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Citation for published version:

Lu, C, Liu, M, Culshaw, G, French, A & Corcoran, B 2016, 'Comparison of cellular changes in cavalier King Charles spaniel and mixed breed dogs with myxomatous mitral valve disease', *Journal of Veterinary Cardiology*, vol. 18, no. 2, pp. 100-109. https://doi.org/10.1016/j.jvc.2015.12.003

Digital Object Identifier (DOI):

10.1016/j.jvc.2015.12.003

Link:

Link to publication record in Edinburgh Research Explorer

Document Version: Peer reviewed version

Published In: Journal of Veterinary Cardiology

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1	Comparison of cellular changes in cavalier King Charles spaniel and mixed breed
2	dogs with myxomatous mitral valve disease
3	
4	Chi-Chien Lu DVM ^a , Meng-Meng Liu PhD ^a , Geoff Culshaw BVM&S ^a , Anne French
5	PhD ^b , Brendan Corcoran PhD ^a
6	
7	^a Royal (Dick) School of Veterinary Studies and The Roslin Institute, the University of
8	Edinburgh, Easterbush, Roslin, Mid-Lothian, Scotland, UK EH25 9RG.
9	^b School of Veterinary Medicine, the University of Glasgow, Garscube Campus
10	Bearsden Road, Glasgow, Scotland, UK G61 1QH.
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12	*Corresponding Author: Brendan Corcoran. E-mail; Brendan.Corcoran@ed.ac.uk
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15 Abstract	ct
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16 **Objectives:** The aim of this study was to determine if there are differences in cellular

17 changes in cavalier King Charles spaniel (CKCS) myxomatous mitral valves

18 compared to non-CKCS dogs.

19 Animals: CKCSs (n=6) and age-matched mixed breed (n=6) with severe MMVD, and

20 normal mixed breed (n=4) dogs.

21 Methods: Immunohistochemistry staining and qualitative and quantitative analysis of

22 mitral valves sections, examining for the presence of CD11c and CD45, vimentin,

23 alpha smooth muscle actin (α -SMA) and myosin heavy chain 10 (Smemb),

24 vonWillebrand factor (vWF) and CD31 and Ki-67.

25 **Results:** Vimentin positive cell numbers were increased in the MMVD dogs and

26 distributed throughout the valve with greatest density close to the endothelium. There

27 were no significant differences in cell marker expression for the two diseased groups,

28 but cell numbers were significantly increased compared to controls for α-SMA

29 (CKCS only) and SMemb (CKCS and mixed breed) ($P \le 0.05$). α -SMA+ cells were

30 primarily located at the valve edge, with Smemb+ cells similarly located, but also

31 present throughout the valve stroma. A small number of cells close to the valve edge

32 co-expressed α-SMA and SMemb. Endothelial vWF expression was identified in all

33 valves, with evidence of disrupted endothelium in the diseased, but was also found in

34 diseased valve stroma. There was no staining for CD11c, CD45 or CD31 in any valve.

35 Ki-67+ cells formed linear clusters at the leaflet tip and were sparsely distributed

36 throughout both myxomatous valve groups.

37 **Conclusions:** The cellular changes notes with advanced stage MMVD are appear

38 similar for CKCS when compared to mixed breed dogs.

40 Key words: Canine, Cellularity, Endothelial, Immunohistochemistry, Interstitial.

41	Abbreviations:	
42	CKCS	cavalier King Charles spaniel
43	MMVD	myxomatous mitral valve disease
44	5-HT	5-hydroxytryptamine (serotonin)
45	α-SMA	alpha smooth muscle actin
46	VIC	valve interstitial cell
47	MYH-10	myosin heavy chain 10 (SMemb)
48	Non-muscle embryonic myosin	SMemb (MYH-10)
49	VEC	valve endothelial cell
50	PBS	phosphate-buffered saline
51	vWF	von Willebrand Factor
52	DAPI	4',6-diamidino-2-phenylindole
53	ANOVA	one-way analysis of variance

54

55 Introduction:

56 The cavalier King Charles spaniel (CKCS) breed is recognised to be particularly pre-57 disposed to the development of myxomatous mitral valve disease (MMVD) in terms of age of onset, progression and severity¹⁻³. MMVD is highly heritable in the CKCS 58 59 breed and it is reasonable to suppose heritability also has a role in disease appearance and progression ^{4,5}. There are CKCS-specific traits such as differences in platelet 60 61 numbers and platelet aggregation tendency and higher serum magnesium and 5-HT 62 (serotonin) levels compared to other dogs that have been examined for correlation with development of MMVD, with varying results⁶⁻¹². Furthermore, the CKCS is 63 64 more predisposed to chronic fibrosing pancreatitis and syringomyelia than other

66 abnormality¹³⁻¹⁵. While there is no clear causal link established between these findings 67 in the CKCS and MMVD it still points towards breed-specific phenotypic 68 characteristics that might have bearing on disease appearance. 69 70 Cellular changes that occur with MMVD are well described. There is an increase in α -71 smooth muscle actin (α -SMA) positive valve interstitial cells (activated 72 myofibroblasts, aVICs) which locate mainly towards the valve distal free-edge (valve tip) and appears to be related to disease severity¹⁶⁻¹⁹. It is activation of the VICs that is 73 74 believed to drive the extra-cellular matrix damage seen in MMVD through a 75 combination of increased catabolic activity and aberrant repair and replacement. In 76 normal valve stroma the majority of cells are quiescent vimentin-positive VICs (qVIC), with a few desmin-positive cells ^{16, 17}. In overtly myxomatous areas of 77 78 affected valves some cells also appear to be activated myofibroblasts or with 79 increased differentiation capacity based on their expression of myosin heavy chain-10 80 (MYH-10; non-muscle embryonic myosin, SMemb), with appearance of cells in clusters close to the endothelium and deeper in the valve stroma^{20, 21}. There is no 81 82 evidence that there is active cell proliferation and while some cells exhibit a proapoptotic status they do not undergo programmed cell death^{16, 22}. However, there are 83 84 regional changes in cell numbers; reduced in overtly myxoid stroma and increased in sub-endothelial zones²¹. A small increase in mast cell numbers is also noted, but there 85 is no increase in macrophages numbers and no inflammatory cells are reported^{16, 17}. 86 87 Changes in endothelial cell morphology, coupled with endothelial cell (VEC) loss are also reported^{16, 23, 24}. Changes include increased expression of endothelin receptors, 88 89 evidence of VEC activation, apoptosis, basement membrane loss and damage with

breeds and with MMVD this might reflect a breed-specific global connective tissue

90	cell detachment ^{25,26} . The interaction between the VECs and VICs in the context of the
91	pathogenesis of MMVD is not understood, but the characteristic accumulation of
92	activated myofibroblasts close to the endothelium and in particular areas of damaged
93	endothelium suggest some sort of interaction does occur ²⁶ .
94	
95	Of the studies to date, all have presented data from a mix of pedigree and cross-bred
96	dogs. Considering the susceptibility of the CKCS to MMVD, the aim of this study
97	was to determine if there are differences in valve cellular changes in the CKCS
98	compared to non-CKCS dogs examining the resident valve interstitial and endothelial
99	cells, to confirm the cell changes noted in previous studies and also examine again for
100	the possible presence of inflammatory cells and macrophages.
101	
102	Animals, Materials and Methods:
103	Tissue samples
103 104	<i>Tissue samples</i> Anterior mitral valve leaflets were obtained from six elderly CKCS (12-14 yr; 2
103 104 105	<i>Tissue samples</i> Anterior mitral valve leaflets were obtained from six elderly CKCS (12-14 yr; 2 neutered females & 4 neutered males; 8-12 Kg) and six mixed breed dogs (8-12 yr; 3
103 104 105 106	<i>Tissue samples</i> Anterior mitral valve leaflets were obtained from six elderly CKCS (12-14 yr; 2 neutered females & 4 neutered males; 8-12 Kg) and six mixed breed dogs (8-12 yr; 3 neutered females & 3 neutered males; 10-18Kg) with MMVD. Control samples were
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115 collection and then a grade agreed (C-CL, BMC, M-ML & GJC)²⁷. Representative 116 samples of similar gross pathological appearance were cut from each valve, paraffin-117 embedded and 4 consecutive 5 μ m sections were collected onto coated slide. Whole 118 valve length and distal transverse sections were collected for longitudinal and 119 horizontal evaluation. Sections were de-waxed in xylene and re-hydrated through 120 serial ethanols and distilled water.

121

122 Immunohistochemistry

123 Immunohistochemical staining used a combination of a standard peroxidase method 124 (qualitative) with NovaRed^c as chromagen (ABC Elite Kit, Vector), and indirect 125 immunofluorescence (qualitative and quantitative) using fluorescent dyes conjugated to IgG^d (AlexaFluor488 and 568, Invitrogen). Double staining was carried out for α-126 SMA and SMemb using indirect immunofluorescence to determine if there was co-127 128 localisation. For double staining Images were collected using the two different 129 fluorescence channels and then merged. Sections were examined using fluorescence 130 and light microscopy^e (Leica-DMRB) for the presence of the universal mesenchymal 131 cell marker vimentin, the inflammatory cell markers CD11c (macrophage, monocytes) 132 and CD45 (hematopoietic cells, T-cell lymphocytes), the valve endothelial cell (VEC) 133 markers vWf and CD31, the activated myofibroblasts (aVIC) markers α-smooth 134 muscle actin (α -SMA), myosin heavy chain-10 (SMemb) and the cell proliferation 135 marker Ki67. The details for each antibody are shown in Table 1. For antigen retrieval sections were microwaved either in citrate buffer (0.01 M, pH 6.0) or Tris-EDTA 136 137 (10mM Tris base, 1 mM EDTA solution, pH 8.0).

138

139 For the peroxidase technique sections were incubated with 1% hydrogen peroxide 140 (H₂O₂ in phosphate-buffered saline (PBS pH 7.4) for 10 min and blocked with 10% goat serum (diluted in 0.5% Tween 20)^f) (Vector Laboratories Inc.) for 30 min at 141 142 room temperature. Sections were then incubated for 60 min at room temperature with primary antibodies, rinsed in 0.5% Tween20 in PBS and incubated for 30 min at room 143 144 temperature with biotinylated secondary antibodies (goat anti-mouse or anti-rabbit 145 IgG^f; Vector Laboratories Inc.). Slides were counterstained with haematoxylin, 146 dehydrated through graded ethanols and xylene and mounted in a xylene-based 147 medium^g (DePex; Gurr-BDH Chemicals Ltd). For each dog a minimum of four 148 sections were examined for each antibody. 149 150 For immunofluorescence, the basic protocol was the same for the peroxidase 151 technique, without addition of H₂O₂, and the secondary antibody was applied for 60 152 min at room temperature in a dark humid chamber. Slides were washed in PBS (3 153 times for 5min), mounted and nuclear counterstained with DAPI mount (Vectashield). 154 Positive controls included canine spleen (CD11c and CD45), lymph node (CD11c and 155 CD45) and duodenum (Ki67, CD31, vWF, vimentin α-SMA and Smemb), and for 156 negative controls the primary antibody was omitted. Images were taken using a light microscope with fluorescent capability^e (Leica-DMRB). 157

158

159 *Image capture and analysis*

160 For quantitative assessment (ImageJ cell counter plug-in^h; National Institutes of

161 Health) nine randomly selected (x400 magnification) non-overlapping images were

162 digitally captured, beginning close to the valve edge (tip of leaflet) and moving back

163 to the junction with the atrial myocardium where the valve base (zone adjacent to the

164	annulus) covering the same region of interest for each valve, and the cells were then
165	counted manually. Qualitative descriptive assessment only was carried out on the
166	NovaRed stained sections (longitudinal and horizontal) and qualitative and
167	quantitative assessment on the fluorescent labelled sections (longitudinal only).
168	
169	Statistical analysis
170	Data were expressed as mean \pm standard deviation of the mean. Since the sample size
171	was small log transformation was undertaken to achieve normality, followed by the
172	D'Agostino-Pearson normality test, and inferential statistical analysis applied using
173	one-way analysis of variance (ANOVA) testing with a p value of <0.05. Where one-
174	way analysis of variance (ANOVA)-detected significant difference, inter-group
175	differences were compared using the post-hoc Tukey Simultaneous test.
176	
177	Results:
178	Gross and histological changes in myxomatous mitral valves from CKCS and non-
179	CKCS were not appreciably different, and not dissimilar to previous reports ^{21,27} .
180	
181	Cellular changes in myxomatous mitral valve disease
182	Similar changes in the distribution of immunoreactive cells were noted for
183	longitudinal and horizontal sections. In the normal mitral valves, most cells were
184	vimentin positive and evenly distributed, and presumed to be quiescent VICs. In the
185	diseased valves, vimentin positive cells were also evenly distributed, but the highest
186	density was adjacent to the endothelial layer (Fig. 1). No cell staining was observed in
187	any valve for the inflammatory cell markers CD11c or CD45, but positive staining
188	was found in control lymph node. In normal mitral valves very few α -SMA or

189	SMemb+ cells were seen and these were typically located adjacent to the
190	endothelium. In diseased valves α -SMA+ cells were generally found lying beneath the
191	endothelium, clustering mostly at the distal free-edge with a few cells present in the
192	valve stroma. SMemb positive cells were also found clustering surrounding the
193	myxomatous areas and close to the distal free-edge, but there was positive staining
194	throughout the leaflet depth. There were no clear differences comparing CKCS and
195	the mixed breed dogs (Fig. 1). vWF+ endothelial cells were found along the whole
196	leaflet length, but with areas of discontinuity in the diseased dogs. A consistent
197	finding in both diseased groups (5/6 CKCS; 6/6 mixed breed) was clusters of vWF+
198	cells in the myxomatous sites away from the valve edge (Fig 2). No CD31+ cells were
199	seen in any test or control sample. We have been unsuccessful in identifying CD31
200	positive cells in any canine paraformaldehyde fixed tissues, but have found this
201	antibody to be effective in canine valve endothelial cell cultures.
202	
203	Ki-67+ cells formed linear clusters typically at the leaflet tip, but also were distributed
204	sparsely throughout the myxomatous valves of both groups (Fig 2). Staining appeared
205	to be a combination of cytoplasmic and nuclear. This sparse distribution was
206	somewhat similar to that seen for α -SMA and SMemb+ cells, but cell counting was
207	not feasible. In affected valves, flattened elongated cells at the valve surface
208	(presumed to be VECs) also showed positive staining for Ki-67.
209	

210 Immunofluorescence; Qualitative and Quantitative Analysis

211 The total numbers of cells positive for α-SMA was significantly increased in the

212 CKCS group compared to normal (Table 2) (p<0.05). SMemb+ cells were

- 213 significantly increased in the two diseased groups compared to normal (p < 0.05).
 - 9

Vimentin+ cells made up the largest number in all valves, and cell numbers in both
diseased valves approached twice that seen in normal valves (Table 2; Fig. 3). While
the pattern of staining for α-SMA and SMemb at the valve edge was similar in both
affected groups, only a few of those cells co-expressed both antigens (Fig. 3 & Fig. 4).
In overtly myxomatous areas, the overall pattern of cellular staining was similar in the
CKCS and mixed breed dogs.

220

Discussion:

222 The cavalier King Charles spaniel (CKCS) breed predisposition to MMVD is well 223 recognised and heritability has a role in disease appearance and progression^{4,5}. There 224 are some CKCS-specific traits identified and while their association with MMVD can 225 be unclear, it is reasonable to suggest that disease phenotype and pathology might be 226 different to that seen in other dogs⁷⁻¹¹. By assessing for a range of markers for 227 inflammation, proliferation and mesenchymal cell phenotypic differentiation evidence 228 was found that cell changes in the CKCS valve are fundamentally the same appear to 229 be similar to that seen in mixed breed dogs.

230

231 There was no evidence of inflammatory cells in any of the samples examined and this

232 is in agreement with the only other report ¹⁶. Small numbers of macrophages and mast

233 cells have been previously found in MMVD valves and there is an increased

expression of some inflammatory cytokine transcripts in affected valves, but there still

is no clear evidence that inflammation has an important role in this disease ^{17, 28,29}.

236

237 Appearance of activated of α -SMA+ valve interstitial cells in canine MMVD is well 238 recognised, particularly in the distal portion of the valve and close to the valve edge,

and this was again noted in the present study in all affected dogs $^{16, 17}$. Increased α -239 240 SMA+ cells numbers were seen in the two affected groups and were moderately higher in the CKCS valves, but this could be a chance finding. Increased numbers of 241 242 α -SMA+ cells is dependent on disease severity and the dogs in the current study were aged and had the most severe form of the disease ¹⁹. Increased SMemb+ cell numbers 243 244 have also been previously reported and were found again to be increased in this study, 245 with a marginally higher number in the non-CKCS dogs ¹⁶. The distribution of Smemb+ cells in the valve stroma possibly identifies a cell population with 246 247 differentiation potential, but the presence of Ki-67 expression would suggest 248 proliferation is on-going as well. Lastly, using vimentin as a general mesenchymal 249 cell maker, total cell numbers in the two affected groups were similar and were 250 approximately twice that of the normal valves. Reports to date on changes in cells 251 numbers are either equivocal or conflicting, but this is likely to be based on the 252 counting techniques used. In myxoid stroma cell numbers decline, but overall cell 253 numbers increase and this is dominated by increased cell numbers in the atrialis layer ^{15,21}. The current study furthermore confirms there is an overall increase in interstitial 254 255 cell numbers when the entire valve length is examined. However, it should be noted 256 the normal valves were not age-matched and increased cell numbers associated with 257 aging cannot be discounted. The lack of age-matched normal controls is a study 258 limitation, but it is understood that finding normal mitral valves in aged dogs is not 259 readily achievable.

260

While vimentin expression is widespread in many cell types, interstitial cells
expressing vimentin are generally considered to be a quiescent phenotype form of
VIC in the normal mitral valve ^{16, 17, 21}. In the diseased valve cells retaining vimentin

264	expression alone, or expressing one or both of the two activation/differentiation
265	markers (α -SMA and SMemb), may represent an expanded population available for
266	phenotypic alteration in response to appropriate triggers. There was an increased
267	expression of the cell proliferation marker Ki-67 in the MMVD valves and this was
268	most noticeable where there was the largest number of α -SMA positive cells close to
269	the distal free-edge. This finding contrasts with the only other study where Ki-67
270	showed minimal expression in diseased valves ¹⁶ . Additionally the similar regional
271	expression of Ki-67 with α -SMA+ cells would might suggest proliferation of
272	activated myofibroblasts close to the valve edge is more likely than migration of these
273	cells from deep in the valve stroma, and it would be reasonable to suggest this
274	proliferation is in response to the endothelial changes and damage noted with MMVD
275	²⁶ . Co-localisation staining would confirm if this was the case. Under normal
276	circumstances VECs and VICs interact to prevent VIC activation and $\frac{\text{VEC}}{\text{VEC}}$
277	endothelial-to-mesenchymal transition (EndoMT) (the process by which endothelial
278	cells invade tissue and differentiate into a mesenchymal phenotype) thereby
279	maintaining a quiescent state ³⁰ . However, up-regulation of genes associated EndoMT
280	is found in affected canine valves, suggesting changes in cellular homeostasis occurs
281	with disease progression ^{30,31} . EndoMT is likely to be one mechanism that contributes
282	to accumulation of α -SMA positive cells close to the endothelium.
283	

Identification of cells positive for $\alpha\alpha$ -SMA and Smemb and the distribution of these cells has been previously reported, but the identification of co-localisation is a novel finding^{16,17,21}. This co-localisation of α -SMA and SMemb in a small number of cells close to the valve edge identifies a population that have greater differentiation potential than those expressing α -SMA or SMemb alone, with Smemb having

important functions in cell adhesion and cell migration.- Such cells are believed to
have greater phenotypic differentiation capability in response to injury³². In the case
of MMVD, a similar capacity for phenotypic versatility might exist where endothelial
damage has occurred.

293

294 VonWillebrand factor expression demonstrated the presence of the endothelium in all 295 valves and confirmed the loss of endothelial cells along some sections of affected 296 valve edges. Endothelial damage and denuding is now recognised to be a cardinal 297 feature of MMVD, and might be a major triggering event driving the phenotypic changes seen with VICs and proliferation of aVICs close to the valve edge ^{2, 23, 24}. The 298 299 localised expression of vWF in cells within the stroma of the diseased valves was an 300 un-expected finding, suggesting either migration of endothelial cells or mesenchymal 301 transition of stromal VICs (mesenchymal to endothelial transition). The localisation of 302 Smemb+, vWF+ and Ki-67+ cells in the stroma might support the latter conclusion. 303 This finding might be due to extravasation during sample collection, but the number 304 of cells and consistency of the finding make this less likely. Successful staining for 305 CD31 would have been useful to clarify the endothelial identity or not of these cells, 306 as would double staining to identify co-localisation. Lastly, while there appears to be 307 a common pathological end-point when comparing MMVD in CKCSs and mixed 308 breed dogs this does not presume a shared pathogenesis, although that would not be 309 an unreasonable to presume so conclusion.

310

The main limitations of this study is the sample size (a recognized problem with studies of this nature) and the technical problem of comparative sampling from normal and diseased valves that have markedly different geometry. The laminated 314 structure of the normal valve compared to the loss of lamination in the disease valves 315 is also a confounding factor. Lastly, while there appears to be a common pathological 316 end-point when comparing MMVD in CKCSs and mixed breed dogs this does not 317 presume a shared pathogenesis, although it would not be unreasonable to presume so. 318

319 In conclusion, this study confirms that there is no evidence for inflammatory cell 320 involvement in canine MMVD, that the cell changes are similar appear similar for 321 CKCS as for other dogs, and that the changes in cell numbers in MMVD are possibly 322 due to cell proliferation. This study suggests MMVD is not a heterogeneous disease, 323 at least in terms of cellular changes and that the CKCS form of the disease differs 324 only in its time of onset and speed of progression. However, the pathogenesis of 325 MMVD is unknown and it is possible different mechanisms could result in the same 326 end-stage findings. However, for now it seems it is the temporal presentation and not 327 the end-stage pathology that is likely to be the heritable feature of MMVD in the 328 cavalier King Charles spaniel. Furthermore, it From these studies it would be 329 reasonable to presume that studies of any dog with MMVD are applicable to all dogs 330 and breeds.

331

332 Acknowledgements:

The authors are indebted to the owners who have donated valve tissue from their pets to this study. Without their support this work would not have been possible. We are particularly indebted to the Cavalier King Charles Club of England for assistance in sourcing the CKCS material and their financial support of C-C Lu.

337

338 Conflict of Interest:

- 339 None of the authors of this paper has a financial or personal relationship with other
- 340 people or organisations that could inappropriately influence or bias the content of the
- 341 paper.
- 342

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471 Table 1. Antibody source and concentration used for IHC in canine mitral valves.

Primary and Secondary	Origin	Dilution	Manufacturer	Catalogue No.
	Mouse monoclonal	1.400	Sigma	A 2547
u-SIVIA	Wouse monocional	1.400	Sigilia	A2347
SMemb	Rabbit polyclonal	1:1000	Abcam	Ab24761
Vimentin	Mouse monoclonal	1:1600	Sigma	V6389
vWF	Rabbit polyclonal	1:1000	Abcam	Ab6994
Ki-67	Rabbit polyclonal	1:200	Abcam	Ab15580
CD11c	Mouse monoclonal	1:100	Abcam	Ab76911
CD45	Mouse monoclonal	1:100	AbD Serotec	MCA2035S
CD31	Rabbit polyclonal	1:100	Abcam	Ab28364
Alexafluor 488	Goat anti-Mouse IgG (H+L)	1:100	Invitrogen	A10667
Alexafluor 568	Goat anti-Rabbt IgG	1:100	Invitrogen	A11011
	(H+L)			
Biotinylated	Goat anti-Mouse IgG	1:1000	Vector	BA-9200
Antibody	(H+L)			
Biotinylated	Goat anti-Rabbit IgG	1:1000	Vector	BA-1000
Antibody	(H+L)			

473

- 475 Table 2. Comparison of cell numbers (mean +/-SD) positive for α -smooth muscle
- 476 actin (α-SMA), embryonic smooth muscle myosin (Smemb) and vimentin in mitral
- 477 valves of cavalier King Charles spaniels (CKCS n=6), mixed breed dogs (n=6) with
- 478 myxomatous mitral valve disease (MMVD) and normal mixed breed dogs (n=4). Data
- 479 log transformed. * Significantly different from control group P < 0.05.
- 480

		Smemb	Vimentin
	α-SMA (Mean±SD)	(Mean±SD)	(Mean±SD)
CKCS	33.95±12.13*	23.13±5.72*	40.52±18.41
n=6			
non-CKCS	25.7±2.67	29.55±3.06*	46.95±17.65
n=6			
Control	10.75±2.95	14.53±3.96	26.75±7.24
n=4			



502 myxomatous mitral valve, taken at the level of the valve edge. Immunofluorescence

503 staining for alpha-smooth muscle actin (α-SMA), myosin heavy chain-10 (Smemb),

504 Vimentin, and 4-6-diamidino-2- phenylindole (DAPI, blue) nuclear counterstaining.

505 Magnification 40x, scale = 25 μ m.

507	Figure 4. Double fluorescence photomicrographs of cavalier King Charles spaniel			
508	myxomatous mitral valve, taken at the level of the valve edge. Alpha-smooth muscle			
509	actin (α -SMA; green) and myosin heavy chain-10 (Smemb; red). There is a different			
510	staining pattern of α -SMA and SMemb positive cells. The merged photomicrographs			
511	show occasional cells co-expressing α -SMA and SMemb (arrows). Magnification			
512	$40x$, scale = 25 μ m.			
513				
514	Footnotes:			
515	c. ABC Elite Kit, Vector Laboratories Inc.			
516	d. AlexaFluor488 and 568, Invitrogen			
517	e. Leica-DMRB			
518	f. Vector Laboratories Inc.			
519	g. DePex; Gurr-BDH Chemicals Ltd			
520	h. National Institutes of Health			
521				



523 Figure1



524

525 Figure 2



527 Figure 3



529 Figure 4