

1 Synthesis

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4 **What we use is not what we know: environmental predictors in plant distribution models**

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25 **ABSTRACT**

26 **Questions:** The choice of environmental predictor variables in correlative models of plant species  
27 distributions (hereafter SDMs) is crucial to ensure predictive accuracy and model realism, as  
28 highlighted in multiple earlier studies. Because variable selection is directly related to a model's  
29 capacity to capture important species' environmental requirements, one would expect an explicit  
30 prior consideration of all ecophysiological meaningful variables. For plants, these include  
31 temperature, water, soil nutrients, light, and in some cases, disturbances and biotic interactions.  
32 However, the set of predictors used in published correlative plant SDM studies varies considerably.  
33 No comprehensive review exists of what environmental predictors are meaningful, available (or  
34 missing), and used in practice to predict plant distributions. Contributing to answer these questions  
35 is the aim of this review.

36 **Methods:** We carried out an extensive, systematic review of recently published plant SDM studies  
37 (years 2010-2015;  $n = 200$ ) to determine the predictors used (and not used) in the models. We  
38 additionally conducted an in-depth review of SDM studies in selected journals to identify temporal  
39 trends in the use of predictors (years 2000-2015;  $n = 40$ ).

40 **Results:** A large majority of plant SDM studies neglected several ecophysiological meaningful  
41 environmental variables, and the number of relevant predictors used in models has stagnated or  
42 even declined over the last 15 years.

43 **Conclusions:** Neglecting ecophysiological meaningful predictors can result in incomplete niche  
44 quantification and can thus limit the predictive power of plant SDMs. Some of these missing  
45 predictors are already available spatially or may soon become available (e.g., soil moisture).  
46 However, others are not yet easily obtainable across whole study extents (e.g., soil pH and  
47 nutrients), and their development should receive increased attention. We conclude that more effort  
48 should be made to build ecologically more sound plant SDMs. This requires a more thorough  
49 rationale for the choice of environmental predictors needed to meet the study goal, and the

50 development of missing ones. The latter calls for increased collaborative effort between ecological  
51 and geo-environmental sciences.

52

53 **Keywords:** covariate; environment; habitat suitability; independent variable; model; niche; plant;  
54 predictor; species distribution;

55

56 **Abbreviations:** DEM = digital elevation model, GIS = geographic information system, SDM =  
57 correlative species distribution modelling, WoS = ISI Web of Science

58

59 **Running title:** Variable selection and species distribution models

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61

## 62 **INTRODUCTION**

63

64 Correlative species distribution modelling (SDM; also called ecological niche, habitat suitability,  
65 and (bio)climatic envelope modelling as well as various other names, hereafter all included under  
66 the acronym ‘SDM’; see Guisan et al. 2013) is a topical approach in ecology and biogeography  
67 (Franklin 2009, Peterson et al. 2011, Moquet et al. 2015). Over the last decades (Booth et al. 2014),  
68 the number of correlative SDM studies has steadily increased, and SDM is currently one of the most  
69 popular methods used to study the impact of various threats to biodiversity and to support related  
70 conservation decisions (Guisan et al. 2013). In addition to a large number of case studies on species  
71 distributions for conservation and risk assessment (Broennimann & Guisan 2008; Araújo et al.  
72 2011; Jiménez-Valverde et al. 2011; Alagador et al. 2014), there is on-going discussion on  
73 theoretical and technical issues, including modelling techniques, selection and evaluation of models,  
74 handling of spatial autocorrelation and, most importantly, variable selection (Franklin 1995; Austin

75 2002, 2007; Guisan & Thuiller 2005; Araujo & Guisan 2006; Guisan et al. 2006, Dormann 2007;  
76 Elith & Leathwick 2009; Zimmermann et al. 2010; Austin & Van Niel 2011a; Thibaud et al. 2014).  
77 As SDMs statistically relate environmental variables to the presence/absence (or presence-only) of a  
78 species to predict species distributions (Guisan & Zimmermann 2000), the selection of the most  
79 appropriate set of environmental variables as predictors is essential (Dormann 2007).

80

81 Many of the SDM (*sensu lato*) reviews published within the last 20 years have called for the use of  
82 more ecologically meaningful predictors (Franklin 1995, 2009; Guisan & Zimmermann 2000;  
83 Guisan & Thuiller 2005; Guisan et al. 2006, Elith & Leathwick 2009; Austin & Van Niel 2011a,  
84 Peterson et al. 2011). For plants, seven environmental factors are generally considered essential for  
85 growth and survival: temperature, water, nutrients, light, disturbances, biotic interactions and CO<sub>2</sub>  
86 (Körner 2014, see also Guisan & Zimmermann 2000; Austin & van Niel 2011a and Appendix S1).  
87 However, although CO<sub>2</sub> is crucial for plant survival and productivity, it is not a limiting resource  
88 under natural growth conditions at current and future atmospheric concentrations (e.g., Körner  
89 2006; Norby & Zak 2011; Inauen et al. 2012; Bader et al. 2013). Under such conditions, the nutrient  
90 cycle and climatic constraints control carbon capture, and therefore CO<sub>2</sub> is usually omitted in  
91 correlative analyses of species distributions, such as SDMs, and will not be considered further in  
92 this review. All of the other factors can be resources (i.e., can be consumed by the species; e.g.  
93 nutrients, water, light) or regulators (i.e., can affect metabolic processes; e.g. temperature; see  
94 Huston 2002) and can have direct (proximal) and indirect (distal) effects on plants (Austin 2002).  
95 Thus, in standard SDMs, where species occurrence (and absence) is modelled principally as a  
96 function of environmental conditions, the most realistic and accurate predictions should only be  
97 achieved when all factors defining a species' niche and thus driving its distribution are accounted  
98 for at the geographic scale considered (Pearson & Dawson 2003; McGill 2010). When considering  
99 the environmental factors shaping species distribution from a niche modelling perspective, it is also

100 important to distinguish between bionomic (dynamically altered by the species through being  
101 consumed or modified) and scenopoetic (constant, not affected by the species) variables (see  
102 Hutchinson 1978; Peterson et al. 2011). In this review, by considering the environmental niche  
103 (Grinnell 1917; Hutchinson 1957) of plants (Austin 1980; Austin & Smith 1989) in a wide sense,  
104 we include both regulator and resource predictors, but because precise data on the dynamics of  
105 environmental variables are scarce, we consider resources to remain constant (i.e. we do not  
106 consider what could be consumed by the species itself) over the location and time period of the  
107 study.

108

109 In addition to the importance of ecological justification for the use of ecophysiologicaly relevant  
110 variables in SDMs, Austin (2002) and later Araujo & Guisan (2006) highlighted the importance of  
111 acknowledging the biological significance of the selected variables, despite the diverse automated  
112 and mathematically optimized variable selection methods developed for SDMs. Additionally,  
113 Petitpierre et al. (in review) showed that selecting variables based on expert knowledge rather than  
114 an automated selection from huge numbers of predictors can lead to better predictive performances  
115 and be more reflective of biological and ecological understanding, especially for fine-scale studies  
116 (see also Pearson & Dawson 2003 for the hypothesized higher importance of non-climatic variables  
117 at finer scales; but see Harwood et al. 2014).

118

119 Although ecophysiological theory (Lambers et al. 2008; Körner 2014), community assembly  
120 experiments (Fukami et al. 2005; Scherber et al. 2010) and biogeographical models (e.g. Franklin  
121 1995; Bertrand et al. 2012; Dubuis et al. 2013; Wisz et al. 2013) stress the importance of various  
122 groups of ecophysiologicaly essential predictors (Fig. 1), it seems that a large majority of SDMs  
123 are built without consideration of the ecophysiological relevance and comprehensiveness of the set  
124 of predictors (Pearson & Dawson 2003; Guisan & Thuiller 2005; Austin & Van Niel 2011a). The

125 most prominent explanation for this incomplete choice of predictors is the unavailability of some  
126 data. It seems that largely available variables are frequently used in models (e.g., WorldClim;  
127 Hijmans et al. 2005), while the use of less easily available or lacking environmental data is  
128 understandably less frequent or absent in SDMs, respectively. This is however a working  
129 hypothesis. Making further progress in SDM science therefore requires understanding the primary  
130 causes of incomplete use of environmental information. Species distribution models are potentially  
131 powerful tools to analyse and predict plant species and community distributions, but their strength,  
132 validity and accuracy depend largely on the input data used. Yet, despite a long-standing knowledge  
133 of which predictors should theoretically be used, no study has comprehensively reviewed which  
134 ecophysiological meaningful variables are currently used and not used or missing, so that  
135 recommendations can be made on where further development is required to obtain all important  
136 predictors in a spatially explicit form.

137

138 Here, we evaluate whether the predictors used in correlative plant SDM studies correspond to the  
139 known ecophysiological needs of plant species and whether additional constraints, such as biotic  
140 factors and disturbances, are included. Simultaneously, we aim to identify which of the  
141 ecophysiological relevant variables are missing and whether their omission is due to the  
142 unavailability of data in a mapped format or to other causes. We do not either intend to review  
143 exhaustively the literature to exemplify good from bad modelling practices, nor to provide examples  
144 from our own analyses. We concentrate on niche-based species distribution models of plants  
145 (vascular plants and bryophytes) and mainly consider direct abiotic variables – both regulator and  
146 resource (sensu Austin 1980) – as well as biotic and disturbance variables. Plants form the basis of  
147 primary production and the food chain and, as such, are important for other species, biodiversity  
148 and environmental conservation in general. Focusing solely on plants also allows for a more in-  
149 depth review. We acknowledge the importance of other, non-niche processes influencing plant

150 distributions, such as dispersal and (evolutionary) history (Soberón & Peterson 2005), but we do not  
151 examine these processes explicitly here, as we consider them to be outside the scope of this review,  
152 which centres on environmental niche predictors. Further, although efforts towards incorporating  
153 the environmental predictors discussed here are also in progress in the field of mechanistic  
154 modelling (see, e.g., D'Amen et al. in press), this review only considers correlative SDMs.

## 155 MATERIALS AND METHODS

156

157 We performed two web searches to extract original articles (excluding reviews, opinions and  
158 perspectives) dealing with SDMs of vascular plants and bryophytes. The target of the first search  
159 was to record recently published (2010-2015) articles in high-quality ecological journals (see  
160 Appendix S2 for the journals used), while the target of the second search was to examine the  
161 temporal changes in the variables used in the SDMs. The first search was performed using the query  
162 ("*species distribution model\**" OR "*habitat model\**" OR "*ecological niche model*" OR "*niche*  
163 *model\**" OR "*habitat distribution model\**" OR "*habitat suitability model\**" OR "*niche-based*  
164 *model\**" OR "*bioclimatic envelope model\**") AND (*vegetation* OR *plant\** OR *vascular* OR  
165 *bryophyte\**) following Guisan et al. (2013) in the ISI Web of Science (WoS), restricting the time  
166 range and journals to meet the filters specified above. This search resulted in 745 papers (hereafter  
167 called the 'recent search'). The second WoS search used the same search words, but the results were  
168 limited to two journals, *Journal of Vegetation Science* and *Journal of Biogeography*, after  
169 preliminary queries showed the high number of plant SDM studies published in these journals,  
170 accounting for the years 2000-2015. The second search was also repeated in other search engines to  
171 increase the number of articles and to complement missing years, resulting in a total of 171 articles  
172 (hereafter called the 'temporal search').

173

174 For all of the selected articles, we recorded the environmental predictors that were used in the  
175 SDMs. To standardize the results, we divided the predictors into eight variable categories, partially  
176 following Austin and Van Niel (2011a, see also Appendix S1): temperature, water, substrate  
177 (including nutrients but not moisture), radiation, biotic interactions, disturbance (including  
178 anthropogenic factors), topography and land use (Table 1, see detailed list of different variables in  
179 Appendix S3). The temperature and water categories were further divided into mean, extreme and



180 seasonality variables, and the water category had two additional sub-classes: water balance and soil  
181 moisture. The substrate-related category was divided into two classes: bedrock/pH and nutrients.  
182 The category of biotic variables accounted for all variables expressing the influence of other  
183 biological agents (e.g., cover of vegetation or certain plant species, species richness, and presence  
184 or abundance of animal species). The disturbance category accounted for processes that primarily  
185 destroy vegetation, such as fire, geomorphological disturbance and human activities, although these  
186 processes can also have a positive impact on certain species (e.g., ruderals; Grime 1977).  
187 Topographic and land-use related variables do not represent direct or resource variables for plants,  
188 but because these are regularly included in SDMs (Franklin 1995) and have an indirect impact on  
189 plant distribution through altering the distribution of temperature, moisture, nutrients and light, they  
190 were also recorded here (Moeslund et al. 2013). All generally ecophysiological meaningful  
191 predictor variables could be assigned to 16 classes (Table 1). Predictors that were meaningful for  
192 the target of the original study but not for our review (such as fragmentation and distance to  
193 waterbodies) were not recorded but are included in the total number of predictors.

194

195 From each selected SDM study, we further recorded the taxonomic group of species of interest and  
196 the resolution of the input/environmental data. Only studies that used species distribution data  
197 (presence-absence or presence-only) were included in further analyses, i.e. studies on species  
198 richness or abundance were not considered. To avoid bias in our analyses due to the tendency to  
199 highlight the use of climate variables only, we restricted our searches to studies conducted up to a  
200 resolution of 1 km<sup>2</sup> (~30 arc seconds). Studies at coarser resolution (and often larger scale)  
201 effectively tend to include only climatic variables due to data availability and the scale-dependence  
202 of different predictors (Pearson & Dawson 2003, Thuiller et al. 2004; but see Harwood et al. 2014).  
203 From the 745 ‘recent’ articles found in the WoS, 182 met our requirements (that is, they involved  
204 actual SDMs concerning plants and had a maximum 1 km<sup>2</sup> resolution). Hereafter, however, our

205 analyses include 200 studies due to some articles using distinct sets of predictors for different  
206 species or different spatial resolutions. Each of these studies were divided into separate studies. Of  
207 the ‘temporal’ articles, forty pertained to plants and were conducted at a maximum resolution of 1  
208 km<sup>2</sup>. The resulted dataset was used to examine the number and type of predictors included in the  
209 models. Especially, this was done in order to distinguish which predictors are frequently used in the  
210 SDMs, and on the other hand, which predictors are not used and might require further developing.

211

212 To account for environmental and spatial coverage, we recorded the continent and biome of origin  
213 of the data. The articles included study areas from all continents. Most studies were from Europe (*n*  
214 = 84) and North America (*n* = 53), with fewer studies from Australia (*n* = 25), Africa (*n* = 20), Latin  
215 America (*n* = 15) and Asia (*n* = 12). All biomes were covered with an expected bias towards  
216 European and North American biomes (temperate, boreal, Mediterranean, alpine, arctic) where  
217 more studies have been conducted overall.

218

## 219 **RESULTS**

220

221 In the ‘recent’ articles, the average number of predictors included in the models was eleven (Fig. 2).  
222 The number of predictors considered in the models varied from one to 75. The different classes of  
223 variables covered in the models varied from one to thirteen (out of the 16 defined in this study),  
224 with only two studies covering all eight of our categories (Fig. 2). Several variables under one class  
225 and/or category were often simultaneously included as predictors. Variables from the five most  
226 essential categories (temperature, water, substrate, radiation, biotic interactions) were included in  
227 seven studies, with all of these also including disturbance, topography and/or land-use related  
228 variables. Overall, the reviewed studies represent considerable variability in the different variables

229 used. In particular, the ‘water balance’ and ‘biotic’ classes included various sets of different types  
230 of factors (see Appendix S3).

231

232 Most of the ‘recent’ studies included temperature- and water-related variables (both were included  
233 in 88.5 % of studies). Each of the temperature sub-classes appeared in more than half of the SDMs.

234 The most frequently included water-related variables were monthly or annual mean precipitation  
235 (68.5 %), with extreme and seasonal precipitation and water balance appearing in approximately  
236 one third of the studies (Fig. 3). Approximately one third of the studies included only climatic  
237 variables (derived from temperature and/or precipitation). Measurements or approximations of  
238 actual or potential soil water or soil moisture were incorporated in 15 studies.

239

240 Substrate-related variables were used in ~ 40 % of the studies, and variables directly representing  
241 bedrock/pH or nutrients were included in approximately one quarter of the studies. Only 60 studies  
242 involved variables representing light. One fifth of the studies included some biotic component as a  
243 predictor variable. Variables representing natural disturbances were included in 17 studies.

244 Variables related to human activity were included in 19 studies.

245

246 After climatic variables, topographic factors were most commonly included in the SDMs screened  
247 in this study (44.5 %). Land use was included in 32 studies, with one study using land use as a mask  
248 to exclude certain areas.

249

250 There were no significant differences in the number of variable classes used among the continents  
251 (Fig. 4). Only Latin America (LAm) had a significantly lower number of variable categories  
252 compared with the other continents.

253

254 The ‘temporal search’ showed no increase in the number of categories accounted for in the SDMs  
255 through time (2000-2015). On the contrary, the number of variables from different categories  
256 showed a decreasing trend (Spearman’s rank correlation -0.40\*; Fig. 5). Exceptions were the SDM  
257 studies from 2011 (by Austin and Van Niel (2011b), Meier et al., Mellert et al. and Ohmann et al.),  
258 which increased the number of categories included; all studies discussed the importance of selecting  
259 variables on an ecological basis or the impacts of omitting meaningful predictors in the models and  
260 thus included variables from multiple categories.

261

262

## 263 **DISCUSSION**

264

265 Ecological theory, supported by experimental and correlative studies, stresses that multiple  
266 environmental factors drive the distribution of species (e.g., Larcher 1975, Fitter & Hay 2002,  
267 Schulze et al. 2005, see also e.g., Guisan & Zimmermann 2000; Elith & Leathwick 2009; Franklin  
268 2009; Austin & Van Niel 2011a; Bertrand et al. 2012; Dubuis et al. 2013; le Roux et al. 2013a, b),  
269 particularly temperature, water, nutrients, light, biotic interactions and disturbances (see Appendix  
270 S1). In recently published SDM studies, many of these factors were omitted or replaced with rough  
271 surrogates (e.g., precipitation for plant available water). Indeed, more than half (53 %) of the plant  
272 SDM studies reviewed here based their predictions solely on the categories of temperature and  
273 water or on those two categories plus one additional variable, thus potentially neglecting several  
274 other ecophysiological relevant aspects (e.g., substrate, radiation and/or biotic interactions.  
275 Although it is important to highlight that not all of these categories might be meaningful for all  
276 SDMs; see the next paragraph). While data availability is likely a potential reason for the omission  
277 of ecophysiological meaningful predictors, the wide range of variables used in some exemplar  
278 studies (see next sections and Appendix S3) indicates that some influential and available predictors

279 may tend to be neglected. Furthermore, there was no difference in the number of predictor classes  
280 used in studies from the “data rich” continents (Europe, North America) and the “data poor”  
281 continents (Fig. 4), suggesting that data availability may not be a sufficient explanation for the  
282 absence of important predictors in the models.

283

284 The intentional use of an ecophysiologicaly incomplete set of predictors in correlative modelling is  
285 acceptable, for instance, if the study deliberately focuses on the climatic niche or climatic range  
286 only, provided that this is clearly acknowledged. Therefore, it is important to distinguish here  
287 between two classes of studies according to their ultimate goal: studies which aim would require  
288 including all potentially important variables (e.g. fine-scale predictions for conservation, or  
289 addressing aspects of species’ ecology in general), and studies which aim does not necessarily  
290 require more than one type of predictors (e.g. climate-change studies only interested in fitting  
291 species’ climatic niches and climatic ranges). Also, in some other cases, a comprehensive set of  
292 meaningful predictors may not be essential in SDMs (e.g., when illustrating the development of  
293 new methodologies, or if models representing a specific aspect of the niche are explicitly desired;  
294 Thuiller et al. 2005). Nevertheless, in all type of SDMs, it is important to justify the choice of  
295 predictors, and interpret the results in accordance with used predictors. Indeed, only few of the  
296 studies reviewed here acknowledged the ecophysiologicaly incomplete set of environmental drivers  
297 used as predictors (e.g., Bertrand et al. 2012; Aguirre-Gutiérrez et al. 2013; Ikeda et al. 2014;  
298 Riordan & Rundel 2014, Petitpierre et al. in review), and many studies provided no ecological  
299 rationale for the choice of predictors. In the next sections, focusing our discussion on SDMs aiming  
300 to comprehensively capture species ecological niche, we aim to provide such rationale, discuss  
301 ways to account for the needed predictors in SDMs, and identify missing predictors for which  
302 development and mapping are still needed at a fine scale. However, we do not provide any  
303 estimates of an adequate number of predictors, which depends on the number and distribution of

304 species occurrences and the algorithm or approach used (see e.g., Wisz et al. (2008) and Franklin  
305 (2009)).

306

## 307 **Temperature**

308

309 Temperature and water-related variables were the most commonly used predictors among the  
310 reviewed studies (Fig. 3). While temperature is frequently accounted for in the models and plays an  
311 indisputable role in regulating plant species growth and thus, distribution (see Appendix S1), two  
312 noteworthy issues concerning temperature were identified from our literature analyses. First, there  
313 is a large variety of temperature data products available, with the class of temperature variable used  
314 having an impact on model performance (Barbet-Massin & Jetz 2014; Slavich et al. 2014). For  
315 example, the impact of mean temperature on plants differs from that of extremes or seasonality in  
316 both ecological meaning and modelling performance (Zimmermann et al. 2009). In seasonally  
317 variable environments especially, annual mean temperature does not represent the growing season  
318 or over-wintering conditions, which potentially play a more central role in governing the  
319 distribution of plants (Aerts et al. 2006; Paulsen & Körner 2014). One solution to choose between  
320 different temperature-related variables might be to include multiple variables in a model, as  
321 exemplified by many studies using climatic data provided by WorldClim (Hijmans et al. 2005).  
322 However, this raises problems of multicollinearity (Graham 2003; Dormann et al. 2013) and  
323 conflicts with the objective of parsimony (Mac Nally 2000). Ultimately, the environmental  
324 conditions of the study area and the requirements of the species should determine the most suitable  
325 temperature-related variable(s) – a viewpoint only rarely considered or tested in the modelling  
326 studies.

327

328 Second, while there is a multitude of temperature data readily available for modelling, their  
329 resolution and accuracy can be coarse compared with the species data (Dingman et al. 2013;  
330 Franklin et al. 2013; Potter et al. 2013; Pradervand et al. 2014). Temperature measurements are  
331 typically obtained by interpolating sparse measurements and neglecting the impact of local  
332 topography, land cover or water bodies on local temperatures experienced by plants (Scherrer &  
333 Körner 2011; Franklin et al. 2013; Aalto et al. 2014; Slavich et al. 2014). Alternatively, improved  
334 temperature maps could be obtained by a combination of increased field measurements (e.g.,  
335 thermal loggers), predictive methods, high-resolution digital elevation models (DEMs) and thermal  
336 remote sensing rather than spatial interpolations (Scherrer and Körner 2010, Dingman et al. 2013;  
337 Pradervand et al. 2014). Thus, while the availability of temperature data is not a primary problem,  
338 their usability and ecological significance in SDMs could be improved by increasing their  
339 resolution and accuracy.

340

## 341 **Water**

342

343 Predictors representing water availability for plants are often derived from precipitation, a class of  
344 climatic predictors inheriting similar challenges to those discussed for temperature. In addition,  
345 precipitation is a poor surrogate for plant available water, especially in high-resolution studies that  
346 cover small areas, due to the effects of local topography and soil substrate on the amount and  
347 distribution of soil moisture (le Roux et al. 2013c; Piedallu et al. 2013). Therefore, while water as a  
348 category of predictors is almost always acknowledged in the models, the ecophysiological  
349 significance of the water predictors being used might be poor in many cases. Some studies have  
350 used water balance (precipitation minus evapotranspiration), which represents a more accurate  
351 measure of plant available water compared with precipitation. Some soil moisture indices derived  
352 from climate data and geographic information systems (GIS) modelling are available (e.g.,

353 Trabucco & Zomer 2010), but these proxies also neglect the impact of terrain on plant available  
354 moisture. Using high-resolution topographic information in combination with climate and soil  
355 measurements could provide a more promising basis for modelling high-resolution soil moisture  
356 data (Aalto et al. 2013; Pradervand et al. 2014).

357

358 Ideally, soil moisture measurements taken in the field should most accurately represent the water  
359 available to plants. Studies that incorporate field-quantified soil moisture values in their models  
360 have improved predictive power, especially at high spatial resolutions (le Roux *et al.*, 2013c).  
361 However, collecting these high-resolution and accurate soil moisture data over large areas is rarely  
362 feasible. Remote sensing combined with GIS provides ready-to-use (coarse-scale) indices of  
363 moisture or wetness (e.g., the surface saturation degree of ASCAT soil wetness indices, see Brocca  
364 et al. 2010; Lakshmi 2013; Wagner et al. 2013), and other recent developments such as Synthetic  
365 Aperture Radars (Elbially et al. 2014), hyperspectral aerial images (Pottier et al. 2014) and spatial  
366 modelling (Aalto et al. 2013) show promise in estimating actual soil moisture at higher resolutions.  
367 To conclude, although often accounted for in SDMs with distal predictors, water-related variables  
368 could be improved through combined approaches mixing refined field measures, GIS modelling and  
369 remote sensing.

370

## 371 **Nutrients**

372

373 The role of soil and its nutrients on plant performance is acknowledged by most ecologists (Epstein  
374 & Bloom 2005; see also Appendix S1) as well as their role on model performance by many  
375 modellers (almost half in our study; see also Coudun et al. 2006; Coudun & Gégout 2007; Bertrand  
376 et al. 2012; Dubuis et al. 2013). It seems hardly feasible to obtain high-resolution field  
377 measurements of nutrient content and geo-chemical properties of soils across a whole study area.



378 Thus, most studies that included substrate variables used either geological or geomorphological  
379 surrogates such as bedrock, pH or landforms, or factors related to soil structure, such as texture or  
380 soil depth (Bertrand et al. 2012; Dubuis et al. 2013). This highlights the need for more sophisticated  
381 indices of soil nutrient content, analogous to those being developed for soil moisture. The use of  
382 soil ecological indicator values (e.g., Ellenberg) also highlights such a need (Coudun et al. 2006).  
383 Improved spatial predictors of soil characteristics are thus still required, such as those derived from  
384 remote sensing (Parviainen et al. 2013) or potentially from statistical modelling (Lagacherie 1992),  
385 to further improve plant SDMs (Dubuis et al. 2013).

386

### 387 **Light**

388

389 The importance of light for plants and its use as a predictor in SDMs were previously discussed by  
390 Austin and Van Niel (2011a). Solar radiation can be calculated using DEM and, if available, canopy  
391 cover in efficient GIS tools (McCune & Keon 2002). However, light-related variables were only  
392 included in less than one third of the studies we reviewed, meaning that more than two thirds of the  
393 reviewed studies neglected an important factor controlling plant distributions, especially at local  
394 scales. In the studies accounting for light, it was mostly represented by the sum of (potential) solar  
395 radiation over various seasons. In these cases, the radiation variable actually expresses heat rather  
396 than photosynthetically active radiation (PAR) and therefore acts similarly to temperature. To  
397 obtain a real measure of PAR, light must be measured specifically, and the effects of cloud cover  
398 and canopy interception must be taken into account (Aguilar et al. 2012; Wang et al. 2014).

399 Nevertheless, inclusion of a solar radiation variable often improves model prediction by adding  
400 information on fine-scale energy input, especially in topographically heterogeneous areas (Austin &  
401 Van Niel 2011a). At a given elevation, slopes with different aspects can have very different soil and  
402 vegetation temperatures (Scherrer & Körner 2010; Gunton et al. 2015). In contrast to average

403 temperatures based mostly on adiabatic lapse rates, solar radiation can include information  
404 regarding aspect, relief shading and daylight period (Kumar et al. 1997; Austin & Van Niel 2011a).  
405 However, as mentioned before, the use of solar radiation as a predictor can lead to misleading  
406 interpretations, as its impact on plants might strongly depend on season, canopy structure and cloud  
407 cover. Thus, the radiation variables should firstly be incorporated into SDMs, seasonal variations  
408 should be accounted for, and the effects of canopy and cloud cover should be included when  
409 studying understory vegetation (Nieto-Lugilde et al. 2015).

410

#### 411 **Biotic interactions**

412

413 Biotic interactions play a role in altering the potential environmental niche, for example, through  
414 competition, facilitation and herbivory (Brooker & Callaghan 1998; Callaway et al. 2002; Araújo &  
415 Luoto 2007; Pellissier et al. 2010; Mod et al. 2014). As the importance of biotic interactions and  
416 how to measure their importance (Godsoe & Harmon 2012) and account for them in SDMs are still  
417 under discussion (Kissling et al. 2012; Wisz et al. 2013), many SDMs do not include biotic factors.  
418 Implicitly, these SDMs assume that the important biotic interactions (in a given area or habitat) are  
419 already indirectly accounted for at the sampling stage (when gathering observations) because biotic  
420 interactions influence the realized distribution of the species (McGill et al. 2006) and are thus  
421 captured in the realized environmental niche (Araújo & Guisan 2006). Nonetheless, biotic  
422 components were used in approximately one-fifth of the studies, indicating their increasing  
423 importance in SDMs. However, explicit information on biological interactions remains difficult to  
424 obtain in a spatially explicit form, as the biotic factors governing the assemblage of individual  
425 species into communities are still largely unknown (Kissling et al. 2012, Wisz et al. 2013), and  
426 associated assembly rules remain to be developed (Guisan & Rahbek 2011). However, surrogates  
427 such as dominant species cover have been shown to provide some measure of biotic interactions (le

428 Roux et al. 2014), and incorporating these surrogates has improved both the explanatory and  
429 predictive power of SDMs (Meier et al. 2010; Pellissier et al. 2010). Various methods to account for  
430 biotic interactions in SDMs are presented in Kissling et al. (2012), Wisz et al. (2013) and Pollock et  
431 al. (2014).

432

### 433 **Disturbance**

434

435 The type and necessity of including disturbance variables in models are highly environment-  
436 specific. Frost-related disturbances can strongly impact vegetation in arctic and alpine areas by  
437 destroying some species and subsequently, creating space for other species (le Roux et al. 2013a; le  
438 Roux & Luoto 2014). In dryer areas, fire may play such a role (Tucker et al. 2012, but see  
439 Crimmins et al. 2013). Disturbance has been incorporated in some models, for example, as the  
440 proportion of the area that is disturbed (le Roux et al. 2013a), as an index of geomorphic  
441 disturbances (Randin et al. 2009a), or as time elapsed since the last fire (Moretti et al. 2006). The  
442 use of predictors related to natural disturbances in SDMs may be particularly important when  
443 analysing the potential impacts of changing climate because changes in the intensity of these  
444 processes associated with climatic shifts may represent key mechanisms by which changes in  
445 temperature and rainfall patterns affect vegetation assemblages (le Roux & Luoto 2014, although  
446 see Crimmins et al. 2013). Similar to other disturbances, the use of anthropogenic predictors is  
447 situational, depending on the study environment, species and study target. For semi-natural or urban  
448 landscapes and/or species highly associated with humans, the use of anthropogenic predictors might  
449 be crucial to obtain reasonable predictions (Kouba et al. 2011; Senan et al. 2012).

450

### 451 **Topography and land use**

452

453 Variables representing topography are often included in plant distribution models (see also Franklin  
454 1995). Including these variables has been demonstrated to improve plant SDMs (e.g., Sormunen et  
455 al. 2011), but interpreting the actual drivers of plant distributions related to these variables can be  
456 difficult. Because the effects of topographic variables on plant distributions are distal (i.e., they do  
457 not directly impact plants, but they do alter light, moisture, temperature and nutrient conditions;  
458 Moeslund et al. 2013), it is not possible to interpret the causal relationships between these variables  
459 and the target species (Austin 2007). Correlation between indirect gradients and species distribution  
460 results only from location dependence (Austin 2002). Despite the demonstrated ability of  
461 topographic variables to improve local models, the use of these indirect variables hampers  
462 understanding of proximal species-environment relationships and reduces transferability (Randin et  
463 al. 2006). Field quantification of environmental variables or the use of purely proximal variables  
464 (sensu Austin 2002) would assist in identifying the actual environmental factors that species  
465 respond to and would thus provide more detailed understanding of species distributions and  
466 ultimately, yield more realistic SDMs. Therefore, using in-situ measured direct and resource  
467 variables instead of indirect gradients (such as elevation, aspect and topographic position) would be  
468 advisable (Austin 2002; Pradervand et al. 2014), especially when SDMs are also used to explain  
469 species distributions. Land use was occasionally included in the models we reviewed. Its inclusion  
470 usually improves the explanatory and predictive power of SDMs (Von Holle & Motzkin 2007) but  
471 only for predicting species abundances in some cases (Randin et al. 2009b). However, interpreting  
472 the proximal impact of land-use predictors on plant distributions suffers the same problems  
473 discussed for topographic variables (i.e., being often not proximal).

474

#### 475 **Implications for future studies**

476

477 As hypothesized, limited data availability could be one justification for omitting potentially  
478 influential ecophysiological predictors in SDMs despite their demonstrated advantages for the  
479 explanatory and predictive power (e.g., Austin & Van Niel 2011b, Bertrand et al. 2012, le Roux et  
480 al. 2014). The other hypothesized explanation was the intended omission, e.g., in studies of climatic  
481 niches and ranges (e.g., Thuiller 2005, Petitpierre et al. 2012). However, data unavailability and  
482 intended omission can hardly explain all instances (especially in data-rich areas of Europe, North-  
483 America and Australia, Fig. 4) where important non-climatic factors were excluded (see similar  
484 statement made 20 years previously by Franklin 1995). Indeed, many of the studies provided no  
485 justification for the choice of predictors or only provided a reference to another study relying on a  
486 similar set of predictors without considering the influence of the study area or the ecophysiological  
487 requirements of the studied species to determine a meaningful set of predictors. Furthermore,  
488 despite increasing recognition of the importance of a variety of environmental variables for  
489 predicting plant distributions (e.g. Austin & Van Niel 2011a, Dubuis et al. 2013) and the increasing  
490 availability of numeric data (including from remote sensing), the number of ecophysiological  
491 significant variable categories considered in SDMs seems rather to have decreased during the 21<sup>st</sup>  
492 century. Therefore, we argue that in the future, an ecologically sound reasoning for the choice of  
493 predictors in the SDMs should become common practice, and the models and predictions should  
494 always be interpreted in perspective of the set of predictors used.

495

496 In addition, our literature review highlighted that some variable classes are poorly represented in  
497 terms of data quantity (e.g. global coverage) and quality (e.g. resolution). More attention should be  
498 paid to ensure that all relevant environmental predictors are made available for modelling at the  
499 scale investigated. Although measuring or deriving proximal predictors over large areas can be  
500 difficult for single researchers, large international efforts are increasingly developed to use remote  
501 sensing products for such purpose (Zimmermann et al. 2007, Estes et al. 2010). More research

502 should also be dedicated to produce finer-scale and more proximal data to improve our  
503 understanding of the factors driving species distributions (Gunton et al. 2015) and therefore, the  
504 production of more realistic predictions. Here too, remote sensing and GIS can produce promising  
505 data products (Bradley et al. 2012, Pottier et al. 2014, He et al. 2015), and ecologists and ecological  
506 modellers should give more attention to collaborative research within the geo-environmental  
507 sciences.

508

509

## 510 **CONCLUSIONS**

511

512 Our study reveals that the rationale, selection and use of environmental predictors in many plant  
513 species distribution models do not systematically match established ecophysiological theory,  
514 perspectives on ecologically meaningful variable selection or demonstrated improvements in  
515 SDMs, and therefore calls for the need to add several meaningful variables in SDMs. Except for the  
516 pure climatic niche studies and methodological experiments, many plant SDMs so far have omitted  
517 important environmental variables, and the number of predictors representing the essential  
518 ecophysiological aspects pertaining to plants has not increased during the 21<sup>st</sup> century, despite  
519 increased numerical data availability. In particular, nutrients, actual light, disturbance and biotic  
520 interactions should be incorporated more systematically into SDMs, together with the most  
521 commonly used temperature and water variables. Furthermore, the type of temperature and water  
522 variables to be used should also be given more careful attention. The development of new  
523 environmental variables will require improved collaborative research between ecological and geo-  
524 environmental sciences as well as access to advanced technology, such as remote sensing and GIS  
525 modelling approaches. Developing new sets of ecophysiological more meaningful predictors  
526 provides the basis for a paradigm change in SDM research.

527

528

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535

536

537 **AUTHOR CONTRIBUTIONS**

538

539 A.G. and M.L. conceived the idea and outline for this manuscript; H.M. and D.S. performed the  
540 literature review; H.M. and D.S. led the writing, with A.G. and M.L.

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## 886 **Supporting Information**

887 Online Supporting Information may be found in the online version of this article:

888 **Appendix S1** Ecophysiological meaning of different categories of variables for plant species

889 **Appendix S2** Journals and numbers of studies included in the paper.

890 **Appendix S3** Variables included in the different classes and categories

891 **TABLES**

892

893 Table 1. Classification of predictors into eight categories and 16 classes (see Appendix 3 for details  
 894 of the variables). The five first columns represent the most important categories, which we refer to  
 895 as ‘the five most essential categories’ in the text.

<b>Cate- gories</b>	<b>Temperature</b>	<b>Water</b>	<b>Substrate</b>	<b>Radiation</b>	<b>Biotic inter- actions</b>	<b>Disturbance</b>	<b>Topo- graphy</b>	<b>Land use</b>
	mean (annual, seasonal, monthly) temperature	mean / summed (annual, seasonal, monthly) precipitation	pH, bedrock	radiation, clouds	variables related to other organisms	geomorpho-logical processes, fire	slope, aspect, elevation,	land-use classes
<b>Classes</b>	extreme temperatures	extreme precipitation	nutrients			anthropo- genic variables		
	seasonality	seasonality						
		water balance						
		soil moisture						

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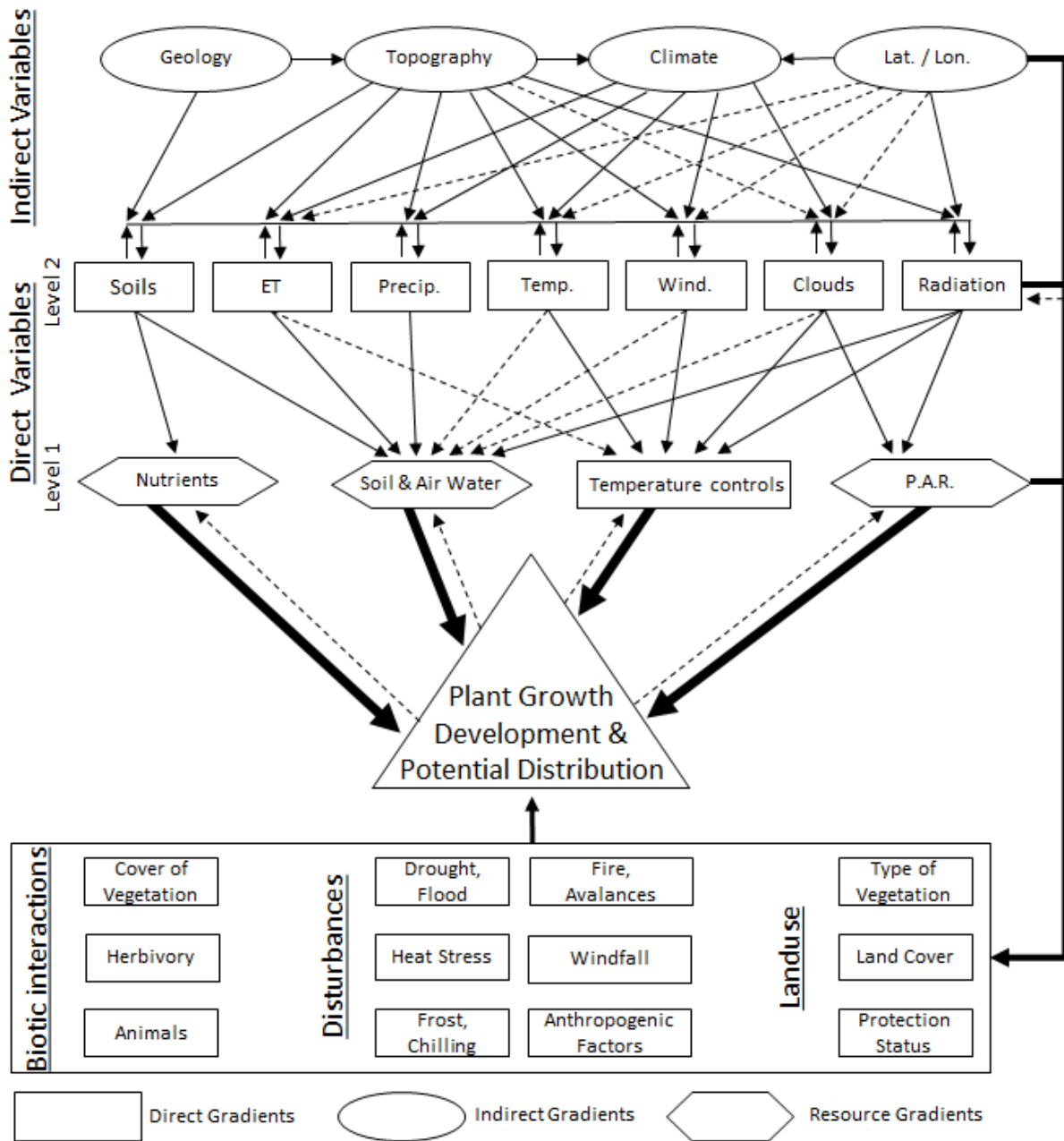
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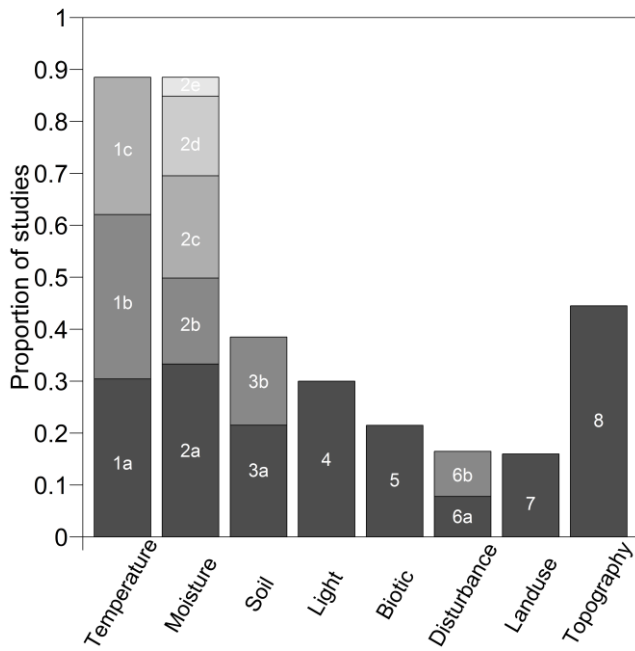
903 FIGURES



904

905 Fig. 1. Example of a conceptual framework of relationships between resources, direct and indirect  
 906 environmental gradients and their influence on the growth, performance, and geographical  
 907 distribution of vascular plants and vegetation. ET = Evapotranspiration, P.A.R = Photosynthetically  
 908 active radiation. Adapted from Guisan & Zimmermann 2000.

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910

911 Fig. 3. Proportion of studies in which each predictor class was used: 1a mean temperature; 1b

912 extreme temperature; 1c seasonality of temperature; 2a mean precipitation; 2b extreme

913 precipitation; 2c seasonality of precipitation; 2d water balance; 2e soil moisture; 3a pH/bedrock; 3b

914 nutrients; 4 radiation; 5 biotic interactions; 6a natural disturbances; 6b human disturbances; 7 land

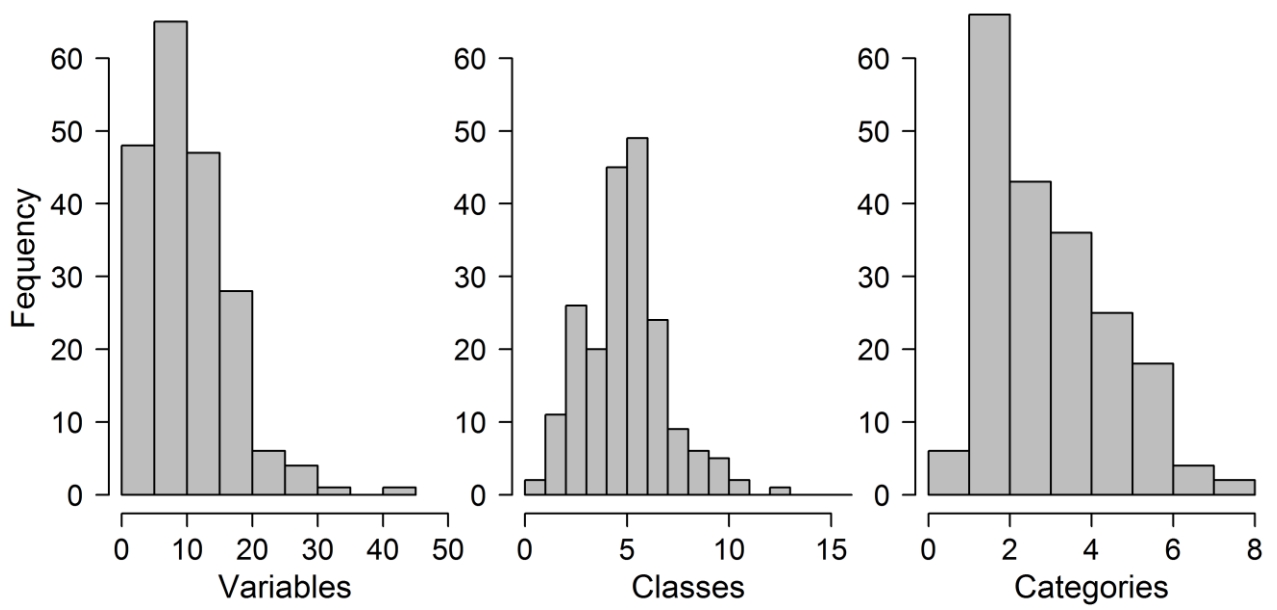
915 use; 8 topography.

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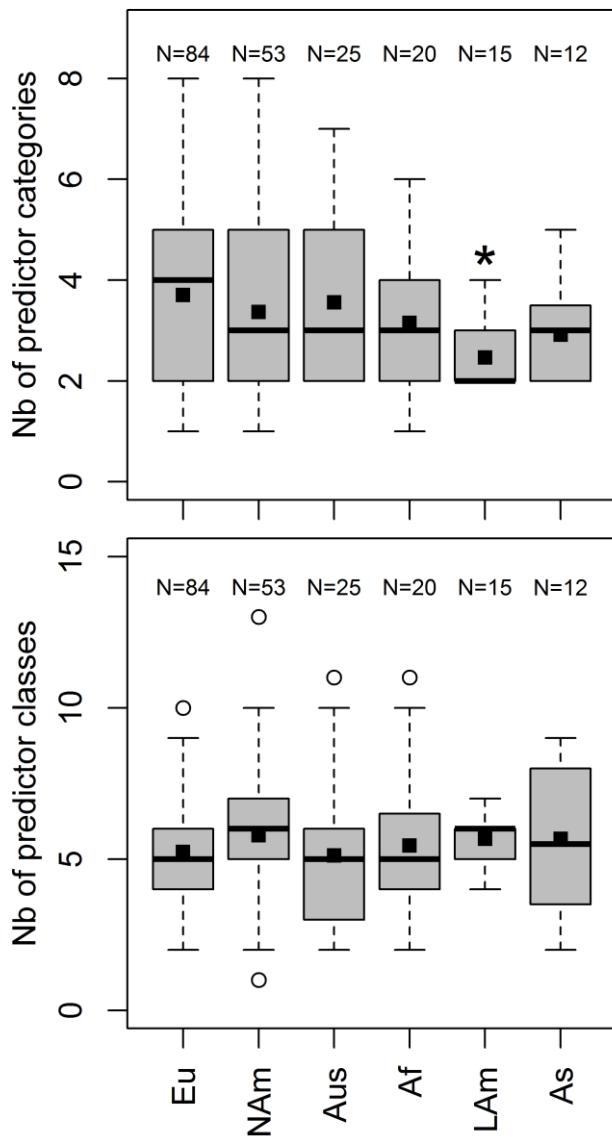




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920 Fig. 2. Frequency of the number of variables, classes (16) and categories (see Table 1) accounted  
 921 for in the plant species distribution modelling studies. One outlier value (75) was removed from the  
 922 histogram representing the number of variables in the SDMs.

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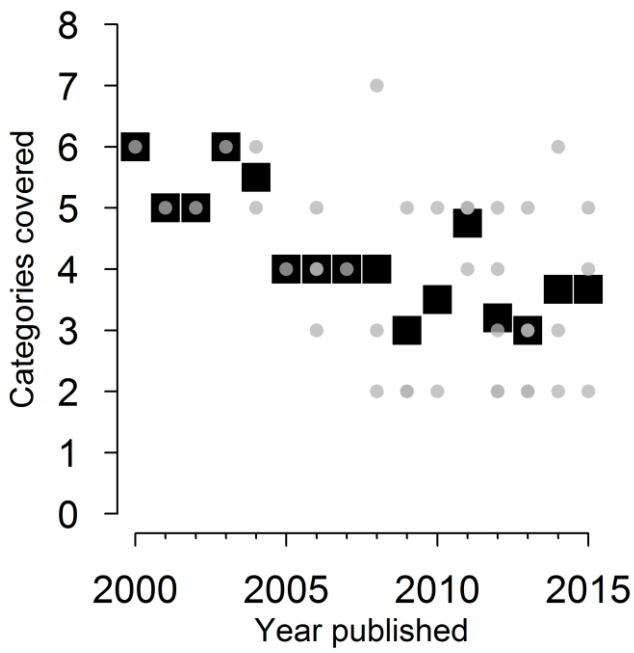
925 Fig. 4. The number of categories and classes accounted for in the plant species distribution models

926 (SDMs) using data from different continents. The boxes represent the median and the 25/75

927 percentile, and the whiskers are 2 SD. The mean is indicated by a black square, and significant

928 differences are marked with an asterisk.

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931 Fig. 5. Number of variable categories (as presented in Table 1) used in the SDM studies published  
 932 in two journals from 2000-2015. Spearman's rank correlation between the years and categories  
 933 included is  $-0.40^*$ . Black squares indicate the mean values of all studies published within a year,  
 934 and the grey dots indicate individual studies.

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Supporting information to the paper

Heidi K. Mod, Daniel Scherrer, Miska Luoto & Antoine Guisan. What we use is not what we know: environmental predictors in plant distribution models. *Journal of Vegetation Science*.

#### **Appendix S1.** Ecophysiologicaly relevant variables for plant distribution

Seven environmental factors are generally considered as essential for plant growth and survival: light, water, temperature, nutrients, biotic interactions, disturbance and CO<sub>2</sub> (Guisan & Zimmermann, 2000, Kadereit *et al.*, 2014). All these factors can have direct and indirect effects on plants and in combination with dispersal and historical factors, they define the abundance and distribution of plant species (Soberon & Peterson, 2005).

**Temperature** is the most common regulatory factor considered in SDM's. Temperature directly effects the speed of growth and in case of strong seasonality defines the growing season length. Additionally, minimum and maximum temperatures can reflect physiological thresholds for plants by frost or heat resistance.

**Water** has several essential functions in plants including photosynthesis, cooling by transpiration and maintaining turgor. In SDMs "water" is usually reflected by either precipitation alone or in combination with evapotranspiration (e.g. water balance). These environmental variables are considered a proxy for plant available water. However, this might not be the case if soils and topography are heterogeneous, as plant available water is strongly influence by both soil type and topographic position. The seasonality of available water/precipitation might lead to temporal flooding, drought or snow cover and thus requires special adaptations by the present plant species.

**Nutrients** are taken up with water by roots (often with the help of mycorrhiza). Many micronutrients are essential for plant survival including potassium, calcium, magnesium, sulphur, boron, chlorine, manganese, molybdenum and zinc but most significant for productivity are usually the contents of nitrogen and phosphorus. Nutrients in a wider sense can also influence the pH of the soils, whereas bedrock together with living organism are the primary regulators of available nutrients in soils. Therefore, while deriving nutrient content of the soils might not be effective, bedrock, soil pH and soil texture are often used as surrogates in the SDMs.

**Light** is often expressed as global radiation and therefore energy (W/m<sup>2</sup>) driving temperature (air, leaf, and soil) and evapotranspiration. However, for plants light reflects also photo active radiation (PAR) and is thereby directly related to photosynthesis. While radiation can be easily modelled and is relatively independent of the vegetation, PAR is strongly affected by the canopy structure of the vegetation. Therefore, the available light for photosynthesis might be very different in a forest compared to open grassland at otherwise similar global radiation (energy). Additionally, light might contain important signals for plant development (e.g. germination and photoperiodism).

**Biotic interactions** act among and between species, and have both positive and negative impact by prohibiting or ameliorating growth. Impact of other species can be direct (e.g. competition, herbivory) or indirect (e.g. ameliorating harsh microclimatic conditions, shading, nutrient addition by manure). Biotic interactions have been included to the SDMs as e.g. presence or cover of dominant species, remote sensed vegetation index or interaction matrices for multispecies co-occurrence datasets.

**Disturbance's** impact is mainly negative for species as soil, water, air or snow movement, fire or anthropogenic activities destroy vegetation. However, some ruderal species benefit from disturbances indirectly as they decrease competition and create space by destroying dominant species, and some specialist species require disturbances, as fire and water-logging for germination. Disturbances have also secondary impact on vegetation, by indirectly impacting soil properties: e.g. cryoturbation bring nutrients closer to soil surface.

**CO<sub>2</sub>** the carbon source for plants and therefore essential for their survival and productivity. However, the levels of CO<sub>2</sub> among sites don't vary enough to be limiting or having a significant influence on species composition and therefore are ignored in correlative models such as SDM's.

**Topography and land use** do not have a direct impact on plants, but they affect the distribution of ecophysiosologically meaningful factors (e.g. temperature, light). Topography and land use related variables are easily available and incorporating them often improve SDMs.

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*Biodiversity Informatics* 2: 1-10.

**Appendix S2.** Journals and number of studies included by the query (and subsequent analyses).

Recent search:

Ambio 3 (1)

American Naturalist 4 (1)

Annals of Botany 9 (2)

Applied Vegetation Science 9 (5)

Biodiversity and Conservation 22 (5)

Biological Conservation 49 (10)

Biology Letters 2 (2)

Climatic Change 7 (3)

Conservation Biology 9 (1)

Conservation Letters 1 (0)

Diversity and Distribution 62 (19)

Ecography 52 (21)

Ecological Applications 20 (5)

Ecological Modelling 50 (20)

Ecological Monographs 3 (3)

Ecology 9 (2)

Ecology Letters 11 (1)

Ecosystems 1 (0)

Functional Ecology 3 (0)

Global Change Biology 58 (16)

Global Ecology and Biogeography 45 (11)

Journal of Applied Ecology 13 (1)

Journal of Biogeography 59 (17)

Journal of Ecology 14 (2)

Journal of Vegetation Science 24 (8)

Landscape Ecology 12 (1)

Methods in Ecology and Evolution 15 (1)

Nature Communications 1 (0)

New Phytologist 5 (2)

Oecologia 1 (0)

Oikos 5 (1)

Perspectives in Plant Ecology 7 (0)

Plant Ecology 8 (7)

Plos One 113 (29)

Proceedings of National Academy of Sciences 10 (1)

Proceedings of Royal Society B 14 (2)

Science 2 (0)

Trends in Ecology and Evolution 1 (0)

Temporal search

Journal of Vegetation Science 39 (12)

Journal of Biogeography 122 (28)

**Appendix S3.** Variables included in different classes and categories.

TEMPERATURE

mean temperature

- (annual / monthly) mean temperature (of coldest / warmest / driest / wettest quarter / summer / winter)
- soil temperature
- warmth index (the annual sum of positive differences between monthly mean temperatures and e.g. 5 degrees, i.e. a measure of the effective warmth for plants)

extreme temperature

- (annual) min / max temperature (of coldest / warmest driest / wettest quarter / month / season )
- mean temperature of coldest / warmest / driest / wettest month
- mean daily max / min temperature (for DJF / MAM / JJA / SON)

temperature seasonality

- seasonality, annual / diurnal range
- growing degree days (all thresholds) / freezing degree days (FDD) (soil / air) / non-FDD / chilling degree days
- isothermality
- heat units (annual sum of daily temperatures exceeding X degrees)
- frost duration
- winter / summer cold / heat wave duration

WATER

mean precipitation

- (annual / monthly) mean / summed precipitation (of coldest / warmest / driest / wettest quarter / season)
- days with rain > 1 mm
- rainfall intensity

extreme precipitation

- mean / summed / min / max precipitation of coldest / warmest / driest / wettest month
- highest 5-day precipitation

precipitation seasonality

- seasonality, annual range
- snow (cover duration, annual snowfall)

- dry / wet season / day length / intensity / frequency
- % of annual precipitation falling during the growing season
- average flood duration
- the standard deviation of hydrographs

#### water-balance

- (annual / seasonal / monthly) water balance
- (annual / seasonal) evapo-transpiration, vapour pressure
- (mean / annual / seasonal / soil) water / moisture deficit / surplus / availability / stress
- (annual / seasonal / plant available) water / wetness / moisture / aridity index
- water content
- flow accumulation
- average water level
- soil moisture (days; days when soil moisture - air temperature ratio is favourable for plant growth)
- waterlogging index

#### soil water capacity

- soil water capacity, measured soil moisture
- soil drainage class
- hydraulic soil presence class

### SUBSTRATE

#### bedrock / ph

- bedrock, lithology, rock type
- pH
- surface geology, geological substrate

#### nutrients

- nutrients, fertility, Cation-exchange capacity, calcareous
- soil material / depth / order / quality / texture / type
- organic matter, loaminess, alluvial, clay / silt / sand content, salt, gypsum
- soil grain size, bulk density
- FAO soil group
- remote sensed Normalized difference soil index, soil production index



- water regime (ordered classes from dry to waterlogged)

#### LIGHT

- solar radiation (daily, annual, seasonal)
- most / least radiated quarter
- mean hours of sunshine
- clouds

#### BIOTIC

- NDVI, Landsat bands, Enhanced Vegetation Indices, remote sensed vegetation (indices / classes)
- vegetation height / density / volume/ cover
- canopy / forest / tree cover
- productivity, Net Primary Production
- ecological classification, succession time
- pollinators
- litter
- distance to moorland, moorland presence / absence
- stand basal area
- % of sparsely / dense vegetated brownfield
- % of brownfield with low / high vegetation

#### DISTURBANCE

##### natural

- fire, volcanic ash
- geomorphological disturbance
- trampling, grazing
- % area of disturbed terrain

##### anthropogenic

- population / settlement / building density
- distance to urban areas / roads / harbour / roads
- agriculture, afforestation, soil drainage, roads, human perturbation, forest / etc. management

- human footprint, anthropization degree
- brick rubble
- ownership status (measure of land management)
- predominance of exotic species

#### TOPOGRAPHY

- altitude (range), terrain curvature, topographic position, slope, flatness, meso-topography, % of steep topography, slope type
- aspect, eastness, northness
- rockiness, ruggedness, topographic wetness index,
- topographic diversity

#### LAND-USE

- Corine, land-use classes (if only "biotic" land-use -> 'biotic' class)
- distance to potential forest, age of forest