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1 **Comparison of the Physical Characteristics of Monodisperse Non-Ionic Surfactant**
2 **Vesicles (NISV) Prepared Using Different Manufacturing Methods**

3

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10

11 **Abbreviations**

12 NISV: Non-ionic surfactant vesicles; TFH: Thin-film hydration; PBS: Phosphate buffered
13 saline; MPG: Monopalmitin glycerol; Chol: Cholesterol, DCP: Dicyetyl phosphate; AFM:
14 Atomic force microscope; PDI: Polydispersity index; ZP: Zeta potential; FRR: flow rate
15 ratios; TFR: total flow rates.

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24 **Abstract**

25 Non-ionic surfactant vesicles (NISV) are synthetic membrane vesicles formed by self-
26 assembly of a non-ionic surfactant, often in a mixture with cholesterol and a charged
27 chemical species. Different methods can be used to manufacture NISV, with the majority
28 of these requiring bulk mixing of two phases. This mixing process is time-consuming and
29 leads to the preparation of large and highly dispersed vesicles, which affects the
30 consistency of the final product and could hinder subsequent regulatory approval. In this
31 study, we have compared the physical characteristics of NISV prepared using two
32 conventional methods (thin-film hydration method and heating method) with a recently
33 introduced microfluidic method. The resulting particles from these methods were assessed
34 for their physical characteristics and in vitro cytotoxicity. Through microfluidics, nano-
35 sized NISV were prepared in seconds, through rapid and controlled mixing of two miscible
36 phases (lipids dissolved in alcohol and an aqueous medium) in a microchannel, without the
37 need of a size reduction step, as required for the conventional methods. Stability studies
38 over two months showed the particles were stable regardless of the method of preparation
39 and there were no differences in terms of EC50 on A375 and A2780 cell lines. However,
40 this work demonstrates the flexibility and ease of applying lab-on-chip microfluidics for
41 the preparation of NISV that could be used to significantly improve formulation research
42 and development, by enabling the rapid manufacture of a consistent end-product, under
43 controlled conditions.

44 **Key words:** Non-ionic surfactant vesicles, microfluidics, thin-film hydration, heating
45 method, drug delivery, cytotoxicity.

46

47 **1. Introduction**

48 Non-ionic surfactant vesicles (NISV) or “niosomes”, are synthetic bilayer vesicles
49 typically formed by the self-assembly of non-ionic surfactants [1], cholesterol and the
50 addition of a charged species. The self-assembly of non-ionic surfactants into bilayer
51 vesicles, first reported in the 1980s by a group of cosmetic researchers from L’Oréal
52 industries [2], have since been applied extensively as drug delivery systems. NISV exhibit
53 more advantages over liposomes, in terms of cost and stability, and constituent surfactants
54 have a wider range of chemistries that can be selected to provide greater potential for
55 innovation related to vesicle composition [1, 3]. Surfactants commonly employed include
56 polyoxyethylene fatty acid esters (Tweens), sorbitan fatty acid esters (Spans), alkyl ethers,
57 and alkyl glyceryl ethers (Brijs) [4], while other additives include cholesterol, which affects
58 the mechanical strength and permeability of the bilayer structure [5, 6], and charged
59 molecules such as dicetyl phosphate (negative) and stearylamine (positive) [3], which
60 prevent particle aggregation through electrostatic repulsion mechanisms.

61 NISV have been used to deliver hydrophilic drugs that are encapsulated in the interior
62 aqueous compartment or adsorbed on the bilayer surface, and hydrophobic drugs that are
63 localised within the lipid bilayer of the NISV [7]. NISV have also been used to improve
64 solubility and subsequently bioavailability of poorly soluble drugs, as exemplified by
65 aciclovir and griseofulvin [8, 9]. Moreover, these particles can also improve the stability
66 of peptide drugs, e.g. they have been shown to protect encapsulated insulin in the
67 gastrointestinal tract from degradation by proteolytic enzymes and exhibit good stability in
68 the presence of bile acid salts such as sodium deoxycholate [10]. Other applications of
69 NISV have been in the area of transdermal delivery of different drugs such as oestradiol,

70 enoxacin and minoxidil [11-13] and in gene delivery of topical DNA vaccines [14, 15]. In
71 recent years, NISV have also been used as carriers for contrast agents for clinical imaging
72 applications in medical diagnostic tools [16].

73 Various conventional bulk methods have been used in the preparation of NISV (e.g. thin-
74 film hydration, reversed phase evaporation, and heating methods), which utilise mixing of
75 two liquid phases on a bench scale at elevated temperature, in order to facilitate
76 spontaneous self-assembly of the lipid components into bilayer vesicles [1, 17, 18]. The
77 hydration of a thin lipid film (Bangham method) is a simple and widely used process, in
78 which a mixture of lipids are dispersed in an organic solvent (such as chloroform) followed
79 by evaporation of the solvent using a rotary evaporator to form a dry lipid film on the flask
80 wall. NISV are then spontaneously self-assembled by hydrating the lipid film with an
81 aqueous buffer at a temperature above the phase transition temperature of lipids [19].
82 Hydrophilic drugs can be encapsulated in the formed vesicles by adding the drug in the
83 aqueous buffer when hydrating the lipid film, while hydrophobic drugs can be dissolved
84 with the lipid components before forming the lipid film [20]. Another method reported by
85 Mozafari et al. is the heating method [21], in which NISV can be prepared without the use
86 of organic solvents, where the various components are hydrated in aqueous media at room
87 temperature followed by heating at 120°C with mechanical stirring [22]. However, the
88 methods described above, result in the production of large particles, with high
89 polydispersity, as a result of inadequate control of chemical and mechanical environments.
90 These methods necessitate the use of post-production size-altering steps, such as extrusion
91 or sonication, in order to obtain smaller and more homogeneous vesicle dispersions [1, 18].

92 The ability to control vesicle size and polydispersity is a crucial factor in the success of
93 any manufacturing method as the particle size of the delivery system influences in vivo
94 performance [23]. Microfluidic mixing is a recently developed method used to prepare
95 liposomes, which results in the production of small vesicles with efficient encapsulation of
96 a therapeutic agent [24]. In microfluidics, lipids are dissolved in an organic phase and the
97 aqueous phase is introduced from different inlets into a precisely defined microchannel that
98 allows for fast mixing between the two phases at high flow rates and at a temperature above
99 the phase transition of the lipids. By controlling flow rate ratios (FRR) between the aqueous
100 and organic phase and total flow rates (TFR) of both phases, homogeneous small vesicles
101 can be prepared in a single step [23, 25].

102 The main objective of this work was to compare the characteristics of NISV prepared by
103 these different manufacturing methods. Previous work from our lab has successfully
104 investigated the development of NISV for vaccine delivery composed of monopalmitin
105 glycerol (MPG), cholesterol (Chol) and dicetyl phosphate (DCP) at a molar ratio of 5:4:1
106 of MPG:Chol:DCP [26] so this was used to prepare the NISV. Moreover, in previous work,
107 we have demonstrated that the type of the aqueous media can significantly affect vesicle
108 characteristics prepared by microfluidics [27], so we chose phosphate buffered saline
109 (PBS) to prepare the NISV in all the methods of preparation. The prepared particles were
110 then compared for their physical characteristics, stability over time and in vitro
111 cytotoxicity.

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115 **Materials and methods**

116 **2.1. Materials**

117 MPG was purchased from Larodan Fine Chemicals AB (Sweden). Chol, DCP, PBS tablets,
118 resazurin powder, serum-free and antibiotic-free Roswell Park Memorial Institute medium
119 (RPMI 1640), L-glutamine, penicillin–streptomycin, and foetal bovine serum (FBS) were
120 purchased from Sigma–Aldrich (UK) (all at cell culture grade). The human cell lines skin
121 malignant melanoma (A375) and ovarian carcinoma (A2780) were purchased from the
122 American Type Culture Collection (ATCC®).

123 **2.2. Preparation of NISV by the thin-film hydration (TFH) method**

124 NISV were prepared using the thin-film hydration (TFH) method as described elsewhere
125 [28]. Briefly, MPG, Chol and DCP were mixed at a molar ratio of 5:4:1 with a total weight
126 of 22.5 mg (MPG: 9.96mg, Chol: 9.27mg, DCP: 3.27mg). The mixture was placed in a
127 round bottomed flask and dissolved in 9 ml chloroform. Chloroform was then evaporated
128 using a rotary evaporator (Rotavapor R-3, BTECH, Switzerland) operated at 50rpm under
129 vacuum at 50°C until complete solvent evaporation and a thin lipid film formed on the
130 flask wall. The thin-film was hydrated with 9 ml of PBS (pH 7.4) at 50°C by rotating the
131 flask at 50 rpm until the lipid film was completely hydrated and a milky suspension was
132 formed with a final concentration of 2.5 mg/ml.

133 **2.3. Preparation of NISV by the heating method**

134 NISV were prepared by the heating method as described elsewhere with modifications
135 [22]. Briefly, MPG, Chol and DCP at a molar ratio of 5:4:1 were hydrated at room
136 temperature with PBS (10 mM, pH 7.4). The mixture was then heated to 140°C with
137 continuous stirring for two min to form the NISV with a final concentration of 2.5 mg/ml.

138 **2.4. NISV particle size reduction**

139 NISV suspensions prepared by the TFH and heating methods were manually extruded 21
140 times using an Avanti miniextruder containing a 100 nm pore diameter polycarbonate (PC)
141 membrane (Avanti polar lipids, Alabaster, AL, USA) at 50°C to reduce the particle size
142 and distribution.

143 **2.5. Preparation of NISV by microfluidics**

144 NISV were prepared using a NanoAssemblr™ (Benchtop, Precision NanoSystems Inc.,
145 Vancouver, Canada) as described by Obeid et al. [27]. The mixing of the two phases
146 allowed formation of controlled sized NISV [29]. To prepare NISV at a final concentration
147 of 2.5 mg/ml, MPG, Chol and DCP were dissolved in ethanol to prepare a stock solution
148 of 20 mg/ml for each of the components. Specific volumes from each stock solution were
149 mixed together to prepare the lipid phase of MPG, Chol and DCP in a molar ratio of 5:4:1.
150 The lipid phase was injected into the first inlet and the aqueous phase into the second inlet
151 of the microfluidic micromixer, with the mixing temperature set at 50°C. The FRR of
152 aqueous phase to lipid phase was set at 3:1 and the TFR was set at 12 ml/min. Dispersions
153 were collected from the outlet stream and immediately diluted with PBS in order to reduce
154 the final ethanol content in the preparation to 6.25% (v/v).

155 **2.6 Particle size, polydispersity and charge of NISV**

156 Particle size, poly dispersity index (PDI) and Zeta potential (ZP) were measured with a
157 Zetasizer Nano-ZS (Malvern Instruments, UK). The measurements were performed for
158 NISV prepared by each method at 25°C at a 1 in 20 dilution in PBS. All samples were
159 prepared in triplicate and the $Z_{Average}$, PDI, and ZP reported.

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161 **2.7 Stability studies of NISV prepared by different methods**

162 NISV prepared by all methods were tested for their stability over a two month period at
163 either 4, 25, 37 or 50°C storage in controlled temperature rooms. Size, PDI, and ZP were
164 measured at 0, 10, 20, 30, 40, 50, and 60 days.

165 **2.8 Morphological analysis of NISV using atomic force microscopy (AFM)**

166 Morphological examination of the NISV was performed by atomic force microscopy
167 (AFM). Five μL of each formulation was deposited onto freshly cleaved mica surfaces
168 (G250-2 Mica sheets 1" x 1" x 0.006"; Agar Scientific Ltd., Essex, UK), and air dried for
169 ~1 h before AFM imaging. The images were obtained by scanning the mica surface in air
170 under ambient conditions using a Dimension FastScan BioAFM (Bruker, CA, USA)
171 operated on Peak Force QNM mode. The AFM measurements were obtained using
172 ScanAsyst-air probes; the spring constant was calibrated by thermal tune (0.52 N m^{-1} ;
173 Nominal 0.4 N m^{-1}) and the deflection sensitivity calibrated using a silica wafer. AFM
174 images were collected by random spot surface sampling (at least three areas). The
175 analyses were performed using the Nanoscope Analysis v1.4 (Bruker, USA).

176 **2.9 In vitro cytotoxicity studies**

177 NISV were assessed for cytotoxicity on two different cell lines (A375 and A2780). Each
178 cell line was seeded in a 96-well plate at a density of 1×10^4 per well in RPMI 1640 medium
179 supplemented with 10% (v/v) FBS, 1% (v/v) L-glutamine and 1% (v/v) penicillin-
180 streptomycin and incubated at 37 °C, 5 % CO_2 and 100% humidity for 24 h. The cells were
181 treated with a range of concentrations of NISV (9.77-1250 $\mu\text{g/ml}$) prepared by each
182 method. Dimethyl sulphoxide (DMSO) was used as a positive kill control and one column
183 per plate contained untreated cells and medium. PBS alone without the particles was also

184 included to ensure that the media itself used to prepare the particles was not toxic. The
185 plates were then incubated for 24h and then treated with 20 μ L of resazurin (0.1 mg/ml) to
186 each well and incubated for a further 24 h. The transformation of resazurin into resorufin
187 by the live cells was then detected by measuring the absorbance at 560 – 590 nm using a
188 SpectraMax M5 plate reader (Molecular Devices, Sunnyvale, CA, USA). The cell viability
189 was calculated as a percentage of the absorbance from the treated cells with NISV to the
190 absorbance of the untreated cells.

191 **2.10 The effects of TFR and FRR on NISV prepared by microfluidics**

192 The effects of TFT and TFR on the characteristics of the NISV prepared by microfluidics
193 were also investigated. The TFR of aqueous buffer and lipid phase was varied from 0.5
194 ml/min to 12 ml/min and the FRR of the aqueous to lipid phases was varied from 1:1 to
195 5:1 and the particle size, charge and PDI measured.

196 **2.11 Statistical analysis**

197 All experiments were performed in triplicate and one way analysis of variance (ANOVA)
198 was used to assess statistical significance. Tukey's multiple comparisons test and a t-test
199 were performed for paired comparisons. The statistical analysis was performed using
200 Minitab software version 17. A value of $p < 0.05$ was considered to be statistically
201 significant. Graphs were produced using OriginPro 2015.

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207 **3. Results**

208 **3.1 The effect of the manufacturing method on the particles size, PDI and ZP**

209 Table 1 shows the characteristics of NISV, prepared by the TFH and heating methods
210 (before and after extrusion) and those prepared by microfluidics.

211 Dynamic light scattering revealed that the particle size of the extruded NISV prepared by
212 the TFH method and heating method were small and monodisperse (124.7 ± 0.72 nm and
213 152.34 ± 1.76 nm, respectively) while the non-extruded particles were large and
214 polydisperse (Table 1). However, particles prepared by microfluidic mixing were small
215 with a narrow particle distribution (165.90 ± 0.92 nm). Microfluidics can prepare small
216 and monodisperse particles in minutes. However, the preparation of these particles with
217 the other methods took hours to get the same results of microfluidics. The PDI values of
218 the extruded NISV prepared by the TFH and heating methods were low (0.12 ± 0.01 and
219 0.10 ± 0.02 respectively) and comparable to the PDI value of the particles prepared by
220 microfluidics (0.08 ± 0.02) with no significant difference ($p>0.05$). Moreover, since all the
221 particles prepared by the three methods used the same lipid compositions, the ZP values
222 for the extruded particles prepared by the TFH and the heating methods and by
223 microfluidics were the same with no significant difference ($p>0.05$) (Table 1).

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229 **Table 1.** Comparison of particle characteristics prepared by the TFH method, heating
 230 method, and microfluidic mixing in terms of size, PDI and ZP. n=3 ± SD

Method of preparation	Size (nm)	PDI	ZP (mV)
TFH (before extrusion)	1027.17 ± 75.79	0.83 ± 0.03	-12.30 ± 3.22
TFH (after extrusion)	124.70 ± 0.72	0.12 ± 0.01	-28.70 ± 1.39
Heating method (before extrusion)	3938.00 ± 95.25	0.85 ± 0.04	-14.50 ± 1.25
Heating method (after extrusion)	152.34 ± 1.76	0.10 ± 0.02	-36.67 ± 3.14
Microfluidic mixing	165.90 ± 0.92	0.08 ± 0.02	-31.38 ± 1.80

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232 **3.2. The effects of the manufacturing method on overall NISV stability**

233 Figure 1 shows the stability in term of particles size of the NISV prepared by the three
 234 methods when stored at four different temperatures over two months. Samples were
 235 characterised immediately after preparations and again at each time point. The method of
 236 preparation was shown to have no effects on the particles stability as the particles prepared
 237 by the three methods exhibited nearly identical size distribution as the original samples at
 238 all the tested temperatures.

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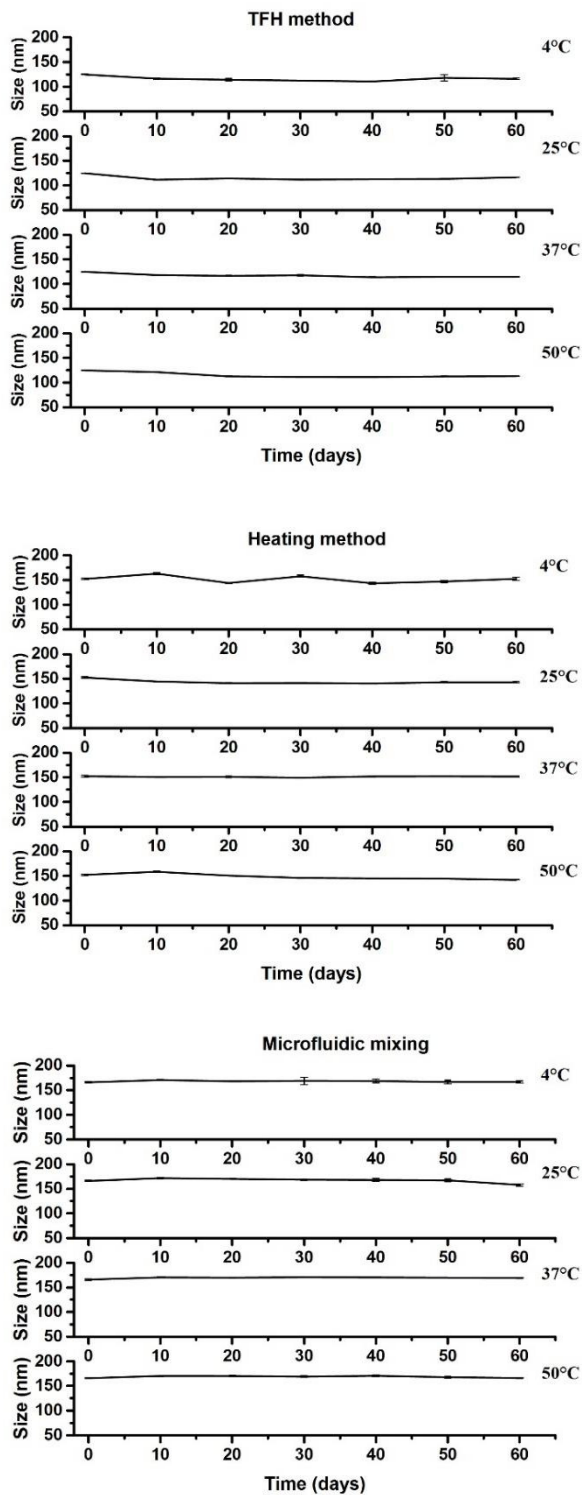


Figure 1. Size of NISV prepared by the TFH method, heating method, and microfluidic mixing and stored over 60 days at 4°C, 25°C, 37°C and 50°C. The data represents the mean ± SD (n=3).

269 **3.3. Morphological analysis of NISV prepared by different methods**

270 Figure 2 shows the morphology of NISV prepared by the TFH and heating methods after
271 extrusion and by microfluidics. All the particles were spherical in shape regardless of the
272 method of preparation. Some images showed large particle aggregates, which are due to
273 the high concentration of these particles in the tested samples which formed upon drying
274 the sample on the mica surface.

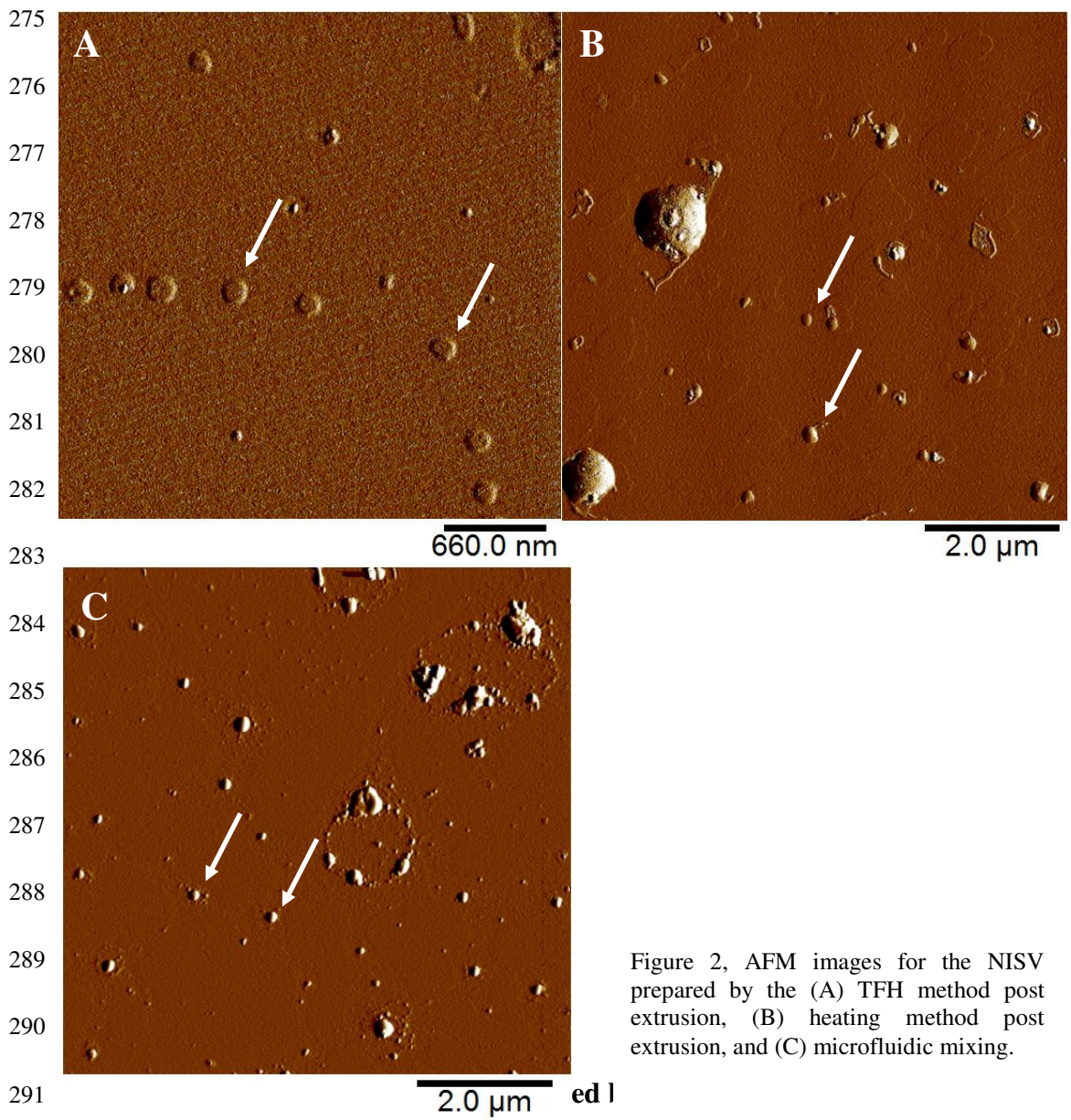
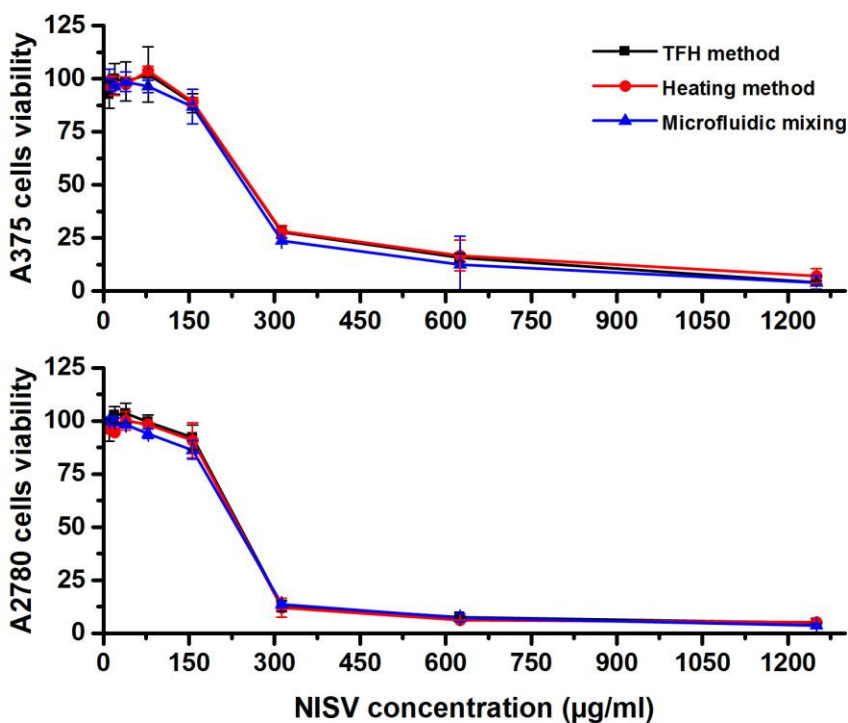


Figure 2, AFM images for the NISV prepared by the (A) TFH method post extrusion, (B) heating method post extrusion, and (C) microfluidic mixing.

292 Figure 3 shows the cytotoxicity of the NISV prepared by the three methods on A375 and
 293 A2780 cell lines and Table 2 shows the calculated EC50. All three formulations show the
 294 same cytotoxicity profile as the difference in the EC50 between the particles on both cell
 295 lines was not significant ($p>0.05$). NISV with a concentration $\leq 150 \mu\text{g/ml}$ found to be
 296 non-toxic where 100 % cell viability was detected on both cell lines regardless of the
 297 method of manufacturing. The buffer alone used in the vesicle preparation was not toxic
 298 and the cells were 100% viable (data not shown).
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301 Figure 3. Cytotoxicity of the NISV prepared by three methods on A375 and A2780 cell lines. The
 302 data represents the mean \pm SD (n=3).

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305 Table 2. EC50 values in ($\mu\text{g/ml}$) of NISV, prepared using three different manufacturing
 306 methods, on A375 and A2780 cells. The data represents the mean \pm SD (n=3).

Method of preparation	EC50 ($\mu\text{g/ml}$)	
	A375 cell line	A2780 cell line
TFH method	254.7 \pm 11.5	229.9 \pm 14.43
Heating method	258.9 \pm 19.53	224.6 \pm 28.32
Microfluidic mixing	240.1 \pm 13.81	228.9 \pm 5.651

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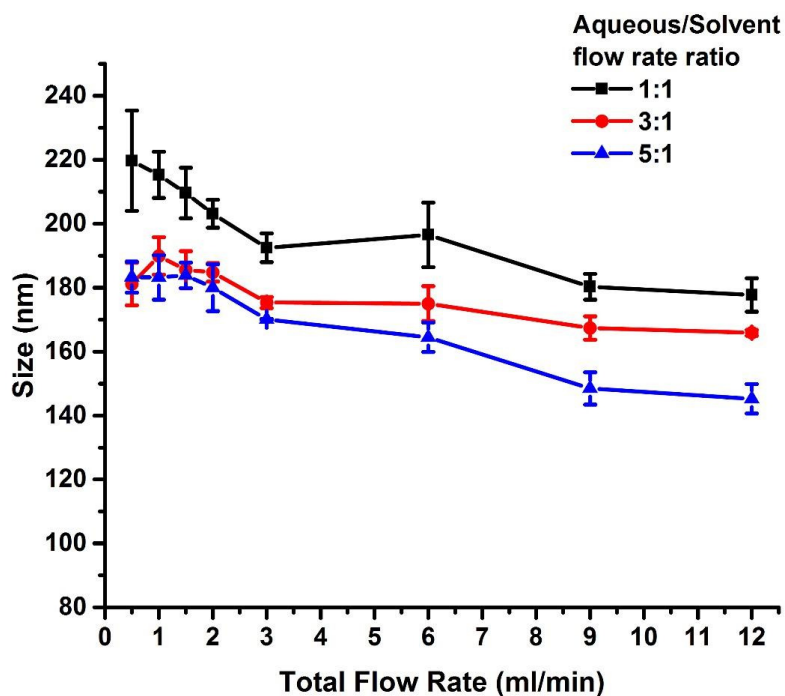
308 3.5. The effects of TFR and FRR on NISV prepared by microfluidics

309 Figure 4 shows the changes of the particles size by changing the FRR from 1:1 to 5:1
 310 (aqueous: lipid phases) and the TFR from 0.5-12 ml/min. As can be seen in Figure 4, as
 311 the aqueous/ethanol FRR increased from 1:1 to 5:1, a significant ($p<0.05$) reduction in
 312 NISV size was observed and found to be TFR dependant. At a TFR $<$ 3 ml/min, the
 313 difference between the particles prepared at FRR of 3:1 and 5:1 was not significant
 314 ($p>0.05$). However, at higher TFR ($>$ 3 ml/min), the difference between these two FRRs
 315 was significant ($p<0.05$). For example, at a TFR of 0.5 ml/min, the particle size prepared
 316 at FRR of 1:1, 3:1 and 5:1 were 219.71 \pm 15.69 nm, 181.14 \pm 6.65 nm, and 183.32 \pm 4.88
 317 nm, respectively while at a TFR of 12 ml/min, the particle size for NISV was 177.73 \pm 5.26
 318 nm at FRR 1:1, 165.90 \pm 0.92 at FRR 3:1 and particles prepared at FRR 5:1 was 145.25 \pm
 319 4.64 nm. The TFR was shown to have a significant ($p<0.05$) effect on particle size where
 320 the increase in the TFR from 0.5 ml/min to 9 ml/min resulted in an overall reduction in
 321 particle size at all the FRR. However, further increase in the TFR above 9 ml/min was not
 322 associated with a significant decrease in particle size at all the FRR (Figure 4).

323 Regarding the effects of the FRR on the total particle charge, the increase in the solvent
324 concentration at lower FRR (1:1) results in a higher percentage of the charged material (i.e.
325 DCP) in the particles. Therefore, as the FRR increased from 1:1 to 5:1 there was a decrease
326 in the absolute value of the ZP from about -30 mV at 1:1 to about -20mV at 5:1 regardless
327 of the TFR. This means that the FRR factor also has an effect on the ZP in addition to its
328 effect on particle size. However, this effect on the ZP was not significant ($p>0.05$).

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332 Figure 4. Size changes of NISV prepared at different TFR and FRR of the aqueous and
333 lipid phase. The data represents the mean \pm SD (n=3).

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338 **4. Discussion**

339 The objective of this work was to assess the physicochemical properties of the NISV
340 produced by three different methods. The TFH and heating methods have previously been
341 reported to produce large multilamellar particles that require a post-manufacturing size
342 reduction step [30], as confirmed by this study. Microfluidic mixing on the other hand was
343 shown to produce small sized nanoparticles with low distribution in a single production
344 step [24].

345 Traditionally, the production of small and monodisperse particles using the TFH and
346 heating methods were limited by the use of the post-manufacturing size reduction step to
347 produce particles of the required size and to reduce the PDI. This has limited the use of
348 these methods to bench scale since there is a much longer industrial scale process required
349 to produce a consistently size end product. However, microfluidic mixing allows the
350 production of controlled particle size with homogenous distribution in a single step without
351 the need for post-manufacturing size reduction (Table 1). This offers the potential to
352 facilitate the production of NISV at larger scale. Moreover, the production of these small
353 particles by microfluidics can save time as the total preparation time took minutes while
354 the production of small particles by the other methods required several hours.

355 Next, we evaluated the stability of the vesicles over two months, at different storage
356 temperatures following extended incubation by monitoring any changes in the particles
357 size, PDI, and ZP. As can be seen in Figure 1, TFH and heating methods (post extrusion)
358 and microfluidic mixing produced stable particles with respect to size with no significant
359 change at all storage temperatures. Also, there was no significant change in the particles
360 PDI and ZP at all the tested temperatures regardless of the method of preparation (data not

361 shown). Temperature can have an energy input to the system and can sometimes lead to
362 changes in the crystalline structure of the lipids or might cause changes in the ZP and these
363 changes might affect the stability of the particles [31]. Several researchers have reported
364 the instability of the particles when stored at high temperatures. In two different studies,
365 Feritas et al. (1998 and 1999) reported the instability of their solid lipid nanoparticles
366 (SLN) with the introduction of energy to the system. This instability was reported in terms
367 of size increase and reduction of ZP when the particles were stored at 50°C [32, 33]. At
368 4°C, this was generally the most favourable storage condition although some reports
369 indicate the instability of the formed particles when stored at low temperatures [31]. In this
370 study, all three methods exhibited excellent stability at four different temperatures with no
371 significant increase in the average particle size, PDI, and ZP ($p>0.05$) when stored for two
372 months even at the higher storage temperatures. These data indicate that microfluidics not
373 only enables rapid, robust, and scalable production of NISV, but also supports the stable
374 formation of these vesicles which is necessary for applications requiring prolonged shelf
375 life such as in pharmaceutical drug delivery. Although there was some residual ethanol in
376 the formulations prepared by microfluidics, this good vesicles stability suggests that the
377 amount of ethanol sequestered in the NISV bilayer is not significant as high ethanol content
378 will promote rapid degradation of the bilayer structure which is not the case in these
379 formulations. However, this residual ethanol can be removed, if necessary, via
380 conventional batch purification techniques such as evaporation, extraction, or dialysis
381 [34].

382 Morphological observations of AFM images confirmed the formation of spherical particles
383 of NISV prepared by the TFH and heating methods after extrusion and by microfluidics

384 (Figure 2). These results confirmed that the particles prepared by microfluidics in a single
385 step are similar to the extruded particles prepared by more traditional TFH and heating
386 methods.

387 Regarding the effects of the manufacturing methods on particle cytotoxicity, the viability
388 of A375 and A2780 cells were measured after treatment with a range of NISV
389 concentrations (9.76 -1250 $\mu\text{g/ml}$) prepared by all three methods. Cell metabolic activity
390 measurements by conversion of resazurin showed no difference in cytotoxicity of the NISV
391 prepared by the three methods as assessed by their EC50 values (Table 2). NISV with lipid
392 concentrations below 150 $\mu\text{g/ml}$ were non-toxic with 100% cell viability retained. Any
393 difference in the physical characteristics of the particles such as size or charge would affect
394 their cellular uptake, which would then affect cell viability [27, 35]. Here, since the
395 particles prepared by the three methods have comparable characteristics in terms of size
396 and charge, there was no difference on cell viability regardless of the method of
397 preparation. This reflects the potential to have significant impact on various drug delivery
398 applications by improving the manufacturing process of currently available NISV-based
399 drugs. This would be achieved by replacing conventional methods of preparation with
400 microfluidics to obtain the same outcomes, while gaining advantages in terms of rapid
401 production of reproducible particles.

402 For the formation of lipid-based particles through microfluidic mixing, the rate of mixing
403 as well as the ratio of aqueous-to-solvent streams were anticipated to be crucial factors in
404 particle preparation as these factors will affect the ratio of each phase in the mixing process
405 as well as the mixing time between both phases [25, 36]. Therefore, NISV composed of
406 MPG:Chol:DCP (5:4:1 molar ratio) were prepared by microfluidic mixing at different TFR

407 and FRR. The FRR strongly affected the final solvent concentration. At lower FRR (1:1),
408 the final solvent concentration increased, thus boosting the production of larger particles
409 due to particle fusion and lipid exchange while at higher FRR (5:1), the chance of
410 producing large particles was reduced as a result of reduced solvent concentration. Previous
411 work using hydrodynamic flow-focusing techniques for the preparation of NISV using
412 different types of sorbitan esters surfactant have also been reported to increase NISV size
413 with the decrease in FRR, which is in agreement with results in this study [23].

414 The effect of the TFR on particle size is still debatable. While some researchers have
415 reported that TFR does not have a significant effect [37], others have reported the contrary
416 [38]. In this study, TFR was shown to have an impact on particle size especially at values
417 < 9 ml/min. This means that these two factors (FRR and TFR) should be optimised when
418 NISV are formulated by microfluidic mixing. In our previous work, we have demonstrated
419 that the aqueous media used also has a significant effect on NISV characteristics when
420 prepared by microfluidics [27]. So microfluidic mixing allows the production of NISV with
421 a tuned particle size by varying the TFR, FRR, and aqueous media.

422 **5. Conclusions**

423 In this work, the characteristics of NISV prepared by microfluidics were compared with
424 those prepared by the conventional TFH and heating methods. Microfluidic mixing enabled
425 preparation of small, monodisperse particles in a single step, without the need of a size
426 reduction step as in the case of the other methods. The method of preparation did not have
427 significant effects on particle stability and toxicity. Using microfluidic mixing, a
428 homogenous NISV suspension was prepared with high reproducibility. FRR and TFR
429 between the two phases of the microfluidic mixing are the factors that have significant

430 effects on particle characteristics, which can be optimised in order to produce NISV with
431 a defined size which is important in developing an effective drug delivery system. This
432 work suggests that the use of microfluidic mixing in NISV preparation may facilitate the
433 development and optimisation of these dispersions for nanomedicine applications at both
434 bench and industrial scales.

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