher due to systemic vasodilation, we
274 report a concomitant increase in MAP. Under these hemodynamic conditions, one would expect LV
275 twist to decrease (42), indicating that different regulatory mechanisms may exist for LV twist
276 between acute and chronic hypoxic exposure.

277

278 *Modified interaction between left ventricular mechanics and volumes at 5050 m.*

279 Previously, the maintenance or increase in ejection fraction at HA has been reported as 'enhanced'
280 systolic function (37). Whilst this indicates that the LV has the capacity to respond to the acute
281 challenge, particularly at rest, it does not necessarily indicate an exclusively positive outcome due to
282 the load-dependency of ejection fraction discussed above. The higher twist, apical rotation and
283 strain at HA likely meant that cardiac mechanics were closer to the 'ceiling' previously reported
284 during incremental exercise (36). Consequently, apical rotation at HA did not increase with
285 increasing exercise intensity, and the rise in SV during incremental exercise was much smaller.
286 Therefore, higher resting systolic mechanics reduce the functional reserve normally available during
287 incremental exercise. Figure 4b illustrates this, where from rest to 50% peak power twist increases
288 by 82% at sea level but only 23% at HA, with no significant change in apical rotation. Moreover, the
289 increase in SV was 15% greater at SL than at HA. It is worth noting that apical rotational velocity was
290 still able to increase beyond the limit to apical rotation, suggesting the sympathetically-mediated
291 response to exercise was still evident. Therefore, whilst maximum deformation was achieved, the
292 rate of deformation could still be augmented as heart rate increased. As mentioned above, EDV is
293 the major determinant of SV during exercise, and it would appear that at HA impaired ventricular
294 filling alters the relation between twist and SV normally observed at sea level. The shortening of the
295 LV functional reserve at HA appears to limit the increase in SV during exercise. As such, these
296 changes could ultimately negatively impact exercise capacity, especially at higher intensities.

297 *Limitations and future directions*

298 The current study only reports data up to 50% maximal exercise due to limitations in
299 echocardiographic image acquisition at higher exercise intensities in a field research setting. To
300 obtain optimal images of the LV, participants were required to perform an end-expiration breath
301 hold during image capture. During higher intensity exercise at 5050 m this became extremely
302 difficult for the participants and meant image acquisition was not possible. Imaging of the RV was

303 also attempted, but due to poor acoustic windows reliable imaging was not possible in our
304 participants. The authors acknowledge that the assessment of LV volumes through single plane is
305 not the gold standard. However, this method was chosen due to the shorter time required for single
306 image acquisition. Future work should aim to determine the relative contribution of higher
307 pulmonary pressures and decreased blood volume on LV EDV during exercise and the consequences
308 for systolic function by lowering pulmonary pressure and normalizing blood volume, respectively.
309 Additionally, future work examining the heart at HA should also incorporate an assessment of right
310 ventricular function and the potential for region-specific LV-RV interaction during exercise.

311

312 *Conclusions*

313 At HA, LV filling is impaired during incremental submaximal exercise despite enhanced myocardial
314 diastolic mechanics. The resultant decrease in EDV and its lack of increase with exercise requires a
315 higher ejection fraction mediated through greater twist, rotation and strain. Higher resting
316 mechanics and lower EDV result in a smaller mechanical and functional reserve available during
317 incremental exercise at HA. Combined, this means the lower SV observed at HA is not due to
318 impairment of myocardial relaxation or hypoxic systolic dysfunction *per se*, rather the inability to
319 increase EDV, which is most likely due to higher pulmonary artery pressure.

320 References

- 321 1. **Ainslie PN.** On the nature of research at high altitude: packing it all in! *Exp Physiol* 99: 741-
322 742, 2014.
- 323 2. **Alexander JK, and Grover RF.** Mechanism of reduced cardiac stroke volume at high altitude.
324 *Clinical cardiology* 6: 301-303, 1983.
- 325 3. **Alexander JK, Hartley LH, Modelski M, and Grover RF.** Reduction of stroke volume during
326 exercise in man following ascent to 3,100 m altitude. *J Appl Physiol* 23: 849-858, 1967.
- 327 4. **Balanos GM, Talbot NP, Robbins PA, and Dorrington KL.** Separating the direct effect of
328 hypoxia from the indirect effect of changes in cardiac output on the maximum pressure difference
329 across the tricuspid valve in healthy humans. *Pflugers Arch* 450: 372-380, 2005.
- 330 5. **Bertini M, Sengupta PP, Nucifora G, Delgado V, Ng AC, Marsan NA, Shanks M, van Bommel**
331 **RJ, Schaliy MJ, Narula J, and Bax JJ.** Role of left ventricular twist mechanics in the assessment of
332 cardiac dyssynchrony in heart failure. *JACC Cardiovasc Imaging* 2: 1425-1435, 2009.
- 333 6. **Boussuges A, Molenat F, Burnet H, Cauchy E, Gardette B, Sainty JM, Jammes Y, and**
334 **Richalet JP.** Operation Everest III (Comex '97): modifications of cardiac function secondary to
335 altitude-induced hypoxia. An echocardiographic and Doppler study. *Am J Respir Crit Care Med* 161:
336 264-270, 2000.
- 337 7. **Calbet JA, Radegran G, Boushel R, Sondergaard H, Saltin B, and Wagner PD.** Plasma volume
338 expansion does not increase maximal cardiac output or VO₂ max in lowlanders acclimatized to
339 altitude. *Am J Physiol Heart Circ Physiol* 287: H1214-1224, 2004.
- 340 8. **Calbet JA, Robach P, and Lundby C.** The exercising heart at altitude. *Cell Mol Life Sci* 66:
341 3601-3613, 2009.
- 342 9. **Cazorla O, Ait Mou Y, Goret L, Vassort G, Dauzat M, Lacampagne A, Tanguy S, and Obert P.**
343 Effects of high-altitude exercise training on contractile function of rat skinned cardiomyocyte.
344 *Cardiovasc Res* 71: 652-660, 2006.
- 345 10. **Dedobbeleer C, Hadeji A, Naeije R, and Unger P.** Left ventricular adaptation to acute
346 hypoxia: a speckle-tracking echocardiography study. *J Am Soc Echocardiogr* 26: 736-745, 2013.
- 347 11. **Dill DB, and Costill DL.** Calculation of percentage changes in volumes of blood, plasma, and
348 red cells in dehydration. *J Appl Physiol* 37: 247-248, 1974.
- 349 12. **Fowles RE, and Hultgren HN.** Left ventricular function at high altitude examined by systolic
350 time intervals and M-mode echocardiography. *Am J Cardiol* 52: 862-866, 1983.
- 351 13. **Ghofrani HA, Reichenberger F, Kohstall MG, Mrosek EH, Seeger T, Olschewski H, Seeger W,**
352 **and Grimminger F.** Sildenafil increased exercise capacity during hypoxia at low altitudes and at
353 Mount Everest base camp: a randomized, double-blind, placebo-controlled crossover trial. *Ann*
354 *Intern Med* 141: 169-177, 2004.
- 355 14. **Gibbons Kroeker CA, Tyberg JV, and Beyar R.** Effects of load manipulations, heart rate, and
356 contractility on left ventricular apical rotation. An experimental study in anesthetized dogs.
357 *Circulation* 92: 130-141, 1995.
- 358 15. **Gomez A, and Mink S.** Increased left ventricular stiffness impairs filling in dogs with
359 pulmonary emphysema in respiratory failure. *J Clin Invest* 78: 228-240, 1986.
- 360 16. **Ho E, Brown A, Barrett P, Morgan RB, King G, Kennedy MJ, and Murphy RT.** Subclinical
361 anthracycline- and trastuzumab-induced cardiotoxicity in the long-term follow-up of asymptomatic
362 breast cancer survivors: a speckle tracking echocardiographic study. *Heart* 96: 701-707, 2010.
- 363 17. **Hodt A, Hisdal J, Stugaard M, Strandén E, Atar D, and Steine K.** Reduced preload elicits
364 increased LV twist in healthy humans: an echocardiographic speckle-tracking study during lower
365 body negative pressure. *Clin Physiol Funct Imaging* 31: 382-389, 2011.
- 366 18. **Holloway CJ, Montgomery HE, Murray AJ, Cochlin LE, Codreanu I, Hopwood N, Johnson**
367 **AW, Rider OJ, Levett DZ, Tyler DJ, Francis JM, Neubauer S, Grocott MP, and Clarke K.** Cardiac
368 response to hypobaric hypoxia: persistent changes in cardiac mass, function, and energy metabolism
369 after a trek to Mt. Everest Base Camp. *FASEB J* 25: 792-796, 2011.

- 370 19. **Hsu AR, Barnholt KE, Grundmann NK, Lin JH, McCallum SW, and Friedlander AL.** Sildenafil
371 improves cardiac output and exercise performance during acute hypoxia, but not normoxia. *J Appl*
372 *Physiol* (1985) 100: 2031-2040, 2006.
- 373 20. **Huez S, Faoro V, Guenard H, Martinot JB, and Naeije R.** Echocardiographic and tissue
374 Doppler imaging of cardiac adaptation to high altitude in native highlanders versus acclimatized
375 lowlanders. *Am J Cardiol* 103: 1605-1609, 2009.
- 376 21. **Kaneko A, Tanaka H, Onishi T, Ryo K, Matsumoto K, Okita Y, Kawai H, and Hirata K.**
377 Subendocardial dysfunction in patients with chronic severe aortic regurgitation and preserved
378 ejection fraction detected with speckle-tracking strain imaging and transmural myocardial strain
379 profile. *Eur Heart J Cardiovasc Imaging* 14: 339-346, 2013.
- 380 22. **Kang Y, Cheng L, Li L, Chen H, Sun M, Wei Z, Pan C, and Shu X.** Early detection of
381 anthracycline-induced cardiotoxicity using two-dimensional speckle tracking echocardiography.
382 *Cardiol J* 20: 592-599, 2013.
- 383 23. **Kullmer T, Kneissl G, Katova T, Kronenberger H, Urhausen A, Kindermann W, Marz W, and**
384 **Meier-Sydow J.** Experimental acute hypoxia in healthy subjects: evaluation of systolic and diastolic
385 function of the left ventricle at rest and during exercise using echocardiography. *Eur J Appl Physiol*
386 *Occup Physiol* 70: 169-174, 1995.
- 387 24. **Lang RM, Bierig M, Devereux RB, Flachskampf FA, Foster E, Pellikka PA, Picard MH, Roman**
388 **MJ, Seward J, Shanewise J, Solomon S, Spencer KT, St John Sutton M, and Stewart W.**
389 Recommendations for chamber quantification. *Eur J Echocardiogr* 7: 79-108, 2006.
- 390 25. **Naeije R.** Physiological adaptation of the cardiovascular system to high altitude. *Prog*
391 *Cardiovasc Dis* 52: 456-466, 2010.
- 392 26. **Naeije R, Huez S, Lamotte M, Retailleau K, Neupane S, Abramowicz D, and Faoro V.**
393 Pulmonary artery pressure limits exercise capacity at high altitude. *Eur Respir J* 36: 1049-1055, 2010.
- 394 27. **Noakes TD.** 1996 J.B. Wolffe Memorial Lecture. Challenging beliefs: ex Africa semper aliquid
395 novi. *Med Sci Sports Exerc* 29: 571-590, 1997.
- 396 28. **Noakes TD.** Maximal oxygen uptake: "classical" versus "contemporary" viewpoints: a
397 rebuttal. *Med Sci Sports Exerc* 30: 1381-1398, 1998.
- 398 29. **Noakes TD.** Physiological models to understand exercise fatigue and the adaptations that
399 predict or enhance athletic performance. *Scand J Med Sci Sports* 10: 123-145, 2000.
- 400 30. **Notomi Y, Popovic ZB, Yamada H, Wallick DW, Martin MG, Oryszak SJ, Shiota T, Greenberg**
401 **NL, and Thomas JD.** Ventricular untwisting: a temporal link between left ventricular relaxation and
402 suction. *Am J Physiol Heart Circ Physiol* 294: H505-513, 2008.
- 403 31. **Pratali L, Allemann Y, Rimoldi SF, Fajta F, Hutter D, Rexhaj E, Brenner R, Bailey DM, Sartori**
404 **C, Salmon CS, Villena M, Scherrer U, Picano E, and Sicari R.** RV contractility and exercise-induced
405 pulmonary hypertension in chronic mountain sickness: a stress echocardiographic and tissue
406 Doppler imaging study. *JACC Cardiovasc Imaging* 6: 1287-1297, 2013.
- 407 32. **Robach P, Dechaux M, Jarrot S, Vaysse J, Schneider JC, Mason NP, Herry JP, Gardette B,**
408 **and Richalet JP.** Operation Everest III: role of plasma volume expansion on VO₂(max) during
409 prolonged high-altitude exposure. *J Appl Physiol* 89: 29-37, 2000.
- 410 33. **Silverman HS, Wei S, Haigney MC, Ocampo CJ, and Stern MD.** Myocyte adaptation to
411 chronic hypoxia and development of tolerance to subsequent acute severe hypoxia. *Circ Res* 80: 699-
412 707, 1997.
- 413 34. **Stembridge M, Ainslie PN, Hughes MG, Stohr EJ, Cotter JD, Nio AQ, and Shave R.**
414 Ventricular structure, function and mechanics at high altitude: chronic remodelling in Sherpa versus
415 short-term lowlander adaptation. *J Appl Physiol* (1985) 2014.
- 416 35. **Stohr EJ, Gonzalez-Alonso J, Pearson J, Low DA, Ali L, Barker H, and Shave R.** Effects of
417 graded heat stress on global left ventricular function and twist mechanics at rest and during exercise
418 in healthy humans. *Exp Physiol* 96: 114-124, 2011.

- 419 36. **Stohr EJ, Gonzalez-Alonso J, and Shave R.** Left ventricular mechanical limitations to stroke
420 volume in healthy humans during incremental exercise. *Am J Physiol Heart Circ Physiol* 301: H478-
421 487, 2011.
- 422 37. **Suarez J, Alexander JK, and Houston CS.** Enhanced left ventricular systolic performance at
423 high altitude during Operation Everest II. *Am J Cardiol* 60: 137-142, 1987.
- 424 38. **Sutton JR, Reeves JT, Groves BM, Wagner PD, Alexander JK, Hultgren HN, Cymerman A,
425 and Houston CS.** Oxygen transport and cardiovascular function at extreme altitude: lessons from
426 Operation Everest II. *Int J Sports Med* 13 Suppl 1: S13-18, 1992.
- 427 39. **Tucker CE, James WE, Berry MA, Johnstone CJ, and Grover RF.** Depressed myocardial
428 function in the goat at high altitude. *J Appl Physiol* 41: 356-361, 1976.
- 429 40. **van Dalen BM, Vletter WB, Soliman OI, ten Cate FJ, and Geleijnse ML.** Importance of
430 transducer position in the assessment of apical rotation by speckle tracking echocardiography. *J Am
431 Soc Echocardiogr* 21: 895-898, 2008.
- 432 41. **Wagner PD.** Reduced maximal cardiac output at altitude--mechanisms and significance.
433 *Respir Physiol* 120: 1-11, 2000.
- 434 42. **Weiner RB, Weyman AE, Kim JH, Wang TJ, Picard MH, and Baggish AL.** The impact of
435 isometric handgrip testing on left ventricular twist mechanics. *J Physiol* 590: 5141-5150, 2012.
- 436 43. **Zhou W, Benharash P, Ho J, Ko Y, Patel NA, and Mahajan A.** Left ventricular twist and
437 untwist rate provide reliable measures of ventricular function in myocardial ischemia and a wide
438 range of hemodynamic states. *Physiol Rep* 1: e00110, 2013.

439

440

441

442 *Acknowledgements*

443 This study was carried out within the framework of the Ev-K2-CNR Project in collaboration with the
444 Nepal Academy of Science and Technology as foreseen by the Memorandum of Understanding
445 between Nepal and Italy, and thanks to contributions from the Italian National Research Council.
446 This study was supported in part by the Natural Sciences and Engineering Research Council of
447 Canada and a Canada Research Chair to PNA. The authors are grateful to the other members of this
448 international research expedition for assistance with the organization of this project.

449

450 *Competing Interests*

451 No conflicts of interest are declared by the authors.

452

454 **Table 1.** Cardiovascular responses to incremental exercise at sea level and 5050 m.

		Workload				<i>Ex Intensity</i>	<i>SL vs. HA</i>	<i>Interaction</i>
		(%peak power output)						
		Rest	10%	30%	50%			
MAP (mm Hg)	<i>SL</i>	76 ± 6	88 ± 9 *	94 ± 7 *†	104 ± 8 *†‡	P<0.001	P<0.001	P<0.001
	<i>HA</i>	94 ± 4	100 ± 5 *	103 ± 6 *	110 ± 5 *†‡			
SpO ₂ (%)	<i>SL</i>	98 ± 2	98 ± 2	97 ± 2	96 ± 3	P<0.001	P<0.001	P<0.01
	<i>HA</i>	81 ± 3	79 ± 2	74 ± 6	72 ± 6			
Heart Rate (bpm)	<i>SL</i>	54 ± 6	75 ± 12 *	95 ± 13 *†	115 ± 12 *†‡	P<0.001	NS	P<0.01
	<i>HA</i>	64 ± 17	76 ± 19 *	96 ± 17 *†	111 ± 17 *†‡			
EDV (ml)	<i>SL</i>	128 ± 18	139 ± 19 *	144 ± 30	140 ± 24 *	P<0.01	P<0.001	P<0.05
	<i>HA</i>	104 ± 18	104 ± 16	102 ± 15	106 ± 16			
ESV (ml)	<i>SL</i>	58 ± 11	60 ± 11	56 ± 13	48 ± 9 *†	P<0.001	P<0.001	P<0.05
	<i>HA</i>	44 ± 11	37 ± 6	33 ± 6 *	33 ± 7 *			
SV (ml)	<i>SL</i>	70 ± 8	79 ± 10 *	88 ± 18 *	92 ± 18 *†	P<0.001	P<0.01	P<0.05
	<i>HA</i>	60 ± 10	67 ± 11	69 ± 10 *	72 ± 11 *			
Q̇ (l/min)	<i>SL</i>	3.8 ± 0.5	5.8 ± 1.3 *	8.4 ± 1.7 *†	10.6 ± 2.3 *†‡	P<0.01	P<0.01	P<0.001
	<i>HA</i>	3.8 ± 0.7	5.0 ± 1.0 *	6.5 ± 0.6 *†	7.9 ± 1.3 *†‡			
Ejection Fraction (%)	<i>SL</i>	55 ± 3	57 ± 3	61 ± 3	66 ± 4	P<0.001	P<0.001	NS
	<i>HA</i>	58 ± 5	64 ± 3	68 ± 3	69 ± 3			

456 Data are mean ± SD. SL, sea level; HA, high altitude; MAP, mean arterial pressure; SpO₂, arterial
 457 oxygen saturation; EDV, end-diastolic volume; ESV, end-systolic volume; SV, stroke volume; Q̇,
 458 cardiac output. *P < 0.05 vs. rest. † P < 0.05 vs. 10%. ‡ P < 0.05 vs. 30%. NS, not significant.

460 Table 2. Peak LV twist, basal, apical and longitudinal mechanics at rest and during incremental
 461 exercise at sea level and 5050 m.

		Workload (%peak power output)				Ex Intensity	SL vs. HA	Interaction
		Rest	10%	30%	50%			
LV Twist Parameters								
Twist (°)	SL	13.2 ± 2.5	15.0 ± 4.1	19.1 ± 4.9	24.0 ± 6.5	P<0.01	P<0.05	NS
	HA	18.9 ± 6.2	19.9 ± 5.9	24.4 ± 6.0	23.2 ± 4.6			
Systolic Twist Velocity (° s ⁻¹)	SL	85 ± 24	92 ± 34	140 ± 35	209 ± 56	P<0.001	P<0.05	NS
	HA	129 ± 51	144 ± 54	171 ± 44	220 ± 47			
Untwisting Velocity (° s ⁻¹)	SL	122 ± 28	111 ± 44	172 ± 63	238 ± 76	P<0.001	P<0.001	NS
	HA	159 ± 38	178 ± 44	238 ± 76	308 ± 82			
LV Basal Parameters								
Basal Rotation (°)	SL	6.2 ± 1.9	5.6 ± 2.7	8.2 ± 3.0	8.5 ± 3.1	P<0.001	NS	NS
	HA	3.3 ± 2.2	5.3 ± 3.1	6.3 ± 1.9	8.0 ± 2.8			
Basal Rotational Velocity (° s ⁻¹)	SL	63 ± 22	60 ± 19	98 ± 28	132 ± 38	P<0.001	NS	NS
	HA	68 ± 25	80 ± 25	95 ± 28	138 ± 35			
Basal Circumferential Strain (%)	SL	18.2 ± 2.8	15.8 ± 2.5	16.5 ± 5.4	15.9 ± 5.7	NS	P<0.01	NS
	HA	19.5 ± 3.5	17.4 ± 4.4	20.5 ± 4.4	20.3 ± 3.1			
Basal Circumferential Strain Rate (s ⁻¹)	SL	1.12 ± 0.13	0.97 ± 0.19	1.22 ± 0.22	1.43 ± 0.19	P<0.001	P<0.05	NS
	HA	1.25 ± 0.28	1.18 ± 0.28	1.58 ± 0.38	1.76 ± 0.35			
LV Apical Parameters								
Apical Rotation (°)	SL	7.4 ± 2.5	10.2 ± 2.5	11.6 ± 3.5	17.0 ± 5.4	*†	P<0.001	P<0.01
	HA	15.9 ± 4.7	14.7 ± 4.7	18.6 ± 5.7	16.2 ± 3.2			
Apical Rotational Velocity (° s ⁻¹)	SL	52 ± 25	69 ± 22	97 ± 25	189 ± 57	P<0.001	P<0.01	NS
	HA	103 ± 38	110 ± 35	143 ± 57	210 ± 51			
Apical Circumferential Strain (%)	SL	25.1 ± 4.7	24.1 ± 4.7	29.8 ± 7.0 †	31.4 ± 7.3	P<0.001	P<0.001	P<0.01
	HA	29.4 ± 6.0	33.6 ± 5.1	39.1 ± 4.4 *†	31.8 ± 5.1			
Apical Circumferential Strain Rate (s ⁻¹)	SL	1.40 ± 0.28	1.37 ± 0.28	1.90 ± 0.47 *†	2.70 ± 0.73	P<0.001	P<0.001	P<0.01
	HA	2.12 ± 0.70	2.40 ± 0.89	3.06 ± 0.54 *†	3.04 ± 0.51			
LV Longitudinal Parameters								
Longitudinal Strain (%)	SL	19.3 ± 2.5	20.2 ± 2.2	22.2 ± 2.2	23.5 ± 1.6	P<0.001	NS	NS
	HA	18.6 ± 2.2	21.2 ± 2.2	22.5 ± 2.2	23.2 ± 2.8			
Longitudinal Strain Rate (s ⁻¹)	SL	0.97 ± 0.16	1.07 ± 0.16	1.31 ± 0.19	1.66 ± 0.19	P<0.001	P<0.05	NS
	HA	1.05 ± 0.16	1.24 ± 0.19	1.53 ± 0.19	1.81 ± 0.28			

462

463

464 Data are mean ± SD. SL, sea level; HA, high altitude. *P <0.05 vs. rest. † P <0.05 vs. 10%. ‡ P <0.05 vs.
 465 30%. NS, not significant. Untwisting velocity; peak during early diastole.

466

467 Figures and Legends

468 **Figure 1. Left ventricular volumes and systemic cardiovascular responses to incremental exercise**
469 **at sea level (SL) and high altitude (HA).** Cardiac output was the same at rest but increased to a
470 greater extent at sea level achieved through a greater stroke volume (SV). End-diastolic volume
471 (EDV) was lower at HA and did not increase with exercise, meaning a greater ejection fraction was
472 required at HA. MAP, mean arterial pressure; SpO₂, arterial oxygen saturation; ESV, end-systolic
473 volume. Filled circles represent sea level and open squares the high altitude. Data are mean ± SEM.
474 For P value of ANOVA and *post hoc* analysis of exercise intensities please refer to Table 1. §P<0.05
475 vs. Sea level and #P<0.01 vs. Sea level where interaction P<0.05.

476 **Figure 2. Individual response of pulmonary artery systolic pressure at rest and 50% peak power at**
477 **sea level and 5050 m.** Pulmonary artery systolic pressure increased from rest to exercise in both
478 conditions and was higher in both HA conditions compared to sea level. *P<0.05 SL vs. HA and #
479 P<0.05 rest vs. 50% exercise; n=6.

480 **Figure 3. Diastolic relaxation at sea level (SL) and high altitude (HA).** Panels A and B display peak
481 velocity and apical diastolic rotational velocity, respectively, during incremental exercise at sea level
482 and high altitude. Peak untwisting velocity was higher at HA until 50% peak power, which was driven
483 by an increase in apical diastolic rotational velocity. Panel C indicates that the strong relationship
484 between systolic and diastolic twisting and untwisting velocities remained after acclimatization,
485 although there was a greater untwisting velocity for a given systolic twist velocity at HA. As there
486 was no significant increase in twist exercise intensity at HA, the relationship between untwist
487 velocity and twist was altered (D). This suggests untwist velocity is able to increase beyond the
488 limitation to twist to achieve total untwist at higher heart rates. Data are mean ± SEM. *P<0.05 main
489 effect (sea level vs. high altitude).

490 **Figure 4. Peak twist, apical rotation and their relationship with stroke volume during incremental**
491 **exercise at sea level (SL) and high attitude (HA).** Panel A; twist and apical rotation were higher at
492 rest and during sub-maximal exercise at HA, but there was no increase in apical rotation at HA. A
493 combination of higher resting mechanics and absence of change with exercise meant a rightward
494 shift in the exponential relationship between twist and apical rotation with stroke volume after HA
495 exposure. Resting apical rotation at HA was equivalent to 50% peak power at sea level, suggesting
496 the mechanical reserve had been fully utilized at rest in the hypoxic condition. Filled circles (A) and
497 blue line (B) represent the sea level and open squares (A) and red line (B) the high altitude exercise
498 conditions, respectively. Data are mean \pm SEM. * $P < 0.05$ main effect (sea level vs. high altitude;
499 $\S P < 0.05$ vs. sea level and # $P < 0.01$ vs. sea level where interaction effect $P < 0.05$; for P value of ANOVA
500 and *post hoc* analysis of exercise intensities please refer to Table 2.

501

Figure 1:

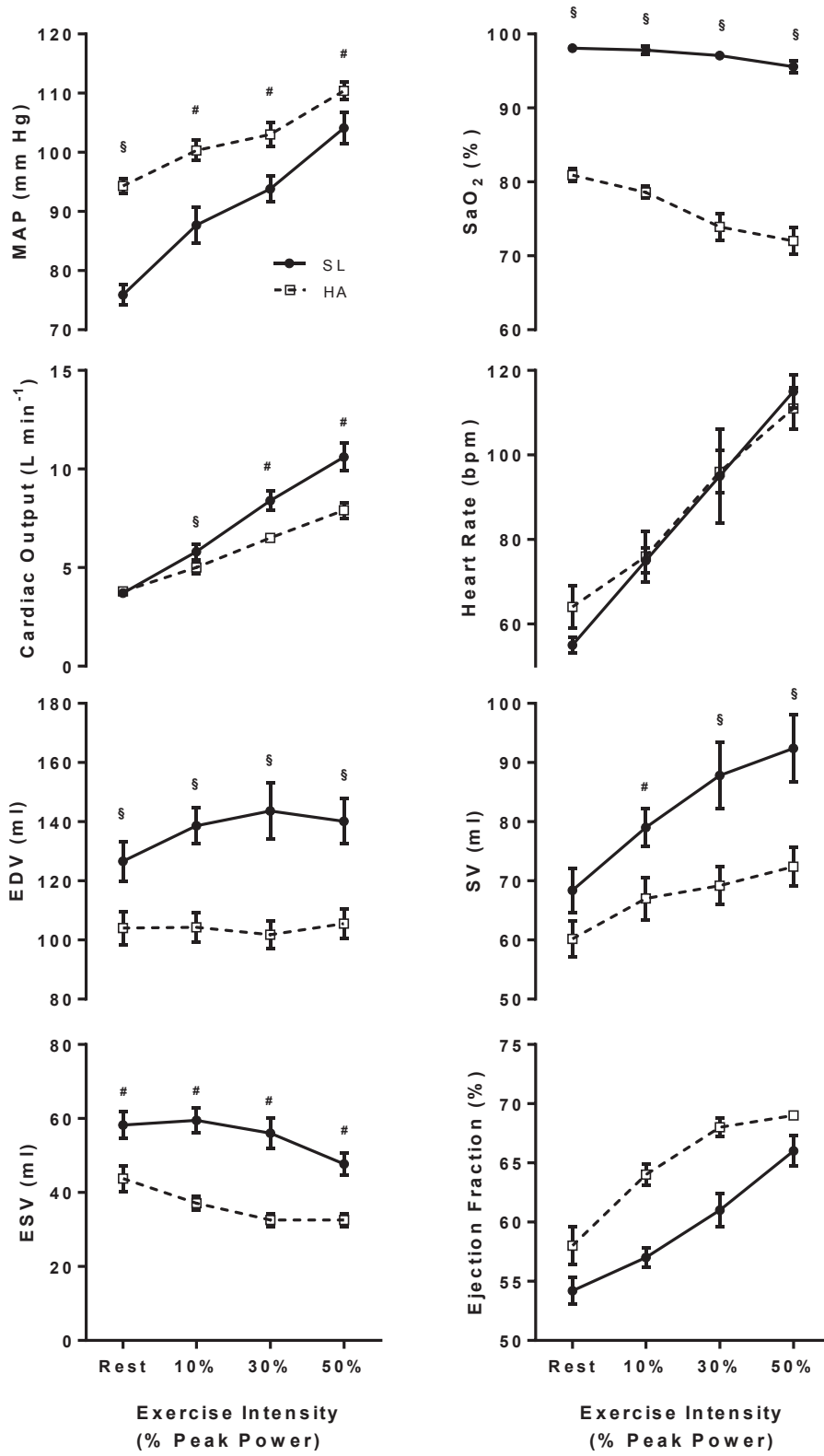


Figure 2:

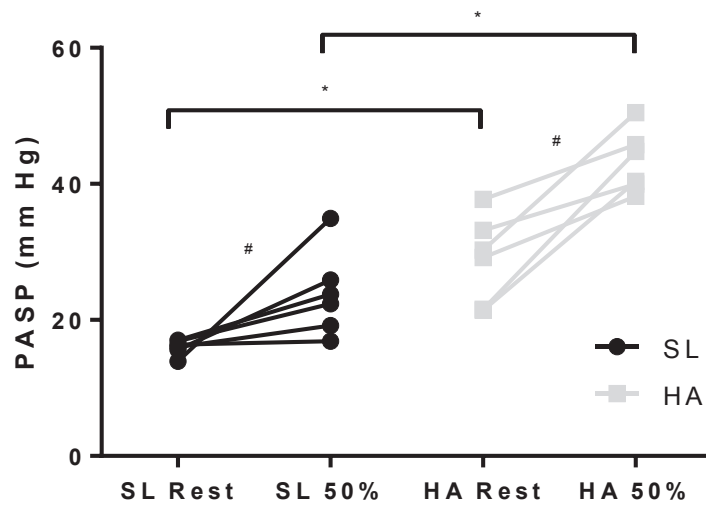


Figure 3:

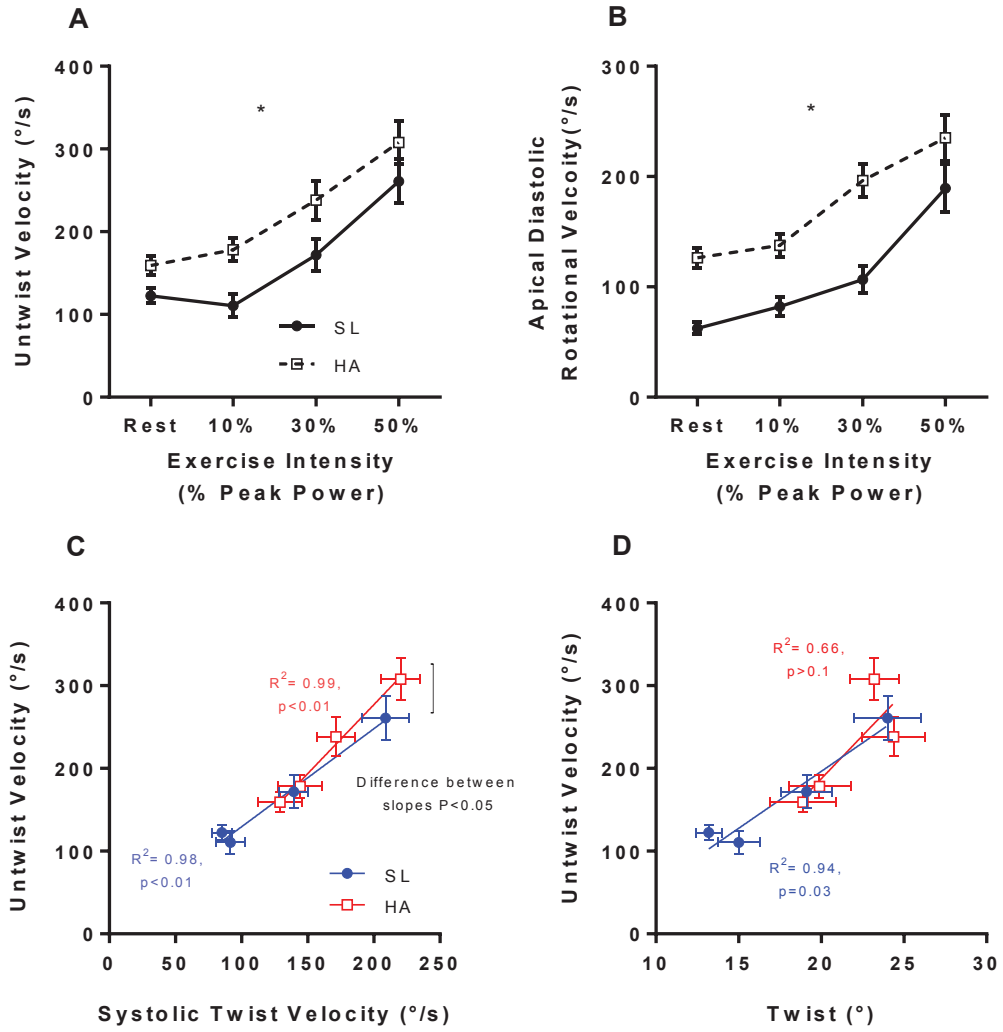


Figure 4:

