

## CHARACTERISTIC CLASSES AND OBSTRUCTION THEORY FOR INFINITE LOOP SPACES

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The classical extension problem is to determine whether or not a given map  $g: A \rightarrow Y$ , defined on a given subspace  $A$  of a space  $X$ , has an extension  $X \rightarrow Y$ . The present paper examines this question in the special case where the  $k$ -invariants of  $Y$  are cohomology classes of finite order (for instance if  $Y$  is an infinite loop space).

### Introduction

Let  $(X, A)$  be a relative  $CW$ -complex and  $Y$  an  $(m - 1)$ -connected simple  $CW$ -complex ( $m \geq 1$ ). The classical obstruction theory describes the primary obstruction  $\gamma^{m+1}(g) \in H^{m+1}(X, A; \pi_m Y)$  to extend a map  $g: A \rightarrow Y$  to a map  $X \rightarrow Y$ , in term of the characteristic class  $i^m(Y) \in H^m(Y; \pi_m Y)$  as follows :  $\gamma^{m+1}(g) = (-1)^m \delta g^*(i^m(Y))$ , where  $g^*$  is the homomorphism induced by  $g$  in cohomology and  $\delta$  the coboundary operator of the cohomology sequence of the pair  $(X, A)$ . If  $\gamma^{m+1}(g) = 0$ , then there is an extension of  $g$  to the  $(m + 1)$ -dimensional skeleton of  $(X, A)$ ; but the vanishing of this primary obstruction is in general not sufficient in order to determine whether or not it is possible to extend the map  $g$  to  $X$ , and one must consider higher obstructions, which have a more difficult description.

The purpose of this paper is to provide such a description for the case where  $Y$  is a space with Postnikov  $k$ -invariants of finite order (for example an infinite loop space). For these spaces we define in Section 1  $n$ -dimensional *characteristic classes*  $j^n(Y) \in H^n(Y; \pi_n Y)$  for all positive integers  $n$ . Section 2 gives some examples of these characteristic classes, in connection with the cohomology of certain classical groups. Finally, Section 3 is devoted to the

extension problem : for all positive integers  $n$  we define *obstruction classes*  $\zeta^{n+1}(g) \in H^{n+1}(X, A; \pi_n Y)$  which are related to the characteristic classes of  $Y$  by the (similar) formula  $\zeta^{n+1}(g) = (-1)^n \delta g^*(j^n(Y))$ , and we show that, under suitable conditions (for instance after localization of the target space  $Y$ ), the extensibility of the map  $g$  is equivalent to the vanishing of these classes.

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## 1. Characteristic classes

If  $Y$  is an  $(m-1)$ -connected space (with  $\pi_1 Y$  abelian if  $m=1$ ), its characteristic class

$$i^m(Y) \in H^m(Y; \pi_m Y)$$

is classically defined to be the element of  $H^m(Y; \pi_m Y)$  corresponding to the inverse of the Hurewicz isomorphism  $\pi_m Y \xrightarrow{\cong} H_m(Y; \mathbf{Z})$  under the isomorphism  $H^m(Y; \pi_m Y) \cong \text{Hom}(H_m(Y; \mathbf{Z}), \pi_m Y)$  given by the universal coefficient theorem (cf. [8, p.236]). The class  $i^m$  is natural in the following sense : if  $h: Y \rightarrow Y'$  is a map between two  $(m-1)$ -connected spaces, then  $h_*(i^m(Y)) = h^*(i^m(Y'))$ , where the homomorphisms  $h_*: H^m(Y; \pi_m Y) \rightarrow H^m(Y; \pi_m Y')$  and  $h^*: H^m(Y'; \pi_m Y') \rightarrow H^m(Y; \pi_m Y')$  are induced by  $h$ . Our objective is to define, for certain spaces, characteristic classes in all dimensions.

Let us start by explaining our notation. All spaces we consider in this section are connected simple  $CW$ -complexes. For such a space  $Y$  and for any positive integer  $n$ , let  $\alpha_n: Y \rightarrow Y[n]$  denote the  $n$ -th Postnikov section of  $Y$  (i.e.,  $Y[n]$  is a  $CW$ -complex obtained from  $Y$  by adjoining cells of dimension  $\geq n+2$ , with  $\pi_i Y[n] = 0$  for  $i > n$  and  $(\alpha_n)_*: \pi_i Y \xrightarrow{\cong} \pi_i Y[n]$  for  $i \leq n$ ), and  $k^{n+1}(Y)$  the Postnikov  $k$ -invariant in  $H^{n+1}(Y[n-1]; \pi_n Y)$  :  $k^{n+1}(Y)$  is a homotopy class of maps  $Y[n-1] \rightarrow K(\pi_n Y, n+1)$  such that, if  $K(\pi_n Y, n) \rightarrow PK(\pi_n Y, n+1) \xrightarrow{p} K(\pi_n Y, n+1)$  is the path-fibration over  $K(\pi_n Y, n+1)$  and if  $W_n$  is the pull-back of  $(k^{n+1}(Y), p)$ , there exists a (non-unique) homotopy equivalence  $\theta: Y[n] \xrightarrow{\cong} W_n$ .

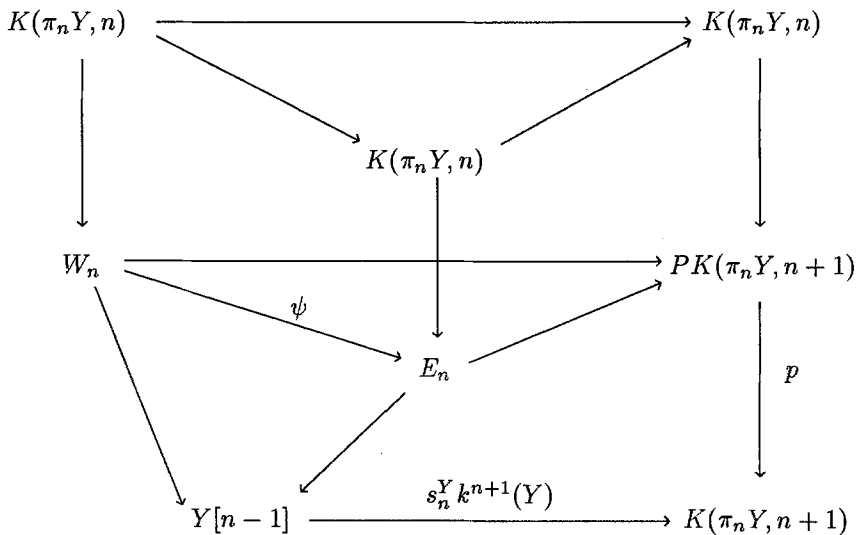
In order to introduce the notion of  $n$ -dimensional characteristic classes for a space  $Y$ , we must assume that  $n$  is a positive integer such that the  $k$ -invariant  $k^{n+1}(Y)$  is an element of finite order, say  $s_n^Y$ , in the group  $H^{n+1}(Y[n-1]; \pi_n Y)$

(notice that  $k^{n+1}(Y)$  is trivial for all  $n \leq m$  if  $Y$  is  $(m - 1)$ -connected). For instance, this condition is satisfied for all  $n \geq 1$  if  $Y$  is an  $H$ -space of finite type (cf. [1, Proposition 4.1]). Other examples are given in [3] where the following theorem is proved : there exist positive integers  $S_t$  ( $t \in \mathbb{Z}$ ) such that  $S_{n-m+1}k^{n+1}(Y) = 0$  for  $n \leq r + 2m - 2$  if  $Y$  is an  $(m - 1)$ -connected  $r$ -fold loop space ( $m \geq 1, r \geq 0$ ); in particular, all  $k$ -invariants of an infinite loop space have finite order.

Under this hypothesis, it is possible to construct a map

$$f_n^Y : Y \rightarrow K(\pi_n Y, n)$$

which induces multiplication by  $s_n^Y$  on  $\pi_n Y$  (cf. [2, Lemma 4]). Look at the commutative diagram



where each column is a fibration and  $E_n$  the pull-back of  $(s_n^Y k^{n+1}(Y), p)$ ; this implies the existence of the map  $\psi$ , and the fact that  $s_n^Y k^{n+1}(Y)$  is homotopic to the constant map produces a homotopy equivalence  $E_n \simeq Y[n - 1] \times K(\pi_n Y, n)$ .

Observe that  $\psi$  induces multiplication by  $s_n^Y$  on  $\pi_n Y$  since  $s_n^Y k^{n+1}(Y)$  is actually the composition of  $k^{n+1}(Y)$  with  $s_n^Y \cdot \text{identity}$ :  $K(\pi_n Y, n+1) \rightarrow K(\pi_n Y, n+1)$ . We write  $f_n^Y$  for the composition

$$Y \xrightarrow{\alpha_n} Y[n] \xrightarrow{\theta} W_n \xrightarrow{\psi} E_n \simeq Y[n-1] \times K(\pi_n Y, n) \xrightarrow{\pi} K(\pi_n Y, n) \xrightarrow{\eta} K(\pi_n Y, n)$$

where  $\pi$  denotes the projection onto the second factor and  $\eta$  a map inducing an isomorphism on  $\pi_n Y$  such that the induced homomorphism  $(f_n^Y)_*: \pi_n Y \rightarrow \pi_n Y$  is *exactly* multiplication by  $s_n^Y$ . This map  $f_n^Y$  is *not unique*.

**Definition 1.1.** If  $Y$  is a connected simple  $CW$ -complex and  $n$  a positive integer such that  $k^{n+1}(Y)$  is a cohomology class of finite order  $s_n^Y$ , an  $n$ -dimensional characteristic map for  $Y$  is a map

$$f_n^Y: Y \longrightarrow K(\pi_n Y, n)$$

which induces multiplication by  $s_n^Y$  on  $\pi_n Y$ . The  $n$ -dimensional characteristic class of  $Y$  associated with  $f_n^Y$  is

$$j^n(Y) := (f_n^Y)^*(i^n(K(\pi_n Y, n))) \in H^n(Y; \pi_n Y),$$

where  $(f_n^Y)^*$  is the homomorphism induced by  $f_n^Y$  in cohomology (in other words,  $j^n(Y)$  is the cohomology class corresponding to the homotopy class of  $f_n^Y$ ).

The characteristic class  $j^n(Y)$  is *not uniquely defined* since it depends on the map  $f_n^Y$ . The fibre of the Postnikov section  $Y[n] \rightarrow Y[n-1]$  is  $K(\pi_n Y, n)$  and we call  $\rho$  the inclusion map  $K(\pi_n Y, n) \hookrightarrow Y[n]$ ; because of the isomorphism  $(\alpha_n)^*: H^n(Y[n]; \pi_n Y) \xrightarrow{\cong} H^n(Y; \pi_n Y)$ , we may consider the induced homomorphism  $\rho^*: H^n(Y; \pi_n Y) \rightarrow H^n(K(\pi_n Y, n); \pi_n Y)$ . It is then obvious that all  $n$ -dimensional characteristic classes  $j^n(Y)$  of  $Y$  have the same image under  $\rho$ . In fact, we write  $\rho$  for the composition of this map with a self-equivalence of  $K(\pi_n Y, n)$ , such that

$$\rho^*(j^n(Y)) = s_n^Y i^n(K(\pi_n Y, n)).$$

**Definition 1.2.**  $J^n(Y)$  is the image of any  $n$ -dimensional characteristic class  $j^n(Y)$  of  $Y$  under the homomorphism  $H^n(Y; \pi_n Y) \rightarrow H^n(Y; \pi_n Y)/\text{Ker } \rho^*$ .  $J^n(Y)$  is uniquely determined.

The remainder of this section establishes some elementary properties of these classes.

**Proposition 1.3.** *If  $Y$  is an  $(m-1)$ -connected simple CW-complex, then  $j^m(Y)$  is uniquely determined and  $i^m(Y) = j^m(Y) = J^m(Y)$ .*

*Proof.* If  $Y$  is  $(m-1)$ -connected,  $k^{m+1}(Y)$  is trivial ( $s_m^Y = 1$ ) and  $Y[m] = K(\pi_m Y, m)$ ; thus,  $\rho^*$  is an isomorphism,  $j^m(Y)$  is unique and  $j^m(Y) = J^m(Y)$ . Since any  $m$ -dimensional characteristic map  $f_m^Y: Y \rightarrow K(\pi_m Y, m)$  induces identity on  $\pi_m Y$ , the naturality of  $i^m$  implies :

$$j^m(Y) = (f_m^Y)^*(i^m(K(\pi_m Y, m))) = i^m(Y).$$

Let us discuss the naturality of  $J^n$ .

**Proposition 1.4.** *Let  $Y$  and  $Y'$  be connected simple CW-complexes,  $n$  a positive integer such that  $k^{n+1}(Y)$  and  $k^{n+1}(Y')$  have finite order  $s_n^Y$  and  $s_n^{Y'}$  respectively,  $h: Y \rightarrow Y'$  a map, and*

$$\begin{aligned} h_*: H^n(Y; \pi_n Y)/\text{Ker } \rho^* &\rightarrow H^n(Y; \pi_n Y')/\text{Ker } \rho^* \text{ and} \\ h^*: H^n(Y'; \pi_n Y')/\text{Ker } \rho^* &\rightarrow H^n(Y; \pi_n Y')/\text{Ker } \rho^* \end{aligned}$$

*the homomorphisms induced by  $h$ . Then*

$$s_n^{Y'} h_*(J^n(Y)) = s_n^Y h^*(J^n(Y')).$$

*Proof.* Look at the commutative diagram

$$\begin{array}{ccccc} H^n(Y; \pi_n Y) & \xrightarrow{h_*} & H^n(Y; \pi_n Y') & \xleftarrow{h^*} & H^n(Y'; \pi_n Y') \\ \downarrow \rho^* & & \downarrow \rho^* & & \downarrow \rho^* \\ H^n(K(\pi_n Y, n); \pi_n Y) & \xrightarrow{h_\#} & H^n(K(\pi_n Y, n); \pi_n Y') & \xleftarrow{h^\#} & H^n(K(\pi_n Y', n); \pi_n Y') \end{array}$$

where the homomorphisms  $h_*, h^*, h_\#, h^\#$  are induced by  $h$ . It follows from  $h_\#(i^n(K(\pi_n Y, n))) = h^\#(i^n(K(\pi_n Y', n)))$  that  $\rho^*(s_n^{Y'} h_*(j^n(Y))) = s_n^{Y'} h_\# \rho^*(j^n(Y)) = s_n^{Y'} h_\#(s_n^Y(i^n(K(\pi_n Y, n)))) = s_n^Y h^\#(s_n^{Y'}(i^n(K(\pi_n Y', n)))) = s_n^Y h^\# \rho^*(j^n(Y')) = \rho^*(s_n^Y h^*(j^n(Y')))$  for all  $j^n(Y)$  and  $j^n(Y')$ . This becomes  $s_n^{Y'} h_*(J^n(Y)) = s_n^Y h^*(J^n(Y'))$  in the quotient  $H^n(Y; \pi_n Y')/\text{Ker } \rho^*$ .

**Remark 1.5.** Let  $Y$  be a connected simple CW-complex,  $n$  a positive integer with  $k^{n+1}(Y)$  of finite order  $s_n^Y$ ,  $R$  a subring of the field of rationals  $\mathbb{Q}$  such that  $s_n^Y$  is invertible in  $R$ ,  $\ell: Y \rightarrow Y_R$  the localization map, and  $\ell_*: H^n(Y; \pi_n Y) \rightarrow H^n(Y; \pi_n Y \otimes R)$  and  $\ell^*: H^n(Y_R; \pi_n Y \otimes R) \rightarrow H^n(Y; \pi_n Y \otimes R)$  the homomorphisms induced by  $\ell$ . The behaviour of  $J^n$  under the localization map is described by the previous proposition : since  $\ell$  localizes the  $k$ -invariants (cf. [7, Theorem 2.3]),  $k^{n+1}(Y_R)$  is trivial and  $\ell_*(J^n(Y)) = s_n^Y \ell^*(J^n(Y_R))$ .

The same is actually true for  $j^n$  : if  $j^n(Y) \in H^n(Y; \pi_n Y)$  is an  $n$ -dimensional characteristic class of  $Y$ , there exists an  $n$ -dimensional characteristic class  $j^n(Y_R) \in H^n(Y_R; \pi_n Y \otimes R)$  satisfying the relation

$$\ell_*(j^n(Y)) = s_n^Y \ell^*(j^n(Y_R)).$$

In order to prove this, consider an  $n$ -dimensional characteristic map  $f_n^Y: Y \rightarrow K(\pi_n Y, n)$  corresponding to  $j^n(Y)$  and call  $f_n^Y \otimes R: Y_R \rightarrow K(\pi_n Y \otimes R, n)$  its localization. The composition of  $f_n^Y \otimes R$  with a map  $K(\pi_n Y \otimes R, n) \rightarrow K(\pi_n Y \otimes R, n)$  inducing multiplication by  $1/s_n^Y$  on  $\pi_n Y \otimes R$  is an  $n$ -dimensional characteristic map for  $Y_R$ . Therefore, the induced homomorphism  $(f_n^Y \otimes R)^*: H^n(K(\pi_n Y \otimes R, n); \pi_n Y \otimes R) \rightarrow H^n(Y_R; \pi_n Y \otimes R)$  maps  $i^n(K(\pi_n Y \otimes R, n))$  onto  $s_n^Y j^n(Y_R)$  for some  $n$ -dimensional characteristic class  $j^n(Y_R)$  of  $Y_R$ . The commutative diagram

$$\begin{array}{ccccc} H^n(K(\pi_n Y, n); \pi_n Y) & \xrightarrow{\ell_\#} & H^n(K(\pi_n Y, n); \pi_n Y \otimes R) & \xleftarrow{\ell^\#} & H^n(K(\pi_n Y \otimes R, n); \pi_n Y \otimes R) \\ \downarrow (f_n^Y)^* & & \downarrow (f_n^Y)^* & & \downarrow (f_n^Y \otimes R)^* \\ H^n(Y; \pi_n Y) & \xrightarrow{\ell_*} & H^n(Y; \pi_n Y \otimes R) & \xleftarrow{\ell^*} & H^n(Y_R; \pi_n Y \otimes R) \end{array}$$

completes the argument :  $\ell_*(j^n(Y)) = (f_n^Y)^* \ell_\#(i^n(K(\pi_n Y, n))) =$

$$(f_n^Y)^* \ell^\#(i^n(K(\pi_n Y \otimes R, n))) = \ell^*(s_n^Y j^n(Y_R)).$$

We determine finally the relationship between the characteristic classes of a space  $Y$  and those of its loop space  $\Omega Y$ . The cohomology suspension  $\sigma^*$  induces the commutative diagram

$$\begin{array}{ccc} H^n(Y; \pi_n Y) & \xrightarrow{\sigma^*} & H^{n-1}(\Omega Y; \pi_{n-1} \Omega Y) \\ \downarrow \rho^* & & \downarrow \rho^* \\ H^n(K(\pi_n Y, n); \pi_n Y) & \xrightarrow{\sigma^*} & H^{n-1}(K(\pi_{n-1} \Omega Y, n-1); \pi_{n-1} \Omega Y) \end{array}$$

and a homomorphism

$$\sigma^*: H^n(Y; \pi_n Y)/\text{Ker} \rho^* \rightarrow H^{n-1}(\Omega Y; \pi_{n-1} \Omega Y)/\text{Ker} \rho^* .$$

**Proposition 1.6.** *Let  $Y$  be a connected simple CW-complex and  $n$  a positive integer such that  $k^{n+1}(Y)$  has finite order  $s_n^Y$ . Then*

$$\sigma^*(J^n(Y)) = (s_n^Y / s_{n-1}^{\Omega Y}) J^{n-1}(\Omega Y) ,$$

where  $s_{n-1}^{\Omega Y}$  is the order of  $k^n(\Omega Y)$ .

*Proof.* Since  $k^n(\Omega Y)$  is the image of  $k^{n+1}(Y)$  under the cohomology suspension (cf. [8, p. 438]), it has finite order  $s_{n-1}^{\Omega Y}$  dividing  $s_n^Y$ . Let  $j^n(Y) \in H^n(Y; \pi_n Y)$  be an  $n$ -dimensional characteristic class of  $Y$ . It follows from  $\rho^*(j^n(Y)) = s_n^Y i^n(K(\pi_n Y, n))$  that  $\rho^* \sigma^*(j^n(Y)) = \sigma^*(s_n^Y i^n(K(\pi_n Y, n))) = s_n^Y i^{n-1}(K(\pi_{n-1} \Omega Y, n-1)) = (s_n^Y / s_{n-1}^{\Omega Y}) \rho^*(j^{n-1}(\Omega Y))$  for any  $(n-1)$ -dimensional characteristic class of  $\Omega Y$ . Therefore we get :  $\sigma^*(J^n(Y)) = (s_n^Y / s_{n-1}^{\Omega Y}) J^{n-1}(\Omega Y)$ .

## 2. Examples.

(a) Consider the infinite loop space  $BU$ . There exist characteristic classes  $j^n(BU) \in H^n(BU; \pi_n BU)$  for all positive integers  $n$ , but if  $n$  is odd, the vanishing of  $\pi_n BU$  implies clearly that  $j^n(BU) = 0$ ; more interesting are the even dimensions since  $\pi_{2t} BU \cong \mathbb{Z}$  for any  $t \geq 1$ . As usual let us call  $c_t$  the  $t$ -th universal Chern class in  $H^{2t}(BU; \mathbb{Z})$ .

**Proposition 2.1.** *Let  $t$  be a positive integer. If  $j^{2t}(BU)$  is any  $2t$ -dimensional characteristic class of  $BU$ , then  $j^{2t}(BU) = \pm c_t +$  decomposable elements.*

*Proof.* It is known that  $k^{2t+1}(BU)$  has order  $(t-1)!$  (cf. [6, Lemma 4.4]). Thus, if  $\rho^*: H^{2t}(BU; \mathbb{Z}) \rightarrow H^{2t}(K(\mathbb{Z}, 2t); \mathbb{Z})$  is the homomorphism defined in Section 1,  $\rho^*(j^{2t}(BU)) = (t-1)! i^{2t}(K(\mathbb{Z}, 2t))$ . On the other hand it is also proved in [6, Lemma 4.5] that  $\rho^*(c_t) = \pm(t-1)! i^{2t}(K(\mathbb{Z}, 2t))$ . Consequently,  $j^{2t} \mp c_t$  belongs to the kernel of  $\rho^*$ , which is generated by products of the Chern classes  $c_1, c_2, \dots, c_{t-1}$ .

(b) Let  $A$  be the field of rationals  $\mathbb{Q}$  or the ring of integers  $\mathbb{Z}$ ,  $SL(A)$  its infinite special linear group, and  $Y := BSL(A)^+$  the simply connected space obtained by performing the plus construction on the classifying space of  $SL(A)$ . It is known by Borel's computation [4] that the rational cohomology of  $Y$  is an exterior algebra generated by elements of degree  $4t+1$ ,  $t \geq 1$ :

$$H^*(BSL(A)^+; \mathbb{Q}) = \Lambda(x_5, x_9, \dots, x_{4t+1}, \dots).$$

Since  $Y$  is an infinite loop space, we may consider characteristic classes  $j^n(Y) \in H^n(Y; \pi_n Y)$  for all  $n \geq 1$ . We want to show that the classes provide a description of the generators  $x_{4t+1}$ ,  $t \geq 1$ . Consider the localization map  $\ell: Y \rightarrow Y_{\mathbb{Q}}$  (i.e., the rational type of  $Y$ ). According to [4],  $\pi_n Y_{\mathbb{Q}} \cong \mathbb{Q}$  if  $n \equiv 1 \pmod{4}$ ,  $n \geq 5$ , and  $\pi_n Y_{\mathbb{Q}} = 0$  otherwise. Therefore, the map  $\ell$  induces the homomorphism  $\ell_*: H^{4t+1}(Y; \pi_{4t+1} Y) \rightarrow H^{4t+1}(Y; \mathbb{Q})$  for  $t \geq 1$ .

**Proposition 2.2.** *For  $t \geq 1$ , it is possible to choose*

$$x_{4t+1} = \ell_*(j^{4t+1}(BSL(A^+))).$$

*Proof.* For any  $t \geq 1$ , let  $j^{4t+1}(Y)$  be a  $(4t+1)$ -dimensional characteristic class of  $Y$  and  $j^{4t+1}(Y_{\mathbb{Q}})$  the corresponding characteristic class of  $Y_{\mathbb{Q}}$  given by Remark 1.5. Since the  $k$ -invariants  $k^{n+1}(Y)$  have finite order  $s_n^Y$  for all  $n \geq 1$ ,  $Y_{\mathbb{Q}}$  is a product of Eilenberg-MacLane spaces:  $Y_{\mathbb{Q}} = \prod_{t=1}^{\infty} K(\mathbb{Q}, 4t+1)$ . Its rational cohomology is then an exterior algebra generated by the classes  $j^{4t+1}(Y_{\mathbb{Q}})$ ,  $t \geq 1$ . Using the isomorphism  $\ell^*: H^*(Y_{\mathbb{Q}}; \mathbb{Q}) \xrightarrow{\cong} H^*(Y; \mathbb{Q})$ , we



may choose  $x_{4t+1} = s_{4t+1}^Y \ell^*(j^{4t+1}(Y_{\mathbb{Q}}))$  and deduce from Remark 1.5. that  $x_{4t+1} = \ell_*(j^{4t+1}(Y))$  for  $t \geq 1$ .

Notice that  $\ell_*(j^n(BSL(A)^+)) = 0$  if  $n \not\equiv 1 \pmod{4}$ .

The same argument produces analogous assertions for the generators of the rational cohomology of  $Sp(A)$  and  $O(A)$  (cf.[4]) :

$$\begin{aligned} H^*(BSp(A)^+; \mathbb{Q}) &= \mathbb{Q}[y_2, y_6, \dots, y_{4t-2}, \dots], \\ H^*(BO(A)^+; \mathbb{Q}) &= \mathbb{Q}[z_4, z_8, \dots, z_{4t}, \dots]. \end{aligned}$$

**Proposition 2.3.** *For  $t \geq 1$ , it is possible to choose*

$$y_{4t-2} = \ell_*(j^{4t-2}(BSp(A)^+)) \text{ and } z_{4t} = \ell_*(j^{4t}(BO(A)^+)).$$

Remark finally that similar results are obtained when  $A$  is an imaginary quadratic number field or its ring of integers.

### 3. Obstruction theory.

The classical obstruction theory (cf. [5] or [8, §V. 5-6]) examines the following problem : let  $(X, A)$  be a relative  $CW$ -complex,  $Y$  a connected simple  $CW$ -complex and  $g$  a map  $A \rightarrow Y$ ; the question is to determine whether or not  $g$  can be extended over  $X$ .

If  $Y$  is  $(m-1)$ -connected ( $m \geq 1$ ), it is possible to extend  $g$  over  $X_m$ , the  $m$ -dimensional skeleton of  $(X, A)$ . If  $\bar{g}: X_m \rightarrow Y$  is such an extension, one defines a cocycle  $c^{m+1}(\bar{g}) \in H^{m+1}(X_{m+1}, X_m; \pi_m Y)$  whose vanishing corresponds to the extensibility of  $\bar{g}$  over  $X_{m+1}$ , and one shows that if  $\bar{g}$  and  $\tilde{g}: X_m \rightarrow Y$  are extensions of  $g$ , then  $c^{m+1}(\bar{g}) \sim c^{m+1}(\tilde{g})$ : consequently, there is a uniquely defined element

$$\gamma^{m+1}(g) \in H^{m+1}(X, A; \pi_m Y)$$

which is the cohomology class of  $c^{m+1}(\bar{g})$  for any extension  $\bar{g}: X_m \rightarrow Y$  of  $g$ ;  $\gamma^{m+1}(g)$  is called the primary obstruction to extending  $g$ . It is related to the characteristic class  $i^m(Y) \in H^m(Y; \pi_m Y)$  of the target space  $Y$  by the formula

$$\gamma^{m+1}(g) = (-1)^m \delta g^*(i^m(Y)),$$

where  $g^*$  denotes the homomorphism  $H^*(Y; -) \rightarrow H^*(A; -)$  induced by  $g$ , and  $\delta: H^*(A; -) \rightarrow H^{*+1}(X, A; -)$  the coboundary operator of the cohomology sequence of the pair  $(X, A)$ . The primary obstruction  $\gamma^{m+1}(g)$  gives a partial solution to the extension problem:  $\gamma^{m+1}(g)$  is trivial if and only if  $g$  can be extended over  $X_{m+1}$ . But in general, there exist higher obstructions to extending  $g$  over  $X$ , and it is hard to describe them.

The purpose of this section is to consider the extension problem in the following special situation: we assume that  $g: A \rightarrow Y$  has an extension  $\bar{g}: X_n \rightarrow Y$  and that the  $k$ -invariant  $k^{n+1}(Y)$  of  $Y$  has finite order  $s_n^Y$  (but we do not assume that  $Y$  is  $(n-1)$ -connected). The basic idea is to apply the classical theory to the composition of  $g$  (respectively  $\bar{g}$ ) with any  $n$ -dimensional characteristic map  $f_n^Y: Y \rightarrow K(\pi_n Y, n)$  introduced in Section 1.

**Lemma 3.1.**  $c^{n+1}(f_n^Y \circ \bar{g}) = s_n^Y c^{n+1}(\bar{g}) \in H^{n+1}(X_{n+1}, X_n; \pi_n Y)$ .

*Proof.* An elementary property of the cocycle  $c^{n+1}$  is that  $c^{n+1}(f_n^Y \circ \bar{g}) = (f_n^Y)_*(c^{n+1}(\bar{g}))$ , where  $(f_n^Y)_*: H^{n+1}(X_{n+1}, X_n; \pi_n Y) \rightarrow H^{n+1}(X_{n+1}, X_n; \pi_n K(\pi_n Y, n))$  is induced by  $f_n^Y$ . But, by definition,  $(f_n^Y)_*$  is multiplication by  $s_n^Y: c^{n+1}(f_n^Y \circ \bar{g}) = s_n^Y c^{n+1}(\bar{g})$ .

It follows from this lemma that  $c^{n+1}(f_n^Y \circ \bar{g})$  does not depend on the choice of  $f_n^Y$ . If  $\bar{g}$  and  $\tilde{g}: X_n \rightarrow Y$  are extensions of  $g$ , then  $c^{n+1}(f_n^Y \circ \bar{g}) \sim c^{n+1}(f_n^Y \circ \tilde{g})$  since  $K(\pi_n Y, n)$  is  $(n-1)$ -connected. Thus, we may give the following

**Definition 3.2.**  $\zeta^{n+1}(g) \in H^{n+1}(X, A; \pi_n Y)$  is the cohomology class of  $s_n^Y c^{n+1}(\bar{g})$  for any extension  $\bar{g}: X_n \rightarrow Y$  of  $g: A \rightarrow Y$ . It turns out that  $\zeta^{n+1}(g) = \gamma^{n+1}(f_n^Y \circ g)$  for any  $n$ -dimensional characteristic map  $f_n^Y$ . Observe that this *obstruction class*  $\zeta^{n+1}(g)$  is well defined, although  $f_n^Y$  (respectively  $j^n(Y)$ ) is not uniquely determined.

**Proposition 3.3.**  $\zeta^{n+1}(g) = (-1)^n \delta g^*(j^n(Y)) \in H^{n+1}(X, A; \pi_n Y)$  for any  $n$ -dimensional characteristic class  $j^n(Y) \in H^n(Y; \pi_n Y)$ .

*Proof.* If  $f_n^Y$  is an  $n$ -dimensional characteristic map corresponding to  $j^n(Y)$ , then  $\zeta^{n+1}(g) = \gamma^{n+1}(f_n^Y \circ g) = (-1)^n \delta g^*(f_n^Y)^*(i^n(K(\pi_n Y, n))) = (-1)^n \delta g^*(j^n(Y))$ .

**Remark 3.4.** The obstruction class  $\zeta^{n+1}$  has the following properties.

- a) If  $g_0, g_1: A \rightarrow Y$  are homotopic maps, extensible over  $X_n$ , then  $\zeta^{n+1}(g_0) = \zeta^{n+1}(g_1)$ .
- b) Let  $Y'$  be another connected simple space with  $k^{n+1}(Y')$  of finite order  $s_n^{Y'}$ ,  $h$  a map  $Y \rightarrow Y'$  and  $h_*: H^{n+1}(X, A; \pi_n Y) \rightarrow H^{n+1}(X, A; \pi_n Y')$  the homomorphism induced by  $h$ . Then  $s_n^Y \zeta^{n+1}(h \circ g) = s_n^{Y'} h_* (\zeta^{n+1}(g)) \in H^{n+1}(X, A; \pi_n Y')$  because both terms are equal to the cohomology class of  $s_n^Y s_n^{Y'} c^{n+1}(h \circ \bar{g}) = s_n^Y s_n^{Y'} h_* (c^{n+1}(\bar{g}))$ .
- c) If  $h'$  is a cellular map  $(X', A') \rightarrow (X, A)$ , then  $\zeta^{n+1}(g \circ h'|_{A'}) = (h')^*(\zeta^{n+1}(g)) \in H^{n+1}(X', A'; \pi_n Y)$ , where  $(h')^*$  is the homomorphism induced by  $h'$  in cohomology.

Our objective is now to exhibit the relationships between the obstruction classes  $\zeta^{n+1}(g)$  and the solution of the extension problem.

**Theorem 3.5.** *Let  $(X, A)$  be a relative CW-complex,  $Y$  a connected simple CW-complex,  $g$  a map  $A \rightarrow Y$ , and  $n$  a positive integer such that the  $k$ -invariant  $k^{n+1}(Y)$  has finite order  $s_n^Y$ .*

- (a) *If  $g$  can be extended over  $X_{n+1}$ , then  $\zeta^{n+1}(g) = 0$ .*
- (b) *Assume that  $g$  has an extension  $\bar{g}: X_n \rightarrow Y$ , that multiplication by  $s_n^Y$  on  $H^{n+1}(X, A; \pi_n Y)$  is injective, and that  $\zeta^{n+1}(g) = 0$ , then  $g$  can be extended over  $X_{n+1}$ .*

*Proof.* Assertion (a) is obvious since the extensibility of  $g$  (and consequently of  $f_n^Y \circ g$ , for any  $n$ -dimensional characteristic map  $f_n^Y$ ) over  $X_{n+1}$  implies the vanishing of  $\gamma^{n+1}(f_n^Y \circ g) = \zeta^{n+1}(g)$ . In order to prove (b), we deduce from the hypothesis and Definition 3.2 that  $s_n^Y c^{n+1}(\bar{g}) \sim 0$ , and therefore that  $c^{n+1}(\bar{g}) \sim 0$ . It is then a consequence of [5, Extension theorem I] that  $\bar{g}|_{X_{n-1}}$  can be extended over  $X_{n+1}$ .

**Corollary 3.6.** *Let  $(X, A)$  be a  $d$ -dimensional relative CW-complex,  $Y$  an  $(m-1)$ -connected simple CW-complex ( $m \geq 1$ ), and  $g$  a map  $A \rightarrow Y$ . Assume for  $m+1 \leq n \leq d-1$  that  $k^{n+1}(Y)$  has finite order  $s_n^Y$  and that multiplication*

by  $s_n^Y$  on  $H^{n+1}(X, A; \pi_n Y)$  is injective. Then  $g$  can be extended over  $X$  if and only if  $\zeta^{n+1}(g) = 0$  in  $H^{n+1}(X, A; \pi_n Y)$  for  $m \leq n \leq d-1$ .

*Proof.* Suppose that  $\zeta^{n+1}(g) = 0$  for  $m \leq n \leq d-1$ . Observe first that  $\gamma^{m+1}(g) = (-1)^m \delta g^*(i^m(Y)) = 0$  by Propositions 1.3 and 3.3 : thus  $g$  may be extended over  $X_{m+1}$ . We then apply inductively (for  $n = m+1, m+2, \dots, d-1$ ) assertion (b) of the previous theorem and obtain an extension of  $g$  over  $X_d = X$ . The converse is trivial.

We consider finally the extension problem in the case where  $Y$  is an  $(m-1)$ -connected infinite loop space : the obstruction classes  $\zeta^{n+1}(g)$  may be defined because each  $k$ -invariant  $k^{n+1}(Y)$  of the space  $Y$  is a cohomology class of finite order  $s_n^Y$  (note that any prime  $p$  dividing  $s_n^Y$  satisfies the inequality  $p \leq (n-m+3)/2$  according to [3, Corollary 1.9]). For a positive integer  $t$ , let us call  $M_t$  the product of all primes  $p \leq t/2 + 1$ .

**Corollary 3.7.** *Let  $(X, A)$  be a  $d$ -dimensional relative CW-complex,  $Y$  an  $(m-1)$ -connected infinite loop space ( $m \geq 1$ ), and  $g$  a map  $A \rightarrow Y$ . Let  $R$  denote the ring  $\mathbb{Z}[1/M_{d-m}]$ ,  $\ell$  the localization map  $Y \rightarrow Y_R$ , and  $\ell_* : H^{n+1}(X, A; \pi_n Y) \rightarrow H^{n+1}(X, A; \pi_n Y \otimes R)$  the homomorphism induced by  $\ell$ . Then the composition  $\ell \circ g : A \rightarrow Y_R$  is extensible over  $X$  if and only if  $\ell_*(\zeta^{n+1}(g)) = 0$  in  $H^{n+1}(X, A; \pi_n Y \otimes R)$  for  $m \leq n \leq d-1$ . In particular, if  $j^n(Y)$  is an  $n$ -dimensional characteristic class satisfying  $\delta g^*(j^n(Y)) = 0$  in  $H^{n+1}(X, A; \pi_n Y)$  for  $m \leq n \leq d-1$ , then  $\ell \circ g$  has an extension  $X \rightarrow Y_R$ .*

*Proof.* Since the map  $\ell$  localizes the  $k$ -invariants, one has  $s_n^{Y_R} = 1$  for  $n \leq d-1$ . The previous corollary asserts that  $\ell \circ g$  can be extended over  $X$  if and only if  $\zeta^{n+1}(\ell \circ g) = 0$  in  $H^{n+1}(X, A; \pi_n Y \otimes R)$  for  $m \leq n \leq d-1$ ; but  $\zeta^{n+1}(\ell \circ g)$  vanishes if and only if  $\ell_*(\zeta^{n+1}(g)) = s_n^Y \zeta^{n+1}(\ell \circ g) = 0$ . (cf. Remark 3.4 (b)). Finally, if  $\delta g^*(j^n(Y)) = 0$ , then  $\ell_*(\zeta^{n+1}(g)) = (-1)^n \ell_*(\delta g^*(j^n(Y))) = 0$ .

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