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1 **Proton therapy for uveal melanoma in 43 juvenile patients: long term results**

2

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13 **Running head:** Proton therapy for juvenile uveal melanoma

14

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27 **ABSTRACT:**

28 **Objectives**

29 To examine the metastatic and survival rates, eye retention probability and the visual outcome of  
30 juvenile patients after proton beam radiotherapy (PBRT) for uveal melanoma (UM).

31 **Design**

32 Retrospective case-factor matched control study.

33 **Participants and controls**

34 Forty-three patients aged less than 21 years treated with PBRT for UM were compared to 129  
35 matched adult control patients.

36 **Methods**

37 Information on patient demographics and clinical characteristics were recorded before and after  
38 treatment from patients' files. The control group was composed of adult patients (>21years)  
39 matched on tumor size (largest tumor diameter +/- 2mm, height +/- 2 mm) and anterior margin  
40 location (iris, ciliary body, pre or post equatorial choroid). For each juvenile patient, three adults  
41 were selected.

42 **Main outcome measures**

43 Comparing outcomes of juvenile and adult patients in terms of metastatic and eye retention rates  
44 using the log rank statistic, relative survival using the Hakulinen method as well as their visual  
45 outcome.

46 **Results**

47 Forty-three juvenile and 129 control cases were reviewed. The metastatic rate at 10 years was  
48 significantly lower in juvenile UM patients than in adult controls (11% versus 34%;  $p < 0.01$ ) with  
49 an associated relative survival rate of 93 % versus 65% ( $p = 0.02$ ). Six juvenile patients (14%)  
50 developed metastases. One patient underwent enucleation because of a presumed local tumor  
51 recurrence and 4 additional patients because of complications (9.3%). In the adult control group,

52 27 % (n=35) of matched patients developed metastases, there were 2 cases of local recurrence  
53 and 16% (n=21) underwent enucleation due to complications. A visual acuity of >0.10 was  
54 maintained in most cases, without any significant differences before or after treatment observed  
55 between both groups.

## 56 **Conclusions**

57 Following PBRT, metastatic and survival rates are significantly better for juvenile than for adult  
58 patients with UM. Clinically, juvenile and adult eyes react similarly to PBRT, with a comparable  
59 eye retention probability and maintaining a useful level of vision in the majority of cases. This is  
60 the largest case-control study on proton therapy in juvenile eyes to date, and further validates  
61 PBRT as an appropriate conservative treatment for UM in patients less than 21 years of age.

62

63 **INTRODUCTION:**

64 Uveal melanoma (UM) is the most common primary ocular malignancy in adults, with an overall  
65 incidence of about 6 new cases per million per year.<sup>1,2</sup> In 1962, Apt was the first to report on a  
66 series of 46 UM patients less than 20 years old, which he labeled “juvenile melanoma”.<sup>3</sup> The  
67 second cohort, published by Verdaguer in 1965, used 21 years as the upper age limit.<sup>4</sup> Ever since,  
68 series of juvenile UM patients have used this same age as the cut-off point; which has the  
69 additional benefit of agreeing with the American Pediatric Academy definition of a child.  
70 Juvenile UM is rare, with only one UM patient in a hundred being less than 21 years old.<sup>5-7</sup> As a  
71 consequence, to the best of our knowledge, there are only ten retrospective series reporting on  
72 juvenile UM patients.<sup>3-12</sup> In most of these reports, young patients were treated with enucleation,  
73 brachytherapy or surgical resection. Following our publication in 1992,<sup>8</sup> only one other study has  
74 described the clinical profile and prognosis of juvenile UM eyes (n=17) treated with proton beam  
75 radiotherapy (PBRT).<sup>9</sup>  
76 While all reports concluded that young patients tended to have a lower metastatic rate, very little  
77 information is available on eye retention probability or visual acuity.<sup>8,9</sup> The first aim of this study  
78 is to compare the long term metastatic and survival rates for a group of juvenile and matched  
79 control adult patients following PBRT for UM. The second aim is to examine whether  
80 ophthalmologic outcomes such as the eye retention probability, complications requiring surgery  
81 or visual function of these juvenile patients differ from their adult counterparts.

## 82 **METHODS:**

### 83 **Patients**

84 In this single center, retrospective, case-control study, files were reviewed from all patients 20  
85 years old or less at the time of their UM diagnosis who were treated with PBRT since 1984. For  
86 each juvenile patient, three matched adult control patients were selected. Matching was based on  
87 tumor size (largest tumor diameter +/- 2 mm; height +/- 2 mm) and location of the anterior  
88 tumor margin (iris, ciliary body, pre or post equatorial choroid). Eyes with prior tumor resection  
89 or brachytherapy were excluded. Ethical approval for this study was obtained from the  
90 'Commission Cantonale d'Éthique', Canton of Vaud, Switzerland.

### 91 **Treatment and Follow-up**

92 Clinical baseline visits, tantalum clip surgery and follow-up took place at the Ocular Oncology  
93 Unit of the Jules-Gonin Eye Hospital (University of Lausanne, Switzerland). PBRT was  
94 performed at the Paul Scherrer Institute (Villigen, Switzerland), with a 60 Gy (RBE) delivered in  
95 four fractions, on four consecutive days.<sup>13,14</sup>

96 Standard baseline and follow-up visits consisted of a complete clinical ophthalmologic  
97 examination, color tumor photography and ultrasonography. Examinations were performed  
98 before the tantalum clip surgery, six months after PBRT, and then annually for 15 years in our  
99 hospital. Juvenile patients lost to follow-up (or their family) were contacted by telephone with  
100 regard to information such as metastatic occurrence, vital status and eye retention. To obtain  
101 ophthalmic details, a letter was sent to their local ophthalmologist. Metastatic screening,  
102 consisting of liver function tests (aspartate transaminase, alanine transaminase, alkaline  
103 phosphatase, gamma glutamyltransferase and lactate dehydrogenase) and imaging  
104 (ultrasonography or computed tomography scan), was done before treatment, twice a year during  
105 the first five years and then once a year for another ten years after PBRT. Any clinical diagnosis  
106 of metastases was confirmed by biopsy.

107 Baseline and outcome measures included age, gender, best corrected visual acuity (BCVA) with  
108 the Snellen chart, intraocular pressure (IOP), tumor size, tumor location, tumor related  
109 complications prior to treatment (intraocular inflammation, glaucoma, cataract, intravitreal  
110 hemorrhage, retinal detachment, rupture of Bruch's membrane), radiation related complications,  
111 eye retention, metastatic occurrence and vital status.

## 112 **Statistical analysis**

113 Metastatic disease, relative survival and eye retention probability were examined. Additionally we  
114 looked at local tumor control as well as tumor and treatment related complications and visual  
115 acuity. Patients lost to follow-up were censored at their last visit. If a patient had died, these data  
116 were censored at the time of his last visit. Metastatic rates and eye retention rates were estimated  
117 using the Kaplan-Meier method and compared between juvenile and adult control groups using  
118 the log rank test statistic at a 5% level of significance using the "survival" R package (R  
119 Foundation for Statistical Computing, Vienna, Austria).<sup>15</sup> To correct for increased age-related  
120 mortality rates when comparing both groups, we looked at relative rather than observed survival  
121 rates (relative survival = observed survival/expected survival, with expected survival being the  
122 survival of a general population group with age and gender characteristics similar to the studied  
123 cohort). Relative survival statistics (Hakulinen method) were calculated using the "relsurv" R  
124 package,<sup>16</sup> where the rate table was calculated using Swiss demographics from the *Human Mortality*  
125 *Database*.<sup>17</sup> Univariate and multivariate analyses were performed using the glm base package;  
126 significance was assessed using the chi-squared test statistics.

## 127 **Search of Literature**

128 A computerized search was performed in MEDLINE using the keywords "Juvenile",  
129 "Adolescent", "Children", "Uveal melanoma", and "Proton beam radiotherapy". The  
130 bibliography of each article was also reviewed. Articles published prior to 1966 were identified  
131 using the Excerpta Medica Abstract Journal, Ophthalmology (Section 12 EMBASE), with the  
132 same first three keywords.

133 **RESULTS:**

134 Between 1984 and 2011, 44 of the 5340 UM patients treated with proton therapy were 20 years  
135 or younger at the time of diagnosis (0.8%). The first 11 of those patients have already been  
136 reported upon in a previous paper, one of whom, previously treated with brachytherapy, was  
137 excluded from this study.<sup>8</sup> There were no differences in gender or laterality between the juvenile  
138 and adult control UM groups ( $p>0.37$ ; chi squared test, **Table 1**, available at  
139 <http://aaojournal.org>). In both groups the majority of tumors were exclusively located in the  
140 choroid whereas approximately 20% reached the iris (**Table 2**, available at  
141 <http://aaojournal.org>). Significantly more adult than juvenile eyes presented a rupture of Bruch's  
142 membrane. Mean follow-up time for the juvenile UM group was 155 months (range: 6- 336), and  
143 for the adult control group 79 months (range: 4- 281).

144 Six of 43 juvenile patients developed liver metastases (14%) between 2 and 14 years after  
145 radiotherapy, five of whom had died less than a year later (12%) (**Table 3**). The surviving patient  
146 was treated with immunotherapy, Fotemustine® chemotherapy and radiofrequency, and is in  
147 remission, eight years after the biopsy proven presence of ganglion and liver metastases. The  
148 primary uveal tumors of these patients were classified<sup>19</sup> as T3 (N=2) or T4 (N=4) and half of  
149 them involved the ciliary body. A baseline retinal detachment of at least 2 quadrants was present  
150 in four of these patients, which persisted until the last visit for three of them. No juvenile  
151 patients with a T1 or T2 tumor developed metastases. On the other hand, in the adult control  
152 group, 35 patients developed metastases (27%), all of whom died less than 3 years later. Ten of  
153 these 35 adults had a T2 tumor, the remainder presenting a T3 (N=6) or a T4 (N=19) tumor.  
154 Kaplan-Meier curves comparing the metastatic rates in both groups (**Figure 1**) show a statistically  
155 significant difference, with a metastatic rate in juvenile patients of 8% at 5 years (95% Confidence  
156 Interval (CI) [0-16], n=34), 11% at 10 years (95% CI [0-20], n=25) and 19% at 15 years (95% CI  
157 [3-32], n=18), whereas the adult controls had a metastatic rate of 24% at 5 years (95% CI [16-33],  
158 n=67), 34% at 10 years (95% CI [23-43], n=29), and 48% at 15 years (95% CI [28-63], n=5).



159 Splitting the juvenile group into children (<16years) and young adults (16-20 years), a subgroup  
160 analysis was performed (**Table 4**). No metastases occurred in the children's group, whereas from  
161 16 years onwards the juvenile patients joined the adult controls with regard to the risk of  
162 developing metastases.

163 Looking for metastatic risk factors using univariate analysis, age was confirmed to be a significant  
164 risk factor for metastases in the juvenile group ( $p=0.04$ ) and not in the adult control group  
165 ( $p=0.41$ ). Also the persistence of a large ( $\geq 2$  quadrants) retinal detachment 6 months after PBRT,  
166 was a metastatic risk factor for the former ( $p=0.01$ ) and not for the latter ( $p=0.66$ ), and remained  
167 significant on multivariate analysis, when age had been taken into account for the juvenile group  
168 ( $p=0.03$ ). However, while tumor size was not a significant risk factor in patients less than 21  
169 years old ( $p=0.99$ ), it did prove to be a significant risk factor in the adult control group  
170 ( $p<0.001$ ).

171 Juvenile UM patients also had a significantly better survival than their adult controls (**Figure 2**).  
172 The relative survival rate in the juvenile UM group was 93 % at 5 years (95% CI [84-100],  $n=35$ ),  
173 93% at 10 years (95% CI [85-100],  $n=27$ ) and 85% at 15 years (95% CI [72-99],  $n=20$ ). In the  
174 adult control group, relative survival rate was 77% at 5 years (95% CI [69-86],  $n=74$ ), 65% at 10  
175 years (95% CI [57-79],  $n=27$ ) and 50% at 15 years (95% CI [35-71],  $n=6$ ).

176 Local tumor control was achieved in all but one juvenile patient, who had his eye removed 16  
177 months after PBRT by his own ophthalmologist because of a presumed local recurrence. During  
178 15 years of follow-up, this patient has not developed metastases. In the adult group, two patients  
179 presented with a local recurrence, in addition to concurrent liver metastases of which they died  
180 less than one year later.

181 Five juvenile patients (12%) were enucleated between 1 to 19 years following PBRT. One of  
182 them due to a presumed local recurrence, and four others because of complications such as

183 neovascular glaucoma (n=2), phthisis bulbi (n=1) and a painful pseudophakic bullous  
184 keratopathy in an otherwise non-functional eye (n=1). In the adult control group, 21 eyes (16%)  
185 were enucleated, due to neovascular glaucoma (n=15), phthisis bulbi (n=5) and painful end stage  
186 glaucoma (n=1).

187 While the eye retention rate was higher in the juvenile UM group, a comparison of the Kaplan-  
188 Meier eye retention curves did not demonstrate a statistically significant difference (p=0.08)  
189 (**Figure 3**). An eye retention rate of 90% at 5, 10 and 15 years (95% CI [80-100]; n=31, 24, 18  
190 respectively) was observed in the juvenile group, where all but one enucleation took place within  
191 the first 5 years following proton therapy. In the adult group the eye retention rate was 86%  
192 (95% CI [80-93]), 77% (95% CI [68-88]) and 67% (95% CI [50-90]) at 5, 10 and 15 years  
193 respectively.

194 **Table 5** gives an overview of most of the tumor and radiation related complications in both  
195 groups, as well as the surgical interventions required due to these complications. Minor aesthetic  
196 side effects such as radiation related madarosis or eyelid atrophy are not listed. **Tables 6 and 7**  
197 (table 7 is available at <http://aaojournal.org>) summarize some basic ocular parameters, such as  
198 BCVA, IOP, lens status, presence of retinal detachment and/or vitreous/subretinal hemorrhage  
199 within both the juvenile and adult control UM group at three time points throughout follow-up,  
200 i.e. at baseline and at the first and last control visit after PBRT. With the exception of the  
201 prevalence of more lens opacities in the adult control, no significant differences were identified  
202 between either group.

## 203 **DISCUSSION:**

204 The comparison of the metastatic and relative survival rates between juvenile and adult control  
205 UM patients demonstrated a significantly better prognosis for patients less than 21 years old. In  
206 particular, a 10-year relative survival rate of 93% was observed in the juvenile patients, opposed  
207 to 65% in the matched adult controls. Similarly the 10-year metastatic rate was much lower in the  
208 juvenile group. This supports the 5-year metastatic rates already reported by Kaliki,<sup>11</sup> as well

209 those by Vavvas reported at a median of 16 years.<sup>9</sup> Shields et al. have previously highlighted the  
210 difficulties of comparing survival rates reported in the non-matched series,<sup>3-8,12</sup> as it can be  
211 susceptible to bias,<sup>11</sup> and lead to specious results.<sup>10</sup> For example, juvenile UM series do contain a  
212 greater proportion of iris melanoma which would contribute to a better vital prognosis,<sup>5,7</sup> By  
213 matching for tumor characteristics, as done in this article, this source of bias has been reduced.  
214 **Table 8** (available at <http://aaojournal.org>) provides a summary of the mortality rates reported  
215 in the ten available juvenile UM series. Important to note is that metastases continue to occur  
216 after 10 years' follow-up (**Figure 1**) in both groups. This finding stresses the importance of  
217 checking the mean follow-up before interpreting the metastatic rates of studies on patients  
218 treated for uveal melanoma.

219 Examining risk factors for metastases within the juvenile UM group, showed that increasing age  
220 proved to be a significant risk factor, which has previously been reported by Kaliki et al.<sup>11</sup> In  
221 contrast to the traditional 21<sup>st</sup> birthday of political majority, Swiss pediatricians stop following  
222 their patients after their 16<sup>th</sup> birthday, considering that most of them by then have reached  
223 biological maturity. Respecting this distinction, the juvenile group was split into children  
224 (<16years) and young adults (16-21years), and the difference in vital prognosis of -mostly  
225 prepubescent- children compared to the adults became even more evident; here no UM children  
226 developed metastases. On reviewing the literature, including all case reports, it was found that  
227 approximately 470 cases of juvenile UM have been reported worldwide.<sup>19-57</sup> Of these, only 14  
228 children (<16 years; 3%) were reported to have died from metastatic disease,<sup>3,5,19-23,55</sup> (**Table 9**,  
229 available at <http://aaojournal.org>) though it should be noted that not all juvenile series specify  
230 the age at UM diagnosis of their patients having died from metastases.

231 These results have led some authors to speculate that children are somehow 'protected' from  
232 metastatic disease and may have a more 'robust' immune system keeping micro-metastases under  
233 better control.<sup>9,11</sup> Dimaras et al recently published the cytogenetic results after enucleation of an  
234 epithelioid juvenile melanoma, reporting an absence of monosomy 3 or trisomy 8, indicating a

235 lack of the somatic mutations usually found in adults. This may be related to the favorable  
236 prognosis of children.<sup>25</sup>

237 Retinal detachments which persisted six months after PBRT, were shown to be a significant risk  
238 factor for developing metastases in the juvenile group. Though retinal detachment has been  
239 shown to be a function of tumor size,<sup>58</sup> the correlation between its persistence after radiotherapy  
240 and shrinking tumor size or metastatic risk has not been previously studied. In this report, tumor  
241 size was not correlated with a higher metastatic risk in juvenile eyes, in contrast to the adult  
242 matched control group. This lack of correspondence between tumor size and metastases in  
243 juvenile UM eyes was previously reported by Kaliki et al.<sup>11</sup> Despite this outcome it should be  
244 noted that no patient less than 21 years old with a small T1 or T2 tumor has ever been reported  
245 to develop metastases.

246 This case-matched control study is the first to compare eye retention rates after conservative  
247 radiotherapy between juvenile and adult control patients with UM. Though in the former group  
248 88% of patients kept their eye against only 76% in the latter, this difference was not statistically  
249 significant. No significant differences in visual outcome or other ocular parameters were found  
250 between juvenile and adult eyes, indicating that following PBRT, juvenile UM eyes do not require  
251 a different follow-up and/or management than adult eyes.

252 Since this study is not a randomized clinical trial, there are likely sources of bias and variability,  
253 originating from the use of historical data or possible differences in adherence or attendance  
254 which could affect the study outcomes. Considering the rarity of juvenile uveal melanoma a  
255 randomized clinical trial is not achievable and the size of this cohort is substantial. In the  
256 statistical analysis, every effort has been made to control for the known risk factors of metastases,  
257 and decreased survival.

258 To the best of our knowledge, this is the largest cohort of juvenile UM patients treated with  
259 PBRT.<sup>8,9</sup> Here it was shown that juvenile patients treated with PBRT have a significantly better  
260 prognosis in terms of survival and metastatic rates than a corresponding adult group, especially

261 prepubescent children. PBRT also maintains useful vision in the majority of cases, with an  
262 excellent local tumor control and similar eye retention rates as reported in the adult population.  
263 This long term case-control study confirms that PBRT is an appropriate conservative treatment  
264 for UM patients less than 21 years old.

265

266

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423

**Table 1:** Patient characteristics and baseline symptoms

Patient characteristics	Juvenile UM group (N=43)	Adult control UM group (N=129)
Mean age at diagnosis ( $\pm$ SD) [range]	17.3 years ( $\pm$ 3.5) [9-21]	50.4 years ( $\pm$ 10.2) [29-81]
Gender: Male/Female ratio	20/23 (47/53%)	64/65 (50/50%)
Previous primary cancer	1 (Burkitt lymphoma)	1 (Hodgkin's lymphoma)
Dysplastic nevus syndrome	1 (2%)	0
Ocular melanocytosis	2 (5%)	5 (4%)
Affected Eye (Right/Left)	15/28 (35/65%)	55/74 (43/57%)
Baseline Symptoms		
<ul style="list-style-type: none"> <li>• Loss of vision</li> <li>• Metamorphopsia</li> <li>• Flashes of light</li> <li>• Pain</li> <li>• Floaters</li> <li>• None</li> </ul>	<ul style="list-style-type: none"> <li>• 29 (67%)</li> <li>• 6 (14%)</li> <li>• 4 (9%)</li> <li>• 0</li> <li>• 1 (2%)</li> <li>• 13 (30%)</li> </ul>	<ul style="list-style-type: none"> <li>• 94 (73%)</li> <li>• 28 (22%)</li> <li>• 44 (34%)</li> <li>• 1 (1%)</li> <li>• 17 (13%)</li> <li>• 11 (8%)</li> </ul>

SD = Standard Deviation, UM = Uveal Melanoma.

**Table 2:** Baseline tumor characteristics comparing the juvenile and adult control uveal melanoma groups

Baseline	Juvenile UM group (N=43)	Adult control UM group (N=129)	p-value
<b>tumor characteristics</b>			
LTD (±SD) [range]	17.0 mm (±4.3) [8-24]	16.7 mm (±4.2) [8-23]	p=0.80*
Height (±SD) [range]	6.9 mm (±3.9) [2-20]	6.8 mm (±2.8) [2-14]	p=0.93*
Location of anterior tumor margin			
<ul style="list-style-type: none"> <li>• Iris</li> <li>• Ciliary body</li> <li>• Anterior choroid</li> <li>• Posterior choroid</li> </ul>	<ul style="list-style-type: none"> <li>• 9 (21%)</li> <li>• 7 (16%)</li> <li>• 9 (21%)</li> <li>• 18 (42%)</li> </ul>	<ul style="list-style-type: none"> <li>• 23 (18%)</li> <li>• 24 (18%)</li> <li>• 28 (22%)</li> <li>• 54 (42%)</li> </ul>	p=0.97†
Distance to the optic disc			
<ul style="list-style-type: none"> <li>• Infiltration</li> <li>• In contact</li> <li>• &gt;0 mm &amp; &lt;3.6 mm</li> <li>• ≥3.6mm</li> </ul>	<ul style="list-style-type: none"> <li>• 0</li> <li>• 7 (16%)</li> <li>• 9 (21%)</li> <li>• 27 (63%)</li> </ul>	<ul style="list-style-type: none"> <li>• 12 (9%)</li> <li>• 23 (18%)</li> <li>• 27 (21%)</li> <li>• 67 (52%)</li> </ul>	p=0.19†
Distance to the macula			
<ul style="list-style-type: none"> <li>• In contact</li> <li>• &gt;0mm &amp; &lt;3.6mm</li> <li>• ≥3.6mm</li> </ul>	<ul style="list-style-type: none"> <li>• 10 (23%)</li> <li>• 10 (23%)</li> <li>• 23 (54%)</li> </ul>	<ul style="list-style-type: none"> <li>• 43 (33%)</li> <li>• 35 (27%)</li> <li>• 51 (40%)</li> </ul>	p=0.26†
Rupture of Bruch's membrane	5 (12%)	44 (34%)	<b>p=0.02</b>
Extrascleral extension	0	8 (6%)	p=0.19
TNM stage‡			
<ul style="list-style-type: none"> <li>• 1</li> <li>• 2</li> <li>• 3</li> <li>• 4</li> </ul>	<ul style="list-style-type: none"> <li>• 4 (9%)</li> <li>• 13 (30%)</li> <li>• 9 (21%)</li> <li>• 17 (40%)</li> </ul>	<ul style="list-style-type: none"> <li>• 4 (3%)</li> <li>• 44 (34%)</li> <li>• 31 (24%)</li> <li>• 50 (39%)</li> </ul>	p=0.99†

\* = two-sample t-test, † = Chi-squared test ‡ = TNM staging of uveal melanoma according to the 7th ed. of the American Joint Committee on Cancer cancer staging 2010.<sup>19</sup> TNM = Tumor size, Nodes, Metastasis, SD = Standard Deviation, UM = Uveal Melanoma, LTD = Largest Tumor Diameter.

**Table 3**[Click here to download Table: table 3.docx](#)**Table 3:** Details of the juvenile uveal melanoma patients having developed metastases

Gender	Age at diagnosis (years)	UM location	Tumor size (mm): LTD x Height	Metastatic free survival after PBRT (years)	Metastatic survival (years)	Vital status
F	20	Ciliary body and anterior choroid	21.0 x 8.5	12	<1	Dead
F	20	Ciliary body and anterior choroid	16.8 x 8.5	2	<1	Dead
F	20	Anterior and posterior choroid	15.6 x 6.8	7	8	Alive
M	20	Anterior and posterior choroid	19.0 x 4.6	5	<1	Dead
M	18	Posterior choroid	19.0 x 9.0	14	<1	Dead
M	20	Ciliary body and anterior choroid	23.0 x 10.0	2	<1	Dead

UM = Uveal Melanoma, LTD = Largest Tumor Diameter, PBRT = Proton Beam RadioTherapy, F = Female, M= Male

**Table 4**[Click here to download Table: table 4 corrected.docx](#)**Table 4:** Subgroup analysis for metastatic occurrence in function of age.

Subgroup by age (years)	Number of patients in this group	Number of patients with metastases (%)	90% Confidence Intervals [%]
0-15	14	0	[0-16]
16-20	29	6 (21%)	[11-35]
21+	129	36 (28%)	[22-35]

**Table 5**[Click here to download Table: table 5 corrected.docx](#)**Table 5:** Tumor and radiation related complications following proton beam radiotherapy in juvenile and adult control uveal melanoma patients

Tumor and radiation related complications	Juvenile UM group (N=43)	Adult control UM group (N=129)
Local UM recurrence	1 (2%)	2 (2%)
Retinal ischemia requiring laser treatment	16 (37%)	20 (16%)
Neovascular glaucoma	8 (19%)	24 (19%)
Phthisis bulbi	2 (5%)	5 (4%)
Scleral melt	1 (2%)	3 (2%)
Chronic inflammation	1 (2%)	0
Pseudophakic bullous keratopathy	1 (2%)	0
<b>Complication treatments</b>		
Enucleation for local melanoma recurrence	1 (2%)	0
Enucleation for other complications	4 (9%)	21 (16%)
Other interventions	9 (21%)	17 (13%)
• Strabismus surgery	• 1	• 0
• Glaucoma surgery	• 2	• 1
• Retinectomy/tumorectomy	• 1	• 1
• Scleral graft	• 1	• 0
• Phacoemulsification	• 8	• 12
• Laser for conjunctival telangiectasia	• 2	• NA
• Vitrectomy for massive vitreal hemorrhage	• 1	• 3

UM = Uveal Melanoma, NA = data Not Available.

**Table 6**[Click here to download Table: table 6 corrected.docx](#)**Table 6:** Ocular status of the juvenile uveal melanoma patients at baseline and at the first and last control visit after proton beam radiotherapy

Ocular parameter	Baseline	6 months after PBRT	Last control visit (excluding 5 enucleated eyes)
Mean BCVA	0.5 ( $\pm 0.4$ ) [0-1.25]	0.4 ( $\pm 0.4$ ) [0-1.5]	0.2 ( $\pm 0.4$ ) [0-1]
<ul style="list-style-type: none"> <li>• NLP</li> <li>• <math>\leq 0.10</math></li> <li>• <math>&gt; 0.10</math></li> </ul>	<ul style="list-style-type: none"> <li>• 0</li> <li>• 5 (12%)</li> <li>• 38 (88%)</li> </ul>	<ul style="list-style-type: none"> <li>• 2 (5%)</li> <li>• 11 (25%)</li> <li>• 30 (70%)</li> </ul>	<ul style="list-style-type: none"> <li>• 7 (18%)</li> <li>• 15 (40%)</li> <li>• 16 (42%)</li> </ul>
Mean IOP in mmHg	14.4 ( $\pm 6.4$ ) [7-43]	14.1 ( $\pm 4.7$ ) [2-25]	14.0 ( $\pm 6.8$ ) [2-43]
Lens opacities			
<ul style="list-style-type: none"> <li>• Absent</li> <li>• Present</li> <li>• Pseudophakic</li> </ul>	<ul style="list-style-type: none"> <li>• 42 (98%)</li> <li>• 1 (2%)</li> <li>• 0</li> </ul>	<ul style="list-style-type: none"> <li>• 33 (77%)</li> <li>• 10 (23%)</li> <li>• 0</li> </ul>	<ul style="list-style-type: none"> <li>• 17 (45%)</li> <li>• 15 (39%)</li> <li>• 6 (16%)</li> </ul>
Retinal detachment			
<ul style="list-style-type: none"> <li>• None</li> <li>• 1 quadrant</li> <li>• <math>\geq 2</math> quadrants</li> </ul>	<ul style="list-style-type: none"> <li>• 18 (42%)</li> <li>• 12 (28%)</li> <li>• 13 (30%)</li> </ul>	<ul style="list-style-type: none"> <li>• 27 (63%)</li> <li>• 7 (16%)</li> <li>• 9 (21%)</li> </ul>	<ul style="list-style-type: none"> <li>• 30 (79%)</li> <li>• 2 (5%)</li> <li>• 6 (16%)</li> </ul>
Vitreous or subretinal hemorrhage (Yes/No)	1/42	3/40	1/37

PBRT= Proton Beam RadioTherapy, BCVA = Best Corrected Visual Acuity, NLP = No Light Perception, IOP = IntraOcular Pressure



**Table 7:** Ocular status of the adult control uveal melanoma patients at baseline and at the first and last control visit after proton beam radiotherapy (PBRT).

Ocular parameter	Baseline	6 months after PBRT	Last control visit (excluding 21 enucleated eyes)
Mean BCVA	0.6 (±0.4) [0-1.5]	0.4 (±0.4) [0-1.5]	0.3 (±0.4) [0-1.25]
<ul style="list-style-type: none"> <li>• NLP</li> <li>• ≤0.10</li> <li>• &gt;0.10</li> </ul>	<ul style="list-style-type: none"> <li>• 0</li> <li>• 25 (19%)</li> <li>• 104 (81%)</li> </ul>	<ul style="list-style-type: none"> <li>• 6 (5%)</li> <li>• 52 (40%)</li> <li>• 71 (55%)</li> </ul>	<ul style="list-style-type: none"> <li>• 19 (21%)</li> <li>• 22 (24%)</li> <li>• 51 (55%)</li> </ul>
Mean IOP in mmHg	14.0 (±3.4) [7-28]	15.7 (±8.0) [4-66]	16.3 (±8.1) [0-46]
Lens opacities			
<ul style="list-style-type: none"> <li>• Absent</li> <li>• Present</li> <li>• Pseudophakic</li> </ul>	<ul style="list-style-type: none"> <li>• 112 (87%)</li> <li>• 16 (12%)</li> <li>• 1 (1%)</li> </ul>	<ul style="list-style-type: none"> <li>• 86 (67%)</li> <li>• 42 (32%)</li> <li>• 1 (1%)</li> </ul>	<ul style="list-style-type: none"> <li>• 33 (36%)</li> <li>• 48 (52%)</li> <li>• 11 (12%)</li> </ul>
Retinal detachment			
<ul style="list-style-type: none"> <li>• None</li> <li>• 1 quadrant</li> <li>• 2 quadrants</li> </ul>	<ul style="list-style-type: none"> <li>• 60 (47%)</li> <li>• 32 (25%)</li> <li>• 37 (28%)</li> </ul>	<ul style="list-style-type: none"> <li>• 74 (57%)</li> <li>• 16 (12%)</li> <li>• 39 (31%)</li> </ul>	<ul style="list-style-type: none"> <li>• 79 (86%)</li> <li>• 2 (2%)</li> <li>• 11 (12%)</li> </ul>
Vitreous or subretinal hemorrhage (Yes/No)	17/112	7/122	7/85

BCVA = Best Corrected Visual Acuity, NLP = No Light Perception, IOP = IntraOcular Pressure

Summary of the 10 available series reporting on juvenile uveal melanoma:

Reference	Number of patients	UM Location	Treatment	Mortality (%)	Mean Follow-up (years)
*Petrovic 2013	43	9 iris 7 CB 9 Anterior Choroid 18 Posterior Choroid	Proton beam radiotherapy	7	13
*Kaliki 2012 <sup>11</sup>	122	30 Iris 10 CB 13 Anterior Choroid 69 Posterior choroid	NA	8	5.25
*Vavvas 2010 <sup>9</sup>	17	1 CB 16 Choroid	Proton beam radiotherapy	0	16
†Pogrzebielski 2006 <sup>7</sup>	11	6 Iris 2 Iris and CB 3 Choroid	Surgical resection Surgical resection Enucleation/Brachytherapy	0	5
†Singh 2000 <sup>10</sup>	63	16 Iris 13 CB 34 Choroid	39 Enucleation 9 Brachytherapy 3 Surgical resection	6.4	4.5
Gailloud 1992 <sup>8</sup>	11	3 CB 8 Choroid	Proton beam radiotherapy	NA	1.9
Shields 1991 <sup>6</sup>	40	5 Iris 35 Choroid	24 Enucleation 7 Surgical resection 3 Brachytherapy 6 No treatment	2.5	5.7
Barr 1981 <sup>5</sup>	78	36 Iris 42 CB/Choroid	Enucleation and Surgical resection	22	16
Leonard 1975 <sup>12</sup>	7	2 Iris 5 Choroid	2 Iridectomy 5 Enucleation	0	3
Verdaguer 1965 <sup>4</sup>	7	2 Iris 2 CB 3 Choroid	2 Iridectomy 5 Enucleation	0	3
Apt 1962 <sup>3</sup>	46	19 Iris 27 CB/Choroid	NA	15	NA

\* matched control group; †historical control group; data not available (NA), UM = Uveal Melanoma, CB = Ciliary Body.

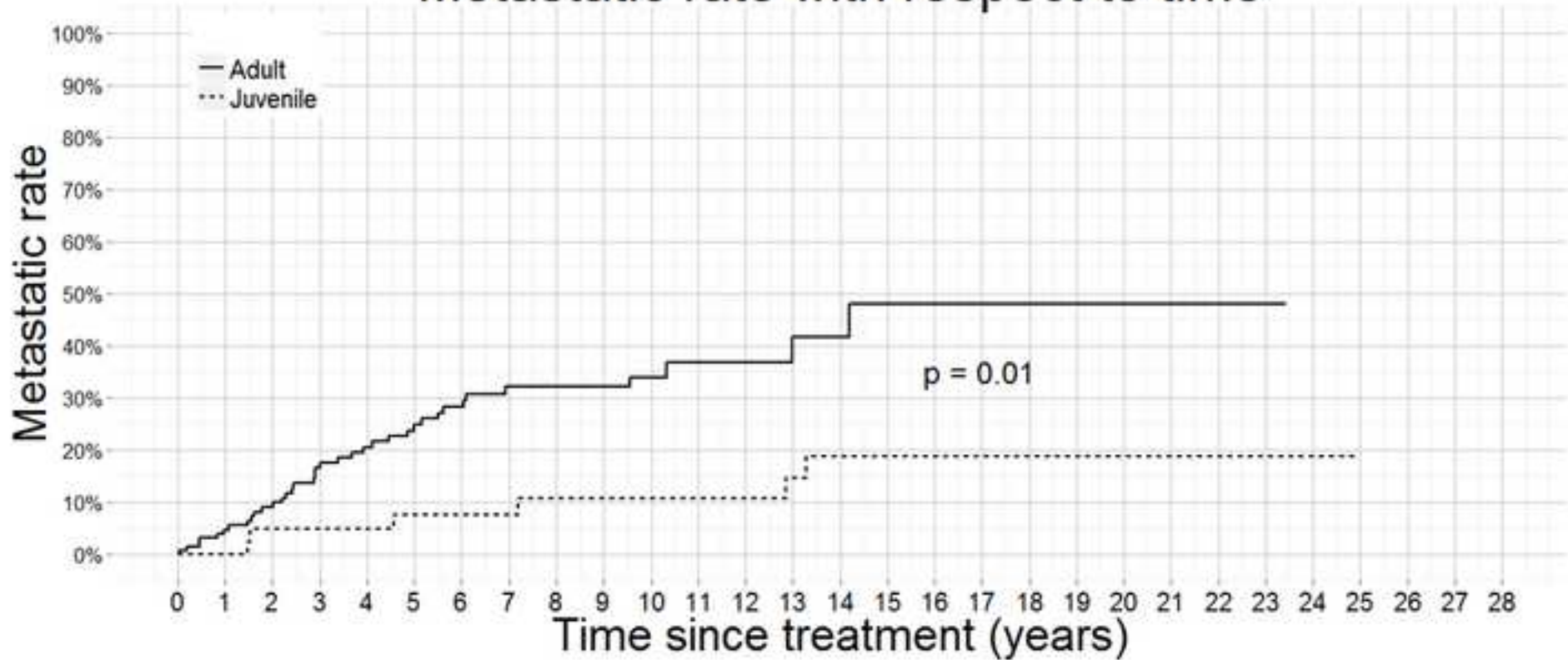
**Table 9:** Review of the literature: children less than 16 years old who died from metastatic disease

Reference	Sex/Age (years)	Tumor size	Tumor location	Treatment	Metastatic occurrence (years)
Apt, 1962 <sup>3</sup>	F/2 M/11	NA NA	Iris Choroid	Enucleation Enucleation	6 NA
Barr, 1981 <sup>5</sup>	NA/3 NA/<16 NA/<16 NA/5 NA/2	NA NA NA NA NA	Choroid Choroid Choroid Iris Iris	Enucleation Enucleation Enucleation NA NA	NA
Colombo, 1935 <sup>20</sup>	F/3	NA	Choroid	Enucleation	0.5
Cury, 1959 <sup>21</sup>	M/5 M/11	16x17 mm 12x16 mm	CB Choroid	Enucleation Enucleation	NA
Fenske, 1964 <sup>56</sup>	F/11	NA	Iris	Enucleation	6
Rosembaum, 1988 <sup>22</sup>	M/5	NA	Iris, CB	Enucleation	0.8
Broadway, 1991 <sup>23</sup>	M/Congenital	40x50 mm	Choroid	Enucleation	Present at birth
Grabowska, 2011 <sup>24</sup>	M/1	15 mm (H)	Iris	Enucleation	0.5

NA = data Not Available, F = Female, M = Male, CB = Ciliary Body, H = Height

Figure 1  
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## Metastatic rate with respect to time



Adult	129	116	101	86	76	67	59	51	47	41	29	21	16	12	10	5	5	3	3	3	3	2	1	1	0	0	0	0	0
Juvenile	43	42	36	36	35	34	33	32	29	27	25	25	23	21	18	16	16	14	13	11	9	8	7	4	3	1	1	1	1

Numbers at risk

Figure 2  
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Comparison of relative survival rates

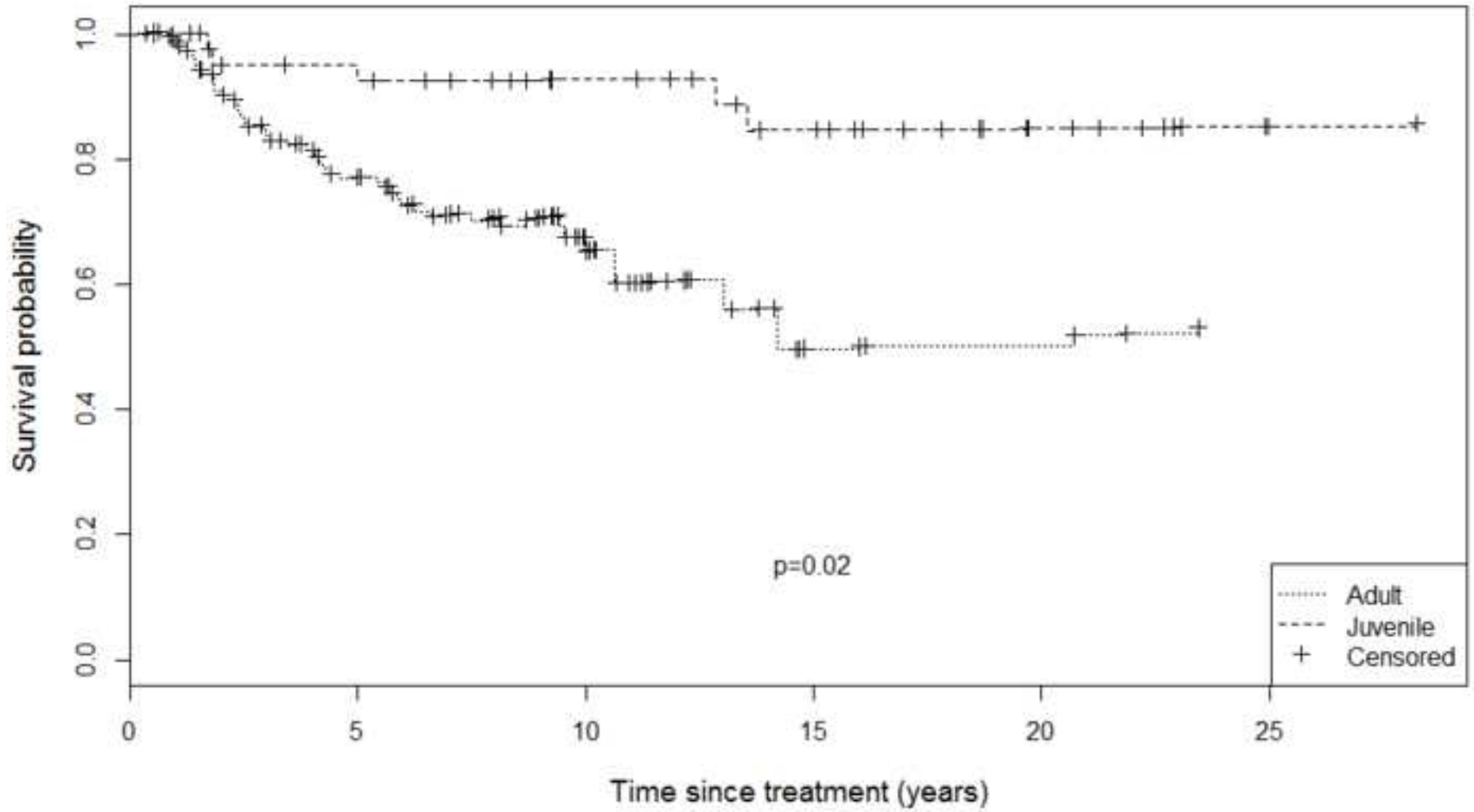
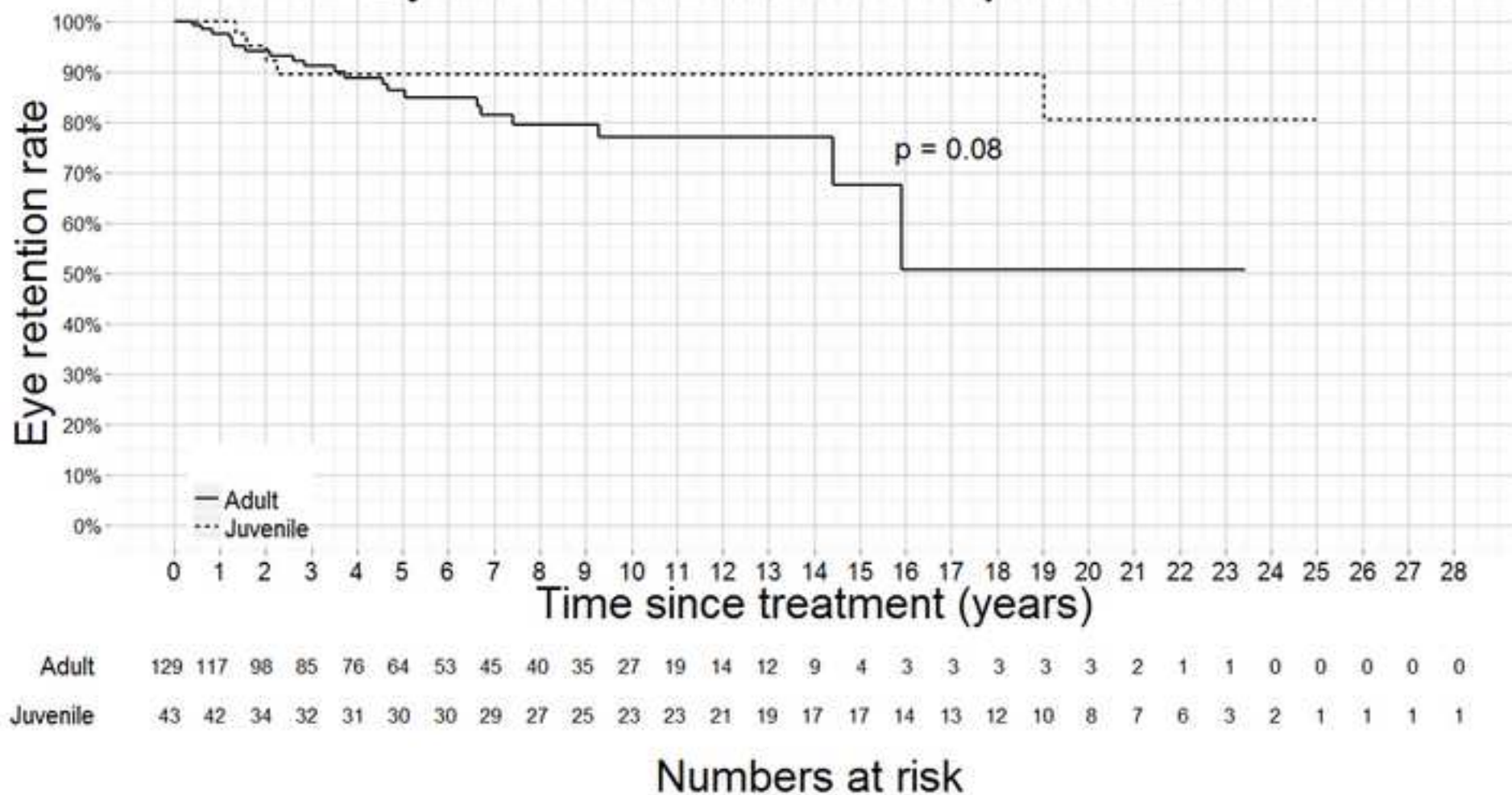


Figure 3  
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## Eye retention rate with respect to time



## FIGURE LEGENDS

**Figure 1:** Kaplan-Meier metastatic rate curves comparing the juvenile and adult control uveal melanoma groups.

**Figure 2:** Relative survival rates comparing the juvenile and adult control uveal melanoma groups (Hakulinen method).

**Figure 3:** Kaplan-Meier eye retention curves comparing the juvenile and adult control uveal melanoma groups.