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1 **Understanding the role of the primary somatosensory**
2 **cortex: opportunities for rehabilitation**

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29 **Abstract**

30 Emerging evidence indicates impairments in somatosensory function may be a major
31 contributor to motor dysfunction associated with neurologic injury or disorders. However, the
32 neuroanatomical substrates underlying the connection between aberrant sensory input and
33 ineffective motor output are still under investigation. The primary somatosensory cortex (S1)
34 plays a critical role in processing afferent somatosensory input and contributes to the integration
35 of sensory and motor signals necessary for skilled movement. Neuroimaging and
36 neurostimulation approaches provide unique opportunities to non-invasively study S1 structure
37 and function including connectivity with other cortical regions. These research techniques have
38 begun to illuminate casual contributions of abnormal S1 activity and connectivity to motor
39 dysfunction and poorer recovery of motor function in neurologic patient populations. This
40 review synthesizes recent evidence illustrating the role of S1 in motor control, motor learning
41 and functional recovery with an emphasis on how information from these investigations may be
42 exploited to inform stroke rehabilitation to reduce motor dysfunction and improve therapeutic
43 outcomes.

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54 **Keywords: Primary somatosensory cortex; rehabilitation; motor control; motor learning;**
55 **neuroimaging; noninvasive brain stimulation; stroke**

56

57 I. Introduction

58 The planning, execution, and control of motor behaviors is a complex neural process in
59 part dependent on correct sampling of multiple sensory modalities from the body periphery (e.g.,
60 somatosensation, vestibular, etc.) and external environment (e.g., vision, hearing, etc.)
61 (Hummelsheim, Bianchetti, Wiesendanger, & Wiesendanger, 1988; Riemann & Lephart, 2002;
62 D.M. Wolpert, Pearson, & Ghez, 2013; Zarzecki, Shinoda, & Asanuma, 1978). Without correct
63 processing and translation of sensory input, both before and during movement, motor outputs are
64 abnormal and/or inaccurate. Thus, there is a tight link between sensory processing and
65 movement production. Accordingly, emerging evidence suggests abnormal processing of
66 somatosensory information by the primary somatosensory cortex (S1) contributes to deficits seen
67 in neurological disorders typically classified by motor dysfunction (e.g. stroke, Parkinson’s
68 disease, dystonia, ataxia, etc.) (Elbert, et al., 1998; Hummelsheim, et al., 1988; Jacobs, Premji, &
69 Nelson, 2012; Konczak & Abbruzzese, 2013; Rub, et al., 2003; D.M. Wolpert, et al., 2013).

70 There is a growing body of literature regarding the effects of altered S1 function on M1
71 activity and the control of movement. Increased M1 excitability has been noted in animal models
72 of neurological conditions involving S1 damage, such as stroke (Harrison, Silasi, Boyd, &
73 Murphy, 2013; Winship & Murphy, 2009) and idiopathic dystonia (Domenech, Barrios, Tormos,
74 & Pascual-Leone, 2013). It is interesting to note that in the latter study, 46% of the rats with
75 increased cortical excitability in M1 developed scoliosis, and that human patients with dystonia
76 and Parkinson’s disease demonstrate a higher prevalence of scoliosis than the general population
77 (Domenech, et al., 2013). Lesions to sensorimotor areas, similar to injuries resulting from stroke,
78 have resulted in difficulty with a battery of motor behavioral tasks assessing gross motor
79 function and reflexes in rats (Gerlai, Thibodeaux, Palmer, van Lookeren Campagne, & Van

80 Bruggen, 2000; Kleim, Boychuk, & Adkins, 2007; McIntosh, Smith, Voddi, Perri, & Stutzmann,
81 1996), and impaired fine motor skills involving small objects in monkeys (Brinkman, Colebatch,
82 Porter, & York, 1985; Hikosaka, Tanaka, Sakamoto, & Iwamura, 1985).

83 Studies have suggested that motor deficits observed after S1 lesions may not be due to
84 difficulty with executing motor commands but rather attributed to disrupted learning of new
85 motor tasks, as motor deficits are attenuated if the task had been learned prior to S1 injury
86 (Pavlidis, Miyashita, & Asanuma, 1993; Sakamoto, Arissian, & Asanuma, 1989; Sakamoto,
87 Porter, & Asanuma, 1987). Another phenomenon that could affect motor function is the
88 alteration of somatosensory maps within S1. Studies in rodents have found a shift in the sensory
89 map after experimentally-induced stroke that results in an overlap with a portion of the motor
90 representation where the neurons originally devoted to encode exclusively motor commands take
91 on small role in sensory processing, reducing the capacity for involvement in the motor system
92 (Harrison, et al., 2013; Winship & Murphy, 2009).

93 In the following sections, the importance of S1 to motor function will be considered
94 using theoretical models, neuroimaging approaches, non-invasive neural stimulation
95 technologies, and combined neuroimaging-neurostimulation paradigms. Finally, future clinical
96 implications of a comprehensive understanding of the relationship between motor functioning
97 and S1 structure, function, and connectivity will be discussed.

98

99 **II. Modeling the role of S1 in sensorimotor integration**

100 The balance between sensory input and motor output is essential for efficiently acting
101 with the environment. For example when grasping a previously visualized object, first the visual
102 information about the object's location must be identified based on input from the retina (e.g.

103 Becke, Muller, Vellage, Schoenfeld, & Hopf, 2015). Then it has to be integrated with the
104 (currently available) visual and/or somatosensory information about the location and
105 configuration of the agent's body. In addition, during the movement, the somatosensory input
106 from the agent's effector also must be transmitted to the motor system in order to fine-tune the
107 movement (e.g. Blakemore, Wolpert, & Frith, 1998; D. M. Wolpert, Ghahramani, & Jordan,
108 1995). In other words, during motor execution, real-time somatosensory feedback must be
109 encoded and provided to the motor system through integrative loops for a precise motor control
110 (see also Perruchoud, Murray, Lefebvre, & Ionta, 2014).

111 Nevertheless, the basic mechanisms, anatomo-functional neural underpinnings, and
112 rehabilitation of sensorimotor function are still under investigation. In particular, current models
113 of S1 function lack precision in defining the multifaceted role in processing afferent sensory
114 information and regulating efferent motor commands of this cortical region. This section will
115 review the available data on the anatomo-functional role of S1 in motor control, aiming at
116 describing the reciprocal influence between (somato) sensory information and motor commands.

117 Two main features of S1 function deserve particular attention. First, S1 can drive
118 movements in coordination with or independent of M1 activity. Converging evidence from
119 animal research shows that rich fiber pathways interconnect S1 and M1 (Donoghue & Parham,
120 1983; Veinante & Deschenes, 2003; White & DeAmicis, 1977). These cortico-cortical
121 connections are considered to modulate the relationship between sensory and motor components
122 of sensorimotor processes (Petreanu, Mao, Sternson, & Svoboda, 2009; Xu, et al., 2012). Recent
123 theorizations about the directionality of such an exchange between S1 and M1 emphasize the
124 dominant (probably disinhibitory) role of M1 over S1, both in rodents (Lee, Kruglikov, Huang,
125 Fishell, & Rudy, 2013) and humans (Gandolla, et al., 2014). In accordance with this view,

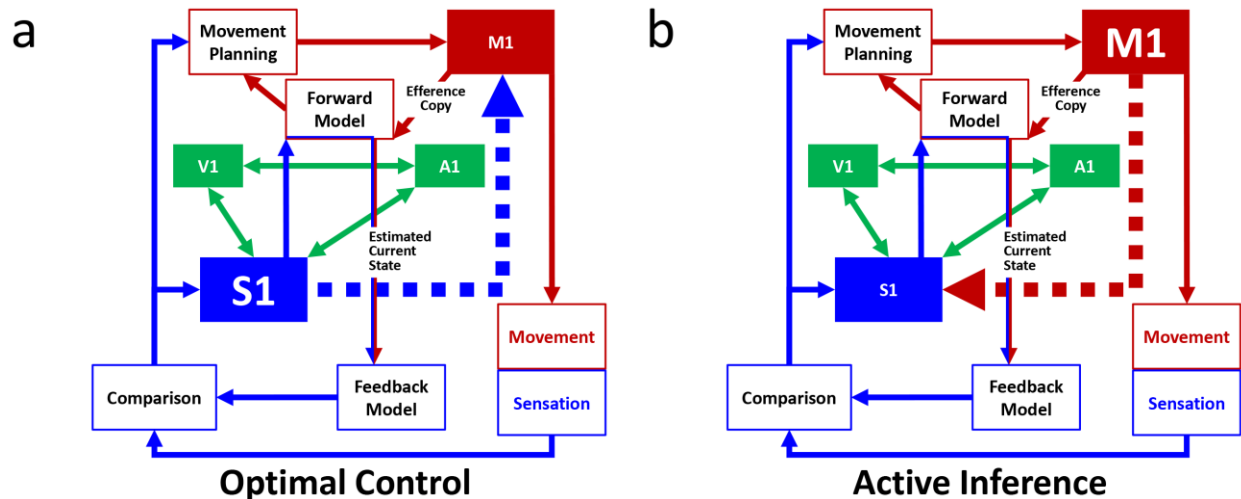
126 animal research showed that lesions of S1 are associated with increased excitability of M1
127 (Domenech, et al., 2013; Harrison, et al., 2013). Furthermore, clinical observations in humans
128 report increased peripheral somatosensory inflow facilitates functional reorganization of M1
129 (Hamdy, Rothwell, Aziz, Singh, & Thompson, 1998) and that the stimulation of S1 induces
130 shorter latencies to initiate movements (Sean K. Meehan, Dao, Linsdell, & Boyd, 2011). These
131 findings support a continuous mutual communication between sensory inflow and motor outflow
132 (Kleinfeld, Ahissar, & Diamond, 2006; Lee, Carvell, & Simons, 2008). Other evidence
133 conversely shows that S1 can drive motor commands without the intervention of M1. In
134 particular, the behavioral outcome in response to a specific somatosensory stimulus, further
135 associated with the earliest recorded cortical activity (in S1), can be triggered also by the
136 stimulation of the same S1 subregion with latencies shorter than those of the motor region
137 evoking the same movement, even when the motor region is pharmacologically inactivated
138 (Matyas, et al., 2010). In the same vein, motor deficits are less prominent if the movement is
139 learned prior to a lesion of S1 (Sakamoto, et al., 1989) and movement execution improves
140 following the administration of S1-facilitating drugs (McIntosh, et al., 1996).

141 The second important feature of S1 is that it is strictly interconnected with other primary
142 sensory cortices (e.g. visual and auditory; V1 and A1, respectively) and with subcortical
143 structures encoding different sensory modalities. Unlike conventional views of the primary
144 sensory cortices as unisensory regions, different perspectives propose that multisensory
145 integration processes begin to take place in these regions (Driver & Noesselt, 2008). The neural
146 underpinnings of such crossmodal integration may be provided by the cortico-cortical
147 connections between S1 and A1, described both in primates (Cappe & Barone, 2005) and
148 humans (Ro, Ellmore, & Beauchamp, 2013), as well as by the modulation of human S1 activity

149 in response to non-corresponding stimulation (Liang, Mouraux, Hu, & Iannetti, 2013), e.g.
150 acoustic (Murray, et al., 2005) and visual information (Meyer, Kaplan, Essex, Damasio, &
151 Damasio, 2011). In addition, subcortico-cortical connections transmit information about different
152 sensory modalities to non-matching primary sensory areas (Henschke, Noesselt, Scheich, &
153 Budinger, 2014).

154 In light of these findings, how can S1 contributions to movement control be modeled? In
155 accordance with the multisensory nature of S1, initially multimodal sensory input must be
156 combined with actual intentions and previous knowledge in order to initiate movements
157 (Genewein & Braun, 2012). Current theoretical conceptualizations propose the existence of two
158 internal movement prediction components. The first component can be defined as a “forward”
159 model used by the nervous system to predict the behavioral outcome of a given motor command
160 generated by M1 (Desmurget, et al., 2009). The forward model is based on a copy of the motor
161 command generated in M1, defined as an “efference copy” that, instead of being sent to the
162 periphery, is to be processed by parietal regions (Sirigu, et al., 1996). Simultaneously, the
163 forward model contributes information to a so-called “feedforward model” used to anticipate the
164 sensory consequence of the movement itself (D. M. Wolpert & Ghahramani, 2000). The
165 feedforward model combines together the actual sensory consequences associated with an
166 executed motor command and the sensory component of the predicted motor outcome (based on
167 the forward model) to provide information on the potential mismatch between expected and real
168 bodily states during the movement. In this way both the actual sensory information and the motor
169 outcome are compared to the expected sensory consequences and the real movement,
170 respectively. As a result of these recalibration mechanisms, the potential mismatch between the

171 actual and predicted sensorimotor states can be used to update subsequent motor commands and
 172 may be used as an error signal facilitate motor learning.



173

174 **Figure 1. Theoretical model of information exchange between primary somatosensory (S1)**
 175 **and motor (M1) regions.** According to the "optimal control" theory (a) S1 modulates M1
 176 activity. According to the "active inference" theory (b), M1 modulates S1 activity. In addition,
 177 S1 exchanges and integrates information to and from other primary sensory areas, such as visual
 178 (V1) and auditory (A1).

179

180 Two different options may explain the reciprocal role the sensory and motor components
 181 of such a complex interaction (Figure 1). The so-called "optimal control" theory postulates that
 182 the motor command contains purely motor information (D. M. Wolpert, et al., 1995) and M1
 183 only generates the movement (D. M. Wolpert & Kawato, 1998). In this view, the motor
 184 command contains purely motor information and the motor command is context-independent
 185 (Figure 1a). The alternative "active inference" theory proposes that, instead of being uniquely
 186 motor, the motor command also contains information used to predict the sensory consequences
 187 of the triggered movement (Figure 1b; Adams, Shipp, & Friston, 2013). According to this view,
 188 motor commands are context-dependent and modulate activity in S1. In other words, M1 activity
 189 has a direct effect on S1 activity both in terms of a facilitation of the M1-S1 connections and

190 stronger S1 self-inhibition (in order to diminish sensitivity to unrelated information), which has
191 been recently demonstrated in the human brain (Gandolla, et al., 2014).

192 How to combine these two perspectives? It can be indeed hypothesized that the
193 recruitment of one model or the other model depends on movement complexity. During simple
194 movements, less reliance on sensory information is required and the system can rely on the
195 optimal control model. On the other hand, increasing movement complexity would necessitate
196 additional sensory information in order to successfully to adapt the movement to the increased
197 requirements of the task and environment resulting in a greater potential of recruiting the active
198 inference model.

199 Altogether, this body of evidence suggests that S1 is far from being an exclusively
200 somatosensory processing area, but rather it is involved in merging and exchanging multimodal
201 information through cortico-subcortical connections in order to fine tune sensations and
202 movements in close cooperation with the motor cortex. Furthermore, the reviewed data highlight
203 information flow between S1 and M1 changes in terms of directionality and quantity, suggesting
204 that, rather than begin fixed, the relative weight of S1 and M1 contributions to movement
205 execution normally vary according to context-dependent requirements. Advances in modeling
206 the contributions of S1 to movement have provided a better understanding of the complex
207 relationships underlying normal movement production. This improved understanding can now
208 used to inform the study of the structural and functional substrates underlying abnormal
209 movement in various neurologic conditions.

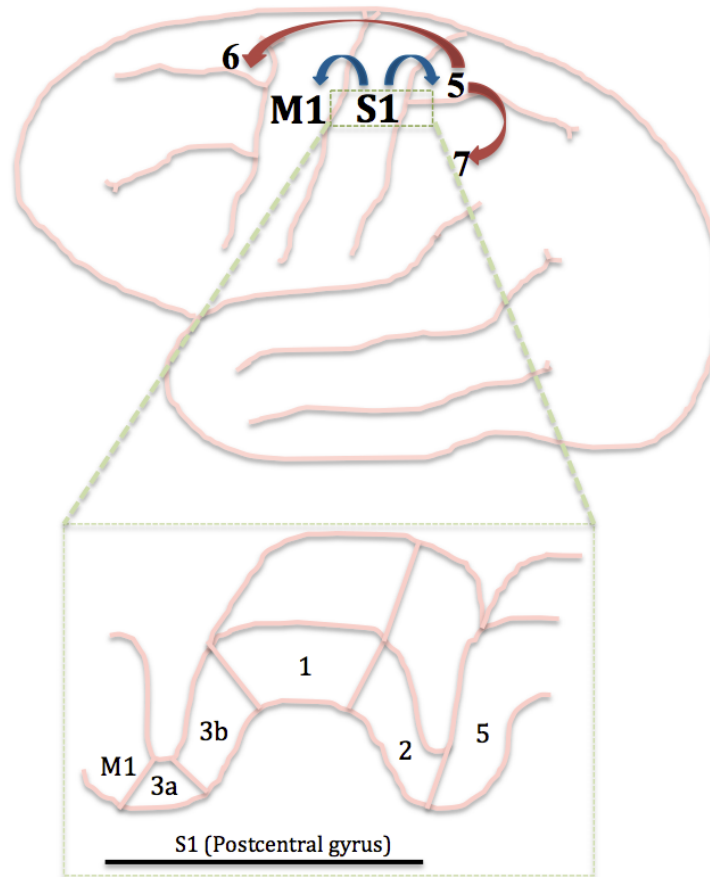
210

211 **III. Imaging structural and functional differences in S1 after stroke**

212 Recent development of advanced neuroimaging techniques has provided profound
213 insights into the behavioral significance of structural and functional characteristics of the healthy
214 and damaged brain. Bidirectional changes in brain structure and function underlie alterations in
215 motor behavior. The clinical significance of examining the links between S1 structure and
216 sensorimotor function is supported by evidence showing that approximately one-half of stroke
217 patients in rehabilitation suffer from sensory discrimination impairments in the paretic hand (L.
218 M. Carey & Matyas, 2011), and that integration of tactile afferent signals with motor commands
219 is crucial for the performance of purposeful movements (Classen, et al., 2000).

220 Cytoarchitecturally, S1 is housed within the postcentral gyrus, composed of 4 subareas:
221 BA 3a, 3b, 1, and 2 (Jacobs, et al., 2012; Jones, Coulter, & Hendry, 1978; Rizzolatti & Kalaska,
222 2013; Vogt & Pandya, 1978) [Figure 2]. Afferent signals from cutaneous stimulation are
223 transmitted first to area 3b (sometime referred to as ‘S1 proper’ (Kaas, 1983)), and then to the
224 other areas of S1, as well as to M1, supplementary motor and premotor cortices, and
225 somatosensory association areas (Brodmann’s areas 5 and 7) (Canedo, 1997; Ghosh, Brinkman,
226 & Porter, 1987; Jones, et al., 1978; Pons & Kaas, 1986; Vogt & Pandya, 1978). Studies have
227 highlighted the potential importance of area 3a on influencing motor activity, as it receives
228 inputs from group I muscle afferents and contributes axons to descending motor pathways
229 (Canedo, 1997; Ghosh, et al., 1987; Zarzecki, et al., 1978). The somatosensory association areas,
230 located in posterior parietal cortices, also influence motor activity. These association areas
231 receive input from neurons in S1, as well as from the visual and auditory systems, and project to
232 the supplementary motor and premotor cortices. It has been theorized that the function of these
233 association cortices is to integrate somatosensory information with other sensory modalities in
234 order to create a multi-dimensional representation of the external environment and influence

235 planned manipulation of objects (Andersen, Snyder, Bradley, & Xing, 1997; E. R. Kandel, 2000;
 236 Pandya & Seltzer, 1982; Saper, Iversen, & Frackowiak, 2000).



237

238 **Figure 2: Projections between primary somatosensory (S1), motor (M1), and association cortices.**
 239 Sensory information is projected directly from S1 to M1 and somatosensory association cortices (BA 5;
 240 blue arrows). Secondary projections occur from BA 5 to additional somatosensory cortices (BA 7) and
 241 premotor and supplementary motor cortices (BA 6; red arrows). Inset (dashed green box): cross-section of
 242 the cortex including M1, S1, and somatosensory association cortices. Cytoarchitecture of the subgroups
 243 of S1 (BA 3a, 3b, 1, and 2) is shown. Adapted from (E. Kandel, Schwartz, & Jessell, 2000; Saper, et al.,
 244 2000).

245

246 At a macrostructural level, a direct lesion to S1 or along the primary afferent sensory
 247 pathway is likely to result in some level of sensory dysfunction and, importantly, sensory
 248 impairments are usually paralleled by motor deficits (Taskin, et al., 2006; Yamada, et al., 2003).
 249 Often the resulting damage is not necessarily restricted to the local tissue damage at the primary

250 lesion location. Microstructural brain injury can occur due to secondary degeneration. Using
251 diffusion tensor imaging (DTI), alterations in white matter tissue properties have been found in
252 non-lesioned brain areas (Borich, Mang, & Boyd, 2012; Lindberg, et al., 2007). Structural
253 properties of white matter, such as degree of myelination and axon diameter, influence the
254 efficacy of signal transmission within the brain, thereby influencing functions associated with
255 voluntary behavior (Seidl, 2014). As a result, post-stroke levels of impairment and motor
256 recovery can be highly variable between individuals, and it is often difficult to parse out specific
257 cause-and-effect relationships of brain structure and function with behavior.

258 Commonly, white matter tissue properties within the posterior limb of the internal
259 capsule (PLIC) are altered after stroke (Werring, et al., 2000). Reports of abnormal ipsi- or
260 contralesional PLIC tissue properties have been associated with greater levels of physical
261 impairment (Borich, et al., 2012; Qiu, et al., 2011; Stinear, et al., 2007), reduced motor learning
262 (Borich, Brown, & Boyd, 2013; Stinear, et al., 2007), lower levels of global motor function
263 (Stinear, et al., 2007), and poorer hand dexterity (Borich, et al., 2012; Schaechter, et al., 2009).
264 These changes may be partially explained by reduced transmission of sensory input in addition to
265 motor output. Borstad and colleagues (2012) examined sensory component of the superior
266 thalamic radiation (sSTR), which is upstream of the PLIC and includes all of the afferent
267 connections of S1 (Wakana, Jiang, Nagae-Poetscher, van Zijl, & Mori, 2004) in participants with
268 chronic stroke. A strong correlation between the ipsi- and contralesional asymmetry of sSTR
269 integrity and sensory function was observed, such that individuals with a larger asymmetry
270 performed poorer on a measure of sensory discrimination with their paretic hand (Borstad,
271 Schmalbrock, Choi, & Nichols-Larsen, 2012). These findings are in line with a study in children
272 with congenital hemiplegia showing the status of sensorimotor thalamic projections were more

273 significantly correlated with paretic hand function than corticospinal tract connections (Rose,
274 Guzzetta, Pannek, & Boyd, 2011). Despite recent experimental evidence, there remains a paucity
275 of data evaluating the behavioral significance of changes in somatosensory tract structure in
276 response to neurologic conditions.

277 Another white matter pathway commonly studied in individuals with stroke is the corpus
278 callosum (CC), the largest commissural tract in the brain that connects homologous cortical
279 regions of each hemisphere. The ability to produce skilled and coordinated movements relies on
280 the dynamic interactions between the two hemispheres. The CC has a critical role in maintaining
281 an appropriate balance of inter-hemispheric activity, which can be disrupted after stroke (Gupta,
282 et al., 2006; Perez & Cohen, 2008) and has been linked to motor dysfunction (Jang, 2010;
283 Lindenberg, Zhu, Ruber, & Schlaug, 2012). The CC can be divided into functionally and
284 anatomically distinct segments according to the cortico-cortical tracts that pass through it
285 connecting homologous regions between each hemisphere (Fling, Benson, & Seidler, 2011;
286 Hofer & Frahm, 2006). Overall, previous studies have focused almost exclusively on the
287 transcallosal segment that connects the two primary motor cortices (M1-M1), whereas studies of
288 the sensory segment (S1-S1) are sparse. Borich and colleagues (2012) reported the
289 microstructural integrity of CC sensory fibers, but not CC motor fibers, was reduced in
290 individuals with chronic stroke compared to healthy age and gender-matched controls. However,
291 no significant correlation with motor function was observed (Borich, et al., 2012). Based on
292 these initial observations, further studies are necessary to better understand the functional
293 significance of abnormal tissue properties of interhemispheric pathways after stroke and to verify
294 the importance of S1 to S1 connections for motor function in this population.

295 An accumulating body of evidence suggests that, similar to the motor system, in healthy
296 individuals the activation of S1 in one hemisphere modulates the activity of the contralateral S1.
297 For example, functional magnetic resonance imaging (fMRI) studies conducted in monkeys
298 (Lipton, Fu, Branch, & Schroeder, 2006) and in humans (Blankenburg et al., 2008; Hlushchuck
299 & Hari, 2006; Kastrup et al., 2008; Eickhoff et al., 2008; Klingner et al., 2011) describe a
300 corresponding increase in activation in the contralateral S1, and transient decrease in activation
301 in the ipsilateral S1 during peripheral hand stimulation. This decrease in ipsilateral S1 activation
302 correlates with reduced sensory perception in the opposite hand (Kastrup et al., 2008). Similar
303 patterns have emerged in electrophysiological studies in humans (Ragert et al., 2011; Brodie et
304 al., 2014). However, considerations of how sensory networks change after stroke are highly
305 dependent on the time point studied as brain function is altered not only with damage but also by
306 recovery from damage. One common finding after unilateral stroke is a shift in activation from
307 ipsilesional to contralesional sensorimotor areas (Murase, Duque, Mazzocchio, & Cohen, 2004;
308 Nowak, Grefkes, Ameli, & Fink, 2009); resolution of this hemispheric imbalance is associated
309 with sensorimotor recovery (Cramer, 2008; Rossini, et al., 2007). This interhemispheric
310 imbalance has been described specifically between the S1's in individuals with chronic stroke;
311 the larger the imbalance, the poorer motor task performance (Calautti, et al., 2006). Resolution of
312 the S1-S1 hemispheric imbalance has been reported in the acute phase post-stroke with recovery
313 of sensory loss (L.M. Carey, et al., 2002) in individuals with chronic stroke before and after
314 skilled sensorimotor training (J. R. Carey, et al., 2002; Schaechter, Moore, Connell, Rosen, &
315 Dijkhuizen, 2006) and following intensive treatment with neuromuscular electrical stimulation of
316 the paretic forearm (Kimberley, et al., 2004). These findings are in parallel to studies of laterality
317 shifts in M1 with acute recovery (Zemke, Heagerty, Lee, & Cramer, 2003) and motor learning

318 (Boyd, Vidoni, & Wessel, 2010; Calautti & Baron, 2003). An additional point to consider when
319 addressing interhemispheric imbalances in S1 is the possible relationship between asymmetries
320 in S1 anatomy and function with handedness, similar to lateralization. Although hemispheric
321 asymmetries in S1 anatomy (Soros, et al., 1999) and function (Jung, et al., 2003; Jung,
322 Baumgartner, Magerl, & Treede, 2008) have been observed, it is currently unclear if these
323 asymmetries are solely attributable to hand dominance.

324 Another common finding in fMRI experiments is a shift in primary sensorimotor
325 activation towards the postcentral gyrus following stroke (Calautti, Leroy, Guincestre, & Baron,
326 2003; Cramer & Bastings, 2000; Laible, et al., 2012; Pineiro, Pendlebury, Johansen-Berg, &
327 Matthews, 2001; Schaechter, et al., 2006). The behavioral significance of this posterior shift is
328 elusive. Pineiro and colleagues proposed that it may potentially reflect an increased
329 proprioceptive attentional process to offset motor impairment, or a recruitment of latent
330 corticospinal fibers originating in S1 (Galea & Darian-Smith, 1994) to compensate for the
331 limited output from M1 (Pineiro, et al., 2001). Schaechter and colleagues (2006) reported an
332 increase in ipsilesional S1 activation was correlated with increased cortical thickness (structural
333 plasticity) in the same area, but these increases were not correlated with motor outcome in the
334 sample studied (Schaechter, et al., 2006). In a homogeneous group of patients with hand
335 weakness but normal sensation, and no lesion within the S1, thalamus, or brainstem, a close
336 relationship between improvements in hand function after constraint-induced movement therapy
337 and increased peak changes in fMRI activation within the ipsilesional S1 was reported (Laible, et
338 al., 2012). Conversely, individuals with direct damage to the ventroposterior nucleus of the
339 thalamus show reduced activation in the ipsilateral S1 (Taskin, et al., 2006), and a negative
340 correlation has been reported between touch discrimination and activation in ipsilesional S1,

341 particularly after sub-cortical stroke (L. M. Carey, et al., 2011). Thus, sensory network activity
342 influences both sensory and motor function, and this activity appears to be closely related to
343 therapy-induced gains in motor function seen after stroke.

344

345 **IV. Non-invasive brain stimulation (NIBS) targeting S1 to improve sensorimotor function** 346 **after stroke**

347 Normalization of hemispheric excitability after stroke has been associated with
348 sensorimotor functional recovery (Cramer, 2008; Rossini, et al., 2007) leading to experimental
349 interventions to up- or down-regulate cortical activity in a targeted fashion in an effort to
350 enhance functional recovery (Calautti & Baron, 2003).

351 One approach to enhance motor function by modulating S1 excitability relies on
352 stimulating the peripheral somatosensory system. Indeed, several studies have shown that pairing
353 repetitive peripheral nerve stimulation of the paretic upper extremity with training enhances
354 motor performance after stroke (Celnik, Hummel, Harris-Love, Wolk, & Cohen, 2007; Conforto,
355 et al., 2010; Klaiput & Kitisomprayoonkul, 2009; Knutson, et al., 2012; Wu, Seo, & Cohen,
356 2006). Furthermore, peripheral somatosensory stimulation can induce cortical reorganization of
357 M1 (Hamdy, et al., 1998). Together, these findings have prompted investigation into the use of
358 NIBS techniques that can directly modulate S1 excitability and modify connections between S1
359 and M1.

360 *Transcranial Magnetic Stimulation*

361 Transcranial magnetic stimulation (TMS) is a safe, painless, and non-invasive technique
362 used to alter electrical activity of the underlying brain tissue by electromagnetic induction
363 using a stimulating coil at the surface of the skull (Hallett, 2000). When applied as a single pulse

364 in healthy individuals, TMS over S1 transiently masks tactile sensation (Cohen, Bandinelli, Sato,
365 Kuffa, & Hallett, 1991; Hannula, et al., 2005; Seyal, Siddiqui, & Hundal, 1997) and disrupts
366 sensorimotor performance (S. K. Meehan, Legon, & Staines, 2008). Studies investigating paired
367 pulse TMS over S1 demonstrate amplified masking of a tactile sensation with a sub-threshold
368 conditioning stimulus (Koch, Franca, Albrecht, Caltagirone, & Rothwell, 2006), and decreased
369 sensorimotor performance with a suprathreshold conditioning stimulus (S. K. Meehan, et al.,
370 2008). Essentially, these foundational studies confirmed linkages between S1 activity and
371 somatosensory processing (Song, Sandrini, & Cohen, 2011) and reinforced the theoretical
372 potential of S1 as a target to modify more complex sensorimotor behaviors. However, the
373 behavioral consequences of S1 stimulation are more applicable when considering the longer-
374 lasting modulatory effects of neuromodulatory forms of TMS.

375 Repetitive (r)TMS can be used to modulate local cortical excitability in a frequency and
376 intensity-dependent manner (Maeda, Keenan, Tormos, Topka, & Pascual-Leone, 2000; Ridding
377 & Ziemann, 2010; Siebner & Rothwell, 2003), for a period of time that outlasts the duration of
378 stimulation (W.-H. Chen, et al., 2003). After stroke, high frequency (>5 Hz) or low frequency
379 (≤ 1 Hz) rTMS may be used to increase ipsilesional or decrease contralesional excitability
380 respectively. Given recent evidence of functional S1-S1 connections mediated by the CC in the
381 human brain (Brodie, Villamayor, Borich, & Boyd, 2014), theoretically either of these rTMS
382 approaches could be used to reestablish the balance of interhemispheric excitability after stroke
383 (Fregni & Pascual-Leone, 2007; Nowak, et al., 2009). The majority of previous rTMS studies
384 have focused on modulation of M1 excitability. However, S1 also possesses a high capacity for
385 plastic change (Schaechter, et al., 2006), and emerging studies suggest that rTMS targeting can
386 modulate S1 excitability, sensory function and motor control.

387 *Excitatory rTMS protocols to modulate S1 excitability*

388 High frequency ($\geq 5\text{Hz}$) rTMS applied over M1 increases cortical excitability, as
389 measured by motor evoked potentials (MEPs) (Peinemann, et al., 2004). Similarly when applied
390 over S1, 5Hz rTMS induces sustained increases in cortical excitability, indicated by larger SEPs
391 in healthy individuals (Ragert, Becker, Tegenthoff, Pleger, & Dinse, 2004). Similar effects have
392 also been observed with intermittent theta burst stimulation (iTBS) (Huang, Edwards, Rounis,
393 Bhatia, & Rothwell, 2005), an excitatory form of patterned rTMS that results in longer-lasting
394 effects with shorter stimulation durations compared to simple rTMS paradigms (Staines &
395 Bolton, 2013). When applied over S1 in healthy individuals, iTBS increases SEP amplitudes
396 (Katayama & Rothwell, 2007; Premji, Ziluk, & Nelson, 2010), but has not been shown to modulate
397 M1 excitability (Katayama & Rothwell, 2007). Behavioral changes in sensation have been
398 observed after excitatory rTMS including gains in spatial acuity (Ragert, et al., 2003; Tegenthoff,
399 et al., 2005) and frequency discrimination (Pleger, et al., 2006) of the hand. Following 5Hz
400 rTMS over the finger representation in S1, Tegenthoff and colleagues (2005) observed and
401 expansion in the finger representation in healthy individuals that was correlated with
402 improvements in tactile perception. Using fMRI, reorganization of activity sensorimotor network
403 activity patterns within S1 and M1 were demonstrated following 5Hz rTMS over S1 that lasted
404 for up to 120 minutes following stimulation (Pleger, et al., 2006) suggesting both local and
405 remote changes can result from neuromodulation of S1.

406 The potential for rTMS of S1 to not only improve somatosensation but also enhance
407 connectivity with other nodes within the sensorimotor network (e.g. M1) has important
408 implications for motor learning. To induce persistent change in sensorimotor function, learning is
409 required. Thus, motor learning is considered the basis of neurorehabilitation (Krakauer, 2006).

410 Ragert and colleagues (2003) showed enhanced perceptual learning following repeated
411 applications of 5Hz rTMS over S1 in healthy individuals; however tactile discrimination was
412 tested over several sessions on the same day of stimulation. When participants were re-tested 2
413 weeks later, their discrimination thresholds were at baseline levels (Ragert, et al., 2003).
414 Similarly, Karim and colleagues (2006) reported learning of a spatial discrimination task, but not
415 of a frequency discrimination task, was facilitated following the application of 15Hz rTMS over
416 S1; yet again, all sensory testing was conducted on the same day of stimulation (Karim, Schuler,
417 Hegner, Friedel, & Godde, 2006). Without significant improvements observed at a no-rTMS
418 retention test, it is not currently possible to conclude that long-term memory consolidation and
419 improved sensory function result from rTMS over S1 highlighting the need for study designs to
420 incorporate delayed retention tests to defined the persistent impact of NIBS to S1 (Boyd &
421 Lindsell, 2009; Dayan & Cohen, 2011; Robertson, Pascual-Leone, & Miall, 2004).

422 Recently, Brodie and colleagues (2014) applied 5Hz rTMS over ipsilesional S1 in
423 individuals with chronic stroke followed immediately by motor skill practice of a serial
424 visuomotor targeting task (Brodie, Meehan, Borich, & Boyd, 2014). The intervention was
425 repeated daily for 5 days. Individuals who received rTMS over S1 showed a generalized
426 improvement of skill performance across training that persisted at a no-rTMS retention test at 24
427 hours following the last practice session. Motor learning was associated with significant
428 improvements in spatial acuity but not in upper extremity motor function or manual dexterity.
429 Yet, to date, these findings have not been extended to determine whether pairing 1Hz rTMS over
430 S1 with neurorehabilitation might enhance clinically meaningful outcomes and is an area of
431 significant interest for future inquiry.

432 *Inhibitory rTMS protocols*

433 When applied at low frequencies (≤ 1 Hz), rTMS applied over M1 decreases motor cortex
434 excitability (R. Chen, et al., 1997). However, a number of reports of low frequency rTMS over
435 S1 have not found a significant depression of SEP amplitudes in healthy individuals (Enomoto,
436 et al., 2001; Ogawa, et al., 2004; Restuccia, Ulivelli, De Capua, Bartalini, & Rossi, 2007; Satow,
437 et al., 2003). Instead, alterations in high-frequency oscillations, which represent changes in
438 localized activity of intracortical inhibitory interneurons, have been observed (Katayama, Suppa,
439 & Rothwell, 2010; Ogawa, et al., 2004; Restuccia, et al., 2007). However Ishikawa and
440 colleagues (2007) reported inhibitory (c)TBS over S1 suppressed SEP amplitudes from the
441 stimulated S1 for at least 13 minutes after the stimulation period. This suppression occurred in
442 the absence of changes in M1 excitability bilaterally (Ishikawa, et al., 2007). In contrast,
443 Zapallow and colleagues (2013) showed that cTBS over S1 increases intracortical inhibition
444 between M1s for 45-60 minutes following stimulation in young healthy adults providing one
445 potential mechanism by which S1 may influence M1 activity and basal motor control (Zapallow,
446 et al., 2013).

447 The ability to transiently depress cortical activity within S1 of healthy individuals
448 provides insights into the potential contributions of sensory dysfunction to sensorimotor
449 impairment after stroke. For example, Vidoni and colleagues (2010) used 1Hz rTMS over S1 as
450 a ‘virtual lesion’ in healthy adults prior motor skill practice over two days. During training and at
451 a no-rTMS retention test, improvements in tracking performance were diminished in the
452 stimulation group compared to a sham stimulation control group (Vidoni, Acerra, Dao, Meehan,
453 & Boyd, 2010). Thus disrupting S1 activity prior to skill practice reduced motor skill learning
454 further supporting a critical role of somatosensory information processing to motor function. .

455 In individuals with unilateral stroke, it is possible that down-regulation of specific areas
456 within the contralesional hemisphere may alter interhemispheric competition, thereby reducing
457 inhibition of the ipsilesional hemisphere mediated by the contralesional side (Fregni & Pascual-
458 Leone, 2007; Nowak, et al., 2009). Meehan and colleagues (2011) showed that cTBS over
459 contralesional M1 or over S1 paired with skill practice enhanced skill learning compared to
460 practice alone. However, cTBS over contralesional M1 resulted in greater changes in velocity
461 and acceleration, whereas cTBS over contralesional S1 resulted in faster time to initiate
462 movement and in lower cumulative magnitude of each movement (Sean K. Meehan, et al.,
463 2011). Contralesional S1 stimulation also induced substantial improvements in upper extremity
464 motor function (Sean K. Meehan, et al., 2011). Taken together, neuromodulatory TMS targeting
465 S1 can modulate both sensory and motor performance and, when applied over multiple sessions,
466 can improve motor learning in both healthy individuals and patients with stroke making this
467 NIBS approach an intriguing option to further investigate potential clinical applications aimed at
468 enhancing sensorimotor function.

469 *Transcranial direct stimulation*

470 Transcranial direct stimulation (tDCS) is another method that enables the non-invasive
471 manipulation of cortical excitability. During tDCS a low intensity current is run between two
472 large surface scalp electrodes; the effects depend on current polarity. In the motor system, anodal
473 tDCS over the motor cortex increases cortical excitability as measured by MEPs, cathodal tDCS
474 has the opposite effect (Nitsche & Paulus, 2000). The spatial resolution of tDCS is significantly
475 poorer than that of TMS, and as a result it is difficult to precisely target specific cortical areas
476 such as M1 and S1. Nevertheless, studies have examined the effects of tDCS protocols on S1
477 excitability. The data characterizing the effect of anodal tDCS over the motor cortex is mixed;

478 one study reported significant increases in SEP amplitude (Matsunaga, 2004) while another
479 failed to observe any effect (Dieckhofer, et al., 2006). Similar mixed results have been reported
480 for the effects of anodal tDCS over S1 on somatosensation (Ragert, Vandermeeren, Camus, &
481 Cohen, 2008; Rogalewski, Breitenstein, Nitsche, Paulus, & Knecht, 2004), Cathodal tDCS over
482 S1 reduced SEP amplitudes (Dieckhofer, et al., 2006), and impaired tactile frequency
483 discrimination (Rogalewski, et al., 2004). Cathodal tDCS over the motor cortex area has not been
484 shown to affect SEPs (Matsunaga, 2004). Overall, current evidence is inconsistent regarding the
485 efficacy of tDCS protocols to modify S1 excitability due to a paucity of studies and
486 heterogeneous results. Limitations of tDCS (e.g. difficulty in target localization, inability to
487 identify stimulation intensities across individuals, and differences in stimulation parameters
488 across studies) may explain these inconsistent findings. Therefore, it is possible that
489 improvements in standardization of tDCS protocols will result in a better understanding of the
490 potential of tDCS approaches to modulate S1 activity to support motor function and recovery.

491 *Limitations of non-invasive brain stimulation*

492 Although, NIBS over S1 is a promising approach to modulate sensorimotor activity and
493 motor function, targeting S1 is associated with a number of challenges. It is more difficult to
494 target this cortical region due to the lack of observable evoked peripheral responses during
495 stimulation in comparison to targeting M1. While some researchers identify the hand
496 representation in S1 by shifting the coil ~2cm posteriorly from the M1 hotspot, or using the
497 international 10-20 system to visually approximate the location of S1, improved localization
498 approaches are now available Stereotaxic neuronavigation utilizes structural MRI data to identify
499 and target non-motor cortical regions based on known anatomical location. FMRI-based
500 activation maps can also be used to identify a stimulation target based on functional activity

501 rather than anatomy. Defining appropriate stimulation intensities for S1 is another challenge. All
502 rTMS protocols discussed calculated S1 stimulation intensities using a percentage of the resting
503 or active *motor* thresholds – measures of M1 excitability. Future work is needed to identify
504 optimal stimulation protocols specifically for S1. At this point, due to lack of consistency
505 between methods, results have been variable. Nevertheless, evidence of the behavioral
506 consequences of S1 stimulation continues to accumulate support the notion that S1 is integral to
507 sensorimotor control and learning and may be a viable target for clinical applications of NIBS. It
508 is important to note that despite encouraging mechanistic investigations, a large-scale
509 randomized clinical trial evaluating the efficacy of NIBS targeting of S1 to improve motor
510 function after stroke has yet to be conducted.

511 **V. Combining TMS with neuroimaging to study effective connectivity after stroke**

512 The correlative nature of neuroimaging techniques limits empirical characterization of causal
513 interactions between behavior with brain structure and function. By using TMS to stimulate a
514 cortical region of interest during a behavior of interest, it is possible to study causal influences of
515 the stimulated region on task performance. However, the brain is comprised of intricate and
516 complex neuronal networks that are dynamically modifiable (Sporns, Chialvo, Kaiser, &
517 Hilgetag, 2004) thus complicating the interpretation of TMS-based results. It is not clear if the
518 observed change in behavior is solely due to stimulation of the targeted cortical region or if it is a
519 result of interactions within functional neural networks that may also be influenced by structural
520 network organization. Neuroimaging can be performed before, during or after TMS to
521 noninvasively map the spatiotemporal dynamics of TMS-induced cortical activation (Siebner, et
522 al., 2009). For example, it is now common to use frameless stereotactic neuronavigation using
523 previously acquired structural MRI data to spatially localize the individualized stimulation site

524 for each participant to enable reproducible targeting within and between TMS sessions (Bashir,
525 Edwards, & Pascual-Leone, 2011; Julkunen, et al., 2009). Combined TMS-neuroimaging can
526 also be used to refine neuromodulation approaches by individualizing stimulation parameters
527 based on characteristics of brain network structure and function. For example, cortical activation
528 patterns associated with somatosensory discrimination have been mapped after stroke using
529 fMRI (L. M. Carey, et al., 2011). These task-based activation maps could be used to personalize
530 (r)TMS delivery based on each participant's unique cortical activity patterns.

531 Mapping reorganization of white and gray matter tissue and structural networks in stroke
532 can also be performed prior to TMS. A recent report described smaller volumes of white matter
533 underlying ipsilesional S1 predicted less motor task improvement following an intervention
534 pairing high-frequency rTMS over the ipsilesional S1 followed by motor training of the paretic
535 arm in individuals with chronic stroke (Brodie, Borich, & Boyd, 2014). However, there is
536 currently a paucity of data combining neuroimaging with TMS to characterize S1 excitability as
537 well as the structural and functional connections between S1 and M1. With the introduction of
538 navigated TMS using structural MRI data, it is now possible to reproducibly target any cortical
539 region of interest. However, it is not possible to use TMS alone to evoke a measurable response
540 in S1, which limits the current understanding of how S1 excitability may be modulated by NIBS
541 or task practice to support motor function in health or disease.

542 In contrast to performing imaging before or after NIBS, functional neuroimaging can be
543 performed during TMS to evaluate immediate spatiotemporal cortical network dynamics of
544 TMS-induced responses (R. J. Ilmoniemi, et al., 1997). This approach remains methodologically
545 challenging due to technical aspects associated with acquiring functional imaging data in the
546 harsh TMS environment (Risto J. Ilmoniemi & Kicic, 2010; Sato, Bergmann, & Borich, 2015).

547 Concurrent TMS- neuroimaging can uniquely investigate causal information flow through
548 functional neural networks mediated by excitatory and inhibitory connections (Bortoletto,
549 Veniero, Thut, & Miniussi, 2015). Yet, to date, no studies have been published in stroke using
550 concurrent TMS-neuroimaging nor have studies used concurrent approaches to study local
551 cortical excitability and regional connectivity in response to stimulation of S1 in general. This
552 knowledge gap suggests there are substantial opportunities to improve our understanding of the
553 neurobiological mechanisms of cortical reorganization both after stroke and response to
554 rehabilitation interventions as well as further elaborate the salient interactions between S1 and
555 M1 that underlie human sensorimotor control.

556

557 **VI. Clinical implications and conclusions**

558 Advances in neuroimaging and neurostimulation research are rapidly expanding our
559 understanding of the role of the sensory system in the recovery from stroke. Moving forward the
560 challenge will be to exploit our understanding of the role(s) of the sensory system in motor
561 recovery to formulate novel therapeutic interventions. Critically, S1 is heavily connected with
562 ipsilateral M1 as well as with the sensory association areas of the parietal cortex. It is now clear
563 that the two sensory cortices are both neuroanatomically and functionally linked, such that they
564 may mutually inhibit one another (Brodie, Villamayor, et al., 2014; Ragert, Nierhaus, Cohen, &
565 Villringer, 2011). These extensive connections enable S1 to influence not only voluntary
566 movements, but perhaps more importantly, motor learning. Indeed, S1 has a central role in
567 theoretical conceptualizations of motor learning such as the internal model (Ito, 2000). The
568 internal model posits that output from M1 is directly affected by input from S1, and that with
569 task practice this relationship enables sensory information to refine the emerging motor plan

570 (Hwang & Shadmehr, 2005; Nowak, Glasauer, & Hermsdorfer, 2004; Thoroughman &
571 Shadmehr, 1999). This theoretical model is supported by findings from rTMS studies where non-
572 invasive brain stimulation was used to disrupt S1 function (Vidoni, et al., 2010). Altering sensory
573 function of healthy individuals with 1Hz rTMS over S1 results in more errors and slower
574 movements during physical practice; importantly these changes persist at a no-rTMS retention
575 test. These data indicate that learning a new motor task is influenced by sensory input, regardless
576 of the accuracy of this information.

577 It is clear that the nervous system is continually updating based on the afferent
578 information (Wei & Kording, 2009). Impaired somatosensation during task practice leads to the
579 development of an inaccurate internal model or motor plan and, in turn, degrades motor learning.
580 These data have important implications for people with centrally impaired sensation, such as
581 occurs after stroke, as they suggest that it is imperative to design novel therapies that focus on
582 remediation of sensory processing deficits. It is also important to consider the cognitive aspects
583 associated with sensorimotor control where movement planning, strategy and selection will exert
584 and influence on the sensorimotor interactions discussed in detail in this review. Similar to
585 sensory dysfunction observed in typical motor-based neurologic disorders, many of these
586 conditions also present with cognitive dysfunction that will influence motor control and motor
587 learning associated with the recovery of function.

588 Future work needs to focus on gaining a clearer understanding of the neuroanatomy of
589 sensory connectivity in both the damaged and healthy brain. To date it remains unclear what
590 proportion of the CST carries ascending sensory information. Similarly, it is only recently that
591 interhemispheric sensory to sensory connectivity has begun to be explored (Brodie, Villamayor,
592 et al., 2014; Ragert, et al., 2011). Little information currently exists that characterizes how brain

593 damage, such as stroke, affects connectivity between brain regions. Further, it is not known how
594 patterns of recovery after stroke may impact the flow of sensory information within the brain.
595 Without this information it will be difficult to design effective therapeutics that seek to shape
596 trajectories of recovery following brain damage.

597 The present review clearly supports the concept that somatosensation, and central sensory
598 processing in particular, is crucial for both motor learning in healthy adults and motor recovery
599 after brain damage. We have demonstrated the intricate connections and functions of the sensory
600 system, as they are understood to date. The data presented here also suggest that sensation is a
601 necessary consideration in motor rehabilitation. These findings have implications for both
602 learning theory and rehabilitation medicine, in particular regarding the importance of developing
603 novel rehabilitation approaches to enhancing recovery of sensory loss after stroke. As discussed,
604 future work should consider the impact of pairing interventions such as non-invasive brain
605 stimulation over S1 or peripheral sensory stimulation with neurorehabilitation. In addition, it is
606 clear that because of the complexity of the central sensory system that studies employing
607 multimodal imaging and behavioral mapping approaches will yield the most useful data as we
608 continue to discover more about the role(s) of somatosensation in recovery from brain damage.
609

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