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Title

Understanding sleep disturbance in athletes prior to important competitions.

Running Header

Athletes sleep behaviours prior to competition

Key words

Sleep complaints, sleep strategies.

28 Abstract

29 Objectives: Anecdotally many athletes report worse sleep in the nights prior to important 30 competitions. Despite sleep being acknowledged as an important factor for optimal athletic 31 performance and overall health, little is understood about athlete sleep around competition. 32 The aims of this study were to identify sleep complaints of athletes prior to competitions and 33 determine whether complaints were confined to competition periods. Design: Cross-34 sectional study. Methods: A sample of 283 elite Australian athletes (129 male, 157 female, 35 age 24 ± 5 yr) completed two questionnaires; Competitive Sport and Sleep questionnaire and 36 the Pittsburgh Sleep Quality Index. Results: 64.0% of athletes indicated worse sleep on at 37 least one occasion in the nights prior to an important competition over the past 12 months. 38 The main sleep problem specified by athletes was problems falling asleep (82.1%) with the 39 main reasons responsible for poor sleep indicated as thoughts about the competition (83.5%) 40 and nervousness (43.8%). Overall 59.1% of team sport athletes reported having no strategy 41 to overcome poor sleep compared with individual athletes (32.7%, p = 0.002) who utilised 42 relaxation and reading as strategies. Individual sport athletes had increased likelihood of 43 poor sleep as they aged. The poor sleep reported by athletes prior to competition was 44 situational rather than a global sleep problem. Conclusion: Poor sleep is common prior to 45 major competitions in Australian athletes, yet most athletes are unaware of strategies to 46 overcome the poor sleep experienced. It is essential coaches and scientists monitor and 47 educate both individual and team sport athletes to facilitate sleep prior to important 48 competitions.

49

50 Introduction

51 Within elite sport, success is underpinned by optimal preparation¹ and, equally important, adequate recovery between training and during competition^{2, 3}. Sleep has been 52 53 recognised as an essential component for athlete preparation and is suggested to be the single best recovery strategy available to an athlete^{4, 5}. Despite the importance of sleep for 54 55 athletic performance, data on elite athletes is limited⁵. Anecdotal reports suggest athletes 56 often sleep worse around competition periods, particularly the night(s) prior to an important 57 competition^{6, 7}. With reduced sleep shown to negatively influence performance this reduction may become problematic^{8, 9}. Sleep deprivation studies in athletes has found 58 59 decreased anaerobic performances through decreased mean and total sprint time in team 60 sport athletes after 30h of sleep deprivation¹⁰ and decreased aerobic performance following 24 h of reduced sleep¹¹. Whilst it may be seldom that athletes experience total sleep 61 62 deprivation prior to competition, acute partial sleep deprivation may exist. One night of poor 63 sleep in athletes is associated with reduced reaction times¹², reduced anaerobic performance the following afternoon in football players¹³ and declines in cognitive processes such as 64 visual tracking, focus, determination and mood^{14, 15}. As many sports rely on fine motor 65 66 movements and the ability to make fast accurate decisions, reduced sleep in athletes is a genuine concern¹⁶. 67

As it is possible that sleep quantity and quality may influence performance¹⁷, there 68 69 is a growing need to understand sleep patterns in elite athletes. To date, relatively few studies exist which provide this information^{3, 7, 18-20}. In a survey of 632 German athletes 70 71 prior to competition, 65.8% acknowledged worse sleep than normal at least once before a 72 competition, indicating their main issue to be "problems falling asleep" (79.9%), due to 73 "thoughts about the competition/game" (77%) and because of this "increased daytimes 74 sleepiness" with athletes indicating "no special strategy" to enhance sleep⁶. These findings 75 provide valuable information on sleep habits of the elite athlete and provide a stimulus for 76 further investigation. Furthermore, if elite athletes do present as "poor" sleepers it is

important to differentiate poor competition sleep from chronic sleep issues if coaches,athletes and sports scientists hope to use this knowledge to enhance future performance.

79 The purpose of this study was to document the occurrence of sleep disturbances in 80 athletes prior to important competitions and/or games. If sleep disturbances were indicated 81 by athletes, we aimed to examine the particular problems, reasons and perceived 82 consequences associated with the sleep disturbance. In addition from the information 83 obtained we sought to determine whether a particular group of athletes had an increased 84 likelihood of sleep disturbance. This study additionally aimed to provide a comprehensive 85 analysis of whether individual versus team sport athlete sleep habits differ. Finally, a novel 86 aspect of the study was to establish whether sleep disturbances are a general complaint 87 present on a day-to-day basis in athletes or whether it is merely situational.

88

89 Methods

A sample of 283 elite Australian athletes (mean \pm SD; age: 24 \pm 5 y, age range: 16-90 91 47 y) volunteered to participate in the study from a variety of Australian sports (Table 1 and 92 2). Athletes were recruited from the Australian Institute of Sport, Australian Winter Olympic 93 team, Australian Paralympic team and National Sporting Organisations through personal 94 contact with researchers or through coaching and/or support staff. All athletes were at an 95 international level or were members of professional teams. The athletes sampled had 96 competed in their sport for a mean of 11 ± 6 y, trained on average $16:42 \pm 6:42$ hr per week, 97 slept on average $7:42 \pm 0.54$ hr per night and had competed in 14 ± 13 important 98 competitions or games in the past twelve months (Table 2). Ethical approval was obtained and the ______ ethics committees prior to data 99 from 100 collection.

In the period prior to (1 month) and following (7 months) the 2012 Olympic games,
 participants were asked to complete two questionnaires regarding their sleep (Competitive
 Sports and Sleep Questionnaire⁶ and the Pittsburgh Sleep Quality Index²¹) either online
 (Survey Monkey[©]) or through hard copy.

The Competitive Sports and Sleep questionnaire¹⁰, previously described by Erlacher 105 and colleagues⁶, is a sport specific questionnaire used to assess sleep habits and dreams of 106 107 athletes prior to important competitions and games. The questionnaire is divided into three 108 main sections. The first section is used to obtain demographic data and information about the 109 athlete's chosen sport. This information was used to categorise athletes into male and 110 female, team sport or individual sport and in season or out of season at the time of answering 111 the questionnaire, for statistical purposes. The subsequent section aims to obtain information 112 on athlete sleep habits prior to important competitions or games. If an athlete answered 113 "yes" to having poor sleep at least once before an important competition or game in the past

year, they were required to complete a further four closed response questions.

114

115 The initial closed response question assesses the types of sleep problems the athlete 116 experienced. The response options were; "problems falling asleep", "waking up at night", 117 "waking up early in the morning", and "unpleasant dreams" with the first three options 118 referring to typical sleep problems associated with insomnia. The second question addresses 119 reasons for the sleep disturbance; "not used to surroundings", "noises in the room or from 120 outside". "nervousness about competition/game", and "thoughts about the 121 competition/game". The third question addressed the perceived consequences of poor sleep 122 with options including; "no influence", "bad mood the following day", "increased 123 daytime sleepiness", and "poorer performance in competition". In the fourth question, 124 athletes report on the strategies used to deal with sleeping problems with responses; "no 125 special strategy", "methods to relax", "sleeping pills", "reading", and "watching TV".

126 In the final section of the questionnaire, an additional series of questions were used 127 to obtain information regarding general sleep habits and training. Within this section athletes 128 answered questions such as; "If you have a late training session or game do you find it hard 129 to sleep after?" and "Do you take sleeping medication?".

130 The validated Pittsburgh Sleep Quality Index (PSQI) has been used throughout 131 numerous sleep studies as a standardised sleep questionnaire estimating general sleep 132 quality,²¹ however there has been limited use in athletes¹⁶. For the current study the

133 questionnaire was used to identify 'good' or 'poor' sleepers. Prior to filling out the PSQI 134 athletes were notified that all answers were to indicate the most accurate reply for the 135 majority of days and nights in the past month only. Seven component scores were generated 136 (using a 0-3 scale): subjective sleep quality, sleep latency, sleep duration, habitual sleep 137 efficiency, sleep disturbances, use of sleeping medication, and daytime dysfunction. From the sum of the seven component scores a global score (range, 0-21) was calculated^{22, 23}. If an 138 139 athlete scored between 0-5 they were classed as a 'good sleeper' as specified by the PSOI 140 and a score above 5 classed an athlete as a 'poor sleeper'²¹.

141 Differences for age, years in sport, practice hours per week and sleep per night 142 between the groups for gender, sport and time of season the questionnaire was answered 143 were analysed using an independent sample t-test for the continuous variables. The 144 percentage of athletes who responded "yes" to reporting poor sleep the night before an 145 important competition or game in the past year was calculated. For the "yes" respondents, 146 associations between categorical variables for sex (female vs. male), sport groups (individual 147 vs. team sports) and time of season the questionnaire was answered (in season vs. out of 148 season) was calculated for each sleep disturbance question using a 2 x 2 frequency table and 149 Pearson's chi-squared test (χ 2). To determine whether an association existed between 150 athletes who reported "yes" or "no" to sleep disturbance prior to a competition and athletes 151 who were classed as generally 'good' or 'poor' sleepers through the Pittsburgh Sleep Quality 152 Index, a chi-squared test was calculated. A binary logistic generalized linear model was run 153 to ascertain the effects of the dichotomised variables age, gender, sport and athletes in or out 154 of season on the predicted likelihood of athletes having poor sleep prior to an important competition. All statistics were completed using SPSS[©] Statistics (version19, IBM[©], USA) 155 156 and R (R Foundation for Statistical Computing, Vienna) statistical software programs with 157 significance set to $p \le 0.05$.

158 Results

From the 283 Australian athletes sampled, 181 (64.0%) indicated they had slept worse than usual in the night(s) prior to an important competition or game over the past 12 months. There were no significant differences between gender (62.4 % male vs. 65.9% female), sport (71.23 % individual vs. 61.4% team) or athletes currently in or out of season (61.3 % in-season vs. 69.1% out of season); (Table 3).

The 181 Australian athletes who reported worse sleep at least once prior to a competition or game answered further questions in relation to their sleep disturbances (Table 3). Overall, the majority of athletes indicated they had "problems falling asleep" (82.1%) due to "thoughts about the competition/game" (83.5%) however (46.6%) believed this had "no influence" on their performance.

169 There was an association between genders for unpleasant dreams, with dreams 170 affecting sleep in females (10%) more frequently than males (0%); $(x_{(1)}^2 = 9.16, p = 0.002)$. 171 In addition, females reported reading more frequently (32.6%) as a strategy to obtain 172 improved sleep on the night prior to a competition than males (18.5%); $(x_{(1)}^2 = 4.51, p =$ 173 0.034). No further differences were found between gender.

174 There were no differences observed between individual versus team sport athletes 175 for problems and reasons for sleep disturbance with both indicating internal factors 176 "nervousness about the competition/game" and "thoughts about the competition/game" as the main reasons for their sleep disturbance (Table 3). An association ($x^2_{(1)} = 8.36$, p = 0.005) 177 178 was found for individual athletes reporting worse sleep to have no influence on performance 179 (63.5%) when compared with team sport athletes (39.7%). Increased daytime sleepiness was 180 stated more frequently in team sport athletes (48.4%) compared with individual athletes (26.9%); $(x_{(1)}^2 = 6.97, p = 0.012)$. Additionally, a higher percentage of team sport athletes 181 (59.1%) reported having no special strategy to obtain better sleep on the night before an 182 important competition or game compared with individual athletes (32.7%); $(x_{(1)}^2 = 9.87, p =$ 183 0.002). Individual athletes reported using methods to relax ($x_{(1)}^2 = 5.53$, p = 0.024) and 184

reading $(x_{(1)}^2 = 12.4, p = 0.001)$ as strategies to enhance sleep more often than team sport athletes (Table 3).

187 There was an association between poor sleep responses prior to competition and the 188 PSQI ($x^{2}_{(1)} = 5.195$, p = 0.002) indicating the two variables are statistically independent of 189 one another.

The logistic regression model which predicted the likelihood participants had poor sleep was statistically significant ($x^2_{(3)} = 15.819$, p = 0.001). Of the four predictor variables, age, gender, sports and season, only two were statistically significant; age (p=0.019) and sport (p=0.004). Increasing age was associated with an increased probability of exhibiting poor sleep in individual sport athletes whereas team sport athletes' probability of poor sleep decreased with age (Figure 1).

196 General sleep disturbance percentages indicate 52.5% of athletes experience poor
197 sleep post late game whilst 47.5% show no sleep disturbance. Following a rest day 28.4% of
198 athletes indicate having sleep disturbance whilst 71.6% did not. Finally 27.7% of athletes
199 experience sleep disturbance during heavy training periods.

200

201 Discussion

202 The purpose of this study was to understand the sleep complaints of elite Australian 203 athletes prior to important competitions and games. The main findings were; 1) 64% of 204 Australian athletes surveyed experienced sleep problems prior to a major competition at 205 least once in the previous 12 months. The key sleep complaint reported was difficulty 206 initiating sleep due to nervousness and thoughts prior to competition. 2) The perceived 207 influence of poor sleep on performance varied between individual and team sport athletes. 3) 208 When further examining individual and team sport variances, the percentage use of 209 strategies was statistically different. 4) The predicted likelihood of sleep disturbance due to 210 an athlete's age differed with individual and team sport athletes. 5) A novel finding was the 211 sleep problems reported by athletes in this study were confined to competition periods only.

212 In the present study, we observed 64% of the athletes surveyed indicated sleep 213 disturbance prior to important competition which supports previous anecdotal evidence. This 214 finding is comparable to the occurrence of sleep complaints found in German athletes 215 (65.8%) prior to major competitions⁶. The majority of Australian athletes who indicated 216 experiencing worse sleep prior to competition reported internal factors as the main reason 217 responsible (Table 3). Specifically, nervousness and thoughts about the competition were the 218 most common reasons for sleep problems regardless of an athlete's gender or sport. This 219 finding is consistent with previous research in both marathon runners⁷ and German athletes⁶ 220 who reported experiencing anxiety and excessive thoughts prior to competition. Whilst 221 external factors such as noise may impact sleep, our results confirm internal factors strongly 222 influence sleep disturbance in the current athlete population.

223 Consequences of fragmented sleep on performance are of importance to athletes and 224 coaches, as sleep restriction whether chronic or acute may have detrimental effects on health 225 and $performance^{24}$. In our study, the two most commonly reported consequences of sleep 226 disruption were; 1) no perceived influence on performance (46.6%) and/or 2) increased 227 daytime sleepiness (42.1%). The later finding is consistent with previous studies in athletes⁶ 228 and the general population²⁴ where daytime sleepiness was recognised as the most 229 frequently described consequence of insufficient sleep. Interestingly, only 14% of all 230 surveyed athletes believed reduced sleep directly resulted in worse performance during 231 competition. Performance was not assessed during the study therefore there is little 232 information to determine whether an athlete had an accurate perception of performance 233 impacts.

Results indicate individual sport athletes are similar to team sport athletes in the reported occurrence of sleep complaints prior to major competitions. These findings contrast those by Erlacher et al.⁶ who observed greater reporting of poor sleep in individual sport athletes compared with team sport athletes. This difference was explained by the lower pressure and anxiety experienced in team sports as these athletes, unlike individual sport athletes, are not solely responsible and accountable for their own results⁶. Although this

240 explanation is feasible our data does not support this hypothesis as we observed team sport 241 athletes to report nervousness and thoughts prior to competition as reasons responsible for 242 the poor sleep similar to the individual athletes. While additional research is needed to 243 examine differences in sleep habits of individual versus team sport athletes to fully 244 appreciate the diversity, our current data indicates sleep education through methods such as 245 sleep hygiene (behaviours that are believed to promote improved quantity and quality of sleep²⁵) could provide benefits of sleep enhancement in both individual and team sport 246 247 athletes.

248 Despite team and individual sport athletes reporting similar sleep problems and 249 reasons responsible for sleep disturbance, team sport athletes reported a greater incidence of 250 daytime sleepiness compared with individual sport athletes (Table 3). It is possible the 251 greater daytime sleepiness in team sport athletes is due to a lack of sleep strategies utilised to 252 overcome sleep complaints compared with individual sport athletes (Table 3). For instance, 253 individual sport athletes reported more frequently the reliance on reading and/or methods to 254 relax to combat sleep complaints in comparison with team sports athletes who were more 255 likely to have no strategies in place (Table 3). Furthermore, as individual athletes indicated 256 having a greater number of strategies to overcome sleep disturbance this possibly explains 257 why these athletes reported sleeping problems to have little influence on their performance 258 more frequently than their team sport counterparts.

259 Increasing age in individual sport athletes was associated with an increased 260 likelihood of sleep disturbance prior to competition. Intuitively it could be hypothesised that 261 sleep quality before competition would improve as an athlete aged due to being accustomed 262 to the experience of competition however this does not seem to be the case. Defining normal 263 sleep in athletes and differing age categories remains a challenge due to multiple factors contributing to poor sleep 26 . Indeed, age related differences in sleep have been documented; 264 265 however, these changes are most prominent in individuals beyond 40 years of age thus, limiting the usefulness of this data in our athlete population²⁷. The exact reason for the 266

increased likelihood of sleep disturbance in individual sport athletes as they age remainsunknown and warrants further investigation.

269 Interestingly, a lack of association was observed between athletes who reported poor 270 sleep prior to competition, from the Competitive Sports and Sleep Questionnaire and 271 whether the athlete was classed as a "poor" sleeper in general, as determined by the 272 Pittsburgh Sleep Quality Index. This finding implies that although an athlete may not be 273 classed as a problematic sleeper on a day-to-day basis, sleep complaints may arise around 274 competition periods that otherwise are not present. Indeed, in our athletes more than half 275 reported sleep disturbance following a late game or training session. In addition, a smaller 276 number indicated fragmented sleep following heavy training periods and days of rest. These 277 findings highlight the need for caution when using a single subjective sleep quality 278 questionnaire to assess an athletic population, as global sleep quality assessments may not 279 display the same efficacy as with the general population, due to situational stressors and 280 events athletes' encounter.

281

282 Conclusion

283 Our findings highlight the majority of Australian athletes' surveyed subjectively 284 indicated sub-optimal sleep surrounding important competitions mainly due to nervousness 285 and thoughts prior to competition. With evidence suggesting athletes sleep poorly pre-286 competition more research is needed to investigate the effects of acute sleep loss on athletic 287 performance. The current sleep strategy results were concerning with few athletes aware of 288 sleep strategies to utilise during these critical competition periods. Whilst no gender 289 differences were exhibited, there were age and team sport versus individual sport differences 290 which should be considered. The poor sleep reported during competition appears to be 291 situational and not associated with poor sleep in general. The current study highlights the 292 need for individual monitoring of athlete sleep habits and the need for increased sleep 293 hygiene education within both individual and team sports.

294

295 Practical Implications

296	• Both team sport and individual sport athletes would benefit from sleep education
297	• Athletes should be made aware and educated on strategies such as sleep hygiene to
298	assist them to sleep around important competitions
299	• Sleep strategies should specifically focus on combatting nervousness and thoughts
300	prior to competition in athletes
301	
302	Conflict of Interest
303	No competing agreements, professional relationships and financial interests existed where a
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305	
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309

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Tables

Table 1. Distribution of athletes by sport.

Individual	Team	
Athletics n=21	Basketball <i>n</i> =14	
Canoe/Kayak <i>n</i> =6	Football (soccer) <i>n</i> =24	
Cycling <i>n</i> =17	Hockey <i>n</i> =30	
Gymnastics <i>n</i> =3	Netball <i>n</i> =30	
Moguls <i>n</i> =1	Rugby League <i>n</i> =15	
Rowing <i>n</i> =4	Rugby Sevens <i>n</i> =44	
Sailing <i>n</i> =2	Softball <i>n</i> =14	
Short Track Speed Skating <i>n</i> =1	Volleyball <i>n</i> =10	
Ski Cross <i>n</i> =3	Waterpolo <i>n</i> =4	
Surf Life Saving <i>n</i> =1	Wheelchair Basketball n=19	
Swimming <i>n</i> =9	Wheelchair Rugby <i>n</i> =6	
Tennis <i>n</i> =3		
Triathlon <i>n</i> =1		
Power Lifting <i>n</i> =1		

	Overall	Gen	der	Spo	ort	Season					
	(n=283)	Male Female		Individual	Team	In-Season	Out of Season				
	(<i>n</i> -285)	(<i>n</i> =126)	(<i>n</i> =157)	(<i>n</i> =73)	(<i>n</i> =210)	(<i>n</i> =187)	(<i>n</i> =96)				
Age	24.1 ± 5.1	24.0 ± 5.5	24.2 ± 4.9	24.4 ± 5.8	23.9 ± 4.9	24.5 ± 5.2*	23.2 ± 4.8				
Years in Sport	11 ± 6	11 ± 7	11 ± 6	11 ± 6.0	11 ± 7	11 ± 6	11 ± 6				
Practice hours per week	16:42 ±	16:42 ±	16:48 ±	23:00 ±	14:36 ±	16:06 ±	18:00 ±				
(hrs:mins)	6:42	6:00	7:12	7:30*	4:42	6:06*	7:30				
Sleep duration per night	$7:42 \pm 0:54$	$7:48 \pm 0:54$	7:36 ±	7:48 ± 1:00	7:36±	$7:42 \pm 0:54$	$7:42 \pm 1:00$				
(hrs:mins)			0:54		0:54						
* Difference (p<0.05) between groups within category											
		N I									

Table 2. Characteristics of athletes by gender, sport and season (mean \pm s).

	All Par	rticipants	Gender			Sport				Season				
	Absolute	Frequency	Male	Female	Chi	p-	Individual	Team	Chi	p-	Out of	In	Chi	p-
		(%)	(%)	(%)	square	value	(%)	(%)	square	value	Season	Season	square	value
											(%)	(%)		
Overall	181	64.0	65.9	62.4	0.55	0.619	71.2	61.4	0.13	0.158	69.1	61.3	0.20	0.240
"What kinds of problems did you	u experience	with your slee	ep prior t	o an impor	tant com	petition o	or game?" n	=179						
Problems falling asleep	147	82.1	80.7	83.3	0.21	0.698	80.7	82.7	0.09	0.831	86.2	79.8	1.13	0.318
Waking up early in the morning	48	26.8	24.1	29.2	0.58	0.501	32.7	24.4	1.29	0.269	24.6	28.1	0.25	0.726
Waking up at night	68	38.0	32.5	42.7	1.96	0.169	44.2	35.4	1.21	0.310	43.1	35.1	1.12	0.337
Unpleasant dreams	10	5.6	0	10	9.16	0.002*	4	6	0.42	0.726	6	5	0.06	1.000
Not feeling refreshed in morning	65	36.3	34.9	37.5	0.13	0.757	32.7	37.8	0.42	0.608	30.8	39.5	1.36	0.262
"What reasons were responsible	for your slee	ping problem	s prior to	o an import	tant comp	etition o	r game?" n=.	176						
Thoughts about competition	147	83.5	82.9	84.0	0.16	0.837	76.5	86.4	2.59	0.120	83.1	83.8	0.01	1.000
Nervousness about competition	77	43.8	42.7	44.7	0.07	0.877	49.0	41.6	0.81	0.405	44.6	43.2	0.03	0.876
Not used to surroundings	39	22.16	23.3	22.3	0.02	1.000	21.6	23.3	0.05	1.000	26.2	20.7	0.69	0.458
Noises in room or outside	31	17.6	15.0	19.0	0.75	0.428	26.0	14.0	3.62	0.076	15.0	18.0	0.31	0.666
	All Participants		Gender			Sport				Season				
	Absolute	Frequency	Male	Female	Chi	p-	Individual	Team	Chi	p-	Out of	In	Chi	p-
		(%)	(%)	(%)	square	value	(%)	(%)	square	value	Season	Season	square	value
											(%)	(%)		
"In what manner did the sleeping	g problems i	nfluence your	perform	ance durin	g the com	petition	or game?" n	=178						
No influence	83	46.6	48.2	45.3	0.15	0.764	63.5	39.7	8.36	0.005*	56.9	40.7	4.36 17	0.043*
Increased daytime sleepiness	75	42.1	36.1	47.4	2.29	0.171	26.9	48.4	6.97	0.012*	35.4	46.0	1.91	0.207
Bad mood the following day	24	13.4	13.3	13.7	0.01	1.000	11.5	^{14.3} P	0.24 age 17 of	0.810 20	4.6	18.6	6.90	0.011*

Table 3. Absolute and relative number of responses for each person who states, "Yes" they have had disrupted or fragmented sleep prior to an important competition or game in the last 12 months.

Figure 1. The predicted probability of sleep difficulties prior to an important competition for individual and team sport athletes' based on age. Predicted probabilities and 95% confidence intervals are displayed.

Figure(s)

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probability of having sleep difficulties