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1 **The effects of hydration media on the characteristics of non-ionic surfactant**  
2 **vesicles (NISV) prepared by microfluidics**

3

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12

13 **Abbreviations**

14 NISV: Non-ionic surfactant vesicles; PBS: Phosphate buffered saline; HEPES: (4-(2-  
15 hydroxyethyl)-1-piperazineethanesulfonic acid); NS: Normal saline; DW: Distilled water;  
16 MPG: Monopalmitin glycerol; Chol: Cholesterol, DCP: Dicetyl phosphate; HPLC: High  
17 Performance Liquid Chromatography, SEM: Scanning electron microscope, RT: Relative  
18 Turbidity; PDI: Polydispersity index; ZP: Zeta potential.

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21

22 **Abstract**

23 Non-ionic surfactant vesicles (NISV) are colloidal particles that provide a useful delivery  
24 system for drugs and vaccines. One of the methods that is used for NISV preparation is  
25 microfluidics in which the lipid components dissolved in organic phase are mixed with an  
26 aqueous medium to prepare the particles through self-assembly of the lipids. In this work, we  
27 examined the effect of using different types of aqueous media on the characteristics of the  
28 NISV prepared by microfluidics. Five aqueous media were tested: phosphate buffered saline,  
29 HEPES buffer, Tris buffer, normal saline and distilled water. The resulting particles were tested  
30 for their physical characteristics and cytotoxicity. The aqueous media were found to have  
31 significant effects on the physical characteristics of the particles, as well as their overall  
32 stability under different conditions and their cytotoxicity to different human cell lines. Careful  
33 consideration should be taken when choosing the aqueous media for preparing NISV through  
34 microfluidics. This is an important factor that will also have implications with respect to the  
35 entrapped material, but which in addition may help to design vesicles for different uses based  
36 on changing the preparation medium.

37 **Key Words**

38 Non-ionic surfactant vesicles, Microfluidics, Hydration media, Drug delivery

39 **1. Introduction:**

40 Non-ionic surfactant vesicles (NISV) are synthetic vesicles constructed through the self-  
41 assembly of hydrated non-ionic surfactants with cholesterol and other additives, into a bilayer  
42 structure enclosing an aqueous core. In terms of physical properties and structure, NISV are  
43 similar to liposomes, which are the most commonly used lipid particles as drug delivery  
44 systems [1]. NISV were first reported by the cosmetic company L'Oréal in the 1980s and since  
45 then they have gained in interest as a drug delivery system, as they offer more advantages

46 compared with liposomes in terms of lipid cost and stability [1, 2]. Non-ionic surfactants are  
47 the basic components of NISV. These surfactants are amphiphilic molecules with both a  
48 hydrophilic (water soluble) head and hydrophobic (organic soluble) tail with no charged  
49 groups in their hydrophilic heads [3]. The bilayer structure of the NISV makes them capable  
50 of encapsulating both hydrophilic and hydrophobic substances. Hydrophilic substances are  
51 thought to be encapsulated in their aqueous core or adsorbed on the bilayer surface, while  
52 hydrophobic substances are embedded into the lipophilic domain of the bilayer [3].  
53 Surfactants commonly used to prepare NISV include polyoxyethylene fatty acid esters  
54 (Tweens), sorbitan fatty acid esters (Spans), alkyl ethers, and alkyl glyceryl ethers (Brijs)  
55 [4]. The most common additive in a NISV formulation is cholesterol, which affects the  
56 membrane structure and the physical properties of the vesicles [5] and its most  
57 important effect is the modulation of the mechanical strength of the bilayer structure and  
58 water permeability [6, 7]. Moreover, cholesterol incorporation tends to enhance drug  
59 entrapment efficiency, vesicle stability, and can modulate drug release in the NISV  
60 formulations [8, 9]. Other additives include charged molecules to enhance the stability of  
61 the NISV formulations during storage and prevent vesicle aggregation by electrostatic  
62 repulsion. Dicetyl phosphate (DCP) and phosphatidic acids are used to impart a negative  
63 charge on the surface of the NISV, while cationic molecules such as stearylamine and  
64 cetylpyridinium chloride are used to provide a positive charge on the vesicles [1, 3]. Due  
65 to their potential to carry and encapsulate a variety of drugs, NISV have been widely used  
66 to deliver drugs to specific target sites, to control drug release and enhance permeation.  
67 They have been investigated as a potential drug delivery system for anticancer [10, 11],  
68 anti-inflammatory and anti-infective drugs [12, 13], peptides [14, 15], transdermal drug  
69 delivery [16, 17] and gene delivery [18].

70 Numerous methods for NISV preparation are reported. The thin-film hydration method  
71 (TFH) is simple and widely used. The surfactants and other additives are dissolved in  
72 organic solvent in a round-bottomed flask. The organic solvent is then removed using a  
73 rotary vacuum evaporator to form a thin film of lipids on the wall of the flask, which is  
74 then hydrated by the addition of an aqueous solution with or without drug to form multi-  
75 lamellar vesicles [3, 19]. In the reverse-phase evaporation (REV) method, after  
76 evaporating the organic solvent as in the TFH method, the dried lipid film is purged with  
77 nitrogen and the lipids are re-dissolved with a second organic phase of diethyl ether  
78 and/or isopropyl ether followed by the addition of aqueous mixture to form large  
79 multilamellar vesicles and then the organic solvent is removed under reduced pressure  
80 by rotary evaporation [20, 21]. In the organic injection method, the organic solvent  
81 containing the dissolved surfactants and other additives is slowly injected through a  
82 needle in an aqueous solution to form NISV of various sizes [22]. Other methods include  
83 "bubble", sonication and freeze-thaw methods [3]. However, in most of these, the local  
84 chemical and/or mechanical environments are not well controlled and the vesicles that  
85 are formed are large with considerable size polydispersity, which requires a suitable  
86 post-preparation size reduction step e.g. by sonication or extrusion, to obtain small and  
87 homogeneous vesicles [23]. More recently, a microfluidic method has been employed for  
88 the preparation of lipid-based nanoparticles that uses microfluidic hydrodynamic focusing and  
89 has been shown to produce small sized nanoparticles for drug encapsulation [24]. In this  
90 method, the surfactants and other additives dissolved in an organic phase, are mixed with an  
91 aqueous phase at high flow rates and passed through a precisely defined microchannel at a  
92 temperature above the phase transition of the lipids. Factors such as flow rate ratios (FRR)  
93 between the aqueous and organic phases and the total flow rates (TFR) of both phases can be

94 controlled during the mixing process to prepare homogeneous small particles in a single step  
95 [25, 26].

96 All of the above mentioned methods involve the hydration of the surfactant and lipid  
97 mixtures with an aqueous phase at elevated temperature, followed by an optional size  
98 reduction with some preparation methods [27]. Phosphate buffered saline (PBS) is a  
99 common buffer used for NISV preparation. It is an ionic buffer composed of sodium chloride,  
100 sodium phosphate, and (in some formulations) potassium chloride and potassium phosphate  
101 and has a pH range from 5.8-8.0 at 25°C [28]. PBS is the preferred buffer for particle formation  
102 because the osmolarity and ion concentrations match those of human body fluids such as blood  
103 [29]. Other buffers such as (4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid) (HEPES),  
104 Tris, citrate and carbonate can also be used [30, 31]. NISV can also be prepared using distilled  
105 water (DW) as an aqueous media. However, it is imperative to select an optimal buffer system  
106 for drug encapsulation in NISV. For example, phosphate and citrate buffers are not  
107 recommended for components that contain calcium ions, as phosphate forms an insoluble  
108 calcium phosphate precipitate, while citric acid chelates calcium [32]. Tris buffer is used for  
109 the storage of nucleic acids and is suitable for formulating NISV where nucleic acids are being  
110 encapsulated. However, Tris can interfere in vivo and in vitro with copper by chelation and can  
111 act as a competitive inhibitor to some enzymes [32, 33].

112 In the present work, we investigated the effect of five different aqueous media on the  
113 characteristics of empty NISV. Given that previous work from our lab has investigated the use  
114 of NISV for vaccine delivery using a surfactant combination of monopalmitin glycerol  
115 (MPG):cholesterol (Chol): dicetyl phosphate (DCP) at a molar ratio of 50:40:10 [34, 35], we  
116 have used this formula as a model to examine the various physicochemical aspects of vesicles  
117 composed with these lipid components, but prepared using five different aqueous media and  
118 using a microfluidic mixing method of preparation. In vitro cytotoxicity experiments were

119 subsequently performed to evaluate the effect of the different formulations resulting from the  
120 use of the different hydrating media on human A375 (skin malignant melanoma), A2780  
121 (ovarian carcinoma) and PNT2 (normal prostate epithelium) cells.

## 122 **2. Materials and methods**

### 123 **2.1 Materials**

124 MPG was purchased from Larodan Fine Chemicals AB (Sweden). Chol, DCP, resazurin  
125 powder, PBS tablets, HEPES buffer solution, Tris buffer solution, sodium hydrochloride  
126 (NaCl), serum-free and antibiotic-free medium Roswell Park Memorial Institute medium  
127 (RPMI 1640), L-glutamine, penicillin–streptomycin, and foetal bovine serum (FBS) were  
128 purchased from Sigma-Aldrich (UK). The human cell lines A375, A2780, and PNT2 were  
129 purchased from American Type Culture Collection (ATCC®) and kindly provided by Mrs  
130 Louise Young, (University of Strathclyde).

### 131 **2.2 NISV preparation by microfluidics with different hydration media**

132 NISV were prepared by employing a microfluidic micromixer as described elsewhere [24]. The  
133 hydration media used to prepare the vesicles were PBS (10 mM, pH 7.4), HEPES buffer (10  
134 mM, pH 7.4), Tris buffer (10 mM, pH 7.4), 0.9% (w/v) normal saline (NS) and DW. An ethanol  
135 solution containing MPG, Chol, and DCP at a molar ratio of 50:40:10 was prepared at a  
136 concentration of 10 mg/ml total lipids. The microfluidic apparatus used was the  
137 NanoAssemblr™ Benchtop™ (Precision NanoSystems Inc., Vancouver, Canada) which  
138 enables a controlled nanoprecipitation process by hydrodynamic flow, focused through a two-  
139 channel microfluidic system.

140 For the preparation of empty vesicles, a specific volume of each of the tested hydration media  
141 was mixed with the lipid phase in ethanol at a volumetric flow rate of 3:1 (aqueous: lipid) in  
142 the microfluidic micromixer at a total flow rate of 12 mL/minute (9 mL/minute for the aqueous

143 phase and 3 mL/minute for the lipid phase) at 50°C. The mixed materials, upon leaving the  
144 micromixer outlet, was diluted into an equal volume of the aqueous media used in the  
145 preparation in order to reduce the ethanol content in the final preparation to 12.5%. The NISV  
146 mixture was then dialysed overnight against 1000 volumes of aqueous media used in the vesicle  
147 preparation using SnakeSkin™ Dialysis Tubing (10,000 Da molecular weight cut off;  
148 Thermofisher Scientific, UK) at 25°C.

### 149 **2.3 Particle size, polydispersity and charge of NISV prepared with different hydration** 150 **media**

151 Particle size, polydispersity index (PDI) and zeta potential (ZP) were measured with a Zetasizer  
152 Nano-ZS (Malvern Instruments, UK). The measurements were carried out for NISV prepared  
153 in each hydration media at 25°C at a 1/20 dilution. All samples were prepared in triplicate and  
154 the  $Z_{Average}$ , PDI, and ZP reported.

### 155 **2.4 Stability of NISV at different temperatures**

156 Stability of the NISV was evaluated over two months at 4, 25, 37, and 50 °C in controlled  
157 temperature rooms over the duration of the study. Size, PDI and zeta potential were measured  
158 at different time points (0, 1, 2, 3, 4, 6 and 8 weeks).

### 159 **2.5 Morphological analysis of NISV using scanning electron microscopy**

160 Morphological analysis of the NISV was carried out using a FEI Quanta 250 field emission  
161 variable pressure scanning electron microscope (SEM) (FEI, Oregon, USA) equipped with an  
162 Everhart–Thornley type detector and running FEI software. Each sample of NISV was diluted  
163 1:50 with the media used in the formulation and 2µl of each diluted sample was dried on a  
164 silicon substrate and placed under vacuum. An accelerating voltage of 5 kV was applied to  
165 each sample in high vacuum mode and secondary electron images were collected.



166 **2.6 High Performance Liquid Chromatography (HPLC) analysis of cholesterol content**  
167 **of NISV**

168 In order to assess the concentration of the NISV produced and to determine the yield and  
169 preparation efficacy, NISV were analysed using HPLC to measure the quantity of cholesterol  
170 present post-preparation. HPLC was performed using an Agilent Technologies 1260 Series  
171 Liquid Chromatography system controlled by Clarity Chromatography software. The  
172 conditions of the run were as follows: mobile phase acetonitrile:methanol:2-propanol; (7:3:1,  
173 v/v/v), flow rate 1 mL/min, total run time 10 min; column YMCbasic C18, 250 X 3.0 mm,  
174 column temperature 60°C, injection volume 20 µL, detection 205 nm, retention time 1.55 min.  
175 A standard curve of Chol (31.25 – 1000 µg/ml) was constructed by measuring the area under  
176 the curve (AUC). NISV prepared were lysed with isopropyl alcohol (50%, v/v) and then  
177 analysed by HPLC as previously described [36]. The Chol concentration was determined by  
178 measuring the AUC and calculating the concentration using the equation generated from the  
179 standard curve.

180 **2.7 Turbidity assay**

181 To understand NISV behaviour under physiological conditions, the aggregation tendency of  
182 the NISV was studied using a turbidity assay [37]. FBS was added to each NISV formulation  
183 to a final concentration of 10% (v/v) in each hydration medium. This concentration of FBS was  
184 chosen as it is generally used for in vitro studies. Turbidity was determined by measuring the  
185 absorbance at 298 nm using a HELIOS ALPHA ThermoSpectronic spectrophotometer using  
186 serum alone as a background [37]. NISV (625 µg/ml) were incubated at 37 °C and analysed  
187 over a 2 h time period. Relative turbidity was calculated by dividing sample absorbance at a  
188 specific time by the time zero value incubated in the corresponding hydration buffer used for  
189 NISV preparation.

## 190 **2.8 Cytotoxicity of NISV evaluated using a number of human cell lines**

191 NISV were assessed for cytotoxicity on three different cell lines (A375, A2780, and PNT2).  
192 Each cell line was seeded in a 96-well plate at a density of  $1 \times 10^4$  per well in 100  $\mu$ l and  
193 incubated for 24 h at 37°C, 5% CO<sub>2</sub> and 100% humidity in RPMI 1640 medium supplemented  
194 with 10% (v/v) FBS, 1% (v/v) L-glutamine and 1% (v/v) penicillin-streptomycin. After 24 h,  
195 cells were treated with different concentrations of NISV (9.77-1250  $\mu$ g/mL). Dimethyl  
196 sulphoxide (DMSO) was used as a positive kill control and one column per plate contained  
197 untreated cells and medium. Each of the hydration buffers without the particles was also  
198 included to ensure that the media itself are not toxic. The plates were then incubated for a  
199 further 24 h and then 20  $\mu$ l of resazurin (0.1 mg/ml) was added to each well and incubated for  
200 a further 24 h. Resazurin is bio-reduced by viable cells from blue into a pink resorufin product,  
201 which indicates the presence of metabolically active cells and results in both a colorimetric and  
202 fluorometric change. After 24 h, the quantity of resorufin was measured on a SpectraMax M5  
203 plate reader (Molecular Devices, Sunnyvale, CA, USA) at 560 nm - 590 nm. The absorbance  
204 reading at this wavelength is directly proportional to the number of metabolising cells in the  
205 medium. In this study, cell viability was calculated and expressed as a percentage of the  
206 positive control (i.e., untreated cells):

$$207 \quad \% \text{ Cell viability} = \left( \frac{\text{Absorbance of cells treated with NISV at } \lambda_{\text{ex}} = 560 \text{ nm, } \lambda_{\text{em}} = 590 \text{ nm}}{\text{Absorbance of untreated cells } \lambda_{\text{ex}} = 560 \text{ nm, } \lambda_{\text{em}} = 590 \text{ nm}} \right) \times 100$$

## 208 **2.9 statistical analysis**

209 All experiments were performed in triplicate and one way analysis of variance (ANOVA) was  
210 used to assess statistical significance. Tukey's multiple comparison test and t-test was  
211 performed for paired comparisons. The statistical analysis was performed using Minitab  
212 software version 17. A value of  $p < 0.05$  was considered to be statistically significant. Graphs  
213 were produced using OriginPro 2015.

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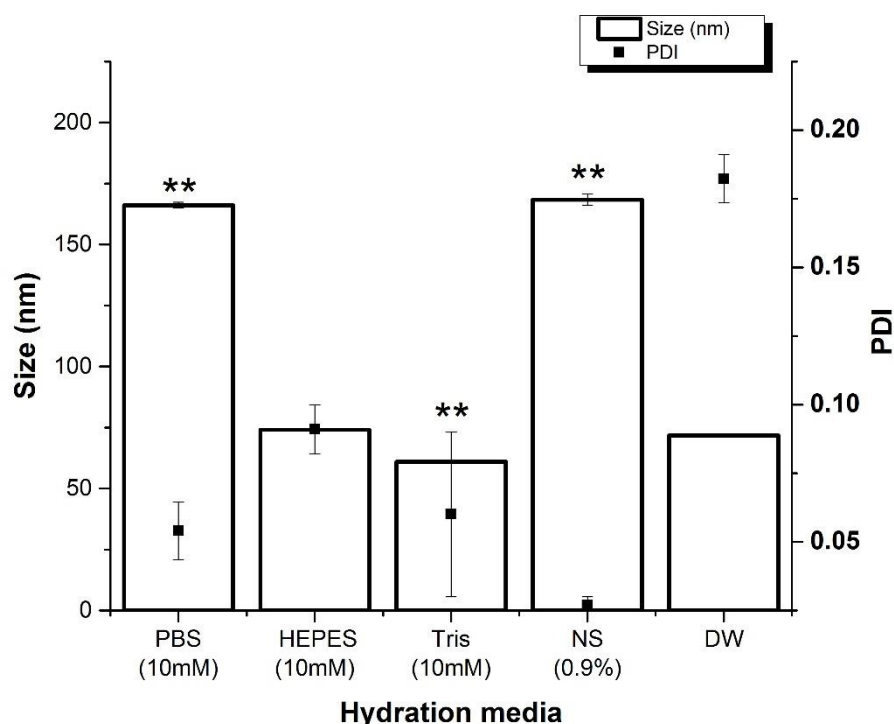
### 215 **3. Results and Discussion**

216 NISV composed of MPG:Chol:DCP at a molar ratio of 50:40:10 were prepared using  
217 microfluidic mixing by changing the aqueous media used in formulating the particles. The  
218 production of NISV through microfluidic mixing is based on rapid and controlled mixing of  
219 two miscible fluids (aqueous and solvent) in a microchannel [25]. The objective of this work  
220 was to assess the effects of the aqueous media on the physicochemical properties of the  
221 resultant particles. Five different hydration media were studied.

#### 222 **3.1 Effect of hydration buffer on the particles size and PDI**

223 Changing the hydration media altered the size of the NISV significantly (Figure 1). The  
224 smallest particles were formed using Tris, followed by DW and HEPES with particle sizes of  
225  $60.96 \pm 0.36$  nm ( $p < 0.05$ ),  $71.83 \pm 0.44$  nm ( $p < 0.05$ ), and  $74.10 \pm 0.51$  nm ( $p < 0.05$ ),  
226 respectively. The largest particle size was obtained with NS ( $168.40 \pm 2.26$  nm,  $p < 0.05$ )  
227 followed by PBS ( $166.10 \pm 1.23$  nm,  $p < 0.05$ ). The PDI of these particles showed that all the  
228 formulations, had a narrow size distribution with values of  $0.027 \pm 0.003$  (NS),  $0.054 \pm 0.010$   
229 (PBS),  $0.060 \pm 0.030$  (Tris),  $0.091 \pm 0.010$  (HEPES) and  $0.180 \pm 0.010$  for DW with the value  
230 of PDI for particles prepared with DW that was significantly different than the others ( $p <$   
231  $0.05$ ). This difference in the particle sizes could be attributed to the ion components of each  
232 media. NS and PBS showed similar sizes, while DW, HEPES and Tris were grouped together.  
233 The similarity between PBS and NS could be attributed to the NaCl ions, which are the major  
234 component in both buffers [38]. HEPES and Tris buffers and DW resulted in smaller particles,  
235 but within the same range, so the effects of the ionic components of the HEPES and Tris might  
236 have no significant effects as the sizes from both buffers were close to the particles prepared  
237 with DW. In drug delivery, small particle sizes ( $<200$  nm) are preferred for drug permeability

238 and tumour targeting as nanoparticles in this size range tend to accumulate passively in tissues  
239 with leaky or abnormal architecture blood vessels (i.e. tumour and inflamed tissues) after  
240 intravenous administration in a phenomenon known as enhanced permeability and retention  
241 (EPR) [39]. Moreover, it has been reported that larger particles are more rapidly removed from  
242 the circulation than smaller particles due to the lower uptake by the reticuloendothelial system  
243 (RES) of smaller particles [40]. He et al. reported that in vitro macrophage uptake of larger  
244 particles was higher compared with smaller counterparts [41]. These factors directly affect the  
245 biodistribution and circulation time of NISV [42]. Therefore, the size of the NISV has  
246 significant implications on their efficacy when used as a drug delivery system. Here, although  
247 the aqueous buffers resulted in different sizes, the formulations were all below 200 nm, which  
248 makes them suitable for tumour-targeted drug delivery.



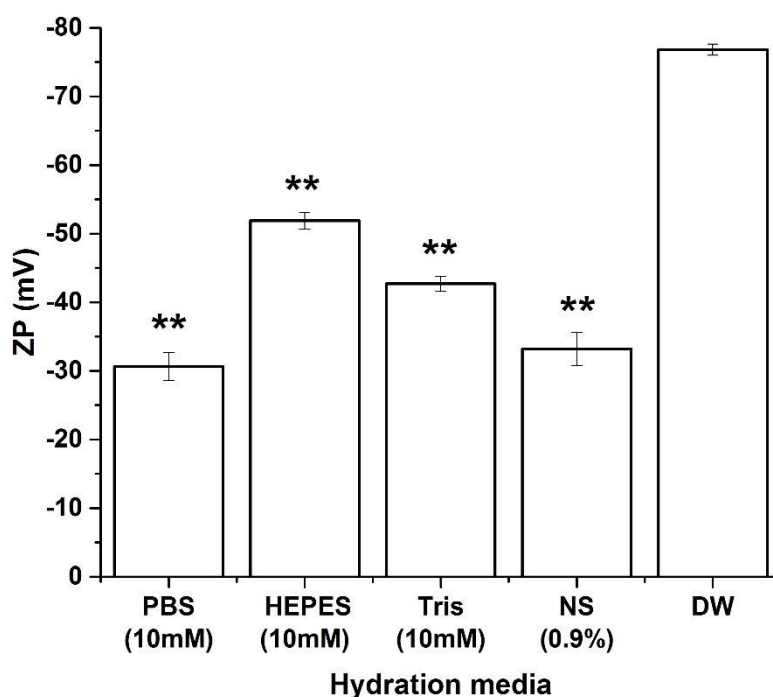
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250 Figure 1: Size and PDI of NISV prepared using microfluidics with five different hydration  
251 media. The data represents the mean  $\pm$  SD (n=3) as measured by DLS, \*\* p < 0.05 indicates  
252 significant difference in size compared with the DW formulation.

253 **3.2. The effect of the hydration media on the charge of the resultant NISV**

254 Particles prepared with DW had the highest absolute value of zeta potential ( $-76.83 \pm 0.81$  mV)  
255 followed by the particles prepared with Tris ( $-57.4 \pm 3.33$  mV), HEPES ( $-51.87 \pm 1.18$  mV),  
256 NS ( $-33.2 \pm 2.46$  mV) and PBS ( $-30.63 \pm 2.06$  mV) as shown in Figure 2. The effect of the  
257 hydration media on the total charge of the resultant particles could also be attributed to the ions  
258 present in the buffers. The surface charge of NISV gives rise to electrostatic repulsion among  
259 the nanoparticles, improving the stability of the dispersion system [43]. Zeta potential is an  
260 important factor that confers stability on the nanoparticles and higher values ensure that the  
261 particles will repel each other and resist aggregation [44]. Particles with zeta potential values  
262 that are  $< -30$  mV or  $> +30$  mV would both be stable dispersions as these values are considered  
263 high enough to prevent particle aggregation [43]. Although each of the media examined  
264 resulted in particles with different charge, all of them had a zeta potential  $< -30$  mV, which  
265 means that they would be stable regardless of the type of the aqueous media used in their  
266 preparation.

267



268

269 Figure 2: ZP for NISV prepared with microfluidics using five different aqueous media. The  
 270 data represents the mean  $\pm$  SD (n=3) measured by DLS, \*\* p <0.05 indicates significant  
 271 difference in size compared with the DW formulation.

### 272 3.3 Stability of NISV at different storage temperatures

273 Vesicle stability was assessed by monitoring changes in size (Figure 3) and PDI (data not  
 274 shown) of the particles over time, to predict their swelling, aggregation or precipitation  
 275 characteristics. For NISV prepared with DW, the particle size showed a slight decrease in the  
 276 first two weeks and then remained stable throughout the study when stored at 4, 25, and 37 °C  
 277 with no significant change in the particle size (p >0.05). However, for the particles stored at  
 278 50°C, there was a significant (p < 0.05) increase in the particle size during the study, which  
 279 increased from  $71.8 \pm 0.4$  nm at time zero to  $101.1 \pm 0.4$  nm at the end of the study. NISV  
 280 prepared with HEPES buffer was stable at the four different temperatures with no significant  
 281 (p >0.05) increase in particle size during the study. NISV prepared with NS were stable in  
 282 terms of size and PDI when stored at 4°C with no significant (p >0.05) change. When these

283 particles were stored at 25 and 37°C, they showed an increase in size during the first week and  
284 then remained stable for the rest of the storage duration. However, NISV prepared with NS and  
285 stored at 50°C increased significantly ( $p < 0.05$ ) in size from  $168.4 \pm 2.26$  to  $208.77 \pm 1.89$  nm  
286 at the end of the study with no significant ( $p > 0.05$ ) increase in the PDI. Particles prepared with  
287 PBS remained stable with no significant ( $p > 0.05$ ) change in the particle size regardless of the  
288 storage temperature. For NISV prepared using Tris buffer, the particles remained stable at 4  
289 and 25°C with no significant ( $p > 0.05$ ) change in particles size. When these particles were  
290 stored at 37 °C, the size increased significantly ( $p < 0.05$ ) in the first week from  $60.69 \pm 0.36$   
291 nm to  $66.84 \pm 0.14$  nm and then remained stable for the rest of the storage duration. For  
292 particles prepared with Tris and stored at 50 °C, there was a significant ( $p < 0.05$ ) increase in  
293 particles size from  $60.96 \pm 0.36$  to  $76.18 \pm 0.39$  nm at the end of the storage. These stability  
294 results of the formed particles showed that the type of the hydration media used to prepare the  
295 NISV might have an effect on particle stability. This effect has been shown to be more obvious  
296 at elevated temperatures. All the formulations were stable at 4, 25, and 37 °C with no change  
297 in the particles size and PDI. At 50°C storage conditions, the particles prepared with DW, NS,  
298 and Tris increased significantly in terms of size. At elevated temperatures, lipid vesicles  
299 undergo a phase transition which affects their permeability and increase the fluidity of the lipid  
300 bilayers [30]. Different studies have reported the effects of the temperature and the dispersion  
301 media on nanoparticle stability. Some consider the increase in the temperature as an energy  
302 input, attributing this effect to the change in the crystalline structure on the particles'  
303 components or zeta potential which might affect the particle size during storage [45, 46].

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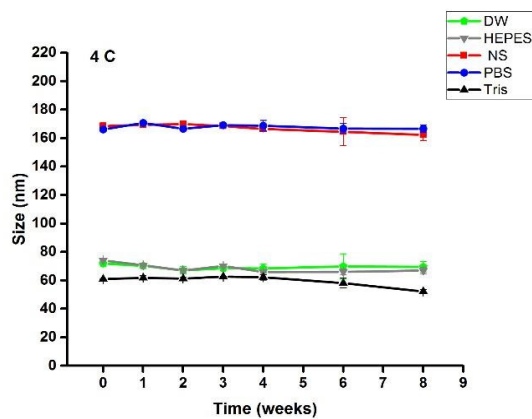
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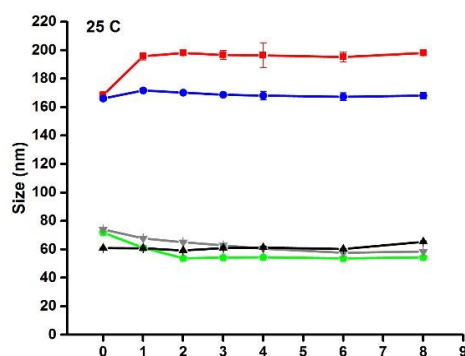


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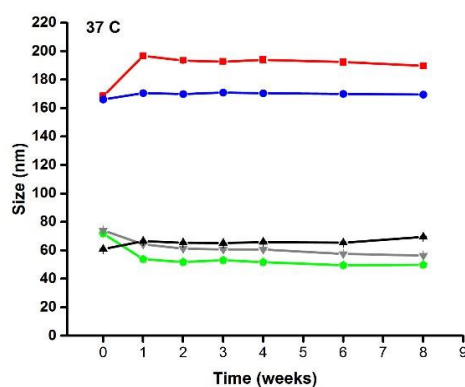


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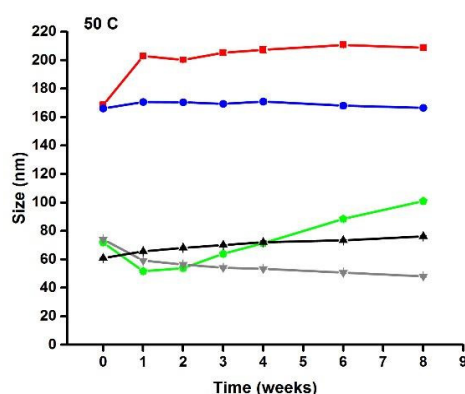
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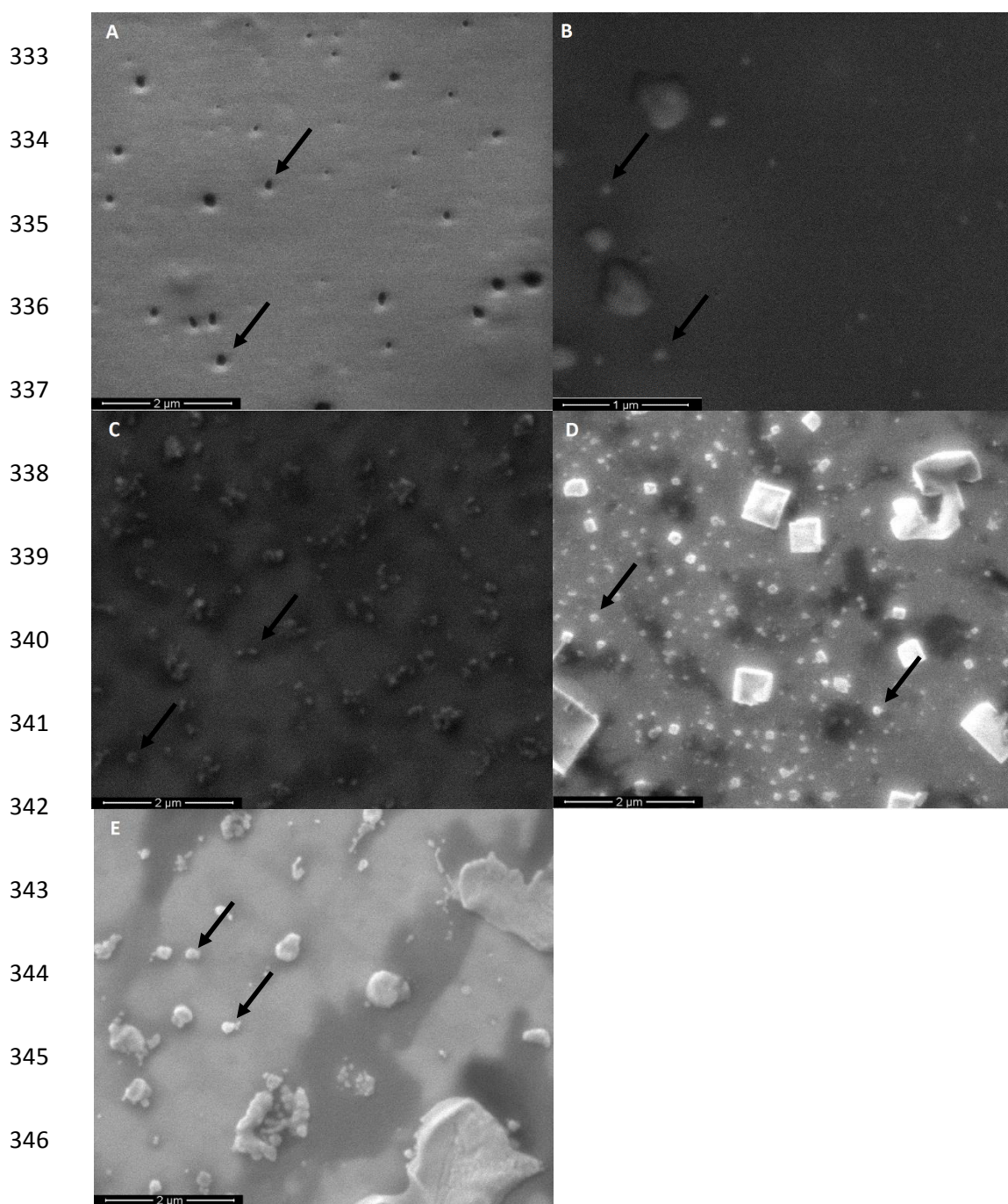
325 Figure 3: Stability of NISV prepared with microfluidics using five different hydration media,

326 stored at 4, 25, 37 and 50 °C. The data represents the mean  $\pm$  SD (n=3) measured by DLS.



**3.4 SEM imaging of NISV**

The morphology of the NISV was analysed by scanning electron microscopy (Figure 4). NISV were shown to have an almost spherical shape as seen in some of the images and apparent smooth surface regardless of the media used in their preparation. The SEM images confirmed the differences in the sizes between the particles (Figure 4). Figure 4E showed some non-spherical large aggregates as a result of the high concentration of the particles being examined.

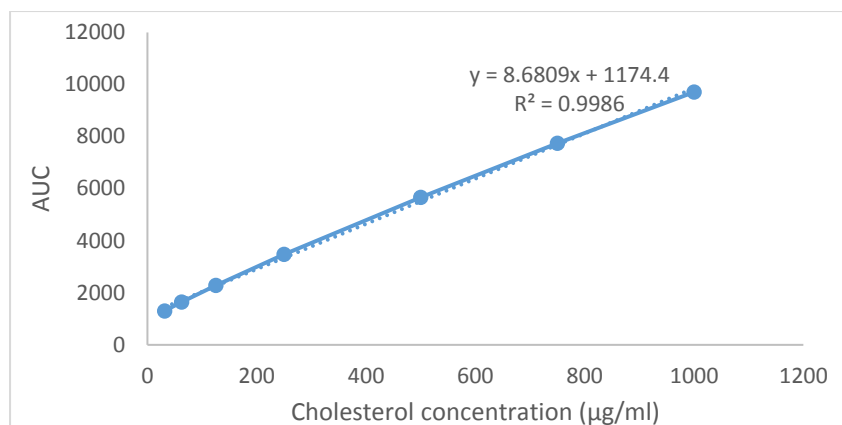


348 Figure 4. Representative scanning electron micrographs of NISV prepared with (A) HEPES,  
349 (B) Tris, (C) DW, (D) NS and (E) PBS (Magnification  $\times 40,000$ ). Salt crystals were observed  
350 in the NS micrograph as a cuboid structures (figure 4 D). Figure 4 (E) showed some large non  
351 spherical aggregates as a result of the high concentration of the particles examined.

### 352 **3.5 HPLC analysis of NISVS prepared with different hydration media**

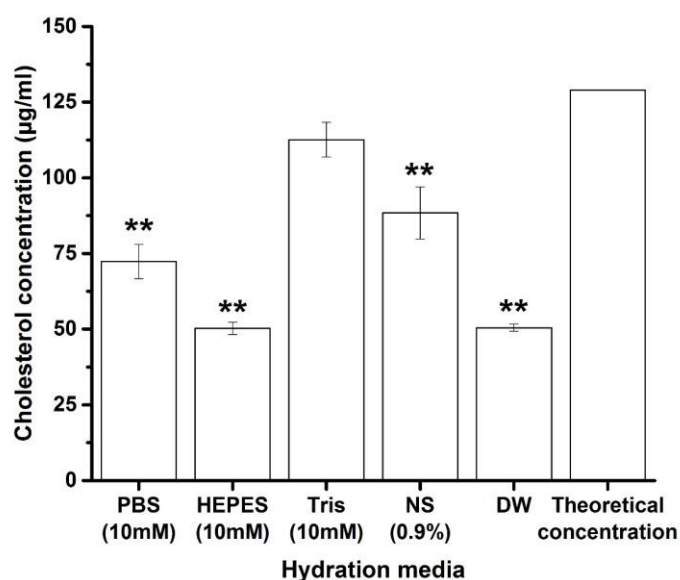
353 The total Chol content in the NISV formulations was measured using HPLC. Figure 5 shows a  
354 typical standard curve and the total Chol concentration calculated from it for each formulation  
355 shown in Figure 6. The theoretical Chol concentration was  $129.05 \mu\text{g/ml}$  and it was expected  
356 that the concentration post-preparation to be close to this. However, after preparation using  
357 different media, the calculated concentrations were significantly ( $p < 0.05$ ) lower than the  
358 theoretical one for particles prepared with HEPES, PBS, NS and DW. Only particles prepared  
359 with Tris buffer had a cholesterol concentration that was not significantly ( $p > 0.05$ ) different  
360 from the theoretical one. For particles prepared with PBS and NS, the Chol concentrations were  
361 close to each other with no significant ( $p > 0.05$ ) difference in the calculated concentration.  
362 Moreover, the concentration for NISV prepared with HEPES and DW was almost the same for  
363 both formulations with no significant ( $p > 0.05$ ) difference and this is the same for the particle  
364 size for these two formulations. This indicates that the type of hydration media had a significant  
365 effect on the apparent Chol concentration recovered. It is worth noting that the Chol  
366 concentration was calculated based on the AUC at the retention time of 1.55 min, but there  
367 were some peaks just before and after this time (data not shown) and this might explain the  
368 difference between the theoretical and actual concentration after preparation as we expect that  
369 some interaction occurred between cholesterol and the ions in the buffers that resulted in  
370 different separation times. We are currently studying these effects and the possible interaction  
371 between the NISV components and the different ions that form each hydration media.

372



373

374 Figure 5. Cholesterol standard curve prepared by measuring the AUC of various cholesterol  
 375 concentrations as measured by HPLC.



383 Figure 6. Calculated cholesterol concentrations after preparing NISV with microfluidics using  
 384 different hydration media compared to the theoretical concentration. The data represents the  
 385 mean  $\pm$  SD (n=3) measured by HPLC, \*\*p < 0.05 significant decrease in Chol concentration  
 386 compared with the theoretical concentration.

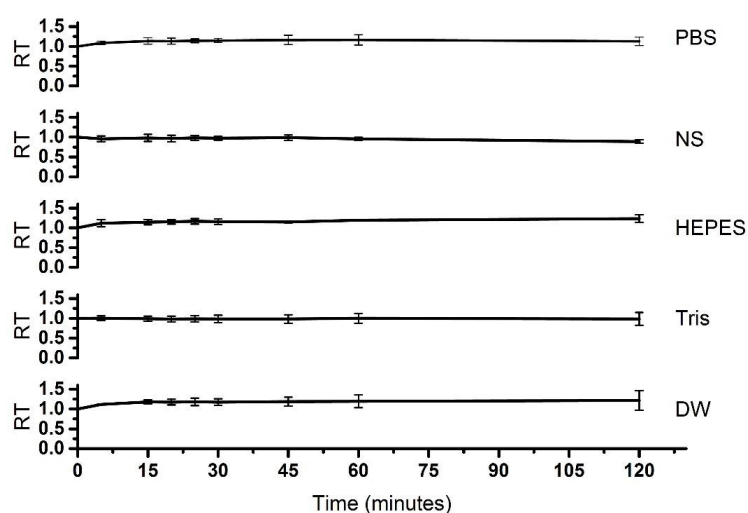
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390 **3.6 Turbidity assay**

391 The interaction of the different NISV with FBS was then examined in an attempt to predict the  
392 stability of these particles when exposed to physiological conditions by calculating the RT of  
393 each formulation when incubated with 10% (v/v) FBS. The turbidity assay measures the degree  
394 of light scattering through a sample with suspended particles. Turbidity depends mainly on the  
395 concentration of the suspended particles, the size distribution of the particles in the liquid phase  
396 and the difference in the refractive index between the particles and the suspending medium [47,  
397 48]. Microbiological instability or increase in the particle size of the suspended particles as a  
398 result of aggregation will result in an increase in the RT of the liquid [46]. All the NISV showed  
399 good stability in terms of RT over two hours at 37°C (Figure 7). This can be seen with the  
400 minimal increase of the RT for all formulations with time, bearing in mind that this increase  
401 was not significant ( $p > 0.05$ ). This result suggests that all the aqueous media used to prepare  
402 the NISV were effective in preventing particle aggregation when incubated with 10% (v/v)  
403 FBS.



404

405 Figure 7. Relative turbidity (RT) of the NISV prepared with PBS, NS, HEPES, Tris and DW  
406 and incubated at 37°C with 10% v/v FBS. The data represents the mean  $\pm$  SD (n=3).

**407 3.7 Cytotoxicity studies**

408 Finally, we studied the formulations on the viability of two cancer cell lines (A375, A2780)  
409 and a normal PNT2 cell line. Figure 8 shows the cytotoxicity of the formulations on the cells  
410 and Table 2 shows the calculated EC<sub>50</sub>. Cell viability measurements showed that regardless of  
411 the media used to prepare the NISV, all the cell lines were 100% viable at a total lipid  
412 concentration of 78.1 µg/ml and below. We observed a media-dependent toxicity on the A375  
413 cell line. The type of the media used to prepare the particles had a significant (p <0.05) effect  
414 on the viability of these cells as there was a significant difference between the EC<sub>50</sub> of each  
415 formula. When the media alone was tested on these cells, they were not toxic and the cells were  
416 100% viable (data not shown). The media-dependent toxicity on the A375 cells was probably  
417 due to the difference in the particle size or surface charge in each formulation which would  
418 affect its cellular uptake and the subsequent impact on viability [41]. Moreover, it has been  
419 reported that the particle size, shape and surface chemistry all have effects on cellular  
420 internalisation and intracellular trafficking [49]. Since each formulation resulted in different  
421 particle characteristics in term of size and charge, this might be the reason for the difference in  
422 the cell viability for the A375 cells. Different cell types have different sensitivities and  
423 nanomaterial interactions with cells depends on the colloidal forces and the dynamic  
424 biophysicochemical interactions between the cells and the particles [50]. These effects of the  
425 type of the hydration media used for particles preparation on the cellular viability needs to be  
426 investigated more extensively and consideration given to this phenomenon by researchers. For  
427 the other cell lines (A2780 and PNT2), although there were differences between the EC<sub>50</sub> for  
428 each formulation, they were not significant and the EC<sub>50</sub> for each formulation was close to the  
429 others, taking into consideration that the media alone were not toxic to any of these cell lines.

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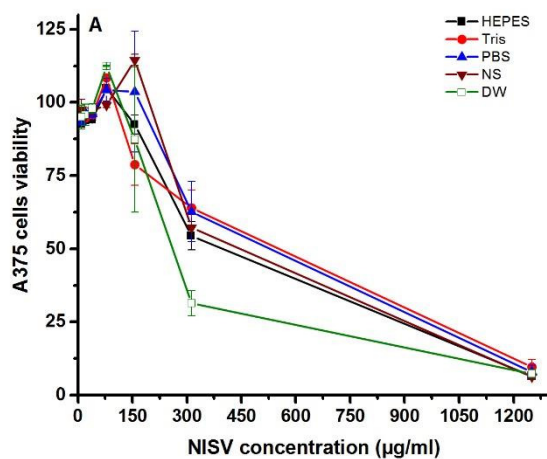
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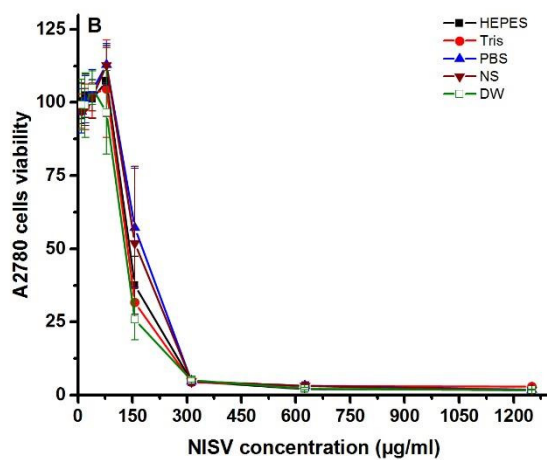
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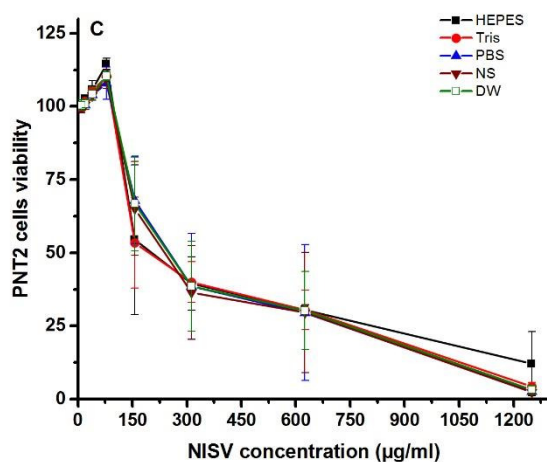
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448 Figure 8. Figure 8 cytotoxicity of the NISV prepared with PBS, NS, HEPES, Tris and DW on

449 (A) A375, (B) A2780 and (C) PNT2 cell lines. The data represents the mean  $\pm$  SD (n=3).

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453 **4. Conclusion**

454 In this paper, we report for the first time that the aqueous media used to prepare NISV by  
455 microfluidics had a significant effect on the physiochemical characteristics of the resultant  
456 particles. These findings provide strong evidence that the type of the media used to prepare  
457 NISV by microfluidics has significant effects on particle size, distribution and surface charge.  
458 The type of the media used should be taken into consideration in order to modulate these  
459 characteristics of the formed particles. This is an important factor that will also have  
460 implications with respect to the entrapped material as the media can be chosen based on the  
461 compatibility with the intended drug to be encapsulated which in addition may help to design  
462 vesicles for different uses based on changing the preparation medium. Our future aim is to use  
463 NISV to therapeutically target cancer cells, therefore, establishing cytotoxicity of the drug  
464 delivery system alone and effect of the preparation media on the NISV cytotoxicity, while the  
465 media were not cytotoxic on their own was also an important finding.

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