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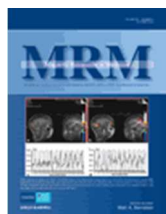
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## Improved accuracy and precision of tracer kinetic parameters by joint fitting to variable flip angle and dynamic contrast enhanced MRI data

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20 Improved accuracy and precision of tracer kinetic parameters by  
21 joint fitting to variable flip angle and dynamic contrast enhanced  
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*Running head:* Improved accuracy and precision of DCE-MRI tracer kinetic parameters

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## Abstract

### *Purpose:*

To improve the accuracy and precision of tracer kinetic model parameter estimates for use in dynamic contrast enhanced (DCE) MRI studies of solid tumors.

### *Theory:*

Quantitative DCE-MRI requires an estimate of pre-contrast  $T_1$ , which is obtained prior to fitting a tracer kinetic model. As  $T_1$  mapping and tracer kinetic signal models are both a function of pre-contrast  $T_1$  it was hypothesized that its joint estimation would improve the accuracy and precision of both pre-contrast  $T_1$  and tracer kinetic model parameters.

### *Methods:*

Accuracy and/or precision of two-compartment exchange model (2CXM) parameters were evaluated for standard and joint fitting methods in well-controlled synthetic data and for 36 bladder cancer patients. Methods were compared under a number of experimental conditions.

### *Results:*

In synthetic data, joint estimation led to statistically significant improvements in the accuracy of estimated parameters in 30 of 42 conditions (improvements between 1.8% and 49%). Reduced accuracy was observed in 7 of the remaining 12 conditions. Significant improvements in precision were observed in 35 of 42 conditions (between 4.7% and 50%). In clinical data, significant improvements in precision were observed in 18 of 21 conditions (between 4.6% and 38%).

### *Conclusion:*

Accuracy and precision of DCE-MRI parameter estimates are improved when signal models are fit jointly rather than sequentially.

*Key words:* DCE-MRI, tracer kinetic modeling, joint estimation, precision, accuracy, heterogeneity, two-compartment exchange model

## Introduction

The goal of quantitative dynamic contrast enhanced (DCE) MRI is to estimate tracer kinetic model parameters for a tissue of interest. To fit a tracer kinetic model to DCE-MRI data, MR signal intensity must first be converted to contrast agent concentration, a process which requires an estimate of pre-contrast  $T_1$  ( $T_{1,0}$ ) (1). Errors in  $T_{1,0}$  will propagate through to errors in estimates of contrast agent concentration, eventually affecting the tracer kinetic parameters of interest (2, 3). To be clinically useful, acquisition times for  $T_1$  mapping data should be of the order of seconds-minutes, discounting the use of gold standard methods (e.g. multi-point inversion recovery spin-echo sequences). Faster techniques using gradient echo turboFLASH sequences have been proposed (4, 5) and  $T_1$  estimates using these methods agree well with their spin-echo counterparts (4), however acquisition times are still too long in some applications, especially if other quantitative imaging acquisitions such as diffusion weighted MRI are required. Many DCE-MRI studies within the last 15 years (6, 7, 8, 9) have opted to perform  $T_1$  mapping using the spoiled gradient recalled echo (SPGR) variable flip angle (VFA) technique (10), which is less accurate and precise than multi-point methods (11, 12), but can provide the required coverage in a matter of seconds.

Image noise contributes significant error to VFA  $T_1$  estimates (13). Noise can be minimized by acquiring multiple signal averages, however this increases acquisition time reducing the benefits of the VFA method compared to more accurate  $T_1$  mapping techniques. In the context of DCE-MRI, multiple dynamic contrast enhanced images are acquired following the VFA data. Often the same sequences are used for both acquisitions, however information about  $T_{1,0}$  within the dynamic acquisitions is then ignored. Since both VFA and dynamic signal models are a function of  $T_{1,0}$ , such information could theoretically be included during its estimation by jointly fitting signal models to VFA and dynamic data. Work outside the field of MRI showed that joint fitting of signal models which share parameters can improve the accuracy and precision of parameter estimates compared to when models are fit separately (14, 15).

Recognizing that  $T_{1,0}$  is a common parameter to both VFA and dynamic signal models, we hypothesized that joint fitting of these models would improve the accuracy and precision of  $T_{1,0}$  and tracer kinetic parameter estimates. This paper describes the theory behind the standard sequential and proposed joint estimation approaches. The hypothesis is then tested using the two-compartment exchange model (2CXM) in well-controlled synthetic and clinical data. Additional experiments are presented in supporting materials.

## Theory

### Sequential estimation

This section describes the standard approach to estimate tracer kinetic parameters from SPGR VFA and DCE-MRI data, and suggestions are made as to why it may be suboptimal. Tracer kinetic parameters are estimated by fitting a model (e.g. 2CXM (16)) to concentration time courses. Since contrast agent concentration cannot be measured directly using MRI, it must be inferred from measured signal time courses using an estimate of  $T_{1,0}$ . The tracer kinetic model can also be used to compute contrast agent concentrations, which can be converted to idealized signal values (using the estimated  $T_{1,0}$ ) and fitted to measured dynamic signal. This second approach is described in detail below.

In general, measured MR signal magnitude,  $y$ , at an arbitrary voxel can be modeled as:

$$y = s + \epsilon \quad (1)$$

where  $s$  is the underlying noise-free signal and  $\epsilon$  is an independent and identically distributed (i.i.d) random variable, modeling image noise. For image data acquired using an SPGR sequence, the underlying noise-free signal can be modeled as (17):

$$s = \frac{M_0 \sin \theta (1 - e^{-\frac{TR}{T_1}})}{1 - \cos(\theta) e^{-\frac{TR}{T_1}}} \quad (2)$$

where  $TR$  is the repetition time,  $\theta$  is the flip angle, and  $T_1$  and  $M_0$  are the spin-lattice relaxation time and equilibrium longitudinal magnetization at the voxel respectively. Typically the echo time,  $TE$ , is kept short such that signal decay due to  $T_2^*$  effects can be ignored. To differentiate between VFA and dynamic flip angles, we use vector  $\theta_v = [\theta_{v_1}, \theta_{v_2}, \theta_{v_3} \dots \theta_{v_N}]$  and scalar  $\theta_d$  respectively, where  $N$  is the number of distinct flip angles in the VFA set. The equilibrium longitudinal magnetization ( $M_0$ ) depends on proton density and receive gain. In general,  $M_0$  may differ between corresponding voxels in VFA and dynamic images and therefore  $M_{0,v}$  and  $M_{0,d}$  are defined respectively.

Assuming a signal to noise ratio  $> 3$ , noise is well approximated as Gaussian ( $\epsilon \sim N(0, \eta)$ ), where  $\eta$  is the standard deviation of the noise present in the images (18). Since noise may differ between VFA and dynamic images we define  $\eta_v$  and  $\eta_d$  respectively. Substituting  $T_1 = T_{1,0}$  and  $M_0 = M_{0,v}$  into Eqn 2, estimates of  $\hat{T}_{1,0}$  and  $\hat{M}_{0,v}$  are obtained from the VFA signal by maximizing the following log-likelihood function with respect to  $T_{1,0}$  and  $M_{0,v}$ :

$$\log \mathcal{L}_v = -\frac{N \log(2\pi\eta_v^2)}{2} - \sum_{i=1}^N \frac{(y(\theta_{v_i}) - s(\theta_{v_i}))^2}{2\eta_v^2} \quad (3)$$

where  $y(\theta_{v_i})$  is the measured VFA signal at the voxel for flip angle  $\theta_{v_i}$  and  $N$  is the total number of distinct flip angles within the VFA image set. In the case of Gaussian errors, maximization of log-likelihood functions is equivalent to minimization of the sum of squared residuals.

Next,  $\hat{T}_{1,0}$  is used in conjunction with an estimate of the mean pre-contrast dynamic signal,  $\hat{s}_{\text{pre}}$ , to obtain an estimate the equilibrium longitudinal magnetization at the voxel within the dynamic images,  $\hat{M}_{0,d}$ . Eqn 2 can be restated as:

$$\hat{M}_{0,d} = \frac{\hat{s}_{\text{pre}}(1 - \cos(\theta_d)e^{-\frac{TR}{T_{1,0}}})}{\sin \theta_d(1 - e^{-\frac{TR}{T_{1,0}}})} \quad (4)$$

where,

$$\hat{s}_{\text{pre}} = \frac{1}{n_{\text{pre}}} \sum_{j=1}^{n_{\text{pre}}} y(t_j) \quad (5)$$

where  $y(t_j)$  is the measured dynamic signal at acquisition time  $t_j$  and  $n_{\text{pre}}$  is the number of pre-contrast dynamic time points.

After arrival of contrast agent at the voxel, a reduction in the  $T_1$  relaxation time is observed. Assuming the fast exchange limit for water exchange, the  $T_1$  relaxation rate at dynamic acquisition time  $t_j$  is given by:

$$\frac{1}{T_1(t_j)} = rC(t_j) + \frac{1}{T_{1,0}} \quad (6)$$

where  $r$  is the  $T_1$  relaxivity of the contrast agent and  $C(t_j)$  is the contrast agent concentration at time  $t_j$ . Substituting Eqn 6 into Eqn 2, with  $M_0 = \hat{M}_{0,d}$  gives:

$$s(t_j) = \frac{\hat{M}_{0,d} \sin \theta_d (1 - e^{-TR(rC(t_j) + \frac{1}{T_{1,0}})})}{1 - \cos(\theta_d) e^{-TR(rC(t_j) + \frac{1}{T_{1,0}})}} \quad (7)$$

The concentration of contrast agent at the voxel,  $C(t_j)$ , can be modeled as a convolution between the tissue's impulse response function (IRF) and the tissue's arterial input function (AIF). For the 2CXM, the IRF is described by 4 microvascular parameters,  $\mathbf{p} = [F_p, F_E, v_p, v_e]$ , where  $F_p$  is the plasma flow,  $F_E$  is the exchange flow,  $v_p$  is the plasma volume and  $v_e$  is the interstitial volume (19). Units for 2CXM parameters are shown in Table 1. The tracer kinetic parameters  $\mathbf{p}$  are estimated from the dynamic signal by maximizing the following log-likelihood function with respect to  $\mathbf{p}$ :

$$\log \mathcal{L}_d = -\frac{n \log(2\pi\eta_d^2)}{2} - \sum_{j=1}^n \frac{(y(t_j) - s(t_j))^2}{2\eta_d^2} \quad (8)$$



where  $n$  is the number of dynamic time points.

Tracer kinetic analysis using this sequential approach is well-established but has three main shortcomings:

1. While dynamic fits resulting from sequential estimation may appear to fit the data well, error in  $\hat{T}_{1,0}$  and  $\hat{M}_{0,d}$  will cause the underlying dynamic likelihood function (Eqn. 8) to be erroneous, causing the tracer kinetic parameters to be erroneous.
2. Substitution of VFA  $\hat{T}_{1,0}$  into the dynamic signal model as a fixed parameter is statistically inefficient because potentially useful  $T_{1,0}$  information within the dynamic images is ignored.
3. If  $M_{0,v} = M_{0,d}$ , statistical power is lost by making two estimates ( $M_{0,v}$  and  $M_{0,d}$ ) of the same underlying parameter.

## Joint estimation

The problems identified above can be addressed by estimating  $T_{1,0}$ ,  $M_{0,v}$ ,  $M_{0,d}$ , and  $\mathbf{p}$  jointly rather than sequentially. In this framework,  $T_{1,0}$  information within both the VFA and dynamic images is allowed to contribute to the estimate of  $T_{1,0}$ . Also, when  $M_{0,v} = M_{0,d}$ , a single equilibrium longitudinal magnetization parameter can be estimated at each voxel, instead of two. To facilitate exposition, this single estimate is called  $M_{0,d}$ , even though it is estimated jointly from both VFA and dynamic images. Joint estimation can be performed by simply maximizing a log-likelihood function resulting from the sum of the VFA and dynamic log-likelihood functions used for sequential estimation:

$$[\hat{T}_{1,0}, \hat{M}_{0,d}, \hat{\mathbf{p}}] = \arg \max_{T_{1,0}, M_{0,d}, \mathbf{p}} (\log \mathcal{L}_v + \log \mathcal{L}_d) \quad (9)$$

## Methods

Well-controlled synthetic data were used to test the null hypothesis of no difference in 2CXM parameter accuracy between sequential and joint estimation. Well-controlled synthetic data and clinical data from 36 bladder cancer patients (7) were used to test the null hypothesis of no difference in 2CXM parameter precision between sequential and joint estimation. Accuracy of tracer kinetic parameters could not be assessed in the clinical data because of the lack of ground truth. Accuracy of sequential and joint  $T_{1,0}$  estimates were evaluated in 1532 voxels from a clinical prostate cancer study by comparing estimates to independent inversion-recovery turbo-field echo (IR-TFE) measurements.

While it is hypothesized that joint estimation will improve the accuracy and precision of all estimated parameters, joint estimation using highly erroneous dynamic data may be expected to result in poorer estimates. Therefore, the effect of three sources of systematic error on sequential and joint parameter estimates were investigated: errors due to  $B_1$  field inhomogeneity, errors due to underestimation of the

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3 AIF and errors due to overestimation of the AIF. The effects of  $B_1$  field inhomogeneity on sequential  
4 and joint estimates were studied only in the synthetic data as  $B_1$  homogeneity could not be manipulated  
5 retrospectively in the clinical dataset. While it may be possible in a future study to map the  $B_1$  field  
6 using joint estimation, it was not investigated within the current paper.  
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10 A highly-realistic publicly-available software phantom generator (20) was used within a Monte Carlo  
11 framework to simulate and analyze 100 liver tumor VFA images and DCE image series for each experimental  
12 condition. For the main clinical experiment, VFA and DCE images from 36 bladder tumors were analyzed  
13 within a residual bootstrapping framework. A random sample of voxels were selected from the synthetic  
14 and clinical tumors and data from those voxels were used in each experiment. In each experimental  
15 condition, Monte Carlo or bootstrap iteration, and each voxel in the sample, we estimated  $T_{1,0}$ ,  $M_{0,v}$ ,  
16  $M_{0,d}$ ,  $t_0$ ,  $F_p$ ,  $F_E$ ,  $v_p$ , and  $v_e$  using sequential and joint estimation ( $t_0$  is the offset time between bolus  
17 arrival at the arterial sampling point and at the tissue). To evaluate accuracy, the deviation between  
18 an estimate and its correct value was computed. For precision, the deviation between an estimate and  
19 its expected value was computed. Multivariate linear regression was used to estimate the difference in  
20 accuracy and precision between joint and sequential estimates attributable to each experimental condition.  
21 Point estimates and Bonferroni corrected 95% confidence intervals on the percentage improvement in  
22 accuracy and precision due to joint estimation were tabulated for each parameter and experimental  
23 condition. To determine the number of voxels to include in the experiments, an *a priori* sample size  
24 calculation was made using G\*Power (version 3.1.9.2.). Parameter maps were constructed for the synthetic  
25 tumor and two representative tumors from the clinical bladder data. For the prostate experiment, the  
26 null hypothesis of no difference in accuracy between sequential and joint estimates of  $T_{1,0}$  compared to  
27 IR-TFE measurements was tested (see supporting materials). Software to run the experiments is available  
28 at <http://github.com/MRdep/Joint-fitting> (21).  
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### 38 Synthetic data

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40 Phantom anatomy was based on organ masks defined on end-exhale DCE-CT data from a single individual  
41 with a liver tumor (tumor volume = 28447 mm<sup>3</sup>, 2040 voxels). Microvascular heterogeneity was simulated  
42 by segmenting the tumor into two distinct regions representing a highly perfused, highly vascularized rim  
43 and a poorly perfused, poorly vascularized core (Fig 1). In each tumor voxel, contrast agent kinetics  
44 were simulated using the 2CXM. The AIF used to generate signal-time curves was measured from a  
45 randomly selected patient in the clinical bladder cohort (Fig 2a, accurate AIF). Sampling distributions  
46 for ground truth  $T_{1,0}$ ,  $M_{0,v}$ ,  $M_{0,d}$ ,  $t_0$ , and 2CXM parameters are shown in Table 1. VFA and dynamic  
47 image acquisition parameters were chosen to match the clinical bladder protocol: field of view (FOV) of  
48 240 × 320 × 80 mm<sup>3</sup>; voxel size of 1.67 × 1.67 × 5.00 mm<sup>3</sup>;  $TR/TE = 3.2/1.2$  ms; dynamic temporal  
49 resolution of 2.5 s; and dynamic scan duration of 4 minutes. Source code to generate synthetic images are  
50 available at <http://www.qbi-lab.org/software.php>.  
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\_\_\_\_\_ Insert figure 1 about here. \_\_\_\_\_

In the homogeneous  $B_1$  field condition, images were created using spatially uniform flip angles. These were set equal to those prescribed in the clinical protocol: VFA flip angles of  $5^\circ$ ,  $10^\circ$  and  $35^\circ$  and a dynamic flip angle of  $25^\circ$ . In the inhomogeneous  $B_1$  field condition, images were created by randomly varying the flip angle error across the imaging volume between 50% and 150% of the prescribed flip angles. This range was chosen to represent a worst case scenario;  $B_1$  field inhomogeneities at 1.5 T and 3 T would typically not be this severe (22, 23, 24).

\_\_\_\_\_ Insert table 1 about here. \_\_\_\_\_

## Clinical data

Retrospective analysis was performed on VFA and DCE-MRI scans from 36 patients with muscle invasive bladder cancer (age range 45–74 years, mean 63 years). All patients gave written informed consent and approval was obtained from the local research ethics committee. Scanning was performed on a 1.5 T Siemens Magnetom Avanto MR scanner (Siemens Medical Solutions, Erlangen, Germany). A 2D  $T_2$ -weighted turbo spin echo scan ( $TR/TE = 4000/99$  ms, NSA = 1) covering the same FOV as the subsequent VFA and dynamic scans but with improved spatial resolution (voxel size of  $0.63 \times 0.63 \times 5$  mm<sup>3</sup>) was used for the purpose of defining a tumor ROI. For VFA and dynamic acquisitions, a 3D  $T_1$ -weighted volumetric interpolated breath-hold examination (VIBE) sequence was employed with the same scan parameters as the synthetic data, except with a SENSE factor of 2. VFA imaging was performed with 5 signal averages. No averaging was performed during dynamic imaging. All images were acquired in the transverse plane with the FOV encompassing the whole bladder (7).

Gadolinium-based contrast agent (Magnevist, Bayer-Schering Pharma AG, Berlin, Germany) was injected as a 0.1 mmol/kg bolus with a power injector through a cannula placed in the antecubital vein. The injection was administered 15 s into the dynamic acquisition at 3 ml/s, and was followed by a 20 ml saline flush.

Tumor ROIs were delineated by two radiologists in consensus (S.B. and B.C. with 15 and 23 years experience respectively, see acknowledgments) and transferred via down-sampling to the VFA and dynamic images. AIFs were extracted using a semi-automatic procedure described previously (7). Signal from the arterial ROI was converted to plasma contrast agent concentration using the SPGR equation assuming a literature value for blood  $T_{1,0}$  of 1480 ms (25) and hematocrit of 0.42.

## AIF errors

Direct measurement of AIFs from DCE-MRI data is difficult and errors can arise due to the presence of inflow effects, partial volume effects,  $T_2^*$  decay and water exchange effects. To assess the impact of

AIF error on sequential and joint parameter estimates, the true AIF (in the synthetic experiment) or measured AIF (in the main clinical experiment) were scaled by factors of 0.5 and 1.5, leading to under and over-estimated AIFs respectively. These scaling factors were based on errors in peak concentration previously reported in phantom, pre-clinical and clinical data at 1.5 T due to partial volume effects, inflow effects and  $T_2^*$  decay (26, 22, 27, 28, 29).

## Monte Carlo and residual bootstrap analyses

Monte Carlo analysis (30) was performed on the synthetic data to facilitate the use of idealized distributions for random measurement error processes. Random measurement error was modeled as samples from a zero mean Gaussian distribution. The variance of the distributions for the VFA ( $\eta_v^2$ ) and dynamic data ( $\eta_d^2$ ) were chosen to give SNRs of  $5\sqrt{5}$  and 5 respectively to mimic that expected in the clinical bladder data. Residual bootstrap analysis (31) was performed in the main clinical experiment to facilitate the use of natural distributions for random measurement error processes, without requiring us to assume errors follow idealized distributions.

## Model fitting

All model fitting was performed in IDL 8.2.2 (Exelis Visual Information Solutions, Boulder, Colorado, USA) using the function 'mpcurvefit'. Initial estimates of parameters were set at:  $T_{1,0} = 500$  ms,  $M_{0,v} = 5000$  a.u.,  $M_{0,d} = 5000$  a.u.,  $F_p = 0.5$  ml min<sup>-1</sup> ml<sup>-1</sup>,  $F_E = 0.5$  ml min<sup>-1</sup> ml<sup>-1</sup> and  $v_e = 0.2$  ml ml<sup>-1</sup>. An initial estimate for the offset time,  $t_{0,i}$  (min) was calculated by fitting the Tofts model (1) to the initial third of the dynamic time series, with  $t_0$  as a free parameter. For sequential estimation, the dynamic signal model was fitted with  $F_p$ ,  $F_E$ ,  $v_e$  and  $t_0$  as free parameters.  $T_{1,0}$  and  $M_{0,d}$  were fixed to estimates obtained from VFA and pre-contrast dynamic data. For joint estimation, VFA and dynamic signal models were fitted jointly with  $T_{1,0}$ ,  $M_{0,v}$ ,  $M_{0,d}$ ,  $F_p$ ,  $F_E$ ,  $v_e$  and  $t_0$  as free parameters, with  $M_{0,v}$  constrained to be equal to  $M_{0,d}$  (supporting materials describe an experiment showing this is a reasonable assumption for our clinical protocols). For both sequential and joint estimation,  $v_p$  was fixed and incremented from 0 - 1 in 0.01 steps over the course of 100 repeated fits. The fit giving the maximum log-likelihood was chosen. In all optimizations, the following parameter constraints were imposed:  $0 < T_{1,0} < 5$  s,  $0 < M_{0,v} < 40000$  a.u.,  $0 < M_{0,d} < 40000$  a.u.  $F_p > 0$  ml min<sup>-1</sup> ml<sup>-1</sup>,  $F_E > 0$  ml min<sup>-1</sup> ml<sup>-1</sup>,  $0 < v_e < 1$  ml ml<sup>-1</sup>,  $t_{0,i} - \frac{5}{60} < t_0 < t_{0,i} + \frac{5}{60}$  min. Convolutions were computed using trapezoidal integration. In both the synthetic and clinical experiments,  $\eta_v$  was set equal to  $\eta_d/\sqrt{5}$  to account for differences in the expected noise.

## Accuracy and precision

Accuracy was defined as the absolute relative difference between an estimate and its correct value,  $\lambda = |(\hat{x} - x)/x|$ . To characterize the improvement in accuracy of joint estimation ( $\lambda^J$ ) over sequential estimation ( $\lambda^S$ ) we defined the ratio:  $\Lambda = \frac{\lambda^J}{\lambda^S}$ , where the percentage improvement in accuracy is  $(1 - \Lambda) \times 100\%$ .

Precision was defined as the absolute relative difference between an estimate and its *expected value*,  $\omega = |(\hat{x} - \bar{x})/\bar{x}|$ . Within each experimental condition, the expected value  $\bar{x}$  at each voxel was estimated by taking the mean over Monte Carlo or residual bootstrapping iterations. To characterize the improvement in precision of joint estimation ( $\omega^J$ ) over sequential estimation ( $\omega^S$ ) we defined the ratio:  $\Omega = \frac{\omega^J}{\omega^S}$ , where the percentage improvement in precision is  $(1 - \Omega) \times 100\%$ .

## Statistical analysis

Three multivariate linear regressions were performed to test hypotheses about accuracy for the synthetic data, precision for the synthetic data and precision for the clinical data. Each multivariate linear model took the following form:

$$z_k = \beta_0 + \beta_1 X_k^U + \beta_2 X_k^O + \beta_3 X_k^{B_1} + \epsilon_k \quad (10)$$

The subscript  $k$  indexes Monte Carlo or bootstrap iteration over all experimental conditions. On the left hand side,  $z_k$  is  $\log \Lambda$  or  $\log \Omega$  for accuracy and precision respectively. The dependent variable was defined on the log scale to improve the normality of the residuals; this corresponds to the difference in  $\log \lambda$  and  $\log \omega$  (i.e.  $\log \lambda^J - \log \lambda^S$  and  $\log \omega^J - \log \omega^S$ ). On the right hand side,  $X_k^U$  takes a value of 1 in the under-estimated AIF condition and 0 otherwise;  $X_k^O$  takes a value of 1 in the over-estimated AIF condition and 0 otherwise;  $X_k^{B_1}$  takes a value of 1 in the inhomogeneous  $B_1$  field condition and 0 otherwise; and  $\epsilon_k$  is residual error. The model coefficient  $\beta_0$  corresponds to the sample mean of  $z$  under the reference conditions (i.e. accurate/measured AIF and homogeneous  $B_1$  field);  $\beta_1$ ,  $\beta_2$  and  $\beta_3$  quantify the residual mean  $z$  attributable to the under-estimated AIF condition, over-estimated AIF condition and inhomogeneous  $B_1$  field condition respectively. In the clinical data,  $\beta_3 = 0$  because the  $B_1$  field could not be manipulated. Point estimates and 95% confidence intervals on the percentage improvement in accuracy and precision were computed by transforming from the log space to the original data space. Statistical analysis was performed in R (Version 3.1, R Foundation for Statistical Computing, Vienna, Austria).

## Sample size

Sample size calculations were based on detecting a medium effect size (Cohen's  $f^2 = 0.15$ ) at statistical power of 95% and significance level of 0.05, Bonferroni-corrected for the total number of inferences made. For each of the 3 experimental conditions in the main clinical experiment, we randomly sampled 14 voxels from each of the 36 tumors (504 voxels in total), and performed 100 iterations of the residual bootstrap analysis on each, for a total sample size of just over 1.5 million. For each of the 6 conditions of the synthetic experiment, we randomly sampled 504 voxels from the synthetic tumor and performed 100 iterations of the Monte Carlo analysis on each, for a total sample size of just over 3 million. The same voxels were analyzed under each experimental condition, resulting in a repeated measures design.

## Results

Figure 1 shows example synthetic images created using the software phantom generator. Figures 2a and 2b show AIFs used in the synthetic experiment and for one example patient in the main clinical experiment. The accurate AIF in Figure 2a was used to generate the DCE-MRI time courses in the synthetic tumor. Figures 2c and 2d show Monte Carlo and residual bootstrap fits ( $n = 100$ ) for example voxels in the synthetic and clinical experiments. Figures 2e and 2f show corresponding density estimates obtained from Monte Carlo and residual bootstrap experiments shown in 2c and 2d. A number of key differences between sequential and joint fits were observed. For the synthetic data, joint VFA fits showed less variability in shape compared to sequential fits. For all fits to dynamic data, modeled pre-contrast signal was less variable with joint estimation. Poor fits obtained with sequential estimation in the under-estimated AIF condition were not observed with joint estimation. In contrast to the synthetic experiment, joint fits to clinical VFA data showed greater variability than sequential fits. Regardless of this, the effect of joint estimation in the dynamic data mirrored that observed for the synthetic experiment.

In the synthetic data, parameter densities were narrower for sequential estimates of  $T_{1,0}$ , suggesting joint  $T_1$  estimates had lower absolute precision. However, since joint  $T_{1,0}$  estimates were also shifted to higher values (which were also more accurate), relative precision was increased compared with sequential estimates. In the clinical data, the shift to higher values was not so profound, and relative precision in  $T_{1,0}$  was therefore degraded when using joint estimation. For 2CXM parameters, joint estimation led to narrower distributions which were also shifted closer to ground truth, reflecting increased precision and accuracy. Similar benefits in 2CXM parameter precision were observed in the clinical data.

\_\_\_\_\_ Insert figure 2 about here. \_\_\_\_\_

Tables 2, 3, and 4 show results from the multivariate linear regression models. Tables 2 and 3 show average percentage improvements in accuracy and precision due to joint estimation in the synthetic data. Table 4 shows average percentage improvement in precision due to joint estimation in the main clinical

experiment. In synthetic data, statistically significant improvements in accuracy were seen in 30 out of 42 cases (a case represents a unique parameter/experimental condition combination). Improvements for  $T_{1,0}$ ,  $M_{0,d}$  and  $t_0$  were between 7.7% and 49%. Improvements for the tracer kinetic parameters were between 1.8% and 21%. Statistically significant detriments were observed in 7 out of 42 cases, mainly in the over-estimated AIF condition (between -5.4% and -20%), and in the case of  $v_p$  under the inhomogeneous  $B_1$  field condition (between -3.8% and -20%). Statistically significant improvements in precision were seen in 35 of 42 cases (between 4.7% and 50%). No statistically significant decreases in precision were observed. In clinical data, statistically significant improvements in precision were observed in 18 of 21 cases (between 4.6% and 38%). Statistically significant decreases in precision were observed for  $T_{1,0}$  in 2 of the remaining 3 cases (between -4.9% and -8.5%).

\_\_\_\_\_ Insert table 2 about here. \_\_\_\_\_

\_\_\_\_\_ Insert table 3 about here. \_\_\_\_\_

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Figure 3 shows  $T_{1,0}$ ,  $M_{0,d}$ ,  $F_p$ ,  $v_p$ ,  $F_E$  and  $v_e$  maps for the synthetic experiment. Differences in joint and sequential  $T_{1,0}$  maps were difficult to determine visually, however  $M_{0,d}$  maps obtained using joint estimation showed less speckle and better agreement with ground truth compared with sequential maps. Under the homogeneous  $B_1$  field condition, the rim-core boundary present in the ground truth  $F_p$  map could be clearly identified for both sequential and joint fitting methods. Under the inhomogeneous  $B_1$  field condition, the rim-core boundary could not be easily distinguished in the sequential maps, but could be clearly identified in the joint estimation maps. Joint estimation also appeared to reduce the variability in estimates of  $v_p$  (less speckle).

\_\_\_\_\_ Insert figure 3 about here. \_\_\_\_\_

Figure 4 shows  $T_{1,0}$ ,  $M_{0,d}$ ,  $F_p$ ,  $v_p$ ,  $F_E$  and  $v_e$  maps for two example tumors from the main clinical experiment. In tumor 1, joint  $F_p$  maps appeared less sensitive to error in the AIF, and joint  $v_p$  maps were less speckled than their sequential estimation counterparts. In example tumor 2, the number of spurious  $v_p$ ,  $F_E$ , and  $v_e$  values observed near the tumor center were reduced with joint estimation.

\_\_\_\_\_ Insert figure 4 about here. \_\_\_\_\_

Supporting Figure 1 shows sequential and joint estimates of  $T_{1,0}$  compared against independent IR-TFE  $T_{1,0}$  measurements in 1532 voxels from a clinical prostate cancer study. On average, joint estimates of  $T_{1,0}$  laid closer to IR-TFE measurements compared to sequential estimates. Using IR-TFE measurements as an independent gold-standard, estimates of  $T_{1,0}$  obtained using joint estimation were significantly more accurate than sequential estimates (improvement in mean relative error of 20%,  $P < 0.0001$ ).

## Discussion

A novel method for improving the accuracy and precision of tracer kinetic parameters was proposed and evaluated. The method recognizes that signal models used to describe VFA and DCE-MRI data share parameters, and utilizes this shared information by jointly fitting models to the observed data.

Results from the synthetic experiment show that joint estimation leads to large improvements in accuracy and precision of tracer kinetic parameter estimates under a range of experimental conditions. Improvements were likely caused by increased accuracy and precision of  $T_{1,0}$  and  $M_{0,d}$ , a consequence of including additional pre-contrast  $T_1$  and equilibrium longitudinal magnetization information during fitting. With more data contributing to estimates of  $T_{1,0}$  and  $M_{0,d}$ , random signal errors were thought to have a smaller effect on the log-likelihood function, therefore reducing the effect of noise on parameter estimates.

During joint estimation it was expected that errors in the dynamic data may contribute additional error to  $T_{1,0}$ , which would not occur during sequential estimation. This was tested by simulating a number of error conditions in synthetic data and assessing accuracy of both methods under each condition. A comparison was also made between sequential and joint estimates of  $T_{1,0}$  and independent measurements obtained using an IR-TFE sequence in 1532 voxels from a clinical prostate cancer study (see supporting materials). In the synthetic data, accuracy of  $T_{1,0}$  was improved with joint estimation under all error conditions, suggesting that inclusion of  $T_{1,0}$  information stored within the dynamic images outweighs the potentially detrimental effect of dynamic signal errors. In the prostate data, joint estimation led to improved accuracy in  $T_{1,0}$  comparable to that observed in the synthetic data (taking IR-TFE measurements as gold standard, improvements were 20% compared to 10-22% in the synthetic data).

While  $T_{1,0}$  accuracy was improved with joint estimation in a range of simulated imaging scenarios, these did not always translate to improvements in accuracy of tracer kinetic parameters, especially when the AIF was overestimated. However, in the case of accurate and underestimated AIFs, joint fitting led to significant improvements in the accuracy of nearly all parameters. While degradations in the accuracy of tracer kinetic parameters are not ideal, inaccuracy can in many cases be compensated via calibration. Precision, on the other hand, is a stochastic characteristic and can only be increased by reducing the variability of the measurement process. We therefore stress the importance of the significant improvements in precision of tracer kinetic parameters observed with joint estimation. Increased precision can lead to greater statistical power when aiming to detect longitudinal changes in a parameter within the same patient over time (e.g. in a clinical trial setting) or when detecting differences in DCE-MRI parameters between patients (e.g. for prediction of response to therapy). It also improves our ability to distinguish tumor subregions, useful for analyzing tumor heterogeneity (32), as demonstrated in Figure 3.

Observed improvements in precision were similar in the synthetic and clinical bladder experiment however there were some key differences. For example,  $v_e$  precision was improved in the bladder data but not the synthetic data. This was probably because absolute precision of  $v_e$  was higher in the synthetic data,



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3 making improvements with joint estimation difficult to achieve. Poorer absolute precision in  $v_e$  within the  
4 bladder data may have been caused by tumor motion or reduced tracer back flux from the interstitial space  
5 during imaging (compared to that simulated in the synthetic data) (33). Differences in the improvement of  
6  $T_{1,0}$  precision between synthetic and bladder experiments were also observed. In the synthetic experiment,  
7  $T_{1,0}$  precision was improved by around 20%, however in the clinical data  $T_{1,0}$  precision was degraded.  
8 The latter observation is likely due to underestimation of the noise present in the clinical dynamic images  
9 (possibly because tumor motion was not considered during noise estimation), which led to overweighting  
10 of these data points within the joint log-likelihood function. Regardless, improvements were still observed  
11 for  $M_{0,d}$ , leading to significant improvements in the precision of 2CXM parameters.

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16 This study has the following limitations. We could not assess accuracy of tracer kinetic parameters  
17 in clinical data due to lack of ground truth, and rely on simulations only. While sequential and joint  
18 estimation were evaluated across a wide range of simulated experimental conditions ( $B_1$  field errors and  
19 AIF errors), the effect of patient motion and image artifacts were not considered. Furthermore, while  
20 linear scaling of the AIF may have accurately simulated first order perturbations associated with inflow,  
21 partial volume effects,  $T_2^*$  signal decay and water exchange effects, changes to arterial concentration time  
22 curves due to these sources of error are likely to be non-linear. Further work should aim to more accurately  
23 simulate specific AIF errors as well as patient motion, and assess the effect of such errors on jointly  
24 estimated parameters. Future work could also study the possibility of mapping the  $B_1$  field with joint  
25 estimation by including flip angle error as a free parameter during fitting. The current study evaluated  
26 the benefits of joint estimation for a single model only, but in heterogeneous lesions the optimal tracer  
27 kinetic model may vary from voxel to voxel. Since joint fits to VFA data depend on fits to the dynamic  
28 data, joint estimation is likely to provide benefits only if the tracer kinetic model is valid for the tissue of  
29 interest. Last, although Monte Carlo (30) and residual bootstrapping methods (31) are well-accepted  
30 techniques for evaluating accuracy and precision, they do not enable differences in parameter estimates  
31 caused by variations in patient positioning, scanner calibration, coil positioning, AIF selection etc. to be  
32 taken into account. To evaluate these effects, the reproducibility of jointly estimated parameters should  
33 be compared to sequential estimates in a clinical trial setting.

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43 The hypothesis underlying the work of this paper was that joint estimation would improve the accuracy  
44 and precision of tracer kinetic parameters by considering variables common to  $T_1$  mapping and dynamic  
45 signal models. This hypothesis was supported by showing moderate to large statistically significant  
46 improvements in accuracy (1.8% to 49%) and precision (4.7% to 50%) for most model parameters in most  
47 experimental conditions. It is therefore recommended that investigators consider using joint estimation  
48 instead of sequential estimation, particularly given that joint estimation is straightforward to implement  
49 and requires no or little modification of commonly-used DCE-MRI protocols.

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For Peer Review

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Table 1: Ground truth parameters used to generate synthetic images

Parameter (units)	Tumor Core	Tumor Rim
$T_{1,0}$ (ms)	1083	821
$M_{0,v}$ (a.u.)	10871	10500
$M_{0,d}$ (a.u.)	10871	10500
$t_0$ (s)	5	5
$F_p$ (ml min <sup>-1</sup> ml <sup>-1</sup> )	[0.10, 0.20] <sup>a</sup>	[0.35, 0.45] <sup>a</sup>
$F_E$ (ml min <sup>-1</sup> ml <sup>-1</sup> )	[0.05, 0.10] <sup>a</sup>	[0.05, 0.10] <sup>a</sup>
$v_p$ (ml ml <sup>-1</sup> )	[0.00, 0.10] <sup>a</sup>	[0.05, 0.15] <sup>a</sup>
$v_e$ (ml ml <sup>-1</sup> )	[0.20, 0.30] <sup>a</sup>	[0.20, 0.30] <sup>a</sup>

<sup>a</sup>Parameters sampled from uniform distributions with the given range. Ground truth values for  $T_{1,0}$ ,  $M_{0,v}$  and  $M_{0,d}$  were based on measurements made in 6 patients with liver metastases (34). Ground truth 2CXM parameters for each tumor region were based on previously published analyses of clinical data (35, 36).

Table 2: Improvement in the accuracy of estimated parameters in the synthetic data

	Improvement In Accuracy (%)					
	Homogeneous $B_1$			Inhomogeneous $B_1$		
	Scaled AIF ( $\times 0.5$ )	Unbiased AIF	Scaled AIF ( $\times 1.5$ )	Scaled AIF ( $\times 0.5$ )	Unbiased AIF	Scaled AIF ( $\times 1.5$ )
$T_{1,0}$	<b>22 (19, 25)</b>	<b>21 (20, 22)</b>	<b>22 (19, 26)</b>	<b>12 (7.0, 17)</b>	<b>10 (7.3, 13)</b>	<b>11 (6, 16)</b>
$M_{0,d}$	<b>49 (47, 51)</b>	<b>48 (47, 49)</b>	<b>48 (45, 50)</b>	<b>48 (44, 51)</b>	<b>46 (44, 48)</b>	<b>46 (43, 50)</b>
$t_0$	<b>14 (9.3, 18)</b>	<b>8.7 (6.4, 11)</b>	<b>8.3 (3.2, 13)</b>	<b>7.7 (0.36, 15)</b>	<b>2.0 (-2.8, 6.5)</b>	<b>1.5 (-6.3, 8.8)</b>
$F_p$	<b>15 (11, 18)</b>	<b>11 (9.1, 12)</b>	<b>-5.4 (-9.6, -1.4)<sup>†</sup></b>	<b>21 (16, 26)</b>	<b>17 (15, 20)</b>	<b>2.6 (-3.1, 8.0)</b>
$F_E$	<b>10 (6.5, 13)</b>	<b>1.8 (0.087, 3.5)</b>	<b>-8.3 (-13, -4.3)<sup>†</sup></b>	<b>12 (6.8, 17)</b>	<b>3.7 (0.38, 7.0)</b>	<b>-6.2 (-12, -0.44)<sup>†</sup></b>
$v_p$	<b>10 (6.7, 13)</b>	<b>13 (11, 14)</b>	<b>-0.63 (-4.5, 3.1)</b>	<b>-7.1 (-13, -1.5)<sup>†</sup></b>	<b>-3.8 (-7.3, -0.34)<sup>†</sup></b>	<b>-20 (-27, -14)<sup>†</sup></b>
$v_e$	<b>3.7 (0.16, 7.2)</b>	<b>7.7 (6.1, 9.2)</b>	<b>-5.9 (-9.8, -2.1)<sup>†</sup></b>	<b>5.5 (0.34, 10)</b>	<b>9.3 (6.3, 12)</b>	<b>-4.0 (-9.6, 1.4)</b>

Percentage improvement in accuracy of parameter estimates for joint fitting versus sequential fitting. Improvements in accuracy were computed for each experimental condition from the linear model coefficients. Values in parentheses are Bonferroni corrected 95% confidence intervals. Bold denotes statistically significant improvements in accuracy compared to sequential fitting. Negative values represent degradation in accuracy. Dagger denotes statistically significant degradation in accuracy.

Table 3: Improvement in the precision of estimated parameters in the synthetic data

	Improvement In Precision (%)					
	Homogeneous $B_1$			Inhomogeneous $B_1$		
	Scaled AIF ( $\times 0.5$ )	Unbiased AIF	Scaled AIF ( $\times 1.5$ )	Scaled AIF ( $\times 0.5$ )	Unbiased AIF	Scaled AIF ( $\times 1.5$ )
$T_{1,0}$	<b>20 (17, 23)</b>	<b>20 (19, 22)</b>	<b>20 (17, 23)</b>	<b>21 (17, 26)</b>	<b>21 (18, 24)</b>	<b>21 (17, 26)</b>
$M_{0,d}$	<b>48 (46, 50)</b>	<b>48 (46, 48)</b>	<b>47 (45, 50)</b>	<b>50 (47, 53)</b>	<b>50 (48, 53)</b>	<b>50 (46, 53)</b>
$t_0$	<b>6.8 (3.9, 9.5)</b>	<b>8.1 (6.9, 9.4)</b>	<b>9.5 (6.7, 12)</b>	<b>5.4 (1.3, 9.4)</b>	<b>6.8 (4.3, 9.3)</b>	<b>8.2 (4.1, 12)</b>
$F_p$	<b>15 (11, 18)</b>	<b>12 (11, 14)</b>	<b>14 (11, 17)</b>	<b>17 (13, 22)</b>	<b>15 (12, 18)</b>	<b>17 (12, 21)</b>
$F_E$	<b>5.1 (1.5, 8.7)</b>	-0.91 (-2.6, 0.79)	1.7 (-2.1, 5.4)	<b>6.4 (1.1, 11)</b>	0.45 (-3.0, 3.8)	3.1 (-2.4, 8.2)
$v_p$	<b>18 (15, 21)</b>	<b>18 (16, 19)</b>	<b>17 (14, 20)</b>	<b>19 (14, 23)</b>	<b>19 (16, 22)</b>	<b>18 (13, 22)</b>
$v_e$	<b>10 (6.6, 13)</b>	<b>4.7 (3.0, 6.3)</b>	3.2 (-0.51, 6.8)	<b>5.7 (0.40, 11)</b>	0.024 (-3.4, 3.3)	-1.5 (-7.2, 3.9)

Percentage improvement in precision of parameter estimates for joint fitting versus sequential fitting. Improvements in precision were computed for each experimental condition from the linear model coefficients. Values in parentheses are Bonferroni corrected 95% confidence intervals. Bold denotes statistically significant improvements in precision compared to sequential fitting. Negative values represent degradation in precision. Dagger denotes statistically significant degradation in precision.



Table 4: Improvement in the precision of estimated parameters in the clinical data

	Improvement In Precision (%)		
	Scaled AIF ( $\times 0.5$ )	Measured AIF	Scaled AIF ( $\times 1.5$ )
$T_{1,0}$	-8.5 (-15, -2.4) <sup>†</sup>	-4.9 (-7.5, -2.4) <sup>†</sup>	-4.0 (-10, 1.9)
$M_{0,d}$	<b>37 (34, 41)</b>	<b>38 (36, 39)</b>	<b>38 (35, 41)</b>
$t_0$	9.4 (5.7, 13)	6.5 (4.9, 8.0)	4.6 (0.67, 8.3)
$F_p$	<b>22 (18, 26)</b>	<b>22 (20, 23)</b>	<b>22 (18, 26)</b>
$F_E$	11 (6.9, 16)	13 (11, 14)	11 (6.9, 16)
$v_p$	16 (11, 20)	17 (16, 19)	16 (12, 20)
$v_e$	14 (8.8, 18)	15 (13, 16)	13 (8.4, 18)

Percentage improvement in precision of parameter estimates for joint fitting versus sequential fitting. Improvements in precision were computed for each experimental condition from the linear model coefficients. Values in parentheses are Bonferroni corrected 95% confidence intervals. Bold denotes statistically significant improvements in precision compared to sequential fitting. Negative values represent degradation in precision. Dagger denotes statistically significant degradation in precision.

## Figure Captions

1. Synthetic data used for evaluation of sequential and joint fitting methods. Figure part (a) shows the central slice of the full phantom. Figure parts (b) and (c) show zoomed images of the synthetic dynamic data without and with simulated  $B_1$  field inhomogeneities respectively.
2. Arterial input functions (a and b), example Monte Carlo and residual bootstrap fits (c and d) and densities for each estimated parameter (e and f). The left column (a, c, and e) show data from the synthetic tumor. The right column (b, d, and f) shows data from a randomly selected tumor in the main clinical experiment. Figure parts (c) and (d) show all 100 Monte Carlo or residual bootstrap fits for representative voxels taken from synthetic and bladder tumors respectively. Parts (e) and (f) show corresponding densities for parameter estimates obtained from fits shown in the central row of (c) and (d). In (e), black vertical lines represent ground truth.
3. Parametric maps for  $T_{1,0}$ ,  $M_{0,d}$ ,  $F_p$ ,  $v_p$ ,  $F_E$  and  $v_e$  obtained using sequential and joint estimation for an example slice of the synthetic tumor. In the homogeneous  $B_1$  field condition, jointly estimated  $M_{0,d}$  maps appear smoother than their sequential estimation counterparts. Differences in the appearance for the other estimated parameter maps are difficult to discern visually. Under the inhomogeneous  $B_1$  field condition, clear visual differences arise in the maps of  $F_p$  and  $v_p$ , with joint estimation maps showing less speckle. The reduction of speckle observed in the  $F_p$  map enables rim-core subregions present in the ground truth map to be more clearly identified.
4. Parametric maps for  $T_{1,0}$ ,  $M_{0,d}$ ,  $F_p$ ,  $v_p$ ,  $F_E$  and  $v_e$  obtained using sequential and joint estimation for two example tumors. On average, estimates of  $T_{1,0}$  and  $M_{0,d}$  appear higher when using joint estimation (higher  $T_{1,0}$  and  $M_{0,d}$  at the top of tumor 1 and center of tumor 2). In tumor 1, joint estimates of  $F_p$  appear less sensitive to errors in the AIF, and  $v_p$  maps are less speckled than their sequential estimation counterparts. In tumor 2, spurious values of  $v_p$ ,  $F_E$ , and  $v_e$  observed in the sequential maps occur less frequently with joint estimation.

## Supporting Figure Captions

1. Comparison of  $T_{1,0}$  estimates in prostate tissue obtained using sequential and joint estimation in VFA and DCE-MRI data against estimates obtained using an independent inversion-recovery turbo field echo (IR-TFE) sequence, which was taken as gold-standard. Both sequential and joint estimation overestimated  $T_{1,0}$  relative to IR-TFE measurements, however joint estimation led to a

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reduction in the mean relative error of 7%.

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Figure 1. Synthetic data used for evaluation of sequential and joint fitting methods. Figure part (a) shows the central slice of the full phantom. Figure parts (b) and (c) show zoomed images of the synthetic dynamic data without and with simulated  $B_1$  field inhomogeneities respectively.  
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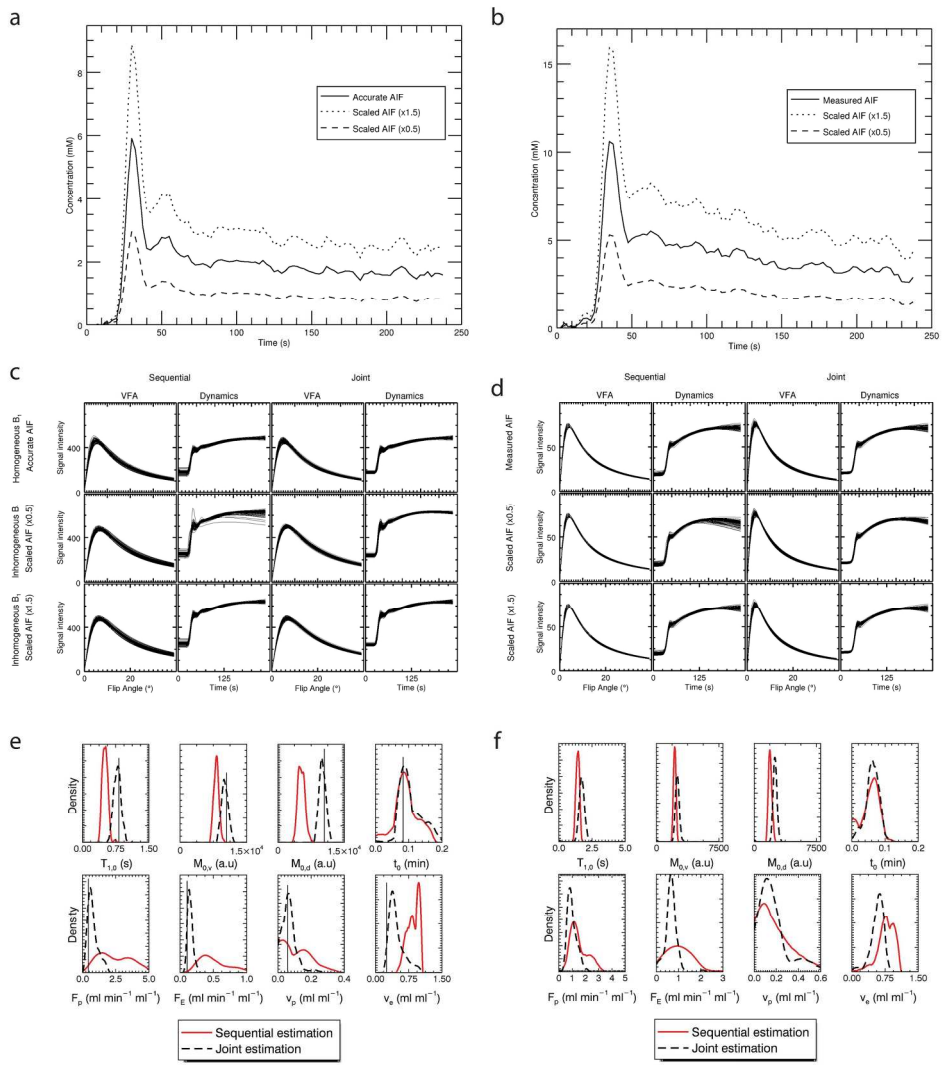


Figure 2. Arterial input functions (a and b), example Monte Carlo and residual bootstrap fits (c and d) and densities for each estimated parameter (e and f). The left column (a, c, and e) show data from the synthetic tumor. The right column (b, d, and f) shows data from a randomly selected tumor in the main clinical experiment. Figure parts (c) and (d) show all 100 Monte Carlo or residual bootstrap fits for representative voxels taken from synthetic and bladder tumors respectively. Parts (e) and (f) show corresponding densities for parameter estimates obtained from fits shown in the central row of (c) and (d). In (e), black vertical lines represent ground truth.  
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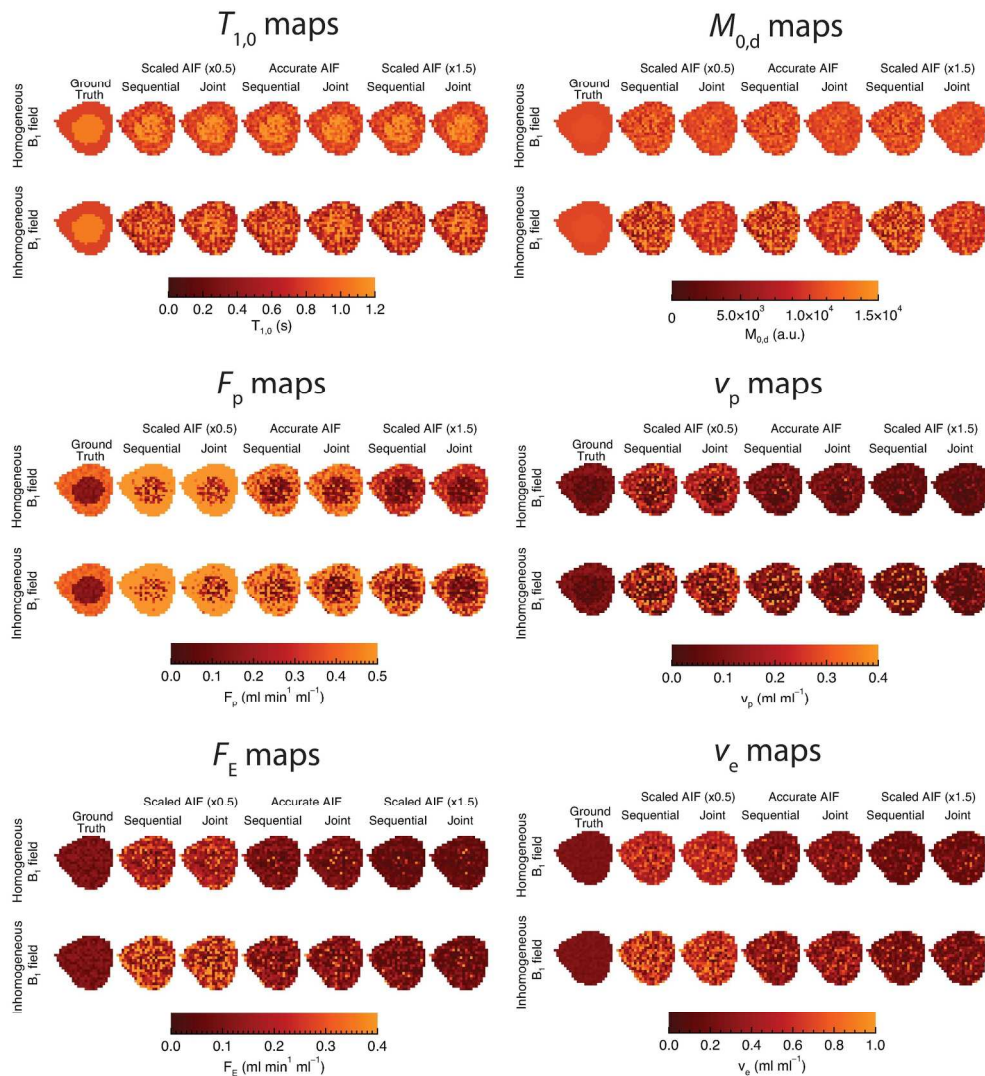


Figure 3. Parametric maps for  $T_{1,0}$ ,  $M_{0,d}$ ,  $F_p$ ,  $v_p$ ,  $F_E$  and  $v_e$  obtained using sequential and joint estimation for an example slice of the synthetic tumor. In the homogeneous  $B_1$  field condition, jointly estimated  $M_{0,d}$  maps appear smoother than their sequential estimation counterparts. Differences in the appearance for the other estimated parameter maps are difficult to discern visually. Under the inhomogeneous  $B_1$  field condition, clear visual differences arise in the maps of  $F_p$  and  $v_p$ , with joint estimation maps showing less speckle. The reduction of speckle observed in the  $F_p$  map enables rim-core subregions present in the ground truth map to be more clearly identified.

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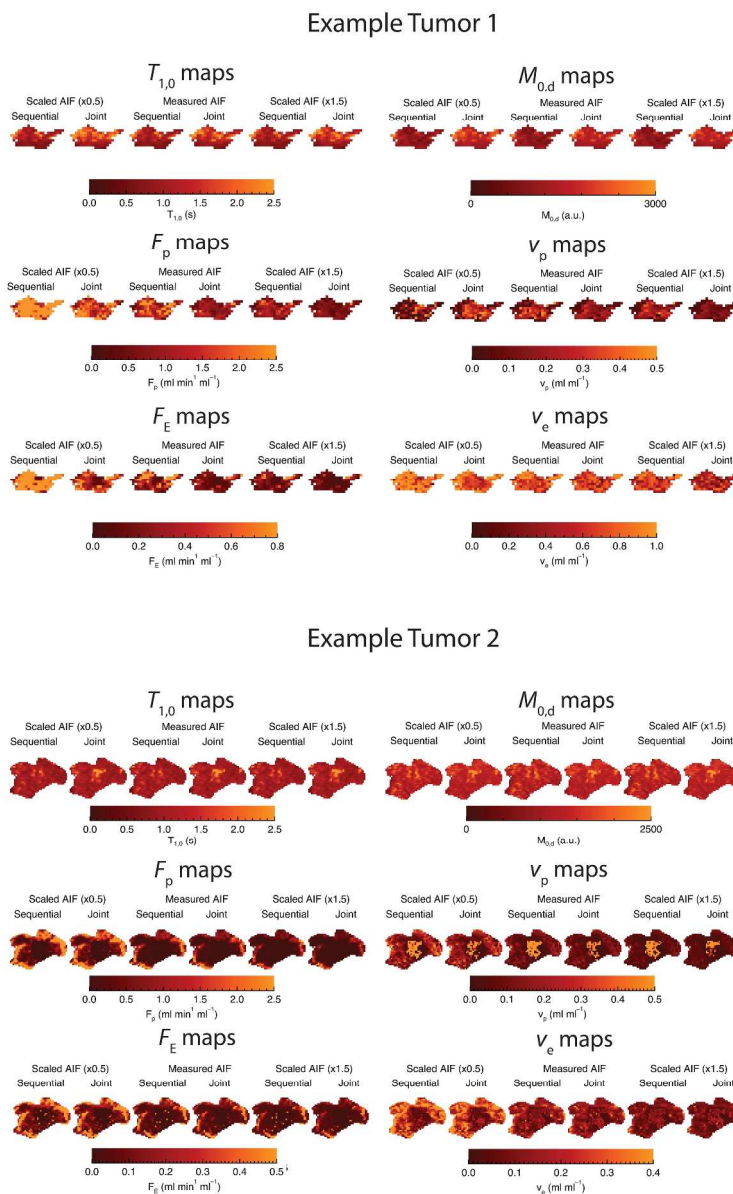


Figure 4. Parametric maps for  $T_{1,0}$ ,  $M_{0,d}$ ,  $F_p$ ,  $v_p$ ,  $F_E$  and  $v_e$  obtained using sequential and joint estimation for two example tumors. On average, estimates of  $T_{1,0}$  and  $M_{0,d}$  appear higher when using joint estimation (higher  $T_{1,0}$  and  $M_{0,d}$  at the top of tumor 1 and center of tumor 2). In tumor 1, joint estimates of  $F_p$  appear less sensitive to errors in the AIF, and  $v_p$  maps are less speckled than their sequential estimation counterparts. In tumor 2, spurious values of  $v_p$ ,  $F_E$ , and  $v_e$  observed in the sequential maps occur less frequently with joint estimation.

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## Supporting Materials

### Comparison of sequential and joint VFA $T_{1,0}$ estimates with reference measurements

#### Introduction

The variable flip angle (VFA) method is commonly used in dynamic contrast-enhanced (DCE) MRI examinations because it facilitates rapid estimation of pre-contrast  $T_1$  ( $T_{1,0}$ ).  $T_{1,0}$  is required to estimate contrast agent concentrations and hence facilitate the fitting of tracer kinetic models to DCE-MRI data. However, it is known that the VFA method is less accurate and precise than other  $T_{1,0}$  mapping methods. Here we test the hypothesis that our proposed joint estimation method improves  $T_{1,0}$  accuracy compared to the conventional sequential estimation approach, using independent measurements of  $T_{1,0}$  made using an inversion-recovery turbo-field echo (IR-TFE) sequence in a clinical prostate cancer study. IR-TFE measurements are more accurate than VFA measurements of  $T_{1,0}$ , and were therefore taken as gold-standard for this experiment.

#### Method

Analysis was performed using data from an ongoing clinical prostate cancer study being performed at our center. At the time of analysis, analyzable data from 3 patients had been acquired. All patients gave written informed consent and approval was obtained from the local research ethics committee. All imaging was performed on a 1.5 T Philips Achieva MR scanner. High spatial resolution  $T_2$ -w imaging was performed to allow accurate delineation of the prostate in all patients. This was followed by VFA, IR-TFE and DCE-MRI examinations, acquired at a lower spatial resolution ( $2.3 \times 2.3 \times 5.0$  mm<sup>3</sup>), for assessment of sequential and joint  $T_{1,0}$  accuracy. Field of view was matched between all examinations, and matrix sizes were matched between VFA, IR-TFE and DCE-MRI acquisitions. Acquisition parameters for the IR-TFE sequence were:  $TR/TE$  of 2.38/0.77 ms; shot interval of 4000 ms; matrix size of 176 x 176 x 20; flip angle of 12°; and inversion times of 64 ms, 250 ms, 1000 ms, 2500 ms, and 3900 ms. Acquisition parameters for the VFA and dynamic SPGR sequences were:  $TR/TE$  of 2.47/0.86 ms; variable flip angles of 2°, 10° and 20°; NSA in the VFA data of 5, dynamic flip angle of 30°; NSA in the dynamic data of 1, dynamic temporal resolution of 1.6 s; and dynamic acquisition time of 6.8 minutes. SENSE factors of 2.5 were used for VFA, IR-TFE and dynamic imaging. Sequential and joint estimates of  $T_{1,0}$  were generated in an identical manner to that described in the main paper.

The null hypothesis of no difference in mean relative error between sequential and joint estimates of  $T_{1,0}$  (relative to IR-TFE measurements) was tested using a paired two-sided t-test with significance criterion  $P < 0.05$ . A paired test was used to account for correlation between sequential and joint

R3.2 removal  
of statement of  
independence



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3 estimates made at the same voxel.

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5 Statistical analysis was performed at the voxel level ( $n = 1532$ ) giving a power of 99% to detect a  
6 difference in mean relative error of 10% assuming a standard deviation of 50%. Analyses were performed  
7 in R (Version 3.1, R Foundation for Statistical Computing, Vienna, Austria). Bland Altman plots were  
8 generated to show the relative error in  $T_{1,0}$  (sequential/joint  $T_{1,0}$  minus IR-TFE  $T_{1,0}$ ) across the range  
9 of measured gold-standard  $T_{1,0}$  values.

R3.1 clarification  
of direction bias

## 14 15 Results

16  
17 Acquisition time for VFA data was 24 s. Acquisition time for IR-TFE data was 4 minutes. Supporting  
18 Figure 1 shows Bland Altman plots of the relative error in  $T_{1,0}$  for sequential and joint estimates. Both  
19 sequential and joint estimation overestimated  $T_{1,0}$  relative to IR-TFE measurements. While joint esti-  
20 mation caused a small number of estimates at low  $T_{1,0}$  values (between 1.0 s and 1.5 s) to have larger  
21 relative error than sequential estimates (shown as a negative shift from zero error), a far greater number  
22 of estimates in the same  $T_{1,0}$  range were shifted from positive relative error towards zero relative error,  
23 leading to an overall reduction in the mean relative error. Mean relative error for sequential and joint  
24 estimates of  $T_{1,0}$  were 35% and 28% respectively, corresponding to a reduction of 7.0% (95% CI 5.4-8.5%,  
25  $P$ -value  $< 0.0001$ ). Using the same terminology as the main paper, joint estimation therefore led to an  
26 improvement in the mean relative error of 20% (95% CI 15-24%,  $P$ -value  $< 0.0001$ ), which agrees well  
27 with results from the synthetic experiment (improvements of between 10-22%).

R3.1 clarification  
of direction bias

## 36 Equality of $M_{0,v}$ and $M_{0,d}$

### 39 Introduction

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41 Joint fitting of the equilibrium longitudinal magnetization relies on the assumption that  $M_{0,v} = M_{0,d}$ .  
42 This condition is satisfied only if the MR scanner uses the same receive gain settings for variable flip angle  
43 and dynamic imaging. Although steps were taken to avoid recalibration, DICOM attributes for scanner  
44 receive gains were checked for equality (e.g. aFFT.SCALE.n.flFactor on Siemens, where n denotes the  
45 receive channel), and phantom experiments to estimate  $M_{0,v}$  and  $M_{0,d}$  within variable flip angle and  
46 dynamic images were performed. The phantom experiment for the bladder protocol is described in detail  
47 below.

### 54 Method

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56 A commercial uniformity phantom (Eurospin II T01 Flat Field Phantom, Diagnostic Sonar Ltd., Liv-  
57 ington, Scotland) was filled with copper sulphate solution and scanned using the bladder DCE-MRI  
58 protocol described in the main paper. A circular region of interest (ROI) with diameter 0.9 times that  
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of the phantom was placed in the central slice of each variable flip angle image. The equilibrium longitudinal magnetization was estimated for variable flip angle images  $i$  and ROI voxels  $j$  using the following steady-state SPGR equation:

$$M_{0,v}(i, j) = \frac{S(i, j)(1 - \cos(\theta_{v_i})e^{-\frac{TR}{T_{1,0}}})}{\sin \theta_{v_i}(1 - e^{-\frac{TR}{T_{1,0}}})} \quad (1)$$

where  $T_{1,0}$  was assumed to be 850 ms based on measurements described in (1). All other symbols were defined in the main paper. It was assumed there was no calibration between acquisition of successive variable flip angle images (i.e.  $M_{0,v}(1, j) = M_{0,v}(2, j) \dots M_{0,v}(n, j)$ ), and therefore the mean and standard deviation of  $M_{0,v}$  was calculated across all  $i$  and  $j$ .

For the dynamic images a similar procedure was performed. The equilibrium longitudinal magnetization was estimated for all ROI voxels  $j$  at the 10th dynamic time point. A late time point was chosen to ensure steady state conditions:

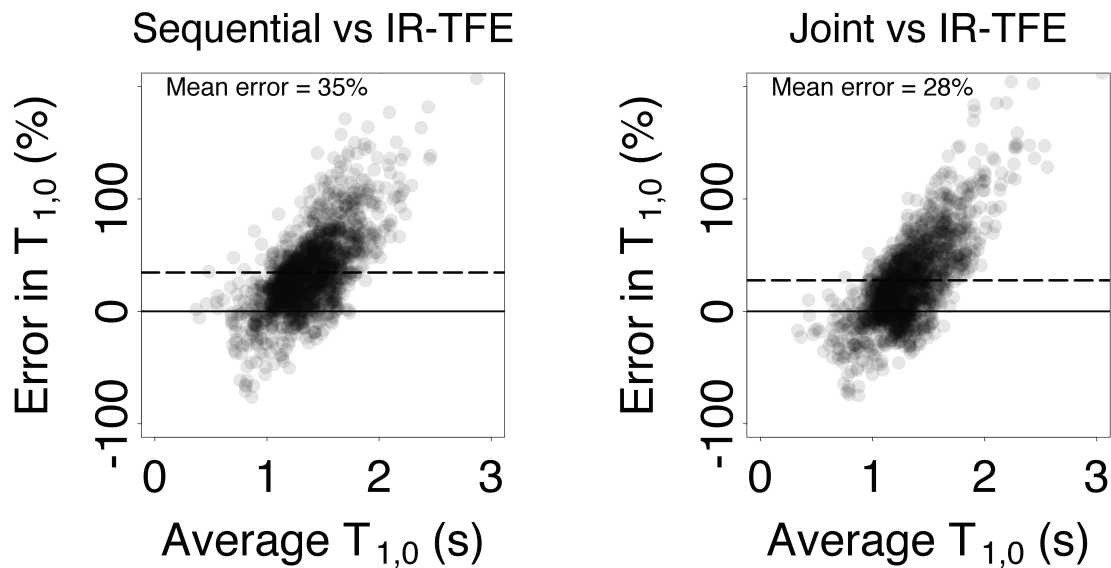
$$M_{0,d}(10, j) = \frac{S(10, j)(1 - \cos(\theta_d)e^{-\frac{TR}{T_{1,0}}})}{\sin \theta_d(1 - e^{-\frac{TR}{T_{1,0}}})} \quad (2)$$

the mean and standard deviation of  $M_{0,d}$  was calculated across all  $j$ .

## Results

The mean and standard deviation for  $M_{0,v}$  and  $M_{0,d}$  were found to be  $(12.6 \pm 2.8) \times 10^3$  a.u. and  $(12.8 \pm 2.7) \times 10^3$  a.u. respectively. A two-tailed Students t-test was performed in R (version 3.1) to test the null hypothesis of no difference between  $M_{0,v}$  and  $M_{0,d}$ . A  $P$ -value of 0.37 was observed, confirming our belief that the scanner did not recalibrate between variable flip angle and dynamic imaging.

## Supporting Figures



Comparison of  $T_{1,0}$  estimates in prostate tissue obtained using sequential and joint estimation in VFA and DCE-MRI data against estimates obtained using an independent inversion-recovery turbo field echo (IR-TFE) sequence, which was taken as gold-standard. Both sequential and joint estimation overestimated  $T_{1,0}$  relative to IR-TFE measurements, however joint estimation led to a reduction in the mean relative error of 7%.

# Bibliography

- [1] Lerski R, McRobbie D. Eurospin II Magnetic Resonance Quality Assessment Test Objects. Diagnostic Sonar Ltd, 1992.

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