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# The high-mass end of the red sequence at $z \sim 0.55$ from SDSS-III/BOSS: completeness, bimodality and luminosity function

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#### **ABSTRACT**

We have developed an analytical method based on forward-modelling techniques to characterize the high-mass end of the red sequence (RS) galaxy population at redshift  $z \sim 0.55$ , from the DR10 BOSS (Baryon Oscillation Spectroscopic Survey) CMASS spectroscopic sample, which comprises  $\sim 600\,000$  galaxies. The method, which follows an unbinned maximum likelihood approach, allows the deconvolution of the intrinsic CMASS colour-colour-magnitude distributions from photometric errors and selection effects. This procedure requires modelling the covariance matrix for the i-band magnitude, g-r colour and r-i colour using Stripe 82 multi-epoch data. Our results indicate that the error-deconvolved intrinsic RS distribution is consistent, within the photometric uncertainties, with a single point (<0.05 mag) in the colour-colour plane at fixed magnitude, for a narrow redshift slice. We have computed the high-mass end ( $^{0.55}M_i \lesssim -22$ ) of the  $^{0.55}i$ -band RS luminosity function (RS LF) in several redshift slices within the redshift range 0.52 < z < 0.63. In this narrow redshift range, the evolution of the RS LF is consistent, within the uncertainties in the modelling, with a passively evolving model with  $\Phi_* = (7.248 \pm 0.204) \times 10^{-4} \,\mathrm{Mpc^{-3}} \,\mathrm{mag^{-1}}$ , fading at a rate of 1.5  $\pm$ 0.4 mag per unit redshift. We report RS completeness as a function of magnitude and redshift in the CMASS sample, which will facilitate a variety of galaxy-evolution and clustering studies using BOSS. Our forward-modelling method lays the foundations for future studies using other dark-energy surveys like the Extended Baryon Oscillation Spectroscopic Survey or the Dark Energy Spectroscopic Instrument, which are affected by the same type of photometric blurring/selection effects.

**Key words:** methods: analytical – methods: statistical – surveys – galaxies: evolution – galaxies: luminosity function, mass function – galaxies: statistics.

### 1 INTRODUCTION

At low redshift, large spectroscopic surveys like the Sloan Digital Sky Survey (SDSS; York et al. 2000) or the Two Degree Field Galaxy Redshift Survey (Colless et al. 2001) have allowed a

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detailed characterization of the main statistical properties of the galaxy population, serving as a solid benchmark for galaxy-evolution and large-scale-structure (LSS)/clustering studies. At higher redshift, however, establishing these mean statistical properties has proven significantly challenging, as galaxy samples are strongly affected by small-number statistics and selection effects.

In recent years, a new type of survey has emerged, increasing the number of galaxies accessible for population studies at higher redshifts. The so-called dark-energy (DE) surveys collect data for millions of galaxies with the specific goal of understanding the nature of the DE that drives the present-day accelerated expansion of the Universe. The largest of these DE experiments to date is the Baryon Oscillation Spectroscopic Survey (BOSS; Dawson et al. 2013) of the SDSS-III (Eisenstein et al. 2011). BOSS uses a sample of over 1.5 million luminous red galaxies (LRGs) to measure the baryon acoustic oscillation (BAO; Eisenstein et al. 2001) at  $z \simeq 0.55$ . The BAO is an overdensity of baryonic matter that can be used as a *standard ruler* for measuring the acceleration of the Universe and, consequently, exploring the nature of DE (e.g. Eisenstein et al. 2001, 2005; Drinkwater et al. 2010; Blake et al. 2011).

The unprecedented statistics offered by BOSS, and other future DE surveys, have also great potential to clarify the massive galaxy-evolution picture at z < 1. Realizing such potential presents, however, significant challenges. While the current state of the art of precision cosmology dictates the use of huge volumes and large densities, in terms of redshift estimation, low signal-to-noise (S/N) spectra are generally sufficient. In addition, the effect of photometric uncertainties becomes progressively more severe, as we explore higher and higher redshift ranges. This paper is aimed at developing the adequate statistical tools to maximize the amount of galaxy-population information that we can extract from BOSS, in order to shed light into the massive end  $(M_* \gtrsim 10^{11} \, \mathrm{M}_{\odot})$  of the red sequence (RS) at  $z \sim 0.55$ , and to increase the accuracy of cosmological analyses that use these galaxies as tracers of the LSS.

The evolution of  $L_*$  galaxies from  $z \sim 1$  has been thoroughly studied using a variety of galaxy samples (often combining different redshift surveys). It appears well established that the number density for blue  $L_*$  galaxies remains fairly constant at z < 1, whereas the number density of red  $L_*$  galaxies experiences a significant increase over the same period of time ( $\Phi_*$  increases at least by a factor of 2, according to Faber et al. 2007). The very massive end of the RS population, the main focus of this work, has been hard to probe, however, mostly due to footprint limitations. There are, nevertheless, clear indications of differential evolution between red  $L_*$  galaxies and their very massive counterparts, whose evolution seems to much more closely approximate that of a passively evolving galaxy population. Note that, strictly speaking, a purely passively evolving galaxy population does not exist. As an example, mergers have been observed for individual massive elliptical galaxies (e.g. the Perseus A system). An important question, however, is whether these processes are common enough to be significant in the average evolution of the galaxy population. One of the ultimate goals in galaxy evolution is to quantify the incidence of these

Cool et al. (2008), by computing the luminosity function (LF), found results consistent with passive evolution for the massive ( $L > 3L_*$ ) RS population at z < 1. This conclusion was drawn from a combined sample of  $\sim 60\,000$  LRGs at 0.1 < z < 0.4 and 300

LRGs at 0.6 < z < 1. The small size of the high-redshift sample hinders, however, the interpretation of their results. Similar results for the very massive early-type population are found by Wake et al. (2006), using both an LRG sample selected from SDSS data and the 2dF-SDSS LRG and OSO survey. This idea is reinforced by subsequent work from Maraston et al. (2013), who adopted a refined version of the LRG passive template used in Cool et al. (2008) described in Maraston et al. (2009), to perform broad-band Spectral Energy Distribution (SED) fitting on red galaxies from the BOSS DR9 data set. Maraston et al. (2013) conclude that this template provides a good fit to BOSS galaxies redder than g - i = 2.35at 0.4 < z < 0.7, although there is only so much resolution that can be attained using broad-band SED fitting. The evolution in the clustering properties of LRGs from the BOSS samples also appears to support the idea of mergers having little effect in the evolution of these systems (see Guo et al. 2013, 2014). Although passive evolution is just a simplified description, these results would indicate that this assumption is well justified, within the uncertainties in the measurements.

Some other authors have reported results that suggest that mergers might still play a significant role, albeit small. Tojeiro et al. (2012) present a method for identifying the progenitors of SDSS-I/II LRGs within the BOSS sample. They conclude that the LRG population evolves slowly at late times, i.e. less than 2 per cent by merging from redshift  $z \sim 0.55$  to  $z \sim 0.1$ , when the two samples are properly matched and weighted. Brown et al. (2007), using data from the National Optical Astronomy Observatory Deep Wide-Field (NDWF) and Spitzer Infrared Array Camera (IRAC) Shallow surveys in the Boötes field, find that the evolution of  $4L_*$  galaxies differs (although only slightly) from a model with negligible z < 1 star formation and no galaxy mergers. From cross-correlation function measurements using 25 000 LRGs at 0.16 < z < 0.36 from the SDSS LRG sample, Masjedi et al. (2006) infer a low LRG-LRG merger rate, of  $<0.6 \times 10^4 \text{ Gyr}^{-1}$ Gpc<sup>-3</sup>. Lidman et al. (2013) also found a major merger rate of  $0.38 \pm 0.14$  mergers per Gyr at  $z \sim 1$ , for brightest cluster galaxies (BCGs) using a sample of 18 distant galaxy clusters with over 600 spectroscopically confirmed cluster members between them (note that although many LRGs are BCGs, many LRGs are also field or group-member galaxies, so merger rates between LRG and BCG populations are not strictly comparable).

Interestingly, Bernardi et al. (2016) have recently reported some tension between LF/stellar mass function (SMF) results and clustering results, although the authors also acknowledge that, again, a proper completeness correction for BOSS might alleviate this tension. Bernardi et al. (2016) claim that, while the evolution of the LF/SMF from BOSS to the SDSS is consistent with a passive-evolution scenario, this appears not to be the case for the evolution of clustering. SDSS galaxies appear to be less strongly clustered than their BOSS counterparts, a result that seems to rule out the passive-evolution scenario, and, in fact, any minor merger scenarios where the rank ordering in stellar mass of the massive galaxy population is preserved.

Constraining the effect of mergers in the evolution of massive RS galaxies is still, therefore, an open question, which has ramifications in other related fields. As an example, another piece of the puzzle has come from the study of the stellar populations of individual early-type galaxies, since the seminal work of Tinsley (1968). It appears well established that the stars in the *majority* of the low-z, early-type galaxies were formed at  $z \gtrsim 2$ , with little indications of star formation occurring since that time (Bower, Lucey & Ellis 1992; Ellis et al. 1997; Kodama et al. 1998; de Propris et al. 1999;

<sup>&</sup>lt;sup>1</sup> For the purposes of this work, 'passive evolution' refers to the evolution of a galaxy in the absence of either mergers or ongoing star formation.

Brough et al. 2002; Glazebrook et al. 2004; McCarthy et al. 2004; Holden et al. 2005; Thomas et al. 2005; Wake et al. 2005; Bernardi et al. 2006; Jimenez et al. 2007). However, there appears to be a fraction that exhibit clear signs of recent star formation (e.g. Trager et al. 2000; Balogh et al. 2005; Schawinski et al. 2007; Clemens et al. 2009). In this sense, indications of star formation are considerably more common among intermediate- to low-mass early-type galaxies and among early-type galaxies residing in lower density environments (see, e.g., Clemens et al. 2006; Thomas et al. 2010).

The first goal of this paper is to provide an adequate forward-modelling framework for galaxy-evolution and clustering studies using BOSS. This framework is intended to characterize the high-mass end of the RS population at  $z \sim 0.55$  (intrinsic distributions of galaxy properties, colour bimodality, LF) and to lay the foundations for a framework that can be extended to other DE surveys at other redshifts. We will concentrate on the photometric properties of the RS population; the inclusion of spectroscopic information is addressed in the complementary analysis presented in Montero-Dorta et al. (2016), in the context of the study of the L- $\sigma$  relation from BOSS.

The paper is organized as follows. Section 2 presents an overview of methods and motivations for this analysis. In Section 3, we describe the target selection for the BOSS CMASS sample and we discuss the observed CMASS distributions in the colour-colourmagnitude space. Section 4 is devoted to briefly describing the procedure for modelling the covariance matrix for colours and magnitudes using Stripe 82 multi-epoch data. A detailed description of this aspect can be found in Appendix A. In Section 5, we discuss the expected shape for the massive end of the intrinsic distribution as predicted by stellar population synthesis (SPS) models and provide some preliminary indications obtained from a simple histogram deconvolution method. In Section 6, we describe in detail our analytical method for deconvolving the observed CMASS distribution. In Section 7, we present the main results of this paper. Finally, in Section 8 we discuss the significance and implications our results, in Section 9 we discuss future applications of our results and in Section 10 we briefly summarize the main conclusions of this study. Throughout this paper, we adopt a cosmology with  $\Omega_{\rm M} = 0.274, \, \Omega_{\Lambda} = 0.726 \text{ and } H_0 = 100 \, h \, {\rm km \ s^{-1} \ Mpc^{-1}}$  with h= 0.70 (Wilkinson Microwave Anisotropy Probe 7; Komatsu et al. 2011), and use AB magnitudes (Oke & Gunn 1983).

# 2 OVERVIEW OF CHALLENGES, METHODS AND MOTIVATIONS

Despite its unprecedented statistical power, BOSS presents significant challenges, some of which are common to many future DE surveys (e.g. the Extended Baryon Oscillation Spectroscopic Survey, eBOSS; the Dark Energy Spectroscopic Instrument, DESI). Measuring well-defined cosmological features, such as the BAO, results in survey requirements that are aimed at maximizing the global, statistical efficiency of the survey, at the cost of marginalizing the quality of individual-object measurements. BOSS presents a combination of a complex target selection, large photometric errors and low-S/N spectra (the last two issues being obviously related). In addition, model magnitudes, from which the colours used in the CMASS selection are built, are known to exhibit correlations between different bands, as the best-fitting profile from the *r* band, convolved with the point spread function in each band, is used as a matched aperture for all bands (Strateva et al. 2001; Stoughton et al. 2002).

The above effects distort the observed colour/magnitude distributions and hinder our ability to identify the latent intrinsic red/blue populations from BOSS data. This paper is the first attempt to lay down an optimal framework for characterizing the galaxy population from BOSS and other future DE surveys. Our philosophy follows a forward-modelling approach based on the idea that the strategy followed by DE surveys should be reflected in the way we analyse the data: instead of individual galaxy properties our goal is to constrain distributions of galaxy properties. The main steps of our analysis are as follows.

- (i) Present a method for the photometric deconvolution of the intrinsic colour–colour–magnitude distributions from the observed BOSS CMASS distributions. We will proceed on the basis that the shape of the intrinsic distributions can be obtained directly from the data, without the need for making any strong assumptions based on SPS models. The computed intrinsic distributions purely reflect the phenomenology of the colour–colour–magnitude diagrams.
- (ii) Computation of completeness as a function of colours, magnitude and redshift in the BOSS CMASS sample. This is a key result for future galaxy-evolution and galaxy-clustering studies.
- (iii) Computation of the high-mass end of the RS LF within a redshift range around z=0.55.
- (iv) Computation of the best-fitting passive-evolution model for the average evolution of the high-mass end of the RS LF.
- (v) Evaluation and quantification of deviations from the bestfitting passive-evolution model and possible systematics that affect this measurement.
- (vi) Quantification of the colour evolution of the high-mass end of the RS.

The methodology and results presented in this paper constitute the basis for the scaling-relation analysis presented in Montero-Dorta et al. (2016). In Montero-Dorta et al. (2016), we use the intrinsic colour–magnitude distributions/completeness obtained from BOSS, in combination with velocity-dispersion likelihood functions to derive the high-mass end of the L- $\sigma$  relation at  $z \sim 0.55$ . As part of the analysis, we present a method for the photometric deconvolution of spectroscopic observable (named PDSO).

In addition to the galaxy-evolution implications, understanding the BOSS data at the level of detail intended here has also important applications in other fields. In particular, this characterization of completeness and of the main statistical properties of the RS population will be used, in follow-up papers, in combination with *N*-body numerical simulations, to shed light into the halo–galaxy connection and the clustering properties of these systems in a fully consistent way.

#### 3 DATA: THE BOSS CMASS SAMPLE

In this paper, we make use of spectroscopic and photometric data from the 10th Data Release (DR10) of the SDSS (Ahn et al. 2014). The SDSS DR10 is the third release within the SDSS-III, and the second release where BOSS data are included. The spectroscopic DR10 BOSS sample comprises a total of 927 844 galaxy spectra and 535 995 quasar spectra (an increase of almost a factor of 2 as compared to the SDSS DR9; Ahn et al. 2012). The baseline imaging sample for the BOSS spectroscopic survey is the final SDSS imaging data set, which was released as part of the DR8 (Aihara et al. 2011), and contains imaging data from SDSS-I, -II and -III. These imaging programmes provide five-band ugriz imaging over 7600 sq. deg. in the northern Galactic hemisphere and  $\sim$ 3100 sq. deg. in the southern Galactic hemisphere, with a typical 50 per cent completeness limit for detection of point sources at r=22.5. Refer to the following references for technical information about the SDSS

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survey: Fukugita et al. (1996) for a description of the SDSS *ugriz* photometric system; Gunn et al. (1998, 2006) for technical aspects of the SDSS camera and the SDSS telescope, respectively; Smee et al. (2013) for information about the SDSS/BOSS spectrographs.

The catalogue that we use to compute the RS LF is the DR10 Large Scale Structure (DR10 LSS) catalogue. This catalogue, which is thoroughly described in Anderson et al. (2014), incorporates a detailed treatment of angular incompleteness (caused by fibre collisions and redshift failures) and of a variety of systematics that could potentially affect the target density of spectroscopically identified galaxies (e.g. stellar density, seeing, Galactic extinction, etc.). The DR10 LSS catalogue includes galaxies from the SDSS Legacy Survey, which basically contains the SDSS-I survey and a small fraction of the SDSS-II survey. We refer to Anderson et al. (2014) for further details about this catalogue. The results presented in this paper, being limited primarily by systematic error, would not be expected to change significantly if the analysis were applied to the final, larger BOSS DR12 data set (Alam et al. 2015).

#### 3.1 The CMASS galaxy target selection

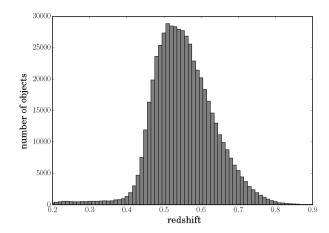
The BOSS galaxy target selection is based on a similar strategy as that used by Eisenstein et al. (2001) to build the SDSS I-II LRG sample (for a detailed description of the BOSS selection, see Reid et al. 2016). The selection was designed to produce two different galaxy samples: a low-redshift sample called LOWZ and a high-redshift sample called CMASS. The LOWZ sample contains LRGs within the redshift range 0.15 < z < 0.43. The CMASS sample, which is the one that we use in this study, covers a nominal redshift range 0.43 < z < 0.70, although it extends slightly beyond these limits. The acronym CMASS stands for 'constant mass', emphasizing the fact that the sample was designed to be approximately stellar mass limited. This requisite naturally introduces a highly incomplete population of bluer galaxies that increases with redshift.

The CMASS selection scheme consists of the following magnitude, colour and colour–magnitude cuts:

$$17.5 < i_{\rm cmod} < 19.9,$$
  $r_{\rm mod} - i_{\rm mod} < 2,$   $i_{\rm fiber2} < 21.5,$   $d_{\perp} > 0.55,$   $i_{\rm cmod} < 19.86 + 1.6 \times (d_{\perp} - 0.8),$  (1)

where  $d_{\perp} = (r_{\rm mod} - i_{\rm mod}) - (g_{\rm mod} - r_{\rm mod})/8$  and  $i_{\rm fiber2}$  is an i-band magnitude within a 2 arcsec diameter aperture. The subscripts 'mod' and 'cmod' denote model and cmodel magnitudes, respectively. Model magnitudes are more efficient at tracing galaxy colours, whereas the cmodel i-band flux is the best proxy for the galaxy flux. All colours quoted in this paper are model colours and all magnitudes cmodel magnitudes, unless otherwise stated (hereafter we drop the subindices when we refer to these quantities). For more information on the BOSS selection, refer to Reid et al. (2016), Eisenstein et al. (2011) and Dawson et al. (2013).

The process of generating the LSS catalogue, as discussed in detail in Anderson et al. (2014), is known to suffer from some degree of incompleteness, which mainly manifests itself in two forms: *fibre collisions* and *redshift failures*. Fibre collisions occur because of the finite size of the fibre plugs in the spectrographs. In BOSS, two fibres may not lie within 62 arcsec of one another on a given spectroscopic tile (see Dawson et al. 2013 and Reid et al. 2016 for more



**Figure 1.** Redshift distribution for the entire CMASS sample in bins of  $\Delta z = 0.01$ . The total number of unique CMASS galaxies with a good redshift estimate and with model and cmodel apparent magnitudes and photometric errors in all g, r and i bands is 549 005.

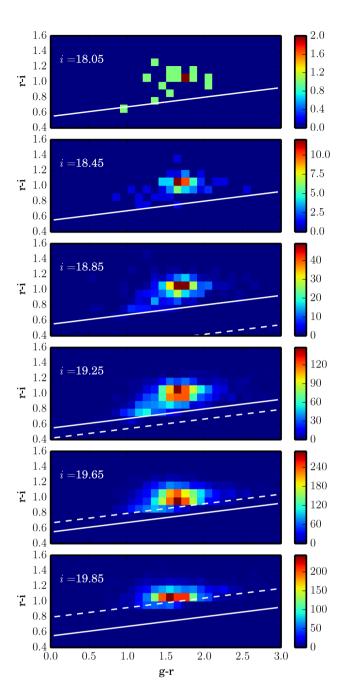
information).<sup>2</sup> With regard to redshift failures, the pipeline achieves an automated classification success rate of 98.70 per cent within the CMASS sample and confirms 95.4 per cent of unique CMASS targets as galaxies (from all spectra actually entering the pipeline, in the DR9). Note that the difference between these percentages arises from stars that pass the CMASS selection criteria (see Bolton et al. 2012 for more information). These issues should have little correlation with colour or magnitude, which implies that this type of incompleteness simply translates into some small uncertainty in the determination of the normalization of the LF.

In Fig. 1, we show the redshift distribution for the CMASS spectroscopic sample in bins of  $\Delta z = 0.01$ . This is the redshift bin size that we use throughout this paper. The total number of unique CMASS galaxies with a good redshift estimate and with model and cmodel apparent magnitudes and photometric errors in all g, r and i bands is 549 005. The mean value of the redshift distribution in Fig. 1 is 0.532 and its standard deviation 0.128; approximately  $\sim$ 7.5 and  $\sim$ 4.5 per cent of galaxies lie below and above the nominal low-redshift and high-redshift limits, i.e. z = 0.43 and 0.70, respectively.

# 3.2 CMASS observed distributions in colour-colour-magnitude space: the blurring effect

The CMASS observed distributions are not representative of the intrinsic, latent RS/blue cloud (BC) distributions. Fig. 2 presents in a g-r versus r-i colour–colour diagram the distribution of CMASS galaxies in a redshift slice centred at z=0.55 ( $\Delta z=0.01$ ), for different apparent magnitude bins. The z=0.55 bin is very close to the mean redshift of the CMASS sample (see Fig. 1). Fig. 2 illustrates the type of broadened colour–colour distributions that we find in the BOSS galaxy data. The RS appears as an irregular blob, elongated mostly in the g-r direction due to the relatively large g-band errors, that is progressively more populated towards the fainter magnitude bins. Adjacent to the RS, in the g-i direction (diagonal in this diagram), is a population of bluer galaxies, which

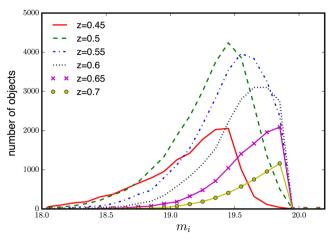
<sup>&</sup>lt;sup>2</sup> Note that the fibre collision distance limit has increased with respect to the SDSS I-II programmes, from 55 to 62 arcsec. As a reference, Blanton et al. (2003) estimate that fibre collisions account for approximately 6 per cent of all incompleteness in the SDSS survey.



**Figure 2.** Distribution of CMASS galaxies in a g-r versus r-i colourcolour diagram for a redshift bin centred at z=0.55, with a width of  $\Delta z=0.01$ . In each panel, the solid and dashed lines represent the  $d_{\perp}$  demarcation  $d_{\perp}>0.55$  and the sliding cut  $i<19.86+1.6\times(d_{\perp}-0.8)$ , respectively. The observed CMASS distributions are considerably blurred by photometric errors, especially along the g-r axis. The typical photometric error quoted in the catalogue is  $\sim 0.20$  for the g-r colour and  $\sim 0.08$  for the r-i colour.

are not excluded by the CMASS selection. At z = 0.55, these objects correspond, at least in observed space, to the upper, redder part of the BC and to the so-called green valley, the narrow region between the BC and the RS. Colour bimodality is especially noticeable in the i = 19.25 magnitude bin.

The observed CMASS distribution is strongly affected by the  $d_{\perp}$  constraint (solid line in Fig. 2), which was designed to lie parallel to the locus of a passively evolving population of galaxies. This cut is supposed to isolate the RS, while allowing for a population of bluer



**Figure 3.** CMASS number counts for different redshift slices, from z = 0.45 to 0.70. CMASS number counts are strongly affected by selection effects and photometric errors. By determining the intrinsic number counts, we can correct for this incompleteness.

galaxies. In practice, the  $d_{\perp}$  demarcation is not fixed, but actually depends on magnitude. It is determined by the combination of the  $d_{\perp}$  cut and the sliding  $d_{\perp}$ -i-band magnitude cut (dashed line in Fig. 2). Rearranging in equation (2), the  $d_{\perp}$  demarcation at a given apparent magnitude, i, is given by the following two inequalities:

$$d_{\perp} > 0.55,$$
 
$$d_{\perp} > 0.8 + \frac{i - 19.86}{1.6} \tag{2}$$

which implies that the  $d_{\perp}$  condition starts to be more restrictive at i > 19.46. It is also quite evident from Fig. 2 that the r - i < 2 cut has little impact on the CMASS distribution.

The CMASS colour–colour diagram changes significantly when we examine different redshift slices, due to a combination of intrinsic evolution and the effect of redshift itself. In terms of the CMASS selection, the fraction of the RS and the BC accessible increases with redshift. At  $z\simeq 0.45$ , only the top of the RS is targeted, whereas at  $z\simeq 0.65$  the RS and the BC are better covered (even though the effective magnitude range shrinks significantly).

In Fig. 3, we show the CMASS number counts, i.e. the distribution of CMASS galaxies in bins of i-band magnitude, for six different redshift slices, from z=0.45 to 0.70. The shape of the number counts in Fig. 3 is determined by several factors, including redshift, selection effects and photometric errors. The covariance matrix of photometric errors scatters objects around in the 3D space, including along the i-band axis.

# 4 MODELING THE COVARIANCE MATRIX WITH STRIPE 82

We use Stripe 82 multi-epoch data to model the blurring effect produced by photometric errors and correlations between different ugriz bands. Stripe 82 is an SDSS stripe along the celestial equator in the Southern Galactic Cap (covering a total of  $\sim$ 270 sq. deg.) that was observed multiple times, as many as  $\sim$ 80 times before the final release of the Stripe 82 data base. These multi-epoch data are used to build our model for the covariance matrix of the i-band magnitude, (g-r) colour and (r-i) colour (also represented here as X, Y, Z), a key element in our deconvolution procedure.

A detailed description of the Stripe 82 data and the construction of our covariance matrix model can be found in Appendix A.

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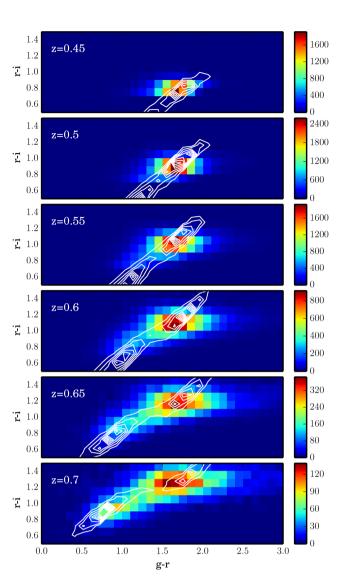
Two important aspects of the modelling are, however, worth highlighting here. First, the model must account for the dependence of the elements of the covariance matrix on the location in colour–colour–magnitude space. Secondly, it must also accommodate possible overall inconsistencies in the photometric quality between the Stripe 82 and the SDSS footprint. To this purpose, we allow our covariance matrix model to be multiplied by a scale factor that could in principle have a small dependence on magnitude, but should be close to 1. The value or functional form for this factor, that we call  $\beta(i)$ , will be empirically determined as part of the modelling of the intrinsic distributions.

# 5 HINTS ON THE SHAPE OF THE INTRINSIC COLOUR-COLOUR-MAGNITUDE DISTRIBUTION

Although SPS models are known to suffer from major uncertainties (see Conroy, Gunn & White 2009, for a discussion), they provide preliminary information on the typical intrinsic location in the colour-colour plane of physically plausible galaxies in the absence of photometric errors. In order to explore the predictions from these models, we use the Granada FSPS galaxy product (Montero-Dorta et al., in preparation) based on the publicly available 'Flexible Stellar Population Synthesis' FSPS code of Conroy et al. (2009). The Granada FSPS product, which is part of the SDSS DR10 (Ahn et al. 2014), provides spectrophotometric stellar masses, ages, specific star formation rates and other stellar population properties, along with corresponding errors, for the entire DR10 galaxy sample. Here we use the same grid of Composite Stellar Population models with varying star formation history (SFH, based on simple  $\tau$ -models), metallicity and dust attenuation used to generate the Granada FSPS product. This extensive grid of 84 000 models (in its complete version, before applying any priors) was designed to adequately cover the CMASS parameter space.

Fig. 4 displays, in white contours, the distribution of FSPS models in a g - r versus r - i colour-colour diagram, for six redshift slices from z = 0.45 to 0.70. The only prior imposed here is that the look-back formation time of the galaxy cannot exceed the age of the Universe at the corresponding redshift. As mentioned above, models expand a wide range of stellar population properties, so the width of the model distribution should be considered an upperlimit prediction for the intrinsic distribution. In the background of each panel, we show the distribution of CMASS galaxies in the corresponding redshift slice. The FSPS models align extremely well with the data at every redshift. As expected, models expand a narrow intrinsic distribution in colour space, which suggests that the observed CMASS distribution is largely broadened by photometric errors. Interestingly, colour bimodality appears naturally in the grid. In addition, the RS and the BC form a continuous sequence at redshifts  $z \leq 0.55$  in the models. This sequence clearly breaks at z  $\gtrsim 0.60$ , so that both components are no longer aligned [note that a lack of alignment of the RS with the BC has been reported before using optical-IR colour; a similar result was found by Brown et al. (2014) in the  $z \sim 0$  u - g - r colour–colour diagrams for nearby galaxies].

The distribution of models in Fig. 4 has not been calibrated with observations, so it simply provides a sense for the intrinsic loci of RS objects. Tighter constraints on the shape of the intrinsic RS distribution are obtained from a simple histogram deconvolution of the CMASS observed distributions. Although this method is not accurate enough in the context of our analysis, as binning effects can propagate into the computation of the LF, it does provide infor-



**Figure 4.** The distribution of FSPS models (white contours) in a g-r versus r-i colour–colour diagram, for six different redshift slices from z=0.45 to 0.70. FSPS models are taken from the Granada FSPS galaxy product, which is part of the SDSS DR10 (Ahn et al. 2014). These FSPS models cover a wide range of metallicities, SFHs and dust attenuation levels. The colour map shows the distribution of CMASS galaxies in the corresponding redshift slice. The typical photometric error quoted in the catalogue is  $\sim$ 0.20 for the g-r colour and  $\sim$ 0.08 for the r-i colour.

mation on the general shape of the intrinsic distributions. What we find is that only an extremely compact (<0.1 mag) RS can be consistent with our photometric error model. In addition, a declining colour–magnitude relation (for the centroid of the RS distribution) is necessary to fit the observations. Another important piece of information that we obtain from this simple analysis is that the effect of the covariance matrix obtained from our error model must be slightly reduced in order to further achieve a good agreement with the observations (i.e.  $\beta \sim 0.92$ ).

Our modelling of the intrinsic distributions is not based on SPS models, nor it uses a histogram deconvolution method. The knowledge gained from these approaches is however transmitted into our deconvolution method in the form of constraints that reduce the dimensionality of our parameter space.

### 6 A METHOD FOR THE ANALYTICAL DECONVOLUTION OF INTRINSIC DISTRIBUTIONS OF PHOTOMETRIC PROPERTIES FROM BOSS

In this section, we describe a forward-modelling method for the deconvolution of the CMASS intrinsic colour–magnitude distributions. The method is based on an unbinned maximum likelihood (UML) method. The key idea for the UML is that the underlying statistical quantity that we optimize, the likelihood  $\mathcal{L}$  (or  $\log\mathcal{L}$ ), does not require any artificial binning beyond the one produced by the measurement instruments. Although, for the sake of clarity, we will describe our deconvolution method in the context of BOSS data, our method can be naturally extended to future DE surveys like eBOSS or DESI.

The UML method is based on constructing the predicted probability density function (PDF) for the data as a function of the parameters of interest. Once we have an analytical parametric expression for this PDF, the likelihood of a particular set of values for the parameters,  $\theta$ , given the data, d, can be obtained. This likelihood is equal to the probability, P, of measuring the data given that set of values, which is equal to the product of all individual probabilities,  $p_i$ . Namely

$$\mathcal{L}(\theta|\{\boldsymbol{d}\}) = P(\{\boldsymbol{d}\}|\theta) = \prod_{i} p_{i}(\{\boldsymbol{d}_{i}\}|\theta). \tag{3}$$

To find the best-fitting parameters, it is common to optimize the logarithm of the likelihood,  $\log \mathcal{L}(\theta | \{d\})$ , instead of the likelihood itself.

The observed PDF for the distribution of CMASS objects in the colour–colour–magnitude space is shaped by contributions from the intrinsic distribution, the blurring effect of photometric errors and the CMASS selection. For the sake of simplicity, we will first restrict the analysis to the RS. Results from a simple histogram deconvolution method suggest that the RS can be described with sufficient accuracy in observed space by a Gaussian function representing the colour–colour component and a Schechter function in apparent magnitude accounting for the *i*-band magnitude dependence. This information is incorporated in our analytical modelling of the PDF.

In this paper, we focus on the deconvolution of photometric quantities (colour–magnitude distributions). Many galaxy-evolution science cases using DE surveys will involve distributions of spectroscopic quantities. The photometric deconvolution of spectroscopic quantities (e.g. velocity dispersion) is addressed in Montero-Dorta et al. (2016).

#### 6.1 The CMASS PDF

In order to build the observed CMASS PDF, we start by expanding the Schechter function (that accounts for the intrinsic number counts) in terms of a non-negative sequence of Gaussians along the *i*-band magnitude axis:

$$n_{\rm sch}(X, \{\tilde{\phi}_*, m_*, \alpha\}) = \sum_j c_j \mathcal{G}_j, \tag{4}$$

where  $n_{\rm sch}$  represents the intrinsic number counts (i.e. the intrinsic number of objects at each magnitude bin),  $\{\tilde{\phi}_*, m_*, \alpha\}$  are the apparent magnitude counterparts of the Schechter LF parameters,  $c_j$  is coefficient j of the expansion and  $\mathcal{G}_j$  is the corresponding Gaussian function. By expanding the Schechter function as a series of Gaussians, we are able to implement an analytic convolution of the LF with the Gaussian colour–colour–magnitude error model. The

Schechter function can be expressed as

$$n_{\text{sch}}(X, \{\tilde{\phi}_*, m_*, \alpha\}) = 0.4 \log(10)\tilde{\phi}_* \left[10^{0.4(m_* - X)(\alpha + 1)}\right]$$

$$\times \exp\left(-10^{0.4(m_* - X)}\right). \tag{5}$$

Here, we assume that  $\alpha=-1$  and  $\tilde{\phi_*}=1$  object, for the sake of simplicity. The magnitude interval considered is 17 < X < 20.5, which exceeds by half a magnitude the CMASS limits at both ends, in order to avoid boundary problems. For convenience, we choose to use 22 Gaussian functions to completely cover this interval, with equal width  $\sigma_i=0.25$  mag.

The above expansion can be generalized to account for the colour component at each apparent magnitude bin. In particular, we can model the PDF at a given magnitude bin k as a 3D Gaussian of i-band magnitude (X), g-r colour (Y) and r-i colour (Z). In a compact notation, this function can be written as follows:

$$\mathcal{P}(\boldsymbol{V}|\theta) = \frac{c_k}{(2\pi |\mathbf{U}|)^{\frac{3}{2}}} \exp\left[-\frac{1}{2}(\boldsymbol{V} - \boldsymbol{V}_c)^T U^{-1}(\boldsymbol{V} - \boldsymbol{V}_c)\right], \quad (6)$$

where V is a vector of coordinates in the colour–colour–magnitude space, i.e.  $V = \{X, Y, Z\}$ , and  $V_c$  is the vector of coordinates at  $\{X_c, Y_c, Z_c\}$ , which corresponds to the centre of Gaussian k along the i-band axis and the centroid of the RS on the colour–colour plane, respectively. All the information regarding the shape of the PDF is contained in matrix  $\mathbf{U}$ , including both the contribution from the intrinsic distribution and the covariance matrix for the photometric errors,  $\mathbf{C}$ . It can be shown that if the intrinsic model is assumed to be a 3D Gaussian where  $\sigma_i$  is the intrinsic size along the magnitude axis and  $\sigma_c$  is the intrinsic scatter on the colour–colour plane, matrix U can be expressed as

$$\mathbf{U} = \mathbf{C} + \begin{bmatrix} \sigma_i^2 & 0 & 0 \\ 0 & \sigma_c^2 (q^2 a^2 + b^2) & \sigma_c^2 a b (1 - q^2) \\ 0 & \sigma_c^2 a b (1 - q^2) & \sigma_c^2 (q^2 b^2 + a^2) \end{bmatrix},$$

where  $\theta$  is the position angle of the Gaussian on the colour–colour plane w.r.t. the *X*-axis,  $a = \sin(\theta)$ ,  $b = \cos(\theta)$  and q is the inverse of the ellipticity. The second term in the above equation accounts for the contribution of the intrinsic distribution on the covariance matrix for the PDF – hereafter  $\mathbf{C}_{\text{int}}$ .

As mentioned before, we need to account for the possible shift of the RS centroid with magnitude on the colour–colour plane. To this end, we model  $\{Y_c, Z_c\}$  as

$$Y_c = Y_0 + Y_1(X - X_{ref})$$
  
 $Z_c = Z_0 + Z_1(X - X_{ref}),$  (7)

where  $X_{\rm ref}$  is a reference magnitude, for which we choose  $X_{\rm ref}=19$ . Expression (6) is still not a fully representative model for the observed CMASS PDF, because the CMASS selection has not yet been taken into account. This step is done trivially by applying the CMASS selection matrix,  $S_{\rm CMASS}$ . Namely

$$\mathcal{P}_{\text{CMASS}}(V|\theta) = \mathcal{P}(V|\theta)S_{\text{CMASS}}.$$
 (8)

A single-Gaussian model is a good approximation for the RS, but not for the entire CMASS sample, which contains a fraction of blue objects that increases with redshift. In order to take this population into account, we use a double-Gaussian model for the CMASS PDF:

$$\mathcal{P}_{\text{CMASS}}(V|\theta) = f_{\text{blue}} \mathcal{P}_{\text{CMASS}}^{\text{BC}}(V|\theta^{(\text{BC})}) + (1 - f_{\text{blue}}) \mathcal{P}_{\text{CMASS}}^{\text{RS}}(V|\theta^{(\text{RS})}),$$
(9)

**Table 1.** Fitting parameters of the PDF for each Gaussian function  $(m_*, \alpha, Y_0, Y_1, Z_0, Z_1, \sigma, \theta, q)$  along with  $f_{\text{blue}}$  and  $\beta$ .

PDF parameter	Description	
$m_*$	Characteristic apparent magnitude for intrinsic number counts	
α	Faint-end slope of the LF	
$Y_0$	Zero-point for the linear dependence of the $(g-r)$ component of the centroid with magnitude	
$Y_1$	Slope for the linear dependence of the $(g - r)$ component of the centroid with magnitude	
$Z_0$	Zero-point for the linear dependence of the $(r-i)$ component of the centroid with magnitude	
$Z_1$	Slope for the linear dependence of the $(r-i)$ component of the centroid with magnitude	
σ	Intrinsic scatter on the colour–colour plane	
$\theta$	Position angle of the Gaussian function on the colour-colour plane w.r.t. the $g-r$ axis	
q	Inverse of the ellipticity of the Gaussian function on the colour–colour plane	
fblue	Observed fraction of blue objects in the CMASS sample	
β	Error scale factor for the covariance matrix model	

where  $\mathcal{P}_{\text{CMASS}}^{\text{BC}}$  is the PDF for the BC,  $\mathcal{P}_{\text{CMASS}}^{\text{RS}}$  is the PDF for the RS and  $f_{\text{blue}}$  is the observed fraction of blue objects in the sample (blue objects meaning non-RS objects). With this extension, the total number of fitting parameters is 19 (2 × 9+1). In the following sections, we discuss possible priors that can reduce the number of parameters in our PDF model.

Even though we use the standard terminology 'blue cloud/BC' here, this distribution must not be assumed to correspond to any previous definitions of blue cloud or blue objects. Our BC distribution is a second component that we need in order to fit the observed distributions and that is statistically separable from the prominent RS (see a similar approach in Taylor et al. 2015).

Table 1 lists all parameters of the PDF for each individual Gaussian function, along with the parameters accounting for the fraction of blue objects,  $f_{\text{blue}}$ . In addition, we include  $\beta$ , the error scale factor for the covariance matrix  $\mathbf{C}$ .

#### 6.2 Computation of best-fitting parameters

The determination of best-fitting parameters is performed by simply minimizing the  $-\log \mathcal{L}$ . The likelihood  $\mathcal{L}$  for a given set of parameters can be obtained at each redshift slice by evaluating our observed CMASS PDF at the position of each object in our 3D space, and summing the probabilities.

The above optimization method provides a best-fitting intrinsic distribution at each redshift slice. As part of this process, it provides  $m_*$  which, as we demonstrate in subsequent sections, can be trivially transformed into  $M_*$ , the characteristic absolute magnitude of the LF

Our method also incorporates an easy way to compute  $\phi_*$ , the normalization of the RS LF. We have assumed for simplicity that  $\tilde{\phi_*}$ , the normalization of the Schechter function that represent the RS intrinsic distribution, is equal to 1. The predicted fraction of objects that are selected for the CMASS sample, after convolving with the error model, is given by

$$n_{\text{pred}} = \sum_{i} \mathcal{P}_{\text{CMASS}}^{\text{RS}}(V_{i}|\theta) \Delta X \Delta Y \Delta Z, \tag{10}$$

where this computation must be performed prior to any normalization. If the total number of real CMASS objects detected within the corresponding redshift slice is given by  $n_{\rm obs}$ , the value of  $\phi_*$  for the RS LF can be calculated by

$$\phi_*^{\text{RS}} = \frac{(1 - f_{\text{blue}})\frac{n_{\text{obs}}}{n_{\text{pred}}}}{V_{\text{max}}},\tag{11}$$

where  $(1 - f_{\text{blue}}) \times \frac{n_{\text{obs}}}{n_{\text{pred}}}$  is the predicted number of intrinsic RS objects and  $V_{\text{max}}$  is the maximum volume in the corresponding redshift slice. A similar procedure can be used to obtain  $\phi_{\text{max}}^{\text{BC}}$ .

In the case of CMASS sample, as we discuss in the next sections, the Schechter parameters  $\phi_*$  and  $M_*$  must be exclusively considered the parameters of an analytic functional form for the computed LF. A physical interpretation of these parameters is misleading, given that the CMASS sample probes only the very bright end of the LF, more than 1 mag brighter than  $M_*$ .

#### 6.3 Adopted forms for the intrinsic RS and BC distributions

We use our histogram deconvolution method to place constraints on the analytical model for the CMASS PDF described above. This helps us reduce the dimensionality of our parameter space. The following information is incorporated into our model.

- (i) The RS is consistent with a single point on the colour–colour plane at fixed magnitude, with all the observed scatter being due to photometric errors, i.e.  $q^{\rm RS}=0$ ,  $\sigma^{\rm RS}=0$ .
- (ii) The location of the RS in the colour-colour plane must have a slight dependence on magnitude.
- (iii) Our error model alone cannot account completely for the scatter seen on the blue side, even though a simple one-dimensional Gaussian, a line in the colour-colour plane, is certainly not a bad approximation.
- (iv) Due to the severe incompleteness affecting the blue side, the BC must only be modelled for the sake of subtracting its contribution on the red side.
- (v) Allowing too much freedom to the model when finding the location of the BC centroid might result in unphysical solutions.

With the above considerations, the intrinsic component of the covariance matrix in equation (6), for the single-point RS, can be simply expressed as

$$\mathbf{C}_{\text{int}}^{\text{RS}} = \begin{bmatrix} \sigma_i^2 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

which is equivalent to a delta function on the colour–colour plane, with position given by  $Y_0^{\rm RS}$ ,  $Y_1^{\rm RS}$ ,  $Z_0^{\rm RS}$ ,  $Z_0^{\rm RS}$ .

As for the BC component, we keep  $C_{\rm int}$  in its general form, namely

$$\mathbf{C}_{\text{int}}^{\text{BC}} = \begin{bmatrix} \sigma_i^2 & 0 & 0 \\ 0 & \sigma_c^2 (q^2 a^2 + b^2) & \sigma_c^2 a b (1 - q^2) \\ 0 & \sigma_c^2 a b (1 - q^2) & \sigma_c^2 (q^2 b^2 + a^2) \end{bmatrix}$$

but we impose  $Y_1^{\rm BC}=0$  and  $Z_1^{\rm BC}=0$ . We also include the condition  $Y_0^{\rm BC}+Z_0^{\rm BC}=1.7$ , independently of redshift. By doing so, we can fix the centroid of the BC at g-i=1.7, ensuring an adequate BC/RS separation. This value was chosen by examining the distribution of FSPS models (Fig. 4) and the distribution of objects in the PRIsm MUlti-object Survey (PRIMUS; Coil et al. 2011; Cool et al. 2013), which is not affected by a colour cut. We have also checked, and this is visible in Fig. 4, that the observed centroid of the BC is stable as we move across redshift, due to the typical shape of the SED for blue galaxies, which lack a 4000 Å break. Note that the BC here acts as a background component that is necessary to fit the observations.

#### 6.4 Parameter uncertainty estimation

In order to estimate the uncertainty in the fitted parameters, we start by estimating the statistical errors, by means of a bootstrap analysis. We generate 250 bootstrap samples by randomly drawing objects from the entire CMASS sample, allowing for objects to be repeated. Bootstrap samples are chosen to have the same size of the CMASS sample.

In principle, a single value of  $\beta$  should hold across all redshift bins. In practice, if we fit for  $\beta$  as a free parameter in each redshift bin, the range of fitted values across redshift exceeds the amount that would be expected based the on bootstrap-estimated statistical errors on the individual  $\beta$  estimates. This flexibility in  $\beta$  can absorb residual unmodelled redshift-dependent effects in the deconvolution.

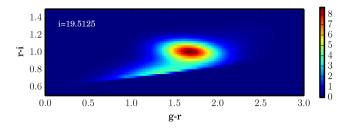
In order to quantify the systematic effect of this  $\beta$  uncertainty upon our estimated LF parameters, we re-fit our model in each redshift bin with two fixed  $\beta$  values chosen to approximately bracket the distribution of free- $\beta$  fits across redshift. The half-width of the range in fitted LF parameter from this procedure is taken as an estimate of the systematic error in those parameters, and is added in quadrature with the bootstrap-estimated statistical errors to give our overall LF uncertainty estimate. Note that the systematic term is in all cases significantly larger than the statistical term, due to the extremely large number of galaxies in each redshift slice.

### 7 RESULTS

#### 7.1 Algorithm performance

The performance of the analytical deconvolution method is excellent in terms of reproducing the observed distributions for redshift slices within the redshift interval  $0.53 \lesssim z \lesssim 0.65$ . Below  $z \simeq 0.53$ , results become progressively noisier as the CMASS RS is more and more incomplete in colour. Above  $z \simeq 0.65$ , our method converges and provides acceptable residuals (up to z = 0.70), but the effective magnitude range is so small that some of our results may become rather unconstrained (see the LF section below).

Fig. 5 displays our best-fitting model for the CMASS PDF in the redshift slice centred at z=0.55, for an apparent magnitude i=19.5. As described before, this PDF provides the probability density of finding a galaxy at any given point in the colour–colour plane, for our best-fitting error-deconvolved intrinsic distribution, our Stripe 82 error model and the CMASS selection function. Fig. 5 also shows that the CMASS PDF is consistent, generally speaking, with the observed CMASS distribution. In order to illustrate this more clearly, we have generated a mock distribution assuming this best-fitting CMASS PDF, with the same number of objects as those passing the CMASS selection in the z=0.55 redshift slice. Fig. 6 compares these two distributions, which are presented in bins of



**Figure 5.** Best-fitting model for the CMASS PDF in a redshift slice centred at z = 0.55 ( $\Delta z = 0.01$ ) obtained using our analytical deconvolution method. The analytical form of the CMASS PDF contains both the contribution of the intrinsic distribution and the effect of photometric blurring and selection effects

magnitude ( $\Delta i = 0.1$ ) and colours ( $\Delta g - r = 0.1$ ,  $\Delta r - i = 0.1$ ). In particular, we show the data, the model, the data–model residuals and the RS and BC components, respectively.

A visual inspection of the residuals in Fig. 6 suggests that our CMASS PDF reproduces, to a high level of accuracy, the shape and main characteristics of the observed CMASS distributions and also account for its magnitude dependence. As expected, most discrepancies appear towards the bright end (i.e.  $i \lesssim 19$ ) where number counts are small.

To quantify the agreement between the model and the data, in the context of the computation of the LF, we compare the observed number counts with those predicted by our model in Fig. 7, for 12 redshift slices from z = 0.54 to 0.65. The subplots provide the fractional residuals, where the errors in the model are obtained from the scatter on the Schechter best-fitting parameters (see following sections). The agreement between the model and the data is quite good across the entire redshift range, within the apparent magnitude range that contains the great majority of the sample. Only at the very bright end, where Poisson statistics dominate, do we find some notable discrepancies. The average of the rms of the fractional residuals within the redshift range 0.52 < z < 0.65 is  $\sim 3.8$  per cent for i > 19 and  $\sim 6.7$  per cent for i > 18.5. The i > 19 limit, for a given redshift slice within our preferred redshift range, encompasses between 90 and 97 per cent of the entire subsample, depending on the redshift slice considered, from low to high redshift. The i > 18.5limit encompasses at least 99 per cent of any given subsample within the redshift range considered. In order to illustrate how bright these ranges are, the vertical dashed line indicates the apparent magnitude at which the corresponding K-corrected absolute magnitude equals -24, i.e.  ${}^{0.55}M_i = -24$ .

Even though the data are noisy at the very bright end ( $i \sim 18$ ), there might be a systematic tendency for the model to underpredict the data. This situation might be due to the presence of a small number of unaccounted non-CMASS objects that artificially pass the CMASS selection and only show up where legitimate CMASS galaxies are scarce. It is also possible that the Schechter function is intrinsically not a good model for the extremely bright end of the RS LF. We have checked that this discrepancy has no effect on our results, as the number densities where this effect is noticeable are extremely low and not utilized to extract any conclusion.

# 7.2 The intrinsic high-mass RS: an extremely compact distribution in colour-colour-magnitude space

In Fig. 8, we show the best-fitting intrinsic distributions in a colour-colour diagram at z = 0.55 in progressively fainter *i*-band magnitude bins in logarithmic scale. The RS is, by definition, a point that shifts

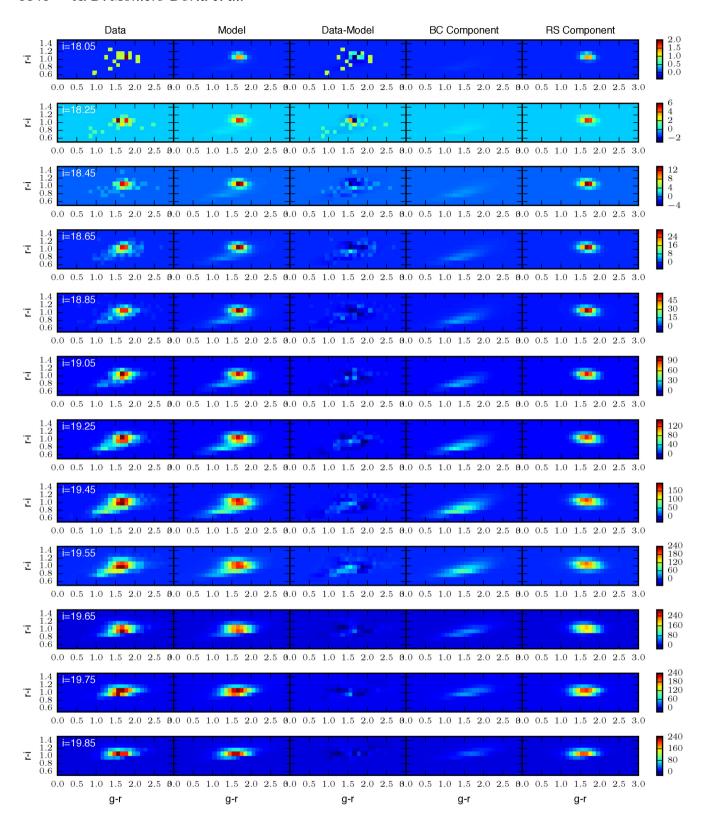


Figure 6. Best-fitting model in observed space as compared with the data, in a redshift slice of width  $\Delta z = 0.01$  centred at z = 0.55. From left to right: data, model, data-model residuals, model for the RS component and model for the BC component, respectively, in g - r versus r - i colour-colour diagrams for 12 different magnitude bins. The bin size is 0.1 in all three quantities. The same colour code applies to all distributions in the same panel, but colour codes vary for different panels. The typical photometric error quoted in the catalogue is  $\sim$ 0.20 for the g - r colour and  $\sim$ 0.08 for the r - i colour. Our CMASS PDF reproduces, to a high level of accuracy, the shape and main characteristics of the observed CMASS distributions and also accounts for its magnitude dependence.

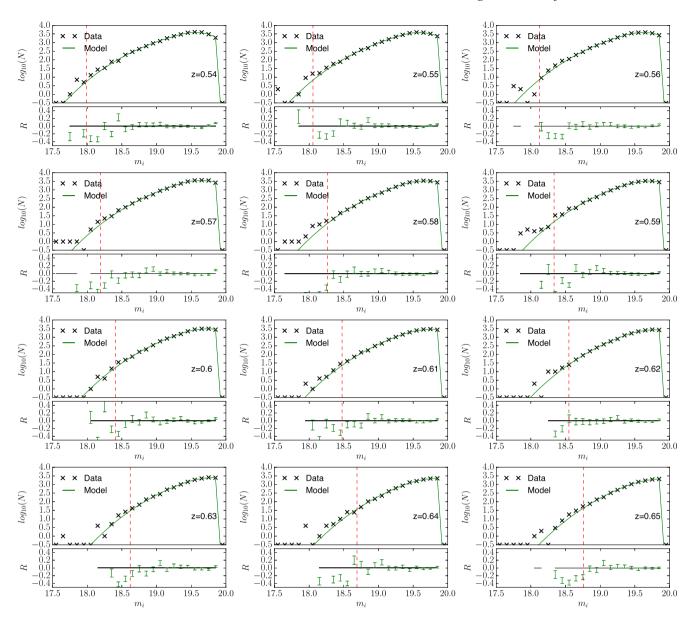


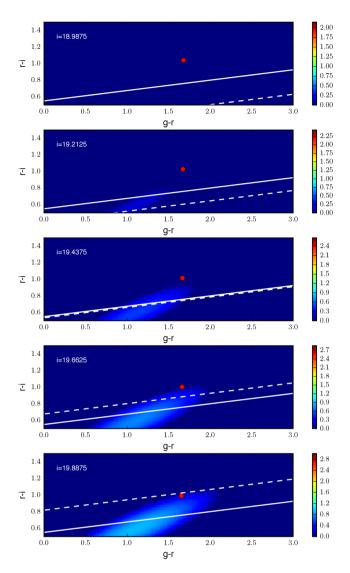
Figure 7. The *i*-band number counts for both the data and the model at 12 redshift slices from z = 0.51 to 0.70, illustrating that the agreement between the model and the data is quite good across the redshift range considered (see the text). Each upper panel shows the logarithm of the number of galaxies in each magnitude bin, N(m), as a function of magnitude for the data (black crosses) and the model in observed space (green solid line). The subplots show the fractional residuals, where the errors in the model are obtained from the scatter on the Schechter best-fitting parameters. The vertical dashed line is the apparent magnitude corresponding to a K-corrected absolute magnitude of  $0.55M_i = -24$ .

slightly towards redder colours for fainter magnitudes. The BC, on the other hand, is consistent with a diffuse distribution that extends through the red side of the diagram, where the RS is superimposed upon. These two distinct distributions are identified by exclusively using the data that we have in hand, including the error model obtained from Stripe 82 multi-epoch data.

The assumption that the high-mass RS is well described by a delta function in the colour–colour plane is motivated by our preliminary histogram deconvolution results. Our analytical deconvolution results clearly confirm the adequacy of such an assumption. Our modelling gives us robust indications that the width of the RS cannot exceed  $\sim 0.05$  mag. This result is illustrated in Fig. 9, where the likelihood of the model (in the form of  $-\log \mathcal{L}$ ) is shown as a function of the width of the RS component for the redshift slice centred at z=0.55. The minimum likelihood is reached somewhere

between 0.03 and 0.04 mag, but below 0.05 mag the likelihood function becomes fairly flat. For a width >0.05 mag, the likelihood worsens rapidly. The fact that the likelihood function is so flat below 0.05 mag, in combination with the limited resolution that we have (given the large photometric errors, especially along the g-r colour axis), makes our point-like assumption well justified. We have also checked that assuming a width of 0.035 mag would have a negligible effect on the results and conclusions of this work.

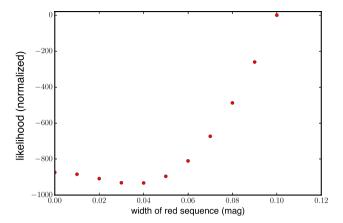
We have compared our results for the location of the intrinsic RS colour–colour distribution with publicly available PRIMUS data, to the extent that the data allow. This comparison is illustrated in a g-r versus r-i colour–colour diagram in Fig. 10. The position of the RS as inferred from both surveys is in good agreement, even though the CMASS RS is slightly redder. Note that this is



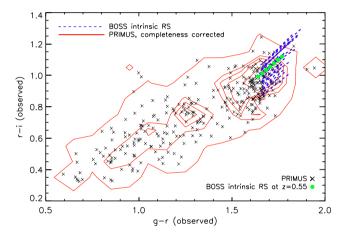
**Figure 8.** Best-fitting intrinsic distributions in the colour–colour diagram at z=0.55 for five *i*-band apparent magnitude bins (in logarithmic scale). The point representing the RS has been exaggerated for the sake of clarity. In each panel, the solid and dashed lines represent the  $d_{\perp}$  demarcation  $d_{\perp} > 0.55$  and the sliding cut  $i < 19.86 + 1.6 \times (d_{\perp} - 0.8)$ , respectively. The RS is, by definition, a point that shifts slightly towards redder colours for fainter magnitudes. The BC, on the other hand, is consistent with a diffuse distribution that extends through the red side of the diagram, where the RS is superimposed upon.

a qualitative comparison, as in the magnitude range covered by the CMASS sample the PRIMUS data are very scarce. Also, the PRIMUS distribution is affected by photometric errors. Importantly, Fig. 10 illustrates that the scatter found in the PRIMUS data can be understood in terms of the colour–magnitude relation and the progressive reddening produced by redshift.

Independently of the priors that we choose, our model requires an intrinsic BC component that extends through the red side of the colour–colour diagram, in order to achieve an acceptable agreement with the number counts. This result implies that there are BC galaxies that are intrinsically redder than the RS itself. This should not be interpreted in light of any previous arbitrary separation between red and blue galaxies, based on colour cuts or stellar population properties. Again, the BC distribution must be



**Figure 9.** Normalized  $-\log \mathcal{L}$  as a function of the width of the RS component in the (g-r) versus (r-i) colour–colour plane at z=0.55.



**Figure 10.** The g-r versus r-i colour–colour diagram for PRIMUS data within the redshift range  $0.5 \le z \le 0.6$  and magnitude range  $17.5 \le m_i \le 20$ , as compared with the intrinsic RS location as inferred from this work. Crosses show each of the objects contained in the PRIMUS data set according to the aforementioned selection whereas the contours include a completeness correction (provided by the PRIMUS team). The blue dashed lines show the location of the intrinsic RS as inferred from BOSS within the same magnitude range for each redshift slice between z=0.5 and 0.6. The green dots represent the z=0.55 results.

considered a background component, which includes every type of object which is not contained in the extremely narrow RS component. By looking at available BOSS spectra, we have checked that the red side of the BC distribution is spectroscopically heterogeneous, to the extent that BOSS S/N permits. The existence of spirals with unusually red colours has been thoroughly documented in the literature (e.g. Wolf et al. 2009; Bundy et al. 2010; Masters et al. 2010a,b). Also, the definition of our BC as a background distribution containing all objects that do not belong to the extremely concentrated RS is consistent with the presence of dusty early-type galaxies. In following sections, the expected fraction of BC objects within the entire sample, along with the fraction of BC objects on the red side of the colour–colour plane, will be provided.

#### 7.3 Completeness in the CMASS sample

Our deconvolution method allows us to estimate completeness as a function of intrinsic magnitude, colour and redshift.

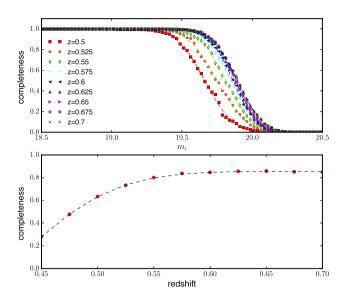


Figure 11. Completeness in the CMASS sample. Upper panel: RS completeness as a function of *i*-band magnitude in the CMASS sample for nine redshift slices within the redshift range 0.5 < z < 0.7. Lower panel: overall RS completeness as a function of redshift, integrating over the colour and apparent magnitude limits of the CMASS selection. As expected, the sample is complete for the RS at the bright end ( $i \le 19.4$ ) across the entire redshift range. Between  $i \simeq 19.4$  and  $i \simeq 19.7$ , completeness declines quite abruptly, this effect occurring at progressively fainter magnitudes for higher redshifts. The overall RS completeness increases from a very low value at low redshift to  $\sim 0.8$  at z = 0.55, reaching a plateau around  $\sim 0.82$  at  $z \ge 0.52$ . Analytical forms for completeness as a function of magnitude and redshift (dashed lines) are given by equations (12) and (13), and best-fitting parameters are listed in Table 2.

Completeness is defined here as the fraction of objects from the intrinsic distribution (in intrinsic space) within a given range of magnitude, colour and redshift that is expected to pass the CMASS selection.

In the upper panel of Fig. 11, we show completeness as a function of magnitude for the RS distribution in nine redshift slices from z = 0.50 to 0.70. As expected, the sample is complete for the RS at the bright end ( $i \leq 19.4$ ) across the entire redshift range. Between  $i \simeq 19.4$  and  $i \simeq 19.7$ , completeness declines abruptly, this effect occurring at progressively fainter magnitudes for higher redshifts. The shape of the completeness function is determined by the  $d_{\perp}$  cut and the sliding colour-magnitude cut being applied to an RS distribution that is progressively redder at higher redshifts. The bottom panel of Fig. 11 provides the integrated RS completeness of actual CMASS sample relative to what it would be, given noise-free photometry as a function of redshift. Here, we have integrated over the colour and magnitude limits of the CMASS selection. The RS completeness increases from a small value at low redshift to  $\sim 0.8$  at z = 0.55, reaching a plateau of  $\sim$ 0.82 at  $z \gtrsim$  0.52. Unfortunately, we can only confidently report completeness for the RS, due to the extreme incompleteness on the BC.

The RS completeness as a function of apparent magnitude for a given redshift slice z is well fitted by the following function (dashed lines in Fig. 11):

$$C(m_i) = \frac{1}{2} \operatorname{erfc} \left[ \frac{m_i - c_m^0}{c_m^1} \right], \tag{12}$$

**Table 2.** Best-fitting parameters for RS completeness as a function of *i*-band apparent magnitude for nine different redshift slices, according to the analytical form of equation (12). Also listed are the best-fitting parameters for the integrated RS completeness as a function of redshift, according to equation (13). In both cases, results below z = 0.5 must be considered pure extrapolation of the higher redshift results, as completeness is too low to allow for a reliable deconvolution of the intrinsic populations.

Redshift	$c_m^0$	$c_m^1$
0.450	19.133	0.800
0.475	19.533	0.235
0.500	19.662	0.224
0.525	19.750	0.233
0.550	19.813	0.213
0.575	19.851	0.200
0.600	19.864	0.189
0.625	19.880	0.183
0.650	19.887	0.186
0.675	19.893	0.199
0.700	19.895	0.204
$c_m^0$	$c_z^1$	$c_z^2$
1.712	0.420	0.100

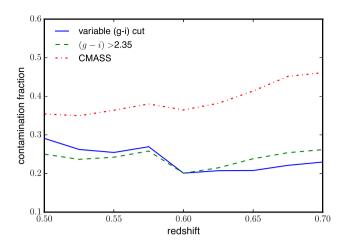
where erfc is the complementary error function. Similarly, the integrated RS completeness as a function of redshift is well fitted by the following expression:

$$C(z) = \frac{1}{2}c_z^0 \operatorname{erf}\left[\frac{m_i - c_z^1}{c_z^2}\right],\tag{13}$$

where erf is the error function. Table 2 lists best-fitting coefficients for completeness as a function of magnitude (for nine different redshift slices) and integrated completeness as a function of redshift.

As previously pointed out, it would be risky to report completeness/LF for the BC, given the insufficient constraints in the CMASS data. However, we can provide an estimation of the fraction of BC objects in the sample, i.e. the  $f_{\rm blue}$  parameter, and the contamination from intrinsically BC objects on the red side of the colour–colour diagram. We emphasize here on the difference between *the red side*, which is commonly defined by a simple colour demarcation (e.g. g - i = 2.35) in observed space, and the RS, which is a distinct galaxy population, modelled here as a delta function in the colour–colour plane, and intrinsically separated from the BC component.

Fig. 12 shows contamination as a function of redshift assuming two g-i colour demarcations. First, we use a fixed g-i cut at g-i=2.35, which has been previously used to separate red and blue galaxies in the CMASS sample in observed space (Maraston et al. 2013). Under such conditions, the contamination hovers around  $\sim$ 25 per cent within the redshift range considered. In order to account for the effect of redshift on the colour distributions, we also assume a redshift-dependent demarcation that is obtained empirically from the observed colour–colour distributions themselves. This approach decreases the contamination from  $\sim$ 0.30 at z=0.50 to  $\sim$ 0.22 at z=0.70. Interestingly, even though the completeness of the BC increases significantly towards higher redshifts (i.e. we have more BC objects at high redshift), the fraction of BC objects that contaminate the red side remains essentially constant. Fig. 12



**Figure 12.** The intrinsic fraction of BC objects contaminating the red side of the colour–colour diagram of the total number of red objects. The red side is defined here in two different ways: using a fixed redshift-independent g-i demarcation at g-i=2.2, 2.3 and 2.4, respectively, and using a redshift-dependent demarcation that is obtained empirically from the observed colour–colour distributions. Also shown in the plot is the total fraction of BC objects in the CMASS.

also displays the fraction of BC objects in the sample (the  $f_{\rm blue}$  parameter). This fraction does experience a considerable increase as a function of redshift, from  $\sim 36$  per cent at z=0.50 to  $\sim 46$  per cent at z=0.70. The total fraction of intrinsic BC objects (not just objects with blue colours) in the CMASS sample is 37 per cent.

#### 7.4 The high-mass end of the RS LF at $z \sim 0.55$

Our analytical deconvolution method provides, by construction, a straightforward approach to determine the LF for both the RS and the BC, although (due to BC incompleteness) only the first one is reported here. We have checked that our main conclusions in terms of colour bimodality and RS completeness are not significantly affected by systematic error as quantified by the variation in the  $\beta$  parameter described in Section 6.4. Likewise, our results for the normalization of the LF are robust. Our results for the redshift-dependent variation of the LF are more uncertain given the level of systematic error in our modelling.

As indicated above, the BOSS selection is designed to peak at  $z \sim 0.55$ . When we move away from this redshift, we encounter several selection effects. At higher redshift, the *effective* magnitude range becomes progressively narrower, with number counts being pushed to the faint limit of the survey. Also, the fraction of BC objects in the sample becomes significant. At lower redshift, the BC is not accessible at all, but completeness in the RS is too low due to the colour cut to guarantee an efficient deconvolution. The coupling between these redshift-dependent selection effects, the relatively narrow overall redshift range and the residual systematics of our modelling limits the effectiveness of our analysis for the study of RS evolution internal to the CMASS sample. A better measurement of RS evolution would require a more accurate determination of the error model in follow-up work, and/or the incorporation of higher/lower redshift results into our analysis framework.

#### 7.4.1 LF computation and the Schechter parametrization

The RS LF is modelled as a Schechter function, which is the functional form that we have found to describe better the number counts at the bright end. However, the entire CMASS sample is considerably brighter than the best-fitting  $m_*$  (and consequently,  $M_*$ ). For this reason, the Schechter parametrization that we derive for the RS LF must be considered only an analytic functional form; making physical interpretations using the Schechter parameters alone can be misleading.

We have assumed a fixed value for the faint-end slope,  $\alpha=-1$ . This fixed choice for  $\alpha$  is motivated by the insufficient constraints on the faint-end slope that we can extract from the CMASS sample. The  $\phi_*$  Schechter parameter is estimated for the RS component and for each redshift slice in the way described in Section 6.2. Here, the maximum volume is assumed to be constant within the corresponding redshift slice. Neglecting any  $1/V_{\rm max}$  effects is a reasonable approximation considering the width of our redshift slices and the apparent magnitude range of the CMASS selection. The typical distance-modulus variation within our redshift slices is  $\sim$ 0.05 mag, which is of the order (if not smaller) of the typical i-band magnitude error.

The absolute magnitude  $M_*$  parameter is directly derived by transforming the best-fitting (apparent magnitude)  $m_*$  parameter, using the standard equation. For the K-correction, we opt to blueshift the rest-frame redshift to z=0.55. The motivation for this choice is twofold. First, the  $^{0.55}i$  passband is covered within the entire CMASS redshift range. Secondly, K-correcting to a redshift that is close to the mean redshift of the sample reduces the correction itself and, therefore, the uncertainties associated with it. We also choose not to apply any evolution correction (that accounts for the intrinsic luminosity evolution of each galaxy from z to the chosen rest-frame redshift). The size of the CMASS sample allows us to split the sample into very narrow redshift bins, where evolutionary effects are negligible.

Average K-corrections to  $z_0 = 0.55$  are derived, for each bin in the colour–colour plane, using the extensive grid of physically plausible FSPS models described in Section 5. The fact that the RS intrinsic distribution is modelled as a delta function on the colour–colour plane helps simplify the computation here. Moreover, the computed displacement of the RS centroid within the CMASS magnitude range is rather small (i.e. <0.1), so we can simply take the average of the K-correction within this magnitude range. We have checked that accounting for the colour–magnitude relation for the RS when estimating K-corrections does not change our results for the LF in any significant way.

Fig. 13 shows the redshift evolution of best-fitting Schechter parameters  $\Phi_*$  and  $^{0.55}M_*$ , within the redshift range 0.525 < z < 0.63. The best-fitting linear relations are

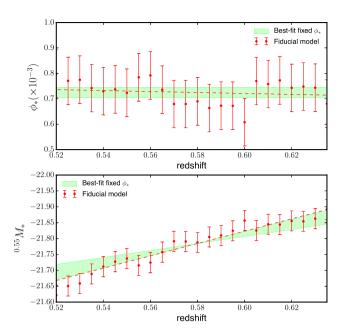
$$\Phi_* = [(-0.189 \pm 0.372) z + (0.834 \pm 0.216)]$$

$$\times 10^{-3} \text{ Mpc}^{-3} \text{ mag}^{-1}$$
(14)

$$^{0.55}M_* = (-1.943 \pm 0.228) z + (-20.658 \pm 0.132).$$
 (15)

The error bars shown in Fig. 13 take into account not only the statistical errors of the optimization procedure, but also the uncertainty in the modelling, derived from the variation of the best-fitting  $\beta$  parameter (see Section 6.4). We have opted to use a restricted redshift range in order to minimize the effect of systematics on the computation of the RS LF at high and low redshift. Table 3 lists the Schechter parameters within this redshift range.

Fig. 13 shows a very mild evolution in  $\Phi_*$  within the narrow redshift range considered, with a slope for the best-fitting linear relation of  $-0.189 \pm 0.372$ . In a shaded region, we provide our estimate for the best-fitting purely passive model (constant  $\Phi_*$ ), i.e.



**Figure 13.** Redshift evolution of the computed Schechter parameters  $\phi_*$  and  $^{0.55}M_*$  for the bright end of the RS LF. The red dots represent the fiducial model, and the red dashed line a linear fit to the fiducial model within the redshift range 0.525 < z < 0.63. The error bars represent the statistical error of the optimization and the uncertainty in the modelling. The solid line and shaded regions show the best-fitting constant- $\phi_*$  model (purely passive) model and its uncertainty range, respectively.

**Table 3.** Best-fitting Schechter parameters for the *i*-band RS LF at multiple redshift slices from z=0.525 to 0.63. The LF has been *k*-corrected to z=0.55. We have assumed  $\alpha=-1$ .

Redshift	$\phi_* \times 10^{-3} \; \mathrm{Mpc^{-3} \; mag^{-1}}$	$^{0.55}M_{*}$
0.525	$0.77 \pm 0.0930$	$-21.6504 \pm 0.047$
0.53	$0.7749 \pm 0.0938$	$-21.6586 \pm 0.0483$
0.535	$0.7420 \pm 0.0910$	$-21.6885 \pm 0.0490$
0.54	$0.7298 \pm 0.0903$	$-21.7115 \pm 0.0489$
0.545	$0.7371 \pm 0.0878$	$-21.7272 \pm 0.0483$
0.55	$0.7234 \pm 0.0873$	$-21.7371 \pm 0.0491$
0.555	$0.7846 \pm 0.0991$	$-21.7154 \pm 0.0494$
0.56	$0.791 \pm 0.101$	$-21.7242 \pm 0.0501$
0.565	$0.735 \pm 0.0956$	$-21.7572 \pm 0.0511$
0.57	$0.679 \pm 0.0920$	$-21.7915 \pm 0.0522$
0.575	$0.6 \pm 0.0973$	$-21.7906 \pm 0.0539$
0.58	$0.690 \pm 0.110$	$-21.7878 \pm 0.0586$
0.585	$0.6636 \pm 0.105$	$-21.8047 \pm 0.0570$
0.59	$0.6728 \pm 0.107$	$-21.8098 \pm 0.0588$
0.595	$0.6724 \pm 0.105$	$-21.8246 \pm 0.0604$
0.6	$0.6077 \pm 0.106$	$-21.8568 \pm 0.061$
0.605	$0.7698 \pm 0.102$	$-21.8253 \pm 0.0630$
0.61	$0.7580 \pm 0.0986$	$-21.8450 \pm 0.0524$
0.615	$0.7724 \pm 0.12$	$-21.8440 \pm 0.0661$
0.62	$0.7430 \pm 0.125$	$-21.8555 \pm 0.066$
0.625	$0.7482 \pm 0.137$	$-21.8540 \pm 0.0687$
0.63	$0.7433 \pm 0.141$	$-21.8627 \pm 0.0682$

 $\Phi_* = (7.248 \pm 0.204) \times 10^{-4} \text{ Mpc}^{-3} \text{ mag}^{-1}$ . The  $^{0.55}M_*$ –z relation corresponding to the best-fitting fixed- $\Phi_*$  model is also represented by a shaded region in the bottom panel of Fig. 13. We estimate that a passive model with a fading rate within the range  $\Delta^{0.55}M_*/\Delta z = [1.1-1.9]$  is consistent with both the data and the uncertainties in the modelling.

Due to the fact that the Schechter parameters are covariant, relatively large variations in the Schechter parameters translate into small variations in the shape of the LF. Fig. 14 presents the RS LF in six redshift bins within the redshift interval 0.525 < z <0.65. In each panel, we show the RS LF computed with our fiducial model at the corresponding redshift, the RS LF obtained with the linear fits to the Schechter parameters of the fiducial model at the corresponding redshift, our best-fitting passive evolving model with  $\Delta^{0.55} M_*/\Delta z = 1.5$  (the centre value of our high-confidence interval), the fiducial model at z = 0.55 and an 'observed' RS LF previous to any deconvolution, assuming a fixed colour demarcation of g - i = 2.35 to separate the red and blue populations. Fig. 14 illustrates the extreme luminosity of the sample, with 50 per cent completeness limits between -22.1 and -22.7, i.e. 0.6–1 mag brighter than  ${}^{0.55}M_{\star}$  within our high-confidence redshift interval. Importantly, Fig. 14 shows that the evolution of the massive end RS LF is consistent with our best-fitting passive-evolution model, with  $\Phi_* = (7.248 \pm 0.204) \times 10^{-4} \text{ Mpc}^{-3} \text{ mag}^{-1}$  and a fading rate of  $\Delta^{0.55} M_*/z \simeq 1.5$  per unit redshift (recall that values between  $\sim 1.1$ and 1.9 are possible within the uncertainties of the modelling). Deviations from passive evolution are only noticeable at the very brightest end, and at the edges of the redshift range, and are always within the estimated uncertainties in the computation.

A constant- $\Phi_*$  result at the high-mass end contrasts with the measured evolution of  $\Phi_*$  at intermediate masses. Results obtained from samples that directly explore the  $M_*$  and  $\Phi_*$  portion of the LF indicate that  $\Phi_*$  for the intermediate-mass red galaxy population increases by at least a factor of 2 from z=1 (see, e.g., Faber et al. 2007).

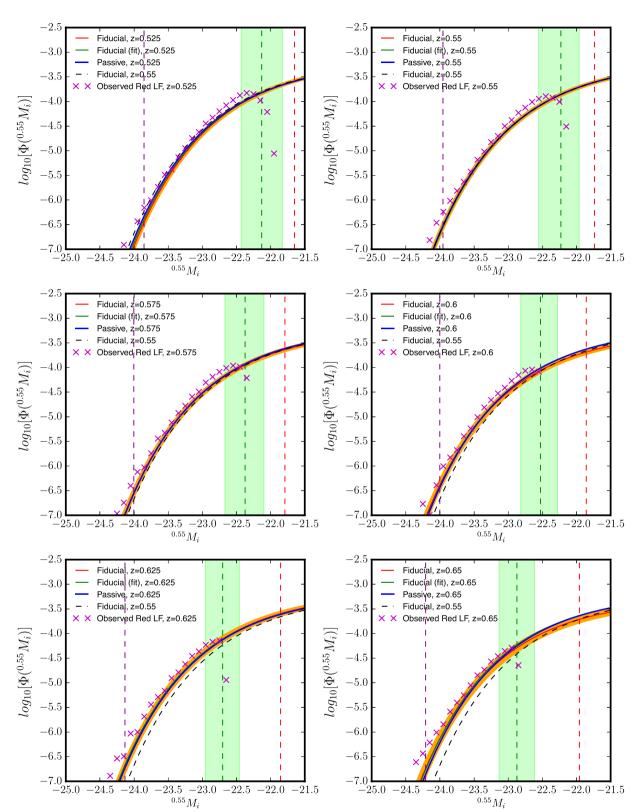
#### 7.4.2 Comparison with previous LF-evolution results at $z \sim 0.5$

Due to footprint limitations, previous surveys are severely affected by small-number statistics in the absolute magnitude ranges probed by BOSS. Here we show how our high-mass estimate compares with published lower mass RS LFs to the extent that the data allow. This comparison is presented in Fig. 15 for a representative set of previous results. As most LFs in the literature are presented in the B band, the 0.55i CMASS RS LF at z=0.5 is transformed into the rest-frame (Johnson) B-band LF, by taking advantage of the fact that the i-band filter at z=0.55 approximates the SDSS g band. We apply the following transformation from g-band magnitudes to B-band magnitudes:

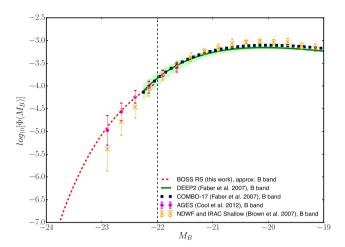
$$B = g + 0.115 + 0.370 \times (g - r) \tag{16}$$

which is taken from Bell et al. (2004) and Faber et al. (2007). Note that the redshift slice z = 0.50 is slightly outside our high-confidence range, so Schechter parameters for the CMASS RS LF are obtained by using the fits of equation (15). Note that the comparison shown in Fig. 15 is only approximative, as it involves several assumptions that can shift our LF slightly along the *x*-axis. This said, our RS LF is a continuation of the low-mass RS LFs, within the errors reported by the aforementioned works. At the bright end, most surveys, as mentioned before, are very incomplete. Our results are in good agreement with those from Cool et al. (2012), down to  $M_B \sim -23$ .

Fig. 15 confirms that our methodology for inferring the LF of RS galaxies from BOSS data, including the treatment of photometric errors, selection cuts and red-blue deconvolution, is sound and yields measurements that define a consistent picture when viewed alongside previous measurements. It also shows the unique capability of BOSS for studying the most massive tail of the massive galaxy population.



**Figure 14.** The bright end of the  ${}^{0.55}i$ -band RS LF in six redshift slices between z=0.525 and 0.65. Each panel shows the RS LF computed with our fiducial model (solid red line), the RS LF obtained with the linear fits to the Schechter parameters (solid green line) and our best-fitting passively evolving model (solid blue line). In addition, we show for reference the fiducial model at z=0.55 (dashed black line). Vertical dashed lines represent, from left to right: a bright-end reference magnitude corresponding to the value below which the total number of CMASS objects is 25 (purple line); the 0.5 completeness limit of the sample (green line, including in a shaded region the 0.02 and 0.98 completeness limits) and  ${}^{0.55}M_*$  (red). The crosses represent the RS LF previous to any deconvolution (see the text). Orange shaded regions show the uncertainty in our RS LF estimate, taking into account both the systematic and the statistical error.



**Figure 15.** The CMASS RS LF at z = 0.5 in the B band (approximately) as compared with previously reported LFs for red galaxies. The 0.55 i CMASS RS LF at z = 0.5 is transformed into the rest-frame (Johnson) *B*-band LF, by taking advantage of the fact that the *i*-band filter at z = 0.55 approximates the SDSS g band. The g-band magnitude is transformed into the B-band magnitude following equation (16). The following red LFs are used in this comparison: the DEEP2 Red LF (Faber et al. 2007), the Red LF in the Boötes field from Brown et al. (2007) using the NDWF and Spitzer IRAC Shallow surveys, the Red LF in the Boötes field from Cool et al. (2012) using AGES and the COMBO17 Red LF (Faber et al. 2007). The vertical line shows the approximate magnitude where completeness in the CMASS sample drops to 50 per cent. For the DEEP2 and the COMBO17 LFs, the Schechter fits to the data points are plotted, and  $M_B = 22.15$  is used as the bright limit of the measurements (see Faber et al. 2007, fig. 6). Our RS LF is a continuation of the low-mass RS LFs, within the errors reported by the aforementioned works.

## 7.4.3 Quantifying the evolution of LRGs: is passive evolution a good approximation?

Although our method has the potential to provide a definitive answer to this question, due to the narrow redshift range and the sensitivity of the Schechter parameters to uncertainties in the error model, we can only state that our results are *consistent* with passive evolution. In this section, we provide a more thorough characterization of this result.

Although the  $\Phi_*$ -z trend that we find for the fiducial model is quite flat, due to the covariant nature of the Schechter parameters and the absolute magnitude range considered, drawing conclusions about the high-mass end from the Schechter parameters alone can be misleading. In any given scenario, the best way to provide a quantification of the evolution of the high-mass end of the LF is to define an absolute magnitude where a given number density is reached, and track the evolution of such magnitude as a function of redshift (see Brown et al. 2007; Cool et al. 2008; Marchesini et al. 2014). As noted by Brown et al. (2007), this method is more suitable for the high-mass end than studying the evolution of the luminosity density (see an example in Wake et al. 2006). Here we define two absolute magnitudes,  $M_{\min}$  and  $M_{\max}$ , so that  $\log_{10}\Phi(M_{\min}) =$ -5.5 and  $\log_{10}\Phi(M_{\rm max})=-4.5$ , respectively. We also define the stretch parameter  $\Delta M = M_{\text{max}} - M_{\text{min}}$ , which is an alternative way of parametrizing the massive end of the LF. The values of  $\log_{10}\Phi(M_{\rm min}) = -5.5$  and  $\log_{10}\Phi(M_{\rm max}) = -4.5$  are defined so that the corresponding  $M_{\min}$  and  $M_{\max}$  are brighter than the 50 per cent completeness limit at any redshift, but are fainter, at any redshift slice, than the magnitude range where the data-model deviations are large and small-number effects become important.

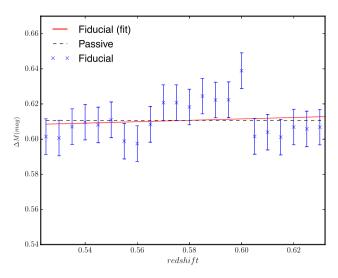


Figure 16. Deviation from passive evolution. For the fiducial (crosses), the fit to the fiducial model (stars) and the best-fitting passive model (which fades at a rate of 1.5 mag per unit redshift, see the text), we show the stretch parameter as a function of magnitude. The stretching parameter  $\Delta M$  is defined as the difference  $M_{\rm max}-M_{\rm min}$ , where  $M_{\rm min}$  and  $M_{\rm max}$  are the magnitudes corresponding to the fixed number densities  $\log_{10}\Phi(M_{\rm min})=-5.5$  and  $\log_{10}\Phi(M_{\rm max})=-4.5$ , respectively.

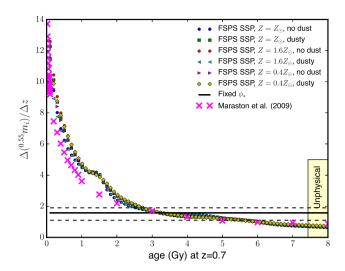
In a passive-evolution (constant- $\Phi_*$ ) scenario, the stretch-parameter evolution is flat by definition.<sup>3</sup> In Fig. 16, we show this parameter for the fiducial model, the fit to the fiducial model and the passive model with  $\Delta^{0.55} M_*/z \simeq 1.5$ . Within a scatter of  $\pm 0.01$  mag ( $\lesssim 1$  per cent in flux), the significance of the non-passive trend is almost zero, as expected given the flat  $\Phi_*$ -z trend. We have checked that above  $z \sim 0.63$ ,  $M_{\rm max}$  becomes very unreliable: the  $M_{\rm max}$ -z trend flattens completely, which is due to the fact that the effective magnitude range becomes too narrow.

Better characterizing the exact level of any merging and ongoing star formation within this galaxy population will require a more accurate determination of the covariance matrix in follow-up work (which will also extend our analysis to a wider CMASS redshift range). An interesting result to follow up on is the fact that our results are, in principle, consistent with a wide range of fading rates, some of which appear too high, as compared with previous literature (recall, however, that this is the first work that explores such a high-mass range at this redshift with significance). In fact, the observed, not-completeness-corrected RS LF (obtained applying a colour cut, not through any deconvolution method) shown as crosses in Fig. 14 evolves at a rate of  $\sim$ 2 mag per unit redshift, at a number density of  $\log_{10}\Phi(M_{\min}) = -5$ . Although our deconvolution method predicts plausible fading rates as low as  $\sim$ 1.1 mag per unit redshift, this is an important aspect to clarify in future works.

# 7.5 The fading rate of the RS LF as a tool for constraining the ages of the LRG population

Our results for the evolution of the RS LF are consistent with a passive-evolution scenario, to the extent that the uncertainties in the modelling allow. Under such an assumption (or approximation), we

 $<sup>^3</sup>$  Theoretically, one could also conceive a non-passive-evolution scenario where mergers and star formation conspire in a way that  $\Phi_*$  remains constant, but this scenario seems very unlikely.



**Figure 17.** The fading rate  $\Delta^{0.55}m_i/\Delta z$  for a set of SSPs as a function of the age at z=0.70. Symbols represent both FSPs models covering a wide range of stellar population parameters and the M09 passive LRG model. The horizontal lines represent a fading rate of  $1.5 \pm 0.4$  mag per unit redshift. This value corresponds to the fading rate within the redshift range  $0.525 \le z \le 0.630$  for the best-fitting passively evolving fixed- $\Phi_*$  model.

can interpret our constraints on  $\Delta^{0.55} M_*/z$  as constraints on the age of these populations. Our approach is distinct from the methods of previous measurements, which are obtained from wide redshift ranges and usually a few ( $\lesssim$  3) data points. For the first time (at least at these redshifts), we have enough statistics that we can divide our redshift range into extremely narrow redshift slices, and provide a fading rate (under the assumption of passive evolution), much in the sense of the local derivative of  $^{0.55}M_*$  with respect to redshift. We note that this inference is only approximate, given the range of possible  $\Delta^{0.55}M_*/z$  values that we find.

In Fig. 17, we show the fading rate  $\Delta^{0.55}M_i/\Delta z$  for a set of single stellar population (SSP) models, as a function of the age at z=0.70. In the absence of mergers and star formation (passive), we can assume that an SSP provides a good description of the SFH of the stellar population.<sup>4</sup> In particular, we show both FSPS models and the Maraston et al. (2009) passive LRG model (M09). For FSPS models, we show models for three different metallicities and with or without a moderate amount of dust. The fading rate is clearly a good tracer of the age of an SSP because it is insensitive to the model degeneracy. If we assume SSP  $\tau$ -models, the fading rate that we report at  $z\sim0.55$  would be consistent with a formation time for the LRGs of  $\sim$ 3–5 Gyr at z=0.70, which implies a formation redshift of  $z\sim1.5$ –3.

Equal conclusions regarding the age of the LRG population are drawn when comparing our results with the M09 model, as Fig. 17 shows. Maraston et al. (2009) were able to match the observed g, r, i colours of the LRG population across the redshift range 0.1 < z < 0.7. In order to achieve this goal, the model requires  $\sim$ 3 per cent of

the stellar mass to be in old metal-poor stars. Maraston et al. (2009) also show that empirical stellar libraries such as the Pickles library (Pickles 1998) provide considerably better fits than theoretical libraries.

Even though this is still a coarse measurement, the formation redshift (and age) that we measure using the fading rate of the RS LF is consistent with results from high-redshift quiescent red galaxies at  $1.5 \lesssim z \lesssim 2$  (using also SSPs). The spectroscopically derived SSP-equivalent ages of these systems at their corresponding redshifts are 1–2 Gyr (Bell et al. 2004; Whitaker et al. 2013; Onodera et al. 2015; Mendel et al. 2015), which correspond to a truncation redshift for the SSP model of  $z_{\rm trunc} = 1.5$ –2.5. This suggests that these high-redshift quiescent galaxies might be the progenitors of the very massive LRG population at z = 0.55 that we target in BOSS. As fig. 4 from Mendel et al. (2015) shows, the ages that we measure at z = 0.55 appear older than what has been previously measured at z < 1 (see e.g. Choi et al. 2014), although tighter constraints are needed to confirm this scenario.

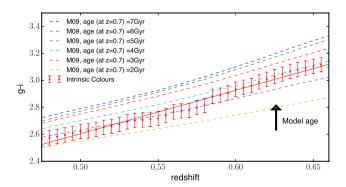
#### 7.6 The colour evolution of the high-mass end of the RS

The intrinsic (g - i) colour of the RS at our reference magnitude can be well approximated by the following linear relation:

$$(g - i)_{RS} = (3.217 \pm 0.074) z + (0.996 \pm 0.042)$$
 (17)

within the approximate redshift range  $0.5 \lesssim z \lesssim 0.7$ . Note that these are observer's-frame, apparent colours. Intrinsic here means noise-free, i.e. relative to the intrinsic distributions.

The evolution of the RS centroid is tightly connected to the evolution in the stellar populations of these systems. However, stellar population parameters are very degenerate, in terms of their effect in a galaxy SED. Addressing the implications of our colour-evolution measurement requires a detailed stellar population analysis that is out of the scope of this paper. However, it is interesting to compare our results with the colours predicted by the M09 model, which is the result of a comprehensive stellar population fitting analysis specifically aimed at matching the *observed* colours of the LRG population as a function of redshift (see Maraston et al. 2009). This comparison is shown in Fig. 18, for the intrinsic (g - i) colour of the RS at our reference magnitude.



**Figure 18.** The redshift evolution of the (g-i) centroid of the RS in intrinsic space, obtained using our fiducial model, as compared with the M09 model. Due to the magnitude dependence of the centroid, we show the value at the reference magnitude i = 19 ( $Y_0 + Z_0$ ). The arrow is added for the sake of clarity to indicate that dashed lines correspond to progressively older models as we move up in the plot.

<sup>&</sup>lt;sup>4</sup> Note that it is common in the literature to use the word 'passive' to describe the evolution of a galaxy population with no ongoing star formation, so the evolution is due to the fading of the stellar populations. In this context, a galaxy population undergoing mergers can have a 'passively evolving stellar population', provided that mergers do not result in new star formation.

The intrinsic RS colours are consistent with models of ages between 3 and 4 Gyr at z=0.70, which is also consistent with the preliminary ages that we obtain from our fading rate measurement (3–5 Gyr). Another way to look at this is the following: the M09 models that best fit the colours of the RS display a fading rate at  $z\sim0.55$  of 1.3–1.7 mag per unit redshift, which is consistent with our LF measurement. Interestingly, the slope of the colour trend is in very good agreement with the predictions from Maraston et al. (2009).

In follow-up work, further constraints on the stellar population properties of RS galaxies can be placed by studying the evolution of average RS spectra. Our red+blue photometric population model can be extended to deconvolve average spectra of red and blue galaxies within the BOSS sample.

#### 8 DISCUSSION

We have developed a method for deconvolving the intrinsic colour–colour–magnitude distributions from the effect of photometric errors, correlations between bands and selection in the BOSS CMASS sample. Our method, which is based on a forward-modelling approach, lays the foundation for future galaxy-evolution studies using not only BOSS, but also future DE surveys such as eBOSS or DESI. The photometric deconvolution of spectroscopic quantities is addressed in Montero-Dorta et al. (2016).

A key element in our deconvolution method is the error model derived from Stripe 82 multi-epoch data. By using this error model, the shape of the intrinsic distributions can be obtained directly from the data, without the need for making any strong assumptions based on SPS models. Our modelling is, therefore, not based on any arbitrary definition of 'red' and 'blue' galaxies, but reflects the phenomenology of the colour–colour–magnitude diagrams (see a similar philosophy in Taylor et al. 2015).

Our results indicate that the high-mass RS at  $z \sim 0.55$  is consistent with a delta function in the colour–colour plane, for a given magnitude and narrow redshift slice, within the resolution limit imposed by photometric uncertainties. We estimate that a width for the RS larger than  $\sim 0.05$  mag would be inconsistent with the observed scatter and our error model. Below this approximate limit, adopting a non-zero value for the width of the RS has a negligible effect on our results. Note that this description is, in principle, only valid at the bright end ( $^{0.55}M_i \lesssim -22/-22.5$ ). Importantly, in order to fit the number counts, our model requires a colour–magnitude relation for the RS, with a slope of  $\leq 0.05$  mag (colour).

Our results are qualitatively consistent with previous results for the RS. There is some consensus that the typical scatter in the colour–magnitude diagram of early-type galaxies is significantly below 0.1 mag at intermediate redshifts for the clusters' RS:  $\sim$ 0.05 mag is the observed scatter measured by Bower et al. (1992), with at least 0.03 mag due to observational errors. In the field, the intrinsic scatter is a factor of 2 larger: 0.1–0.15 mag (Tanaka et al. 2005; Whitaker et al. 2010; Fritz et al. 2014). The majority of previously reported colour–colour diagrams are presented for significantly wider redshift ranges and usually also larger magnitude bins (we use redshift intervals of  $\Delta z = 0.01$ ). The combination of the colour–magnitude relation and the redshift effect can certainly account for the extra scatter measured by previous works. This is shown by comparing our colour–colour diagrams with those extracted from the PRIMUS survey at  $z \sim 0.5$ .

The high-mass BC, on the other hand, is defined within our modelling as the background component that is necessary to fit the observed distributions. This component is well described by an extended Gaussian function on the colour–colour plane, centred at  $g-i\simeq 1.7$ . This Gaussian distribution intrinsically extends through the red side. Note that by 'blue cloud' we do not necessarily mean 'young', 'late-type' or 'spiral', but a distribution of spectroscopically and photometrically heterogeneous objects that can be statistically separated from the narrow distribution that we call 'RS'. The fact that our model predicts that a fraction of BC objects would have intrinsically redder colours than the RS itself is in agreement with results from Taylor et al. (2015). We estimate that the fraction of intrinsically BC objects on the red side of the colour–colour diagram is  $\sim 25-30$  per cent. This result is also quantitatively consistent with Taylor et al. (2015), who find that a galaxy observed right on the locus of the R distribution still has a 20–25 per cent chance of having come from the bluer B population. The total fraction of intrinsically BC objects in the CMASS sample is 37 per cent.

From a morphological point of view, the fact that the CMASS sample is comprised of a significant fraction of non-RS galaxies is consistent with results from Masters et al. (2011), who studied the morphology of 240 BOSS galaxies at 0.3 < z < 0.7 using both *Hubble Space Telescope* imaging and COSMOS data. Quantitatively, however, notable discrepancies exist. In particular, Masters et al. (2011) find that 29 per cent of the CMASS galaxies in their 240-object sample did not present an early-type morphology; the majority are identified as late-type galaxies. Interestingly, only  $\sim$ 10 per cent of these objects lie on the red side of the g-i=2.35 demarcation, which would suggest a much more concentrated colour distribution for the BC, as compared to our model. Note that, however, uncertainties associated with mapping observed colours to morphologies are also well documented.

There is only limited resolution that can be attained using photometric data, so the inclusion of spectroscopic data into the modelling should help alleviate some of the above tensions. This aspect of the modelling, i.e. the connection between the RS and the BC and the role of the so-called *green valley* region in between, is key to understanding the way galaxies evolve (see examples of studies discussing these topics in Bundy et al. 2010 and Wolf et al. 2009).

An important result of our analysis is the quantification of RS completeness in the CMASS sample, as a function of colour and apparent magnitude. The sample is complete in apparent magnitude below  $i \simeq 19.5$ , where completeness starts to decline (the exact magnitude depends on the redshift slice considered). Overall completeness for the RS, integrated within the limits of the CMASS selection, remains above  $\sim 0.8-0.85$  at  $z \gtrsim 0.53$ . RS completeness is, by itself, a key result to the science that can be pursued with the CMASS sample.

We have checked that uncertainties in our error model and systematics have, qualitatively, little effect on the above results. In order to mitigate the effect of these uncertainties in the computation of the RS LF, we have restricted our analysis to a redshift range around z=0.55, in particular from z=0.52 to 0.63. Even though our statistics are large, placing tight constraints on the evolution of the RS LF from such a narrow redshift range requires very high precision in the deconvolution procedure. For this reason, we can only state that the evolution of the RS LF is *consistent* with that of a passively evolving model, given the uncertainties in the modelling. In particular, our results indicate that, within the approximate absolute magnitude range  $^{0.55}M_i \lesssim -22$ , the RS LF is well described by a Schechter function of constant  $\Phi_* = (7.248 \pm 0.204) \times 10^{-4} \; \mathrm{Mpc^{-3}} \; \mathrm{mag^{-1}}$ , passively fading at a rate of 1.1–1.9 mag per unit redshift.

There is consensus in the literature that the effect of mergers on the evolution of massive RS galaxies is considerably less significant than that of their less massive counterparts. However, different

works differ in the extent that this evolution approximates that of a purely passively evolving galaxy population. Note that the concept of pure passive evolution is only an approximation, as mergers have been observed involving massive RS galaxies (e.g. Perseus A). In practice, we use this concept to describe a situation where the occurrence of mergers and star formation within a given population is low enough that it does not affect the global evolution of the population, as measured from statistics such as the LF. If confirmed, our results would be in agreement with LF-evolution results from Wake et al. (2006) and Cool et al. (2008). Using spectral analysis, Cool et al. (2008) also conclude that the evolution in the average LRG spectrum also supports a purely passive evolution for the LRGs since  $z \sim 0.9$ . This idea is reinforced by subsequent work from Maraston et al. (2013). From a galaxy-clustering perspective, the evolution in the clustering properties of LRGs from the BOSS samples alone also appears to support the idea of a passively evolving galaxy population (see Guo et al. 2013, 2014).

Some authors, however, have reported results that indicate that the effect of mergers is noticeable within this population, when studying the LF or clustering. Brown et al. (2007) report small deviation from passive evolution for the red LF using the NDWF and Spitzer IRAC Shallow surveys. Also, Tojeiro et al. (2012) conclude that the LRG population at z < 0.7 evolves, although slowly, i.e. less than 2 per cent by merging, when the two samples are properly matched and weighted. From cross-correlation function measurements, Masjedi et al. (2006) find results that indicate that major dry merger may still play a role in the late evolution of LRGs. Lidman et al. (2013) also found a major merger rate of 0.38  $\pm$  0.14 mergers per Gyr at  $z \sim 1$ , for BCGs using a sample of 18 distant galaxy clusters with over 600 spectroscopically confirmed cluster members between them. Very recently, Bernardi et al. (2016) have reported that, while the evolution of the LF/SMF from BOSS to the SDSS is consistent with a passive-evolution scenario, this appears not to be the case for the evolution of clustering. SDSS galaxies appear to be less strongly clustered than their BOSS counterparts, which seems to rule out the passive-evolution scenario.

Within the uncertainties of the study, we do not find conclusive evidence of non-passive evolution. From our detailed analysis of the BOSS data, we have identified several ways to improve our modelling, so a better characterization of the exact level of mergers and star formation can be provided. First, we can attempt to reduce the uncertainty in our covariance matrix model, which will reduce the error bars in our Schechter parameters and allow us to explore a wider redshift range in BOSS. Secondly, we can combine our BOSS results with the SDSS, the BOSS LOZ sample or the eBOSS LRG sample. The techniques that we have presented in this paper lay the ideal framework to perform this study in a consistent way. This is a similar approach as the one followed by Bernardi et al. (2016), but in the aforementioned paper the authors acknowledge that incompleteness in the BOSS sample is not treated rigorously, which might be the cause of some of the tensions reported. Finally, we can explore the possibility of incorporating tighter a priori constraints on the BC from complementary data sets in a consistent way.

# 9 FUTURE APPLICATIONS: THE INTRINSIC HALO-GALAXY CONNECTION

In Montero-Dorta et al. (2016), we use our completeness/intrinsic distribution results within a similar framework as the one presented here to measure the L- $\sigma$  relation at  $z \sim 0.55$ . These two works provide a detailed characterization of the high-mass RS population

at  $z\sim 0.55$ , which can be injected into a broader cosmological framework, in a consistent way.

In particular, our intrinsic characterization can be used, in combination with N-body numerical simulations, to place constraints on the halo-galaxy connection. Importantly, this will provide a new perspective to BOSS clustering science, which so far has entirely focused on observed distributions. In essence, our modelling can be combined with state-of-the-art publicly available N-body cosmological simulations, to generate hyper-realistic mock catalogues for different cosmologies and where the halo-galaxy link is conveniently parametrized. The connection between galaxies and haloes will be performed by applying the techniques of halo occupation distributions (e.g. Berlind & Weinberg 2002; Zehavi et al. 2005) and halo abundance matching (e.g. Vale & Ostriker 2004; Trujillo-Gomez et al. 2011). By applying our error model and the selection criteria to the resulting set of catalogues and fitting for the observed clustering properties and the observed LF, constraints on cosmological parameters and on the physically motivated parameters of the intrinsic halo-galaxy connection model can be placed.

In addition to the cosmological applications, our redshift-dependent LF can provide informative priors for the determination of spectroscopic redshifts from low-S/N survey data in future enhancements to the redshift measurement pipeline for BOSS and subsequent surveys (Bolton et al. 2012). Also, we may use our red+blue photometric population model and the PDSO method to deconvolve average spectra of red and blue galaxies within the BOSS sample. Finally, the forward-modelling techniques developed in this work can be applied to quantify the evolution of massive galaxies as a single population across redshift from  $z\approx 1$  down to z=0 by including other galaxy samples from SDSS-I, -II, -III and -IV. This can provide tighter constraints on the evolution of massive RS galaxies.

### 10 SUMMARY

The DR10 CMASS sample of the BOSS survey contains  $\sim$ 600 000 galaxies (0.45  $\leq z \leq$  0.70) covering, with unprecedented statistics, the very massive end of the RS population at  $z \sim$  0.55. Such a huge sample has great potential to shed light into the evolution of massive galaxies, but it also presents significant challenges. We have developed a forward-modelling method based on a UML approach for deconvolving the intrinsic CMASS distributions from photometric blurring and accounting for selection effects. Importantly, our approach can be applied to other future low-S/N DE surveys. The method allows us to (1) determine the best-fitting intrinsic (g-r) colour–(r-i) colour–i-band magnitude distribution for the RS at intermediate redshifts with unprecedented accuracy, including a correction for the contamination from BC objects in the sample; (2) determine RS completeness in the sample as a function of colours and magnitudes; (3) compute the bright end of the RS LF.

The main conclusions of our analysis can be summarized as follows

- (i) Our characterization of completeness in the CMASS sample lays the foundation for a variety of galaxy-evolution and LSS studies with BOSS.
- (ii) The high-mass RS at  $z \sim 0.55$  is extremely compact in the colour–colour plane. At fixed magnitude and in a narrow redshift slice, the distribution is consistent with a single point, to the extent that photometric errors allow. In order to fit the observations, the width of the RS cannot be larger than  $\sim 0.05$  mag.
- (iii) The high-mass BC, defined as a spectroscopically and photometrically heterogeneous population clearly distinguishable from

the RS, can be modelled as a much more extended Gaussian. Our intrinsic distributions reflect purely the phenomenology of the colour-colour plane.

- (iv) We have computed the RS LF in several redshift slices around z = 0.55, i.e. within the redshift range 0.52 < z < 0.63. Within this narrow range, the evolution of the RS LF seems consistent with passive evolution, within the uncertainties in the modelling.
- (v) The fading rate measured from the RS LF implies a formation redshift of z=1.5–3 for the LRGs, under the SSP assumption. This formation redshift is in agreement with that derived from spectroscopy studies of high-redshift quiescent galaxies (1.5 < z < 2), which suggests that these galaxies might be the progenitors of massive LRGs at z=0.55.
- (vi) The characterization of the intrinsic properties of the highmass RS at  $z \sim 0.55$  presented in this work and in Montero-Dorta et al. (2016) has unique potential to shed light into the intrinsic halo-galaxy connection for this galaxy population.

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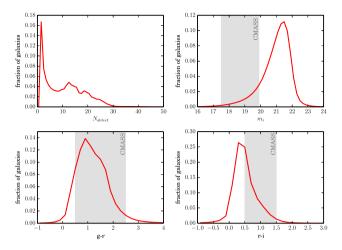
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# APPENDIX A: MODELING THE COVARIANCE MATRIX WITH STRIPE 82

We use Stripe 82 multi-epoch data to model the blurring effect produced by photometric errors and correlations between different ugriz bands. This effect is described by the covariance matrix. Stripe 82 is the SDSS stripe along the celestial equator in the Southern Galactic Cap, covering the region defined by  $-50^{\circ} < \alpha_{J2000} < 59^{\circ}$ ;  $-1.25 < \delta_{J2000} < 1.25$ , i.e. a total of ~270 sq. deg. in the sky. What makes Stripe 82 particularly useful for this work is the fact that it was observed multiple times, as many as  $\sim$ 80 times before the final release of the Stripe 82 data base (usually as part of the SDSS-II supernova survey; Frieman et al. 2008). The Stripe 82 data base is comprised of a total of 303 runs (continuous scans of the imaging telescope), plus two co-add runs. These runs correspond to different reruns, which is how the processing algorithm used is indicated. By imposing the latest Stripe 82 rerun, our Stripe 82 data base is reduced to 120 runs (plus 30 extra runs which are not included in the official data base but have some overlap with the Stripe 82 region). The total number of detections, of all types, in this data base exceeds 100 million.

In order to identify unique objects within the data base, we use the identification number THING\_ID, which is the same for all detections of the same object across different runs. Each run in the data base (and in the SDSS in general) is divided into a number of *fields*, this number varying for different runs. For each field, all the needed individual-detection photometric information is contained in the *PhotoObj* files. Geometric and general photometric information for the corresponding field and run is stored in the upper-level *PhotoField* and *PhotoRun* files, respectively. A number of fields from different runs overlap in our Stripe 82 data base, thus giving rise to multiple observations for the same object.

The THING\_ID identification number allows us to collect all detections for the same object, but the information about how each detection is resolved in the survey is stored in a bitmask called RESOLVE\_STATUS. Only objects with the SURVEY\_PRIMARY bit of the RESOLVE\_STATUS flag set are used for the galaxy targeting algorithms. In order to collect a set of detections for each unique object, we must replace this condition. Thus, we require



**Figure A1.** The distribution of the number of detections ( $N_{\rm detect}$ ), the i-band magnitude, the g-r colour and the r-i colour for the entire multi-epoch Stripe 82 summary catalogue. In the last three panels, a shaded region highlights the approximate range covered by the CMASS sample.

all detections to have the RUN\_PRIMARY bit set. This criterion guarantees that detections are unique within each run.

Finally, we require that all detections pass the SDSS photometric pre-selection criteria (Dawson et al. 2013, section 2.2), including the photometric flag cuts and the star/galaxy separation. Note that the CALIB\_STATUS bitmask allows us to exclude all detections observed in non-photometric conditions, i.e. detections in fields that fail to satisfy the high photometric quality of the survey. Our final Stripe 82 multi-epoch summary catalogue contains a total of 5173 086 objects that were ever selected (in any epoch) as candidate galaxy targets. Each object has an average of  $\sim 10$  associated detections. For each object in the catalogue, we compute the average i-band magnitude, g - r colour and r - i colour within the corresponding set of detections. We also calculate for each object the standard deviations of each variable ( $\sigma_{11}$ ,  $\sigma_{22}$ ,  $\sigma_{33}$  for *i*-band magnitude, g - r colour and r - i colour, respectively) and the correlations for the cross terms ( $\rho_{12}$ ,  $\rho_{13}$ ,  $\rho_{23}$ ). These elements are all that is needed to compute the covariance matrix for each individual object.

In Fig. A1, we present some statistical properties of our Stripe 82 multi-epoch catalogue. There is a large fraction of objects for which we only have one or two detections ( $\sim$ 20 per cent). These objects are excluded from our analysis, as we set a number of detections threshold at  $N_{\rm detect}=5$  for the computation of individual statistics.

The covariance matrix shows some non-negligible dependence on magnitude and colours. In order to model this dependence, we

**Table A1.** The mean value and standard deviation for the six different statistical quantities for which we model the colour and magnitude dependence (the standard deviation terms  $\sigma_{11}$ ,  $\sigma_{22}$ ,  $\sigma_{33}$  and the correlation terms  $\rho_{12}$ ,  $\rho_{13}$ ,  $\rho_{23}$ ). The indices 1, 2, 3 correspond to i, (g-r) and (r-i), respectively.

element	⟨element⟩	$\sigma(\text{element})$
$\sigma_{11}$	+0.166	0.075
$\sigma_{22}$	+0.357	0.301
$\sigma_{33}$	+0.138	0.075
$\rho_{12}$	+0.006	0.048
$\rho_{13}$	-0.266	0.103
$\rho_{23}$	-0.335	0.113

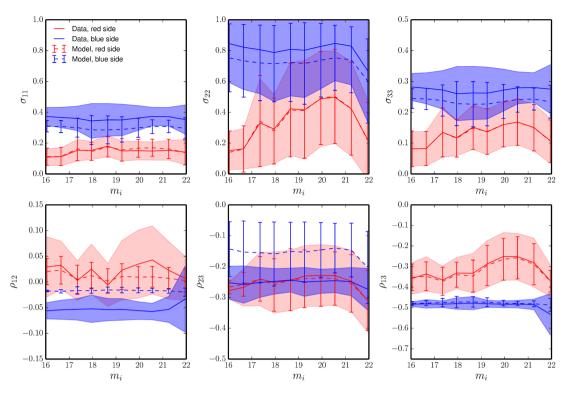


Figure A2. Performance of the best-fitting quadratic model for the dependence of the standard deviation terms  $\sigma_{11}$ ,  $\sigma_{22}$ ,  $\sigma_{33}$  and the correlation terms  $\rho_{12}$ ,  $\rho_{13}$  and  $\rho_{23}$  terms on colour and magnitude. The indices 1, 2, 3 correspond to i, (g-r) and (r-i), respectively. In each panel, the red solid line and the red dashed line represent the average of the corresponding term in bins where  $g-i \ge 2.35$  in the data and in the model, respectively. The shaded red region represents the  $2\sigma$  scatter for the data, and the red error bars the corresponding  $2\sigma$  scatter for the model. In a similar format but in blue colours, we show the average of each term for bins that satisfy g-i < 2.35.

first split our Stripe 82 sample into 3D bins of magnitude, g-r colour and r-i colour, with a constant bin size of  $\Delta X=0.1$ ,  $\Delta Y=0.1$  and  $\Delta Z=0.1$ . In each 3D bin, we compute the median of magnitude, colours, standard deviation terms and correlation terms, as long as the bin contains at least 25 galaxies. Finally, we assume a quadratic dependence on magnitude and colours for each of the three standard deviation terms and three correlation terms. As an example, the  $\sigma_{11}$  term is modelled as follows:

$$\sigma_{11}(X, Y, Z) = c_{XX}^{11} X^2 + c_{YY}^{11} Y^2 + c_{ZZ}^{11} Z^2 + c_{XY}^{11} XY$$

$$+ c_{YZ}^{11} Y Z + c_{XZ}^{11} X Z + c_{X}^{11} X + c_{Y}^{11} Y + c_{Z}^{11} Z + c_{0}$$
(A1)

with nine different coefficients. Each of the six individual fits is performed only within the region defined by the following ranges: 16 < i < 22, 0 < g - r < 3 and 0 < r - i < 1.5, which exceeds the CMASS selection region.

In Table A1, we provide the average value and the standard deviation for the six different statistics that we model. As expected, the photometric errors on g-r are considerably larger than the photometric errors on the *i*-band magnitude and r-i. This effect is noticeable in Fig. 2, as the scatter in the observed CMASS distributions is larger along the g-r axis. Obviously, no correlation exists between the *i*-band magnitude and the g-r colour, and a negative correlation is present between the *i*-band magnitude and the r-i colour and between the g-r colour and the r-i colour.

A visual inspection of the fits reveals that the quadratic model is capable of reproducing the general trends reasonably well. In an attempt to illustrate this, we present, in Fig. A2, the dependence

of each term on apparent magnitude, for two different subsamples, defined by a colour demarcation at g-i=2.35. The general conclusion is that the best-fitting quadratic model reproduces the average magnitude dependences quite accurately at the red side of the colour–colour plane (at least up to  $g-i\simeq 2$ ), but some discrepancies appear at the blue side. These discrepancies are consistent with the typical scatter in these relations.

Finally, we must account for possible overall inconsistencies in the photometric quality between the Stripe 82 and the SDSS footprint. To this purpose, we allow our covariance matrix to be multiplied by a scale factor that could in principle have a small dependence on magnitude but should be close to 1. The value or functional form for this factor, that we call  $\beta(i)$ , will be empirically determined as part of the modelling of the intrinsic distributions. A visual inspection of the residuals shows that a constant value for  $\beta$  has opposite effects towards each side of the CMASS magnitude range. In particular, it tends to make the distributions too broad at the bright end and too narrow at the faint end. In order to avoid these effects, we adopt the following empirically developed functional form for  $\beta(i)$ :

$$\beta(i) = \left[ \frac{1 + 10^{0.6(X - i_0 + \Delta i)}}{1 + 10^{0.6(X - i_0)}} \right]^{1/2},\tag{A2}$$

where X is the i-band apparent magnitude and  $i_0 = 19.5$ .

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