

# 1 Shared genetic variants suggest common pathways in 2 allergy and autoimmune diseases

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60 **Abstract**

61  
62 **Background**

63 The relationship between allergy and autoimmune disorders is complex and poorly  
64 understood.

65  
66 **Objective**

67 To investigate commonalities in genetic loci and pathways between allergy and autoimmune  
68 diseases to elucidate shared disease mechanisms.

69  
70 **Methods**

71 We meta-analyzed two GWAS on self-reported allergy and sensitization comprising a total of  
72 62,330 individuals. These results were used to calculate enrichment for SNPs previously  
73 associated with autoimmune diseases. Furthermore, we probed for enrichment within  
74 genetic pathways and of transcription factor binding sites, and characterized commonalities  
75 in the variant burden on tissue-specific regulatory sites by calculating the enrichment of  
76 allergy SNPs falling in gene regulatory regions in various cells using Encode Roadmap DHS  
77 data, and compared the allergy data with all known diseases.

78  
79 **Results**

80 Among 290 loci previously associated with 16 autoimmune diseases, we found a significant  
81 enrichment of loci also associated with allergy ( $p=1.4e-17$ ) encompassing 29 loci at a false  
82 discovery rate $<0.05$ . Such enrichment seemed to be a general characteristic for all

83 autoimmune diseases. Among the common loci, 48% had the same direction of effect for  
84 allergy and autoimmune diseases. Additionally, we observed an enrichment of allergy SNPs  
85 falling within immune pathways and regions of chromatin accessible in immune cells that  
86 was also represented in autoimmune diseases, but not in other diseases.

87

## 88 **Conclusion**

89 We identified shared susceptibility loci and commonalities in pathways between allergy and  
90 autoimmune diseases, suggesting shared diseases mechanisms. Further studies of these  
91 shared genetic mechanisms might help understanding the complex relationship between  
92 these diseases, including the parallel increase in disease prevalence.

93

94 **Capsule Summary**

95 We identified shared susceptibility loci and commonalities in pathways between allergy and  
96 autoimmune diseases. Further studies of these loci and related mechanisms might help  
97 understanding the complex relationship between allergy and autoimmunity.

98

99 **Key messages**

- 100 - Allergy and autoimmune diseases share genetic susceptibility loci.
- 101 - These results indicate commonalities in gene regulation and genetic pathways  
102 between allergy and autoimmune diseases.
- 103 - Further studies of common genetic loci and the related mechanisms might help  
104 understanding the complex relationship between allergy and autoimmunity.

105

106

107 **Key words**

108 Allergy, Single Nucleotide Polymorphism, Autoimmune Disease, Autoimmunity, Genetic  
109 Association Studies

110

111 **Abbreviations**

112 DEPICT: Data-driven Expression Prioritized Integration for Complex Traits gene set  
113 enrichment method

- 114 DHS: DNase Hypersensitive Sites
- 115 GWA(S): Genome Wide Association (Studies)
- 116 PCA: Principal Component Analysis
- 117 SNP: Single Nucleotide Polymorphism
- 118

## 119 **Introduction**

120 There has been a parallel increase in allergic and autoimmune disorders in recent decades in  
121 “westernized” countries<sup>1</sup> suggesting that these diseases may share disease mechanisms and  
122 etiologies. In line with this, allergy and autoimmune disorders seem to share environmental  
123 risk factors including birth by caesarian section<sup>2</sup>. This observation is in apparent contrast to  
124 the understanding of allergy and autoimmune diseases as representatives of distinct  
125 immunological disorders with counteracting underlying immune mechanisms. Autoimmune  
126 diseases are in general thought to act through a Th1/Th17-driven cell mediated immune  
127 response,<sup>3</sup> while allergy and asthma encompass a Th2-mediated response<sup>4</sup>. Counteracting  
128 immune mechanisms have been supported by some epidemiological studies of comorbidity  
129 suggesting a lower incidence of allergy among patients with autoimmune diseases, including  
130 rheumatoid arthritis<sup>5,6</sup> and multiples sclerosis<sup>7</sup>, although the evidence of such inverse  
131 relationship is conflicting.<sup>8</sup>

132 Both allergy and autoimmune diseases are highly heritable diseases and an increasing  
133 number of susceptibility loci have been discovered.<sup>9-11</sup> We hypothesized that studying  
134 commonalities in the genetic architecture could provide a key to understanding the complex  
135 relationship between these diseases by pinpointing possible common disease mechanisms.

136 In a wider perspective, this might help to explain the mechanisms and aetiologies  
137 responsible for the contemporaneous, dramatic increase in incidence of allergic and  
138 autoimmune disorders<sup>1</sup>.

139 We studied commonalities between allergy and autoimmune disease in terms of  
140 susceptibility loci, genetic pathways and regulatory mechanisms. We meta-analyzed two

141 GWAS on allergic sensitization and allergic symptoms representing the largest GWAS on  
142 allergy to date. These data were then combined with publically available GWAS data on  
143 autoimmune diseases, as well as public data on molecular pathways, transcription factor  
144 binding sites and regulatory DNA regions. The primary analyses used a methodological  
145 approach that was agnostic to the direction of effect (including loci where the risk-allele was  
146 the same for allergy and autoimmune disease as well as loci where the risk allele for one  
147 disease was protective for the other). We hypothesized that loci with same direction of  
148 effect for different diseases might be involved in shared mechanisms and thereby help  
149 understanding the parallel epidemics of diseases, while loci with opposite direction of effect  
150 might help understanding counteracting (diverging) disease mechanisms. In analogue, gene  
151 variants in beta-adrenoreceptors are likely to show diverging effects with respect to risk of  
152 cardiac and respiratory diseases but still pinpoint an essential mechanism for both diseases.  
153 For allergy and autoimmune diseases such loci might be involved in “polarization” of the  
154 immune response. We therefore chose an approach that would capture common genetic  
155 loci with both same and opposite direction of effect since we believe that both might confer  
156 important information and thereby help to elucidate the complex mechanistic relationship  
157 between these diseases.

158



## 159 **Methods**

### 160 **Study group**

161 To obtain the largest possible GWAS data set for allergy, we meta-analyzed results from two  
162 recent GWAS on self-reported allergy<sup>9</sup> and sensitization<sup>10</sup>, including ~2.2 million directly  
163 genotyped and imputed single nucleotide polymorphisms (SNPs), using a fixed effects  
164 model. The self-reported allergy dataset comprised 23,335 individuals with self-reported  
165 allergy for cat-, dust-mite and/or pollen-allergy and 26,311 control subjects without  
166 symptoms. The allergic sensitization dataset included 5,809 subjects with allergic  
167 sensitization defined by either skin prick test or specific IgE measurement and 9,875 control  
168 subjects without allergic sensitization with data from the following cohorts: AAGC, ALSPAC,  
169 B58C, COPSAC2000, LISA, MAAS, NFBC 1966, RAINE and PIAMA (**Supplementary Methods**).

170

### 171 **Common genetic loci**

172 To identify common genetic loci, we identified all SNPs previously shown to be associated  
173 with autoimmune diseases from the NHGRI GWAS catalog<sup>12</sup>. From each locus defined by  
174 genetic distance (**Supplementary Methods**) the most significant SNP associated with  
175 autoimmune diseases (the index disease, one of 16 different diseases) was chosen, leaving  
176 290 candidate SNPs which were subsequently extracted from the allergy meta-analysis  
177 results (**Supplementary Methods, Supplementary Table 1 and Supplementary Figure 1**). For  
178 each SNP, the direction of effect of the risk allele for allergy was compared with the  
179 direction of effect for the index autoimmune disease as well as for other autoimmune  
180 diseases with reported loci in high LD.

181 Among these autoimmune disease-associated SNPs (loci) we calculated an enrichment of  
182 significant SNPs in relation to allergy ( $P < 0.05$ ) as compared to expected under the null  
183 hypothesis. Enrichment was only calculated for diseases with at least ten loci associated with  
184 the index disease and therefore not calculated for systemic sclerosis, sarcoidosis, primary  
185 sclerosing cholangitis and myasthenia gravis. As a methodological negative control, SNPs  
186 related to two non-inflammatory phenotypes with reasonable GWA study sizes, migraine  
187 and the combination of bipolar disorder and schizophrenia from the GWAS catalog, were  
188 analyzed similarly.

189

### 190 **Commonalities in genetic pathways**

191 To investigate commonalities in functional origins, the Data-driven Expression Prioritized  
192 Integration for Complex Traits (DEPICT) gene set enrichment method<sup>13</sup> was applied to 123  
193 diseases and traits in the GWAS catalog, as well as the current association data set on  
194 allergy, by analyzing gene set enrichments for 14,416 reconstituted gene sets capturing a  
195 wide spectrum of molecular pathways, functional annotations and mouse phenotypes. For  
196 visualization purposes the resulting enrichment matrix was reduced to fewer dimensions by  
197 principal component analysis (**Figure 1, Supplementary Methods**). Similarly, this approach  
198 was applied to the common loci between allergy and autoimmune diseases (**Figure 2**), and  
199 to allergy and Crohn's disease separately to identify common and disease specific networks  
200 (**Figure 3, Supplementary Methods**). Crohn's disease was used as a representative of  
201 autoimmune diseases as this represents the largest, with respect to sample size, public  
202 available GWAS dataset to date of an autoimmune disease<sup>14</sup>. Also, we consider this a good

203 representative of autoimmune diseases since it is considered to be Th1 driven immune like  
204 most autoimmune diseases.

205 The enrichment of transcription factor (TF) binding sites in loci common between allergy and  
206 autoimmune diseases was calculated using ENCODE data by assessing the overlap between  
207 loci and binding sites for 161 TFs compared to random expectations (**Supplementary**  
208 **Methods**).

209

### 210 **Common disease-implicated cell types**

211 To identify and visualize common disease-implicated cell types, the tendency of disease  
212 associated loci to fall in cell-type specific regulatory DNase Hypersensitive Sites (DHS) (a  
213 proxy for accessible and/or regulatory DNA) was calculated for all diseases in the GWAS  
214 catalog, as well as allergy based upon the current association data-set. This enrichment was  
215 computed for 168 cell types and cell lines (hereafter described as cells) from the ENCODE  
216 Roadmap repository<sup>15</sup>. Duplicates and directly redundant cell types were removed before  
217 analyses. As with pathway enrichments, the resulting enrichment matrix was reduced to  
218 fewer dimensions by PCA for visualization (**Supplementary Methods**). For allergy this was  
219 also compared for the full range of p-values within the allergy meta-analysis in bins of  
220 decreasing p-value thresholds, essentially as done by Maurano and colleagues<sup>16</sup>. This was  
221 similarly done for Crohn's disease for comparison<sup>14</sup> using data from a GWAS metanalysis<sup>14</sup>  
222 including data from 6 studies of European descent with in total 6,333 cases and 15,056  
223 controls in the discovery imputed with Hapmap III or II with in total of 953,242 autosomal  
224 SNPs. To validate specific findings, the DHS enrichment was re-calculated for enhancer  
225 regions in data from the more conservative FANTOM5 set<sup>17</sup>.

## 226 **Results**

### 227 **Allergy meta-analysis**

228 By use of the joint meta-analysis on combined data from allergic sensitization and self  
229 reported allergy we increased the number of allergy-associated SNPs compared to the  
230 previous GWAS (**Supplementary Figure 2**) resulting in a total number of 19 genome-wide  
231 significant loci (**Supplementary Figure 3**). One of these; rs11122898 near *ANAPC1/MERKT*  
232 ( $p=1.9e-8$ ) has not previously been associated with allergy or any related trait. In addition  
233 there were 5 novel suggestive loci ( $p<e-5$ ): rs7612543 near *ZBTB38* ( $p=1.0e-7$ ); rs9790601  
234 near *NFKB1* ( $P=7.4e-8$ ); rs7072398 near *IL2RA* ( $p=5.2e-7$ ); rs12365699 near *CXCR5* ( $p=6.5e-7$ )  
235 and rs12900122 near *RORA* ( $p=3.5e-7$ ) (**Supplementary Figure 4 and Supplementary Table**  
236 **2**). Notably, all of these suggestive loci have previously been described in relation to  
237 autoimmune disorders<sup>11,18-30</sup>.

238

### 239 **Commonalities in genetic loci, pathways and disease implicated cell types between allergy** 240 **and autoimmune diseases**

241 There was a significant enrichment of autoimmune-associated loci with low p-values among  
242 the allergy loci from the current meta-analysis compared with expected (enrichment  
243  $OR=4.36$  [3.2-5.9],  $p=1.4e-17$ ) (**Figure 1A, Table 1 and supplementary table 3**). A similar  
244 enrichment was seen for the two allergy phenotypes separately (self reported allergy:  
245  $OR=4.1$  [3.0-5.5]  $p=1.1e-15$ , and allergic sensitization:  $OR=2.7$  [1.6-4.0]  $p=1.8e-5$ )  
246 (**Supplementary Figure 5**). This enrichment was also seen for the individual autoimmune  
247 diseases (**Figure 1A and Supplementary Figure 6**), although not statistically significant for

248 Systemic Lupus Erythematosus and Ankylosing Spondylitis and for Psoriasis and Graves  
249 Disease after adjusting for multiple testing (**Table 1**). We a priori chose a P-value threshold  
250 for the calculations of enrichment of  $<0.05$ . Using lower thresholds ( $P < 0.01$  or  $0.001$ )  
251 generally resulted in higher enrichment and significant enrichment for all autoimmune  
252 diseases.

253 As a methodological negative control, SNPs related to two non-inflammatory phenotypes  
254 with a large number of known genome-wide significant loci, migraine and the combination  
255 of bipolar disorder and schizophrenia from the GWAS catalog were extracted from the  
256 allergy meta-analysis. These showed no significant overlap with allergy loci (**Supplementary**  
257 **Figures 7 and 8**).

258 Using DEPICT to identify significantly enriched reconstituted gene sets for allergy  
259 (**Supplementary Table 4**) and all diseases in the GWAS catalog, we identified a strong  
260 separation of autoimmune diseases, allergy and asthma from other traits on the first PCA  
261 component (Wilcoxon rank sum test  $p_{PC1}=8.142e-08$ , Wilcoxon rank sum test) (**Figure 1B and**  
262 **Supplementary Figures 9 and 10**). Notably, no other disease groups seemed to cluster  
263 strongly together (**Supplementary Figures 9 and 10**).

264 Analysis of all published SNP-to-trait associations and the tendency of these SNPs to fall  
265 within regions of open chromatin (represented by DHS) in specific cell types<sup>31</sup> likewise  
266 revealed that autoimmune diseases, allergy and asthma clustered together, and  
267 differentiated from other non-immune diseases on the first two PCA components  
268 (**Supplementary Figures 11 and 12**,  $p_{PC1}=0.0035$ ,  $p_{PC2}=3.856e-07$ , Wilcoxon rank sum test).  
269 Loadings indicated that immune cells are responsible for this partitioning (**Supplementary**

270 **Figure 13**). Hierarchical clustering of the DHS sites within immune cells similarly showed the  
271 tendency of co-clustering of autoimmune diseases with allergy and asthma (**Supplementary**  
272 **Figure 14**). Specific DHS-analyses of allergy and Crohn's disease showed similar enrichment  
273 in variants falling within DHS regions of immune cells (**Supplementary Figure 15**). For allergy,  
274 these findings were validated in the FANTOM5 high confidence enhancer data set, which  
275 showed comparable enrichment among immune cells (**Supplementary Figure 16**)”

276

### 277 **The specific common genetic loci**

278 Out of the 290 autoimmune disease SNPs investigated, 29 were significantly associated with  
279 allergy at a FDR <0.05 (**Table 2**). Eleven of these common loci (*C11ORF30*, *LPP*, *PLCL1*, *HLA-B*,  
280 *SMAD3*, *IKZF3*, *MYC*, *CLEC16A*, *NDFIP1*, *BACH2* and *IL2RA/IL15RA*) were already reported to  
281 be associated with allergy<sup>9,10,32,33</sup> (**Table 2 and Supplementary Table 5 and 6**). The remaining  
282 18 overlapping loci included the mapped genes: *NFKB1*, *SH2B3*, *AKAP11/TNFSF11*, *ABO*,  
283 *C12ORF30*, *CD247*, *RADSNB*, *EP300*, *RORC*, *PSMG1*, *HDAC7/VDR*, *HLA-DPB2*, *BACH2*,  
284 *TNFAIP3*, *KIF1B*, *RPS6KA4*, *ERBB3*, *THADA*, and *GLB1/CCR4*. Look up of the 29 common loci in  
285 the separate results from the 2 different allergy-phenotypes (allergic symptoms and allergic  
286 sensitization) generally showed similar results, including same direction of effect for the two  
287 allergy-phenotypes for 28 out of 29 loci (**Supplementary Table 5**). For the majority of loci the  
288 effect size was higher for allergic sensitization compared to allergic symptoms, as would be  
289 expected due to the more homogenous phenotype, and for the majority of loci with  
290 evidence of heterogeneity between phenotypes, heterogeneity was due to this. The *NDFIP1*  
291 locus seemed only to be associated with self reported allergy.

292 Comparison of the direction of effect between allergy and autoimmune disease was possible  
293 for 27 of the 29 common loci compared with the index autoimmune disease. For 13 of these  
294 (48%), we found the same direction of effect meaning that the allele increasing the risk of  
295 allergy also increases the risk of the autoimmune disease (**Table 2**). For the remaining 14 loci  
296 (52%), we found opposite direction of effect with the risk allele for allergy being associated  
297 with reduced risk of the index autoimmune disease (**Table 2 and Supplementary Table 5**).

298 The majority of autoimmune diseases showed examples of both same and opposite  
299 direction of effect compared to allergy. For some of the common loci where several  
300 autoimmune diseases have reported association, the different autoimmune diseases  
301 showed differences in direction of effect relative to allergy (*HLA-B*, *BACH2* and *RPS6KA4*).

302 After gene set enrichment analyses of these 29 common loci, the resulting significant hits  
303 were all ontological terms relating to immune function, including immunoglobulin  
304 diversification and production, T-and B-cell activation, signaling by nuclear receptors and  
305 abnormal immune cell physiology. (**Figure 2, Supplementary Figure 17 and Supplementary**  
306 **Table 7**). Coloring for loci with same vs. opposite direction of effect for allergy and  
307 autoimmune diseases showed no clear systematic differences (Figure 2).

308 To investigate the potential enrichment for certain transcription factors in mediating the  
309 effect at common loci, public transcription factor binding data from ENCODE were analyzed  
310 for overlap within loci common between allergy and autoimmune diseases. This revealed  
311 significant enrichment for several immune related transcription factors (**Supplementary**  
312 **Figure 18**).

313

314 In order to investigate if the common loci seem to tag the same genetic variant, we  
315 performed regional association plots showing that, for almost all loci, the autoimmune  
316 disease tagging SNP is also within the LD-block with strongest regional association with  
317 allergy (**Supplementary Figure 19**). Tagging of the same genetic variation at common loci  
318 was further supported by paired comparison of regional association plots for Crohn's  
319 disease<sup>14</sup> and allergy, respectively, for the most statistically significant common loci  
320 (**Supplementary Figure 20**).

321

### 322 **Shared and differential genetic pathways for Allergy and Crohn's disease**

323 A direct comparison of pathways targeted by allergy-related loci vs. Crohn's disease loci  
324 revealed that a large proportion of shared as well as disease-specific pathways, with a strong  
325 predominance of T cell signaling modules within the shared grouping (**Figure 3**).



## 326 **Discussion**

327 Our study demonstrated substantial commonalities between allergy and autoimmune  
328 diseases in terms of susceptibility loci, genetic pathways and genomic regulatory sites (DHS).  
329 This overlap in genetic mechanisms seemed to be a general phenomenon for allergy  
330 autoimmune diseases and distinct from other diseases. Our study identifies a substantial  
331 number of novel overlapping loci for allergy and autoimmune diseases suggesting both  
332 shared (increasing risk of both autoimmune and allergic disease) as well as diverging genetic  
333 mechanisms.

334

### 335 *Strengths and limitations*

336 By combining two GWAS on allergic sensitization and allergic symptoms respectively, we  
337 were able to obtain the most powerful GWAS dataset on allergy to date, which allowed a  
338 systematic analysis of the genetic commonalities of allergy and autoimmune diseases.

339 The clinical phenotypes are not identical, with allergic sensitization often being present  
340 without symptoms and vice versa, which can also be seen as a limitation of the study.

341 However, the phenotypes are closely correlated genetically as suggested from the initial  
342 publications of the individual GWAS' showing highly consistent mutual replication of top-  
343 SNPs between studies<sup>9,10</sup>. The improved number of significant SNPs and a higher number of  
344 genome-wide significant loci in the combined meta-analysis performed here compared with  
345 the individual GWAS results also underscores the validity of the combined meta-analysis  
346 approach. Furthermore, the common loci between allergy and autoimmunity generally  
347 showed similar results for the two allergy-related phenotypes (**Supplementary Table 5**), and

348 the enrichment of autoimmune loci was also similar for both phenotypes (**Supplementary**  
349 **Figure 5**), strongly suggesting that the conclusions of this study is not affected by the  
350 combination of allergy-related phenotypes.

351 In the primary analyses we combined GWAS loci from several autoimmune diseases. This  
352 was done in order to assess commonalities between allergy and autoimmune diseases in  
353 general and to obtain enough autoimmune loci and thereby statistical power to perform  
354 more systematic analyses. However, it should be noted that autoimmune diseases represent  
355 a heterogenous group of diseases, and the genetic architecture of autoimmune genes seem  
356 to include shared, but also differential and opposing genetic mechanisms<sup>34-36</sup>. We therefore  
357 also performed separate enrichment analyses for the different autoimmune diseases  
358 showing that enrichment for allergy-related loci seem to be the case for the majority of  
359 autoimmune diseases (**Supplementary table 8**).

360 It is a limitation that we did not have access to GWAS data for all autoimmune diseases. For  
361 the regional analyses of shared loci, a publicly available GWAS dataset on Crohn's disease  
362 was therefore used as a representative of autoimmune disease. It is a limitation of the  
363 analyses based on GWAS-catalog markers, that genotype chips and imputation panels and  
364 procedures differ between studies, adding marker coverage heterogeneity.

365 Our study is also limited by differences in study size of GWAS on autoimmune diseases,  
366 meaning that the diseases where the largest GWAS have been performed, and most loci  
367 have been discovered, will have a relatively larger impact on the results of the analysis  
368 combining all autoimmune diseases. The number of reported loci for each autoimmune  
369 disease is shown in Table 1.

370

371 *Interpretation*

372 We found substantial overlap of susceptibility loci for allergy and autoimmune diseases  
373 suggesting commonalities in the genetic background and hence the possibility of shared  
374 pathogenetic mechanisms. The possible common etiology of allergy and autoimmune  
375 diseases was further supported by co-clustering of autoimmune diseases, asthma and allergy  
376 in terms of genetic pathways and regulatory sites implying shared disease pathways beyond  
377 overlap of associated SNPs.

378 To our knowledge, no previous study has had sufficient statistical power to systematically  
379 explore commonalities in the genetic architecture between allergy and autoimmunity. One  
380 previous study found no association between susceptibility loci for type 1 diabetes and total  
381 IgE levels<sup>37</sup> arguing against a shared genetic background. However, that study had much  
382 lower statistical power than the present study, and the genetics of total IgE has been shown  
383 to be different from the genetics of allergen-specific IgE<sup>10</sup>. Another study compared results  
384 from a GWAS on asthma with published GWAS results on autoimmune diseases and found  
385 evidence of 7 overlapping susceptibility loci, both showing examples of opposite and same  
386 direction of effect for asthma and autoimmune diseases<sup>38</sup>.

387

388 Importantly, our study demonstrates common loci with both the same and opposite  
389 direction of effects, potentially pointing towards both converging (shared) and diverging  
390 (counteracting) mechanisms, either increasing the risk of both allergy and autoimmune  
391 diseases, or increasing the risk for one of the diseases while protecting against the other.

392 Our study thereby provides further understanding of the complex genetic relationship  
393 between allergy and autoimmune diseases. We hypothesize that the common loci with same

394 direction of effect may be involved in the mechanisms causing the contemporaneous  
395 epidemics of allergy and autoimmune diseases<sup>39</sup> by increasing the susceptibility to immune  
396 disorders in general, probably by mechanisms involving gene-environment interaction.  
397 Complementarily, common loci with opposite direction of effect may be involved in  
398 mechanisms determining the type of immune disorder developing in the individual, resulting  
399 in the inverse relationship observed between allergy and some autoimmune diseases<sup>5-7</sup>.  
400 Understanding the mechanisms of these common genetic loci may improve understanding  
401 of the epidemics of allergy and autoimmune diseases. It may also help predict how targeting  
402 specific disease mechanisms could have the unintended consequence of increasing the risk  
403 of other diseases. We expect the loci with *same direction of effect* to be of particular  
404 importance in the search for common mechanisms driving the parallel increases in disease  
405 incidence. Of specific interest are the loci at *C11orf30*, *PLCL1* and *SMAD3*, which were  
406 strongly associated with allergy as well as several autoimmune diseases. Of these, *C11orf30*  
407 and *SMAD3* were also identified in a previous study comparing asthma and autoimmune  
408 diseases<sup>38</sup>. Some of the loci with same direction of effect were linked to immune-related  
409 transcription factors (*RORC*, *SMAD3*), transcriptional co-factors (*EP300*), cell-cycle regulators  
410 (*THADA*) or regulators of transcription (*C11ORF30*, *PLCL1*, *AKAP11*, *NDFIP1*). Several are  
411 directly implicated in regulation of regulatory T cells (Treg, *SMAD3*<sup>40</sup>, *EP300*<sup>41</sup>) and Th17 cells  
412 (*RORC*<sup>42</sup>, *SMAD3*<sup>40</sup>), or regulation of immune activity (*IL2RA*). This supports a commonality of  
413 autoimmune and allergic diseases based on defects in immune suppressive functions. Other  
414 loci with same direction of effect included *CLEC16A*, *TNFSF11*, *CCR4* and *GLB1*, all involved in  
415 immune function by different means.

416 Loci with *opposite direction of effect* might be involved in “polarization” of the immune  
417 response. Since allergy is acknowledged to involve Th2-mediated pathology, while most  
418 autoimmune diseases involve Th1 cells as well as pathogenic Th17 cells, any genetic factors  
419 that perturb Th differentiation ability or the immunosuppressive Treg function could  
420 potentially influence the risk of specific disease development. The loci with opposite  
421 direction of effect included *LPP*, *NFKB1*, *TNFAIP3*, all involved in immune cell signaling and  
422 activation/deactivation processes. Several of the other loci with opposite direction of effect  
423 function as possible regulators of immune cell differentiation (*HDAC7/VDR*<sup>43,44</sup>, *IKZF3*<sup>45</sup>,  
424 *SH2B3*, *BACH2*, *RPS6KA4 (MSK2)*<sup>46</sup>) and cell-cycle regulation (*RAD51L1*), while the remaining  
425 loci (*C12ORF30*, *PSMG1*, *HLA-DPB2*, *KIF1B*) are more general regulators of cellular function. It  
426 should however be noted that given the complexity of genetic regulation and the fact that  
427 the causal genetic variant is unknown for most susceptibility loci, the finding of common  
428 genetic loci with apparent opposite direction of effect should be interpreted with caution. It  
429 is possible that this is the result of different underlying causal variants in the region,  
430 although comparison of regional association plots suggested that the association signals for  
431 allergy and autoimmune disease did tag the same genetic variation. Potential polarizing  
432 mechanisms associated with these loci should be addressed in future studies, e.g. by  
433 demonstrating opposite disease relationships on the level of gene expression and/or protein  
434 levels.

435 Gene set enrichment analyses of the 29 shared loci (**Figure 2, Supplementary Figure 17 and**  
436 **Supplementary Table 7**) indicate that immune functioning and activation status specifically  
437 within T cells represents a shared focal point for allergy and autoimmune diseases.

438 Involvement of shared immunological paths was further supported based on the type of  
439 transcription factors found to be enriched within the common loci where generic immune  
440 regulatory transcription factors including MTA3, WRNIP1 and IKZF1 (Ikaros) turned out as  
441 central players (**Supplementary Figure 18**). MTA3 has been shown to be involved in B-cell  
442 and T helper cell differentiation<sup>47,48</sup> and WRNIP1 has been reported to regulate expression of  
443 transcription factors involved in Treg functioning<sup>49</sup>. IKZF1 activates extensive transcriptional  
444 programs involving especially regulation of T, B and NK cells with effects on differentiation,  
445 proliferation and apoptotic programs in these cell types<sup>50</sup>. Moreover, several other directly  
446 immune-related transcription factors were identified amongst the top 10 hits, including  
447 NFATC1, STAT2, MEF2C, RELA, SP2 and ZEB1<sup>51-56</sup>.

448

449 The comparative pathway analysis between allergy and Crohn's disease highlights that the  
450 common pathways of these diseases are founded on (de)regulation of adaptive immune  
451 signaling, involving TCR, CD28, IL2/3/5/6, IFN $\gamma$ , GM-CSF, JAK/STAT and IL receptor signaling  
452 as well as of apoptosis and IgA production. The Crohn's disease-specific network primarily  
453 included pathways associated with innate immune activation involving TLR and NOD  
454 signaling, while allergy-specific pathways were associated with signaling from receptor  
455 tyrosine kinases such as EGFR. (**Figure 3**)

456 A strong co-clustering and separation of allergy, asthma and autoimmune diseases in terms  
457 of enrichment of SNPs in cell type specific DHS sites, points towards common immune  
458 modulatory mechanisms facilitated by the effect of the genetic SNP burden in immune cell-  
459 specific regulatory regions (**Supplementary Figures 11 and 13**). For Allergy and Crohn's  
460 disease, these related primarily to T and B cell functions (**Supplementary Figure 15**). In

461 accordance with our findings of coinciding immune cell involvement in several immune-  
462 mediated diseases, one previous study on Multiple Sclerosis reported several genetic  
463 markers in relevant DHS sites in immune cells.<sup>57</sup> Accordingly and in line with our findings a  
464 recent paper focusing on autoimmune disease variants show how these immune mediated  
465 diseases are correlated and cluster in tendency for associated variants to be enriched in  
466 specifically immune cell enhancers.<sup>58</sup> Moreover, the enrichment of immune-cell specific DHS  
467 sites specifically within promoter regions (**Supplementary Methods, Supplementary Figure**  
468 **21**) may be a result of the adaptability and immediate early gene response requirements of  
469 the immune system, and since their positioning is essential for gene expression levels this  
470 might also explain why the identified specific SNP variants pose increased risk for disease  
471 penetrance.

472

473 In addition to pinpointing common loci between allergy and autoimmune diseases, our  
474 identification of common loci also suggests a large number of novel allergy loci; 18 of the 29  
475 common loci have not previously been associated with allergy (**Table 2**). Our study suggests  
476 that these are all susceptibility loci for allergy that did not reach the criteria for genome-  
477 wide significance and was therefore not discovered in previous GWAS.

478 Furthermore, our combined meta-analysis on allergy and allergic symptoms identified  
479 suggestive allergy loci with several previously related to immune function (*NFKB1*<sup>59</sup> and  
480 *CXCR5*<sup>60</sup>, **Supplementary Table 2**). One novel suggestive allergy locus was discovered at  
481 rs11122898 and reached the genome-wide significance threshold. This locus is close to  
482 *ANAPC1* but is most likely affecting the upstream *MERKT* gene (**Supplementary Figure 22**),  
483 which is involved in regulation of dendritic cells via B-cell activating factor (BAFF)<sup>61</sup>. One

484 additional suggestive locus was at *ZBTB38*, and genes within this family have been shown to  
485 have important function in naive B-cell differentiation<sup>62</sup>, and have been associated to  
486 eczema<sup>63</sup> and asthma with hay fever<sup>33</sup> although it has not been shown that these genes are  
487 the causal genes within these loci.

488

489 In conclusion, we performed the first large study on commonalities in the genetic origins of  
490 allergy and autoimmune diseases and documented substantial genetic overlap between  
491 these diseases. The recent availability of a vast array of genomics data from the ENCODE and  
492 other consortia provides a solid foundation for systems biology analysis in disease settings.  
493 Exploiting this approach, we identified common molecular pathways between allergy and  
494 autoimmune diseases, identical patterns of overlaps with open chromatin specifically within  
495 immune cell-specific regulatory regions, and overlap in transcription factor binding sites,  
496 emphasizing common characteristics in gene regulation. Further investigation of these  
497 commonalities in genetic mechanisms might improve understanding of important biological  
498 pathways that increases the risk of allergy and autoimmune diseases as well as mechanisms  
499 differentiating these diverse diseases. Understanding of potential shared genetic origins of  
500 allergy and autoimmune diseases, maybe particularly related to the loci with the same  
501 direction of effect, could point to vulnerable “hot” points in immune system pathways that  
502 may also be affected by other modifiers such as epigenetics and environmental exposures.  
503 This insight might provide important clues for understanding the parallel epidemics of these  
504 diseases and thereby enforce future disease prevention.

505

506



507

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509 Please see supplement.

510

511 **Author Contributions**

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520 L.F., J.N.H, A.J., A.S., J.Y.T., G.H.K., D.S.P., C.E.P., M.R.J., A.C., N.T., M.A.F, D.P.S, A.J.H., D.H.,  
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522

523 **Competing financial interests**

524 D.H. and J.Y.T. are employees of 23andMe. The remaining authors declared no competing  
525 interests of relevance to this paper.

526

527 **Figure Legends**

528

529 **Figure 1. Commonalities in susceptibility loci and genetic pathways between allergy and**  
530 **autoimmune diseases**

531 **A)** Autoimmune disease-associated loci and their association with allergy. Quantile-quantile  
532 plots representing the observed vs. expected p-values in the combined allergy GWAS for all  
533 290 candidate autoimmune disease-associated loci (large panel), and separately for selected  
534 autoimmune diseases (smaller panels). Solid line reflects the p-value distribution under the  
535 null, while the dashed line is the distribution of all SNPs from the allergy meta-analysis. T1D:  
536 Type 1 diabetes.

537 **B)** Commonalities in genetic pathways. Principal component analysis of DEPICT gene set  
538 enrichment results based on trait- associated variants for 123 traits from the GWAS Catalog  
539 and allergy. The blue area represents the shared minimal ellipsoid area of allergy and  
540 autoimmune diseases.

541

542 **Figure 2. Pathway-based analysis of common loci**

543 Principal component analysis of DEPICT gene set enrichment results based on the 29  
544 common loci between allergy and autoimmune diseases, with each dot representing a single  
545 enriched gene set. Loadings for the index genes are illustrated by arrows. Genes denoted in  
546 blue and orange represent same and opposite direction of effect for allergy, respectively, as  
547 compared to index autoimmune disease. For genes denoted in gray, index autoimmune  
548 disease did not report effect allele. The blue areas represent cohesive clusters of gene sets

549 with similar immune function. The individual gene set names are shown in **Supplementary**  
550 **Figure 15.**

551

552 **Figure 3. Common and disease-specific genetic pathways**

553 DEPICT gene-set enrichment map of common (blue), allergy-specific (red) and Crohn's  
554 Disease-specific (green) pathways. The correlation between pathways is depicted by the line  
555 width. Sets with a correlation  $< 0.4$  and singletons are not shown.

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**Table 1 – Enrichment of significant allergy loci among autoimmune disease-associated loci**

Phenotype	# Loci	# Sig. Loci*	Proportion Sig.	eOR	CI	P
<b>All Autoimmune Loci</b>	290	57	0.20	4.36	[3.20-5.85]	<b>1.40E-17<sup>§</sup></b>
<b>Inflammatory Bowel Disease</b>	178	42	0.24	5.51	[3.80-7.84]	<b>2.60E-16<sup>§</sup></b>
<b>Crohn's Disease</b>	97	26	0.27	6.53	[4.00-10.36]	<b>5.00E-12<sup>§</sup></b>
<b>Ulcerative Colitis</b>	60	16	0.27	6.48	[3.41-11.72]	<b>6.40E-08<sup>§</sup></b>
<b>Multiple Sclerosis</b>	54	12	0.22	5.09	[2.44-9.86]	<b>2.10E-05<sup>§</sup></b>
<b>Type 1 Diabetes</b>	43	8	0.19	4.07	[1.63-8.94]	<b>1.70E-03<sup>§</sup></b>
<b>Arthritis</b>	40	9	0.23	5.18	[2.17-11.14]	<b>2.00E-04<sup>§</sup></b>
<b>Celiac Disease</b>	36	11	0.31	7.85	[3.48-16.53]	<b>1.70E-06<sup>§</sup></b>
<b>Systemic Lupus Erythematosus</b>	27	4	0.15	3.10	[0.78-9.07]	5.30E-02
<b>Primary Biliary Cirrhosis</b>	23	7	0.30	7.80	[2.71-20.03]	<b>1.40E-04<sup>§</sup></b>
<b>Psoriasis</b>	19	4	0.21	4.75	[1.15-14.93]	<b>1.62E-02</b>
<b>Graves Disease</b>	15	3	0.20	4.46	[0.81-16.52]	<b>4.20E-02</b>
<b>Ankylosing Spondylitis</b>	11	2	0.18	3.96	[0.42-19.14]	1.10E-01

\* With P&lt;0.05 to allergy

Bold: Nominal significant. §: Significant at Bonferroni (0.05/13)

eOR: enrichment Odds-Ratio calculated for autoimmune diseases with at least 10 loci

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561

Chr:BP	Gene	SNP	MAF*	Beta	P, FDR	Het. P**	Traits§	Direction £	Known allergy Locus	Other genes in LD > 0.5
11:75976 842	<i>C11ORF30</i>	rs215521 9[T]	0.47	0.12	6.10E-23	5.20E-03	CrD(+), <b>IBD(+)</b> , UC(+)	Same	Yes\$	-
3:189595 248	<i>LPP</i>	rs146451 0[A]	0.48	-0.07	4.20E-07	1.40E-01	<b>CeD(-)</b>	Opposite	Yes\$	-
2:198605 140	<i>PLCL1</i>	rs673882 5[A]	0.48	0.06	9.20E-07	1.90E-01	<b>CrD(+)</b>	Same	Yes\$	ANKRD44, BOLL, COQ10B, HSPD1, HSPE1, MARS2, MOB4,, RFTN2, SF3B1
6:314440 79	<i>HLA-B</i>	rs774376 1[A]	0.26	-0.07	1.90E-06	4.00E-01	<b>AS(na)</b> , GD(-), SLE(+), UC(+)	na	Yes\$	-
15:65229 650	<i>SMAD3</i>	rs172936 32[T]	0.27	0.07	2.00E-06	1.90E-01	<b>CrD(+)</b> , IBD(+)	Same	Yes\$	-
17:35175 785	<i>IKZF3</i>	rs907092[ A]	0.49	-0.06	4.10E-05	8.30E-01	CrD(-), <b>IBD(-)</b> , PBC(-), T1D(-), UC(-)	Opposite	Yes\$	GSDMB, ORMDL3, ZPBP2
8:128884 211	<i>MYC</i>	rs441087 1[T]	0.28	0.06	4.10E-05	8.60E-04	<b>MS(-)</b>	Opposite	Yes\$	-
12:11036 8991	<i>SH2B3</i>	rs318450 4[T]	0.45	-0.06	5.30E-05	7.50E-02	A(-), CeD(- ) <b>, T1D(-)</b>	Opposite	Novel	ACAD10, ADAM1, ALDH2, ATXN2, BRAP, C12orf47, C12orf51, ERP29, MAPKAP5, NAA25, TMEM116, TRAFD1
13:41950 880	<i>AKAP11</i> , <i>TNFSF11</i>	rs206230 5[A]	0.43	-0.05	2.00E-03	8.50E-01	<b>CrD(+)</b>	Same	Novel	-
16:11087 374	<i>CLEC16A</i>	rs127087 16[A]	0.35	0.05	2.00E-03	8.60E-02	MS(+), PBC(+), <b>T1D(+)</b>	Same	Yes\$	-
9:135139 050	<i>ABO</i>	rs505922[ T]	0.37	-0.05	2.00E-03	2.90E-01	<b>GD(+)</b>	Opposite	Novel	-
12:11097 1201	<i>C12ORF30</i>	rs176967 36[A]	0.42	0.05	2.20E-03	1.50E-01	<b>T1D(-)</b>	Opposite	Novel	-
4:103770 651	<i>NFKB1€</i>	rs766509 0[A]	0.49	0.04	2.70E-03	7.00E-01	<b>PBC(-)</b>	Opposite	Novel	MANBA
1:165678 008	<i>CD247</i>	rs864537[ A]	0.38	0.04	4.80E-03	6.10E-01	<b>A(+)</b> , CeD(+), SC(+)	Same	Novel	-
14:67823 346	<i>RADSNB</i>	rs911263[ T]	0.29	-0.04	6.40E-03	1.80E-02	<b>PBC(-)</b>	Opposite	Novel	-
22:39761 288	<i>EP300</i>	rs482042 5[A]	0.29	0.05	6.60E-03	8.60E-01	<b>CrD(+)</b>	Same	Novel	CHADL, DNAJB7, L3MBTL2, RANGAP1, ST13, XPNPEP3, ZC3H7B
1:150068	<i>RORC</i>	rs484560	0.14	-0.06	7.50E-03	7.40E-01	<b>IBD(+)</b>	Same	Novel	-

304		4[A]									
10:61095 67	<i>IL2RA</i> , <i>IL15RA</i>	rs127225 63[A]	0.12	0.06	7.50E-03	1.00E+00	<b><u>T1D(na)</u></b>	na	Yes\$\$	-	
21:39387 404	<i>PSMG1</i>	rs283687 8[A]	0.26	0.05	7.50E-03	3.10E-01	AS(-), <b><u>IBD(-)</u></b> , UC(-)	Opposite	Novel	-	
12:46494 635	<i>HDAC7</i> , <i>VDR</i>	rs111682 49[T]	0.48	0.04	8.70E-03	4.60E-01	<b><u>IBD(-)</u></b>	Opposite	Novel	-	
6:331680 96	<i>HLA-DPB2</i>	rs228138 8[A]	0.02	-0.13	8.80E-03	3.10E-01	<b><u>GD(-)</u></b>	Opposite	Novel	-	
6:910149 52	<i>BACH2</i>	rs117555 27[C]	0.42	0.04	8.80E-03	3.90E-02	CrD(+), <b><u>T1D(-)</u></b>	Opposite	Yes\$\$\$	-	
6:138048 197	<i>TNFAIP3</i>	rs692022 0[A]	0.22	-0.05	1.00E-02	3.20E-01	A(-), CeD(-), <b><u>IBD(-)</u></b> , UC(-)	Opposite	Novel	-	
5:141493 388	<i>NDFIP1</i>	rs686341 1[A]	0.37	-0.04	1.00E-02	4.90E-02	<b><u>IBD(+)</u></b>	Same	Yes\$\$\$	-	
1:102756 99	<i>KIF1B</i>	rs104929 72[T]	0.34	0.04	1.40E-02	5.00E-01	<b><u>MS(-)</u></b>	Opposite	Novel	PGD, UBE4B	
11:63864 311	<i>RPS6KA4</i>	rs663743[ A]	0.36	0.04	2.50E-02	2.40E-01	CrD(-), <b><u>S(+)</u></b>	Same	Novel	CCDC88B, ESRRA, GPR137, PRDX5, TRMT112	
12:56482 180	<i>ERBB3</i>	rs229223 9[T]	0.32	0.04	2.50E-02	2.10E-01	<b><u>T1D(+)</u></b>	Same	Novel	IKZF4, SUOX	
2:436604 22	<i>THADA</i>	rs104959 03[T]	0.12	0.05	3.40E-02	5.50E-01	<b><u>CrD(+)</u></b> , <b><u>IBD(+)</u></b>	Same	Novel	-	
3:329904 73	<i>GLB1</i> , <i>CCR4</i>	rs133149 93[T]	0.43	-0.03	4.70E-02	8.00E-02	<b><u>CeD(+)</u></b>	Same	Novel	-	

\* As reported by Bønnelykke et al.<sup>5</sup>

\*\* Test for heterogeneity within the allergy meta-analysis

§ Reported traits associated with locus ( autoimmune trait marker LD with allergy marker > 0.6 and allergy marker  $p < 0.05$ ) A: Arthritis, AS: Ankylosing Spondylitis, CeD: Celiac Disease, CrD: Crohn's Disease, GD: Graves Disease, IBD: Inflammatory Bowel Disease, MS: Multiple Sclerosis, P:Psoriasis, PBC: Primary Biliary Cirrhosis, SLE: Systemic lupus erythematosus, SS: Systemic Sclerosis UC: Ulcerative Colitis, T1D: Type 1 Diabetes. The index disease used in the comparison of effect direction marked by bold and underlined. +/- denotes direction of effect for the autoimmune disease compared to the direction allergy.

£ As compared to index autoimmune disease (underlined and bold in the "Traits" column)-SNP effect direction.

Na: Some diseases have not reported the effect allele

§ Genome-wide significant association to allergy/allergic sensitization reported by Hinds et al, and/or Bønnelykke et al.<sup>4,5</sup>

\$\$ Suggestive association to asthma with hayfever reported by Ferreira et al.<sup>33</sup>

\$\$\$ Suggestive association to asthma reported by Ferreira et al.<sup>32</sup>

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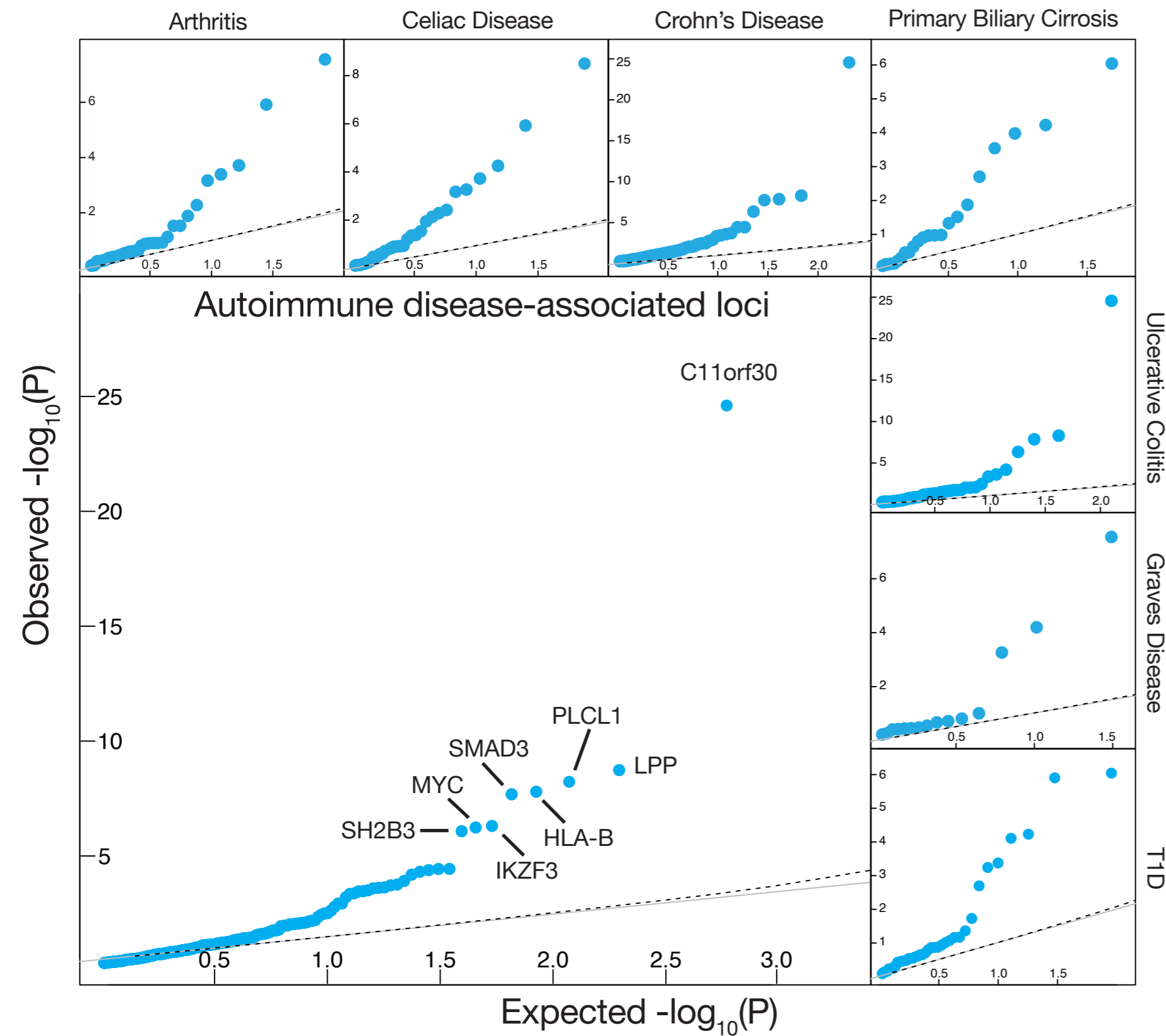
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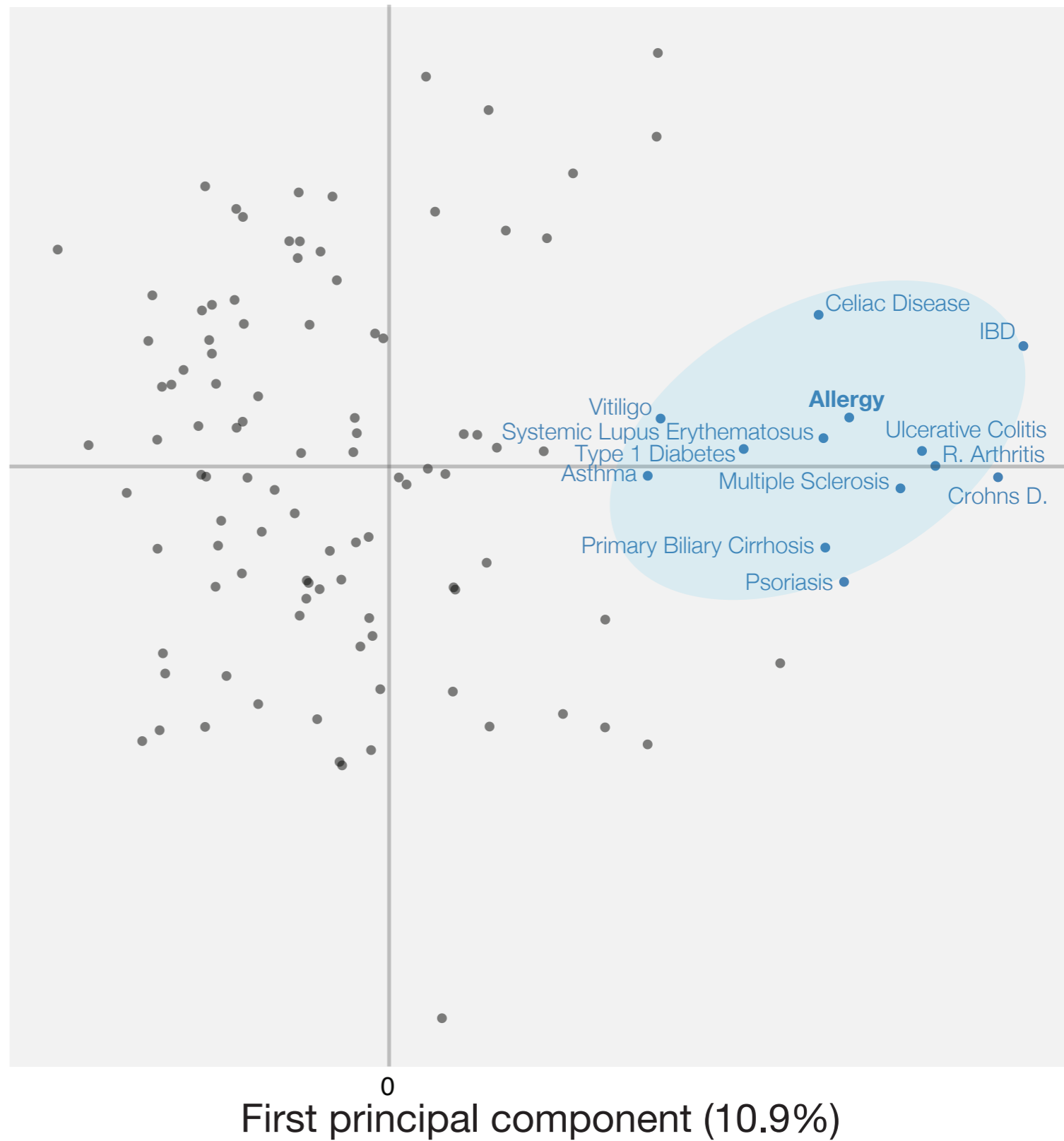
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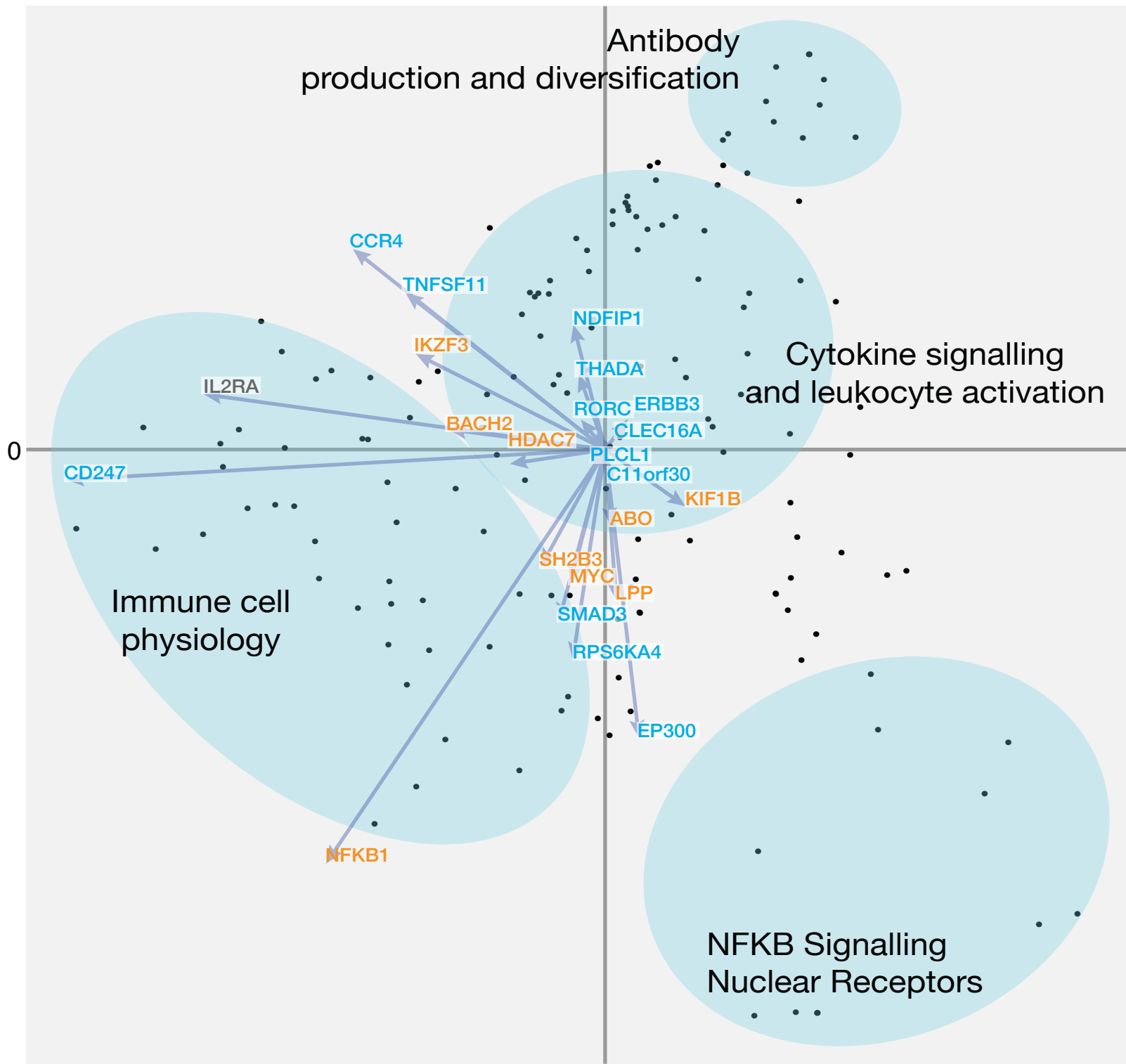


**B**

Second principal component (6.7%)

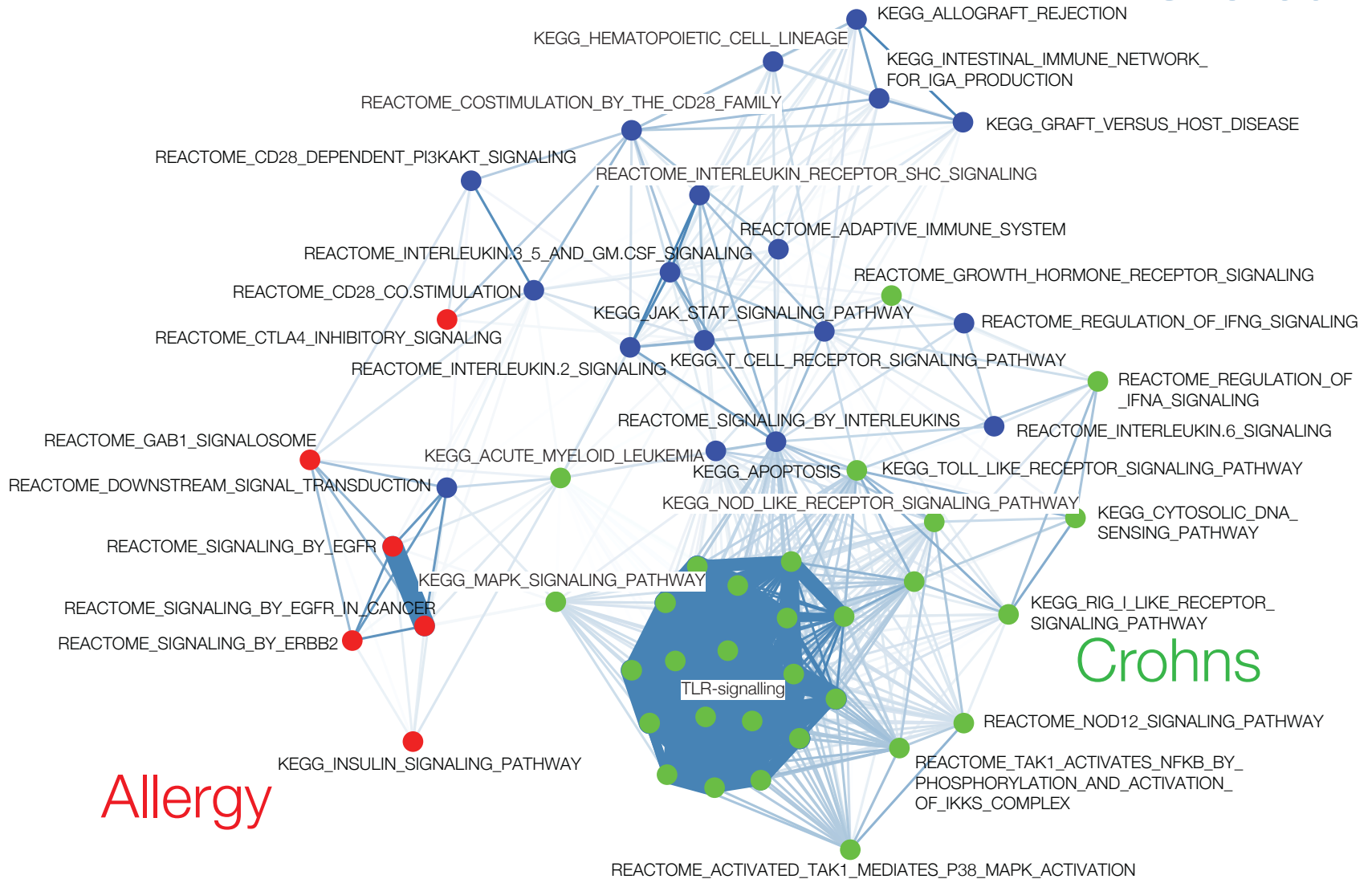


Second principal component (8.8%)




First principal component (11.7%)

Shared



Crohns

Allergy

Geneset gene membership correlation   
0.4 1