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1	Equine grass sickness in Scotland: A case-control study of environmental geochemical
2	risk factors

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15 geochemistry, trace elements

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18 <u>Summary:</u>

19 Reasons for performing study: We hypothesised that the apparent geographical distribution 20 of equine grass sickness (EGS) is partly attributable to sub-optimal levels of soil macro- and 21 trace elements in fields where EGS occurs. If proven, altering levels of particular elements 22 could be used to reduce the risk of EGS.

Objectives: To determine whether the geographical distribution of EGS cases in Eastern
 Scotland is associated with the presence or absence of particular environmental chemical
 elements.

26 Study design: Retrospective time-matched case-control study.

Methods: This study utilised data for 455 geo-referenced EGS cases and 910 time-matched
controls in Eastern Scotland, and geo-referenced environmental geochemical data from the
British Geological Survey Geochemical Baseline Survey of the Environment stream sediment
(G-BASE) and the James Hutton Institute, National Soil Inventory of Scotland (NSIS) datasets.

Results: Multivariable statistical analyses identified clusters of three main elements associated with cases from (i) the G-BASE dataset – higher environmental *Ti* and lower *Zn*, and (ii) the NSIS dataset – higher environmental *Ti* and lower *Cr*. There was also some evidence from univariable analyses for lower Al, *Cd*, *Cu*, *Ni* and *Pb* and higher *Ca*, *K*, *Mo*, *Na* and *Se* environmental concentrations being associated with a case. Results were complicated by a high degree of correlation between most geochemical elements.

37 Conclusions: The work presented here would appear to reflect soil- not horse-level risk factors 38 for EGS, but due to the complexity of the correlations between elements, further work is 39 required to determine whether these associations reflect causality, and consequently whether 40 interventions to alter concentrations of particular elements in soil, or in grazing horses, could potentially reduce the risk of EGS. The effect of chemical elements on the growth of those soil
micro-organisms implicated in EGS aetiology also warrants further study.

43

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56 Introduction

57 Equine grass sickness (EGS) has a restricted geographical distribution, with Great Britain, and Scotland in particular, having the highest prevalence worldwide [1; 2]. While the aetiology 58 remains unknown, increasing evidence suggests a toxico-infectious form of botulism with 59 additional risk factors [3-7]. The spatial distribution may be attributable to the dispersal of 60 botulinum spores or to geographically-restricted environmental risk factors. EGS has been 61 62 associated with acidic soils and soils with high concentrations of nitrogen (N) [8-13]. In comparison with clay soils; loam or sandy soils are associated with a higher risk of EGS 63 recurrence, while chalk and other texture soils are associated with lower risk of recurrence [14]. 64 65 Previous research into macro- and trace element intake in relation to EGS is limited and inconclusive. A small case-control study (n=23 cases, n=11 controls) quantified Aluminium 66 (Al), Arsenic (As), Barium (B), Calcium (Ca), Cadmium (Cd), Chromium (Cr), Copper (Cu), 67 68 Iron (Fe), Mercury (Hg), Potassium (K), Magnesium (Mg), Manganese (Mn), Molybdenum (Mo), N, Nickel (Ni), Phosphorus (P), Lead (Pb) and Sulphur (S) in soil and herbage from EGS 69 70 and control fields [15]. Herbage from EGS fields had significantly higher levels of Cr, Fe and Pb, and significantly lower levels of Hg, while concentrations of all elements in soils were not 71 significantly different. Greig [16] and Doxey et al. [17] found no differences in systemic 72 73 concentrations of Cu, Mg and glutathione peroxidase between EGS and healthy co-grazing horses. McGorum et al. [13] identified differences in systemic levels of Cu, Mg, and Selenium 74 (Se) between EGS and control horses, but it was unclear whether these represented risk factors 75 76 for EGS, metabolic consequences of EGS, or were unrelated to EGS.

77 The aim of this study was to determine whether the geographical distributions of geo-78 referenced EGS and control horses in Eastern Scotland were associated with the presence or 79 absence of particular environmental chemical elements. 80

81 **Materials and Methods**

82 The analysis was undertaken as a retrospective time-matched case-control study, employing
83 much larger populations than previous studies.

84 *Case and control data*

Horse data used are detailed in Wylie *et al.* [18]. Briefly, the postcode of 455 EGS cases were
identified from clinical case records at the Royal (Dick) School of Veterinary Studies (RDSVS)
between 01/01/1990 and 01/06/2006. Each case had two time-matched controls (*n*=910),
which were grazing equids referred to RDSVS for reasons other than EGS, immediately prior
and after the EGS case. Equids originating outwith Scotland were excluded.

90

91 *Geochemical data*

92 It was not possible to obtain and analyse soil samples from locations where cases and controls were grazing; therefore, geochemical data from two Scotland-wide derived datasets, namely 93 the British Geological Survey (BGS) Geochemical Baseline Survey of the Environment (G-94 95 BASE) stream sediment dataset and the James Hutton Institute (JHI) National Soil Inventory of Scotland (NSIS) were used as broad-scale proxies. Data from both the G-BASE stream 96 sediment and NSIS soil datasets were considered as part of the study as they had different 97 merits. Whilst the G-BASE stream sediment dataset may be further removed from the soil-98 horse exposure route (with some enhancement and/or depletion of certain elements); it 99 100 uniquely provides detailed information on the surface geochemistry of Scotland (1 sample per 1.5 km²) thereby permitting more accurate geographically located sediment data for each horse. 101 These samples closely relate to the local bedrock of the stream catchment, and are considered 102

103 to be a sufficient proxy for the source of chemical elements in soil in a given area [19-21]. The NSIS dataset contains less spatial detail (1 sample per 100 km²), but has been extrapolated to 104 provide information on the chemistry of the main soil types across Scotland. These are more 105 106 strongly influenced by climate, topography, land-use and biological processes than stream sediments, albeit only for more broad scale differences [22]. The G-BASE dataset had the 107 advantage that is it spatially detailed, but is not a measure of actual soil chemistry; just a proxy 108 for it [20; 21]. The NSIS dataset had the advantage that it is a measure of soil chemistry, but is 109 not as spatially detailed. As such, both datasets provide information on chemical element 110 111 distributions across Scotland, but with some variations due to the different sample types and methods used to generate the data. 112

Data regarding a range of elements were collated from the two geochemical datasets (G-BASE,
NSIS) (Table 1). Unfortunately neither dataset contained data regarding environmental *S* [13],
while limited *Se* data (45 samples) were available for the NSIS dataset only.

116

117 BGS G-BASE stream sediment dataset

BGS G-BASE data are based upon fine-fraction (<150 µm) first and second order stream 118 sediment total element concentrations determined by Direct Reading Optical Emission 119 Spectrometry (DC-OES) and Atomic Adsorption Spectrometry (AAS) collected at a sampling 120 density of 1 per 1.5 km² (c.49,000 sample points) across Scotland [23]. Data were provided 121 as geographic information system (GIS) interpolated raster maps (based on a 250 m grid) 122 (ArcMap10.1; Environmental Systems Research Institute, ESRI®), showing concentrations of 123 each element at any given location (Figure 1a and Table 1). For each horse location (Ordnance 124 Survey [OS] easting and northing), geochemical values at that site were extracted by GIS 125 overlay. Data for Ca, K, Mg and Titanium (Ti) were lacking for northern Sutherland/Caithness 126

and Orkney, resulting in two (0.2%) controls and eight (1.8%) cases being excluded from
statistical analysis.

129

130 JHI NSIS Soil Dataset

Information on topsoil geochemistry from JHI comprised concentrations derived from a 10km 131 grid survey across Scotland subsequently grouped by major Soil Associations (defined by 132 parent material types) and median values derived to allow spatial extrapolation between the 133 10km sample points (Figure 1b). Data comprised element concentrations for the uppermost 134 soil horizon (layer) from 719 samples (n=292 mineral topsoils, n=427 organic surface layers) 135 determined by Inductively Coupled Plasma Atomic Emission Spectrometry or Graphite 136 Furnace Atomic Absorption Spectrometry after Aqua regia extraction (Table 2) [19]. More 137 geochemical elements were available compared to the G-BASE dataset but the same values 138 were ascribed to many horses as many owners' addresses were located over the same Soil 139 Association found in different parts of the sampled area. In total, 1115 sites (384 cases, 731 140 controls) were allocated a median concentration for a range of elements. Data were unavailable 141 for a further 250 sites (71 cases, 179 controls) which were located within unmapped, often 142 urban, areas. 143

144

145 Data Management and Statistical Analyses

Prior to statistical analysis, G-BASE data for all elements except *Mo* were log_{10} transformed (Supplementary Figure 1a), and geometric means (GM) and 95% confidence intervals (CI) calculated for cases and controls. *Mo* data could not be normalised with any transformations as 23% of values=0 mg kg⁻¹, therefore the percentage of values >0 mg kg⁻¹ were calculated. For NSIS-derived data for all elements except *Se*, overall medians and interquartile ranges (IQR) were determined for cases and controls due to the distribution of data (Supplementary Figure 1b). However, for the risk factor analysis, NSIS-derived values were log_{10} transformed to try and generate meaningful estimates of risk [24]. For *Se*, data were converted into percentage of values >0.22 mg kg⁻¹. Correlations between elements within the G-BASE and NSIS-derived datasets were considered separately, using Spearman rank correlations.

For the risk factor analysis a three-stage approach was adopted. First, univariable conditional logistic regression analyses (hereafter '*univariable conditional*') were conducted to examine individual element relationships between cases *versus* controls and variables. G-BASE and NSIS-derived element datasets were analysed separately. Each case and its two matched controls were entered into the *univariable conditional* as a set. Data from the two geochemical databases were not combined due to differences in sample type, methods of data collection, sample density and method of spatial interpolation.

The next two stages of the analysis were both involved in generating final G-BASE and NSIS-163 derived multivariable conditional logistic regression models (hereafter 'multivariable 164 conditional') based on the univariable conditional results. The complication was the 165 statistically significant correlations found between most of the elements (see Results and 166 Supplementary Table 1). The approach adopted here was to consider one variable per cluster 167 of correlated variables (where $r_s > |0.50|$) – the variable with the most statistically significant 168 univariate result and enter them into an initial *multivariable conditional* model and then employ 169 step-wise model deletion until each final multivariable conditional model was obtained. 170

Finally, due to potential concerns that results were being driven by the exact variables selected to go into the *multivariable conditional* model, a set of sensitivity type analyses were carried out. A series of *multivariable conditional* models were run where individual elements and pairs of elements were initially excluded in order to determine whether common elements remained
in the final models and whether some elements only remained in a final model if particular
element(s) were excluded.

Univariable conditional logistic regression results for both G-BASE and NSIS-derived are 177 expressed as coefficients from the models, Wald chi-square P-values and for all variables 178 (except G-BASE *Mo* and NSIS-derived *Se*), odds ratios of log₁₀ transformed data (OR) and 179 corresponding CI. For G-BASE Mo and NSIS-derived Se odds ratios of the percentage of 180 horses $>0 \text{ mg kg}^{-1}$ and $>0.22 \text{ mg kg}^{-1}$ respectively (OR [±95%CI]) were calculated. For all the 181 multivariable conditional models log likelihoods, degrees of freedom and overall P-values are 182 183 also given. To maintain the case-control structure for the NSIS-derived analysis only 246 complete sets remained in areas where the soil had been mapped. 184

A one percent level (P<0.01) was taken to indicate statistical significance throughout. All
analyses were done in R Statistical Package (version 3.0.0 [©] 2013 The R Foundation for
Statistical Computing), using '*epicalc*' (v 2.15.1) for risk factor analysis.

188

189 **Results**

190

191 Conditional logistic regression analysis with G-BASE stream sediment data

192 Univariable conditional analyses revealed a greater probability of being a case for lower 193 concentrations of sediment *Cr*, *Pb* and *Zn* (OR<0.5, Table 1; Supplementary Figure 1a) and 194 higher concentrations of *Ca*, *K* and *Ti* (OR>4.8), and cases associated with *Mo*>0 mg kg⁻¹ (87% 195 *vs.* 76%, OR=1.98), with no differences for the other elements. However, these results are 196 complicated by 14 of the possible 66 correlations (21%) between the 12 numerical element values having r_s>|0.50|, and 53 (80%) showing any statistical association (Supplementary Table
1a).

However of the variables that were statistically significant in the univariable conditional 199 analyses only 2 pairs of variables had $r_s > |0.50|$ - the positive correlations between Ca and Ti, 200 and between Pb and Zn. Of these Ti and Zn had the most significant coefficients in the 201 univariable conditional analyses and they were therefore entered into the initial stepwise 202 203 deletion *multivariable conditional* model. For the other 3 significant variables from the *univariable conditional* analysis – Cr, Ga and K – which were all correlated with each other to 204 a lesser degree $r_s > |0.15|$, K had the most significant coefficient in the *univariable conditional* 205 206 analyses and was also entered into the initial stepwise deletion multivariable conditional model. Finally, the binary measure of Mo>0 mg kg⁻¹ was added to the initial *multivariable* 207 conditional model. 208

From this initial *multivariable conditional* model, two variables remained in the final model higher *Ti* concentrations (OR=17.24) and lower *Zn* concentrations (OR=0.25) was associated with being a case (Table 2). This final *multivariable conditional* model was also observed in with a number of the sensitivity models run, and at least one of these variables was present in all the sensitivity models (Supplementary text and Supplementary Table 2a).

214 Conditional logistic regression analysis with NSIS-derived soil data

As with the G-BASE data, *univariable conditional* analyses revealed a greater probability of being a case with lower soil *Cr*, *Pb*, and *Zn* concentrations (OR<0.2) and higher concentrations of *Ti* (OR=77.2, Table 1, Supplementary Figure 1b). However, unlike the G-BASE data, relationships were also observed with lower concentrations of *Co* and *Cu* (OR<0.23) and no relationships were observed for *Ca* or *K*. There were additional elements in the soil sample data, and from these, lower concentrations of *Al*, *Cd* and *Ni* (OR<0.23) were associated with being a case as were higher concentrations of *Na* (OR=8.9). Finally, the proportion of cases (75%) that had *Se* concentrations >0.22 mg kg⁻¹ were greater than those of controls (60.6%, OR=2.52).

Similar to the G-BASE dataset, most of the NSIS-derived elements were significantly 224 correlated with each other, but the degree of correlation was even greater with 44% (N=68) -225 of these $r_s > |0.50|$ (Supplementary Table 1b), and 49 of these 68 (72%) involving pairs of 226 variables statistically significant in the univariable conditional analyses (Table 1b, 227 Supplementary Table 1b). Taking the 2 most significant coefficients from the univariable 228 conditional analyses – Ti and Cr, then of the other significant coefficients Ti was positively 229 230 correlated with Na, and negatively correlated with Cd, Cu, and Pb; and Cr was positively correlated with Al, Cd, Co, Cu, Fe, Pb, Ni, P and Zn. Therefore, Ti & Cr were added to the 231 initial multivariable conditional model. 232

From this initial *multivariable conditional* model, both variables remained in the final model higher *Ti* concentrations (OR=38) and lower *Cr* concentrations (OR=0.09) was associated with being a case (Table 2b). This final *multivariable conditional* model was also observed in with a number of the sensitivity models run, and at least one of these variables was present in all but one of the sensitivity models (Supplementary text and Supplementary Table 2b).

238

239 Discussion

This is the largest case-control study regarding the role of geochemical elements as potential risk factors for EGS. As we were unable to obtain and analyse soil samples from where the cases and controls were grazed, we adopted a broad scale approach, utilising for the first time in EGS research two large scale geochemical element databases that exist in Scotland. These two databases were employed as they conferred complementary advantages: whilst the G-BASE stream sediment dataset provides more geographically accurate values for each horse, the NSIS-derived data were for actual soil, albeit at a lower resolution. Neither dataset was detailed enough to identify particular grazing pastures at risk of EGS. Nevertheless in the absence of such data, we investigated whether general patterns in disease incidence were associated with the distribution of soil chemical elements.

250 A major complication in the analysis was considerable confounding between different elements within both databases. This is expected as geochemical relationships, which are largely 251 controlled by the parent material, often result in certain elements occurring together in higher 252 253 concentrations at the same location [25]. For example, soils developed over mafic igneous rocks tend to have higher Fe, Mg, Cr, Ni and Cu concentrations than soils developed over 254 granite rock types due to differences in the mineral composition of these rock parent materials. 255 256 This made interpretation of the results complex. However, the multivariable conditional models identified a number of elements that were associated with an EGS case. In the G-BASE 257 data, cases were associated with two elements - $\uparrow Ti$ and $\downarrow Zn$ (Table 2). Furthermore, the 258 sensitivity analyses always containing one of these 2 variables in four predominating clusters 259 of four elements - $\uparrow Ca \uparrow Ti \downarrow Zn$, $\uparrow Ca \uparrow Ti \downarrow Pb$, $\uparrow Ti \downarrow Zn$, and $\uparrow Ca \downarrow Zn$, depending on which 260 elements were included in the initial models (Supplementary Table 2a). 261

A similar situation was observed with the NSIS-derived data – cases were associated with two elements $-\uparrow Ti$ and $\downarrow Cr$ (Table 2). These two variables again dominated in the sensitivity analyses, with sensitivity analyses models containing one of these 2 variables in three predominating clusters with four elements $-\downarrow Cr\uparrow Na$, $\downarrow Cr\uparrow Ti$, and $\downarrow Ni\uparrow Ti$, again depending on which elements were in the initial models (Supplementary Table 2b). Other elements from both databases were either present in one or two particular combinations of elements or only appeared in the *univariable conditional* analyses, with EGS potentially associated with lower environmental concentrations of *Al, Cd, Co,* and *Cu* (NSIS), and higher environmental concentrations of *Ca, K, Mo* (G-BASE), and *Se* (NSIS) (Tables 1&2). The significance of these other elements in the pathophysiology of the disease is difficult to ascertain given the confounding.

Further investigation is required to determine whether these relationships represent risk factors 273 acting at horse- or soil-level; surrogate indicators of other risk factors; or simply spatial 274 coincidence unrelated to disease causality. Clarification of these associations is a pre-requisite 275 to determine whether interventions to alter concentrations of particular elements in soil, or in 276 277 grazing horses, could potentially reduce the risk of EGS. It is unlikely that the aforementioned associations represent sufficient causal complex factors for EGS because environmental levels 278 of all the elements were largely within acceptable ranges [26; 27], and were not sufficiently 279 280 high or low to cause clinical toxicity or deficiency. More likely, sub-optimal macro- and trace element status of horses grazing EGS fields represents a contributory horse-level risk factor, 281 by exacerbating the detrimental effects of other causal agents such as microbial neurotoxins. 282

In addition, for most of the elements in the two sets of clusters there is no apparent known 283 biological impact on horses. It appears biologically implausible that the association between 284 EGS and higher levels of Ti reflect horse-level risks. The association with EGS and low 285 environmental levels of Cr is difficult to interpret since, despite its important biological 286 functions, essentiality of Cr in the horse has not been determined [28]. Of the elements 287 predominating in lower-environmental-concentration clusters, Zn is perhaps the most likely to 288 289 reflect horse-level risk factors, since Zn is an essential requirement for horses and for neuronal homeostasis [29]. There was no difference in plasma Zn concentrations of EGS, healthy co-290 grazing and control horses in a previous study [13]; although the small study population may 291 292 have limited statistical power.

293 More likely, these associations between EGS and geochemical parameters could be due to interactions in the soil, for example, lower environmental concentrations of Cd, Cu, Ni and Zn 294 may reflect the low levels of these elements in sandy soils [30; 31], which are associated with 295 296 increased rate of recurrence of EGS compared with clay, chalk and other textures of soil [14]. Similarly, the association between EGS and high *Ti* may reflect the generally higher *Ti* levels 297 in clay soils [21]. As high levels of metals inhibit the growth of some soil microbes [32; 33], 298 299 including potentially causal soil micro-organisms such as C. botulinum and Fusarium spp., the effect of high or low concentrations of elements on those soil micro-organisms that have been 300 301 implicated in EGS aetiology warrants further study.

302 Of the elements out-with the main clusters, lower concentrations of Cu could be acting in a similar way as for Zn, as again, Cu is an essential requirement for horses and for neuronal 303 homeostasis [29; 34]. Consistent with potential involvement of low Cu status in EGS, healthy 304 305 horses co-grazing with EGS horses had lower plasma Cu levels than control horses grazing fields in Eire, where EGS is rare [13]. While high dietary *Mo* levels reduce the bioavailability 306 307 of Cu in ruminants, this does not appear to occur in horses [35], suggesting that the association between higher environmental Mo levels and EGS is not attributable to a detrimental effect of 308 *Mo* on *Cu* bioavailability. The complexity in association between EGS, environmental levels 309 310 of Co and other elements in the NSIS analysis makes the Co finding difficult to interpret. Associations between EGS and low environmental concentrations of Al, and Cd are, as with Ni 311 and Pb, unlikely to reflect horse-level risks because again these elements are not essential for 312 313 horses. As with Ca it appears biologically implausible that the associations between EGS and higher levels of K reflect horse-level risks. Finally, higher environmental Se levels also appears 314 unlikely to be a horse-level risk factor for EGS because many horses grazing in Scotland have 315 low systemic selenium status [12; 17], and clinical signs of Se toxicity [36; 37] are inconsistent 316 with those of EGS. As with the main elements, some of the other minor elements' associations 317

between EGS and geochemical parameters, such as associations between EGS and lower environmental levels of Cd and Cu could act at the soil-level, mirroring the low Ni/Zn levels observed, potentially reflective of sandy soils [30; 31].

Unfortunately because many factors influence the uptake and availability of elements from soils into plants and animals including pH, redox, organic matter, soil wetness and plant and animal species [38], the associations between EGS and environmental levels of particular elements do not necessarily imply parallel associations between EGS and *in vivo* concentrations of these elements. Consequently, there is a requirement for further evaluation of the macro- and trace element status of horses grazing EGS and control fields, using larger numbers of horses than previous studies.

328 Limitations regarding the horse dataset were discussed in Wylie et al. [18]. Data analysis used spatial referencing on the postcode of the horses' premises. In some cases matching postcodes 329 may have reflected matching premises, and this will have had the effect of reducing the power 330 331 of the study to detect association between cases and geochemical processes. Given that the geochemical data were derived from relatively sparse sampling frames and spatially 332 extrapolated, there is a lack of precision in these data; therefore, having the exact location 333 would improve future work. Differences between the two datasets may result from possible 334 geological association between different clusters, different spatial resolution of the data sets, 335 GBASE acting just as a proxy measure for actual soil chemistry and/or the lack of data for the 336 250 cases/controls in the NSIS dataset. The G-BASE data were based on interpolated point 337 source information, whereas NSIS data were used to provide median element concentrations 338 over Soil Associations. The averaging process and differing sample types, collection and 339 analytical methods account for the generally higher levels of elements in sediments than soils 340 (Table 1). The G-BASE stream sediment data are a broad proxy for soils only, but have the 341 342 advantage that values could be assigned more accurately to the geographic location of each horse. A limitation in the NSIS-derived dataset was that the same Soil Association median
values were distributed across different parts of the country complicating the analysis and
degree of precision with regards to the results.

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Nevertheless, these are the two most comprehensive soil geochemical databases available and in the absence of data from the fields where the horses were grazing prior to arrival at the RDSVS, they allow an initial evaluation of the possible relationship between soil geochemistry and the factors that influence a disease where the aetiology remains unexplained.

351 The approach taken in this study has been to utilise relative simple analyses to see what broad level results were found. Clearly further complex evaluation of environmental geochemical 352 risk factors for EGS, perhaps utilising data with a higher resolution and more comprehensive 353 354 derivation could be carried out, but this would require the use of more advanced epidemiological techniques such as additive Bayesian graphical networks (e.g. [39]), 355 geostatistical model-based interpolation (e.g. [40]) or generalised linear or point process 356 spatial models (e.g. [41]). Given the scope of the study and the lack of precision of some of 357 the geochemical variables and exact locations of horses with regard to premises these 358 359 approaches were not adopted for the current study.

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361

362 **Conclusions**

Although the two geochemical datasets used in this study are the most comprehensive available for Scotland, their resolution was not sufficiently detailed to facilitate identification of specific fields that are at risk of EGS. However, across the East of Scotland in general, statistically significant associations were identified between the occurrence of EGS and clusters of

combinations of elements ($\uparrow Ti \downarrow Zn$ and $\uparrow Ti \downarrow Cr$) in stream sediment and soil. The relationships 367 were complicated by the correlations between most variables with some elements associated 368 with a case if other elements excluded from analyses. Further work is required to determine 369 whether the associations reflect disease causality, and consequently whether interventions to 370 alter concentrations of particular elements in soil, or in grazing horses, could potentially reduce 371 the risk of EGS. Given that the associations identified appear more likely to reflect soil- than 372 horse-related risk factors, further investigation into the effect of soil element concentrations on 373 374 the growth of those soil micro-organisms implicated in EGS aetiology is warranted.

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516 Table 1a. Summary of the G-BASE (455 cases and 910 controls) and NSIS-derived (246 cases and 492 controls) geochemical parameters. G-

517 BASE data are geometric means ($\pm 95\%$ CI), except for *Molybdenum* - % > 0 mg kg⁻¹ ($\pm 95\%$ CI). NSIS-derived data are medians ($\pm IQR$), except

518 for *Selenium* – % >0.22 mg kg⁻¹ (\pm 95% CI).

	G-E	BASE	NS	SIS
Element	Control	EGS	Control	EGS
Aluminium (Al) [mg kg ⁻¹]	-	-	31160 (26140-31300)	26140 (26140-31160)
Barium (Ba) [mg kg ⁻¹]	-	-	119.8 (119.8-125.8)	120 (118.8-125.8)
Cadmium (Cd) [mg kg ⁻¹]	-	-	1.3 (0.7-1.4)	0.7 (0.7-1.4)
Calcium (Ca) [mg kg ⁻¹]	7794 (7579-8080)	9224 (8795-9653)	2772 (2464-2772)	2466 (2464-2772)
Chromium (Cr) [mg kg ⁻¹]	144.9 (140.7-149.2)	132.4 (126.1-139.1)	41.3 (38.5-43.6)	38.5 (38.5-41.3)
Cobalt (Co) $[mg kg^{-1}]$	31.1 (30.5-31.8)	30.5 (29.5-31.4)	7.5 (6.2-8.6)	6.2 (6.2-8.6)
Copper (Cu) $[mg kg^{-1}]$	29.1 (28.2-30.1)	28.1 (26.76-29.56)	8.3 (7.1-12.15)	7.1 (7.1-12.2)
Gallium (Ga) [mg kg ⁻¹]	11.4 (11.3-11.6)	11.1 (10.8-11.4)	-	-
Iron (Fe) $[mg kg^{-1}]$	44310 (43540-45080)	44170 (42980-45430)	25650 (21830-26330)	21830 (21830-25650)
Lead (Pb) [mg kg ⁻¹]	48.8 (46.7-51.1)	42.3 (39.69-45.2)	30.65 (21.7-30.65)	21.7 (21.7-30.65)
Magnesium (Mg) [mg kg ⁻¹]	8985 (8744-9286)	9347 (8924-9708)	4272 (3320-5115)	4272 (3320-4272)
Manganese (Mn) [mg kg ⁻¹]	1705 (1628-1705)	1705 (1628-1860)	423.2 (380.9-446.3)	423.2 (380.9-438.5)
Nickel (Ni) [mg kg ⁻¹]	-	-	22.7 (16.8-22.7)	16.8 (16.8-22.7)
Phosphorous (P) [mg kg ⁻¹]	-	-	922 (770-922)	781.5 (770-922)
Potassium (K) [mg kg ⁻¹]	18177 (17845-18509)	18924 (18509-19339)	3740 (2774-4894)	4448 (2774-4894)
Sodium (Na) [mg kg ⁻¹]	-	-	620 (456.5-717)	717 (456.5-717)
Strontium (Sr) [mg kg ⁻¹]	-	-	26.9 (24-33.7)	26.9 (24-33.7)
Titanium (Ti) [mg kg ⁻¹]	6360 (6240-6480)	6960 (6780-7140)	199 (178-221)	220.5 (178-249.5)
$Zinc$ (Zn) [mg kg^{-1}]	134.1 (128.9-139.4)	111.5 (106.6-116.7)	60.8 (46.75-61.3)	46.8 (46.8-61.3)
Molybdenum (Mo) [%>0 mg kg ⁻¹]	76.40% (73.4-79.1)	86.60% (83.1-89.6)	-	-
Selenium (Se) [%>0.22 mg kg ⁻¹]	-	-	60.60% (53.7-67.1)	75.00% (66.1-82.6)

520 Table 1b. Summary of the G-BASE (455 cases and 910 controls) and NSIS-derived (246 cases and 492 controls) geochemical parameters.

521 Univariable conditional coefficients, (Coef (± standard error)), odds ratios (OR (±95% CI)) of log₁₀ transformed data - except for G-BASE Mo

522 and NSIS-derived *Se*, where it is percentage of horses. Associated Wald P-values are also given.

		G-BASE NSIS					
Element	Coef	OR	P-value	Coef	OR	P-value	
Aluminium (Al) [mg kg ⁻¹]	-	-	-	-0.318 (0.854)	0.04 (0.01-0.22)	< 0.001	
Barium (Ba) [mg kg ⁻¹]	-	-	-	-2.220 (0.896)	0.11 (0.02-0.63)	0.011	
Cadmium (Cd) [mg kg ⁻¹]	-	-	-	-1.870 (0.429)	0.15 (0.07-0.36)	< 0.001	
Calcium (Ca) [mg kg ⁻¹]	1.580 (0.283)	4.85 (2.78-8.44)	< 0.001	0.287 (0.688)	1.33 (0.35-5.13)	0.675	
Chromium (Cr) [mg kg ⁻¹]	-0.892 (0.276)	0.41 (0.24-0.70)	0.001	-3.170 (0.787)	0.04 (0.01-0.20)	< 0.001	
Cobalt (Co) $[mg kg^{-1}]$	-0.590 (0.454)	0.55 (0.23-1.35)	0.194	-1.600 (0.556)	0.20 (0.07-0.60)	0.003	
Copper (Cu) [mg kg ⁻¹]	-0.306 (0.260)	0.74 (0.44-1.23)	0.239	-1.530 (0.491)	0.22 (0.08-0.57)	0.002	
Gallium (Ga) [mg kg ⁻¹]	-0.809 (0.485)	0.28 (0.10-0.81)	0.020	-	-	-	
Iron (Fe) $[mg kg^{-1}]$	-0.090 (0.495)	0.91 (0.35-2.41)	0.856	-2.020 (0.795)	0.13 (0.03-0.63)	0.011	
Lead (Pb) [mg kg ^{-I}]	-0.686 (0.190)	0.48 (0.33-0.71)	< 0.001	-3.140 (0.726)	0.04 (0.01-0.18)	< 0.001	
Magnesium (Mg) [mg kg ⁻¹]	0.423 (0.304)	1.53 (0.84-2.77)	0.164	-0.643 (0.561)	0.53 (0.18-1.58)	0.252	
Manganese (Mn) [mg kg ⁻¹]	0.269 (0.279)	1.31 (0.76-2.26)	0.336	-1.010 (0.770)	0.37 (0.08-1.65)	0.191	
Nickel (Ni) [mg kg ⁻¹]	-	-	-	-2.010 (0.453)	0.13 (0.06-0.33)	< 0.001	
Phosphorous (P) [mg kg ⁻¹]	-	-	-	-2.130 (0.929)	0.12 (0.02-0.73)	0.022	
Potassium (K) [mg kg ⁻¹]	1.730 (0.556)	5.63 (1.89-16.74)	0.002	-0.420 (0.497)	0.66 (0.25-1.74)	0.398	
Sodium (Na) [mg kg ⁻¹]	-	-	-	2.180 (0.763)	8.85 (1.99-39.46)	0.004	
Strontium (Sr) [mg kg ⁻¹]	-	-	-	-1.980 (1.020)	0.14 (0.02-1.02)	0.053	
Titanium (Ti) [mg kg ⁻¹]	2.940 (0.518)	18.87 (6.84-52.08)	< 0.001	4.350 (0.988)	77.15 (10.91-545.84)	< 0.001	
$Zinc$ (Zn) [$mg kg^{-1}$]	-1.430 (0.274)	0.24 (0.14-0.41)	< 0.001	-2.350 (0.718)	0.10 (0.02-0.39)	0.001	
Molybdenum (Mo) [%>0 mg kg ⁻¹]	0.683 (0.159)	1.98 (1.45-2.70)	< 0.001	-	-	-	
Selenium (Se) [%>0.22 mg kg ⁻¹]	-	-	-	0.925 (0.343)	2.52 (1.29-4.94)	0.007	

524 <u>Table 2.</u> Summary of the *multivariable conditional* model analyses carried out for the (a) G-BASE and (b) NSIS-derived elements. Here

variables were inserted into the initial step-wise deletion final model by considering the variables that were statistically significant in Table 1

526 (except Se and Co for the NSIS data) and taking one variable per cluster of correlated variables outlined in Supplementary Table 1. OR = odds

527 ratio (±95% CI), P-value – Wald's P-value for individual terms.

Initial model	Final model	Likelihood ratio (LR) test	OR	P-value
(a) G-BASE				
K + Ti + Zn + Mo	$\frac{\log_{10} Ti}{+\log_{10} Zn}$	LR=64.4, df=2, P<0.001	17.28 (6.39-46.71) 0.24 (0.14-0.41)	<0.001 <0.001
(a) NSIS-derived				
Cr + Ti	$\log_{10} Cr$ + $\log_{10} Ti$	LR=31.2, df=2, P<0.001	0.09 (0.02-0.38) 37.99 (5.36-269.43)	<0.001 <0.001

528

530	Figure 1Distribution of (a) cases of EGS and (b) controls across Scotland. (c) an interpolated
531	map of Cu concentrations from the BGS G-BASE stream sediment dataset; (d) Major Soil
532	Associations. Map source for (a) and (b): 2001 Census Output Area Boundaries. Crown
533	copyright 2003. Crown copyright material is reproduced with the permission of the Controller
534	of HMSO.
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540	Supplementary Figure 1. Distribution plots of the (a) G-BASE and (b) NSIS-derived
541	geochemical elements sub-divided into whether location is associated with a control or a case
542	horse. Width of each distribution is proportional to the most common value for that element
543	within controls or cases. Horizontal bars black (controls) and white (cases) are the median
544	value for that element.

545 Supplementary Table 1 – Spearman rank correlation coefficients of association (r_s) between (a) G-BASE variables for the 455 case-control sets;

- 546 (b) NSIS-derived variables for the 246 case-control sets where complete NSIS-derived data were available. Numbers in *bold italic* have P<0.01 &
- 547 those in red $r_s > |0.5|$.

(a)	Cr	Со	Cu	Ga	Fe	Pb	Mg	Mn	K	Ti	Zn
Ca	-0.302	0.047	0.195	-0.123	0.205	0.070	0.323	0.092	0.062	0.582	-0.073
Cr		0.053	0.066	-0.047	0.062	0.088	0.192	-0.021	-0.259	-0.137	0.131
Со			0.548	0.499	0.728	0.438	0.054	0.614	-0.362	0.161	0.584
Си				0.245	0.508	0.653	0.047	0.155	-0.311	0.269	0.581
Ga					0.443	0.214	0.278	0.282	0.152	0.084	0.327
Fe						0.385	0.220	0.539	-0.284	0.373	0.488
Pb							-0.229	0.212	-0.357	0.087	0.755
Mg								0.007	0.373	0.360	-0.218
Mn									-0.181	0.160	0.331
K										0.008	-0.488
Ti											-0.045

(b)	Ba	Cd	Ca	Cr	Со	Cu	Fe	Pb	Mg	Mn	Ni	P	K	Na	Sr	Ti	Zn
Al	0.124	<i>0.713</i>	0.074	<i>0.947</i>	<i>0.783</i>	0.657	<i>0.934</i>	0.786	0.438	0.448	0.865	0.768	-0.038	-0.198	0.209	-0.319	0.718
Ba		-0.236	-0.654	0.141	-0.185	-0.015	0.044	0.365	0.376	-0.138	0.015	-0.379	<i>0.743</i>	0.047	-0.165	-0.121	0.001
Cd			0.456	<i>0.737</i>	0.930	0.870	<i>0.749</i>	<i>0.617</i>	-0.008	0.514	0.838	0.719	-0.599	-0.445	0.607	-0.523	0.890
Ca				0.109	0.506	0.352	0.230	-0.110	-0.048	0.200	0.243	0.287	-0.671	0.128	0.400	0.125	0.342
Cr					0.763	0.645	<i>0.861</i>	<i>0.717</i>	0.461	0.491	<i>0.929</i>	<i>0.751</i>	-0.059	-0.237	0.170	-0.377	0.706
Со						0.853	<i>0.831</i>	0.658	0.168	0.486	0.861	0.670	-0.484	-0.280	0.629	-0.387	0.892
Cu							0.700	0.681	-0.087	0.412	0.689	0.510	-0.566	-0.466	0.605	-0.509	0.961
Fe								<i>0.721</i>	0.458	0.609	0.838	0.759	-0.108	-0.041	0.235	-0.136	0.715
Pb									0.097	0.319	<i>0.691</i>	0.470	0.018	-0.455	0.400	-0.559	<i>0.774</i>
Mg										0.210	0.416	0.196	0.571	0.652	-0.337	0.421	-0.024
Mn											<i>0.593</i>	0.563	-0.184	0.016	-0.123	0.112	0.387
Ni												0.780	-0.194	-0.245	0.319	-0.391	0.776
P													-0.356	-0.266	0.102	-0.221	0.548
K														0.438	-0.529	0.290	-0.505
Na															-0.486	0.863	-0.469
Sr																-0.631	0.640
Ti																	-0.569

550 <u>Supple</u>

551

Supplementary text: Sensitivity analysis: G-BASE

As part of a sensitivity analysis of what variables were being entered into the initial model a series of additional analyses were carried out. First data from the seven elements significant in univariable analyses were entered into a *multivariable conditional* model with a final model obtained. Then additional models were run excluding either specific single or pairs of variables and final models obtained.

557 From the initial model all the seven elements higher Ca and Ti concentrations (OR>2.42) and lower Zn concentrations (OR=0.25) associated with being a case (Supplementary Table 2a). 558 Excluding any one of the other four elements from the initial multivariable conditional models 559 560 did not result in changes to the final model, and excluding Ca or Ti alone resulted in a model with the two other elements remaining (*i.e.* $\uparrow Ti \downarrow Zn / \uparrow Ca \downarrow Zn -$ Supplementary Table 2a). The 561 exception was where Zn was excluded, where a lower concentration of Pb was now associated 562 with being a case (OR=0.47, Supplementary Table 2a). Pb and Zn were associated with the 563 564 highest correlation coefficient (r_s=0.755, Supplementary Table 1a).

One of these four final *multivariable conditional* models ($\uparrow Ca \uparrow Ti \downarrow Zn$, $\uparrow Ca \uparrow Ti \downarrow Pb$, $\uparrow Ti \downarrow Zn$, 565 and $\uparrow Ca \downarrow Zn$) were obtained with all but four of the models where pairs of elements were 566 dropped (Supplementary Table 2a), and even in two of these four other models where Ca+Zn567 and Ti+Zn were dropped, they resulted in models with the other two elements *i.e.* $\uparrow Ti \downarrow Pb$ and 568 $\uparrow Ca \downarrow Pb$, respectively (results not shown). The exception to just these four elements were in 569 the final two *multivariable conditional* models where a higher percentage of Mo>0 mg kg⁻¹ 570 (OR>1.59) combined with lower Zn (if Ca and Ti excluded) or higher Ti (if Pb and Zn were 571 excluded) were associated with being a case (Supplementary Table 2a). 572

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574 <u>Sensitivity analysis: NSIS</u>

The correlations between NSIS variables resulted in even more complicated sensitivity 576 *multivariable conditional* analyses for the NSIS dataset. While $Se > 0.22 \text{ mg kg}^{-1}$ had to be 577 excluded from the sensitivity due to Cox model singularity problems; initial exploration found 578 that Co was dominating the final models, but its associated OR had changed from being 579 apparently highly protective in the univariable analyses (OR=0.2) to apparently a very big risk 580 factor (OR=222.5) in a final multivariable conditional model (results not shown). This lack of 581 confidence in the OR estimate resulted in *Co* also being excluded from the NSIS *multivariable* 582 conditional sensitivity models. 583

575

If data from the other nine elements significant in the univariable analyses were then entered 584 into a NSIS multivariable conditional sensitivity model a final model of lower Cr 585 586 concentrations (OR=0.04) and greater Na concentrations (OR=10.52) were associated with being a case (Supplementary Table 2b). Dropping any of Cd, Cu, Ni or Ti from the initial 587 multivariable conditional model resulted in no change to the final model (Supplementary Table 588 2b). However, if any of Al, Pb, Na or Zn were excluded then while Cr remained in the final 589 *multivariable conditional* model, *Na* was replaced by *Ti*, with greater concentrations associated 590 with being a case (OR=38.0, Supplementary Table 2b). In addition, if Cr was excluded, then 591 while Na again dropped out of the final model to be replaced by Ti (OR=20.2), the model now 592 included lower concentrations of Ni (OR=0.24) being associated with being a case. 593

One of these three final *multivariable conditional* models ($\downarrow Cr \uparrow Na, \downarrow Cr \uparrow Ti$, and $\downarrow Ni \uparrow Ti$) was obtained with all but five of the *multivariable conditional* models where pairs of elements were dropped from the initial model (Supplementary Table 2b). The only exceptions were if *Cr* and either *Cd*, *Cu* or *Ni* were excluded a final model consisting of lower concentrations of *Al* (OR=0.11) together with greater concentrations of *Ti* (OR=37.3) being associated with a case, and if *Ti* and either *Cr* or *Na* were excluded then the final model collapsed to just lower 600 concentrations of *Ni* (OR=0.13) being associated with being a case (Supplementary Table 2b).

601 <u>Supplementary Table 2.</u> Summary of the sensitivity *multivariable conditional* model analyses carried outs for the (a) G-BASE and (b) NSIS-

602 derived elements. Here either initial step-wise deletion final model with all the variables significant in Table 1 (except *Se* and *Co* for the NSIS

data) are shown first, or sub-set *multivariable conditional* models where one or two parameters were initially excluded. OR = odds ratio ($\pm 95\%$

604 CI), P-value – Wald's P-value for individual terms.

605

(a) G-BASE				
Initial model	Final model	Likelihood ratio (LR) test	OR	P-value
Ca + Cr + Pb + K + Ti + Zn + Mo	$ \log_{10} Ca + \log_{10} Ti + \log_{10} Zn $	LR=71.6, df=3, P<0.001	2.43 (1.26-4.68) 7.06 (2.17-23.01) 0.25 (0.14-0.43)	0.007 0.003 <0.001
Cr, K, Pb, Mo excluded (singly or as pairs)	$ \log_{10} Ca + \log_{10} Ti + \log_{10} Zn $	LR=71.6, df=3, P<0.001	2.43 (1.26-4.68) 7.06 (2.17-23.01) 0.25 (0.14-0.43)	0.007 0.003 <0.001
Ca excluded / $Ca + Cr$ or Pb or K or Mo excluded	$\frac{\log_{10} Ti}{\log_{10} Zn}$	LR=64.4, df=2, P<0.001	17.28 (6.39-46.71) 0.24 (0.14-0.41)	<0.001 <0.001
<i>Ti</i> excluded / <i>Ti</i> + <i>Cr</i> or <i>Pb</i> or <i>K</i> or <i>Mo</i> excluded	$\frac{\log_{10} Ca}{+\log_{10} Zn}$	LR=60.8, df=2, P<0.001	4.43 (2.55-7.69) 0.26 (0.15-0.44)	<0.001 <0.001
Zn excluded / $Zn + Cr$ or K or Mo excluded	$\begin{array}{l} \log_{10} Ca \\ + \log_{10} Ti \\ + \log_{10} Pb \end{array}$	LR=57.8, df=3, P<0.001	2.77 (1.45-5.31) 7.02 (2.12-23.25) 0.47 (0.32-0.70)	0.002 0.001 <0.001
Ca + Ti excluded	$\frac{\log_{10} Zn}{+ \% Mo > 0}$	LR=39.4, df=2, P<0.001	0.29 (0.16-0.52) 1.60 (1.15-2.21)	<0.001 0.005
Pb + Zn excluded	log ₁₀ <i>Ti</i> + % <i>Mo</i> >0	LR=44.1, df=2, P<0.001	11.70 (4.14-33.10) 1.72 (1.24-2.38)	<0.001 0.001

(b) NSIS-derived				
Initial model	Final model	Likelihood ratio test	OR	P-value
Al + Cd + Cr + Cu + Pb + Ni + Na + Ti + Zn	$\log_{10} Cr$ + $\log_{10} Na$	LR=27.7, df=2, P<0.001	0.04 (0.01-0.19) 10 52 (2 30-48 06)	< 0.001
Cd or Cu or Ni or Ti excluded $/ Ti + Al$ or Cd or Cu or Pb or Ni or Zn $/ Cd + Cu$ or Ni $/ Cu + Ni$ excluded	$\frac{\log_{10} Na}{\log_{10} Cr}$ $+ \log_{10} Na$	LR=27.7, df=2, P<0.001	0.04 (0.01-0.19) 10.52 (2.30-48.06)	<0.001 0.002
Al or Pb or Na or Zn excluded $/Al + Cd$ or Cu or Pb or Ni or Na or Zn $/Cd + Pb$ or Na or Zn $/Cu + Pb$ or Na or Zn $/Pb + Ni$ or Na or Zn $/Ni + Ni$ or Zn $/Ni + Ni$ or Zn $/Na + Zn$ excluded	log ₁₀ Cr + log ₁₀ Ti	LR=31.2, df=2, P<0.001	0.09 (0.02-0.38) 37.99 (5.36-269.43)	<0.001 <0.001
Cr excluded / $Cr + Al$ or Pb or Na or Zn excluded	log ₁₀ Ni + log ₁₀ Ti	LR=28.5, df=2, P<0.001	0.24 (0.09-0.62) 20.2 (2.49-163.47)	0.003 0.005
Cr + Cd or Cu or Ni excluded	$\frac{\log_{10} \text{Al}}{+\log_{10} Ti}$	LR=28.3, df=2, P<0.001	0.11 (0.02-0.49) 37.31 (5.28-263.56)	0.003 <0.001
Ti + Cr or Na excluded	log ₁₀ Ni	LR=20.5, df=1, P<0.001	0.13 (0.06-0.33)	< 0.001