Nano-Enabled Drug Delivery: Exploring Cancer Treatment Opportunities

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Alan Porter

- Mixed background
 - B.S. in Chemical Engineering (Caltech)
 - PhD in Engineering/Psychology (UCLA)
- Research focus
 - Technology Intelligence, Forecasting & Assessment
- Faculty Georgia Tech (Professor Emeritus)
 - Industrial & Systems Engineering, and
 - Public Policy, and taught 10 years in
 - Management (Management of Technology "MOT")
- Small Business Search Technology
 - Decision aiding in complex environments since 1980
 - Since 1994, develop & apply text mining software (VantagePoint)

focusing on Science, Technology & Innovation (ST&I)

Research Question(s)

Nanotechnology-Enabled Drug Delivery (NEDD) – which A) nano components are helping deliver which B) drugs to treat which C) cancers?

- Are certain B) treatments (drugs, gene therapies) concentrated on C) certain cancers?
- Are A) certain nano components concentrated on C) certain cancers? 0
- Are A) certain nano components concentrated on facilitating delivery of B) certain treatments? 0
- 0
- Are there some shifts over time in treatment applications? Might there be some underexplored combinations of A) nano enabling components with B) drugs, with C) cancer 0 types?

Pointing toward further questions:

How to facilitate discovery of such research opportunities? How might R&D policy/management better "connect" research in adjacent arenas? 0

But first, some background on our "tech mining" on NEDD

Simple schematic of the "NEDD dream"

Molecular imaging & therapy

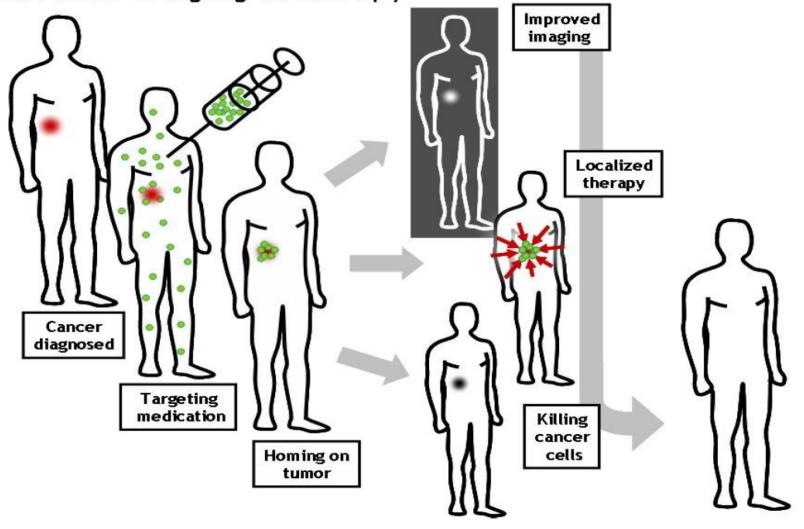
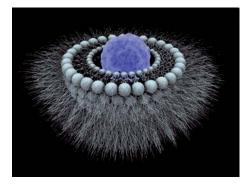


Fig.3: Nanoparticles used to treat cancer

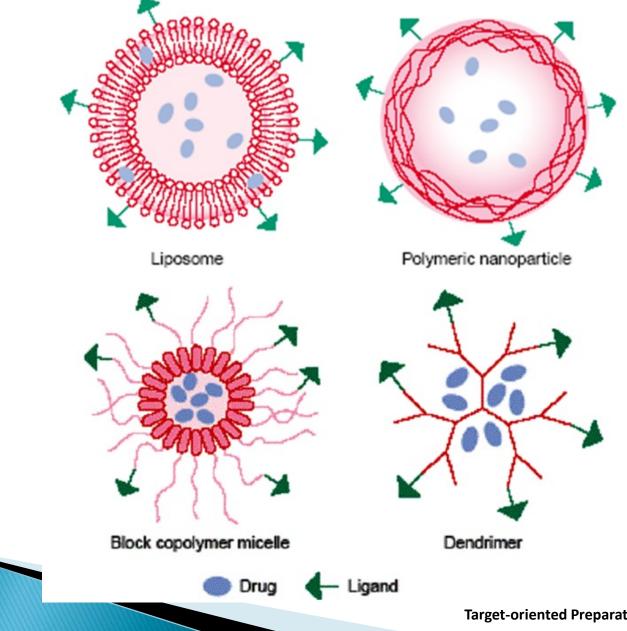
Nano Promises for Drug Delivery



- Improve delivery of poorly soluble drugs
- Target delivery (get enough drug where you want it, and minimum drug where you don't want it)
- Deliver large macromolecular drugs into cells (getting them through the natural barriers of cell walls)
- Co-deliver two or more drugs
- See where drugs go through incorporating tags
- Perform "theranostics"

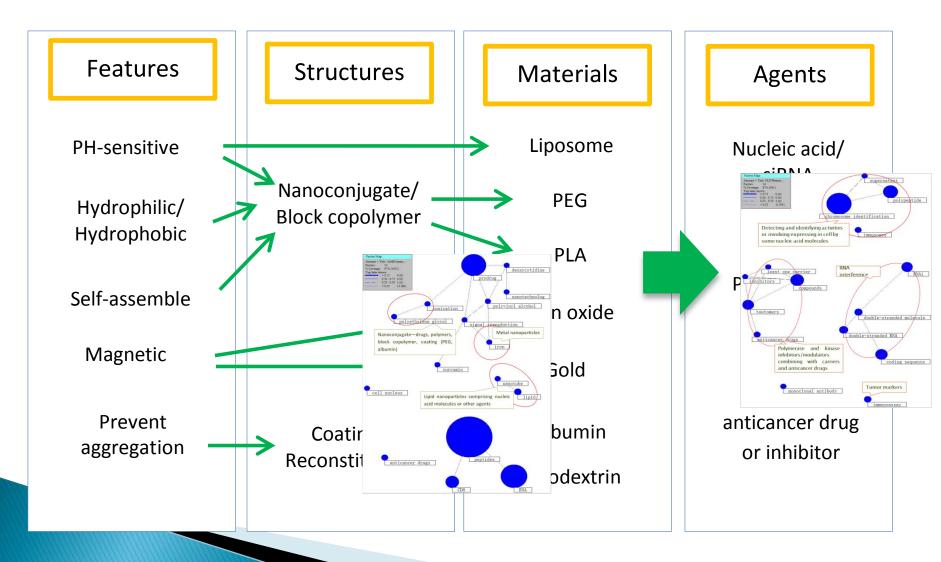
 (if diagnostics can be added to the therapeutics)

Different Kinds of Nanoparticles



Target-oriented Preparations, Shandong University

How do "nano" components work to treat diseases like cancer? [deriving mainly from analyses of the NEDD patents]



NEDD Search Strategy

No.	Category	Keywords
1	B (biological	(bioavailab*or biodistrib* or biocompatib* or cytotox* or biodegradab*)
	processes)	
2	I (imaging)	Image* or imaging
3	T (target)	(Cancer or tumor* or tumour* or "RNA interference" or RNAi)
4	H (helpers)	("polyethylene glycol" or pegylate or PEG or molecule* or polymer* or polyethylenimine or PEI or polyspermine or
		polypropylenimine or "poly lactic-co-glycolic acid" or PLGA or cyclodextrin or dendrimer* or chitosan* or
		atelocollagen* or "hyaluronic acid" or polypeptid* or peptid* or lipid* or ligand* or or Micelle* or Liposom* or
		conjugat* or Viral* OR Virus* or nonvira* or non-vira*)
5	P(pharmaceutical)	 (1) (agent* or Drug* or pharmac* or formulation*)
		(2) (siRNA or "short interfering RNA")
		(3) microRNA
		(4) DNA or gene
		(5) (Dox or Doxorubicin*)
		(6) actives or adjuvant
6	D(delivery approach)	(1) (deliver* or vehicle* or carrier* or vector*)
		(2) (treat* or therap*)
		(3)("control* releas*" or transduct* or transfect* or transport* or translocat*)
7	N(nano-delivery	This category means GT nano Database or some approximation of its search terms; also consider viral or virus or
	vehicle)	dendrimer or colloid
Zł	ou, X., Porter, A.L., R	D.K.R., Zhang, Y., and Guo, Y. (to appear), Nano-enabled drug delivery: Recent trends,

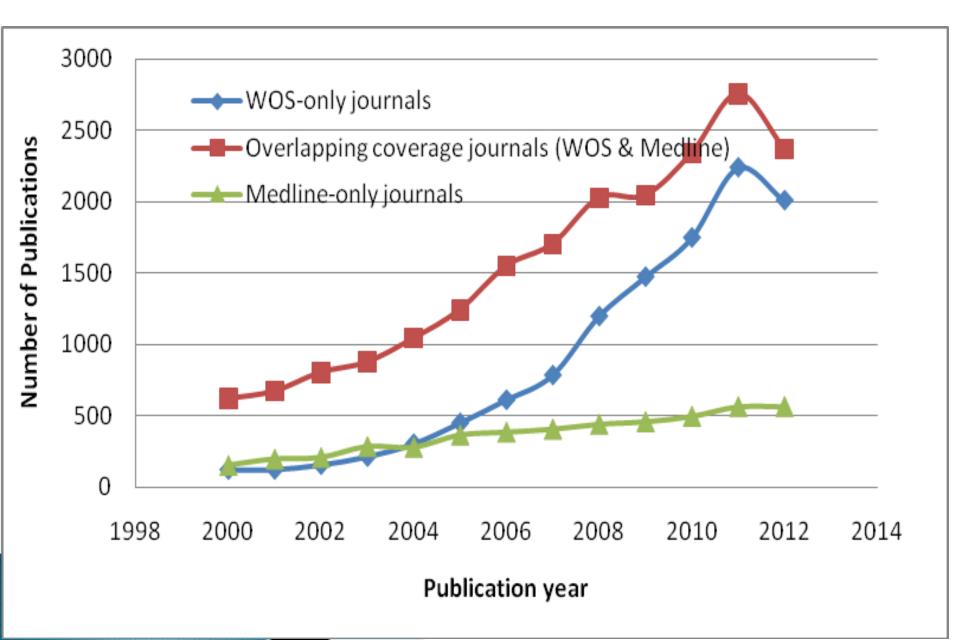
Zhou, X., Porter, A.L., Rossen, D.K.R., Zhang, Y., and Guo, Y. (to appear), Nano-enabled drug delivery: Recent trends, emerging issues, and future directions in Islam, N. (ed.), *Nanotechnology: Recent Trends, Emerging Issues and Future Directions*, Nova Science Publishers, Has, 1999, NY.

Data & Analyses

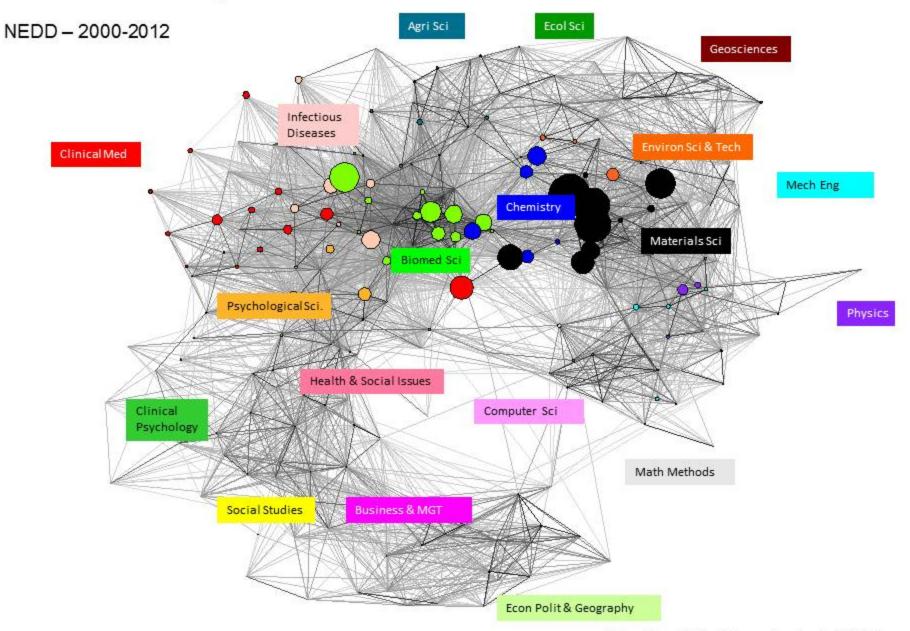
Multi-part Boolean search to retrieve NEDD data

- ~60,000 Web of Science publication abstracts
- ~8,000 Derwent Innovation Index abstracts
- ~50,000 MEDLINE publication abstracts

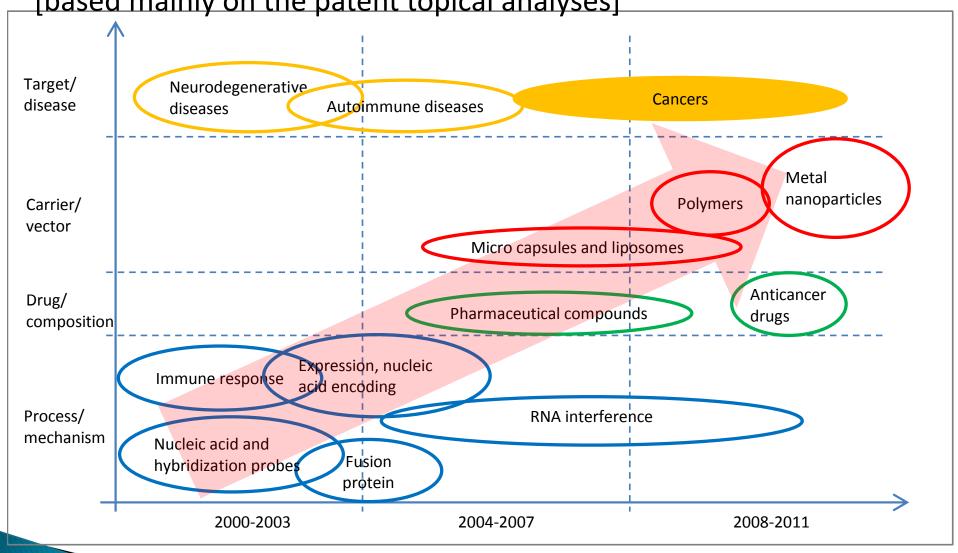
NEDD research publication trends by Database Journal Coverage



Global Map of Science, 2010 update 224 Web of Science Categories



Developmental Pathways, Locating the 13 NEDD Topics of NEDD [based mainly on the patent topical analyses]

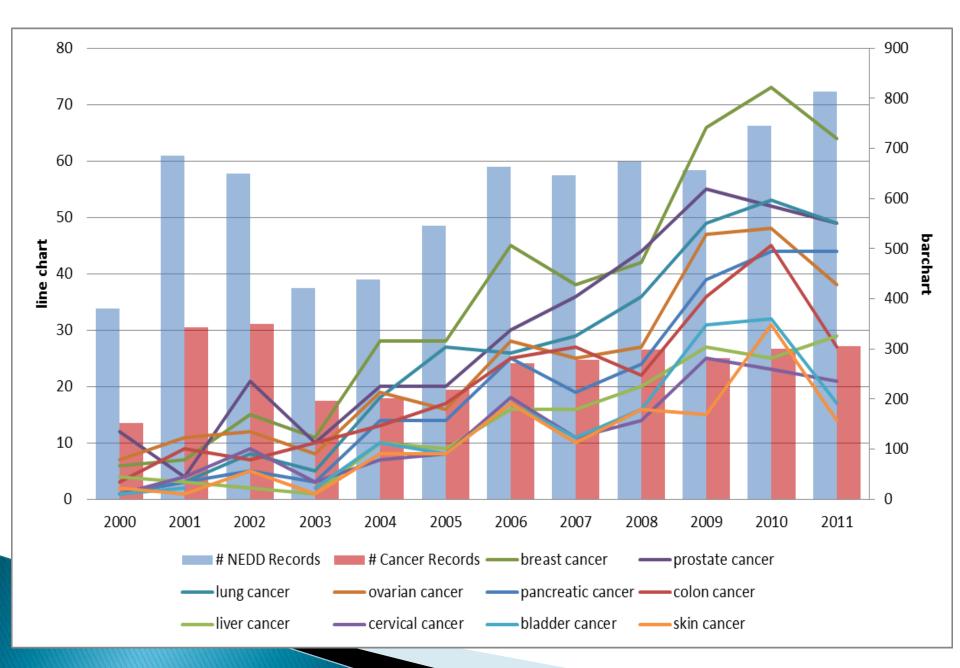


Feedback on the Empirical Research Profiling

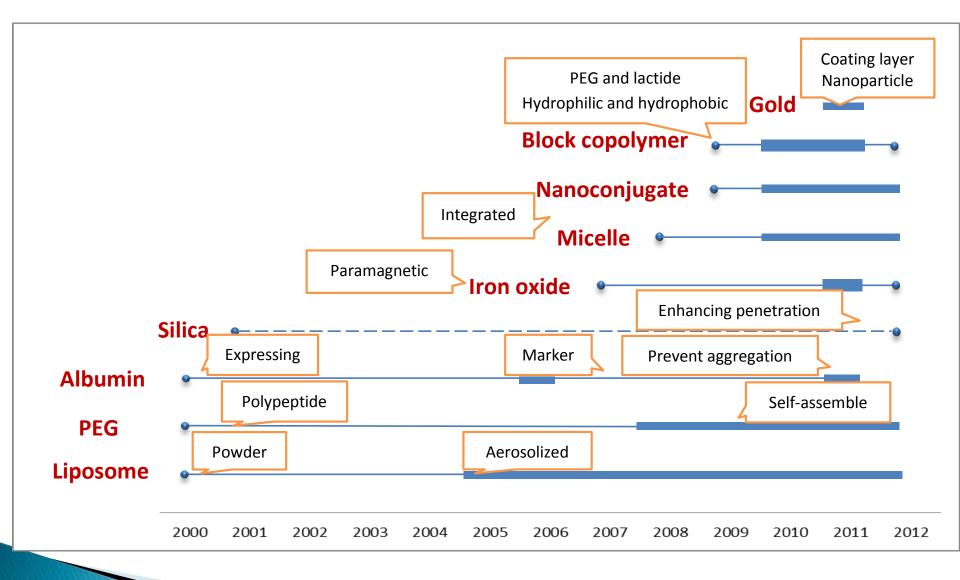
Workshop with bio-medical & science policy colleagues at Georgia Tech (and 2d workshop at a Novel Drug Delivery Systems conference) – payoff will come from **focusing on particular nano components and/or targets**

- One path: comparing NEDD for brain applications Alzheimer's Disease and brain cancer
- Second path (today's focus) NEDD for cancer treatments

Focus on Cancers [patenting patterns]



Nanoparticle Topical Emergence in the Cancer Patent Dataset



COMMERCIAL- ISATION	Approved on Market	Doxil/Caelyx (Breat cancer / leukemia) Abraxane (Breast cancer) Myocet (Ovarian cancer) DaunoXome (Karposi's sarcoma) Genexol-PM (lung and breast cancer (KOREA) Depocyte (neoplast meningitis)	Applications of approved nano-cancer therapies to other cancers
EFFICACY & TOXICITY TESTS	Clincial Trials	S-CKD602 / PEGyl. Liposome – Alza Corp. CRLX101 / Cyclodextrin – Cerulean Pharma CPX-1 / Liposomal irinotecan – Celator Pharma LE-SN28 Liposoma SN38 – Neopharm NC-6004 / Cisplatin – NanoCarrier Co. ALN-VSP / lipid nanoparticle of siRNA – Alnylam OSI-211 / Liposomeal lurtotecan / OSI Pharma BIND-014 / polymeric NPdocetaxel – BIND Bioscience MBP-426 / Transferrin targetd oxaliplatin – Mebiopharm CALAA-01 / cyclodextrin and siRNA – Calando Pharma SGT53-01 / Liposome with p53 gene – SynerGene Therap.	Co-delivery of multiple drugs in one therapy?
RCH & PMENT	Vector + drug R&D	Liposomes Phospholipids PluronicR Poly (L-aminoacid) with oligonucleotides Polyester micelles Nanoemulsions Drug nanocrystals	Multi-functional nano-enabled drug delivery systems
RESEARCH & DEVELOPMENT	Nano-vector research	Polymer-based nanoparticles Lipid-based nanoparticles Ceramic-based nanoparticles Albumin nanoparticles Nanogels Dendrimers	with active targeting?

TODAY

TOMORROW?

NEDD + Cancers

#	Search Strategy (Web of Knowledge's MEDLINE 2000-2013, performed 7/24/14)	NO. of records
#1	MeSH HEADING:exp: (Neoplasms OR Antineoplastic Agents) AND (Drug carriers OR Micelles)	8715
#2	((MeSH HEADING:exp: (Neoplasms OR Antineoplastic Agents) AND Nanostructures) NOT #1) AND MeSH HEADING: (Drug Delivery Systems OR RNA Small Interfering OR Gene Transfer Techniques OR Delayed-Action Preparations OR RNA Interference OR Pharmaceutical Vehicles OR Genetic Vectors OR Transfection OR Polyglycolic Acid)	1517
#3	((((MeSH HEADING:exp: (Neoplasms OR Antineoplastic Agents) AND Nanostructures) NOT #1) AND MeSH HEADING: (Doxorubicin OR Polyethylene Glycols OR Paclitaxel)) NOT #2) AND ABSTRACT/TITLE: deliver*	122
#4	Total	10354

Search Tuning

- National Library of Medicine colleagues reviewed the search strategy, suggesting some enhancements
 - Focus on Medical Subject Headings (MeSH) is a good way to go
 - Add some cancer terms
- MeSH "Qualifiers" offer good categorization (MeSH assignment based on human indexer review of full articles)
- Current dataset of **10,354 MEDLINE** abstract records

Mining the Data

Use VantagePoint desktop software

(<u>www.theVantagePoint.com</u>) to separate ~3334 primary MeSH terms and 73 Qualifiers in those 10,354 abstract records

- Focus on top 200 primary MeSH terms and 73 Qualifiers = matrix
- SPSS Hierarchical Clustering to consolidate those 200 MeSH terms into 7 clusters
 - Method techniques, procedures, and programs
 - Drug drugs and formulas
 - Component nanoparticles and accompanied materials
 - Cancer different cancer types
 - Effect effects of treatment
 - Interface receptors and metabolism
 - Antibody antibodies and antigens

Resulting MeSH Clusters (partial)

Method	Drug	Compone nt	Cancer	Cancer (combined)	Effect	Interface	Antibody
Drug Delivery Systems	Antineopl astic Agents	Drug Carriers	Neoplasms	Breast Neoplasms	Apoptosi s	Receptor, Epidermal Growth Factor	Cancer Vaccines
Genetic Therapy	Doxorubi cin	Nanoparti cles	Breast Neoplasms	Brain and Nerve Neoplasms	Drug Resistanc e, Neoplasm	Carrier Proteins	Antigens, Neoplasm
Gene Transfer Techniqu es	Antibiotic s, Antineopl astic	Liposome s	Lung Neoplasms	Liver Neoplasms	RNA Interferen ce	Receptor, erbB-2	Dendritic Cells
Transfecti on	Antineopl astic Agents, Phytogeni c	Polyethyl ene Glycols	Brain Neoplasms	Lung Neoplasms	Cell Proliferati on	Receptors , Cell Surface	Antibodie s
Nanomed icine	Paclitaxel	Polymers	Liver Neoplasms	Skin Neoplasms	Drug Resistanc e, Multiple	Macropha ges	

Happy Hunting Grounds!

- Explore co-occurrences
- 3 key relationships to explore:
 - Cancers (20) by Drugs (50)
 - Cancers (20) by Nano components (62)
 - Drugs (50) by Nano components (62)
- But really more than 2-D additional dimensions to explore:
 - Density [intensity of research publication]
 - What? + Who? Where? When?
 - Other 4 of the 7 MeSH Qualifier clusters: methods, effects, interfaces, antibodies

Co-occurrence of 20 Cancers by 50 Drugs: Concentrations of R&D Activity

Reset		MeSH Terms (Primary) (1): MeSH hea	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33
		# Records	162	55	176	273	272	172	172	139	133	108	102	100	90	86	63	61	56	55	55	106	54
SH Terms (Primary) (1): MeSH headings	# Records	▼ ▲ Show Values >= 1 and <= 142 Cooccurrence # of Records	Photosensitizing Agents	Radiopharmaceuticals	Taxoids	Camptothecin	Antimetabolites, Antineoplastic	Antibodies, Monoclonal	Fluorouracil	Prodrugs	Angiogenesis Inhibitors	Genetic Vectors	Daunorubicin	Methotrexate	Cytarabine	Organoplatinum Compounds	Porphyrins	Vincristine	Peptide Fragments	Antineoplastic Agents, Alk ylating	Organometallic Compounds	Oligonucleotides, Arttisense	Amphotericin B
1	627	Breast Neoplasms	10	4	14	4	7	22	3	5	8	5	4	5	2		1	5	3	1	4	15	
2	490	Brain and Nerve Neoplasms	3	2	3	11	9	11	3	2	6	5	2	4	7	1			4	14		10	
3	397	Liver Neoplasms	1	2	9	9	17	7	18		2	8	1	3		2	1	2	1	1		5	1
4	376	Lung Neoplasms	3		4	13	8	2	2	2	3	6	1		1	2	3		3	1	1	8	2
5	356	Skin Neoplasms	11	2	2	6	3	3	7	2	8	1		1	2	1	5	3	4	4	3	3	
6	269	Colorectal Neoplasms	9	4	1	16	9	7	12	1	5	1		1		10	4				4	6	
7	237	Ovarian Neoplasms	1		3	5		4				2	2						3	1		3	
8	208	Prostatic Neoplasms	7	1	5	3	3	4	1	3	2	1					2		3		1	3	
9	133	Adenegarcinoma	8	1	2	5	6	4	3		1	4		1			2				1	3	
10	131	Leukemia					11	1		1		(26	2	21			10		1			13
11	122	Pancreatie Heoplasms				4	9	5	1	2	3	1								1			
12	114	Carcinoma, Squamous Cell	6	3		1	1	1	3			2		1		1	3		2		1	3	
13	79	Stomach Neoplasms	2	1	6	3	5	4	6	1		1	1			1						2	
14	67	Bone Neoplasms	1	1		1			1	1			1				1						
15	59	Head and Neck Neoplasms	2	1					1					1			2				1	3	
16	53	Urinary Bladder Neoplasms	2		1		1														1		
17	51	Peritoneal Neoplasms		1	1	4	2		2	1			1			3					1		
18	38	Uterine Cervical Neoplasms	1	1	1																		
19	37	Lymphoma			-		1	2							7							1	1
20	35	Sarcoma	1		1	1	1	_			1				-		1					1	1

	3879	Col Sum	704	580	248	224	89	319	238	224	23	
		# Records	2697	1476	713	430	212	864	761	290	42	
	# Records	CANCERS \ AGENTS	Antineopla	Doxorubici	Paplitaxel	RNA, Sma	DNA	Antibiotics,	Antineopla	Antineopla	Adjuvants,	Ρ
1	627	Breast Neoplasms	0.79	1.51	1.37	0.83	0.42	1.34	0.94	1.52	0.27	
2	490	Brain and Nerve Neoplasms	1.02	0.78	1.12	0.85	1.16	0.77	1.00	0.53	0.69	
3	397	Liver Neoplasms	0.96	1.43	0.59	1.00	1.76	1.72	0.86	0.26	0.85	
4	376	Lung Neoplasms	1.10	0.80	1.33	1.70	1.16	0.81	1.30	0.88	0.90	
5	356	Skin Neoplasms	1.05	0.83	0.53	0.78	1.59	0.75	0.96	0.34	3.32	
6	269	Colorectal Neoplasms	1.09	0.72	0.70	0.52	0.32	0.54	1.33	0.71	1.25	
7	237	Ovarian Neoplasms	1.05	1.50	2.11	1.46	0.55	1.74	1.31	2.41	0.00	
8	208	Prostatic Neoplasms	0.90	0.71	0.38	2.41	1.26	0.47	0.63	0.50	0.81	
9	133	Adenocarcinoma	0.91	0.75	0.82	0.65	1.31	0.82	0.74	1.82	1.27	
10	131	Leukemia	0.72	0.36	0.12	0.13	0.33	1.58	1.00	2.51	0.00	
11	122	Pancreatic Neoplasms	1.45	0.22	0.77	0.71	2.14	0.20	0.94	1.28	0.00	
12	114	Carcinoma, Squamous Cell	0.77	0.47	0.69	0.61	0.76	0.43	0.71	1.37	0.00	
13	79	Stomach Neoplasms	0.91	0.25	1.78	1.10	0.55	0.62	1.86	1.10	0.00	
14	67	Bone Neoplasms	1.40	1.90	0.47	1.29	0.65	0.73	0.00	1.29	0.00	
15	59	Head and Neck Neoplasms	1.21	1.13	0.27	0.88	0.00	1.03	0.00	1.17	0.00	
16	53	Urinary Bladder Neoplasms	1.04	0.76	2.95	1.63	0.00	1.38	1.85	0.00	3.18	
17	51	Peritoneal Neoplasms	1.94	0.92	1.23	0.00	0.85	0.72	1.60	0.34	0.00	
18	38	Uterine Cervical Neoplasms	0.87	0.70	1.65	1.37	3.44	0.64	0.86	0.91	8.88	
19	37	Lymphoma	0.74	0.90	0.00	0.47	0.00	0.66	0.88	0.00	4.56	
20	35	Sarcoma	1.57	2.87	0.45	0.00	1.25	1.39	0.47	1.98	4.82	

a) Column (agent) proportion – of 580 Doxorubicin (DOX) hits (as Primary MeSH for these 20 cancers), what proportion are directed at each cancer type?

b) Row (cancer) Proportion – what proportion of these are bone neoplasm studies?

(etc.)

Divide a/b to look at relative research concentrations (cells)

		Col Sum	704							224		
		# Records	2697	1476	713	430	212	864	761	290	42	
	# Records	CANCERS \ AGENTS	Antineopla	Doxorubic	Pallitaxel	RNA, Sma	DNA	Antibiotics	Antineopla	Antineopla	Adjuvants,	Ρ
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4	376	Lung Neoplasms	1.10	0.80	1.33	1.70	1.16	0.81	1.30	0.88	0.90	
5		Skin Neoplasms	1.05	0.83	0.53	0.78	1.59	0.75	0.96	0.34	3.32	
6	269	Colorectal Neoplasms	1.09	0.72	0.70	0.52	0.32	0.54	1.33	0.71	1.25	
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10	131	Leukemia	0.72	0.36	0.12	0.13	0.33	1.58	1.00	2.51	0.00	
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15	59	Head and Neck Neoplasms	1.21	1.13	0.27	0.88	0.00	1.03	0.00	1.17	0.00	
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17		Peritoneal Neoplasms	1.94			0.00	0.85	0.72	1.60	0.34	0.00	
18		Uterine Cervical Neoplasms	0.87	0.70	1.65	1.37	3.44	0.64	0.86	0.91	8.88	
19		Lymphoma	0.74	0.90	0.00	0.47	0.00	0.66		0.00		
20		Sarcoma	1.57	2.87	0.45					1.98		

Agent profiling: DOX -- Used for all 20; sarcoma & bone neoplasm most: Paclitaxel used for all but one (lymphoma) - why not?

Cancer profiling: Leukemia – 5 drugs overwhelmingly used to treat this – see earlier matrix

Agent for Cancer; Explore the zeroes with biomedical scientists

3879	Col Sum	704	580	248	224	89	319	7	
	# Records	2697	1476	713	430	212	864	45	
# Records	CANCERS \ AGENTS	Antineopla	Doxorubici	Paclitaxel	RNA, Sma	DNA 🌖	Antibiotics	Etoposide	\supset
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397	Liver Neoplasms	0.96	1.43	0.59	1.00	1.76	1.72	0.00	
376	Lung Neoplasms	1.10	0.80	1.33	1.70	1.16	0.81	2.95	
356	Skin Neoplasms	1.05	0.83	0.53	0.78	1.59	0.75	0.00	
269	Colorectal Neoplasms	1.09	0.72	0.70	0.52	0.32	0.54	0.00	
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114	Carcinoma, Squamous Cell	0.77	0.47	0.69	0.61	0.76	0.43	0.00	
79	Stomach Neoplasms	0.91	0.25	1.78	1.10	0.55	0.62	0.00	
67	Bone Neoplasms	1.40	1.90	0.47	1.29	0.65	0.73	0.00	
59	Head and Neck Neoplasms	1.21	1.13	0.27	0.88	0.00	1.03	0.00	
53	Urinary Bladder Neoplasms	1.04	0.76	2.95	1.63	0.00	1.38	0.00	
51	Peritoneal Neoplasms	1.94	0.92	1.23	0.00	0.85	0.72	0.00	
38	Uterine Cervical Neoplasms	0.87	0.70	1.65	1.37	3.44	0.64	0.00	
37	Lymphoma	0.74	0.90	0.00	0.47	0.00	0.66	29.95	
35	Sarcoma	1.57	2.87	0.45	0.00	1.25	1.39	0.00	

Examine **groups** of agents or cancers: genetic treatments [RNAi & DNA] – notably widely used.

Crosswalk: explore why RNAi is not showing for peritoneal neoplasms, but DNA is? Also, DNA is prominent for uterine cervical, why less so RNAi? Look at **narrowly targeted** agents; might they hold potential for others?

20 Cancers by 50 Drugs: Added Dimensions Ex. 29 articles on RNAi for Prostate

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 Title ▼	Reset	MeSH Terms (Primary) (1):	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21 22 23 24 25	26 28 🔺	Publication Year
29 Titles, 1 Selected		# Records	2697	1476	713	430	212	864	761	290	42	73	233	110	162	176	273	272	172	172	2 139	133			Publication Year
Enhanced docetaxel-mediated	l) sg									Prot													Hot		8
A non-covalent peptide-based	MeSH headings	Show Values >= 5 and <= 142								rapy													1100		6
Anisamide-targeted cyclodext	1 -								.e	othe															4
Atu027, a liposomal small inte	MeSt	Cooccurrence # of Records							Phytogenic	Chemotherapy								Antineoplastic					w.	Alkylating	2
Cover story. RNA interference (Ë							stic			o				s.			pla	_				oung	Ak	2005 2006 2009 2010 2010 2013 2013
Delivery of multiple siRNAs usi			uts			ring		bla	nts,	Combined	igolo				Agents			utine	lona			itors	Compounds	nts,	888888888
Efficient delivery of small inter	(Primary)		Agents			erfe		Antineoplastic	Agents,	Co	Immunologic				A ور				Monoclonal			Inhibitors		Agents,	
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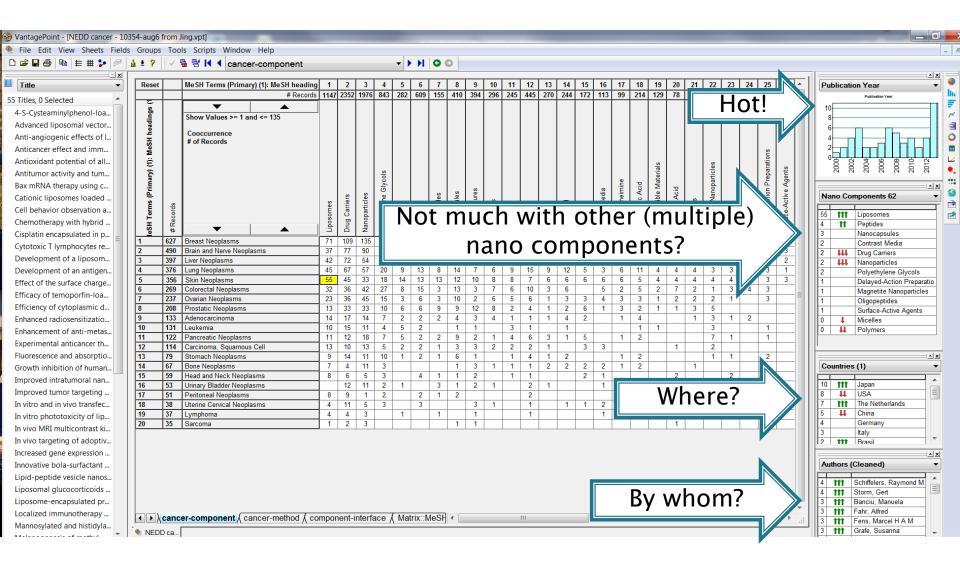
50 Agents (Drugs) by 62 Nano Components

Address 4958 of the 10,354 articles; spotlighted a row (one agent) - 430 articles on "RNA, small interfering"

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20 Cancers by 62 Nano Components

Spotlight on skin neoplasms with its most prominent nano delivery component, liposomes (55 articles)



Other Cuts on the Multiple Dimensions

- Agent by Agent how much "combo" treatment?
 [multiple drug delivery via NEDD]
- Nano component by Nano component in conjunction?
- Explore the other 4 clusters methods, effects, etc.
- Pursue "who, what, where, when" elements on subsets of interest e.g., leading journals, leading researchers, etc.

Resources

Text mining software:

http://www.theVantagePoint.com

 Global Tech Mining Conference, in conjunction with the S&T Indicators Conference, 2-5 Sep., 2014, Leiden, The Netherlands

www.gtmconference.org

 Future-oriented Technology Analysis Conference, 27-28 November, 2014, Brussels, Belgium //forera.jrc.ec.europa.eu/

Select References

- Porter, A.L., and Cunningham, S.W. (2005), Tech Mining: Exploiting New Technologies for Competitive Advantage, Wiley, New York.
- Zhou, X., Porter, A.L., Robinson, D.K.R., Zhang, Y., and Guo, Y. (2014), Nano-enabled drug delivery: Recent trends, emerging issues, and future directions, in Islam, N. (ed.), *Nanotechnology: Recent Trends, Emerging Issues and Future Directions*, Nova Science Publishers, Hauppauge, NY., 25-44.
- Ma, J., and Porter, A.L., (2014), Analyzing patent topical information to identify technology pathways and potential opportunities, Scientometrics. <u>http://link.springer.com/article/10.1007/s11192-014-1392-</u> <u>6/fulltext.html</u>
- Porter, A.L., Ma, J., and Robinson, D.K.R., (2014), Forecasting Nano-Enabled Drug Delivery (NEDD) Innovation Pathways, Pharmaceutics & Novel Drug Delivery Systems, San Antonio, TX (March) – presentation & workshop.

Discussion??

Can we "discover" opportunities via such tech mining?

- Research gap analyses could nano component X also facilitate delivery of treatment Y, for disease Z?
 - Could such R&D profiling/parsing help in your studies?
- Could we boost research proposals by incorporating such nano R&D profiling to explore "connections"?
 - How do you learn about "one step removed" research findings, methods, applications, etc.?

Could you help us interpret NEDD relationships?

