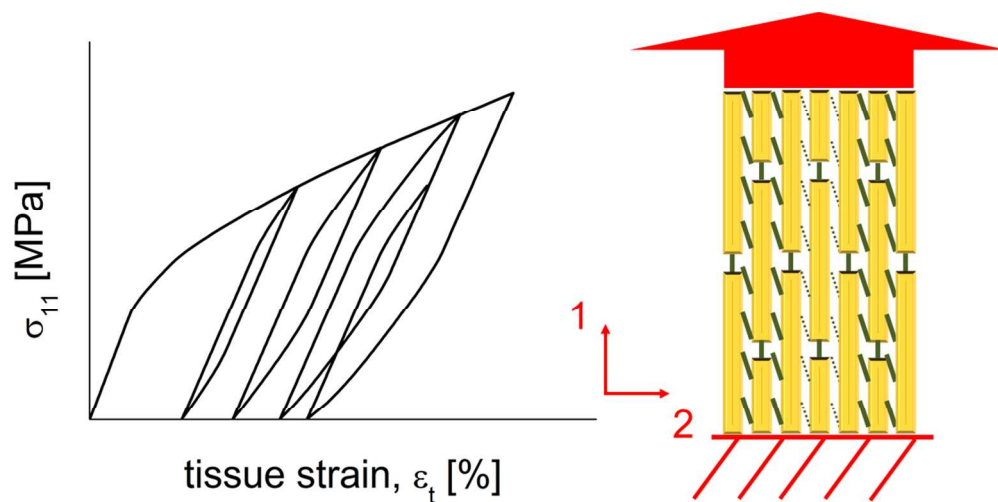


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**Staggered fibrils and damageable interfaces lead concurrently and independently to hysteretic energy absorption and inhomogeneous strain fields in cyclically loaded antler bone**

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Hysteresis at the fibrillar level in the mineralized fibrils of antler bone (left) is enabled via the staggered architecture and interfaces which can fail above critical loads (right)

334x165mm (96 x 96 DPI)

# 1 Staggered fibrils and damageable interfaces lead concurrently and 2 independently to hysteretic energy absorption and inhomogeneous 3 strain fields in cyclically loaded antler bone

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## 10 **Keywords**

11 *Antler bone, interface, nanoscale, cohesive behaviors, heterogeneity, hysteresis*

## 12 **Abstract**

13 The high toughness and work to fracture of hierarchical composites, like antler bone, involve structural  
14 mechanisms at the molecular, nano- and micro scales, which are not completely explored. A key  
15 characteristic of the high energy absorption of such materials is the large hysteresis during cyclic loading, but  
16 its origin remains unknown. *In situ* synchrotron X-ray diffraction tests during tensile loading of antler bone  
17 showed heterogeneous fibrillar deformation and hysteresis. To explain the origin of these mechanisms from  
18 the nanostructure of antler bone, here we develop a class of finite-element fibril models whose predictions  
19 are compared to experimental data across a range of potential composite architectures. We demonstrate  
20 that the key structural motif enabling a match to experimental data is an axially staggered arrangement of  
21 stiff mineralized collagen fibrils coupled with weak, damageable interfibrillar interfaces.

## 23 **1 INTRODUCTION**

24 Natural structural materials exhibit mechanical properties through complex hierarchical  
25 architectures and load-absorbing mechanisms. These architectures evolved naturally from  
26 basic building blocks thanks to a 'self-organization' strategy during growth<sup>1</sup>. In fact,  
27 biological structures adapt, change function during growth, renew their material and build  
28 hierarchies<sup>2</sup>. The macroscopic behaviour of these materials depends on the interaction

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3 29 between structural properties at different scales<sup>3</sup>. Bio-composites, such as bone, shells  
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5 30 and nacre, represent an excellent example of how the design at lower hierarchical scales  
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7 31 confers higher mechanical properties than the single constituents<sup>4</sup>. Although the stiffness  
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9 32 of these biocomposites is comparable to that of the basic constituent at the nanoscale,  
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11 33 their toughness results hugely increased. For instance, in bone and shell, the toughness of  
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13 34 the mineral constituents is  $\ll 1\text{MPa}\cdot\text{m}^{1/2}$  while the toughness of their macrostructure  
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15 35 varies, respectively, in a range of 2 - 7  $\text{MPa}\cdot\text{m}^{1/2}$  and 3 - 7  $\text{MPa}\cdot\text{m}^{1/2}$ .  
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21 37 Bone, as shown in **Figure 1**, at the nanometre scale length is a composite of stiff inorganic  
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23 38 hydroxyapatite platelets interleaved with a softer organic matrix, made principally of type I  
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25 39 tropocollagen proteins<sup>5</sup>. This sub-structure, together with an intrafibrillar phase of  
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27 40 noncollageneous proteins and mineral, forms mineralized fibrils that are arranged into  
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29 41 aggregate structures at higher levels and larger length scales, such as fibril arrays and  
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31 42 lamellae<sup>1</sup>. The structural aspects of this architecture served as inspiration for bioinspired  
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33 43 materials that replicate the nanometre scale fibril-matrix<sup>6-10</sup> and intrafibrillar<sup>11</sup> structure, or  
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35 44 at micrometre scales<sup>12,13</sup>. Nonetheless, the mechanical interactions between the  
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37 45 constituent units and the higher length scales remain a matter of active research. In  
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39 46 particular, previous studies focused on how the hierarchical architecture brings functionally  
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41 47 desirable properties such as high toughness<sup>14</sup>, energy absorption and fatigue resistance<sup>15</sup>.  
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49 At the range of 1 – 100  $\mu\text{m}$ , accepted and validated toughness mechanisms are crack  
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51 50 deflection and bridging<sup>16</sup>, and constrained microcracking<sup>17</sup>. The nanoscale structure is  
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53 51 believed to be of fundamental importance for bone toughness. However, it is both  
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55 52 challenging to investigate experimentally<sup>18</sup> as well as to explain the reasons of its  
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57 53 mechanical properties at this scale with a model. Works to date mainly focused on either  
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59 54 deformation beyond the yield point under uniaxial or localized loading<sup>18-20</sup> or on post-hoc  
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3 55 interpretation of electron microscopic images of loaded and fractured bone<sup>21-22</sup>. These  
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5 56 experimental studies led to hypothesise different toughness mechanisms<sup>23</sup>. Examples  
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7 57 include intrafibrillar plasticity<sup>20</sup>, sacrificial bonds within noncollagenous proteins<sup>22</sup>, friction  
8  
9 58 between collagen and mineral<sup>24</sup>, fibrillar sliding of mineralized collagen fibrils<sup>25</sup>, interfibrillar  
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11 59 sliding of collagen fiber arrays<sup>26</sup> and microcracking<sup>27</sup>. At these small length scales  
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14 60 relatively less clear evidence exists on the response to cyclic loading, although recent  
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16 61 experimental work has begun to shed light on this question. For example, Schwiedrzik et  
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18 62 al.<sup>28</sup> focused on compression and cyclic micro-pillar tests on lamellar bone and measured  
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20 63 axial and transverse apparent moduli and compressive strengths.  
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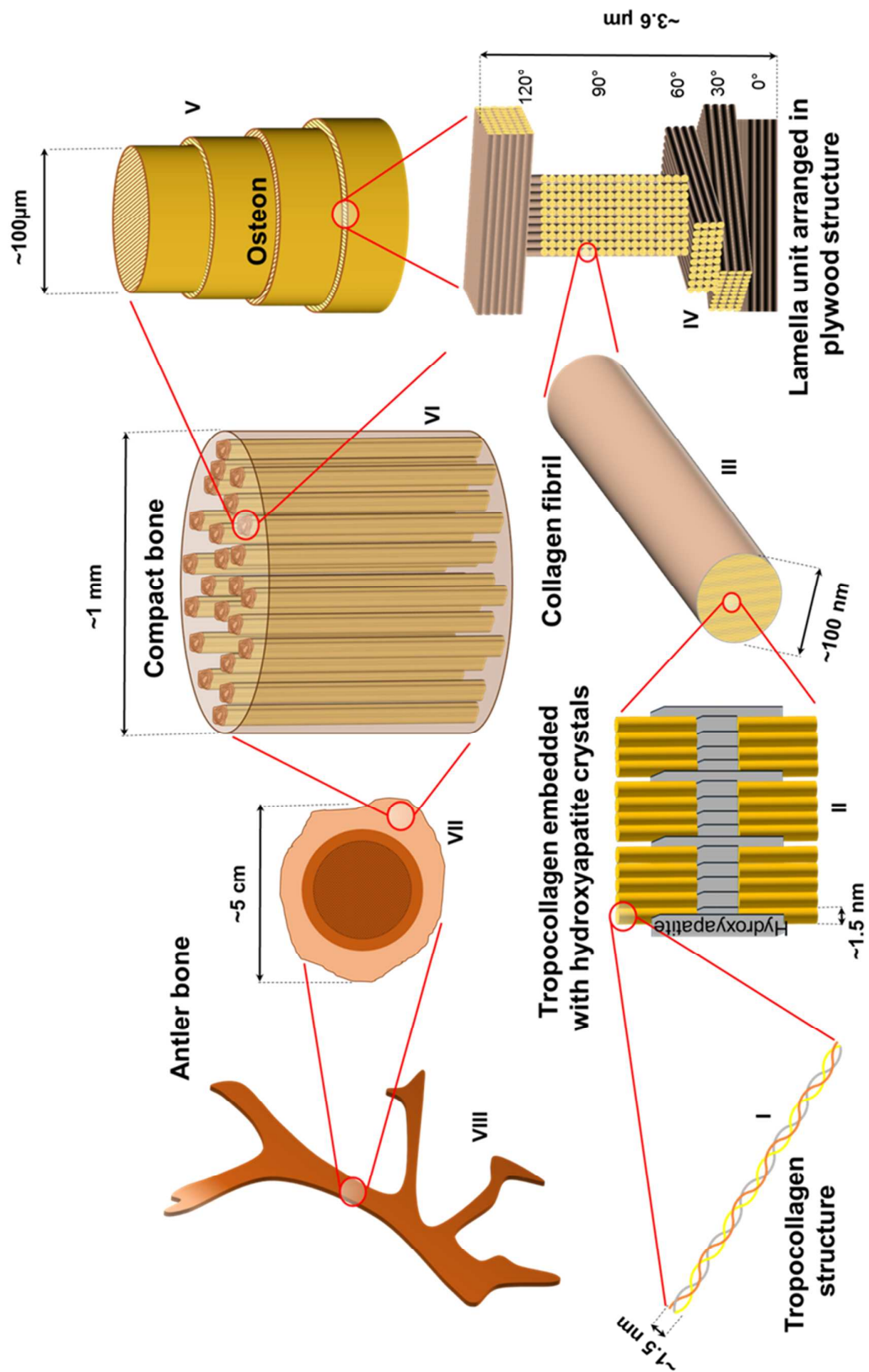
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25 65 Bone is physiologically subjected to external periodic loading that can lead to fatigue  
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27 66 failure, and high rate impact that instead can lead to fracture. It is then of considerable  
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29 67 interest to understand how the nanostructure behaves under these loading conditions.  
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31 68 Unfortunately, experimental information on the structural changes at the fibrillar and  
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33 69 interfibrillar level in these loading modes is relatively scarce. Concurrently, the link  
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35 70 between the types of fibrillar architecture and the developed cyclic inelastic response is  
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37 71 also not very clear. In this regard, a recurrent generic motif in the architecture of hard  
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39 72 biological composites is a staggered arrangement of fibrils (**Figure 2b**)<sup>29</sup>. This particular  
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41 73 arrangement plays a key role in energy dissipation through sliding<sup>30-31</sup> and in enhancing  
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43 74 the structural elastic properties<sup>32-33</sup>. Gupta et al.<sup>20</sup> identified elastoplastic behaviour for the  
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45 75 individual mineralized fibril under the assumption of staggered configuration of mineral  
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47 76 platelets and collagen molecules inside the fibril.  
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54 78 The role of such a staggered configuration in cyclic loading and energy absorption is  
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56 79 unexplored at the nanoscale. Recent *in situ* synchrotron SAXD/WAXD mechanical  
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58 80 loading/unloading tests on antler bone<sup>20</sup> show hysteresis in stress-strain curves at both the  
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3 81 macroscopic and the fibrillar level. These results also highlight the presence of two groups  
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5 82 of fibrils: plastically deforming fibrils, which exhibit larger deformation (which will be  
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7 83 denoted type A in what follows), and elastically deforming fibrils (denoted type B), whose  
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9 84 deformation remains at or under the strain at the material yield point. It is clear that these  
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11 85 structural mechanisms may be related, and that the fibrillar hysteresis is an important  
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13 86 component of the high work to fracture of antler, but its structural origins are far less  
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15 87 understood. *In situ* experimental probes of the type described above need to be combined  
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17 88 with ultrastructural modelling at the scale of 1-100 nm, in order to develop a deeper  
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19 89 understanding of the relevant mechanisms.  
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25 91 In this paper, we present a set of finite element simulations of the mineralized fibrils in  
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27 92 antler bone under cyclic loading whose results, when matched to experiment, give an  
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29 93 understanding of the causes of the fibrillar hysteresis. We will show that the combination of  
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31 94 a damageable interface and staggered fibrillar arrangement turns out to be capable of  
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33 95 explaining the experimentally observed hysteretic loops in loading/unloading curves. In  
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35 96 addition, a clear explanation of the biphasic fibrillar deformation mechanisms, in terms of  
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37 97 the dependence on interfacial strength and architecture, is here reported. These results  
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39 98 provide new insights of toughening mechanisms at the nanoscale in antler bone.  
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3 100 **Figure 1 - Hierarchical structure in antler bone:** *The collagen fibrils (II) are made of*  
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5 101 *tropocollagen molecule (I) and hydroxyapatite mineral. At the following hierarchical level,*  
6  
7 102 *these fibrils are wrapped in a protein-based matrix (III) forming a plywood structure: the*  
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9 103 *lamella unit (IV). This group is repeated in the osteon (V) which is part of the compact*  
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11 104 *bone (VI) and therefore of the antler bone structure (VII-VIII).*  
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## 16 106 **2 METHODS**

### 20 107 21 108 **2.1 Experimental method**

22  
23 109 The preparation description of antler bone specimens and the *in situ* mechanical tests with  
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25 110 synchrotron small angle X-ray diffraction (SAXD) are described in detail in a previous  
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27 111 paper<sup>20</sup>, and summarized here briefly. The samples were taken from the antler cortical  
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29 112 shell of a red deer (*Cervus elaphus*) near the antler-pedicle junction and tested with SAXD  
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31 113 measurements, combined with cyclic loading. While the details are available in our  
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33 114 previous papers<sup>34</sup>, they will be summarized here for completeness. **Figure 2a** shows a  
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35 115 highly simplified schematic for tensile cyclic tests on hydrated antler bone specimens  
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37 116 combined with time-resolved synchrotron SAXD measurements, where a synchrotron X-  
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39 117 ray beam impinges on the specimen, leading to a sequence of SAXD patterns acquired as  
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41 118 the sample is deformed.  
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47 120 Meridional peaks are visible in the SAXD pattern, due to the periodic electron density  
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49 121 profile arising from the axial D-stagger of the tropocollagen molecules inside the fibril  
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51 122 (D~65-67 nm for vertebrate collagenous tissues). Percentage shifts in these peak positions  
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53 123 are therefore measures of fibril strain, as reported previously for bone (e.g. Gupta et al.<sup>35</sup>,  
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55 124 Dong et al.<sup>36</sup>, Zimmermann et al.<sup>37</sup>, among others). The 3<sup>rd</sup> order meridional peak was used  
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3 125 for determination of mean fibril strain, via the relation  $D = 6\pi/q_{03}$  where  $q_{03}$  was the peak  
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5 126 position, in reciprocal space, of the meridional peak. Further, the peak width  $w_q$  was also  
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7 127 determined, which (as reported in Krauss et al.<sup>34</sup> and Gupta et al.<sup>20</sup>) provides a measure of  
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9 128 the heterogeneity of fibrillar deformation: a narrow  $w_q$  corresponds to a uniform fibrillar  
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11 129 deformation with all fibrils in the scattering volume deforming similarly, while an increasing  
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13 130  $w_q$  corresponds to an increasing heterogeneity, or dispersion, in the fibril strain distribution.  
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15 131 As the details are presented in Gupta et al.<sup>20</sup>, we note only that by tracking the stress-  
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17 132 induced increase in mean fibril strain, together with the increase in  $w_q$ , a biphasic fibrillar  
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19 133 deformation was observed, and will serve as part of the comparison of our presented  
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21 134 model to experiment.

## 22 135 **2.2 Numerical method and implementation of the model**

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24  
25 136 Parametric finite elements simulations were performed to test a seven-layer staggered  
26  
27 137 fibrillar system using Abaqus (Abaqus 6.14-1, Dassault Systemes). The two-dimensional  
28  
29 138 model is made of 2800 CPS4R finite elements (CPS4R corresponds to 4-node, reduced  
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31 139 integration with hourglass control). The plates, measuring  $10\ \mu\text{m} \times 0.2\ \mu\text{m}$ , represent the  
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33 140 fibrils (with  $200\ \text{nm}$  or  $0.2\ \mu\text{m}$  radius<sup>2</sup>) in bone and are connected through cohesive laws,  
34  
35 141 which are shown in detail in **Figure 2b**<sup>38</sup>. Interfaces link both the lateral sides of fibrils  
36  
37 142 ('mode I interface') and their bottom-up sides ('mode II interfaces') (see **Figure 3c** for  
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39 143 interfaces definition). The model (**Figure 2b**) assumes initially linear elastic behaviour  
40  
41 144 (**Equation 1**, next page) followed by the initiation and evolution of damage. In **Equation 1**,  
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43 145 the traction stress vector consists, as our models are two-dimensional, of two components  
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45 146  $t_n$  and  $t_s$ , which are, respectively, the normal and shear tractions, and  $\delta_n$  and  $\delta_s$  which  
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47 147 represent the relative displacements between the nodes on the adjacent surfaces of two  
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49 148 different fibrils. We decided to use the simplest traction-separation law, where normal ( $K_{nn}$ )  
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51 149 and tangential ( $K_{ss}$ ) stiffness are not coupled ( $K_{ns}$  and  $K_{sn}$  are null in **Equation 1**).

$$t = \begin{bmatrix} t_n \\ t_s \end{bmatrix} = \begin{bmatrix} K_{nn} & 0 \\ 0 & K_{ss} \end{bmatrix} \begin{bmatrix} \delta_n \\ \delta_s \end{bmatrix} \quad (1)$$

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151 As peak traction values for the mode I and mode II undamageable interfaces, we used  
152 generic values such as  $t_n^0 = t_s^0 = 80$  MPa. These values are never achieved among the  
153 finite elements adjacent to the interfaces and do not affect the results. For mode II  
154 damageable interfaces we adopted the values  $t_n^0 = 80$  MPa and  $t_s^0 = 0.8$  MPa;  $t_n^0$  is an  
155 arbitrary high value, never reached upon the structure, while  $t_s^0$  is the shear stress  
156 occurring when at least one point in the structure reaches yielding. We followed the  
157 hypothesis<sup>20</sup> that heterogeneity, due to progressive mode II interface damage, starts  
158 occurring in correspondence of the yielding point. We imposed this condition by choosing  
159 as shear traction peak value, the maximum shear stress, recorded in a generic point of  
160 structure, which occurs when at least one finite element reaches the yielding stress  
161 prescribed by the material model used for the simulations ( $\sigma_y \approx 46$  MPa, which is the yield  
162 point observed experimentally for antler bone in Gupta et al.<sup>20</sup>). The damage initiation  
163 values  $t_n^0$  and  $t_s^0$  were chosen such that mode I interfaces are never damageable, while  
164 the mode II interfaces could be either damageable or not. Therefore, we adopted a  
165 maximum stress criterion for the onset of damage (**Equation 2**) where damage initiates  
166 when the maximum ratio between the traction values at the interface ( $\langle t_n \rangle$ ,  $t_s$ ) and the  
167 peak values ( $t_n^0$  and  $t_s^0$ ) reaches the value of one. The symbols  $\langle \rangle$  represent the Macaulay  
168 brackets that are used to mean that a compressive traction does not initiate the damage.

$$\max \left\{ \frac{\langle t_n \rangle}{t_n^0}, \frac{t_s}{t_s^0} \right\} = 1 \quad (2)$$

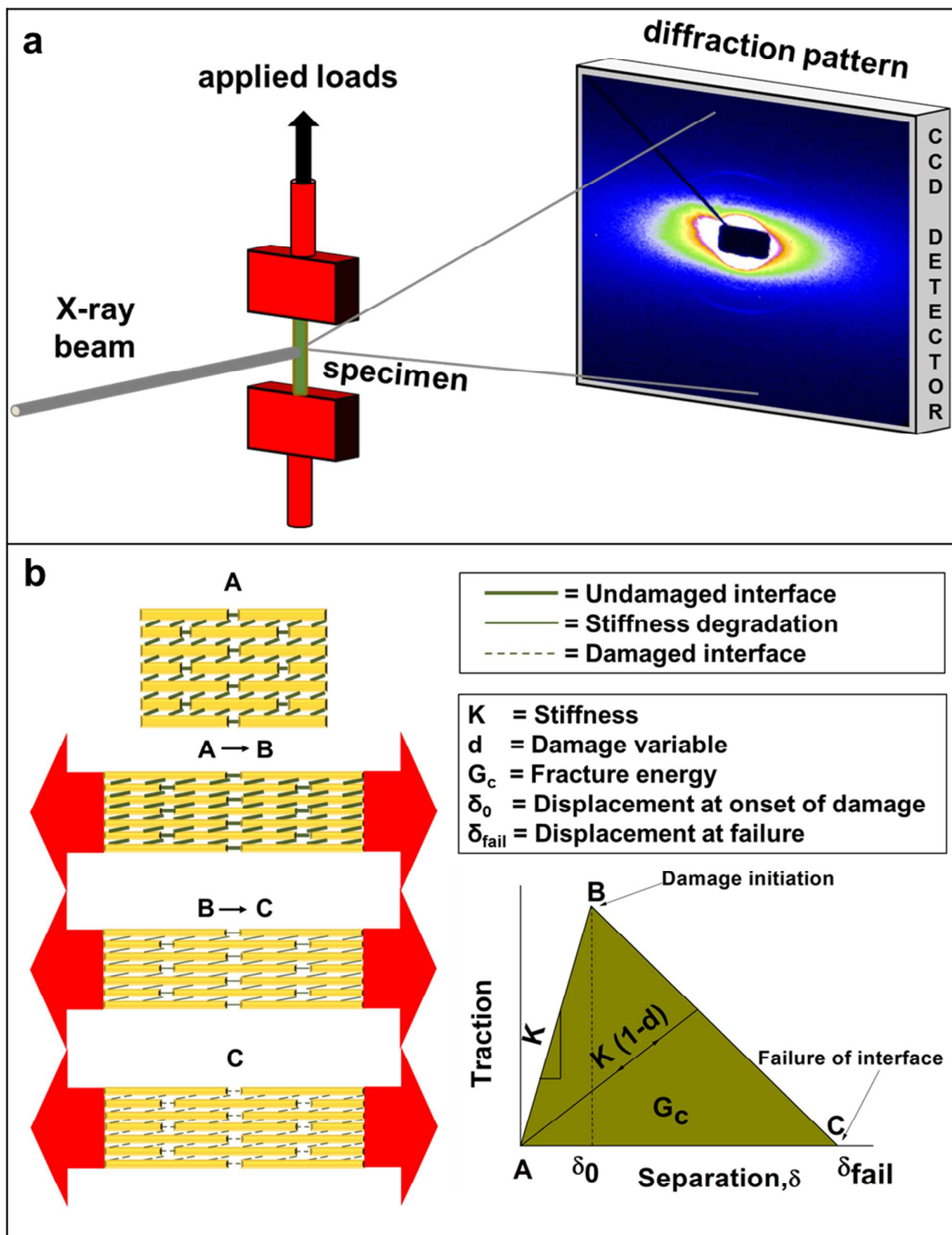
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3 171 We used, the values  $K_{nn} = K_{ss} = 100$  MPa as stiffness coefficients for both mode I and  
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5 172 mode II interfaces. The choice of  $K_{nn}$  for the mode II interface and  $K_{ss}$  for the mode I  
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7 173 interface has no effect on the results. The response of the system was then expected to be  
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9 174 mainly affected by  $K_{nn}$  for the mode I interface and by  $K_{ss}$  for the mode II one. We  
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11 175 performed parametric simulations, keeping all the parameters fixed except for  $K_{nn}$  for the  
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13 176 mode I interface and  $K_{ss}$  for the mode II interface. We varied these values between 100  
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15 177 and 300 MPa/ $\mu\text{m}$ , with a step of 100 MPa/ $\mu\text{m}$  (in total 9 simulations), and we found that  
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17 178 when  $K_{nn} = K_{ss} = 100$  MPa/ $\mu\text{m}$  the numerical maximum tissue strain matches the  
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19 179 correspondent experimental value closely. We chose these coefficients such that both the  
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21 180 numerical and the experimental systems achieve the same level of maximum tissue strain.  
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23 181 We expressed the coefficients  $K_{nn}$  and  $K_{ss}$  as  $K$  in **Figure 2b**, as the figure is  
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25 182 representative of a generic mode of fracture. The choice of  $K_{nn}$  for the mode I interface and  
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27 183  $K_{ss}$  for the mode II interface is fundamental for the obtained results; in fact it affects not  
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29 184 only the deformation of the system but also the hysteretic width of loops in stress-strain  
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31 185 curves.  
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38 187 As displacements at failure of the interfaces, we adopted the arbitrary high values 10  $\mu\text{m}$   
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40 188 and 3  $\mu\text{m}$ , respectively for the undamageable interfaces and for the damageable interface.  
41  
42 189 These levels of displacement values are never achieved by the finite elements in our  
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44 190 simulations, over the course of the stress and strain range seen experimentally, and are  
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46 191 hence selected to make sure that the damage occurs only in terms of stiffness degradation  
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48 192 and never of complete failure. Specifically, we assumed that the stresses are always  
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50 193 transferred through the interfaces. For damageable interfaces, once the damage initiates,  
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52 194 the stiffness follows the degradation law:  $K' = (1 - d) K$ , where  $d \in [0, 1]$  is the damageable  
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54 195 variable. The total dissipated energy dissipated (per unit of area) through the process of  
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56 196 damage of the interface is the area under the traction-separation curve (represented as  $G_c$   
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3 197 in **Figure 2b**). In **Table 1** we schematically summarise the values adopted for the cohesive  
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5 198 simulations.  
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200 **Figure 2 - Experimental and numerical methods: (a) experimental setup in synchrotron.**201 *Mechanical tester design for tensile testing. (b) Staggered fibril structure at the scale of 0.1*202 *- 10  $\mu\text{m}$  with cohesive surface behaviors at the interface. The interfibrillar interface is*

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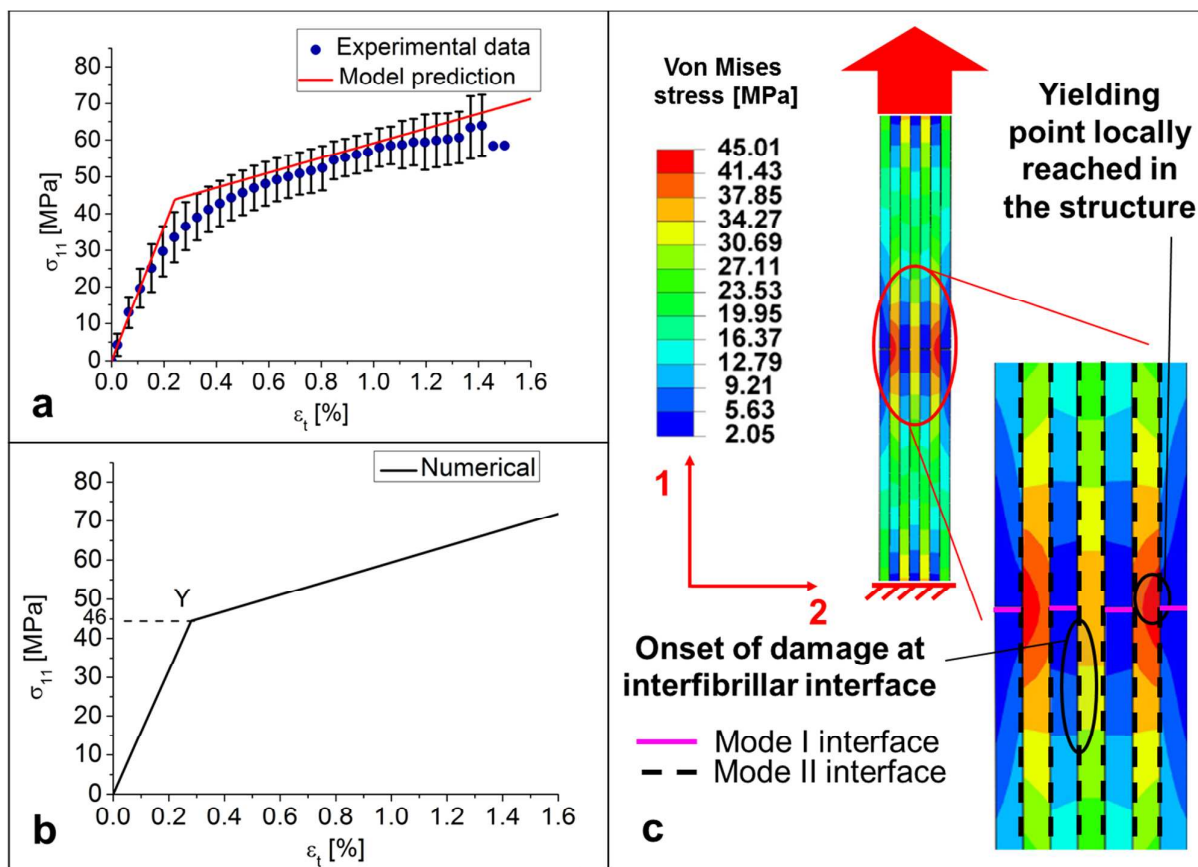
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3 203 modeled with a cohesive-traction separation law. A-B: linear stiffness; B: onset of damage;  
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5 204 B-C: damage evolution curve characterized by the stiffness degradation coefficient (1-d) K;  
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7 205 C: failure of interface.  
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	Mode I interface	Mode II undamageable interface	Mode II damageable interface
Elastic constant for fracture mode I:			
$K_{nn}$ [MPa/ $\mu\text{m}$ ]	<b>100</b>	100	100
Elastic constant for fracture mode II:			
$K_{ss}$ [MPa/ $\mu\text{m}$ ]	100	<b>100</b>	100
Peak traction for fracture mode I:			
$t_n^0$ [MPa]	<b>80</b>	80	100
Peak traction for fracture mode II:			
$t_s^0$ [MPa]	80	80	<b>0.8</b>
Separation value			
$\delta$ [ $\mu\text{m}$ ]	10	10	<b>3</b>

208 **Table 1 – Input values for cohesive models used in the FE analyses. Bold fonts indicate**  
209 **the parameters that critically affect the simulations.**  
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3 211 The material properties adopted for the fibrils follow a previous model (**Figure 3a**)<sup>20</sup>, with  
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5 212 the Young's modulus of 15.8 GPa and the yielding of 46 MPa (**Figure 3b**). In other words,  
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7 213 the elastoplastic behaviour of a single fibril, and its yield point and stiffness, are taken as a  
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9 214 given, and arise from previous experimental work (Gupta et al.<sup>20, 39</sup>). Tensile and cyclic  
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11 215 static simulations were performed to study respectively the biphasic fibrillar deformation  
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13 216 (section 3.1) and hysteretic loops in stress-strain curves. The applied loads reproduce the  
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15 217 values used for experiments by Gupta et al.<sup>20</sup>. Uniaxial traction, along the direction 1 (see  
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17 218 **Figure 3c**), was applied to the top end of the finite element models and fixed support to  
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19 219 the bottom end, while right and left sides were kept unconstrained. In detail, a traction  
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21 220 value of 60 MPa was imposed for the static tests and a sequence of different traction  
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23 221 values for the cyclic tests (43 – 0 - 50 – 0 – 56 – 0 – 60 – 0 – 43 MPa). The uniaxial tissue  
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25 222 strain was computed as ratio between the displacement of the loaded edge and the initial  
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27 223 length, not by averaging the strain field. This is because the average of the strains over the  
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29 224 structure does not account for the deformation of the cohesive interfaces. In fact, since  
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31 225 cohesive interfaces are essentially springs, small gaps appear between the fibrils (visible,  
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33 226 for example, in **Figure 4c**). These gaps are not cracks, but only representative of relative  
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35 227 displacements between fibrils.  
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230 **Figure 3 - Experimental and numerical fibril stress-strain curves:** (a) *Experimental*  
 231 *stress-strain curve for antler bone versus model prediction. Data are averaged from 10*  
 232 *uniaxial stretch-to-failure tests and bars are standard deviations. (b) Material properties*  
 233 *input for simulations. (c) Cohesive interface characterization. The interface surrounding the*  
 234 *central fibril starts damaging when at least one finite element of the whole structure*  
 235 *reaches yielding at 46 MPa (maximum stress criterion).*

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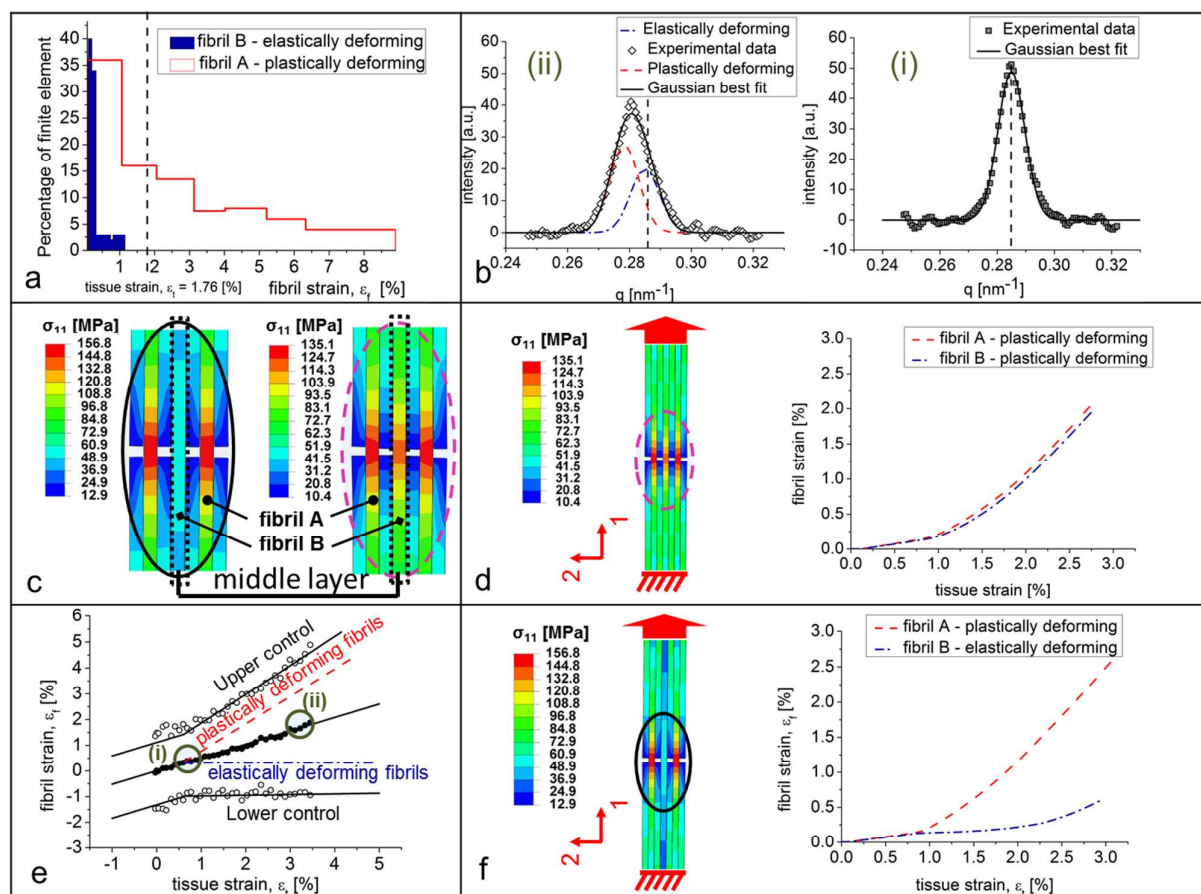
### 238 3 RESULTS

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### 3.1 Biphasic fibrillar deformations

As stated earlier, a main experimental finding in Gupta et al.<sup>20</sup> was a biphasic fibrillar deformation. Our aim was to understand the role of cohesive interfibrillar surface interfaces in staggered mineralized fibril models, in enabling this behaviour. In this regard the multi-panel **Figure 4** shows an overview comparing strain distributions between experimental data and numerical simulations. These will be explored in detail below.



246

**Figure 4 – Experimental and numerical fibrillar deformation mechanisms:** (a) Strain distribution for elastically and plastically deforming fibrils for a tissue strain value of 1.76 %.

(b) SAXD intensity plots. (i) Tissue strain at the yield value of 0.6 % (highlighted by a circle and the letter (i) in Figure c). (ii) Tissue strain at the value of 3.2 % (highlighted by a circle and the letter (ii) in Figure c).

(c) Stress distributions with and without damageable interfaces. Zoom from Figures d and f. (d) Fibril behaviors in presence of undamageable

(e) Fibril behaviors in presence of damageable interfaces. (f) Fibril behaviors in presence of damageable interfaces.

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3 253 *interfaces between fibrils. Fibril b, at the center of the middle layer, deforms plastically. (e)*  
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5 254 *Variation of mean fibril strain (filled circles) and upper and lower control lines plotted*  
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7 255 *against tissue strain. The middle solid line is the linear regression against tissue strain. (f)*  
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10 256 *Fibril behaviors in presence of damageable interface only between the middle layer and*  
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12 257 *the adjacent two layers. Fibril b, at the center of the middle layer, deforms elastically.*

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18 260 For tensile simulations, we firstly adopted a non-damageable law for both the mode I and  
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20 261 the mode II interfaces, shown previously in **Figure 3c**. The results of applied uniaxial  
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22 262 traction on the fibrils are shown in **Figure 4d**, with relative magnification in **Figure 4c**, with  
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24 263 both fibrils A and B plastically deforming, as expected. The maximum longitudinal stress  
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26 264 ( $\sigma_{11}$ ), reached in central region of the fibrils, is 135 MPa in fibril A and 119 MPa in fibril B,  
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28 265 while the averaged stresses are respectively 65 MPa and 63 MPa, beyond the yield point.  
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34 267 Secondly, we found that the introduction of damageable mode II interface around the  
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36 268 middle layer produces a differentiation of the fibril behaviors. The damage of the interface  
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38 269 around the middle layer partially 'isolates' fibril B, which is then not able to fully contribute  
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40 270 to the load absorption. While fibril A remains elastoplastic in its deformation behaviour, the  
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42 271 deformation of fibril B never exceeds the elastic range (**Figures 4c - f**). In fact, although  
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44 272 the maximum longitudinal stress ( $\sigma_{11}$ ), locally measured in a restricted region of fibril B, is  
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46 273 58 MPa (beyond the yield point), its homogenized stress is below the yield point (39 MPa).

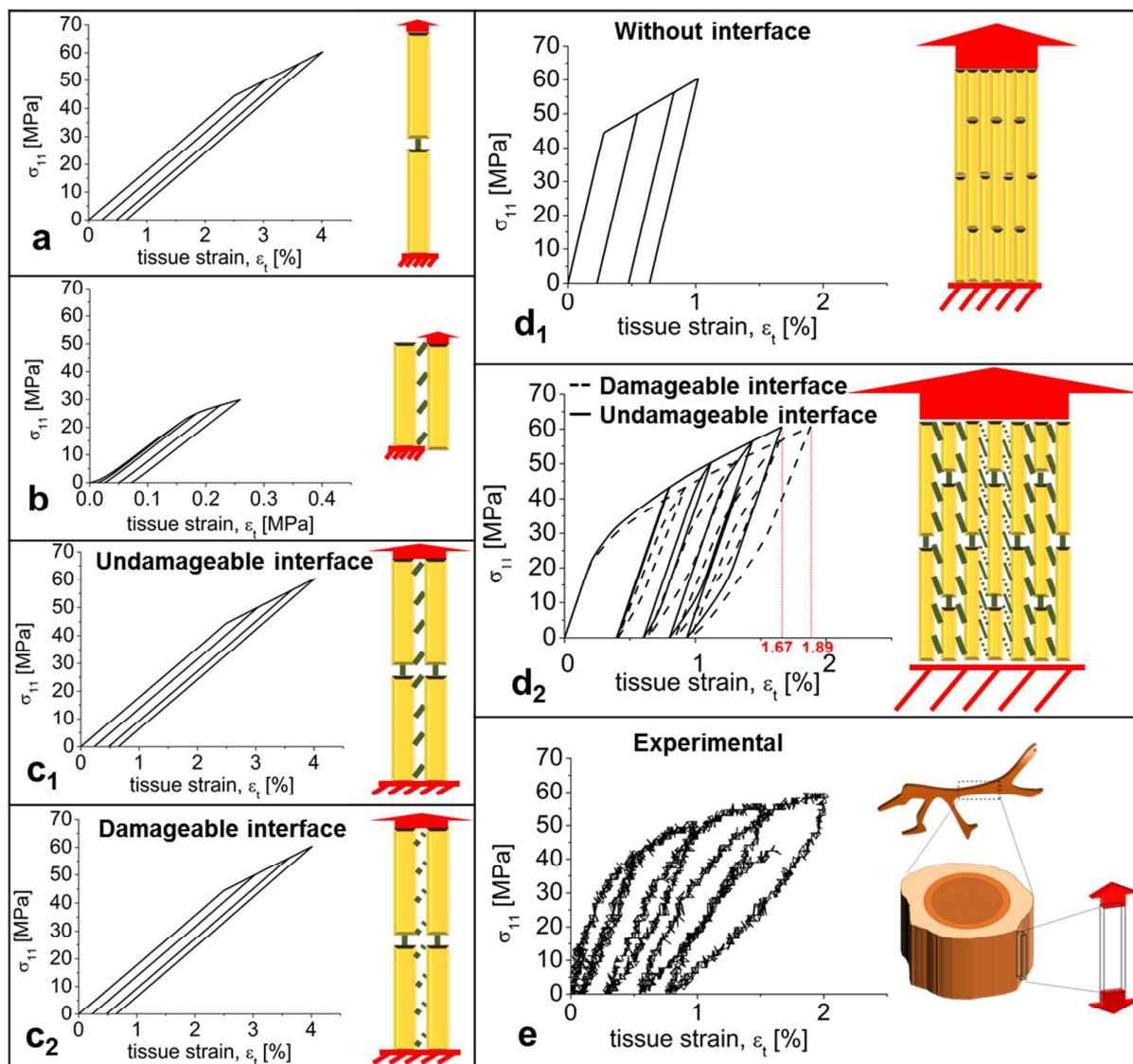
47  
48 274 The corresponding values for fibril A are respectively 157 MPa and 73 MPa. Considering a  
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50 275 particular level of macroscopic tissue strain beyond the yield point, such as  $\epsilon_t = 1.76\%$   
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52 276 (**Figure 4a**), we find that most of the load (86 %) is carried by fibril A whose finite elements  
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54 277 are able to stretch up to eight times more than the finite elements in fibril B. For this tissue  
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56 278 strain value, the largest deformation in fibril A is  $\epsilon_f = 8.9\%$  whilst in fibril B is  $\epsilon_f = 1.2\%$ . In

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3 279 addition, the average deformation of fibril A is 0.9 % while for the fibril B the equivalent  
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5 280 strain is 0.2 % (below the yield point).  
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9 282 In **Figure 4f**, curves show that numerical results are in good agreement with the  
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11 283 experimental results shown in **Figure 4e**, where a comparison between experimental  
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13 284 results and model predictions, developed by Gupta et al.<sup>20</sup>, is presented. In particular, the  
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15 285 figure includes the upper and lower control lines ( $\epsilon_{f,\pm 25\%}$ ), the best fit linear regression  
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17 286 against tissue strain (middle solid line), and the model predictions for both the elastically  
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19 287 deforming fibril (blue dash-dotted line) and the plastically deforming fibrils (red dashed  
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21 288 line). In **Figures 4f - e**, the elastic and plastic patterns are clearly observable, as also  
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23 289 demonstrated by the experimental results in **Figure 4b**, where the SAXD intensity plot  
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25 290 (**Figure 4b (i)**) shows that all the fibrils are elastic at the yielding point (tissue strain = 0.6  
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27 291 %), while for a tissue strain of 3.2 % (**Figure 4b (ii)**) the coexistence of plastic and elastic  
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29 292 fibrils occurs, with 58% of fibrils at  $\epsilon_f = 2.95$  % (plastic strain) whilst the remainder fraction  
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31 293 at  $\epsilon_f = 0.53\%$  (elastic strain).  
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295 **3.2 The role of mode II interfaces in cyclic loading**

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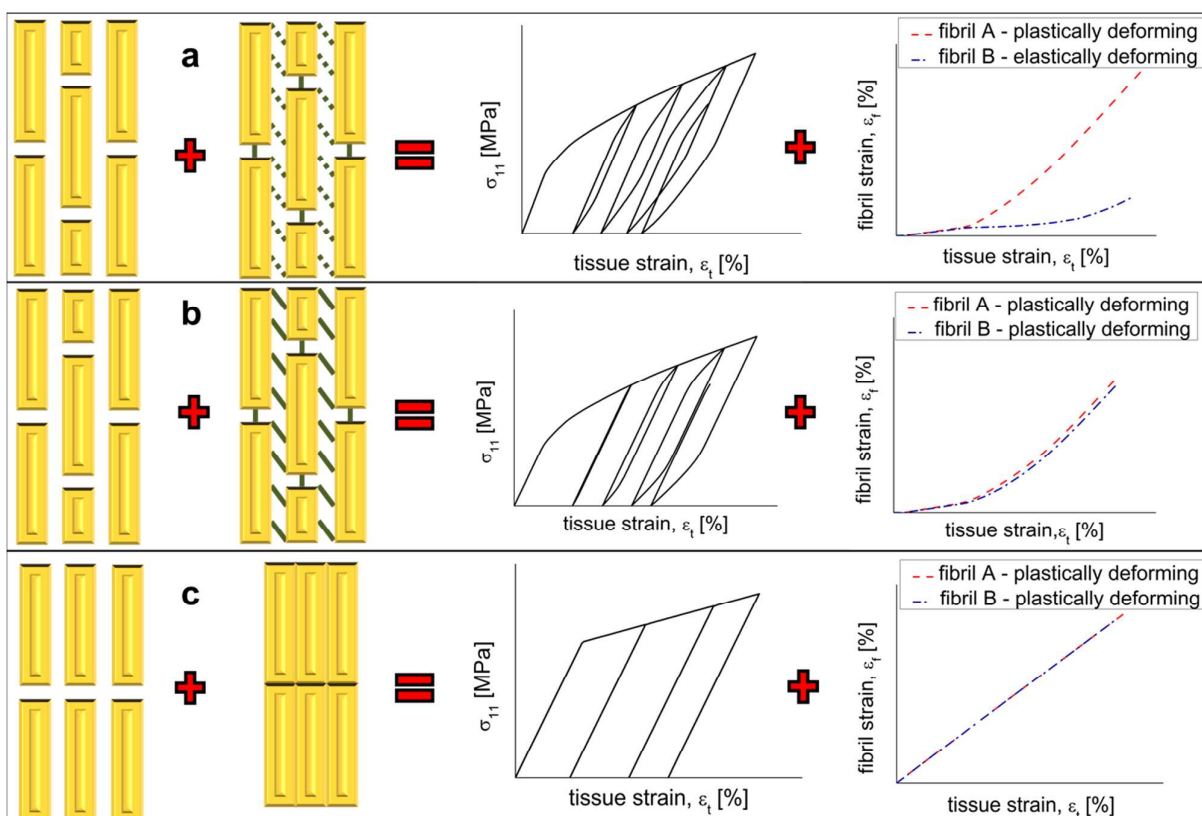
297 **Figure 5 - Cyclic loading in different fibrillar geometries: Comparisons between**  
 298 *numerical (figures a, b, c<sub>1</sub>, c<sub>2</sub>, d<sub>1</sub>, d<sub>2</sub>) and experimental results (figure e). (a) Two fibrils*  
 299 *model with 'mode I' non damageable interface. (b) Two fibrils model with 'mode II' non*  
 300 *damageable interface. (c<sub>1</sub>) Four fibrils model with 'mode I' and 'mode II' non damageable*  
 301 *interfaces, in aligned configuration. (c<sub>2</sub>) Four fibrils model with 'mode I' non damageable*  
 302 *interface and 'mode II' damageable interface, in aligned configuration. (d<sub>1</sub>) Seven layers*  
 303 *model without interfaces, in staggered configuration. (d<sub>2</sub>) Comparison between two seven*

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3 304 *layers models in staggered configuration: damageable mode II interfaces at the middle*  
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5 305 *layer versus undamageable ones. (e) Experimental results for cyclic loading on bone.*  
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9 307 A second main finding in Gupta et al.<sup>20</sup> was the existence of hysteresis at the fibrillar level.  
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11 308 The elasto-plastic behaviors of a set of different models under cyclic loading were  
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13 309 simulated to discover the combined effects of fibril lateral arrangement and architecture,  
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15 310 coupled with the interface types described in the previous subsection. We found that the  
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17 311 experimentally observed hysteresis in the cyclic loading curves occurs when staggered  
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19 312 fibril arrangement coupled with mode I and mode II cohesive surface interfaces are  
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21 313 introduced in cyclic simulations. In fact, the presence of only mode I or mode II interfaces  
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23 314 for, respectively, a system of two aligned or two-column fibrils is clearly not responsible for  
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25 315 hysteresis (**Figures 5a - b**). These effects do not arise from the limited number of fibrils  
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27 316 considered: an increase in number of fibrils from two to four, in a condition of non-overlap,  
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29 317 results in no hysteresis with both damageable and undamageable mode II interfaces  
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31 318 (**Figure 5c1 - c2**). As fibrils do not transmit load through shearing in the configurations  
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33 319 shown in **Figures 5c1 - c2**, no difference between damageable and undamageable mode  
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35 320 II interface is observed. From our set of simulations, we observed that only the  
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37 321 concurrence of staggered fibril arrangement and cohesive surface interface (not necessary  
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39 322 damageable) leads to hysteresis in loading/unloading stress strain curves (**Figure 5d2**). It  
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41 323 can be seen from **Figure 5d1** that staggered but perfectly bonded fibrils (no cohesive  
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43 324 interface) do not exhibit hysteresis and loading/unloading patterns perfectly overlap. The  
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45 325 introduction of damageable mode II interfaces makes the structure more deformable.  
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47 326 Indeed, as fibrils, in staggered configuration, transmit loading through shearing,  
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49 327 damageable and then weaker mode II interfaces allow the whole structure to deform up to  
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51 328 1.89 %, while in a condition of non-damageable interfaces the tissue strain reaches the  
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53 329 value of 1.67 % (**Figure 5d2**) at the same stress level of 60 MPa.  
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331 Numerical results for the cyclic loading curves are in very good agreement with  
 332 experimental data (comparisons in **Figures 5d2** and **5e**). Maximum tissue strains, in both  
 333 cases, are about 1.9 % and furthermore, the structural yielding points occur at tissue strain  
 334  $\approx 0.22$  % and axial stress  $\approx 25$  MPa, earlier than the corresponding prediction in the  
 335 material law<sup>20</sup> used for simulations.



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337 **Figure 6 - Summary of main results:** (a) *Staggered fibrillar configuration and*  
 338 *damageable mode II interface are responsible for hysteretic stress strain curve and*  
 339 *heterogeneous fibrillar deformation.* (b) *The inclusion of staggered configuration and*  
 340 *undamageable interface leads to hysteresis and homogeneous fibril deformation.* (c)  
 341 *Aligned fibrillar configuration and no interface lead to no hysteresis and homogeneous fibril*  
 342 *deformation.*

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3 344 This paper shows how combination of finite elements simulations at fibrillar level,  
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5 345 combined with experimentally derived information on ultrastructural plasticity of the fibril,  
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7 346 enables the development of a model for the mechanical behaviors of antler bone under  
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9 347 cyclic loading conditions which can explain both the energy dissipation (via hysteresis) as  
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11 348 well as the concurrent heterogeneous pattern at the nanoscale. In addition, as shown in  
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14 349 **Figure 6**, our parametric simulations allow us to conclude that the combination of:  
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16 350 a. staggered fibrillar configuration and damageable mode II interface leads to  
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18 351 hysteresis and fibrillar heterogeneity;  
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20 352 b. staggered fibrillar configuration and undamageable mode II interface leads to  
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22 353 hysteresis and fibrillar homogeneity;  
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24 354 c. aligned fibrillar configuration and perfectly bonded boundary conditions (without  
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26 355 cohesive behaviors) at the interfaces leads to no hysteresis and fibrillar  
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28 356 homogeneity.  
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#### 33 34 358 **4 DISCUSSION AND CONCLUSION**

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36 359 Elastic deformation in bone at the nanoscale has been extensively studied<sup>4, 32, 40-42</sup> via  
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38 360 multiscale fibre composite models that often treat bone material as a two-scale hierarchical  
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40 361 composite; mineralized fibrils are arranged in a staggered manner, and fibrils themselves  
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42 362 consist of mineral platelets staggered in a collagen matrix phase. Such models are usually  
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44 363 validated by comparing the tissue-level modulus predictions to experimentally determined  
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46 364 stiffness, though in an ideal scenario predictions of deformation and stress at multiple  
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48 365 levels would be calculated and compared to experiment.  
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54 367 In the area of structural models for inelastic and damage accumulation in bone, there are  
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56 368 no modeling attempts for the structural response of the nanoscale bone material under  
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3 369 cyclic loading. To fill this gap, here we proposed a model based on surface cohesive  
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5 370 behaviors. Our main assumptions are to neglect the material properties of the interfibrillar  
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7 371 matrix and to consider the fibrils linked by cohesive surfaces whose damage process  
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9 372 occurs in terms of stiffness degradation. Previously, cohesive behaviours were used for  
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11 373 studying the damage mechanisms of bone at different scales<sup>43-47</sup>. Our approach, on the  
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13 374 contrary, is based on cohesive stiffness representative of interfaces with negligible small  
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15 375 thicknesses. The main difference between the two approaches is that in surface-based  
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17 376 laws the damage evolution describes the degradation of the cohesive stiffness whereas in  
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19 377 the continuum-based approach<sup>43-47</sup> the damage concerns the degradation of the material  
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21 378 stiffness. The continuum model, called also cohesive zone model, can be used to analyse  
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23 379 both interface and bulk fracture. For example, Hamed and Jasiuk<sup>43</sup> created a multiscale  
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25 380 model for studying the mechanisms of damage in bone and used the cohesive zone for  
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27 381 modelling the fracture of the interface between collagen and hydroxyapatite platelets but  
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29 382 also the fracture inside the fibrillar components. They found that the mesh size of cohesive  
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31 383 elements had a significant effect on the strength of the mineralized fibrils. A recent study<sup>46</sup>  
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33 384 investigated the evolution of damage in staggered array of mineralised collagen fibrils  
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35 385 (MCF) embedded in extrafibrillar protein matrix modelled by continuum cohesive finite  
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37 386 elements. The authors found that the failure mechanisms of the extrafibrillar matrix play a  
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39 387 dominant role on the energy dissipation capacity of the system. Lin et al.<sup>47</sup> recently  
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41 388 provided evidence as to the importance of the extrafibrillar matrix, considered as  
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43 389 composite of hydroxyapatite crystals embedded in an interface modelled by cohesive finite  
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45 390 elements, in the pre-yield deformation and failure mode of bone. They found that a tough  
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47 391 interface provokes ductile deformation of matrix, as in the case of wet bone, whereas a  
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49 392 brittle interface causes brittle deformation, as in dry bone.  
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3 395 Hysteresis, at higher scales in bone, has been found in experiments, but relatively few  
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5 396 bone-specific models exist. Ascenzi et al.<sup>48</sup> tested single osteons and found hysteresis  
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7 397 loops under tension. They discovered that the collagen orientation is the main factor to  
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9 398 determine the features of hysteresis loops. In both our experimental work and in other  
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11 399 references such as Ascenzi et al.<sup>48</sup>, the width of hysteretic loops tends to increase as the  
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13 400 applied stress increases. In terms of modelling of the hysteresis loop width, the work of A.  
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15 401 G. Evans and co-workers, who carried out modelling and numerical analysis of ceramic  
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17 402 matrix composite deformation<sup>49</sup>, is relevant, although their model is applied to a different  
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19 403 class of synthetic materials. They derived expressions for which the maximum hysteresis  
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21 404 loop width depends on the Young's modulus of both, the fibrils and the matrix, the fibril  
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23 405 radius and the fibril volume fraction, but also on the stress conditions, such as the  
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25 406 maximum stress reached in the system. At lower scales an analytical model explained the  
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27 407 inelastic response of bone<sup>50</sup>, indicating stress/strain hysteresis in loading/unloading tests.  
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29 408 The authors found the shear yielding of the interface between collagen fibrils and mineral  
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31 409 platelets to be the cause of irreversible slip and then of hysteresis. Hysteresis, in our  
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33 410 staggered fibrillar models, is due to the presence of cohesive surface interfaces and in  
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35 411 detail, to zones of stress concentration. In fact, we found that, once stretched or released  
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37 412 (null surface traction applied to the structure) finite elements of the horizontally adjacent  
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39 413 fibrils (fibrils on the same layer) are under-loaded, while finite elements of the underlying  
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41 414 fibrils are over-loaded (**Figure 4c**). This mechanism results in a certain delay of the  
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43 415 structure, manifesting as hysteretic loops, necessary to reach the traction value imposed  
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45 416 at following loading or unloading steps.  
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54 418 Our second finding regards heterogeneous fibrillar deformation caused by partially  
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56 419 damageable interfaces. Heterogeneity in the behaviors of mineralized tissue was detected  
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58 420 as a mechanism contributing to energy dissipation<sup>19, 22</sup>. Mechanical properties of  
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3 421 individual mineralized collagen have been only recently analyzed thanks to the  
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5 422 development of innovative experimental techniques. While the fibrillar structure of  
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7 423 collagenous tissues was explored extensively during the last decades (for a review see <sup>39</sup>),  
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9 424 only more recently have their mechanical properties been determined experimentally<sup>18, 51</sup>.  
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11 425 Heterogeneity in fibrillar deformation were found in antler cortical tissue by Krauss et al.<sup>34</sup>  
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13 426 by using a time-resolved synchrotron small angle X-ray diffraction technique, coupled with  
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15 427 tensile testing. They found that heterogeneity in fibrillar deformations starts after the  
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17 428 macroscopic yielding. Hang and Barber<sup>18</sup> performed tensile testing on individual fibrils from  
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19 429 antler using atomic force microscopy and scanning electron microscopy. They found  
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21 430 heterogeneous deformations in fibrils showing either yield or strain hardening. The  
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23 431 structural mechanism for interfacial failure between fibrils may involve the breakage of  
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25 432 sacrificial bonds in the noncollagenous proteins found in the interfibrillar matrix<sup>22</sup>. These  
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27 433 weak calcium mediated bonds within and between proteins such as osteopontin or  
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29 434 osteonectin have been proposed to play a significant role in bone toughness<sup>52-53</sup> Recently,  
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31 435 Poundarik et al.<sup>21</sup> proposed a mechanism of clusters of extrafibrillar mineral held together  
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33 436 by non-collagenous protein glue. Under deformation, this model generates an  
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35 437 inhomogeneous strain and stress pattern at the fibrillar level. While we found, instead, that  
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37 438 damageable mode II interfaces are responsible for heterogeneous strain fields, the  
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39 439 structural origin of such damage may involve mechanisms as proposed by Poundarik et  
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41 440 al.<sup>21</sup>. In detail, fibrils surrounded by damageable interfaces behave elastically while other  
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43 441 fibrils reach higher stress values after yielding (**Figure 7a** in Appendix 1). At lower scales,  
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45 442 Buehler<sup>25</sup> found the existence of a range of adhesive energy values between molecule  
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47 443 (0.01 – 1 J/m<sup>2</sup>) which optimizes the toughening mechanisms. Following this concept of  
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49 444 optimization, a possible application of our model may be a quantitative and parametric  
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51 445 approach to evaluate the types of mechanical behaviors of interfaces which lead to  
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53 446 optimized toughness. It must be remarked that in our models, we consider damage only as  
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3 447 stiffness degradation of cohesive surfaces and not as failure and that we use a 2D model.  
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5 448 In terms of multiscale modelling of toughness, the current model will allow one to  
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7 449 homogenize the properties at the fibrillar scale and these homogenized properties could  
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9 450 be inserted into a larger-scale 3D model for the study of crack propagation and damage in  
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11 451 real antler bone.

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16 453 In conclusion, by constructing a finite element model for the inelastic cyclic loading  
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18 454 response of mineralized collagen fibrils in antler, we show that the hysteresis observed is  
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20 455 due to interfibrillar staggering leading to inhomogeneous stress fields along the fibril and  
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22 456 localized intrafibrillar plasticity, while the inhomogeneous deformation arises from the weak  
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24 457 interfaces between fibrils, potentially mediated by sacrificial bonds in the noncollagenous  
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26 458 proteins between fibrils.

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49 468 696656).

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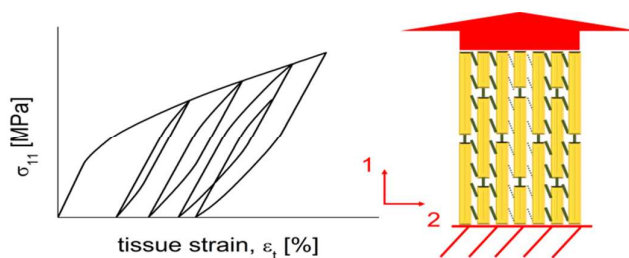
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