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26 Miniabstract

- 27 128 patients undergoing elective laparoscopic colorectal resections were randomized
- to epidural (EDA) versus patient-controlled opioid-based analgesia (PCA). Medical
- 29 recovery and high dependency stay were longer in EDA patients but hospital stay
- 30 was similar. 30% of EDA patients needed transitory vasopressor treatment. There
- 31 was no difference in postoperative pain scores.

32 Abstract

33 Objective: To compare epidural analgesia (EDA) to patient-controlled opioid-based
 34 analgesia (PCA) in patients undergoing laparoscopic colorectal surgery.

35 **Summary background data:** EDA is mainstay of multimodal pain management

36 within enhanced recovery pathways (ERAS[®]). For laparoscopic colorectal resections,

37 the benefit of epidurals remains debated. Some consider EDA as useful, while others

38 perceive epidurals as unnecessary or even deleterious.

39 **Methods:** A total of 128 patients undergoing elective laparoscopic colorectal

40 resections were enrolled in a randomized clinical trial comparing EDA versus PCA.

41 Primary endpoint was medical recovery. Overall complications, hospital stay,

42 perioperative vasopressor requirements, and postoperative pain scores were

43 secondary outcome measures. Analysis was performed according to the intention-to-

44 treat principle.

45 **Results:** Final analysis included 65 EDA patients and 57 PCA patients. Both groups were similar regarding baseline characteristics. Medical recovery required a median 46 47 of 5 days (IQR 3;7.5) in patients with EDA and 4 days (IQR 3;6) in the PCA group (P= 0.082). PCA patients had significantly less overall complications (19 (33%) vs. 35 48 (54%); P= 0.029) but a similar hospital stay (5 days (IQR 4;8) vs. 7 days (IQR 49 50 4.5;12); P= 0.434). Significantly more EDA patients needed vasopressor treatment perioperatively (90 vs. 74%, P= 0.018), the day of surgery (27 vs. 4%, P< 0.001), and 51 52 on postoperative day 1 (29 vs. 4%, P< 0.001), while no difference in postoperative 53 pain scores was noted.

54 **Conclusions:** Epidurals appear to slow down recovery after laparoscopic colorectal 55 resections without adding obvious benefits. EDA can therefore not be recommended 56 as part of ERAS[®] pathways in laparoscopic colorectal surgery. 57 Registration number: NCT00508300 (<u>http://www.clinicaltrials.gov</u>).

58 Introduction

Enhanced recovery (ERAS[®]) pathways have proven to reduce significantly 59 complications, postoperative length of stay and costs after colorectal surgery¹⁻³. The 60 multimodal treatment bundle contains about 20 individual items to attenuate surgical 61 stress response and thus to improve recovery^{4, 5}. High compliance with the 62 recommended pathway was strongly correlated with favorable clinical outcomes⁶. 63 Previous randomized trials identified optimized fluid management, minimal invasive 64 surgery, and epidural analgesia (EDA) as key items of ERAS[®] concepts^{2, 7}. 65 The benefit of EDA however remains controversial especially when combined 66 with minimal invasive surgery⁸⁻¹². Expert laparoscopic centers have reported 67 excellent outcomes without use of EDA¹³⁻¹⁶. Moreover, a recent prospective study 68 69 suggested even slower recovery if EDA was employed after laparoscopic colectomy¹⁶. Furthermore, novel strategies for pain management rendered promising 70 results^{17, 18}. This obvious mismatch of recommendations, available evidence and 71 72 current practice can only be reconciled with more prospective data. 73 74 The aim of this prospective randomized trial was therefore to test the hypothesis that EDA improves recovery after laparoscopic colorectal resections when 75 76 compared with patient-controlled opioid-based analgesia (PCA).

77

78 Methods

79 Study design

A single center, prospective parallel-group superiority study with balanced randomization (1:1) was performed to compare the clinical effects of <u>EDA vs.</u> morphine-based PC<u>A</u> (EvA trial) in patients undergoing laparoscopic colorectal resections.

The institutional ethics committee approved the study (# 166/07), and all patients provided written informed consent before enrollment. The trial was registered under clinicaltrial.gov (trial # NCT00508300) before patient recruitment was started.

88

89 Patients and setting

All patients undergoing elective laparoscopic colorectal surgery at the
 University Hospital of Lausanne (CHUV), a tertiary referral center in Switzerland,
 were assessed for eligibility. Exclusion criteria included age below 18 years, inability
 to provide informed consent, and medical contraindication for EDA according to
 institutional guidelines^{19, 20}.

95

96 Enrolment and randomization

Patients were assessed for eligibility at outpatient consultation by the
 operating surgeon once the indication for surgery was established. Patients received
 oral and written information on the study before written consent was obtained.
 Patients were randomly assigned by a dedicated study nurse using an online
 randomization program (Randomizer, Institute for Medical Informatics, Statistics and
 Documentation, Medical University of Graz, Austria; URL: http://www.randomizer.at).

For medical and logistic reasons, blinding was not performed, as it appeared neitherfeasible nor realistic for this present study.

105

106 Interventions, anesthesia and pain strategy

Patients were randomized the day prior to surgery to allow for appropriateinformation on the anesthesia technique.

In the EDA group, epidural catheter was inserted at thoracic level (Th 8-10)
before induction of anesthesia. A bolus of 5ml of bupivacaine 0.5% was started as
soon as the epidural catheter was in place, and a continuous perfusion of
bupivacaine 0.5% at 5 ml/h was initiated until the end of surgical procedure.

In both groups, induction of anesthesia was performed with propofol 1-2
mg/kg, fentanyl 2-3 µg/kg and cisatracurium (0.15-0.2 mg/kg) for muscle paralysis.
After tracheal intubation, maintenance of anesthesia was performed with sevoflurane
in a mixed oxygen/air fresh gaz, and cisatracurium as needed. Analgesia was
assured by the bupivacaine solution in the epidural group and by fentanyl as needed
in the PCA group.

119 At the end of surgery, a solution of bupivacaine 0.1%, fentanyl 2 µg/ml and 120 adrenaline 2 µg/ml was initiated in the epidural group at a rate of 6-10 ml/h (target: 121 VAS<4) with bolus of 3 ml of the solution allowed every 40 minutes (Patient Controlled Epidural Analgesia)²⁰. In the PCA group, iv PCA with morphine 1 mg/ml, 122 123 with bolus of 1 ml at every 5 minutes and a locked of 40 mg/4 hours was inserted. 124 All patients received paracetamol 4x1g/day and metamizole 4x500mg/day as baseline analgesic treatment unless contraindicated. Pain assessment was done 125 126 twice daily at rest and on mobilization or coughing by a dedicated institutional 127 analgesia team. Failure of either technique (VAS persistently >3) was recorded by 128 the analgesia team and rescue pain relief was administered if necessary (morphine subcutaneously 0.1 mg/kg maximum 6x/d or buprenorphine sublingual 0.2-0.4 mg
maximum 3x/d). Both interventions were planned to be discontinued on postoperative
day (POD) 2 following international recommendations^{21, 22}. EDA and PCA could be
continued if the analgesia team judged that a prolonged application was beneficial for
the patient. The day of discontinuation was documented.

During anesthesia and for the following postoperative days, maintenance of
blood pressure >60mmHg or diuresis > 0.5 ml/kg/h was aimed for, first by
administration of volume, Ringer-lactate 500 ml or 500 ml colloids (Voluven[®]).
Noradrenaline at a dose of 0-10µg/h was used as vasopressor if blood pressure was
not corrected by volume administration. Substitution of blood products was done if
hematocrit < 25%, or at the discretion of the anesthetist in charge of the procedure.

140

141 *Perioperative care pathway*

Enhanced recovery was introduced in our institution in 2006 using a protocol which was adapted after a first randomized trial from our group². After the recruitment for the present EvA trial had started, it was decided in June 2011 to adapt the pathway according to the in meantime published ERAS[®] recommendations²¹ and to reinforce application of the pathway by a structured implementation program. Our ERAS[®] pathway complies with the most recent ERAS[®] guidelines^{4, 5} and was reported along with clinical and economic outcomes in 2013³.

149

150 Outcomes/study endpoints

151 Outcomes were analyzed according to the intention-to-treat principle. Medical 152 recovery was chosen as primary endpoint and was defined as meeting *all* of the 153 three following criteria: (I) sufficient *pain control* by oral analgesics, (II) *fully mobilized* 154 or at least comparable with preoperative status, and (III) tolerance of oral food which

was defined as $\geq 2/3$ of normal meal (hospital portion)²³. Medical recovery was 155 156 considered as more specific outcome parameter than hospital stay, as social and logistic factors are not interfering^{24, 25}. Secondary endpoints were postoperative 157 hospital stay and length of stay in the high dependency unit. Postoperative 30-day 158 morbidity was graded by use of the Dindo-Clavien classification²⁶; major 159 160 complications were defined as complication grade 3-5. Use of perioperative vasopressor treatment was documented for every patient until 4 days after surgery. 161 162 Pain relief was assessed by use of a visual analogue scale (VAS: 0-10) with a baseline value the day before surgery; routine evaluation twice daily started the 163 164 evening of the surgery day and was continued until POD 4.

Demographic information (age, gender, body mass index, Charlson comorbidity index ²⁷, and the American Society of Anesthesiologists (ASA) grade) as well as pertinent surgical information (indication, type of surgery, conversion rate, operation time, estimated blood loss) were all predefined. Outcomes were assessed by dedicated study nurses who entered data in a specifically designed computerized database.

171

172 Subgroup analyses

EDA group happened to have more overall and major complications that could not be attributed to the allocated analgesic interventions as suggested by previous studies^{1, 8}. Major complications prolong medical recovery and hospital stay and entail thus an obvious bias in favor of the PCA group²⁸. For this reason, a *post hoc* subgroup analysis excluding patients with major complications was additionally performed.

Primary and secondary endpoints depend not only on the allocated analgesic
 intervention but also heavily on the global perioperative care strategy^{3, 6, 15, 25}. With

the adaptation of the institutional enhanced recovery pathway to ERAS[®] guidelines
during the study period, it was decided to analyze patients within the full ERAS[®]
pathway separately as a subgroup.

The main purpose of these two additional analyses was to assess for potential bias of those influencing factors in order to filter the intrinsic effect of EDA *vs.* PCA on medical recovery and length of stay.

187

188 Statistics

Sample size computation based on a mean reduction of medical recovery time of 1.5 ± 2.25 days by use of EDA^{2, 8, 29}. Adopting a power of 90%, a two-sided type I error (α) of 0.05 and an anticipated drop-out rate of 10%, the calculated sample size was 64 patients per group.

193 Descriptive statistics were reported as absolute or relative frequencies for 194 categorical variables and as median (range or interguartile range - IQR) or mean (± 195 SD) for continuous variables as appropriate. Fisher's exact test was employed to 196 analyze categorical variables. Student's *t* test and Mann-Whitney U test were used to compare normal and non-normal continuous variables, respectively. 197 198 Data was analyzed by use of the Statistical Package for the Social Sciences (SPSS 21.0, Inc., Chicago, IL USA) and Prism 6.03 (GraphPad[®] Software, Inc. 2236) 199 200 Avenida de la Playa La Jolla, CA 92037 USA).

The trial was conducted and the results are presented according to the
 CONSORT guidelines ³⁰.

203 **Results**

Between February 10th 2010 and October 15th 2013, 266 consecutive patients 204 were assessed for eligibility. 138 patients did not meet the inclusion criteria or 205 206 refused to participate. The remaining 128 patients were randomized to receive either EDA (n=67) or PCA (n=61) as allocated treatment. Two EDA patients and four PCA 207 208 patients dropped out after randomization and no patient was lost to follow-up. Final 209 analysis compared therefore 65 EDA patients with 57 patients with PCA (Figure 1). 210 Both comparative groups were similar in terms of pertinent demographic 211 parameters and surgical aspects as displayed in **Table 1**. 212 Technical success rates and duration of EDA and PCA treatment 213 214 Eight EDA were judged non-functioning and removed consistently on POD 0 215 (n=2) and POD 1 (n=6). Overall failure rate was thus 12%. EDA and PCA were 216 discontinued according to the study protocol on POD 2 in 47 (72%) and 55 (96%) of 217 patients, respectively (P=0.005). EDA was left in place in twelve of the remaining 18 218 patients until POD 3 and in 3 patients until POD 4. EDA was removed on POD 5, 6, 219 and 7 in one patient each. Treatment time was therefore significant longer in the EDA 220 group (2.33±1.17 days vs. 1.65±0.66 days, P<0.001). The urinary catheter was 221 removed on POD1 according to the protocol in 44 EDA patients (68%) and 28 222 patients (49%) of the PCA group (P=0.044). Urinary retention requiring reinsertion of the Foley catheter occurred in 11 (17%) EDA and 7 (12%) PCA patients, respectively 223 224 (*P*=0.611).

225

226 Medical recovery, complications and length of stay

227 Medical recovery required a median of 5 (IQR 3;7.5) days in the EDA group 228 and 4 (IQR 3;6) days in patients with PCA (*P*=0.082). The 3 mandatory preconditions for medical recovery were analyzed separately as well. *Full mobilization* and *oral pain control* were achieved in both groups after a median of one and two days,

respectively. The last requirement met was sufficient oral intake after a median of 4

232 (IQR 2;6) days in EDA patients vs. 3 (IQR 2;4) days in the PCA group (*P*=0.114).

233 Median stay at the high dependency unit was 1 (IQR 1;2.5) day vs. 1 (IQR 0;1) day

for EDA and PCA group, respectively (*P*=0.213).

Thirty-five out of 65 EDA patients and 19 of 57 PCA patients developed postoperative complications (*P*=0.029). The detailed grading of severity and a list of individual complications are provided as **online appendix (A, B)**.

Hospital stay was 7 (IQR 4.5;12) days for patients with EDA and 5 (IQR 4;8) days in the PCA group (P=0.434). Three patients from the EDA group were readmitted after discharge (PCA: 0; P=0.247).

241

242 Perioperative fluid management, vasopressor requirements and perioperative pain 243 Perioperative fluid management was similar between the groups. EDA and 244 PCA patients received 1604±962ml vs. 1575±851ml balanced crystalloids (P=0.861) 245 and 817±429ml vs. 664±294ml colloids (P=0.051). Weight gain on POD1 compared 246 to preoperatively was 1.45±0.32kg in the EDA group and 2.28±0.56kg in the PCA 247 group (P=0.191). Significantly more patients with EDA needed vasopressor treatment 248 during surgery and until POD 1, while no single patient required vasopressors after 249 POD 3 (Figure 2). Pain was overall well controlled by both modalities and no 250 significant differences were noted at any time point (Figure 3).

251

252 Subgroup analysis

A tendency to more major complications was observed in the EDA group (15 vs. 5, *P*=0.213). As major complications have a significant impact on primary and 255 secondary outcome measures, a post hoc analysis was performed excluding patients 256 with major complications. Fifty EDA patients were compared with 52 PCA patients. 257 Medical recovery and high dependency stay were significantly shorter in the PCA group (P=0.050 and P=0.010), respectively, while hospital stay was similar (Figure 258 The ERAS[®] protocol was modified during the study period and the first 26 259 **4**). 260 consecutive patients were not treated within the complete pathway as mentioned in 261 the methods section. The second subgroup analysis included therefore only patients with full ERAS[®] pathway and having no major complication. Again, the PCA group 262 had significantly shorter medical recovery (P=0.019) and stay in the high dependency 263 264 unit (*P*<0.001) compared with patients having EDA (**online appendix C**). 265

266 **Discussion**

This present study shows that epidurals rather *impede recovery* after laparoscopic colorectal resections without delivering superior pain relief or other benefits. A major drawback identified was transitory hemodynamic instability requiring vasopressor treatment in a significant proportion of EDA patients. So the hypothesis was not verified and *enhanced recovery* pathways should not recommend the use of epidurals for laparoscopic colorectal resections.

273

274 Main finding of the present study was a trend for longer medical recovery in 275 EDA patients that became significant in the analyzed subgroups. One explanation might be the transitory hemodynamic instability due to sympathetic blockage in 276 patients with EDA as confirmed by our reports and by others^{8, 31, 32}. This also explains 277 278 the observed longer stay in the high dependency unit. Overall length of stay was not 279 significantly changed. Hospital stay relies on various factors, which may modify to a 280 certain extent the effect of perioperative care and different analgesic regimens in 281 particular²⁴. Logistic and economic resources differ between countries and 282 institutions and socio-cultural differences cannot be neglected; comparison of 283 hospital stay can therefore be misleading. Medical recovery is the more specific 284 endpoint that tends to occur about 2 days before discharge as shown by our group and by others²⁵. Actually, only Levy et al. reported significantly shorter hospital stay 285 in patients with PCA¹⁶, while several other randomized studies comparing EDA vs. 286 PCA for laparoscopic colorectal resections did not find any difference⁹⁻¹¹. Small 287 288 patient samples however limit those trials. Levy reported further extremely short postoperative stays of 2.7 days only in patients with PCA¹⁶. Proven benefits of EDA 289 290 for major and especially open procedures (e.g. superior pain relief, reduction of cardiopulmonary complications, faster bowel recovery)⁸ are probably minor and 291

irrelevant for minimal-invasive procedures with very short stays^{14, 16}; this being said,
minor drawbacks like pruritus and especially transitory hypotension become
problematic and may increase stay at a high dependency unit and slow down
recovery as shown in the present study and observed by others ^{8, 9, 16, 31, 32}.

296 Colon and rectal surgery differ considerably in terms of technique, surgical 297 trauma and early outcomes. The most recent ERAS[®] recommendations were 298 therefore issued separately for the two entities^{4, 5}. While the available data from the 299 present study and previous ones appears to be sufficient to abandon EDA for 300 laparoscopic *colon* resections, evidence is insufficient to for *rectal* resections as the 301 collectives in the respective randomized trials are too small^{9, 10, 16}.

302 EDA failed in 12% of the patients in our study and was removed in 28% patients after anticipated POD 2. These "deviations" disfavor the EDA group on the 303 one hand but reflect clinical realities on the other hand^{8, 33}. Further, epidural 304 305 analgesia can be performed at different thoracic levels, and combination and 306 concentration of medications vary considerably. The results of our study can 307 therefore not be uncritically generalized to other settings. However, the institutional technique applied in the present study and the reported success rates were in line 308 with recent publications and might therefore still be of interest for many institutions⁸, 309 310 ^{20, 33}. Several interesting alternatives for perioperative pain management have been 311 suggested meanwhile and favorable results have been reported in particular for 312 laparoscopic transverse abdominus plane blocks, wound infiltration, systemic steroids and systemic lidocaine ^{17, 18}. 313

314

315 Several limitations need to be addressed. Both groups were well matched by 316 means of randomization. However, EDA patients experienced more overall and 317 major complications than patients with PCA. These were mainly unrelated 318 complications entailing a potential bias disfavoring the EDA group. Therefore, 319 patients with major complications were excluded in a post hoc subgroup analysis 320 because of an obvious impact on outcome. Postoperative pain management is 321 embedded in a global care scheme and the impact of EDA or other modalities on 322 recovery, pain relief and length of stay needs to be interpreted in this context. As 323 mentioned in the methods section, the enhanced recovery pathway was adapted 324 during the study period. In order to avoid the bias of various perioperative care 325 pathways and unbalanced major complications, a second subgroup analysis was performed with all consecutive patients within the full ERAS[®] pathway and without 326 327 major complications. The interesting point was that both subgroup analyses confirmed the results of the main analysis according to the intention-to-treat principle, 328 329 and resulted in significantly reduced times for medical recovery and high dependency 330 stay in PCA patients.

331

332 In conclusion, the present study suggests that epidurals decrease blood 333 pressure in about one third of patients who therefore require transitory hemodynamic support and a prolonged stay in a high dependency unit. Thus, EDA impedes 334 335 recovery after laparoscopic colorectal resections without providing superior pain relief 336 or reduced complications when compared with morphine-based PCA. Hospital stay remains unchanged. EDA should therefore not be a mandatory item of ERAS[®] 337 pathways in laparoscopic surgery. The most recent ERAS[®] recommendations 338 already considered the new evidence^{4, 5}, and modern alternatives to morphine-based 339 340 regimens deserve future investigations.

341

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| 347 | |
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| 349 | |
| | |

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Table 1Demographic and surgical details comparing patients with epidural vs.patient-controlled analgesia.

| | EDA | PCA | Р |
|----------------------------------|-----------|-----------|-------|
| | N=65 | N=57 | |
| Age (years) | 63.1±15.1 | 61.2±17.8 | 0.529 |
| Male gender (%) | 37 (57%) | 34 (60%) | 0.854 |
| BMI (kg/m²) | 25.9±5.1 | 25.5±4.2 | 0.980 |
| ASA I/II/III | 6/49/10 | 7/41/9 | 0.853 |
| Charlson | 3.2±3.3 | 3.2±3.8 | 0.822 |
| Malignant/benign disease | 43/22 | 37/20 | 0.518 |
| Type of surgery | | | 0.904 |
| Left/sigmoid colectomy | 30 (46%) | 27 (47%) | |
| Right/ileocecal resection | 18 (28%) | 13 (23%) | |
| Rectum/(sub)total | 10 (15%) | 11 (19%) | |
| Other | 7 (11%) | 6 (11%) | |
| Conversion, No. of (%) | 12 (19%) | 8 (14%) | 0.625 |
| OR time (min) | 239±107 | 235±104 | 0.832 |
| Estimated blood loss (ml) | 232±217 | 169 ±152 | 0.095 |

Mean values ± standard deviation or no. of patients (%).

EDA – epidural analgesia, PCA – patient-controlled opioid-based analgesia, BMI – body mass index, ASA - American Society of Anesthetists, OR time – operation room time.

Postoperative complications by severity.

| | EDA | PCA | Р |
|----------------------------|----------|----------|-------|
| | N=65 | N=57 | |
| No. of patients (%) with | | | |
| Any complication | 35 (54%) | 19 (33%) | 0.029 |
| Grade I | 4 | 4 | |
| Grade II | 16 | 10 | |
| Grade III a/b | 2/9 | 0/2 | |
| Grade IV a/b | 0/2 | 3/0 | |
| Grade V (mortality) | 2 | 0 | |
| Major complications (≥III) | 15 (23%) | 5 (9%) | 0.213 |
| Reoperation | 9 (14%) | 4 (7%) | 0.254 |

Postoperative complications were graded by severity according to the Dindo-Clavien classification ²⁶. Complications grade III-V were summarized as major morbidity. EDA – epidural analgesia, PCA – patient-controlled opioid-based analgesia. List of surgical and medical complications.

| | EDA | PCA |
|-------------------------|------|------|
| | N=65 | N=57 |
| Surgical | 21 | 10 |
| Anastomotic leak | 4 | 1 |
| Bleeding | 0 | 1 |
| Surgical site infection | 2 | 0 |
| lleus | 13 | 5 |
| Other | 2 | 3 |
| Medical | 14 | 9 |
| Pulmonary | 1 | 1 |
| Cardiac | 1 | 0 |
| Renal | 3 | 2 |
| Urinary retention | 11 | 7 |
| Other | 3 | 5 |

The most frequent postoperative complications are summarized for patients with epidural analgesia (EDA) and patient-controlled opioid-based analgesia (PCA).



CONSORT diagram. Randomized controlled trial comparing epidural analgesia (EDA) *versus* patient-controlled opioid-based analgesia (PCA) for laparoscopic colorectal surgery.



Percentage of patients in the EDA (white circles) and PCA group (black rectangles), respectively, requiring vasopressor treatment during and after laparoscopic colorectal surgery.

EDA – epidural analgesia, PCA – patient-controlled opioid-based analgesia.

* indicates statistical significance (P<0.05).





Pain was assessed by use of a visual analogue scale (VAS) from 0-10 before surgery, the evening after surgery and twice daily thereafter until postoperative day (POD) 4 for patients with EDA (white circles) and PCA (black rectangles), respectively. EDA – epidural analgesia, PCA – patient-controlled opioid-based analgesia. * indicates statistical significance (P<0.05).

Data expressed as mean±SD.



Figure 4 Subgroup analysis excluding patients with major complications.

A *Post hoc* subgroup analysis included all patients without major complications: 50 EDA patients *vs.* 52 PCA patients were compared with regards to medical recovery, and length of stay in a high dependency unit and in hospital, respectively. EDA – epidural analgesia, PCA – patient-controlled opioid-based analgesia. * indicates statistical significance (P<0.05). Data expressed as mean±SD.



Patients within the full ERAS[®] pathway and without major complications (40 EDA *vs.* 40 PCA) were compared concerning medical recovery, high dependency and hospital stay.

EDA – epidural analgesia, PCA – patient-controlled opioid-based analgesia.

* indicates statistical significance (P<0.05).

Data expressed as mean±SD.