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RESEARCH ARTICLE

Pharmacokinetic Characteristics, Pharmacodynamic Effect and *In Vivo* Antiviral Efficacy of Liver-Targeted Interferon Alpha

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Abstract

Interferon alpha (IFNa) is used for the treatment of hepatitis B virus infection, and whilst efficacious, it is associated with multiple adverse events caused by systemic exposure to interferon. We therefore hypothesise that targeting IFN directly to the intended site of action in the liver would reduce exposure in blood and peripheral tissue and hence improve the safety and tolerability of IFNa therapy. Furthermore we investigated whether directing IFN to the reservoir of infection in the liver may improve antiviral efficacy by increasing local concentration in target organs and tissues. Our previous results show that the mIFNα2 fused to an ASGPR specific liver targeting antibody, DOM26h-196-61, results in a fusion protein which retains the activity of both fusion partners when measured in vitro. In vivo targeting of the liver by mIFNα2-DOM26h-196-61, hereafter referred to as targeted mIFNa2, was observed in microSPECT imaging studies in mice. In this study we show by pharmacokinetic analysis that antibody mediated liver-targeting results in increased uptake and exposure of targeted mIFNa2 in target tissues, and correspondingly reduced uptake and exposure in systemic circulation, clearance organs and non-target tissues. We also show that cytokine activity and antiviral activity of liver-targeted IFN is observed in vivo, but that, contrary to expectations, liver-targeting of mIFNα2 using ASGPR specific dAbs actually leads to a reduced pharmacodynamic effect in target organs and lower antiviral activity in vivo when compared to non-targeted mIFNα2-dAb fusions.

Introduction

The current standard of care for hepatitis B virus (HBV) infection is treatment with pegylated IFN alpha $[\underline{1}, \underline{2}]$. The potent anti-viral, anti-proliferative and immunomodulatory mechanisms

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Queen Mary University of London, whose work on this project was funded by GlaxoSmithKline. JM is a researcher at Utah State University. All relevant patents have been declared, and there are no products in development or marketed products to declare. This does not alter the authors' adherence to all the PLOS ONE policies on sharing data and materials, as detailed online in the guide for authors. of the type I interferons, a class of cytokines to which IFN α belongs, are well documented [3]. Whilst clearly efficacious, the systemic delivery of IFN α not only generates an anti-viral response in the liver, but also results in leukocyte activation in the blood leading to adverse responses to the therapy including cytokine release, flu-like symptoms and depression. These side-effects can be severe which leads to a significant proportion of patients discontinuing treatment [4, 5, 6].

The targeting of bioactive molecules to tissues is an attractive concept and in particular may offer multiple benefits in the treatment of HBV with IFN α . The perceived benefits are two-fold, namely increasing the local concentration of a therapeutic compound at the required site of action, potentially retaining efficacy with a reduced dose, and reducing undesired activity of a therapeutic in non-target tissues, potentially improving safety and tolerability. The application of this concept in multiple disease indications has been investigated using a wide range of methodologies, for example site-specific delivery of cytotoxic drugs for cancer therapy [7, 8], liposomal delivery of antigens in vaccine development [9] and the targeting of blood-brain barrier (BBB) receptors to facilitate transfer of biopharmaceuticals from the blood into the brain parenchyma [10].

Viral replication in HBV infection occurs predominantly in the liver. Asialoglycoprotein receptor (ASGPR) is a cell surface receptor expressed exclusively in hepatic parenchymal cells [11]. ASGPR is a C-type (calcium dependent) lectin composed of two transmembrane glycoprotein subunits, termed H1 and H2. The aglycosyl H1 and H2 subunits are approximately 35 and 33 kDa in size respectively, though purified ASGPR protein subunits are significantly larger due to post-translational modification. ASGPR mediates endocytosis of plasma glycoproteins that have exposed terminal galactose residues from which terminal sialic residues have been removed [12]. In addition, ASGPR has also been linked to the entry of HBV into hepatocytes [13]. Despite reports of potential extra hepatic expression in human kidney [14], thyroid [15] and activated T cells [16], ASGPR has been exploited in the targeting of therapeutic molecules to the liver. For example, ASGPR-targeted nanoparticles loaded with cytotoxic agents such as paclitaxel result in enhanced cell killing activity against ASGPR-positive cell lines when compared with free paclitaxel [17]. ASGPR-directed nanoparticles have also been used to deliver transgenes and antisense oligonucleotides to ASGPR-expressing primary hepatocytes and cell lines [18, 19]. In vivo radioiodinated copolymers with ASGPR binding activity accumulate in the liver following intravenous administration in rats [20]. In a study conducted by Peng et al., systemic delivery of the apoptin gene, which selectively induces apoptosis in malignant cells, linked to asialoglycoprotein resulted in specific delivery to ASGPR-positive HepG2 derived tumors xenografted in SCID mice and significant tumour regression. By contrast ASGPR-apoptin transgene conjugates were not able to induce tumour regression in non-hepatocyte derived A549 xenografted animals [21].

Compelling evidence for the potential application of ASGPR-mediated hepatic delivery in improving antiviral efficacy of type I interferons is provided in a study by Eto and Takahashi. Following enzymatic removal of terminal sialic acid residues from the N-linked oligosaccharide chain of human interferon beta (IFN β), the investigators were able to demonstrate enhanced interferon signaling activity and inhibition of viral replication in HBV transfected HepG2 cells compared to the unmodified form of the protein [22]. This enhanced antiviral activity was presumably due to ASGPR binding, as it could be partially inhibited by natural ASGPR ligands such as asialofetuin. Significantly enhanced *in vivo* antiviral efficacy of murine asialo-IFN β , compared with that of the unmodified protein, was also shown in HBV transfected BALB/c athymic nude mice.

The small size of dAbs (11–15kDa) coupled with their high affinity for their respective antigen can help preserve the activity of fusion partners, which makes their use attractive [23, 24, 25]. We have previously shown that IFN α -ASGPR dAb fusion proteins can be expressed in mammalian cells whilst retaining the *in vitro* activity of both fusion partners. Furthermore, using SPECT imaging we have shown that the fusion protein targeted mIFN α 2 specifically targets the liver *in vivo* [26]. In this study we show that targeted mIFN α 2 exposure is increased in target organs and reduced in systemic circulation and non-target tissues. Whilst targeted mIFN α 2 induces IFN-responsive gene expression and elicits antiviral effects *in vivo*, these effects are lower in comparison to non-targeted mIFN α -dAb fusion proteins, suggesting that the targeting method has more utility in reducing exposure in non-target tissues and organs than increasing efficacy as a direct consequence of increased local concentration in target tissues and organs.

Materials and Methods

Production and characterisation of mIFN α 2-dAb fusion proteins and PEGylated mIFN α 2

Fusion proteins and PEGylated mIFN α 2 were produced and characterised as described previously [26] with the following additional steps to produced PEGylated mIFN α 2; 16.75 fold molar excess of 40kDa Branched PEG NHS-ester (TOF Sunbright) was added to mIFN α 2 in PBS (Sigma Aldrich). Reaction was incubated at room temperature for 2hrs before purification using ion exchange chromatography using a 1ml Resource S column (GE Healthcare).

Conjugation of mIFNa2-dAb fusion proteins with NHS-DOTA

Fusion proteins were dialysed into 25 mM Na Acetate solution, pH 8 (Sigma Aldrich) using Maxi GeBAflex dialysis tubes with a 3.5 kDa molecular weight cut off (Gene Bio-Application Ltd.). NHS-DOTA (Macrocyclics Inc.) was then added in a 4-fold molar excess and reacted overnight at room temperature. Conjugation solutions were then applied to Protein A columns (GE Healthcare) equilibrated in Chelex 100 (Bio-Rad Ltd.) treated PBS, pH 7.4 (PAA Laboratories GmbH), washed with Chelex treated PBS and eluted in 0.5 ml fractions of 0.1 M glycine/HCl, pH 2 (Sigma Aldrich), into tubes containing ammonium acetate (final concentration/pH of fractions was 0.46 M ammonium acetate, pH 5). Conjugation efficiency and activity were determined as described previously [26].

Radiolabelling and radiochemical analysis of DOTA conjugated mIFN α 2-dAb fusion proteins

All radiolabelling was carried out 4, 3 or 2 days prior to the ¹¹¹InCl₃ reference date when the radioactivity concentration was approximately 1, 0.83 or 0.65 MBq/µl respectively. The general radiolabelling protocol was as follows; to a low protein binding 1.5 ml polypropylene tube (Nunc) was added 40–60 µl (26–50 MBq) of ¹¹¹InCl₃ (Covidien) in 0.05 M HCl (Sigma Aldrich), 8–12 µl (1/5th the volume) of 1 M ammonium acetate (Sigma Aldrich), pH 4.5–5.5 (or in the case of mIFN α 2-DOM26h-196-61, 120 µl 0.1 M MES, pH 5.1 (Sigma Aldrich)) and 12.5–92.5 µg of protein. The solution was heated to 40°C for 1.5–2.5 h and quenched with 0.1 M EDTA solution (Norwich NHS Trust) using 1/20th reaction volume. Radiochemical purity was determined using size exclusion HPLC and thin layer chromatography (TLC) analysis after which the reaction mixture was diluted with PBS or PBS/ 0.1% BSA (Sigma Aldrich) followed by filtration through a 0.22 µm filter.

Pharmacokinetic studies in CD-1 mice

All animal studies were ethically reviewed and carried out in accordance with Animals (Scientific Procedures) Act 1986 and the GlaxoSmithKline Policy on the Care, Welfare and Treatment of Animals.

All studies were conducted in accordance with the GlaxoSmithKline Policy on the Care, Welfare and Treatment of Laboratory Animals and were reviewed by the Institutional Animal Care and Use Committee either at GlaxoSmithKline or by the ethical review process at the institution where the work was performed. The Institutional Animal Care and Use Committee specifically approved this study.

Fusion proteins were administered as a single intravenous dose at 5mg/kg into a group of male CD-1 mice via the caudal vein, or as a single subcutaneous dose at 5mg/kg into a group of male CD-1 mice. At a range of time points up to 120 hours post dose. Blood from 3 mice of each group was taken as either small volume tail bleeds or as terminal bleeds following sacrifice. Blood samples were allowed to clot at ambient temperature, before samples were centrifuged at approximately 2000g for 10 minutes to prepare serum. Serum was stored frozen until required.

Analysis of mIFN α 2-dAb fusion proteins using antibody capture and detection

The concentrations of any mIFN α 2-V_H dAb fusion in mouse serum samples was determined using an MSD assay. Briefly, 96-well standard bind MSD plates (Mesoscale Discovery) were coated overnight with a rat anti-mouse IFN α mAb (R&D Systems). The following day, plates were washed with PBS/0.1% Tween-20. Wells were then blocked with assay buffer (5% BSA in PBS containing 1% tween-20).

Standard samples and study samples were added at a range of dilutions in duplicate. Samples were diluted in assay buffer containing an appropriate amount of control matrix to match matrix concentrations across the plate. The appropriate mIFN α 2-V_H dAb fusion was added to each plate as triplicate standard curves at a range of known concentrations in assay buffer containing an appropriate amount of control matrix.

After washing, bound mIFN α 2-V_H dAb fusion was detected with MSD sulfo-tagged mouse anti-V_H mAb (in-house reagent, clone M2.3G10.1G06, prepared according to MSD protocols). Plates were read on a SECTOR 6000 MSD imager.

Pharmacokinetic studies in BALB/c mice

Radiolabelled mIFN α 2-dAb fusions were administered as a single intravenous dose at 20µg/kg into the tail vein of a group of male BALB/C mice. At a range of time points up to 96 hours post dose terminal blood samples from 3 mice of each group were taken. Following sacrifice, the kidneys, liver and a suitable amount of muscle was extracted. Radioactivity levels were then quantified in all sample types using gamma counting.

Analysis of pharmacokinetic data

Final assay results were fitted in WinNonLin by NCA according to standard methods. The mean PK was plotted using Graphpad Prism version 6. Derived PK parameters were obtained from the NCA fit.

Quantitative PCR Analysis of Interferon Inducible Gene Expression

Purification of total RNA >200 nucleotides (excluding miRNA) from blood was carried out using RNeasy protect animal blood kit (Qiagen). 200 ng of RNA was arrayed in triplicate in 96

well plates on ice. Reverse transcription reactions were set up in triplicate converting using a high capacity cDNA conversion kit (Applied Biosystems) following manufacturer's instructions. On completion of cDNA conversion samples were diluted to 5ng/ul of input RNA and arrayed in 384 well plate formats at 10 ng/well and stored at frozen until required.

Liver tissue samples were placed in RNALater (Qiagen) as per manufacturer's instructions. Livers were then homogenised in Trizol (LifeTechnologies) at a ratio of 100 mg tissue per ml liquid. A 750 µl aliquot was made of each sample and stored frozen until processed.

150 μ l of chloroform was then added to thawed homogenates, before mixing for 5 minutes at room temperature. Homogenates were then centrifuged for 15 minutes before transfer of aqueous phase of the sample to ethanol to provide appropriate binding conditions. Samples were then applied to RNeasy 96 well plates (Qiagen) to allow total RNA binding. Contaminants were washed away using supplied buffers before application of DNase to allow digestion of remaining DNA. DNase was then removed by washing plates with supplied buffers. The RNeasy RNA membrane was then dried to remove any ethanol. High-quality RNA was then eluted in 70 μ l water.

 $2.5 \ \mu g$ of RNA was arrayed in triplicate in 96 well plates on ice. Reverse transcription reactions were set up in triplicate using a high capacity cDNA conversion kit (Applied Biosytems) following manufacturer's instructions. On completion of cDNA conversion samples diluted to 10 ng/ul of input RNA and arrayed in 384 well plate format at 20 ng/well and stored at frozen until required.

TaqMan reaction plates were then set up by addition of universal PCR master mix (Applied Biosystems), adding 8 µl of master mix to the 2ul of cDNA template previously plated into the 384-well plates.1 µl each primer and 2 µl of water was added per well, giving final concentration of 900nM forward primer, 900nM reverse primer and 100nM probe. Plates were cycled on an ABI7900 HT TaqMan machine (Applied Biosystems) using the following cycling conditions; 50°C for 2min, 95°C for 10 min, followed by 40 cycles of 95°C for 15sec and 60°C for 1min. All data analysis was performed in Array Studio v3.5. Data points were excluded if Ct's were greater than 35 or less than 10. Technical triplicates were assessed for variability using the following method; if the range of Ct's for a set of triplicates is greater than 1 Ct, the raw SDS traces are examined for evidence of an inefficient reaction. If a replicate is an outlier greater than 1 Ct and shows evidence of an inefficient reaction then it was excluded from the study. Ct values were converted into abundances (copies/50ng RNA for liver and /25ng RNA for blood) using genomic standards run on the same plate.

Abundances for each gene were normalised using the scores from first principal component from a principal component analysis on selected invariant genes GAPDH, ACTB and PPIB. The normalising constant was fitted in the final model as a covariate so that the data was normalised and analysed simultaneously.

Determination of antiviral efficacy in HBV transgenic mouse model

Transgenic HBV mice originally obtained from Dr. Frank Chisari (Scripps Research Institute, LaJolla, CA) were used in this study. Homozygous animals were raised in the Biosafety Level 3 area of the USU Laboratory Animal Research Center (LARC). The animals were derived from founder 1.3.32. Female and male mice 12–16 weeks old were assigned randomly to treatment groups. Animals were treated once intravenously with doses of 2, 20, and 200 μ g/kg of targeted mIFN α 2 or non-targeted mIFN α 2, with 1.2, 12, or 120 μ g/kg of PEGylated mIFN α 2, or with a sterile sodium acetate (pH 5.5) vehicle. Ten animals were included in each group. At 24 hr after injection, the mice were necropsied to obtain samples for liver HBV DNA using qPCR and Southern blot hybridization.

Liver HBV DNA was analysed by Southern blot hybridization and by real-time PCR. The procedures for preparation of liver tissue, Southern blot hybridization and PCR are described previously [27].

Results

Pharmacokinetic analysis of mIFNα2-dAb fusion proteins following intravenous administration

Following a single intravenous bolus administration of mIFN α 2-V_HD2 (hereafter referred to as non-targeted mIFN α 2) and targeted mIFN α 2 fusion proteins in male CD-1 mice, serum levels were determined using an MSD based assay. This assay format utilises capture via murine IFN α specific rabbit polyclonal antisera and detection via sulfo-tagged anti-V_H mouse monoclonal antibody and is, therefore, designed to measure the level of intact fusion protein in biological matrices.

<u>Fig. 1</u> shows the pharmacokinetic profiles of non-targeted mIFN α 2 and targeted mIFN α 2 in the serum of male CD-1 mice after a 5 mg/kg intravenous dose. <u>Table 1</u> shows a summary of the derived key parameters from non-compartmental analysis of the data. Some individual



Fig 1. Pharmacokinetic analysis of mIFN α 2-dAb fusions in serum following intravenous administration. Targeted mIFN α 2 (solid line) and non-targeted mIFN α 2 isotype control dAb (dotted line) were administered at 5mg/kg via intravenous injection. Compound levels were analysed in serum by mIFN α 2 specific antibody capture and dAb specific detection. Data shown are mean n = 3 animals. Error bars represent s.e.m. Pharmacokinetic parameters are shown in Table 1.



Molecule	T ½ (hr)	Tmax (hr)	Cmax (ng/mL)	SE of Cmax	AUC _(0-∞) (hr*ng/mL)	Vz (mL/kg)	CI (mL/kg/hr)	MRT (hr)
non-targeted mIFNα2	16.2	0.17	17,743.0	1,104.9	11,659.7	9,996.1	428.8	0.5
targeted mIFNα2	17.1	0.08	22,740.0	50.9	6,028.0	20,432.5	829.5	0.7

Table 1. Pharmacokinetics of non-targeted mIFNα2 and targeted mIFNα2 in mouse serum after a single 5 mg/kg intravenous dose.

Non-targeted mIFN α 2 had a terminal half-life of 16.2 hours, clearance of 428 mL/kg/hr, a volume of distribution of 9,996 mL/kg and an AUC_(0- ∞) of 11,660 hr*ng/mL. Targeted mIFN α 2 had a terminal half-life of 17.1 hours, clearance of 829 mL/kg/hr, a volume of distribution of 20,432 mL/kg and an AUC_(0- ∞) of 6,028 hr*ng/mL.

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animals were excluded from the plot shown and were not included in the analysis as they were suspected outliers.

Pharmacokinetic analysis of mIFNα2-dAb fusion proteins following subcutaneous administration

Following a single subcutaneous administration of non-targeted mIFN $\alpha 2$ and targeted mIFN $\alpha 2$ fusion proteins in male CD-1 mice, serum levels were determined using the MSD assay described above, in order to determine whether differences in the pharmacokinetic parameters of targeted and non-targeted mIFN $\alpha 2$ -dAb fusion proteins would be observed when using a route of administration other than intravenous injection.

<u>Fig. 2</u> shows the pharmacokinetic profiles of non-targeted mIFN α 2 and targeted mIFN α 2 in the serum of male CD-1 mice after a 5 mg/kg subcutaneous dose. <u>Table 2</u> shows a summary of the derived key PK parameters from the non-compartmental analysis of the data.

Pharmacokinetic analysis of ¹¹¹In-DOTA-non-targeted mIFN α 2 and ¹¹¹In-DOTA-targeted mIFN α 2 in blood following intravenous administration

The studies described above to determine pharmacokinetic parameters of intact fusion proteins in serum were carried out at doses much higher than the anticipated clinical dose for IFN therapy in humans. This was a requirement due to the sensitivity of the assay format. Therefore in order to investigate the kinetics of targeted mIFN α 2 and non-targeted mIFN α 2 at a more clinically relevant dose, a study was carried out to measure the concentrations of DOTA conjugated non-targeted mIFN α 2 and targeted mIFN α 2, labelled with ¹¹¹In, in whole blood. This would in all likelihood overcome the incompatibility between low dose administration of compound and the known sensitivity of the assay described in Figs. <u>1</u> and <u>2</u>. ¹¹¹In-DOTA-non-targeted mIFN α 2 and ¹¹¹In-DOTA- targeted mIFN α 2 were administered via a single intravenous injection at 20 µg/kg into the tail vein of male BALB/c mice. At a range of time points, terminal blood samples were taken and radioactivity levels quantified in a gamma counter.

<u>Fig. 3</u> shows the pharmacokinetic profiles of ¹¹¹In-DOTA-non-targeted mIFN α 2 and ¹¹¹In-DOTA-targeted mIFN α 2 in mice after a 20 µg/kg intravenous dose. <u>Table 3</u> shows a summary of the derived key parameters from the non-compartmental analysis of the data.

Pharmacokinetic analysis of ¹¹¹In-DOTA-non-targeted mIFN α 2 and ¹¹¹In-DOTA- targeted mIFN α 2 in liver following intravenous administration

In order to determine the pharmacokinetic parameters of targeted mIFN $\alpha 2$ and non-targeted mIFN $\alpha 2$ in the target organ, livers of mice in Fig. 3 were collected and radioactivity levels quantified in a gamma counter. This method, in addition to overcoming assay sensitivity issues described above, would also overcome potential variability introduced by the requirement to







extract compound prior to detection using antibody based methods. The results obtained in tissue using ¹¹¹In labelled compounds would be independent of the extraction efficiency of the processes used during the preparation of homogenate supernatants, and therefore would perhaps be a more appropriate way of investigating tissue distribution over time.

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Molecule	T ½ (hr)	Tmax (hr)	Cmax (ng/mL)	SE of Cmax	$AUC_{(0-\infty)}$ (hr*ng/mL)	Vz_F (mL/kg)	CI_F (mL/kg/hr)	MRT (hr)	F (%)
non-targeted mIFNα2	15.0	1	1,026.4	207.6	3,103.9	34,775.9	1,610.9	3.1	26.6
targeted mIFNα2	8.5	0.16	75.5	10.9	440.8	138,786.6	11,342.8	14.6	7.3

In serum targeted mIFN α 2 had a terminal half-life of 8.5 hours, Tmax of 0.17 hours, clearance (of fraction absorbed) of 11,343 mL/hr/kg, a volume of distribution (of fraction absorbed) of 138,787 mL/kg and an AUC_(0- ∞) of 440 hr*ng/mL. non-targeted mIFN α 2 had a terminal half-life of 15.0 hours, Tmax of 1 hour, clearance (of fraction absorbed) of 1,611 mL/hr/kg, a volume of distribution (of fraction absorbed) of 34,776 mL/kg and an AUC_(0- ∞) of 3,104 hr*ng/mL. Using the an AUC_(0- ∞) calculated here and from those determined in Fig. 1 (see Table 1) it was possible to determine the systemic bioavailability of each molecule. This was determined to be 7.3% for targeted mIFN α 2 and 26.6% for non-targeted mIFN α 2.





Fig 3. Pharmacokinetic analysis of ¹¹¹In-DOTA-mIFN α 2-dAb fusions in blood following intravenous administration. Targeted mIFN α 2 (blue line) and non-targeted mIFN α 2 isotype control dAb (red line) were administered at 20 μ g/kg via intravenous injection. Radioactivity levels were analysed in blood by gamma counting. Data shown are mean n = 3 animals. Error bars represent s.e.m. Pharmacokinetic parameters are shown in <u>Table 3</u>.

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<u>Fig. 4</u> shows the pharmacokinetic profiles of ¹¹¹In-DOTA-non-targeted mIFN α 2 and ¹¹¹In-DOTA- targeted mIFN α 2 in the liver of male BALB/c mice after a 20 µg/kg intravenous dose. <u>Table 4</u> shows a summary of the derived key parameters from the non-compartmental analysis of the data.

Pharmacokinetic analysis of 111 In-DOTA-non-targeted mIFN $\alpha 2$ and 111 In-DOTA-targeted mIFN $\alpha 2$ in kidney following intravenous administration

In order to determine the pharmacokinetic parameters of targeted mIFN α 2 and non-targeted mIFN α 2 in non-target organs, kidneys of mice in Fig. 3 were removed and radioactivity levels quantified in a gamma counter. As the primary route of clearance of ¹¹¹In-DOTA-mIFN α 2-

Table 3. Pharmacokinetics of ¹¹¹In-DOTA-non-targeted mIFNα2 and ¹¹¹In-DOTA- targeted mIFNα2 in mouse blood after a single 20 μg/kg intravenous dose.

Molecule	T ½ (hr)	Tmax (hr)	Cmax (ng/mL)	SE of Cmax	AUC _(0-∞) (hr*ng/mL)	Vz (mL/kg)	Cl (mL/kg/hr)	MRT (hr)	AUC _(0–12) (hr*ng/mL)	AUC ₍₀₋₂₄₎ (hr*ng/mL)
¹¹¹ In-DOTA-non-targeted mIFNα2	80.4	0.083	50.2	3.6	42.7	54,331.0	468.7	61.9	22.4	24.6
¹¹¹ In-DOTA-targeted mIFNα2	171.7	0.083	18.6	1.6	52.4	94,650.2	382.0	204.3	11.4	13.3

In blood, ¹¹¹In-DOTA-targeted mIFN α 2 had a terminal half-life of 171 hours, clearance of 382 mL/hr/kg, a volume of distribution of 94,650 mL/kg, an AUC_(0- ∞) of 52.4 hr*ng/mL, an AUC₍₀₋₁₂₎ of 11.4 hr*ng/mL and an AUC₍₀₋₂₄₎ of 13.3 hr*ng/mL. ¹¹¹In-DOTA-non-targeted mIFN α 2 had a terminal half-life of 80.4 hours, clearance of 468 mL/hr/kg, a volume of distribution of 54,331 mL/kg and an AUC_(0- ∞) of 42.7 hr*ng/mL, an AUC₍₀₋₁₂₎ of 22.4 hr*ng/mL and an AUC₍₀₋₂₄₎ of 24.6 hr*ng/mL, an AUC₍₀₋₁₂₎ of 22.4 hr*ng/mL and an AUC₍₀₋₂₄₎ of 24.6 hr*ng/mL.



Fig 4. Pharmacokinetic analysis of ¹¹¹In-DOTA-mIFN α 2-dAb fusions in liver following intravenous administration. Targeted mIFN α 2 (blue line) and non-targeted mIFN α 2 isotype control dAb (red line) were administered at 20µg/kg via intravenous injection. Radioactivity levels were analysed in liver by gamma counting. Data shown are mean n = 3 animals. Error bars represent s.e.m. Pharmacokinetic parameters are shown in <u>Table 4</u>.

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dAb fusion proteins is likely to be via the kidney, analysing this tissue as an example of non-target organ/tissue would also allow us to further investigate differences in the clearance of these two molecules *in vivo*.

<u>Fig. 5</u> shows the pharmacokinetic profiles of ¹¹¹In-DOTA-non-targeted mIFN α 2 and ¹¹¹In-DOTA-targeted mIFN α 2 in the kidney of male BALB/c mice after a 20 µg/kg intravenous dose. <u>Table 5</u> shows a summary of the derived key parameters from the non-compartmental analysis of the data.

Systemic pharmacodynamic effect of mIFNα2-dAb fusion proteins following intravenous administration

In order to determine the *in vivo* pharmacodynamic effect of targeted mIFN α 2 and non-targeted mIFN α 2 in the systemic circulation we measured by quantitative PCR analysis the

Table 4. Pharmacokinetics of ¹	¹¹ In-DOTA-non-targeted mIFNα2 and ¹	¹¹¹ In-DOTA- targeted mIFNα2 in me	ouse liver after a single 20 µg/kg
intravenous dose.			

Molecule	T ½ (hr)	Tmax (hr)	Cmax (ng/g)	SE of Cmax	AUC _(0-∞) (hr*ng/g)	AUC _(0–12) (hr*ng/g)	AUC ₍₀₋₂₄₎ (hr*ng/g)
¹¹¹ In-DOTA-non-targeted mIFNα2	92.9	12	259.1	3.3	31,945.3	2,735.8	5,333.8
¹¹¹ In-DOTA-targeted mIFNα2	67.9	2	397.6	30.1	32,474.0	4,159.0	7,592.6

In liver, ¹¹¹In-DOTA- targeted mIFN α 2 had a terminal half-life of 67.9 hours, Cmax of 397 ng/g, Tmax of 2 hours, an AUC_(0- ∞) of 32,474 hr*ng/g, an AUC₍₀₋₁₂₎ of 4,159 hr*ng/mL and an AUC₍₀₋₂₄₎ of 7,592 hr*ng/mL. ¹¹¹In-DOTA-non-targeted mIFN α 2 had a terminal half-life of 92.9 hours, Cmax of 259 ng/g, Tmax of 12 hours, an AUC_(0- ∞) of 31,945 hr*ng/g, an AUC₍₀₋₁₂₎ of 2,735 hr*ng/mL and an AUC₍₀₋₂₄₎ of 5,333 hr*ng/mL.





Fig 5. Pharmacokinetic analysis of ¹¹¹In-DOTA-mIFN α 2-dAb fusions in kidney following intravenous administration. Targeted mIFN α 2 (blue line) and non-targeted mIFN α 2 isotype control dAb (red line) were administered at 20µg/kg via intravenous injection. Radioactivity levels were analysed in kidney by gamma counting. Data shown are mean n = 3 animals. Error bars represent s.e.m. Pharmacokinetic parameters are shown in <u>Table 5</u>.

expression levels in blood of a panel of interferon inducible genes following intravenous administration of targeted mIFN α 2 and non-targeted mIFN α 2 at 2 µg/kg and 20 µg/kg. The interferon inducible genes analysed for expression were OAS1, OAS2, OAS3, ADAR, GBP1, CXCL10, IFIT1, EIF2AK2, and MX2. Only the data for EIF2AK2 are shown, but the gene expression pattern was broadly similar in the case of all interferon-inducible genes analysed.

A panel of non-inducible selected invariant genes genes was also analysed. Levels of ACTB, PPIB, and GAPDH were measured. The effect on gene expression was similar with both fusion proteins in the case of all 3 genes, though only the GAPDH analysis is shown.

Gene expression levels were also analysed in control animals administered with vehicle only.

<u>Fig. 6</u> shows that interferon inducible gene expression is observed in blood following intravenous administration of targeted mIFN α 2 and non-targeted mIFN α 2. The level of interferon

Table 5. Pharmacokinetics of ¹¹	¹ In-DOTA-non-targeted mIFNα2 and ¹	¹¹ In-DOTA- targeted mIFNα2 in	mouse kidney after a single 20 µg/kg
intravenous dose.			

Molecule	T ½ (hr)	Tmax (hr)	Cmax (ng/g)	SE of Cmax	AUC _(0-∞) (hr*ng/g)	AUC ₍₀₋₁₂₎ (hr*ng/g)	AUC ₍₀₋₂₄₎ (hr*ng/g)
¹¹¹ In-DOTA-non-targeted mIFNα2	84.1	12	736.1	11.2	91,128.6	7,776.5	15,874.4
¹¹¹ In-DOTA-targeted mIFNα2	75.4	2	327.8	16.9	34,795.3	3,551.3	6,899.6

In kidney, ¹¹¹In-DOTA-targeted mIFN α 2 had a terminal half-life of 75.4 hours, Cmax of 327 ng/g, Tmax of 2 hours, an AUC_(0- ∞) of 34,795 hr*ng/g, an AUC₍₀₋₁₂₎ of 3,551 hr*ng/mL and an AUC₍₀₋₂₄₎ of 6,899 hr*ng/mL. ¹¹¹In-DOTA-non-targeted mIFN α 2 had a terminal half-life of 84.1 hours, Cmax of 736 ng/g, Tmax of 12 hours, an AUC_(0- ∞), an AUC₍₀₋₁₂₎ of 7,776 hr*ng/mL and an AUC₍₀₋₂₄₎ of 15,874 hr*ng/mL of 91,128 hr*ng/g.





Fig 6. Analysis of IFN inducible gene expression in blood by mIFN α 2-dAb fusions. Targeted mIFN α 2 and non-targeted mIFN α 2 were administered at 2µg/kg and 20µg/kg via intravenous injection. Vehicle control was also included. Levels of invariant and IFN inducible gene expression were analysed by TaqMan. Data shown are mean n = 4 animals. Error bars represent 95% CI.

inducible gene expression is proportional to the dose of targeted mIFN α 2 and non-targeted mIFN α 2 administered, with a greater level of gene expression observed at the 20 µg/kg dose compared to the 2 µg/kg dose in the case of both fusion proteins.

Maximum levels of gene expression are observed at 1–2 hours following intravenous administration of both targeted mIFN α 2 and non-targeted mIFN α 2, though the levels of gene expression induced by non-targeted mIFN α 2 appear to be higher at all time points up to 12 hours when compared with the levels induced by targeted mIFN α 2. These data are consistent with the pharmacokinetic analysis of ¹¹¹In-DOTA-non-targeted mIFN α 2 and ¹¹¹In-DOTA-targeted mIFN α 2 described above, which shows that exposure of ¹¹¹In-DOTA-non-targeted mIFN α 2 in blood is higher compared to that of ¹¹¹In-DOTA-targeted mIFN α 2. By contrast no effect on





Fig 7. Analysis of IFN inducible gene expression in liver by mIFN α 2-dAb fusions. Targeted mIFN α 2 and non-targeted mIFN α 2 were administered at 2µg/kg and 20µg/kg via intravenous injection. Vehicle control was also included. Levels of invariant and IFN inducible gene expression were analysed by TaqMan. Data shown are mean n = 4 animals. Error bars represent 95% CI.

GAPDH expression was observed following intravenous administration of either targeted mIFN α 2 or non-targeted mIFN α 2.

Pharmacodynamic effect of mIFNα2-dAb fusion proteins in liver following intravenous administration

In order to determine the *in vivo* pharmacodynamic effect of targeted mIFN α 2 and non-targeted mIFN α 2 in the target tissue we measured the expression levels in liver of the same panel

of interferon inducible genes described above following intravenous administration of targeted mIFN α 2 and non-targeted mIFN α 2 at 2 µg/kg and 20 µg/kg. Only the data for EIF2AK2 are shown, but the gene expression pattern was broadly similar in the case of all interferon-inducible genes analysed.

The same panel of non-inducible 'house-keeping' genes was also analysed and the effect on gene expression similar with both fusion proteins in the case of all 3 genes, though only the GAPDH analysis is shown.

Gene expression levels were also analysed in control animals administered with vehicle only.

Fig. 7 shows that interferon inducible gene expression is observed in liver following intravenous administration of targeted mIFN α 2 and non-targeted mIFN α 2. The level of interferon inducible gene expression is proportional to the dose of targeted mIFN α 2 and non-targeted mIFN α 2 administered, with a greater level of gene expression observed at the 20 µg/kg dose compared to the 2 µg/kg dose in the case of both fusion proteins.

Maximum levels of gene expression are observed at 1–2 hours following intravenous administration of both targeted mIFN α 2 and non-targeted mIFN α 2, though the levels of gene expression induced by non-targeted mIFN α 2 appear to be higher at all time points up to 12 hours when compared with the levels induced by targeted mIFN α 2. This data is in contrast to the pharmacokinetic analysis of ¹¹¹In-DOTA-non-targeted mIFN α 2 and ¹¹¹In-DOTA- targeted mIFN α 2 described above, which shows that exposure of ¹¹¹In-DOTA-non-targeted mIFN α 2. No effect on GAPDH expression is observed following intravenous administration of either targeted mIFN α 2 or nontargeted mIFN α 2.

In vivo antiviral efficacy of non-targeted mIFN α 2, targeted mIFN α 2 and PEGylated mIFN α 2 in HBV transgenic mice

In order to determine the *in vivo* antiviral efficacy of targeted mIFN α 2 and non-targeted mIFN α 2, compounds were administered intravenously at 3 doses in transgenic mice, which stably express human hepatitis B virus particles. Efficacy was determined by measuring ability of mIFN α 2-dAb fusions to inhibit viral DNA replication, and a PEGylated mIFN α 2 was included as a murine version of clinically relevant compound.

All three forms of interferon; non-targeted mIFN α 2, targeted mIFN α 2 and PEGylated mIFN α 2 (mIFN α 2-PEG) were efficacious in reducing HBV DNA in livers of transgenic mice when assayed 1 day after one intravenous injection, although the non-targeted mIFN α 2 appeared to have the greatest reduction under these experimental conditions as compared to the other two forms using both Southern blot hybridization (Fig. 8, upper panel) or quantitative PCR analysis (Fig. 8, lower panel). PEGylated mIFN α 2 has the lowest efficacy of the three study compounds in this study.

Discussion

Targeting of therapeutic payloads to specific cells or tissues is an attractive concept in terms of improving the safety and efficacy of the therapeutic. We have previously shown that ASGPR specific dAbs can be fused to therapeutic payloads without affecting *in vitro* potency or affinity of either fusion partner and used to increase liver specific uptake as determined in micro-SPECT/CT studies [26]. Here, we demonstrate that domain antibodies specific to ASGPR expressed exclusively on hepatocytes can be used to modulate the pharmacokinetics of therapeutic proteins to which they are fused, but result in slightly reduced *in vivo* efficacy.



Fig 8. Antiviral efficacy of mIFN α 2-dAb fusions and PEGylated mIFN α 2 following intravenous administration. Effect of single-dose liver-targeted IFN- α on liver HBV DNA using Southern blot hybridization (upper panel) and quantitative PCR (lower panel) in HBV transgenic mice. For statistical analysis, the data were transformed to natural log for one-way analysis of variance, after which Bonferroni's comparison analysis was performed. (*P < 0.05, **P < 0.01, ***P < 0.001 compared to vehicle control values).

Following intravenous administration of a large bolus dose of mIFN α 2-dAb fusions and measurement of compound levels in serum using antibody based capture and detection methods, we observed a reduction in the AUC _(0- ∞) for targeted mIFN α 2 compared to non-targeted mIFN α 2 and an increased volume of distribution. However, in this study, half-life values were

reasonably comparable. During the initial distribution phase, targeted mIFN α 2 was found to have consistently lower concentrations in the serum than non-targeted mIFN α 2. At 12–24 hours, the serum concentrations were comparable and from 24 hours onwards, targeted mIFN α 2 serum levels became higher than non-targeted mIFN α 2. After 24 hours, the elimination of both molecules is visually comparable, as represented by their similar half-life values. These results are consistent with reduced systemic exposure as a direct consequence of liver targeting via the ASGPR dAb DOM26h-196-61. This would account for the reduced serum concentrations in the initial distribution phase, whereas the increased serum concentration, compared to the non-targeted molecule, could be ascribed to dissociation of the targeted compound following uptake in liver and redistribution in blood, a so-called 'depot' effect.

In order to determine whether the apparent differences in serum pharmacokinetics would be maintained using an alternative route of administration, we administered subcutaneous doses of mIFN α 2-dAb fusions and measured compound levels in serum using the same antibody based capture and detection methods. While some pharmacokinetic characteristics of liver targeting molecules appeared consistent with observations from the previous intravenous study, others did not. In this study, Cmax for targeted mIFN α 2 in serum was significantly lower than for non-targeted mIFN α 2, AUC_(0- ∞), for targeted mIFN α 2 was much lower than for non-targeted mIFN $\alpha 2$ and the volume of distribution, of the fraction absorbed, was apparently larger for targeted mIFN α 2. All these points were, therefore, consistent with previous findings after intravenous administration. However, the terminal half-life values were longer in this study for non-targeted mIFN α 2 than for the targeted molecule targeted mIFN α 2, which had not been observed previously. However, this may be a result of the difference in data points used to fit the data during non-compartmental analysis. Taken together we suggest that one of the major perceived benefits of liver-targeting (reduced systemic exposure as a direct consequence of liver binding) is observed with multiple routes of administration, and that this is a characteristic of the targeted molecule, rather than the route of administration.

In order to determine whether the observed differences between targeted and non-targeted mIFN α 2-dAb fusions would be observed at more 'clinically relevant' doses, we employed a more sensitive means of detection, namely gamma counting of ¹¹¹In-DOTA conjugated molecules in blood and tissues. The overall conclusion from these studies was that, once again, the pharmacokinetic characteristics of the liver targeted mIFN α 2 appeared to be different to those of the non-targeted mIFN α 2, and that generally, these were in line with what we had seen in previous studies at higher doses, and were therefore indicative of liver targeting. However, while differences were observed, it did seem as though the magnitude of the differences between non-targeted mIFN α 2 and targeted mIFN α 2 were not as great as seen previously, perhaps as a result of the lower dose used.

The key differences observed between the molecules included lower Cmax values for targeted mIFN α 2 compared to non-targeted mIFN α 2 in blood and kidney, but higher for targeted mIFN α 2 in the liver, a decrease in Tmax in the liver for targeted mIFN α 2, a longer half-life value for targeted mIFN α 2 in blood, a reduction in the AUC_(0- ∞) for targeted mIFN α 2 in the kidney compared to non-targeted mIFN α 2, and a larger volume of distribution. These findings were consistent with previous studies [26] and were a trend to expect for a molecule designed to target the liver. However, the half-life values of targeted mIFN α 2 in the tissues were found to be lower or comparable to those of non-targeted mIFN α 2, which did not fit with the results obtained in blood. In addition the AUC (0- ∞) values in blood and liver were fairly comparable between molecules, when previously we had observed larger differences. However, when partial AUC values of 0–12 hours and 0–24 hours were calculated during non-compartmental analysis, differences between targeted mIFN α 2 and non-targeted mIFN α 2 compared to non-targeted mIFN α 2 compared to non-targeted mIFN α 2 compared to non-targeted mIFN α 2 while approximately 2-fold higher in liver. The decrease in the AUC _(0- ∞) in the kidney observed with targeted mIFN α 2 implied a lower exposure to non-target tissue types, while the reduction in Tmax suggested that the loading of the liver with targeted mIFN α 2 occurs at a faster rate, presumably due to its anti-ASGPR activity.

We subsequently investigated whether reducing exposure of mIFN α 2-dAb fusions in nontarget tissues, whilst increasing it in the target organ, would result in modulation of gene expression profiles *in vivo*. By measuring expression of a panel of IFN-inducible genes by Taq-Man, we have shown that the reduced systemic exposure of targeted mIFN α 2 does indeed correlate with reduced induction of IFN-responsive expression in blood, which may have implications for modifying the safety profile of systemically administered compounds. However the same effect was observed in liver, with the targeted compound inducing lower levels of gene expression than the non-targeted compound.

Finally, we conducted a study to assess the efficacy of mIFN α 2-dAb fusions and PEGylated mIFN α 2 in reducing hepatic levels of HBV DNA. Both non-targeted mIFN α 2 and targeted mIFN α 2 show superior efficacy compared to PEGylated mIFN α 2, which would be expected based on published data showing that *in vitro* potency of interferon alpha is significantly reduced by attachment of PEG.

By directly comparing the antiviral activity of non-targeted mIFN $\alpha 2$ and targeted mIFN $\alpha 2$, the potential benefit, in terms of clinical efficacy, of targeting type-I interferons to the site of viral infection in the liver can be determined. The results of this study show that the targeted targeted mIFN $\alpha 2$ is less efficacious than the non-targeted non-targeted mIFN $\alpha 2$. This is somewhat unexpected, given the results of pharmacokinetic, biodistribution and micro SPECT/CT pre-clinical imaging studies. Given that both compounds have comparable *in vitro* potency in cell based assays an increased local concentration of interferon alpha, as observed in mice administered targeted mIFN $\alpha 2$, would be expected to result in reduced levels of HBV DNA in comparison to mice administered the non-targeted non-targeted mIFN $\alpha 2$.

The reduced efficacy of targeted mIFN α 2 compared to that of non-targeted mIFN α 2 in this study may be due to internalisation of the targeted compound following cell surface binding to ASGPR, resulting in reduced ability of targeted mIFN α 2 to bind cell surface interferon-receptors IFNAR1 and/or IFNAR2, thereby reducing the efficiency of type I interferon signalling through the JAK/STAT pathway. Alternatively, the lack of improved efficacy may be in part due to the inability of interferon alpha-ASGPR dAb fusion proteins to simultaneously engage both ASGPR and interferon receptors at the cell surface, and sequestration of targeted mIFN α 2 to the high copy number ASGPR at the cell surface may limit interaction with the interferon receptor chains IFNAR1 and/or IFNAR2, which would result in negative impact on the activation of type I interferon signalling pathways.

It should be noted, however, that despite the failure to improve the efficacy of interferon alpha in this pre-clinical model by liver-targeting via ASGPR binding, a significant reduction in the level of HBV DNA was still observed in animals injected with targeted mIFN α 2 compared to animals receiving injections of vehicle only. This result, coupled with the results of pharmacokinetic, biodistribution and pre-clinical imaging studies referred to above, demonstrates conclusively that liver-targeting of therapeutically relevant payloads using ASGPR binding dAbs can be used to develop molecules which retain some *in vivo* activity (though activity appears to be lower than that observed with the non-targeted control), but show reduced exposure in non-hepatic tissues and blood. Such molecules could have potential benefit in treating disease indications for which current therapies, although efficacious, are limited in their utility by activity in off-target tissues. The potential of the liver-targeting dAb platform for improving the *in vivo* efficacy of alternative therapeutically relevant payloads waits further investigation.

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Author Contributions

Conceived and designed the experiments: DR JS EC MD JM FK RH AS AW. Performed the experiments: DR JS EC SF FK. Analyzed the data: DR JS EC SF FK JM. Contributed reagents/materials/analysis tools: RP MO LG. Wrote the paper: AW DR MD JM RH.

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