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1 2	Therapeutic Potential of Targeting Sphingosine Kinases and Sphingosine 1-phosphate in		
3	Hematological Malignancies		
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# 27 Abstract

Sphingolipids such as ceramide, sphingosine, and sphingosine 1-phosphate (S1P), are bioactive molecules that have important functions in a variety of cellular processes, which include proliferation, survival, differentiation and cellular responses to stress. Sphingolipids have a major impact on determination of the cell fate by contributing to either cell survival or death. While ceramide and sphingosine are usually considered to induce cell death, S1P promotes survival of cells. Sphingosine kinases (SPHKs) are the enzymes that catalyze the conversion of sphingosine to S1P. There are two isoforms, SPHK1 and SPHK2, which are encoded by different genes. SPHK1 has recently been implicated in contributing to cell transformation, tumor angiogenesis, and metastatic spread, as well as cancer cell multidrug-resistance. More recent findings suggest that SPHK2 also has a role in cancer progression. This review is an overview of our understanding of the role of SPHKs and S1P in hematopoietic malignancies and provides information on the current status of SPHK inhibitors with respect to their therapeutic potential in the treatment of hematological cancers. 

Key words: apoptosis, ceramide, drug-resistance, leukemia, lymphoma, multiple myeloma,
sphingosine, sphingosine 1-phosphate

# 53 INTRODUCTION

The most spectacular success so far in the field of targeted therapy of hematological malignancies has been the introduction of the BCR/ABL1 tyrosine kinase ATP-competitive inhibitor, imatinib, which produces a major cytogenetic response in the vast majority of newly-diagnosed chronic phase chronic myelogenous leukemia (CML) patients. However, imatinib resistance/intolerance have led to the development of additional tyrosine kinase inhibitors, which have demonstrated effectiveness as salvage therapies or alternative first-line treatments for CML<sup>1</sup>.

60 CML is a unique disorder in that BCR/ABL1 is sufficient for disease initiation and progression. In 61 contrast, most hematological malignant disorders are more complex and display multiple genetic 62 and/or epigenetic aberrations which affect many signaling pathways, including those responsible for 63 cell proliferation, survival, differentiation, metabolism and drug-resistance. It is highly unlikely that 64 single targeted agent therapy will be sufficient for successful treatment of these more complex 65 diseases. Therefore, the use of rational combinations of appropriately targeted drugs might provide 66 viable treatment options and these could also be combined with traditional chemotherapy.

In 1996, the "sphingolipid rheostat" model was proposed, based on evidence showing that 67 ceramide, sphingosine and sphingosine 1-phosphate (S1P) differentially regulate cellular signaling 68 69 pathways involved in proliferation and survival. The suggestion was that growth factors, cellular stress and inflammatory mediators might alter the balance between ceramide and S1P in order to 70 control cell fate<sup>2,3</sup>. This was supported by the finding that ceramide induces cell growth arrest and 71 72 apoptosis, whereas S1P induces cell growth. Over the following years, many efforts were made to 73 elucidate the molecular signaling pathways by which ceramide and S1P cause their effects. These 74 studies have also revealed important roles for ceramide and S1P in the pathology of several human disorders, including cancer<sup>4</sup>. Therefore, from a therapeutic perspective, these findings have 75 76 provided the rationale for manipulating the ceramide/S1P balance with small molecule inhibitors, in order to, for example, induce apoptosis of cancer cells. One particular target regulating the 77 sphingolipid rheostat is the enzyme, sphingosine kinase (SPHK), which catalyzes the formation of 78

S1P. There are two isoforms, SPHK1 and SPHK2 that are encoded by different genes and which are
involved in hematological malignancies <sup>5</sup>. In this review, we focus on the emerging evidence that
SPHKs may indeed represent a target for innovative treatment of patients suffering from
hematological malignant disorders.

83

# 84 Sphingosine 1-phosphate

Ceramide, sphingosine and S1P are bioactive sphingolipids involved in a wide range of cellular 85 86 processes, including cell proliferation, apoptosis, autophagy, motility, angiogenesis and 87 inflammation. Ceramide can be deacylated by ceramidases to form sphingosine, which in turn is 88 phosphorylated by SPHKs to produce S1P. S1P can then be dephosphorylated by S1P phosphatases or lipid phosphate phosphatases or irreversibly cleaved by S1P lyase (Figure 1). S1P is generally 89 produced within the cell and binds to either intracellular proteins (see below) or, upon export, 90 91 functions as a ligand for five heterotrimeric G protein-coupled receptors, referred to as S1P<sub>1</sub> to S1P<sub>5</sub>. S1P binding to these receptors regulates angiogenesis, lymphocyte trafficking through blood 92 and lymphoid organs, inflammation and cell transformation. This involves activation of signaling 93 include Ras/MEK/extracellular signal-regulated kinase-1/2 94 pathways that (ERK-1/2),95 phosphoinositide 3-kinase (PI3K)/Akt/mammalian target of rapamycin (mTOR), Rac, Rho and phospholipase C (PLC)<sup>6</sup>. S1P is exported from cells through both ATP-dependent and -96 independent mechanisms. ATP-dependent export mechanisms, such as in erythrocytes, mast cells, 97 98 and platelets, involve members of the ATP-binding cassette (ABC) super family of transporters, 99 including ABCC1, ABCA1 and ABCG2. The spinster homolog 2 (SPNS2) is a transporter which 100 plays an important role in exporting S1P from endothelial cells and B- and T-lymphocytes via an ATP-independent mechanism<sup>7</sup>. 101

102 The regulation of intracellular targets by S1P binding also affects inflammation, immediate early 103 gene expression and replicative immortality. For example, tumor necrosis factor (TNF) receptor-104 associated factor 2 (TRAF2) is an essential component in the TNF- $\alpha$ /nuclear factor- $\kappa$ B (NF- $\kappa$ B)

signaling pathway. It has been reported that S1P confers E3 ligase activity on TRAF2. TRAF2 105 106 catalyzes the Lys63-polyubiquitination of the receptor-interacting serine/threonine-protein kinase 1 107 (RIPK1), which serves as a scaffold platform for activation of the NF-KB pathway and regulates cell survival and inflammatory and immune responses. However, the role of SPHK1 in TRAF2-108 NF $\kappa$ B signaling is controversial. For instance, studies have shown that TNF $\alpha$ -mediated activation 109 of NF-kB and cytokine production is unaffected in macrophages deficient in both SPHK1 and 110 SPHK2. S1P has also been shown to bind to and inhibit the activity of histone deacetylases 111 112 (HDACs) 1 and 2 leading to increases in histone acetylation, thereby inducing expression of c-Fos 113 and the cell cycle negative regulator, p21. Furthermore, S1P binds to human telomerase reverse 114 transcriptase (hTERT) to increase its stability, enhance telomere integrity and prevent senescence. The binding of S1P to hTERT prevents its interaction with makorin ring finger protein 1 (MKRN1), 115 116 an E3 ubiquitin ligase that polyubiquitinates hTERT and promotes its proteasomal degradation (see <sup>6</sup> for details). 117

A role for S1P in cancer was initially suggested by the finding that the concentration of S1P in the plasma of cancer patients is elevated, suggesting that S1P might promote tumor growth via S1P receptors <sup>8, 9</sup>. Indeed, S1P binding to S1P receptors promotes carcinogenesis through crosstalk with different receptor tyrosine kinases that involves transactivation <sup>10, 11</sup>, integrative S1P-receptor tyrosine kinase complex formation <sup>12</sup> and regulatory loop amplification <sup>13</sup>. Clinical relevance is evident from studies showing that high expression of SPHK1 and S1P<sub>1</sub> and S1P<sub>3</sub> receptors in estrogen positive breast tumors are associated with poor prognosis <sup>14</sup>.

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# 126 Sphingosine kinases

SPHK1 and SPHK2 belong to a family of proteins highly conserved throughout eukaryotes, ranging
from yeasts to humans. SPHK1 and SPHK2 genes are located on human chromosome 17 (17q25.2)
and 19 (19q13.2), respectively. Although the human isoforms vary considerably in size (384 and

618 amino acids for SPHK1 and SPHK2, respectively), they share 80% similarity and 45% overall 130 sequence identity. SPHK2 contains additional regions at its N-terminus that are involved in 131 regulating membrane localization and a proline-rich insert in the middle of its amino-acid primary 132 sequence (Figure 2). SPHKs display differential expression during development, as well as 133 134 different subcellular localization. Indeed, SPHK2 possesses a functional nuclear localization signal (NLS) and can shuttle in and out of the nucleus. In addition to being localized in the cytosol and the 135 plasma membrane, SPHK2 can associate with mitochondria and under stress conditions with the 136 137 endoplasmic reticulum (ER). In contrast, SPHK1 is distributed in the cytosol and the plasma membrane. These observations indicate that SPHKs have distinct biological roles. However, mice 138 139 with genetic deletion of either Sphk1 or Sphk2 developed normally, suggesting there is considerable functional redundancy. In contrast, deletion of both genes is embryonic lethal due to severely 140 disturbed neurogenesis and angiogenesis<sup>15, 16</sup>. 141

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## 143 Sphingosine kinase 1

Three SPHK1 isoforms have been identified, which result from alternative splicing and differ only 144 in their N-terminal regions <sup>15</sup>. SPHK1a is expressed in the central nervous system, the kidney, 145 146 endothelial cells, megakaryocytes and platelets. SPHK1a appears to be the main contributor to plasma S1P levels. In contrast, SPHK1b has a 14 amino-acid N-terminal extension. SPHK1c 147 (sometimes referred also to as SPHK1b based on antibody identification of 42 versus 51 kDa 148 149 isoforms) has an 86 amino-acid extension at the N-terminus. The N-terminal 86 amino-acid 150 extended SPHK1 isoform (termed here SPHK1b) is very much more stable in cells compared with 151 SPHK1a and appears to be associated with chemo-resistance of cancer cells. For example, the sphingosine kinase inhibitor, SKI-II [SKi, 2-(p-hydroxyanilino)-4-(p-chlorophenyl) thiazole)] 152 153 induces the proteasomal degradation of SPHK1a and SPHK1b in androgen-sensitive LNCaP prostate cancer cells and this is associated with apoptosis of these cells <sup>17</sup>. SKI-II also induces 154 proteasomal degradation of SPHK1a in androgen-independent LNCaP-AI cells, but fails to reduce 155

SPHK1b levels <sup>17</sup> and these cells do not undergo apoptosis. It should be noted that most studies 156 focus on SPHK1a and the relative importance of the splice variant forms remains unclear. SPHK1 157 exhibits intrinsic catalytic activity <sup>18</sup>, which can be further up-regulated by a wide range of growth 158 factors, cytokines, hormones and adhesion molecules which include epidermal growth factor (EGF), 159 TNF- $\alpha$ , and rogens and platelet endothelial cell adhesion molecule (PECAM) -1. Activation of 160 SPHK1 is via phosphorylation, catalyzed by ERK-1/2. ERK-1/2 catalyzes phosphorylation of 161 SPHK1 at Ser 225<sup>19</sup>, which induces its activation and translocation from the cytoplasm to the 162 plasma membrane and which also involves its binding to calcium- and integrin-binding protein 1 163 (CIB1)<sup>20</sup>. SPHK1 phosphorylation is transient, being reversed by protein phosphatase 2A (PP2A) 164 <sup>21</sup>. The S1P formed by SPHK1 can be released from cells to activate S1P receptor-mediated 165 signaling, in a process termed "inside-out" signaling <sup>22</sup>. 166

Over-expression of SPHK1 induced neoplastic transformation of NIH3T3 fibroblasts, although it is 167 168 not yet established whether SPHK1 is an oncogene as there are no reported activating mutations in cancer. Vadas et al.<sup>23</sup> have defined a non-oncogenic addiction of cancer cells to SPHK1. 169 170 Nevertheless, SPHK1 expression levels are up-regulated in several malignancies, including glioblastoma, non-Hodgkin lymphomas (NHL), prostate cancer, colon adenocarcinoma, non-small-171 cell lung cancer and chemoresistant acute leukemia <sup>24, 25</sup>. Moreover, high SPHK1 expression levels 172 173 in tumors is associated with poor patient survival in glioblastoma, gastric cancer, breast cancer and cholongiocarcinoma<sup>4</sup>. It should be noted that in some of these studies SPHK1 mRNA levels were 174 analyzed <sup>24, 25</sup>, whereas in others, SPHK1 protein levels were investigated <sup>13, 14, 24, 25</sup>. 175

A systematic review and meta-analysis of literature data on SPHK1 expression in human cancers as compared to healthy tissue has been recently published <sup>26</sup>. This analysis included 4,673 patients from 7 countries and 19 types of cancer. Overall, this study demonstrated that SPHK1 positivity/high expression in tumors was significantly associated with various types of cancers and reduced 5-year and overall survival. The important role played by SPHK1 in cancer cell biology is substantiated by the fact that chemical targeting of SPHK1 reduced tumor growth in xenograft

mouse models of established human tumor cell lines  $^{27-30}$  and decreased therapeutic resistance in prostate cancer  $^{31-33}$ , pancreatic adenocarcinoma  $^{34}$  and breast cancer cells  $^{35}$ .

184

# 185 Sphingosine kinase 2

Two SPHK2 isoforms (SPHK2-S/SPHK2a and SPHK2-L/SPHK2b) have been identified, encoded
by alternative start codon usage <sup>16</sup>. When compared with SPHK2a, SPHK2b possesses an additional
36 amino acids and is more abundantly expressed in a range of human tissues and cultured cells.
Like SPHK1, SPHK2 displays intrinsic catalytic activity that can be further increased by ERK-1/2catalyzed phosphorylation on Ser 351 and/or Thr 578 (Ser 387 and Thr 614 on SPHK2b)<sup>36</sup>.
SPHK2a localizes to either the nucleus or the cytoplasm and accumulates in the nucleus under
conditions of stress <sup>16</sup>.

In the nucleus, SPHK2 produces S1P which binds to and inhibits HDAC 1/2 activity, resulting in 193 increased histone acetylation and the subsequent expression of the cyclin-dependent kinase inhibitor 194 p21, an inhibitor of cell cycle progression and the transcriptional regulator, c-Fos <sup>37</sup>. SPHK2 195 contains a NLS and a putative nuclear export signal (NES). Phosphorylation in the NES (either Ser 196 197 419 or Ser 421 of SPHK2b) by protein kinase D results in the export of SPHK2 from the nucleus into the cytoplasm <sup>38</sup>. SPHK2 can play a pro-apoptotic role when associated with the endoplasmic 198 reticulum, by generating S1P which is channeled into biosynthesis of pro-apoptotic ceramide <sup>39</sup>. 199 Further evidence to suggest a pro-apoptotic role for SPHK2 is the finding that the BH3-binding 200 domain of SPHK2 sequesters and inhibits the pro-survival Bcl-2 family member, Bcl-xL<sup>40</sup>, while 201 202 S1P formed by SPHK2 affects mitochondrial membrane permeability and cytochrome c release to induce apoptosis <sup>41</sup>. 203

However, several other more recent studies have suggested a pro-survival role for SPHK2 as its
knock-down enhanced apoptosis and increased the sensitivity of cancer cells to chemotherapy <sup>42-45</sup>.
This is supported by the finding that shRNA knock-down of SPHK2 in MCF7 human breast cancer
cells results in delayed growth of cancer cells in immunocompromised mice <sup>46</sup>

Furthermore, the SPHK2 inhibitor ABC294640 decreased cell proliferation in a number of solid cancer types *in vitro* and induced autophagic cell death in kidney, prostate and breast tumor cell lines <sup>47-49</sup> and inhibited *in vivo* growth of breast adenocarcinoma, hepatocellular and renal carcinoma <sup>47, 48</sup>. However, ABC294640 has since been found to inhibit and induce the proteasomal degradation of SPHK1 and dihydroceramide saturase <sup>50, 51</sup>, which may contribute to its anti-tumor effects *in vitro* and *in vivo*.

214

#### 215 Sphingosine kinase inhibitors

The advance in our understanding of the role of SPHKs in disease has provided impetus for the 216 217 development of small molecule inhibitors of these enzymes. Selective inhibitors of SPHK1 with nanomolar potency include PF-543<sup>52</sup> and Genzyme compound 51<sup>53</sup>. These inhibitors are effective in 218 a number of animal disease models. For instance, PF-543 decreases sickling of red blood cells in 219 vitro and in vivo 54 and reduces cardiac remodelling following post myocardial infarction where 220 SPHK1/S1P/S1P<sub>1</sub> participate in cardiac inflammation <sup>55</sup>. SPHK2-selective inhibitors include 221 ABC294640, K145, SLR080811 and ROMe [(R)-FTY720-methyl-ether)], which exhibit micromolar 222 potency. ABC294640 is in phase 1/2 clinical trials for refractory/relapsed diffuse large B cell 223 224 lymphoma (DLBCL) (NCT02229981) and multiple myeloma (MM) (NCT02757326). The use of SPHK2 inhibitors suggests a conserved role of SPHK2 in regulating common signalling pathways in 225 different cancers. For instance, ROMe inhibits DNA synthesis in breast cancer cells <sup>56</sup> and induces 226 the autophagic death of leukemic T-ALL cell lines <sup>57</sup>. There are also inhibitors that target the ATP-227 228 binding site of SPHK. For instance, MP-A08 inhibits SPHK1 and SPHK2 with low micromolar potency <sup>29</sup> and reduces cellular S1P levels, while elevating cellular ceramides, sphingosine, and 229 dihydrosphingolipids. This appears to underlie the mechanisms by which MP-A08 induces apoptosis 230 and inhibits cell proliferation and colony formation in vitro. 231

To date, there are no high-potency SPHK2-specific inhibitors. However, with the solved crystal structures of SPHK1 in the absence and presence of SPHK inhibitors (SKI-II <sup>58</sup>, PF-543 <sup>59</sup> and

Amgen compound 23<sup>60</sup> and with ADP<sup>58</sup>), it has been possible to define the sphingosine substrate binding site (named the 'J-channel' due to its shape), the nucleotide binding site and detail of the interaction of sphingosine-competitive inhibitors <sup>60</sup> and an ATP-competitive inhibitor <sup>29</sup>. In the future this will help inform on the design of isoform-selective inhibitors by identifying and exploiting key differences between SPHK1 and SPHK2.

Dual SPHK1/SPHK2 inhibitors include SKI-II (also called SKi) <sup>61</sup> and Amgen compound 82 <sup>62, 63</sup>. SKI-II inhibits human SPHK1 and SPHK2 with micromolar potency <sup>63</sup> and induces the proteasomal degradation of SPHK1 in cancer cells <sup>17</sup>. *In vivo* effects include the reduction of tumor volume <sup>64</sup>, reduced bronchial hyper-responsiveness, prevention of cerebral preconditioning and increased atherosclerosis in low-density lipoprotein receptor deficient (LDL-R<sup>-/-</sup>) mice.

The therapeutic potential afforded by targeting SPHKs continues to fuel a drive to generate small molecule inhibitors for several disease indications.

246

# 247 SPHINGOSINE METABOLISM AND SIGNALING IN MALIGNANT HEMATOPOIETIC 248 DISORDERS

S1P displays well-known mitogenic and anti-apoptotic activities. Several factors [e.g. plateletderived growth factor (PDGF)] that promote proliferation/survival have been shown to activate SPHK1 in hematological malignancies such as T-cell large granular lymphocytic leukemia (T-LGL <sup>65</sup>). We will now review the evidence which links SPHKs and S1P with the pathobiology of malignant blood disorders (summarized in Table 1).

It should not be forgotten however, that S1P acts as a major chemoattractant which directs the egress of healthy hematopoietic stem cell from bone marrow  $^{66}$  as well as their homing and engraftment in the same compartment  $^{67}$ .

257

# 259 Chronic myelogenous leukemia

Some CML patients are either initially refractory to imatinib treatment or develop resistance and experience disease relapse. Second- and third-generation BCR/ABL1 inhibitors have been developed for treating imatinib-resistant patients and are being successfully used in the clinic <sup>68</sup>. However, even the introduction of these new drugs has not completely solved the problem of tyrosine kinase inhibitor resistance in CML patients as this leukemia can be driven independently of BCR/ABL1 <sup>69</sup>.

266 Several lines of evidence support the possibility that SPHK1 and its regulation of the sphingolipid rheostat have an important role in CML. Baran et al. <sup>70</sup> demonstrated that imatinib increased the 267 generation of C18-ceramide in sensitive, but not resistant K562 cells <sup>70</sup>. This was correlated with 268 higher expression levels of SPHK1 in imatinib-resistant K562 cells. Indeed, the knock-down of 269 SPHK1 expression by siRNA in these resistant cells decreased S1P levels and increased the 270 271 sensitivity to imatinib, thereby providing evidence that SPHK1 was responsible for the acquisition of resistance to imatinib. This was supported by the finding that the overexpression of SPHK1 in 272 273 K562 cells increased the total S1P/C18-ceramide level ratio approximately 6-fold and prevented apoptosis in response to imatinib. Interestingly, this is associated with a  $\sim$ 2-fold increase in 274 BCR/ABL1 protein expression. A link between SPHK1 and BCR/ABL1 was evinced by the finding 275 that the siRNA knock-down of SPHK1 resulted in a decrease in BCR/ABL1 protein levels <sup>70</sup>. This 276 is important in terms of linking SPHK1 with clinical prognosis in CML as BCR/ABL1 levels are 277 directly proportional to the extent of imatinib resistance in CML cell lines <sup>71-73</sup> and in patients <sup>74</sup>. 278

Additional studies demonstrated that increased expression of SPHK1 in imatinib-resistant cells was due to over-activation of the PI3K/Akt/mTOR signaling pathway <sup>75</sup>. This was a significant finding as activation of this pathway has been linked with tyrosine kinase inhibitor resistance in CML cells<sup>76-80</sup>. In addition, ERK-1/2 and Janus kinase (JAK) 2 are implicated in regulating the expression of SPHK1 in BCR/ABL1-transformed cells <sup>81</sup>.

SPHK1/S1P signaling also enhances BCR/ABL1 protein stability via a mechanism that involves 284 S1P<sub>2</sub>-dependent inhibition of the proteasomal degradation of BCR/ABL1 in imatinib-resistant K562 285 and LAMA-4 human CML cells <sup>82</sup>. S1P binding to S1P<sub>2</sub> prevented BCR/ABL1 dephosphorylation 286 and degradation via inhibition of PP2A activity. Moreover, molecular or pharmacologic 287 288 interference of SPHK1/S1P<sub>2</sub> signaling restored PP2A-dependent BCR/ABL1 dephosphorylation 289 and enhanced imatinib- or nilotinib-induced growth inhibition in primary CD34<sup>+</sup> mononuclear cells (obtained from either chronic phase or blast crisis CML patients), imatinib-resistant K562 or 290 291 LAMA4 cells and 32Dcl3 murine progenitor cells, expressing the wild-type or mutant (Y253H or 292 T315I) BCR/ABL1. This model was supported by evidence demonstrating that the abrogation of 293 SPHK1/S1P<sub>2</sub> signaling enhanced the growth-inhibitory effects of nilotinib in 32D/T315I-BCR-ABL1-derived mouse allografts<sup>82</sup>. 294

295 These findings support the notion that inhibiting SPHK1/S1P<sub>2</sub> signaling might represent a novel 296 approach for targeting either wild-type or mutant BCR/ABL1, thereby overcoming resistance to tyrosine kinase inhibitors in CML cells. In this regard, treatment with the SPHK1 inhibitor, SKI-II, 297 impaired cell cycle progression and induced apoptosis in K562 cells. Moreover, SKI-II acted 298 299 synergistically with imatinib to inhibit cell growth and survival and affected the clonogenic 300 potential and viability of primary cells from CML patients, including one patient harboring the imatinib-insensitive T315I mutation<sup>83</sup>. The anti-apoptotic activity of SPHK1 in BCR/ABL1-301 harboring CML cells is dependent on the expression of Bcl-2 family members. Thus, imatinib 302 303 treatment failed to down-regulate anti-apoptotic Bcl-xL and myeloid cell leukemia-1 (Mcl-1) levels 304 in LAMA84 cells overexpressing SPHK1, as well as increasing the expression of pro-apoptotic Bim in LAMA84/Neo cells<sup>84</sup>. Mcl-1 appears to have a critical role in mediating the anti-apoptotic 305 306 function of SPHK1. This was evidenced by studies showing that combined treatment of K562 and 307 primary cells from CML patients with SKI-II and the proteosomal inhibitor bortezomib caused apoptosis accompanied by down-regulation of Mcl-1<sup>85</sup>. A schematic on the relationship between 308 SPHK1/S1P, BCR/ABL1 and imatinib resistance is presented in Figure 3. 309

These findings provide a powerful rationale for targeting SPHK1 in CML, not least because the overwhelming evidence suggests that SPHK1 has a critical and definitive role in regulating the oncogenic signaling gain of BCR/ABL1 through an S1P/S1P<sub>2</sub> receptor-dependent stabilization of BCR/ABL1. In addition, SPHK1 also confers resistance of CML cells to imatinib by maintaining Mcl-1 expression. Taken together these findings demonstrate functional association of SPHK1 with important oncogenes that underlie the hallmarks of cancer.

316

#### 317 Large granular lymphocytic leukemia

318 LGL leukemia is a rare and incurable chronic disease, characterized by clonal expansion of either 319 cytotoxic T-cells (T-LGL) or natural killer (NK) cells (NK-LGL) in blood and bone marrow. Somatic activating Signal Transducer and Activator of Transcription (STAT) 3 mutations have been 320 shown to be specific for T-LGL leukemia and with a prevalence of up to 70%<sup>86</sup>. It has been shown 321 322 that SPHK1 is activated by PDGF in T-LGL and its inhibition by SKI-I and SKI-II can significantly induce apoptosis of leukemic cells <sup>65</sup>. The importance of this finding is exemplified by the fact that 323 324 SPHK1 is overexpressed in NK-LGL cells. Moreover, the mechanism by which SKI-II or SKI-178 325 induce apoptosis in NK-LGL cells is associated with increased ceramide and decreased S1P levels, 326 consistent with inhibition of SPHK1 and in line with the predicted outcome of modulating the sphingolipid rheostat. Significantly, the apoptotic effect of SPHK1 inhibitors in NK-LGL cells was 327 linked with decreased oncogenic JAK/STAT signaling 87. 328

329

# 330 Acute lymphoblastic leukemia

The prognosis for pediatric B-ALL and T-ALL patients has dramatically improved over the last two decades with survival rates of approximately 75-80% at 5-years. In contrast, the outcome of adult patients is much more severe <sup>88</sup>. Therefore, novel targeted therapies for treatment of B- and T-ALL are required, especially for adult cases.

It was recently shown that SPHK2 has a significant role in B-ALL by regulating the expression of 335 c-MYC. This was based on the finding that genetic ablation of SPHK2 impaired leukemogenesis in 336 337 a mouse model of B-ALL and pharmacologic inhibition with ABC294640 prolonged survival in mouse xenograft models of human disease 89. Indeed, inhibition of SPHK2 reduced c-MYC 338 expression in these leukemic cells and was associated with decreased acetylated K9 histone H3 339 340 levels within the c-MYC gene promoter and reduced c-MYC-regulated gene expression. These findings have provided preclinical proof-of-concept for targeting SPHK2/c-MYC as a broad-based 341 342 therapeutic approach in B-ALL.

343 S1P prevents T-ALL Jurkat cell apoptosis induced by anti-Fas, TNF-α, serum deprivation and cellpermeable ceramides. This is associated with reduced caspase-3 activation, a consequence of 344 inhibiting the release of cytochrome c and Smac/DIABLO from mitochondria<sup>90</sup>. Recently, we have 345 shown that the SPHK1/2 inhibitor SKI-II<sup>61</sup> induced apoptosis of T-ALL cells, while the SPHK2-346 selective inhibitor ROMe <sup>56</sup> induced autophagic death of these cells <sup>57</sup>. SKI-II treatment induced an 347 348 increase in SPHK1 protein levels in MOLT-4 cells, whereas it activated the ER stress/unfolded 349 protein response (UPR) pathway in Jurkat and CEM-R cells as protective mechanisms in a subpopulation of T-ALL cells <sup>57</sup>. Interestingly, we observed a synergistic effect of SKI-II with the 350 351 classical chemotherapeutic drug, vincristine. In addition, we reported that SKI-II affected signaling 352 pathways implicated in survival, proliferation, and stress response of T-ALL cells. These findings indicate that SPHK1 and/or SPHK2 are potential therapeutic targets for treating T-ALL 57. 353

The overwhelming evidence suggests that SPHK1 and SPHK2 have a critical role in regulating the expression and function of the oncogene c-MYC, which is the master transcriptional regulator of glycolytic gene products essential for the Warburg effect and to which cancer cells are addicted for production of ATP and biosynthetic intermediates <sup>91</sup>. The functional link between SPHKs and c-MYC provides a strong rationale for targeting these enzymes in both T-ALL and B-ALL.

359

#### 361 Acute myelogenous leukemia

AML is a clonal disorder characterized by pronounced clinical and biological heterogeneity. Despite considerable advances in our understanding of pathogenesis, genomic alterations and prognostic factors, AML treatment has changed little in the last 40 years and the prognostic outcome remains poor for the majority of patients <sup>92</sup>. Over the last 10 years, there have been an increasing number of signaling pathways identified for targeting with new drugs in AML.

Studies on S1P and AML have shown that this bioactive lipid mobilizes intracellular Ca<sup>2+</sup> in U937 367 cells and activates NF- $\kappa$ B<sup>93</sup> and is capable of inhibiting apoptosis in both U937 and HL-60 cells<sup>90</sup>. 368 Importantly, several studies have identified SPHK1 as a potential drug target for AML treatment. 369 For instance, BML-258 (SKI-I) is a micromolar potency, water-soluble, SPHK1 inhibitor and has 370 371 been shown to decrease growth and survival of human AML U937 cells. This is associated with an increased ceramide : S1P ratio, cleavage of Bcl-2 and apoptosis <sup>27</sup>. Indeed, the pro-apoptotic effect 372 373 of BML-258 was reversed by caspase inhibitors and by overexpression of Bcl-2. BML-258 also abrogates survival signaling pathways, including ERK-1/2 and Akt. The importance of these 374 375 pathways in the apoptotic activity of BML-258 was demonstrated by the finding that overexpression of constitutively active Akt protected against BML-258-induced apoptosis. 376 377 Importantly, BML-258 potently induced apoptosis in leukemic blasts isolated from patients with 378 AML but was relatively sparing of peripheral blood mononuclear leukocytes from healthy donors. Moreover, BML-258 markedly reduced growth of AML xenograft tumors. These results suggested 379 that SPHK1 inhibitors warrant attention as potential additions to the therapeutic arsenal in AML<sup>27</sup>. 380

Subsequent studies have confirmed this conclusion, using inhibitors such as SKI-178 <sup>94</sup> and SKI-II
 <sup>95</sup>.

SPHK1 activity has also been linked to multidrug-resistant (MDR) phenotype in AML HL-60 cells <sup>96</sup>. In this regard, treatment of chemosensitive HL-60 cells with either doxorubicin and etoposide produced a marked decrease in SPHK1 activity and an acute generation (around 50% increase) of the pro-apoptotic ceramide. However, doxorubicin and etoposide failed to induce apotosis of

chemoresistant HL-60/doxorubicin and HL-60/etoposide cells which overexpress MRP1 (ABCC1) 387 and MDR1 (ABCB1), respectively. This difference in chemosensitivity can be explained by the 388 389 finding that chemoresistant HL-60/doxorubicin and HL-60/etoposide cells express higher levels of 390 SPHK1 activity and therefore are resistant to changes in ceramide levels upon treatment with these apoptotic agents. The mechanism by which SPHK1 is protective against apoptosis is linked with a 391 392 reduction in ceramide levels and inhibition of mitochondrial cytochrome c efflux. Indeed, treatment of chemoresistant cells with cell-permeable ceramide led to SPHK1 inhibition and the induction of 393 394 apoptosis, both of which were prevented by over-expression of SPHK1. More effective SPHK1 395 inhibitors might overcome the chemoresistance in AML. Indeed, the SPHK inhibitor, F-12509a increased the ceramide:S1P ratio and promoted apoptosis of 396 both chemosensitive and chemoresistant AML cell lines with equal sensitivity <sup>96</sup>. 397

Taken together, the evidence suggests that the regulation of the intrinsic apoptotic pathway in AML by SPHK1 and in particular the inhibition of the proteolytic processing of the oncogene, Bcl-2 is a critical component in promoting AML cell survival and chemoresistance. This therefore serves as another example of the important role that SPHK1 plays in augmenting oncogene function in hematological cancers.

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#### 404 Non-Hodgkin lymphomas

The outcome for patients with NHL has improved substantially over the past four decades. However, there remain NHL subtypes with a very poor prognosis. Interestingly, SPHK1 protein and mRNA levels were higher in 44 NHL patients than in controls (25 individuals with reactive lymphoid hyperplasia) and there was a clear trend toward increasing SPHK1 mRNA levels and clinical grade in this cancer <sup>25</sup>.

410 Mantle cell lymphoma (MCL) is a distinct subset of B-cell non-Hodgkin lymphoma (NHL) 411 characterized by the t(11;14) (q13;q32) chromosomal translocation and which results in 412 overexpression of cyclin D1 and deregulation of the cell cycle <sup>97</sup>. Although intensive

polychemotherapy schemes and immunotherapy with anti-CD20 monoclonal antibody (Rituximab) 413 have improved the outcome of patients with MCL <sup>98</sup>, no standard of care is currently available for 414 this cancer which remains incurable <sup>99</sup>. Several signal transduction pathways are aberrantly 415 activated in MCL, including NF-KB, PI3K/Akt/mTOR and JAK3/STAT3<sup>100</sup>. In addition, S1P<sub>1</sub> 416 expression levels are elevated in MCL. In particular, this receptor was strongly expressed on the 417 surface of small lymphocytes forming primary lymphoid follicles and in the mantle zone of 418 secondary lymphoid follicles <sup>101</sup>. Interestingly, FTY720 (fingolimod, a pro-drug and functional 419 antagonist of S1P<sub>1</sub> used in the treatment of relapsing and remittent multiple sclerosis <sup>102</sup>) induced 420 the caspase-independent death of primary MCL tumor cells and MCL cell lines *in vitro*. Moreover, 421 422 FTY720 treatment resulted in the down-regulation of cyclin D1 and this was accompanied by an 423 accumulation of cells in  $G_0$ - $G_1$  and  $G_2$ -M phases of the cell cycle with a concomitant decrease in Sphase entry. In addition, cytotoxicity was associated with a decrease in phosphorylated Akt levels. 424 425 Most importantly, the in vivo therapeutic efficacy of FTY720 was demonstrated in mice xenografted with Jeko human MCL cell line <sup>103</sup>. These effects of FTY720 are likely mediated by its 426 inhibition of SPHK1<sup>104</sup> or activation of PP2A<sup>105</sup>. 427

Overexpression of S1P<sub>2</sub> was recently reported in several types of NHL, including follicular 428 lymphoma (FL), DLBCL, MCL and marginal-zone lymphoma (MZL)<sup>106</sup>. One of the most 429 430 aggressive subtypes of NHL is activated B cell-like DLBCL (ABC-DLBCL). This cancer remains a challenge for effective therapy <sup>107</sup>. In this regard, STAT3 is known to be activated in ABC-DLBCL 431 cells and might be of significant clinical importance in terms of disease progression<sup>108</sup>. Intriguingly, 432 S1P<sub>1</sub> can activate STAT3 through JAK2<sup>109</sup> and some ABC-DLBCL patients exhibit elevated S1P<sub>1</sub> 433 434 and activated STAT3 levels. The importance of this finding was exemplified by the finding that treatment with S1P<sub>1</sub> shRNA or FTY720 down-regulated STAT3 activity and caused tumor growth 435 inhibition in xenografts or syngeneic mouse models of lymphoma <sup>110</sup> (Figure 4). Moreover, the 436 overexpression of S1P<sub>1</sub> and high levels of phosphorylated STAT3 are associated with poor 437 prognosis in rituximab-treated DLBCL<sup>111</sup>. Very recently, it has been documented that S1P<sub>1</sub> is 438

overexpressed in 54.2% of 24 cases with primary testicular DLBCL and S1P<sub>1</sub> levels correlated with
 STAT3 phosphorylation <sup>112</sup>.

Another rare and extremely aggressive variant of DLBCL is primary effusion lymphoma (PEL), a 441 442 human herpes virus 8 (HHV8)-positive neoplasm that presents as an effusion within pleural or peritoneal cavities with no detectable tumor in individuals with human immunodeficiency virus 443 infection or other immune deficiencies. In most cases, PEL cells also harbor the Epstein-Barr virus 444 (EBV) genome <sup>113</sup>. PEL progresses rapidly despite chemotherapy, with a median survival of around 445 6 months <sup>114</sup>. It has been reported that ABC294640 induced dose-dependent caspase cleavage and 446 apoptosis in HHV8<sup>+</sup> patient-derived PEL cells, thereby implicating a role for SPHK2 in this cancer. 447 448 Also, ABC294640 down-regulated signaling pathways that are known for being activated in PEL and which are regulated by S1P, including MEK-ERK-1/2, PI3K/Akt/mTOR and NF-KB<sup>115</sup>. The 449 450 role of SPHK2 was validated by induction of PEL cell apoptosis using SPHK2-specific siRNA. In 451 addition, pharmacological inhibition of SPHK1 in PEL cells was associated with a dose-dependent 452 accumulation of pro-apoptotic ceramide and a reduction of intracellular S1P. Finally, in vivo 453 administration of ABC294640 induces tumor regression in an established human PEL xenograft model<sup>115</sup>. Sequential Phase 1 and 2a trials are on-going to identify the maximum tolerated dose and 454 455 to evaluate safety, tolerability, toxicity, pharmacokinetics and pharmacodynamics of ABC294640 in 456 patients with PEL (NCT02229981).

Taken together the data support a major role for both SPHK1 and SPHK2 and S1P receptor signaling systems in NHL, particularly with respect to the regulation of oncogenic JAK/STAT and Akt pro-survival functions. This might involve signaling loops involving SPHK1 and S1P that are subsequently released to act in an autocrine or paracrine manner to promote S1P<sub>1</sub> receptordependent JAK/STAT regulation of NHL growth.

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#### 465 **Multiple myeloma**

New classes of therapeutic agents have displayed remarkable efficacy in MM patients. 466 467 Nevertheless, novel therapeutic approaches are still urgently needed to further improve patient outcome. The bone marrow microenvironment (e.g. stromal cells and immune cells) plays a central 468 role in MM pathogenesis, by promoting tumor cell growth, survival and chemo-resistance <sup>116</sup>. A 469 470 possible involvement of SPHKs in MM cell survival and chemo-resistance was first highlighted 10 years ago when it was shown that FTY720 was cytotoxic against both drug-sensitive and drug-471 472 resistant MM cell lines. This was also demonstrated in isolated tumor cells from MM patients who did not respond to conventional agents <sup>117</sup>. In this regard, FTY720 has been shown to induce 473 474 caspase activation and poly(ADP-ribose) polymerase (PARP) cleavage. Importantly, FYT720 retained its cytotoxicity even in the presence of interleukin-6 (IL-6) or insulin-like growth factor-1 475 (IGF-1). It should be noted that IL-6 and IGF-1 are two of the most important cytokines, released 476 by the bone marrow microenvironment, that support growth and survival of MM cells <sup>118</sup>. 477 Importantly, growth of MM cells adherent to bone marrow stromal cells was significantly inhibited 478 by FTY720 and this was associated with down-regulation of signaling pathways critical for MM 479 pathobiology, including PI3K/Akt/mTOR, MEK/ERK-1/2, STAT3 and NK-KB<sup>117</sup>. 480

Recent findings have highlighted that SPHK1 protein expression is elevated in MM cells and its
inhibition resulted in apoptotic death of cancer cells due to the prevention of receptor tyrosine
kinase phosphorylation and activation of death-associated protein kinase 1 (DAPK1) <sup>119</sup>.

S1P might also play an important role in MM cell adhesion, which is dependent on  $\alpha 4\beta 1$  integrin and is crucial for the progression of the disease <sup>120</sup>. In this context,  $\alpha 4\beta 1$ -dependent MM cell adhesion is up-regulated by the chemokine, CXCL12 <sup>121</sup>. S1P enhances  $\alpha 4\beta 1$ -mediated MM cell adhesion and transendothelial migration stimulated by CXCL12 <sup>122</sup>. In particular, S1P promotes the generation of high-affinity  $\alpha 4\beta 1$  that efficiently binds  $\alpha 4\beta 1$  ligand and vascular cell adhesion molecule 1 (VCAM-1). Importantly, this is associated with an S1P-induced increase in talin- $\beta 1$ integrin association. Furthermore, S1P cooperates with CXCL12 in enhancing  $\alpha 4\beta 1$ -dependent

adhesion and spreading. The mechanism of this cooperation involves activation of the dedicator of cytokinesis 2 (DOCK2)-Rac1 pathway which is required for stimulation of MM cell adhesion via  $\alpha 4\beta 1$ . The pathophysiological significance of these findings is evident from *in vivo* studies, which have demonstrated that S1P contributed to optimizing the interactions of MM cells with the bone marrow microvasculature and for their lodging inside the bone marrow <sup>122</sup>.

More recently, SPHK2 has been found to be overexpressed in MM cell lines and in primary human bone marrow MM cells <sup>123</sup>. Down-regulation of SPHK2 by shRNA or treatment with ABC294640 inhibited proliferation and induced caspase 3-mediated apoptosis in both MM cell lines and primary cells and this can be achieved even in the presence of bone marrow stromal cells. Furthermore, ABC294640 directed c-MYC and Mcl-1 for proteasome degradation and increased pro-apoptotic Noxa gene transcription and protein expression and suppressed the growth of human MM.1S cells in a mouse xenograft cancer model <sup>123</sup>.

503 Overall, these findings have provided the preclinical framework for clinical trials of SPHK 504 inhibitors, used alone and/or combined with conventional and novel therapies to improve patient 505 outcome in MM.

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# 507 Conclusions and future perspectives

508 Over the last five years there have been major advances in understanding the role of S1P and 509 SPHKs in hematological malignancies. Aberrant regulation of the sphingolipid metabolism is 510 involved in the progression of malignancy and cancer cell drug-resistance. Therefore, a promising 511 approach for targeted treatment of hematological malignancies is the development of SPHK 512 inhibitors that increase pro-apoptotic sphingolipids such as ceramides while suppressing the 513 synthesis of the anti-apoptotic S1P.

Suppression of SPHK1 by genetic ablation (siRNA or gene knockout murine models) or chemical
inhibition have established the important role of this enzyme in cancer. Although our knowledge of
SPHK2 is more limited, the burgeoning evidence also suggests a role in cancer. Therefore, highly

selective and potent SPHK2 inhibitors are eagerly awaited in order to provide a means for a more
thorough interrogation of this enzyme in hematological cancers. SPHK1 and SPHK2 may not be the
only relevant targets in cancer cells as the pathways regulating these enzymes and down-stream
targets are also worthy of therapeutic targeting. The simultaneous inhibition of both SPHK1 and
SPHK2 activity also warrants appraisal<sup>5</sup>.

522 Finally, the potential for combining SPHK inhibitors (or other sphingolipid pathway components deregulated in cancer) with currently available therapeutic agents (either targeted or classic 523 524 chemotherapeutic drugs) holds significant promise for improved disease-treatment outcome. 525 However, the reported combinatory effects are scarce at present. One notable exception concerns 526 CML. In this regard, there are impressive findings concerning the re-sensitization of imatinibresistant CML cells by inhibiting SPHK1 activity<sup>5</sup>. It is in this disorder that the greatest 527 translational advances will most likely be made. However, it is clear that better and more selective 528 and potent SPHK inhibitors are required for the translation to the clinic. These compounds are 529 already under development and it is hoped they will be tested in clinical trials in the near future. 530

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# 532 **Conflict of Interest**

533 The authors declare no conflict of interest.

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1014 **Figure legends** 

Figure 1. Sphingolipid metabolism. Ceramide can be synthesized *de novo* or generated through
the breakdown of sphingomyelin or complex glycosphingolipids (not shown) or by the acetylation
of sphingosine. Phosphorylation of sphingosine by SPHK generates S1P. SMase:
Sphingomyelinase. SMsynthase: Sphingomyelin synthase.

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**Figure 2**. **Domain organization of SPHK isoforms and splice variants**. Blue represents untranslated exon regions while grey represents translated exon regions. All SPHK isoforms have five highly conserved regions: a catalytic domain (yellow), a lipid binding domain (black) and three ATP binding domains (red), one of which is split across an intron.

1024

1025 Figure 3. Role of SPHK/S1P signaling in CML. Imatinib resistance (red arrows) in CML is 1026 associated with elevated expression of BCR/ABL1 and SPHK1 (which may involve increased PI3K/Akt/mTOR, ERK-1/2 and JAK2 signaling). Over-expression of SPHK1 enhances 1027 BCR/ABL1 levels via S1P<sub>2</sub>-mediated inhibition of PP2A, thereby preventing the dephosphorylation 1028 1029 of BCR/ABL1 and reducing subsequent proteasomal degradation of BCR/ABL1. Over-expressed 1030 SPHK1 also maintains expression of the pro-survival protein, Mcl-1. Pharmacological inhibition of 1031 SPHK1/S1P<sub>2</sub> or activation of PP2A (black double arrows) counteracts these events and restores 1032 imatinib sensitivity.

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#### 1034 Figure 4. Role of S1P<sub>1</sub> in NHL sub-type, activated ABC-DLBCL.

1035 S1P<sub>1</sub> and STAT3 are up-regulated in ABC-DLBCL and associated with poor prognosis. 1036 Knockdown of S1P<sub>1</sub> using shRNA indirectly reduces STAT3 phosphorylation levels and inhibits 1037 tumor growth. The pro-drug FTY720 is phosphorylated by SPHK2 to FTY720-phosphate. This is 1038 released, binds to S1P<sub>1</sub> and induces proteasomal degradation of this receptor. The reduction of S1P<sub>1</sub> 1039 levels inhibits tumor growth *in vitro* and *in vivo*.

Disorder	Component	Roles
CML	SPHK1	Enhanced expression by BCR/ABL1 and vice versa <sup>79</sup>
		Inhibition results in cytotoxicity and enhances imatinib
		sensitivity <sup>68</sup>
	S1P	Up-regulates anti-apoptotic Mcl-1 <sup>79</sup>
		Binding to S1P <sub>2</sub> inhibits PP2A-dependent BCR/ABL1
		dephosphorylation <sup>80</sup>
T-LGL	SPHK1	Activity stimulated by PDGF. Inhibition results in apoptosis
		65
NK-LGL	SPHK1	Enhanced expression. Inhibition results in apoptosis <sup>85</sup>
AML	SPHK1	Inhibition results in cytotoxicity of U937 xenografts in mice
		27
		Inhibition induces HL-60 cell cytotoxicity and sensitizes
		chemoresistant HL-60 cells 93
	S1P	Elicits mitogenic signals through NF-KB activation in U937
		cells <sup>90</sup>
		Inhibits apoptosis in U937 and HL-60 cells <sup>88</sup>
B-ALL	SPHK2	Oncogenic in mouse through c-MYC <sup>87</sup>
T-ALL	SPHK1	Inhibition results in apoptosis 57
	SPHK2	Inhibition results in autophagic cell death <sup>57</sup>
	S1P	Induces apoptosis <sup>88</sup>
NHL	SPHK1	Enhanced expression <sup>25</sup>
PEL	SPHK2	Inhibition results in apoptosis <sup>112</sup>
	S1P	Regulates ERK 1/2, PI3K/Akt/mTOR, and NF-KB signaling
		112
MM	SPHK1	Inhibition prevents receptor tyrosine kinase phosphorylation
		and activation of DAPK1 <sup>116</sup>
	SPHK2	Over-expressed in MM cells. Inhibition results in down-
		regulation of cell proliferation and enhanced apoptosis <sup>120</sup>
	S1P	Plays a role in MM cell adhesion <sup>117</sup>

 Table 1. The roles of SPHK and S1P in malignant hematological disorders.







