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Md. Khairul Alam, Wahidul K. Biswas, Richard W. Bell

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Title: Greenhouse Gas Implications of Novel and Conventional Rice Production Technologies in the Eastern–Gangetic Plains

Authors: Md. Khairul Alam^a; Wahidul K. Biswas^b; Richard W. Bell^a

Corresponding Author: Md. Khairul Alam

Corresponding Author's affiliation: Land Management Group, School of Veterinary and Life Sciences, Murdoch University, WA 6150, Australia; e-mail: khairul.krishi@gmail.com

First Author's name and address: Md. Khairul Alam, Land Management Group, School of Veterinary and Life Sciences, Murdoch University, WA 6150, Australia; e-mail: khairul.krishi@gmail.com

^aLand Management Group, School of Veterinary and Life Sciences, Murdoch University, WA 6150, Australia; e-mail: khairul.krishi@gmail.com

^aLand Management Group, School of Veterinary and Life Sciences, Murdoch University, WA 6150, Australia; R.Bell@murdoch.edu.au

^bSustainable Engineering Group, School of Civil and Mechanical Engineering, Curtin University, Bentley, Western Australia 6845, Australia; e-mail. W.Biswas@curtin.edu.au

Greenhouse Gas Implications of Novel and Conventional Rice Production Technologies in the Eastern–Gangetic Plains

3

4 Abstract

Wetland rice (Oryza sativa L.) production contributes 55% of agricultural greenhouse gas 5 6 (GHG) emissions in the world. Hence any new technology with the potential to reduce the GHG emissions of wetland rice could make a significant contribution to total global warming 7 mitigation by agriculture. We applied a streamlined life cycle assessment to the effect of a 8 novel unpuddled transplanting of rice and of increased crop residue retention on GHG 9 emissions from rice fields in the Eastern Gangetic Plains. We compared them with the 10 conventional puddling of soils and current residue retention for transplanting. The GHG 11 emissions from one tonne of rice production for the following four cropping practices were 12 studied: a) conventional puddled transplanting with low residue retention (CTLR); b) 13 14 conventional puddled transplanting with high residue retention (CTHR); c) unpuddled transplanting following strip tillage with low residue retention (UTLR) and; d) unpuddled 15 transplanting with high residue retention (UTHR). The emissions recorded on-farm and 16 emissions related to pre-farm activities were converted to CO₂-eq using Global Warming 17 Potential (GWP) values of GHGs for 20-, 100- and 500-year time horizons. The GHG 18 emissions of 1 tonne of rice varied from 1.11 to 1.57 tonne CO₂-eq in the 100-year horizon. 19 For all four treatments, soil methane (CH₄) was the predominant GHG emitted (comprising 20 60-67% of the total) followed by emission from on-farm machinery use. The UTLR was the 21 most effective GHG mitigation option (it avoided 29%, 16% and 6% of the total GHG 22 emissions in comparison with CTHR, CTLR and UTHR, respectively) in wetland rice 23 production. The novel minimum tillage establishment approach for rice involving strip tillage 24 followed by UT has potential to increase global warming mitigation of wetland rice in the 25 Eastern Gangetic Plains, but further research is needed to assess the role of increased residue 26 27 retention.

- Key words: Barind area, global warming mitigation potential, labour requirement, life cycle
 assessment, puddling, rice based cropping systems, unpuddled transplanting
- 31 Correspondence: Md. Khairul Alam; khairul.krishi@gmail.com;
- 32 +6170114336/+8801815029112

1 Abbreviations:

- 2 ACIAR–Australian Centre for International Agricultural Research
- 3 ADB–Asian Development Bank
- 4 BARC–Bangladesh Agricultural Research Council
- 5 BBS–Bangladesh Bureau of Statistics
- 6 BDT–Bangladeshi taka
- 7 CA–Conservation agriculture
- 8 C–Carbon
- 9 CH₄–Methane
- 10 CO₂–Carbon dioxide
- 11 CO₂eq–Carbon dioxide equivalent
- 12 DECC–Department of Energy and Climate Change
- 13 DEFRA–Department for Environment, Food and Rural Affairs
- 14 FPMU–Food Planning and Monitoring Unit
- 15 GC–Gas chromatograph
- 16 GHG–Greenhouse gas
- 17 GoB–Government of Bangladesh
- 18 GWP–Global Warming Potential
- 19 IEA–International Energy Agency
- 20 IFA–International Fertilizers Association
- 21 IGP–Indo–Gangetic Plains
- 22 IPCC–Inter–Governmental Panel on Climate Change
- 23 ISO–International Organization of Standardization
- 24 LCA–Life Cycle Assessment
- 25 LCI–Life Cycle Inventory
- 26 LSD–Least significant difference
- 27 MoP–Muriate of potash
- $28 N_2O-Nitrous Oxide$
- 29 NO_3^- -Nitrate ion
- 30 NO–Nitric Oxide
- 31 NPP–Net primary production
- 32 OM–Organic matter
- 33 Rh–Redox potential
- 34 SPSS–Statistical Package for the Social Sciences
- 35 SRI–System of Rice Intensification
- 36 TPR–Puddled transplanted rice
- 37 UN-FCCC–United Nations Framework Convention on Climate Change
- 38 UT–Unpuddled transplanting of rice
- 39 US\$–United States Dollar
- 40 USA–United States of America
- 41

42 **1. Introduction**

- 43 Wetland rice (*Oryza sativa* L.) production is a major contributor to the worldwide budget of
- 44 GHGs from agriculture (IPCC, 2013). Many of the factors controlling gas exchange between

rice paddies and the atmosphere are different from those in upland agriculture because rice 1 fields are flooded during most of their cultivation period (Saito et al., 2005; Miyata et al., 2 2000). Novel establishment technologies are being developed for rice mostly to cope with the 3 decreased availability of labour and water (Islam et al., 2010 and 2013). A novel solution to 4 these constraints for rice production is unpuddled transplanting (UT), a technique of 5 transplanting rice seedlings after minimal soil disturbance in contrast to the conventional 6 practice that puddles soil following several wet tillage operations (Malik et al., 2009). Beside 7 reduced labour and fuel costs and improved timeliness in crop establishment, initial research 8 9 suggests that UT reduces water requirements for rice establishment. However, it remains unclear how UT of rice cultivation alters CO₂, CH₄ and N₂O emissions and overall global 10 warming potential (GWP). 11

12

13 As a major contributor to global food supply, the rice-wheat cropping system in the Indo-14 Gangetic Plains (IGP) of South Asia area currently covers about 13.5 Mha of land in Pakistan, Nepal, India, and Bangladesh (Gupta and Seth, 2007). Emission of GHG from rice fields is 15 very sensitive to crop establishment techniques and management practices (Wassmann et al., 16 17 2004). The conventional puddled transplanted rice (CT) is a major source of GHG emission, particularly methane (Pathak et al., 2011). Puddling is done to facilitate transplanting of 18 seedlings, suppress weeds and to reduce water loss by percolation. The saturated soil 19 condition lowers soil oxygen content and also soil redox potential, which increases the 20 activity of methanogens (Sharma and DeDatta, 1985) that determine production of CH₄ in the 21 22 soil. Other soil microbial processes controlling denitrification are regulated largely by oxygen status in the soil, which in turn is dependent on soil water content (Nishimura et al., 2004). 23 No-tillage reduced CH₄ emissions because rice straw was retained on the soil surface and the 24 soils under those conditions were more oxidised than those of CT (Ito et al., 1995). Dry 25 direct-seeded rice (DSR) decreased CH₄ emission as DSR fields were not continuously 26 submerged with water (Ko and Kang, 2000; Pathak et al., 2012b). Corton et al. (2000) and 27 28 Pathak et al. (2012a) predicted that the GWP can be reduced by 16 to 33 % if the entire area of the Indo–Gangetic Plains under CT was converted to DSR in a rice–based cropping system. 29 The net effect of direct seeding on GHG emissions also depends on N₂O emissions, which 30 increase under aerobic conditions. For example, N₂O emissions were 1.5 times greater in SRI 31

(System of Rice Intensification) studies due to the increased soil aeration (Peng et al., 2011; 1 Hou et al., 2012). Wassmann et al. (2004) found that measures to reduce CH₄ emissions often 2 lead to increases in N₂O emissions, and this trade-off between CH₄ and N₂O is a major hurdle 3 in reducing GWP of wetland rice. Ideal strategies would reduce emissions of both CH₄ and 4 N₂O simultaneously. The recent development of UT of rice together with residue retention 5 using bed planting, or strip tillage, as a form of conservation agriculture (CA) for rice 6 establishment (Malik et al., 2009), need to be assessed in terms of relative effects on 7 emissions of CH₄ and N₂O and on GWP mitigation. 8

9

Life cycle assessment (LCA) is an approach to quantify the carbon footprint of a production process, and to identify hotspots and steps in the process where greatest climate change mitigation can be achieved. Although there are difficulties in applying LCA in agriculture, progress has been made with incorporation of on–farm emission of grain production into pre– farm and post–farm value chains of products so that a complete carbon footprint of agricultural processes from production to consumption can be calculated (Blengini and Busto, 2009; Meisterling et al., 2009).

17

Equivalent CO₂ emissions per unit of conventional wetland puddled rice production have 18 been measured previously (Hayashi and Itsubo, 2005; Koga et al., 2006; Masuda, 2006). The 19 activities that drive the emission factors include fertilizer production and distribution, 20 agricultural chemical production and distribution, machinery manufacturing and use and 21 irrigation application (Architectural Institute of Japan, 2003). Kasmaprapruet et al. (2009) 22 have reported that during the life-cycle of rice, most (95%) GWP is contributed by the 23 cultivation, followed by harvesting (2%) and seeding and milling processes (2%). In Italy, 24 LCA has shown that the environmental benefits per tonne are greatly reduced in the case of 25 upland rice production, due to low rice grain yields (Blengini and Busto, 2009). Farag et al. 26 (2013) in their LCA study showed that CH₄ emission from the flooded rice fields was the 27 main source of GHG emissions, contributing about 53%, while N fertilization added about 28 10% and mechanical activities about 1% of the total emissions. On the other hand, in most 29 arable agriculture, as shown by Woods et al. (2008), N₂O is the dominant GHG, being 30 responsible for 80% of wheat GHG emissions. Eshun et al. (2013) in a LCA revealed that 31

1 N₂O contributed the highest proportion (about 70%) of GWP for paddy rice production, 2 followed by CO₂. The LCA conducted by Yoshikawa et al. (2010) found that the differences 3 in emission are mainly due to field CH₄ in rice production. Harada et al. (2007) compared 4 conventional puddling with no-tillage rice through a LCA study including pre-farm and on-5 farm stages where no-till rice had 43% lower cumulative CH₄ emission and the potential to 6 save 1.78 tonne CO₂ ha⁻¹ relative to puddled rice.

7

Incorporation of CA in the rice-based triple cropping system in the Eastern Gangetic Plains 8 9 remains challenge. The recently developed UT of rice, which involves minimum tillage planting, is suitable for CA and has performed well in yield (Haque et al., 2014), financial 10 returns, soil quality (Sharma et al., 2008) and fuel consumption (2 to 3 times lower) (Islam et 11 12 al., 2013), but has not been examined for its effects on GWP. Moreover, the effects of residue 13 retention level under UT of rice also need to be assessed. A LCA analysis of the new UT rice production technology can estimate its potential contribution to GWP (Haas et al., 2001; 14 Schmidt, 2008; Blengini and Busto, 2009; Meisterling et al., 2009). The present study was 15 carried out to: 16

- assess the GHG emissions for conventional puddling and UT with different levels of
 crop residue retention;
- determine the hotspots contributing significantly to the GHG emissions within the
 system boundaries by a LCA study, and
- 3. identify the causes for the predominant GHG emissions during the pre– and on–farm
 stages of rice production.
- 23

24 **2. Materials and methods**

25

26 2.1. Study site and experimental design

27

The effects of changing from conventional soil puddling to UT along with two levels of residue retention was investigated in Northwest Bangladesh at Alipur village, Durgapur upazilla, Rajshahi division in an Agro–ecological Zone known as the Level Barind Tract (LBT). This region has a distinct physiography of terraced lands at about 8 m above sea level. The region is characterized by low annual rainfall (1370 \pm 323mm) with uneven rainfall

distribution and wide variation from year to year and high temperature range (maximum 1 42.9°C in June 2014 and minimum 6.2°C in January, 2014). The texture class of the 2 experimental soil was silt loam (44% sand, 34% silt and 22% clay) and the bulk density 3 ranged from 1.38 g cm⁻³ in strip tillage with high residue retention to 1.49 g cm⁻³ in 4 conventional tillage with low residue retention. The clay minerals of the soils are mostly 5 6 mica, kaolinite, interstratified mica-vermiculite-smectite and kaolinite-smectite (Moslehuddin et al., 2009). The soil was slightly acidic and classed as Calcareous Brown 7 Flood Plain and Calcareous Dark Grey Floodplain soils (Aeric Eutrochrept). The field site 8 9 was moderately drainable as it was located above the flood level (BARC, 2005).

10

The field study in 2014 examined two tillage practices (CT and UT) and two residue retention 11 levels (high residue retention-HR and low residue retention-LR) from four replicates of the 12 treatments in an experiment established in 2010 (Islam et al., 2013). The experimental design, 13 14 followed for the previous 11 crops (three crops per year since 2010), used a split-plot layout where tillage practices were assigned to the main plots and residue retention levels to the 15 subplots. Low residue approximates current farmer practice for this region which involves 16 keeping about 20% of the standing rice crop residue in the field during harvesting of crops. 17 High residue retained 50% of standing rice residue after harvesting. For the previous lentil, 18 19 mungbean and mustard crops in the rotation, LR involved complete removal while HR returned all crop residues to the plot. The cropping sequence followed for the first three years 20 in the field was lentil (Lens culinaris L.) -mungbean (Vigna mungo L.) - rain-fed monsoon 21 rice. In 2013-14, the monsoon rice was followed by mustard (Brassica campestris L.) and 22 then irrigated dry season rice. Additional chemical inputs were recorded, and were typical of 23 local farming practices. Soil GHG emissions (CO₂, N₂O and CH₄) were measured repeatedly 24 at 1-week intervals from each plot throughout the study period using a closed chamber 25 system. During application of split N fertilizer doses and during drying and re-wetting of the 26 field, the measurement was more frequent (once in two- or three- day interval). 27

1 Close Chamber method

Transparent chambers (30 cm length \times 30 cm width \times 60 cm height) were made with 3 mm 2 thick acrylic sheets for microbial respiration (Rm) measurement in the field (Hutchinson 3 and Livingston, 1993). Each chamber was covered by dark sheet during Rm measurement. 4 Every sampling event was replicated three times. Immediately after transplanting of rice, 5 selected seedlings were removed so that an aluminium chamber base of 31 cm length \times 31 6 cm width \times 7 cm height), complete with a 1 cm \times 2.5 cm (width \times deep) water groove on the 7 inner side, could be placed on the bare space. The base of the chamber was inserted to 7 cm 8 9 depth in the soil and the groove was filled with water to make the system air-tight when the measurement was done. Samples were collected within 10:00-16:00 on every sampling day. 10 For the initial gas sample, a silicon tube was attached to the top of the chamber, and a 50 ml 11 12 gas-tight polypropylene syringe was used at 0 minute after setting up of chamber to extract 13 the gas. The second sampling was done after a further one hour. When an higher amount of gas was required, a 400 ml Tedlar bag was filled up through a silicon tube connected to the 14 syringe. 15

16

17 For CH₄ and N₂O measurements in the fields, transparent gas chambers of 60 cm length \times 30 cm width \times 100 cm height made by 5 mm thick acrylic sheets were placed over four plants. 18 19 To allow pressure adjustments in the chamber during gas sampling, a plastic light weight bag was fixed inside. A digital electronic thermometer was attached inside the chamber within a 20 silicon cork. Samples were collected within 10:00–16:00 on every sampling day but timing of 21 22 sampling days varied according to need and life cycle analysis. Samples were collected in a 50 ml polypropylene syringe at 0 and 60 minutes after sealing the chamber. For sampling of 23 N₂O, a longer time interval was, sometimes, used before collecting the second sampling. The 24 syringe was made air-tight with a three-way stopcock and gas was transferred into a 35 ml 25 bottle and when required transferred into a 400 ml Tedlar bag through a silicon tube attached 26 to the top of the chamber. The gas samples were analysed using gas chromatography for CO_2 , 27 CH₄ and N₂O with a CO₂ detector, hydrogen flame ionized detector and combined gas 28 analyzer, respectively (Naser, 2005). 29

1 Gas flux calculations

- 2 Gas flux was calculated using the following equation (Yagi et al., 1991):
- 3 $F = V/A \times \Delta c/\Delta t \times 273/T \times \rho$ —(1)

4 F is the gas flux (mg m⁻² h⁻¹), V (m³) and A (m²) are volume and bottom area of the chamber,

- 5 respectively; $\Delta c/\Delta t (10^{-6} \text{ m}^3 \text{ m}^{-3} \text{ h}^{-1})$ is the gas concentration change in the chamber during a
- 6 given period;

7 T is the absolute temperature (K); ρ is the density of gas at the standard condition (CO₂ =1.96

8 kg m⁻³, CH₄ = 0.716 kg m⁻³ and N₂O = 1.97 kg m⁻³); and

9 With the assumption that GHG emissions follow a linear trend during the interval when gas
10 sampling was not done, total gas fluxes for the rice growing season were calculated by the
11 successive linear interpolation of average gas emissions on the sampling days:

12 13 14

Cumulative gas emission =
$$\sum_{i=1}^{n-1} (R_i \times D_i)$$
 (2)

Where, R_i is the mean gas flux (mg m⁻² d⁻¹) of the two sampling times; D_i is the number of days in the sampling interval, and n is the number of sampling times.

17

19

18 2.2. Streamlined LCA assessment of GHG emissions from field paddy production

The streamlined LCA approach was adopted; LCA analysis only considered cradle–to–farm gate GHG emissions (Todd and Curran, 1999; Denham et al., 2014). In addition, this research considered GHG emissions only for estimating GWP, which is categorized as a limited impact, focused LCA analysis (Finkbeiner et al., 2011; Barton et al., 2014). This streamlined LCA followed the four steps of ISO 14040–44 to estimate the GHG emissions, including goal, scope, life cycle inventory, impact assessment and interpretation. The interpretation was reported in the results and discussions section.

27

28 2.2.1. Goal and scope

- 30 Greenhouse gas emissions from rice production were calculated for the following farming31 practices:
- 32

- 1 I. Conventional puddled transplanting with low residue retention (CTLR)
- 2 II. Conventional puddled transplanting with high residue retention (CTHR)
- 3 III. Unpuddled transplanting with low residue retention (UTLR)

4 IV. Unpuddled transplanting with high residue retention (UTHR)

5 The goal was accomplished with a functional unit which is the production of one tonne of paddy rice grain. The system boundary consists of pre-farm and on-farm life cycle stages. 6 The input and output data of these life cycle stages for producing one tonne of rice are then 7 quantified to form life cycle inventories for CT and UT with LR and HR retention. The GHG 8 9 emissions from pre-farm stage involve the multiplication of the amount of inputs with their corresponding emission factors to determine the GHG emissions associated with the 10 production and transportation of these inputs to a paddy field. On-farm GHG emissions are 11 outputs resulting from farm machinery operation and chemical applications. The GHG 12 emissions from pre-farm and on-farm stages are added to determine the amount of GHG 13 14 emissions associated with the production of one tonne of rice (Figure 1). The inclusion of soil-carbon sequestration associated with rice production in this carbon accounting is beyond 15 16 the scope of the paper.

17

19

18 2.2.2. Life cycle inventory

20 Life Cycle Inventory that consists of inputs (e.g., fertilizers, machinery, fungicides, 21 insecticides, herbicides) and outputs (CO₂, CH₄ and N₂O) of pre–farm and on–farm stages 22 (Table 1) of rice production is a pre–requisite to estimate total life cycle GHG emissions.

23

24 **Pre-farm emissions**

Pre-farm GHG emissions include the emissions associated with all activities for producing
farm inputs, including chemicals, energy and machinery and the emissions from the
transportation of inputs to the paddy field.

28

29 Chemicals–The GHG emissions from the production of chemicals were calculated so that the 30 emission factors reflect the situation in Northwest Bangladesh. However, in the absence of the 31 local emission factors of inputs applied to Bangladesh agriculture, a mix of generic and local

data were utilized to develop emission factors for calculating the GHG emissions from the
production and transportation of inputs. The generic value of embodied energy consumption
that is associated with energy consumption in all stages of the production of an input was
sourced from recognized literature (RMIT, 2007; DEFRA, 2008; Bosch and Kuenen, 2009;
Brander et al., 2011), which was multiplied by the local emission factor for energy production
(ADB, 1994; GoB, 2011; Brander et al., 2011).

7

In some cases, the data for calculating emission factors of chemicals, e.g. insecticides 8 MalathionTM (malathion: 0,0 dimethyl phosphorodithioate of diethyl mercaptosuccinate), 9 SumithionTM (fenitrothion), fungicides AmistarTM (azoxystrobin) and TiltTM (propiconazole) 10 and herbicide RefitTM (pretilachlor), were unavailable in the existing literature and so, a local 11 database was assembled by contacting the local manufacturers directly. The commercial 12 13 databases of the products were also checked to quantify the energy used for the production of 14 a unit. The information on energy consumption was obtained from Syngenta Bangladesh, Shetu Corporation Bangladesh, and Bangladesh fertilizer companies (Quader, 2003; BBS, 15 2013) (Karnaphuli Fertilizer Company/Ghorasal Fertilizer Company/Fenchugonj Natural Gas 16 17 Fertilizer Company/Chittagong Urea Fertilizer Company/Jamuna Fertilizer Company/Polash Urea Fertilizer company) for determining the GHG emission factors of urea, superphosphate 18 and pesticide production. Considering CO₂, CH₄ and N₂O emissions along with transportation 19 and distribution losses for the generation of electricity for all types of mixes of fuel 20 (gas/oil/coal), the emission factor used for the study is 0.64 kg CO₂-eq/kWh (Brander et al., 21 22 2011).

23

In the case of inputs imported to Bangladesh, the GHG emissions from their manufacture 24 overseas and their transportation to paddy fields were calculated. Bangladesh imports urea, 25 gypsum, muriate of potash (MoP) fertilizers from Belarus, triple superphosphate (TSP) from 26 Morocco and Zn and B from China (Bangladesh Business News, 2013; BBS, 2013). Since no 27 literature provided the emission factors of these fertilizers, generic values of energy 28 consumption of urea, TSP, MoP, S, Zn and B fertilizers production were multiplied with the 29 emission factors of energy production of the source countries of the fertilizers. The energy 30 consumption for unit mass of fertilizer component production was collected from European 31

1 and Asian (China) literature (Brentrup and Pallière, 2008, DEFRA, 2008; Zwiers et al., 2009;

Bosch and Kuenen, 2009) and then they were multiplied by the emission factors of energy
production of Belarus, Morocco, Tunisia, and China, which were sourced from IFA (2009)

4 and IEA (2007 and 2012).

Farm machinery-The GHG emissions from the manufacture of farm machinery were 5 estimated using the USA input/output database (Suh, 2004), based on the monetary value of 6 the machinery, with allowances for exchange rates and inflation (Biswas et al., 2008; Barton 7 et al., 2014). The USA input/output database contains environmental emission data for the 8 9 manufacture of US\$1 equivalent farm machinery. The present value of farm machinery in BDT was converted to the price of 1998 at a deflation rate of 6.64% per year which, 10 eventually, was converted to 1998 US\$ with a 0.022 multiplier (WB, 2014; XE.com, 2014). 11 12 After determining the machinery cost in line with 1998 US\$ for one tonne of rice production, 13 it was multiplied by the GHG emission factor of machinery manufacturing (0.15 kg CO₂-14 eq/US\$).

15

Transport–The GHGs from the transport of inputs to the rice field were calculated according to the LCA database (INFRAS, 2010; Kitzes, 2013; HBEFA, 2014; World Resource Institute and WBCSD, 2013). A variety of transport modes including shipping, and trucks (3–7 tonnes) were used to transport inputs from factory gate to the farm and were recorded in tonne– kilometres. When inputs were transported by sea on an ocean–going freighter, a sole sea passage from the port nearest to the manufacturer and to the user were calculated following Biswas et al. (2008) and Barton et al. (2014).

23 **On–farm emissions**

On-farm data comprised emissions from farm machinery operations, including cultivation,
irrigation and harvesting, and from soil emissions.

26

Farm machinery–Fuel consumed by farm machinery per hectare was recorded during farming operations in the field experiment. The GHG emissions during the farm machinery operations were calculated by applying the emission factor of fuel for light machinery use (RMIT, 2007; INFRAS, 2010; HBEFA, 2014). Machinery usage was expressed as the amount of fuel in litres per hectare in terms of standard machinery for the region (L t⁻¹). Fuel consumption was 1 dependent on land area, machinery width and the number of times the machinery passed2 across the land.

3

Soil –The direct emissions of CO₂, CH₄ and N₂O from soil were quantified at the experimental 4 site (as described above), but the indirect N₂O emissions through ammonia volatilization and 5 6 leaching were ignored due to soil properties which made these losses unlikely to be significant (IPCC, 2006). Nitrogen use efficiency was expected to be high due to well-7 controlled continuous flooding of soil to minimize N loss through leaching and volatilization 8 (Bandyopadhyay et al., 2009). Previous measurements of soil strength at this site (M. A. 9 Islam, personal communication) indicate the presence of a plough-pan that would prolong 10 urea residence time in soil resulting in restricted N leaching to deeper soil layers (Patil and 11 Das, 2013). Little of the fertilizer-derived NH₄⁺-N would be oxidized biologically to NO₃-N 12 under the prevailing anaerobic soil conditions which would lower the risk of NO₃-N leaching 13 14 and N₂O production due to denitrification (Savant and de Datta, 1982). These rice soils also contain clay minerals such as illite or vermiculite (Moslehuddin et al., 2009) which 15 immobilise NH4⁺-N through fixation (Allison et al., 1953) leading to low rates of NH3 16 volatilisation. 17

18

19 2.2.3. Impact assessment

Impact values of global warming are expressed over 20-, 100- and 500-year time horizons to 20 21 enable policy makers to make relevant climate change decisions. Accordingly, individual greenhouse gas (CO₂, CH₄ and N₂O) emissions from each production stage were converted to 22 CO_2 -eq using established conversion factors for 20-, 100- and 500-year time horizons (IPCC, 23 24 2013). But we only discuss 100 year horizon as it is considered as the reference for climate change policy (UN-FCC, 1992 and Fearnside, 2002). Greenhouse gas emissions (as CO₂-eq) 25 were then calculated on a per tonne of rice basis. The seasonal CO₂-eq per hectare (kg CO₂-26 eq ha⁻¹ season⁻¹) was calculated by summing CO₂-eq across the season. Total GHG emissions 27 per tonne of rice (kg CO₂-eq per tonne rice) were calculated for the single rice season (from 28 29 late February to June).

1 **2.3. Statistical analysis**

The effects of UT and residue retention on CO₂-eq emission for the two stages within the rice 2 production system boundary were assessed using a two-factor analysis of variance. All data 3 were statistically analyzed with SPSS (Statistical Package for the Social Sciences) software 4 package version 21 (SPSS Inc., Chicago, IL, USA). Means were compared by using least 5 significant difference (LSD) at p< 0.05. The statistical analyses of CO_2 -eq emission per tonne 6 of rice production only for on-farm CO₂, CH₄ and N₂O emissions were conducted since the 7 8 use of inputs (i.e. energy, chemicals, and machinery) did not vary among treatments. 9 10 3. Results and discussion 11 3.1. Implications of minimum tillage and increased residue retention for streamlined life 12 13 cycle GHG emissions during wetland rice production 14 The GHG emissions of rice production were influenced (p<0.05) by crop establishment and 15 16 residue management techniques (Figure 2). Among the techniques, the total GHG emissions from 1 tonne of rice production followed the ascending order: CTHR<UTHR<CTLR<UTLT. 17 Overall, UT (UTLR and UTHR) offers greater GHG saving in the 100-year time horizon 18 (29%, 24% over CTHR and 18%, 16% over CTLR) relative to the conventional puddling 19 20 method. More specifically, UTLR had the highest reduction potential for on-farm emissions due to emission of least CH₄. Although the yield in UTHR was higher than that in UTLR, the 21 latter performed better in terms of total GHG emissions per tonne of rice mainly because the 22 23 CH₄ emissions (25 times more warming potential in 100-year time horizon than CO₂) from 24 the high residue retention outweighed the benefits associated with the increased yield. 25

The lowest emissions by UTLR can be attributed to less disturbance of soil and the presence of a thin oxidised layer at the soil–water interface which may ensure the ongoing flow of oxygen to the soil (Ponnamperuma, 1972). This may favour the activity of CH₄ oxidizing bacteria which would diminish soil CH₄ emissions (le Mer and Roger, 2001). Anaerobic conditions develop within saturated rice soils within hours of flooding (Adhya et al., 2000; Bodelier, 2003) favouring the growth of methanogens that produce CH₄ as a by–product of 1 their respiration. The application of carbon sources like straw that stimulate methanogen

2 survival and the low redox potential are both driving factors for CH₄ emission (Wang et al.,

3 2000; Yao et al., 1999).

4 The UTLR and UTHR were statistically similar in terms of on-farm emissions of GHGs

5 (Figure 2). The pre–farm emission in UTHR was around equal to the emissions of CTHR,

8.3% lower than UTLR and 5.5% lower than CTLR due to higher productivity and increasedinput efficiency.

8 Overall, the pre–farm emissions were significantly lower than on–farm emissions for CTLR,

9 CTHR, UTLR and UTHR (9.4%, 7.5%, 11.4%, and 9.9% in the 100 years horizon of on-farm
10 emissions). The production of pesticide and fertilizer alone contributed 8%, 6%, 6% and 6%

11 to the total of CO₂-eq GHG emissions for the 100 years horizon during the pre-farm stage for

- 12 UTLR, UTHR, CTLR and CTHR, respectively.
- 13

14 **3.2 GHG emissions from pre-farm and on-farm stages**

Pre-farm stage: The pre-farm stage in the current study produced significantly lower emissions compared to studies conducted in other climates. Differences were also observed among pre-farm emissions of different treatments (p>0.05). The lower pre-farm emissions in this study are due to the lower overall level of inputs (fertilisers, fungicides, insecticides, etc.) used in comparison with yields obtained, to the use of natural gas as a feed-stock for urea production and electricity generation and to light vehicles that are used for transporting inputs to paddy fields in the region of study.

The results of current research in the case of pre-farm emissions are lower than other similar 22 studies of Thanawong et al. (2014; lower by 0.3 tonne CO_2 -eq to 0.6 tonne CO_2 -eq tonne⁻¹ 23 rice), Xu et al. (2013: 0.53 tonne CO₂-eq lower in Jiangsu to 0.73 tonne CO₂-eq tonne⁻¹ rice 24 lower in Guangdong), Wang et al., (2010; around 20% less to the total GWP per tonne of rice) 25 and Blengini and Busto, (2009; around 0.16 tonne CO₂-eq tonne⁻¹ rice lower in 100-year time 26 horizon) as these used carbon intensive inputs and had low yields (i.e. low yields higher per 27 tonne base emissions). Wang et al. (2010) found that rice crops with yields of 8.8 Mt ha⁻¹ 28 accounted for higher emissions than rice yielding 9.3 Mt ha⁻¹ due to more than double the 29 inputs in the former case (Brodt et al. 2014). Fusi et al. (2014) also found 30-40% of the total 30

31 GHGs came from pre-farm inputs manufacturing (mainly fertiliser production), transport and

rate of input use per tonne of harvest. The present study also contradicted the results of 1 Blengini and Busto (2009) who found around 35% of gross energy (GER) and almost 40% of 2 NRER (Non-Renewable Energy Requirement) required for white milled rice production were 3 contributed by pre-farm inputs which consequently contributed to high emissions. By contrast 4 with the wetland rice cropping systems, Barton et al. (2014) studied upland cropping systems 5 in a semi-arid environment and found that the contribution of pre-farm processes could vary 6 between 28 (0.1 tonne CO_2 -eq tonne⁻¹ grain in lupin–wheat rotation without lime per year) 7 and 55% (0.35 tonne CO_2 -eq tonne⁻¹ grain in wheat-wheat rotation with lime application per 8 9 year) of total GHG emissions depending on the application of lime. In the same semi-arid climate, Biswas et al. (2008) found that pre-farm stages accounted for 58% (0.1 tonne CO₂-10 eq tonne⁻¹) of the total emission for wheat production. While soil emissions of CH₄ and N₂O 11 were relatively low under upland rice or dryland wheat cropping, with flooded rice 12 13 production, the high CH₄ emission results in a higher percentage of on-farm emissions. Finally, the emissions during the pre-farm stage are mostly CO₂ emissions by contrast with 14 CH₄ and N₂O that have much greater GWP, and are predominantly emitted during the on-15 farm stage. 16

17

On-farm stage: The contribution of on-farm processes varied between 89 and 93% (in the 18 100 years horizon) of total GHG emissions during wetland rice production. The on-farm 19 GHG emissions from CTLR and CTHR were 91 and 93% of the total emissions while the 20 percentages were 89 and 90% (100-year horizon) in the case of UTLR and UTHR, 21 respectively. The CTHR contributed the highest on-farm emissions resulting from lower 22 productivity and higher methane emissions. Among the main factors affecting emissions from 23 agriculture are cultivation practices adopted (Lal, 2004), input use (Cheng et al., 2011) and 24 soil fertility status (Duby and Lal, 2009; Gupta et al., 2009). The fuel consumption for 25 irrigation and land preparation and harvesting (0.6-0.9%) alone accounted for 14 to 19% of 26 the total emissions of the on-farm emissions. This is supported by the study conducted by 27 28 Islam et al. (2013) and Khan et al. (2009) who found that irrigation is the major share of energy inputs for rice production. In addition, Thanawong et al. (2014) also found that 29 irrigated rice produced higher on-farm emissions than rain-fed rice growing as the emissions 30 of CH₄ of the former were almost double those of rain-fed rice. Other studies also confirmed 31

that water and N management, organic matter (OM) application and crop establishment 1 practices regulate GHG emission (Yagi et al., 1996; Nishimura et al., 2004). All these factors 2 (e.g. water, high N application, tillage practices and crop residues) are integral to wetland rice 3 production but they are favourable for GHG emissions. In addition, these practices also 4 influenced CH_4 and N_2O emissions through the changes of soil properties (e.g., soil porosity, 5 soil temperature and soil moisture, etc.) (Al-Kaisi and Yin, 2005; Yao et al., 1999; Yao et al., 6 2009). Bockari–Gevao et al. (2005) reported that the operational energy consumed by tillage 7 on average was 1.75 GJ ha⁻¹ (48.6% of the total operation energy) which was the highest 8 9 contributor among the operational requirements but UT increases energy productivity by up to 12% (Islam et al., 2013). The use of UT also saved ~ 67 % fuel consumption due to fewer 10 passes per unit area by machinery and thereby less distance travelled for seedling 11 12 establishment (Islam et al., 2012) leading to less emissions under UT. In the following 13 section, we identify the hotspots for GHG emissions.

14

15 **3.3. Identifying hotspots contributing to significant GHG emissions**

The CH₄ emissions from paddy fields accounted for the major portion (60–67% in the 100year time horizon) of GHG emissions in all treatments/practices, followed by farm machinery use (13–16%), CO₂ emissions from soil (9–10%), production of inputs (6–9%) and transport of inputs (2–3%) (Figure 2). Contributions to GHG emissions from CH₄ in the 100-years horizon ranged from 60% for UTLR practice to 67% for CTHR practice.

21

The IPCC (2007) substantiated that the cultivation of irrigated rice is responsible for up to 22 12% of anthropogenic methane (almost half of total agricultural CH₄ emission) efflux. The 23 results of the current study differ from many other grain crop LCA studies in terms of 24 hotspots. Nemecek et al. (2008) conducted LCA on upland crop (oilseed rape -wheat -spring 25 peas -winter wheat -winter barley) rotations and found N₂O was the key contributor of GHG 26 emissions (CO₂-eq). Indeed, N₂O has been found to be the dominant GHG in most LCA 27 28 studies of arable agriculture (Woods et al., 2008; Eshun et al., 2013; Brock et al., 2012) because aerobic conditions with intermittent waterlogging stimulate the emission of N_2O 29 (Flessa and Beese, 1995), whereas CH₄ emission in aerobic soils can even be negative due to 30 31 microbial CH₄ oxidation (Barton et al., 2013, 2014).

Interestingly, the hotspots in the current research were the same as those in pasture production (beef, milk etc. by ruminants) which also resulted in the highest enteric CH_4 emission (63% for beef production in Beauchemin et al., 2010; 49% for milk production in Casey and Holden, 2005; 50% in beef production in Vergé et al., 2008; 83–90% in sheep meat and wool production in Biswas et al., 2010). However, the processes generating CH_4 emissions are different in these two cases: belching of CH_4 emissions from ruminants for the pasture industries and anaerobic decomposition of organic residues in wetland rice production.

8

9 The hotspot results of the current study were similar to the LCA conducted by Harada et al. (2007) and Pathak et al. (2005) who also found that CH₄ was the highest contributor of GHG 10 emission (around 60%) for rice production. Again, Fumoto et al. (2008), Hokazono and 11 12 Hayashi (2012) and Hatcho et al. (2012) who evaluated wetland rice cultivation in Japan, and 13 Drocourt et al. (2012) who evaluated rice cultivation in France, identified CH₄ emissions as the key contributor to GWP. Fusi et al. (2014) also found CH₄ emissions from the soil, due to 14 the anaerobic decomposition of organic matter, was by far the main emission source for 15 wetland rice cultivation (40%). Whilst these studies found CH₄ as the dominant source of 16 17 GHG emissions, their contributions were still lower than the values in the current analysis (i.e. 76%, 0.67 tonne CO₂-eq tonne⁻¹ of rice production for UTLR, 0.76 tonne CO₂-eq tonne⁻¹ of 18 19 rice production for UTHR). Also Drocourt et al. (2012) explained that the retention of high residue levels in addition to anaerobic decomposition caused high CH₄ emission from rice 20 fields. The present study, therefore, confirms that CH₄ emissions resulting from anaerobic 21 decomposition of organic matter in unpuddled flooded fields is the dominant emission source 22 regardless of residue levels. 23

24

The farm machinery use accounted for the second largest contribution (13-16% of total)followed by the emissions of carbon dioxide (9–10% of total) from soil during the on–farm stage. Blengini and Busto (2009) in their LCA of rice production in Italy also identified on– farm methane emissions, farm machinery use and emissions due to fertilizer applications as the main hotspots, in that order of priority. The soil N₂O emissions comprised only 2–3% of total emissions for different treatments in the present study (Figure 2).

1 **3.4 Overall GHG emissions**

3 Total pre-farm and on-farm emissions from production of 1 tonne of rice in the Eastern Gangetic Plain were 1.11, 1.19, 1.33 and 1.57 tonne CO₂-eq for UTLR, UTHR, CTLR and 4 5 CTHR, respectively, in the 100-year time horizon. Our results for conventional puddling are similar to studies conducted by Hokazono et al. (2009) as the GHG emissions in Japan from 6 pre-farm and on-farm stages were 1.51, 1.34 and 1.62 tonne CO₂-eq tonne⁻¹ of rice 7 production for the conventional, sustainable and organic farming systems, respectively. Farag 8 9 et al. (2013) revealed that GHG emission for rice within the same system boundary (i.e. up to farm gate) was 1.9 t CO_2 -eq tonne⁻¹. In addition, Ryu et al. (2013) estimated the carbon 10 footprint under puddled production of rice was 2.21 t CO₂-eq tonne⁻¹ up to the harvest (farm-11 gate) periphery. Therefore, the GHG emission values of 1.33-1.57 tonne CO₂-eq tonne⁻¹ of 12 puddled transplanted rice in the current study were closely similar to values reported for rice 13 produced in other locations under different climatic conditions. 14

15

2

16 **3.5. Predominant GHG emissions from field**

Given that CH₄ was the dominant GHG emission further analysis is needed on the reasons for 17 these emission values and potential for further decreases (Figure 3). Long-term increase in 18 residue incorporation in the field under study might increase CH₄ emission (Kanno et al., 19 1997) and the prolonged reducing conditions with two rice crops in the previous 9 months 20 21 may have increased generation of CH_4 (Ponnamperuma, 1972; Takai and Kamura, 1966; Yu and Chen, 2004). The on-farm CH₄ emission can be reduced by ensuring minimum soil 22 disturbance, and by judicious water and crop residue management. For example, mid-season 23 24 drainage of soils for a short period with residue retained might favour CO₂ emissions rather than CH₄ (Yagi and Miami, 1990). The decreased soil disturbance may maintain higher redox 25 potential under UT that limits emissions of CH₄. The redox potential values varied among 26 tillage and residue retention practices with range of Eh values from -200 to -250mV for 27 CTLR and CTHR and -150 to -200 mV for UTLR and UTHR (data not shown). If so, 28 modification of the strip tillage may be designed to achieve even less soil disturbance. 29 However, research would be needed to ascertain how to avoid stimulating N₂O emission from 30 the present 2–3.5% of the total direct on-farm GHG emitted for rice production in the Eastern 31 Gangetic Plains. Comparatively small increases in emissions of N₂O can contribute 32

substantially to GHG emissions. Xing (1998) found that rice fields were a key source of N_2O 1 emission, accounting for 22% of the total emission from cropland in China (Xing 1998). On 2 the other hand, work on the LCA of rice by Nishimura et al. (2004), Wassmann and 3 Dobermann (2006) and Six et al. (2004) were similar to our results as they found that the rice 4 fields contribute 2-8% of the total amount of direct on-farm emissions. The rate of N₂O 5 emission from wetland rice field was small in the study of Minami and Fukushi (1984). The 6 present study found 0.2 (UTLR) to 0.4% (CTHR) of the applied N fertilizer was emitted as 7 N₂O. This value is lower than the default value (1%) of N₂O loss from mineral N applied as 8 9 fertilizer used by the IPCC (2006). Most of the produced N₂O might be reduced to di-nitrogen (N₂) in wetland rice (anaerobic) condition (Nishimura et al., 2004). 10

11

Soil carbon sequestration may become important in the UT cropping systems over time due to decreased soil disturbance (strip tillage) especially with increased residue retention. It may take several more years before the changes in soil organic carbon reach equilibrium with the reduced soil disturbance in UT and the increased residue retention. Studies on soil organic carbon are underway at the present site where tillage and residue treatments have been practiced for more than 4 years.

The other crops in the cropping system now under study are mustard (*Brassica campestris* L.) which is usually grown in cool–dry season (from mid-October to middle March) and transplanted *aman* rice which is grown in the monsoon season (from early July to middle October and characterised with high rainfall and humidity). Life cycle analysis studies are also required on these crops in order to complete a temporal and spatial assessment of the life cycle greenhouse gas mitigation potential in the intensive rice-based cropping systems of the Eastern Gangetic plain.

25

26 **4.** Conclusions

The present study estimated GHG emission mitigation potential associated with the application of the recently developed UT of rice and with increased residue retention in the Eastern Gangetic Plains. The conventional puddled transplanting with high residue retention (CTHR) emitted (1.6 tonne CO_2 -eq) about 1.4 (on the basis of 100-year time horizon) times more GHG emissions for one tonne of rice production than the best mitigation option which 1 was strip tillage followed by unpuddled transplanting with LR (UTLR). Applying UTLR in

2 the wetland rice system of the Eastern Gangetic plain can reduce GHG emissions to 1.1 tonne

- 3 CO_2 -eq tonne⁻¹ rice production in the 100-year time horizon.
- 4

5 The on-farm stage contributed the highest portion (e.g. 89– 93% in 100 years) of the total 6 GHG emissions due mostly to high GHGs emission and to farm machinery use. Regardless of 7 tillage or residue retention, CH₄ was the predominant GHG emitted from the production of 1 8 tonne of rice in the Eastern Gangetic Plains due to anaerobic soil conditions for rice 9 production. We recommend carrying out additional streamlined LCA studies for all the crops 10 of the rice-based cropping system to assess the GWP of the conservation agriculture 11 production practices in diversified rice growing areas.

12

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22	

1 Table 1. Life cycle inventory of pre-farm and on-farm inputs and outputs for one tonne of

2 rice production in the Eastern Gangetic Plain

Inputs (units)	Establishment treatments				
	CTLR ^a	CTHR ^b	UTLR ^c	UTHR ^d	
<i>Pre-farm</i> a) Seeds and chemicals (kg tonne ⁻¹ of rice production)					
1. Seeds	7.15	6.8	7.28	6.74	
2. Nitrogen	19.4	18.4	19.9	18.3	
3. Phosphorus	8.35	7.92	8.5	7.86	
4. Potassium	12.8	12.1	13.0	12.1	
5. Sulfur	1.70	1.61	1.73	1.60	
6. Zinc	0.48	0.46	0.49	0.45	
7. Boron	0.50	0.38	0.41	0.38	
8. Fungicides	0.25	0.24	0.26	0.24	
9. Herbicides	0.29	0.27	0.29	0.27	
10. Insecticides	0.45	0.42	0.45	0.42	
b) Transport (km for road + t–nm for sea) ^{1}					
1. Urea	62.8	59.6	63.9	59.2	
2. Triple superphosphate	83.1+544	78.9+516	84.6+554	78.3+512	
3. Muriate of potash	83.1+380	78.9+360	84.6+387	78.3+358	
4. Gypsum	83.1+380	78.9+360	84.6+387	78.3+358	
5. Zinc	83.1+380	78.9+360	84.6+387	78.3+358	
6. Boric acid	83.1+265	78.9+252	84.6+270	78.3+250	
7. Insecticides	66.3	62.9	67.5	62.4	
8. Fungicides	81.9	77.7	83.3	77.1	
9. Herbicides	83.1+173	78.9+164	84.6+176	78.3+163	
c) Farm machinery (US\$ tonne ⁻¹ of rice pro 1. Power Tiller/Versatile Multi– crop Planter	oduction) 0.10	0.10	0.05	0.05	
2. Harvester	0.06	0.05	0.06	0.05	
3. Irrigation pump	1.85	1.76	1.89	1.75	

d) Farm machinery transport (km for road + t-nm for sea)

1. Harvester	83.1+265	78.9+252	84.6+270	78.3+250
2. Power tiller	83.1+265	78.9+252	_	_
3. VMP	_	_	84.6+270	78.3+250
4. Irrigation pump	83.1+265	78.9+252	84.6+270	78.3+250
On-farm (litre tonne ⁻¹ of rice production)				
1. Power tiller/Versatile Multi–crop Planter	2.39	2.33	0.99	0.98
2. Irrigation pump	1.53	1.45	1.55	1.44
3. Harvester	65.5	66.7	62.2	61.7
Rice yield (tonne/ha)	6.29	6.63	6.18	6.68

1 ¹t-nm=tonne-nautical mile; ^apuddled transplanting with low residue retention (CTLR);

2 ^bpuddled transplanting with high residue retention (CTHR); ^cunpuddled transplanting with

3 low residue retention (UTLR) and ^dunpuddled transplanting with high residue retention

4 (UTHR)

1 List of figures

- 2 Fig. 1. System boundaries and input–output relationship adopted in the work
- 3 Fig. 2. Life cycle greenhouse gas emissions produced per season for one tonne of rice
- 4 production as influenced by crop establishment techniques and residue retention.
- 5 Fig. 3. Effect of rice establishment techniques and residue retention on on-farm emission of
- 6 greenhouse gases (CO_2 equivalent).
- 7

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3 Fig. 1. System boundaries and input–output relationship adopted in the work





- 1 p<0.05. Comparisons are made among emissions converted to CO_2 -eq according to GWPs of
- 2 CO₂, CH₄ and N₂O over 20-, 100- and 500-year time horizons. [Legend: CT–Conventional
- 3 puddled transplanting of rice; UT–Unpuddled transplanting of rice; LR–Low residue retention
- 4 level; HR–Increased residue retention level]
- 5

CO2 (CO2-eq) ■ CTLR CTHR ■UTLR UTHR 200 а а а 160 b b b bc bc с bc CO₂ emission С с 120 80 40 0 20 years 100 years 500 years Different time horizons 2 CTLR CTHR UTLR UTHR CH4 (CO2-eq) 3500 а 3000 b CH4 (CO2eq) emission 2500 bc с 2000 1500 1000 d 500 bc С 0 20 years 100 years 500 years **Different time horizons** 3 N2O (CO2-eq) CTLR CTHR UTLR UTHR 45 40 а а N2O (CO2eq) emission 35 30 25 b b b b



20 15

10 5 0

4

20 years

5 Fig. 3. Effect of rice establishment techniques and residue retention on on-farm emission of 6 greenhouse gases (CO₂ equivalent; p<0.05). Bars with the same letter above them are not 7 significantly different at p<0.05. Comparisons are made among emissions converted to CO₂eq according to GWPs of CO₂, CH₄ and N₂O over 20-, 100- and 500-year time horizons. SE 8 (±) for CO₂ emission is 4.7. SE (±) values for CH₄ emissions are 124.6, 43.5 and 13.5 and for 9 N₂O emissions are 0.3, 0.2 and 0.2 over 20-, 100- and 500-year time horizons, respectively. 10

а

100 years Different time horizons b

500 years

- 1 [Legend: CT-Conventional puddled transplanting of rice; UT-Unpuddled transplanting of
- 2 rice; LR–Low residue retention level; HR–Increased residue retention level].

Highlights

- o Wetland rice is a major emitter of greenhouse gases and needs new mitigation strategies
- A streamlined LCA was studied for puddled and unpuddled rice planting with current and increased residue retention
- o Non-puddling with low residue retention was the most effective GHG mitigation option
- o Puddling soil regardless of residue retention was the least effective GHG mitigation option
- Soil CH₄ and on–farm machinery use were the major GHG emission sources.