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1 **Seismic slip on the west flank**  
2 **of the Upper Rhine Graben (France-Germany):**  
3 **Evidence from tectonic morphology and cataclastic deformation bands**

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18  
19 4177 words, 39 references, 9 figures.

20 Running header: Faulting and cataclastic deformation in the Upper Rhine Graben

21  
22 Abstract.

23 Intraplate large and moderate earthquakes have occurred along the Upper Rhine  
24 Graben (URG) in the past but no coseismic surface faulting has been reported so far. We  
25 investigate the 25-km-long linear Riedseltz-Landau normal fault scarp affecting late  
26 Pleistocene and Holocene deposits of the western edge of the northern URG. The fault zone  
27 with cataclastic deformation textures is exposed in the Riedseltz quarry where it affects  
28 Pliocene and late Pleistocene (Wurm) units. Cataclasis is demonstrated by spalling and  
29 transgranular fractures in quartz grains concentrated in deformation bands with reduced grain  
30 size. The observed microstructures suggest multiple phases of deformation with cataclasis  
31 followed by emplacement of Fe-oxide matrix into deformation bands, and later emplacement  
32 of a clay-rich matrix into fractures. Previous studies along the fault show late Pleistocene  
33 (Wurm) loess deposits and early Holocene sand-silty deposits with 1.5 m and 0.7 m surface  
34 slip, respectively. New and previous results provide a minimum 0.15 mm/yr slip rate. A  
35 dislocation model suggests a minimum Mw 6.6 earthquake as a plausible scenario in the  
36 western edge of northern URG. Surface faulting in young sediments associated with cataclasis  
37 provides new evidence for assessing the occurrence of large earthquakes and seismic hazard  
38 assessment in the northern URG.

39

40 Key words: Upper Rhine Graben, Riedseltz-Landau normal fault, cataclasite, fractured grains.

41

42 The Upper Rhine Graben (URG) and Lower Rhine Embayment (LRE) are major  
43 intraplate seismically active tectonic zones in the European plate interior with low  
44 deformation rates  $\leq 1$  mm/yr (Stein et al., 2015). Late Pleistocene and Holocene normal  
45 faulting correlated with past earthquake activity and surface ruptures have revealed the  
46 potential for  $M_w \geq 6.5$  earthquakes (Camelbeeck and Meghraoui, 1998; Meghraoui et al.,  
47 2001; Vanneste et al., 2001; Ferry et al., 2005; Grützner et al., 2016). Identified fault scarps  
48 with cumulative surface slip in the URG and LRE correspond to crustal-scale normal fault  
49 structures visible by means of recent seismicity distribution (Bonjer et al., 1984; Camelbeeck  
50 and Van Eck, 1994) and seismic reflection data (Brun et al., 1992). In the URG, the active  
51 deformation with strain distribution  $\leq 10^{-10}$  and fault slip rate  $\leq 0.5$  mm/yr can be correlated  
52 with a low-level of seismicity (Fig. 1; Ferry et al., 2005; Masson et al., 2010; Fuhrmann et al.,  
53 2013). However, major fault segments delineating the graben edges that may generate large  
54 intraplate earthquakes are poorly known.

55 Cataclasis is commonly believed to take place only along faults below depths of 500-  
56 1000m (Fulljames et al., 1997; Bense et al., 2003, Petrik et al., 2014). However, recent studies  
57 have shown that it is possible to develop cataclasis along faults in shallowly buried  
58 unconsolidated sands (Heynekamp et al., 1999; Sigda et al., 1999; Cashman and Cashman  
59 2000; Rawling et al., 2001; Rawling and Goodwin, 2003; 2006; Bense et al., 2003; Cashman  
60 et al. 2007; Evans and Bradbury 2007; Sallet and Wibberley 2010) and tuffs (Wilson et al.,  
61 2003; 2006). Cashman and Cashman (2000) and Cashman et al. (2007) have suggested that  
62 the presence of cataclasis in unconsolidated sand can be used as an indicator of seismic slip.  
63 Cashman et al. (2007) showed that at a location where the San Andreas fault is creeping, fault  
64 zones cutting unconsolidated sand deform by grain rolling, whereas cataclastic deformation  
65 bands are found along segments of the San Andreas fault that have deformed by stick slip in  
66 large earthquakes. While deformation bands are not exclusively related to coseismic slip  
67 (Balsamo and Storti 2011) the presence of cataclasis in very shallowly buried sediments that  
68 have never experienced high confining stress may be an indicator of high dynamic stresses  
69 during coseismic slip.

70 In this study we present evidence for young surface offsets and cataclasis within a  
71 fault zone affecting unconsolidated late Pleistocene (loess) and Holocene deposits along the  
72 western flank of the northern URG. The Riedseltz-Landau fault zone is exposed in an open pit  
73 sand quarry situated about 1.5 km northeast of the town of Riedseltz village, and mapped

74 using fault scarp morphology crossing late Quaternary alluvial fans. Samples collected from  
75 the fault zone in the quarry are analysed for microstructures and mineralogy highlighting the  
76 evolution of the cataclastic deformation bands, slip surfaces and fractures. The potential link  
77 between coseismic deformation and cataclasis in very shallowly buried unconsolidated sand  
78 provides further evidence that this fault has hosted seismic events.

79

## 80 **Seismotectonic setting**

81 The NNE-SSW trending Upper Rhine graben (URG) is a section of the European  
82 Cenozoic rift system developed in the foreland of the Alps (Fig. 1) and is approximately 300-  
83 km long and up to 40 km wide (Illies, 1981). Subsidence and synrift sedimentation initiated in  
84 the Eocene due to extension followed by sinistral transtension. Rifting is asymmetric with  
85 larger fault displacements, and therefore deeper graben fill, on the eastern side of the northern  
86 URG. The thickness of the Quaternary terrestrial clastic sediment sequences is variable with a  
87 maximum (200 m) to the Northeast of our study area (Doebel, 1970). The northern URG  
88 shows faults with clear geomorphological expression, bounding the footwall blocks of the  
89 Black Forest to the east and the Vosges Mountains and Pfalzer Wald to the west (Illies, 1981).

90 The seismicity of the northern URG region is characterized by low to moderate  
91 intraplate seismicity, although damaging earthquakes have occurred in the past (Fig. 1,  
92 Bonjer, 1984; Leydecker, 2009; <http://www.sisfrance.net>). Instrumental and historical  
93 earthquakes in the northern URG have apparently not been large enough to cause surface  
94 rupture. However the seismogenic layer reaches 20-km-depth and observed fault segment  
95 lengths sometimes exceed 20 km (Kervyn et al., 2002), implying a maximum moment  
96 magnitude  $M_w > 6.5$  (Leonard, 2010). With regards to the southern URG where the 1356  
97 Basel earthquake ( $M_w 6.5$ ) can be correlated to a paleoseismic rupture (Meghraoui et al.,  
98 2001), the identification of active and seismogenic faults in the northern URG is problematic  
99 due to the absence of known large historical earthquakes with surface ruptures. The  
100 identification of fault scarps in the area is an issue due to the low slip rates ( $< 0.5$  mm/yr.),  
101 dense vegetation cover on mountains and hills, and the high impact of human activity (urban  
102 areas and agricultural ploughing) on the geomorphology in valleys. Mapping of faults in the  
103 basin has largely been due to seismic reflection and hydrocarbon exploration profiles (e.g.,  
104 Illies, 1981; Brun et al., 1992; Behrmann et al., 2003). Some studies have focused explicitly  
105 on Neogene and Quaternary fault movement with thinning of Quaternary units on the west  
106 URG (Haimberger et al., 2005; Peters et al., 2005), though the likelihood of late Pleistocene

107 and Holocene earthquake activity in the region has increasingly being investigated (Lemeille  
108 et al., 1999; Kervyn et al., 2002; Ferry et al., 2005; Nivière et al., 2008; Baize et al., 2013).

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110

### 111 **The Riedseltz-Landau fault zone**

112 The Riedseltz-Landau fault zone is a north-south trending steep and composite scarp  
113 bounding the western flank of the northern URG (Fig. 2a). The fault was not previously  
114 identified as an active and seismogenic structure. Seismic profiles (Fig. 2b and c) display  
115 normal fault geometry that offset ( $> 500$  m) Oligocene-Miocene units at  $\sim 1.5 - 2.0$ -km-depth.  
116 However at the surface the fault appears as a subtle geomorphic lineament structure that  
117 separates a higher plateau of basement Hercynian rocks (Vosges Mountains) on the west from  
118 lower levels of alluvial fans and fluvial Rhine units to the east. Triangular facets bounding the  
119 Vosges Mountain front and cumulative scarps affecting late Quaternary alluvial fans can be  
120 traced into the basin along fault scarps. In his neotectonic analysis conducted along the west  
121 URG mountain front, Monninger (1985) describes faults in three quarries at Klingenmünster,  
122 Barbelroth and Riedseltz affecting upper Pleistocene units with 1.5 to 3.25 m vertical offset.  
123 South of Wissembourg, the fault is exposed in the Riedseltz quarry (Lat. 49.00, Long. 7.96),  
124 and can be traced for 12-15 km to the south (Fig. 2a and 2c). North of Wissembourg, the fault  
125 has two branches with 1) a main strand following the mountain front and extending northward  
126 within the basement rocks of the Vosges Mountains until the Leistadt region where it joins the  
127 Worms fault scarps (Fig. 2a), and 2) a subtle cumulative scarp located about 3 to 5 km east of  
128 the previous fault trace reaching  $\sim 20$ -m-high and affecting late Quaternary alluvial fans  
129 immediately west of Landau. North of Landau city and along the mountain front at Forst,  
130 Weidenfeller and Zöller (1995) show faulted alluvial deposits and paleosol units with  
131 Thermo-luminescence dating spanning from 88 ka to 284 ka. Further north along the west  
132 URG border, the fault zone extends for  $\sim 70$  km and displays two distinct topographic scarps  
133 across Wachenheim and Worms regions. Field investigations on the west URG escarpment  
134 with tectonic geomorphology (Kervyn et al., 2002) and trenching with radiocarbon dating  
135 (Peters, 2007) provide evidence of fault activity between 8 ka and 14 ka. The late Pleistocene  
136 and Holocene fault activity is also attested by subsidence observed by Illies and Greiner  
137 (1979) and Monninger (1985) who suggest a possible correlation with the 8 October 1952  
138 earthquake (Io VIII MKS; estimated  $M_w < 5.5$ ; see Fig. 1).

139

### 140 **The Riedseltz fault zone exposure**

141 The fault escarpment that cuts late Pleistocene and Holocene units at the surface is  
142 exposed at the Riedseltz quarry. Pliocene sandy gravel, is overlain by ~20-m-thick loess  
143 (sandy) units, which in turn are overlain by ~10-m-thick sandy-clay deposits. According to  
144 BRGM (1977), the loess units belong to the middle and late Quaternary (Riss and Würm  
145 glacial classification, respectively), and the alluvial and colluvial sandy-clay units are  
146 Holocene (Fig. 2d). The fault zone exposed in quarry is also visible in shallow (0 to 5-m-  
147 depth) geophysical data (Ground Penetrating Radar, seismic reflection, seismic refraction and  
148 electrical resistivity profiles from Bano et al., 2002). Bano et al. (2002) report that the fault  
149 cuts the boundary between Pliocene sands and the overlying loess-alluvial units with a total  
150 vertical offset of ~1.5 m along a fault oriented 165/60E. The quarried face trends  
151 approximately east-west, exposing an east-dipping fault zone in cross section (Fig. 3a). The  
152 bedding in the lower sand unit is obviously cut off against the fault and bedding dip steepens  
153 into the fault zone. Due to the quarry activity, the footwall of the fault is almost entirely  
154 covered with quarrying debris so sedimentary units could not be correlated across the fault.  
155 Bano et al. (2002) used the shallow geophysical profiles to trace the fault for 50 m to the north  
156 and 750 m to the south of the exposed cross section and showed that in the southern half of  
157 the quarry the fault splits into two strands both of which offset the Loess and overlying  
158 alluvial stratigraphy.

159 Consistent with observations of Monninger (1985), the fault is comprised of a central  
160 zone of relatively structureless, mottled, mixed sand bounded on either side by localised fine-  
161 grained, clay-rich and iron stained slip-surfaces (Fig. 3b). The width of this mixed zone is  
162 variable, narrowing from 70 cm at the top of the exposure to 20 cm at the base over a distance  
163 of 2 metres. The slip-surfaces are covered in a veneer of clay up to 5 mm thick that is  
164 sometimes rather patchy. The main fault zone also contains closely spaced deformation bands  
165 (Fig. 4a and b). In the hangingwall, pale deformation bands that offset bedding by up to 1 cm  
166 are distributed around the fault core to a distance of 1.10-1.15 m. These deformation bands  
167 have rather irregular traces when compared to bands formed in well-cemented sandstones  
168 (e.g. Fossen et al. 2007). The sand within the fault zone is variably stained bright yellow,  
169 orange and brown. The boundaries between different alteration colours are very sharp where  
170 clay-rich slip surfaces occur, but are more diffuse elsewhere. Deformation bands also act as  
171 abrupt boundaries for the colour variations in the host sandstone. Dark brown and black Fe-  
172 oxide concretions are particularly concentrated along the slip surfaces.

173 Measurements of excavated slip surfaces show steep dip to the east with a mean of  
174 181/72E (inset, Fig 3) consistent with the geophysical observations of Bano et al. (2002).

175 When the outcrop is carefully excavated to show a view onto the slip-surface face it can be  
176 seen that the oxide mineralisation appears to have been streaked out, which may be a proxy  
177 for slickenlines (Fig 4b). Due to the looseness of the deposits it was not possible to take  
178 accurate slip vector measurements but the streaks are oriented in the down dip direction,  
179 consistent with normal faulting.

180

181

## 182 **Deformation bands and microfractures in the fault zone**

183 Determining if these faults have cataclastic microstructures similar to those that  
184 Cashman et al. (2007) link to seismic activity requires a detailed study of the host sediments  
185 and the deformed fault rocks. Specimens of the undeformed host sediment (late Pleistocene  
186 loess) were sampled using hand-tools to scoop out the almost completely unconsolidated  
187 sand. Lumps of the more consolidated, and therefore more easily sampled, fault sand were  
188 broken off with hand tools and tightly wrapped in duct tape to preserve the sample integrity  
189 for thin sectioning. Samples were impregnated with resin in a vacuum chamber before curing.  
190 The morphology of the deformed sand was observed in thin section using an optical  
191 microscope and SEM, and by 3D grain analysis on the SEM. Friable samples are dried in the  
192 oven at 60°C for 2-3 hours. They are then coated with Buehler EpoHeat epoxy resin and  
193 returned to the oven for 2-3 hours for the resin to cure. The sample is sliced to approximately  
194 1cm, and again dried for 1-2 hours before being treated again with resin and set again before  
195 preparing the thin section.

196 The host sediments are fine to medium grained, moderately well sorted and sub-  
197 rounded sands. They are cross-bedded with occasional metre thick coarse-grained incised  
198 channels. The sand consists of 70% single crystal or polycrystalline quartz grains, with 20%  
199 lithic grains and 10% feldspar (from CL analysis). Strained quartz grains are common with  
200 undulose extinction and mylonitic fabrics, consistent with a metamorphic source for much of  
201 the sediment. The host sand grains range from sub-angular to rounded. Quartz overgrowths  
202 are occasionally seen but their irregular distribution and the high clay content of the matrix  
203 suggests that these may have been reworked from older, more cemented sand into these  
204 sediments. Occasional oxide-cemented lithic grains are also likely to have been reworked.  
205 Throughout the quarry the sands have been stained a variety of shades of pale yellow to  
206 orange (Fig. 3b). The colour banding can be seen to cross cut the bedding in the host sands  
207 (e.g. Fig. 3b – bottom left hand corner) so the staining is therefore post-depositional. From  
208 sieving and thin-section analysis the maximum grain size in both the host sand and the

209 deformed sand is ~ 1 mm. The modal grain size of the host sands determined by sieving range  
210 from 0.25mm to 0.125mm.

211 The deformation bands are localised zones of significant grain size reduction a few  
212 mm wide that contain highly angular grains (Fig. 5a, b and c). These zones still contain  
213 rounded grains but these are mostly over 0.25mm in diameter and the smaller grains  
214 (<0.25mm) show significantly more angularity than in the host rock. The preservation of  
215 'survivor grains' surrounded by more angular fragments is a common feature of cataclastic  
216 deformation bands. Many of the quartz grains have fresh conchoidal fractures at the edge of  
217 the grain. These appear as elongate flakes of quartz, with some partially attached to the grain  
218 (Fig. 6). This is similar to the grain spalling reported by Rawling and Goodwin (2003), which  
219 is diagnostic of grain fracturing under low confining pressures. Some transgranular fractures  
220 are also preserved. The deformation band zones clearly have reduced porosity with respect to  
221 the host rock (Fig. 5c). The striking colouration of the deformation zone observed in Figures  
222 3, 5 and 7 is due to a strongly coloured matrix in the fault zone rocks. Matrix colour ranges  
223 from bright orange to black and each matrix type has a consistent proportion of fine grains  
224 (<0.05mm). The orange matrix contains streaks of yellow and brown colours in plain  
225 polarized light (Fig. 7a), while in cross-polarized light it has medium to high birefringence  
226 colours. A major character of the orange matrix is its 'flowing' appearance (Fig. 7b) and it  
227 often mixes with a less obvious matrix that mostly consists of fine grains. The black matrix is  
228 opaque and contains few to no fine grains. In thin section the black matrix often appears  
229 fractured with some of these fractures being filled by the orange matrix (Fig. 7c). Within the  
230 black matrix the grains are dominantly sub- angular to angular and are mostly >0.25mm with  
231 very little finer grains (<0.05mm) and a notable lack of grain contacts (Fig. 7d). The grains  
232 here, regardless of size, appear to be largely sub-angular to angular throughout, with only a  
233 few grains appearing to be rounded. In these matrix-supported zones have up to 40% black  
234 matrix.

235 Geochemical element spot analysis on the SEM shows that the orange matrix is  
236 dominated by clays while the black matrix is predominantly Fe-rich oxide (Fig 8). XRD  
237 analyses of three sets of powdered samples (hangingwall, footwall and fault zone) show that,  
238 in agreement with the microstructural observations, quartz dominates the XRD diffraction  
239 patterns to the extent that other minerals cannot be identified. Results for the clay size fraction  
240 (<2µm) show little variation in clay composition between the samples within the fault and  
241 those outside the fault (Fig. 9). The dominant peaks are 12.3 and 24.9 2θ, which indicates  
242 kaolinite, although Fe-chlorite cannot be ruled out as these are difficult to distinguish in



243 mixtures using XRD. These peaks are consistently reduced within the fault zone compared to  
244 the host sand. All samples show a 10Å mica (muscovite), though this is less pronounced in  
245 samples from the fault zone. Samples 0401, 0402, 0407 and 0409 contain some <2µm quartz  
246 (identified from the 20.92 second order peak), but it is likely that all samples contain some  
247 amount of <2µm quartz. Minor peaks suggest the presence of halite and hydroxysodalite. No  
248 significant peak shifts were seen in glycolated <2µm slides indicating that expandable  
249 minerals are absent or insignificant in these samples.

250

### 251 **On the seismic character of the Riedseltz-Landau fault: A discussion**

252 The Riedseltz-Landau fault zone appears as a major tectonic structure of the western flank of  
253 the northern Upper Rhine Graben. The total fault length from Soultz in France to Worms in  
254 Germany reaches ~85 km and it may be subdivided in three segments: 1) the Riedseltz  
255 segment from Soultz to Landau (~35 km) and along the Vosges Mountain front with a 25-km-  
256 long parallel eastern Landau fault branch to the east, 2) the Lambrecht segment from Landau  
257 to Leistadt that stretches for ~34 km through the pre-Permian basement rock, and 3) the  
258 Worms segment from Leistadt to Wachenheim extending 16 km across the Quaternary  
259 alluvial fan deposits. Although of moderate magnitude ( $M_w < 5.5$ ), the western edge of the  
260 northern URG has been the site of recurrent earthquake activity such as the 1952 earthquake  
261 sequence (24/02 Io VI, 29/09 Io VI and 08/10/ Io VII, Fig. 1). In addition to our field  
262 observations from the Riedseltz quarry, surface fault slip affecting late Pleistocene and  
263 Holocene deposits along the fault zone by Illies and Greiner (1979), Monninger (1985) and  
264 Peters (2007) support the seismogenic nature of the Riedseltz-Landau fault zone.

265 The cataclastic structures in the fault zone suggest more than one episode of  
266 deformation, based on cross cutting relationships between fault rocks with different matrix  
267 compositions. The earliest event (or events) was an episode of shearing that crushed grains,  
268 resulting in an increase in angularity of the grains in the fault zone. Coeval or subsequent  
269 dilation permitted the introduction of the prominent Fe-oxide matrix into the deformation  
270 bands. A possible explanation for the lack of fine grains and the spacing between the existing  
271 grains is that while the matrix was infilling the space created by the dilation, it flushed out the  
272 fine grains, leaving behind only the larger grains. A subsequent fracture event produced more  
273 fine grains and allowed clay matrix introduction into the deformation bands (Fig. 7 d). In this  
274 most recent phase of deformation the fine grains were not flushed out, this may be due to a

275 lack of dilation or due to different hydrological conditions and the fault acting as a barrier to  
276 lateral flow. Faults in unconsolidated sediments in the lower Rhine Embayment have been  
277 shown to exhibit similar behaviour (Bense and Van Balen 2004). Bense et al. (2003) suggest  
278 that Fe-oxide enrichment in faulted sand can be caused by repeated wetting and drying during  
279 fluctuations in water table. They observe that oxides are preferentially precipitated along fine-  
280 grained laminae, which will have a higher capacity to retain water by capillary action.  
281 Incidentally, Behrmann et al. (2003) suggest that ongoing seismic slip along faults in the  
282 Rhine Graben may have reduced the efficacy of oil and gas seals by brecciation and fracturing  
283 of previously good fault seals, thereby reducing the overall hydrocarbon potential of the area.

284 Cashman and Cashman (2000), Cashman et al. (2007) and Balsamo and Storti (2011)  
285 have suggested that the presence of cataclasis in shallow, unconsolidated sand, where the  
286 overburden pressure should be very low, is an indicator of deformation during seismic slip.  
287 The Riedseltz fault has comparable structures to other reports of faulted unconsolidated  
288 sediment. A similar central mixed zone surrounded by deformation bands is observed by  
289 Haynekamp et al. (1999) and Rawling et al. (2001) in the Rio Grande Rift intraplate region.  
290 The fault described by Rawling and Goodwin (2003), which was deformed at burial depths up  
291 to 1km, contained a much more intense zone of deformation bands than the Riedseltz fault.  
292 Cashman and Cashman (2000) report grain crushing in deformation bands cutting sediments  
293 buried as little as 50m (equivalent to 1MPa). Conversely, the fault reported by Balsamo and  
294 Storti (2011) cutting did not contain any deformation bands, however it did contain grains  
295 deformed by spalling and transgranular fracturing as well as mineralogical changes consistent  
296 with heating during coseismic slip (c.f. Balsamo et al. 2014).

297 In the western edge of the northern URG, the maximum thickness of overburden at the  
298 time of faulting is unknown and it is possible that the sand could have been eroded prior to  
299 deposition of the loess. The potential maximum thickness of Quaternary sediments (225 m at  
300 the depocentre east of Worms) drawn from the isopach map (Haimberger et al., 2005),  
301 suggests that the Quaternary sediments thin towards the southwest to less than 20m close to  
302 Riedseltz. Therefore the maximum likely overburden for these sands is of the order of a few  
303 tens of metres. This is at least as shallow as previously reported cataclastic faults in  
304 unconsolidated sands. If the hypothesis of Cashman and Cashman (2007) stands then it is  
305 probable that the deformation bands and slickenlines from the exposed fault at the Riedseltz  
306 quarry may record one or more seismic slip events.

307 Critical state soil mechanics can be used to constrain the overburden required for  
308 localised failure, cataclasis and porosity reduction (Schultz and Siddharthan, 2005). A failure

309 envelope defined by the mean grain size and porosity of the host sediments constrains  
310 whether dilation or compression is the dominant means of deformation. Critical state soil  
311 mechanics combines a failure envelope with a critical state line which makes it possible to  
312 constrain whether dilation or compression is the dominant means of deformation. The mean  
313 grain size determined by sieving was  $0.2 \pm 0.1$  mm and the modal grain size of the host sand is  
314 125-250  $\mu\text{m}$ . As no intact host sediment samples were collected, we base the porosity  
315 estimates on that for unconsolidated, poorly sorted sands (25% to 35%). The porosity  
316 represented by the Fe-oxide matrix is very high (>40%), whereas the areas that appear  
317 crushed have very little obvious porosity (<10%).

318 Cuss et al. (2003) experimentally determined a yield envelope for Penrith sandstone  
319 (grain size  $129 \pm 30$   $\mu\text{m}$  and porosity of 28%) that is appropriate to model the stresses  
320 required to produce the observed structures at Riedseltz. Using the Penrith sandstone yield  
321 envelope, producing the earlier dilation phase of deformation would require a change in the  
322 effective mean stress and the differential stress as a result of either a decrease in pore fluid  
323 pressure or an increase in overburden. The conditions to produce the later formed deformation  
324 bands would require an effective mean stress of >75 MPa and a differential stress of <80  
325 MPa. However, if the maximum likely overburden at the site is 20m, the maximum confining  
326 pressure would only have been 0.4 MPa. An overburden equivalent to 3km is required to  
327 produce the 75 MPa effective mean stress to develop the deformation bands present in the  
328 Riedseltz samples. As these conditions are highly unlikely, a more plausible means would be  
329 to produce the localised zones of cataclasis during seismic movement, i.e. by dynamic stress  
330 changes rather than quasi-static loading through burial.

331 Distinguishing between structures that were produced by seismic slip and/or by  
332 aseismic creep has critical implications for the seismic hazard analysis. This is even more  
333 difficult when dealing with fault scarps in intraplate tectonic domains with high vegetation  
334 cover as in the Rhine graben, and where fault slip rates do not exceed 0.3 mm/yr (Camelbeeck  
335 and Meghraoui, 1998; Camelbeeck et al., 2007). The 1952 earthquake sequence of the  
336 western flank of the northern URG occurred immediately west of Wissembourg - Worms fault  
337 scarp Riedseltz (Fig. 1, Illies, 1981; Helm, 1995; Leydecker, 2009) suggesting that Riedseltz  
338 normal fault may be associated with a seismically active fault at depth. Here, we speculate on  
339 the likelihood of seismic slip along the Riedseltz fault and the magnitude of any earthquakes  
340 that may have happened on it.

341 The Riedseltz normal fault is oriented NNE and it is under extension according to the  
342 NE-SW extensional stress field from focal mechanisms (Plenefisch and Bonjer 1997) and

343 field observations (Bano et al., 2002; Kervyn et al., 2002). If the total vertical offset of 1.5m  
344 was the maximum slip in a single event it would represent an earthquake of magnitude up to  
345 Mw 6.8 (see figure 9 in Leonard, 2010). Another scenario is that the 1.5 m fault slip is  
346 cumulative and represents more than one earthquake and may even include postseismic  
347 movement or creep. Taking into account the observed youngest, normal fault scarp heights  
348 (average 0.6 m and about half of the slip observed by Bano et al., 2002 in geophysical  
349 profiles) in Riedseltz and along the Landau – Worm fault section, the 70-80 east dipping ~25-  
350 km-long fault (red arrows in Fig. 2b, fault dip also visible in seismic profile of Fig. 3c) and  
351 15-km-thick seismogenic layer, a simple dislocation model (Okada, 1985) suggests a  
352 minimum seismic moment of  $9.2 \cdot 10^{18}$  N.m, equivalent to Mw 6.6 (Fig. 8). As observed in the  
353 Riedseltz quarry (this study), on geophysical profiles by Bano et al. (2002), in the  
354 Klingenmünster and Barbelroth quarries (Monninger, 1985), and inferred from the geological  
355 map (Fig. 3d; BRGM, 1977), the fault affects Würm loess overlaid by Holocene alluvial  
356 sandy-gravel (younger than *ca* 24 ka before present according to the geological map; BRGM,  
357 1977). The 1.5 m – 3.25 m vertical slip must be younger than 24 ka, giving a minimum time-  
358 averaged slip rate of 0.15 – 0.32 mm/yr. These slip rates compare well to those for other faults  
359 in the Rhine Graben system (Camelbeeck et al., 2007). Ferry et al., (2005) and Nivière et al.  
360 (2008) examined river terraces in the southern URG and concluded that vertical fault slip  
361 rates varied from 0.1 to 0.3 mm/yr. Although these slip rates fall within the values for  
362 seismically active faults, it is not necessary that this slip occurred all in one event. Although  
363 fault structures, deformation bands and scarp morphology all suggest one or more events with  
364 coseismic slip with a minimum 5000 years recurrence interval (estimated from 0.6 m average  
365 coseismic slip) for earthquakes with  $M_w \geq 6.6$ , aseismic slip with creep movement cannot be  
366 ruled out.

367

## 368 **Conclusions**

369 The Riedseltz-Landau fault is identified as a major active segment of the western flank  
370 of the northern Upper Rhine Graben. The Riedseltz fault segment 25-km-long and appears as  
371 a linear strand at the frontal topography of the Vosges Mountains showing prominent  
372 triangular facets and affecting late Quaternary alluvial and fluvial deposits. The fault affects  
373 late Pleistocene and Holocene alluvial units (Illies and Greiner, 1979; Monninger, 1985;  
374 Weidenfeller and Zöller, 1995; Peters, 2007) and shows about 1.5 m slip in shallow  
375 geophysical profiles (Bano et al., 2002). Field investigations on fault scarp morphology

376 combined with the study of the fault exposure in the Riedseltz quarry indicate repeated  
377 cataclastic slip and fracturing on a narrow zone of deformation.

378 Detailed microstructure and mineralogical studies of the fault cataclasite using the  
379 analysis of SEM and XRD images indicate deformation bands in mixed zones with low grain  
380 size and slip surfaces with grain size reduction and streaked out bands due to oxide  
381 concentration. The deformation bands also appear with highly fractured grains showing  
382 spalling and transgranular fractures in quartz that illustrate the intense shearing and the  
383 possible seismic slip in the fault zone. The fault structures and related cataclasis suggest two  
384 phases of deformation with 1) shearing, grain crushing and porosity reduction within  
385 deformation bands 2) emplacement of the prominent Fe-oxide matrix into the fault zone,  
386 possibly accomplished by flushing out of fine grains, and 3) at least one further fracturing  
387 episode producing more fine grains and allowing clay matrix emplacement. The presence of  
388 cataclastic deformation bands shows that that localized failure occurred despite the minimal  
389 overburden (< 20m). Cataclasis could be a very helpful indicator for seismic slip in shallowly  
390 buried sediments but more work is required to further constrain the micromechanics of  
391 cataclasis under very low confining stress.

392 The fault scarp and surface deformation bands may be related to successive seismic  
393 slip but we cannot rule out the existence of aseismic slip. Further paleoseismic investigations  
394 are required to determine the relative amounts of seismic and aseismic slip along the  
395 Riedseltz-Landau fault segment. For the moment, a simple fault model suggests a minimum  
396 Mw 6.6 earthquake as a plausible scenario for seismic slip along the western edge of the  
397 northern Upper Rhine Graben.

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411

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## 565 **Figure Captions**

566

567 Fig. 1. The Upper Rhine Graben instrumental and historical seismicity: blue circles are  
568 seismicity from 1960 to 2012 and yellow boxes are seismicity from AD 800 to 1960  
569 (Leydecker, 2009 and SiHex catalogue, Cara et al., 2015). Note the location of the 1952  
570 earthquake sequence (light brown; Leydecker, 2009). The box outlines the location of Fig. 2a.  
571 The topography is an extract of the SRTM 3-arc-second (~90 m) posting digital elevation  
572 model (Farr and Kobrick, 2000). The inset shows the study area in continental Europe.

573

574 Fig. 2. a) Tectonic morphology using SRTM digital topography (see Fig. 1 for location) along  
575 the western URG and location of the Riedseltz fault (black arrows). The Riedseltz fault joins  
576 the Worms fault scarp (WFS) to the north. This fault zone crosses the Riedseltz quarry (box is  
577 for geological map of Fig. 2d) and extends to the north towards Worms and Wacheheim. The  
578 red arrows mark the location of a possible parallel fault branch and related scarp. The dashed  
579 line is location of seismic profile of figures 2 b and c.

580 b) Seismic profile (commercial) and c) interpreted seismic profile with illustrated fault offsets  
581 at depth. Located immediately east of Wissembourg, the profile displays normal faults that  
582 seem to reach the surface and affect Oligocene-Miocene units at depth (green and orange  
583 layers). Note the slight vertical exaggeration so the fault dips are about 70° to 80°.

584 d) Geological map of the southern extension of Riedseltz fault and quarry area. 1: Sandstone  
585 and clay of the Trias Substratum of the Vosges mountains; 2: Clay units of Oligocene, 3:

586 Pliocene sandy clay and gravel deposits, 4: Late Quaternary alluvial sand, gravel and loess  
587 (Riss and Würm), 5: Holocene colluvial and alluvial deposits, 6: Peat of marsh areas with  
588 sandy-silt alluvial units, 7: Neogene and Quaternary fault, 8: Late Quaternary and Holocene  
589 fault scarp (seismogenic fault zone).

590  
591 Fig. 3. a) Panorama of the Riedseltz quarry showing nature of quarry host units and position  
592 of fault (white arrows). Seated figure to the right of the fault for scale.  
593 b) Interpretive sketch of fault zone next to c) field photograph. The grey lines in b) indicate  
594 the geometry of the cataclastic deformation bands. The black crosses are markers at 1 m grid  
595 spacing on the outcrop – note that the grid in c) is distorted due to the 3D nature of the  
596 outcrop face. Inset stereonet (lower hemisphere) shows the geometry of fault and bedding  
597 planes.

598  
599 Fig. 4. Details of hand specimens. a) Deformation bands are the paler bands about 1mm wide  
600 running vertically in this image. They split about half way up this sample with an  
601 anastomosing geometry common in deformation bands (Fossen et al 200). They are  
602 marginally more consolidated than the sediment around them and stand slightly proud of the  
603 surface.  
604 b) View onto a slip surface face showing streaked out oxides along the slip surfaces. The  
605 streaks are oriented vertically in this figure.

606  
607 Fig. 5. Deformation bands with reduced grain size. a) whole thin section showing deformation  
608 bands running right to left. Note the mottled colour staining at the bottom of the thin section.  
609 Arrow shows location for sampling in b and c. Scale bar = 1cm. b) Plain polarised light (scale  
610 bar 1 mm across), and c) backscatter electron SEM image of deformation band from this  
611 section, showing intense grain size reduction along the margin.

612  
613 Fig 6. SEM backscatter images of spalling (sp) at grain margins and transgranular fractures  
614 (tg). Note the later coating of clay around the grains, which post-dates the spalling.

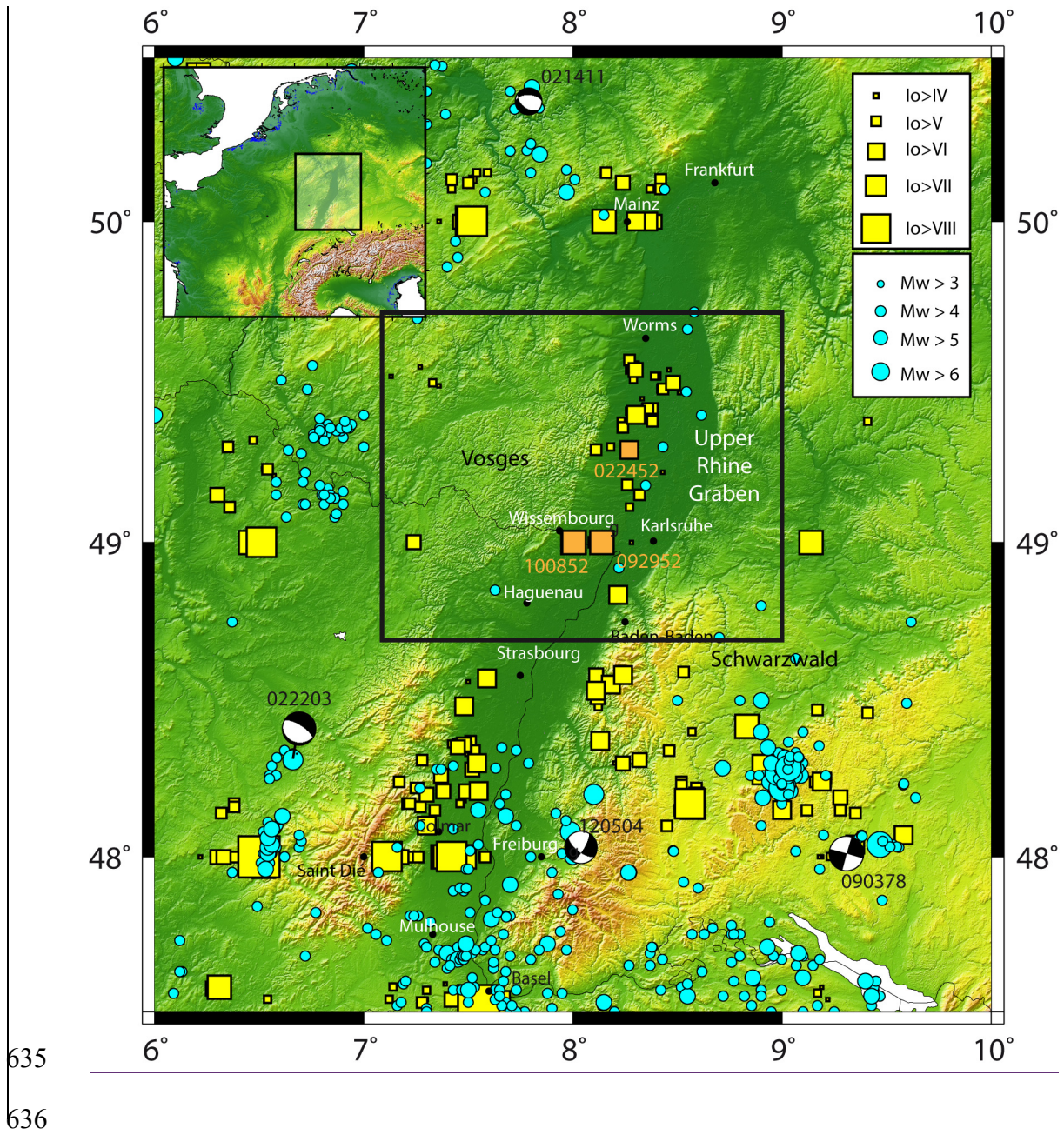
615  
616 Fig. 7. Typical colour variations of the matrix. a) Clay matrix in plain polarised light, and b)  
617 Backscatter SEM, note the reduced grain size. c) Boundary between region of Fe-oxide matrix  
618 and clay matrix and (d) Fe-oxide matrix with clay filled fracture (arrowed), few fine grains  
619 and little/no grain contacts. Photomicroscope images are plain polarised light and the scale  
620 bars are 1 mm across.

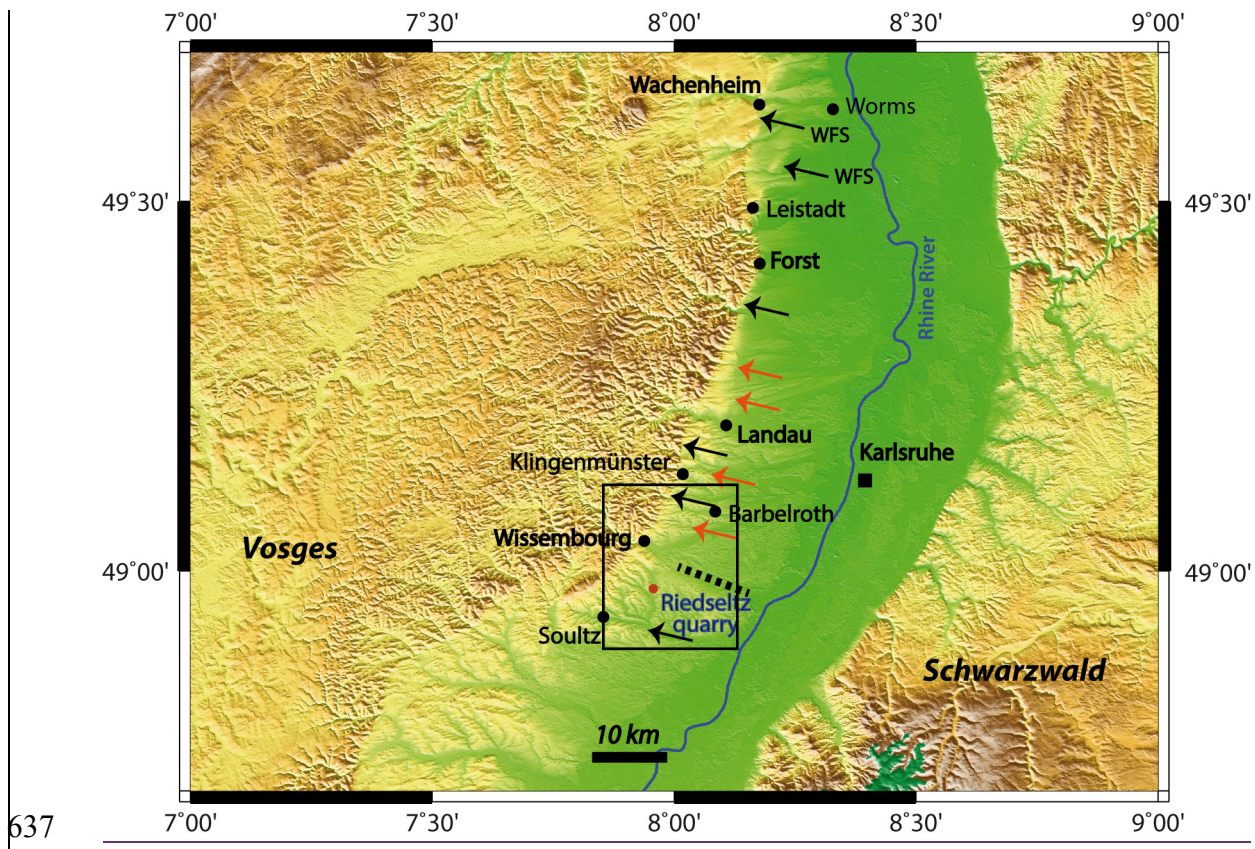
621  
622 Fig. 8. XRD data for samples from the footwall (top, black traces), hangingwall (middle, grey  
623 traces) and fault zone (bottom, black traces). The fault zone seems enriched in halite and to  
624 have reduced kaolinite with respect to the host rocks.

625  
626 Fig. 9. a) EDX element map of the deformation bands shows iron-rich cement concentrated in  
627 fine grained layers (Green = Fe; Red = Ca; Blue = Al). Iron concentration is not always along  
628 slip-surfaces. b) Backscatter SEM image of the same area.

629  
630 Fig. 10. Dislocation model (using Okada, 1985) applied to the 25-km-long fresh scarp of the  
631 Riedseltz normal fault segment (strike: 15°N, Dip: 75 east, rake: -90, average slip: 0.6 m; see  
632 also Fig. 2b), suggesting an earthquake magnitude Mw 6.64. Black box is Riedseltz quarry  
633 location.

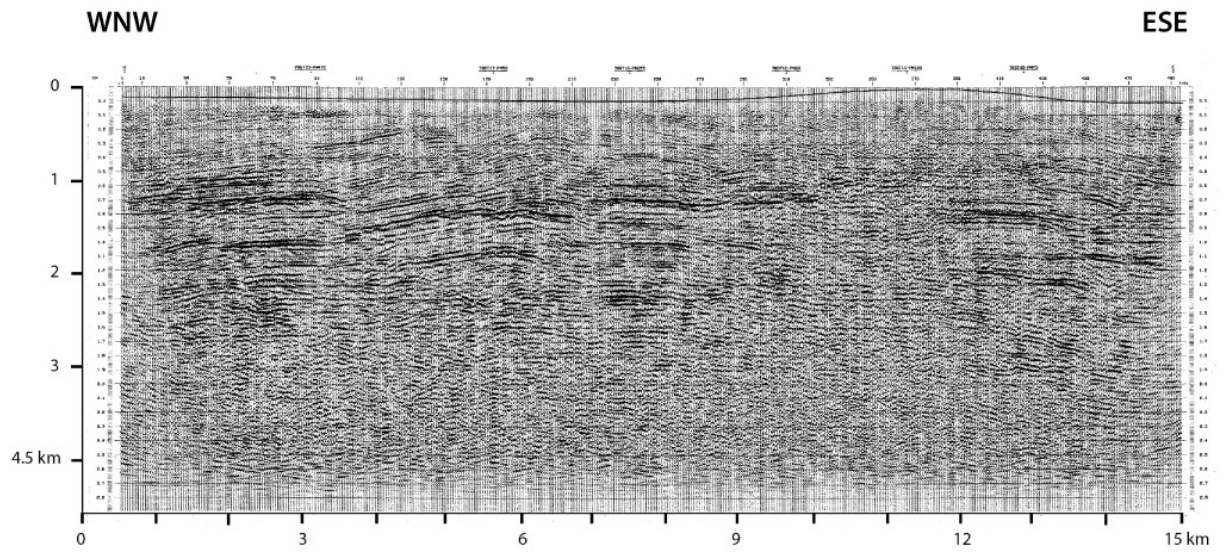
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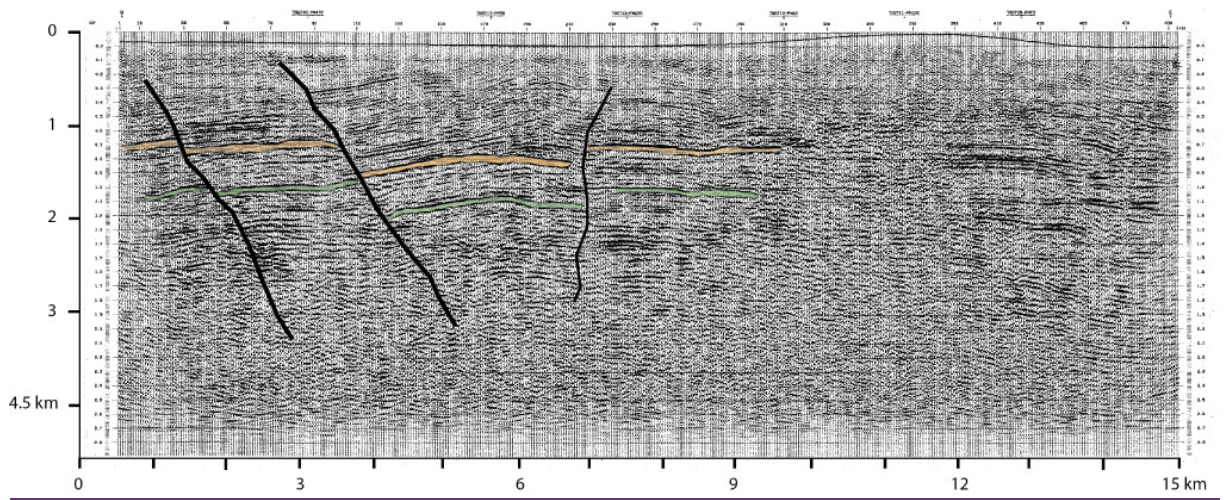


637

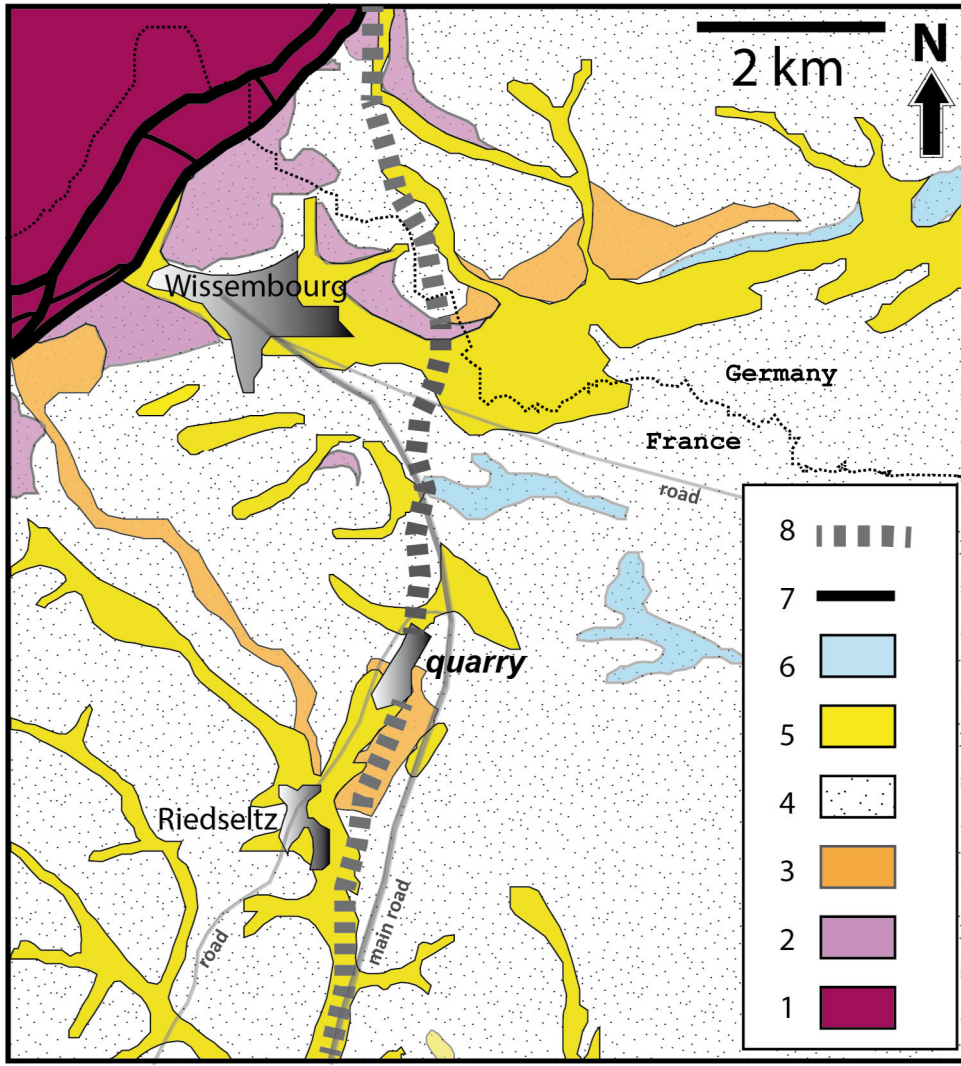
b)

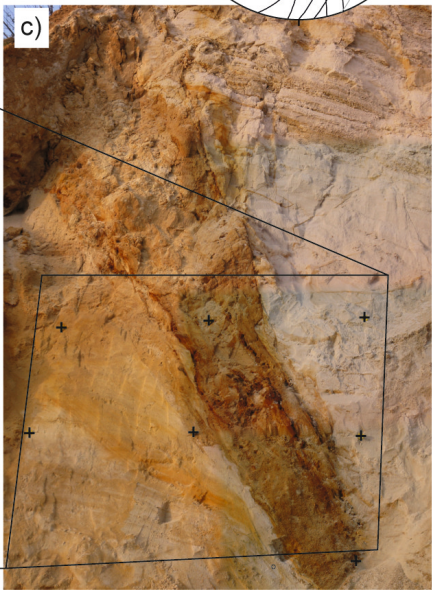
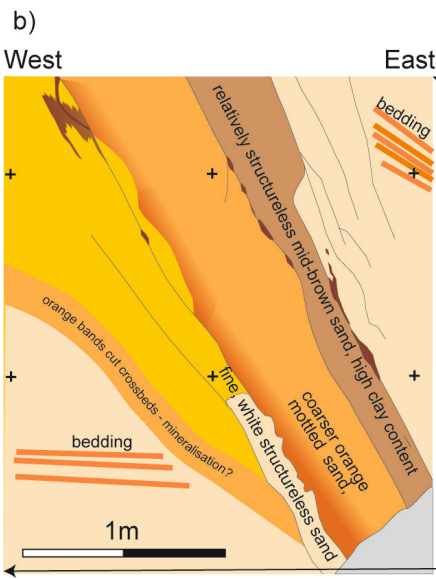


c)



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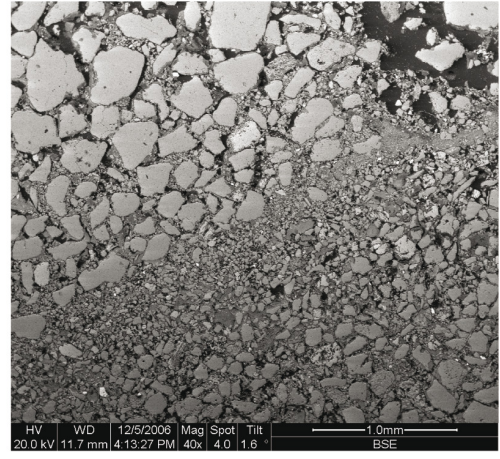
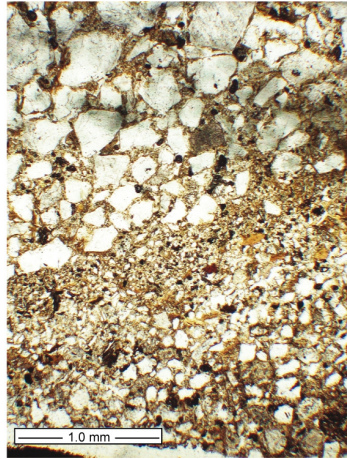
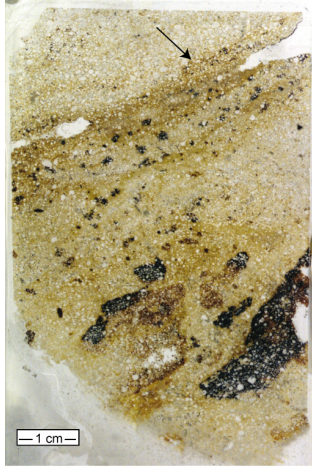
640



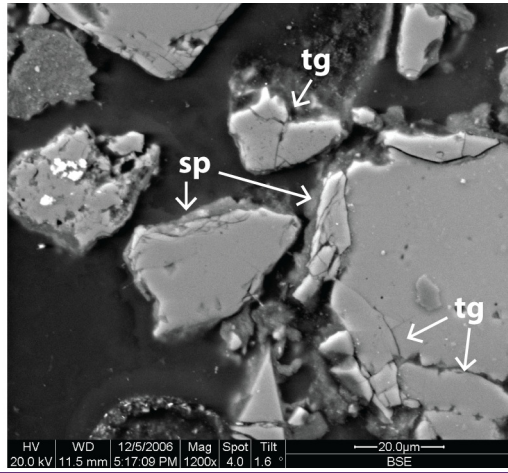
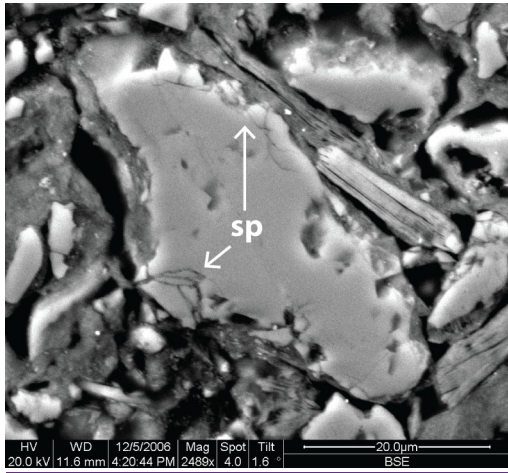
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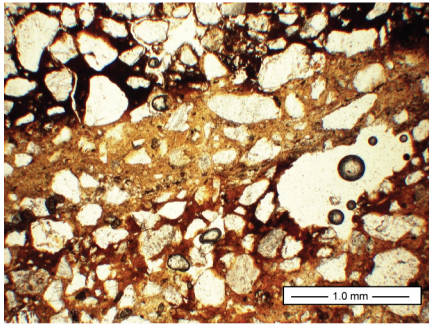
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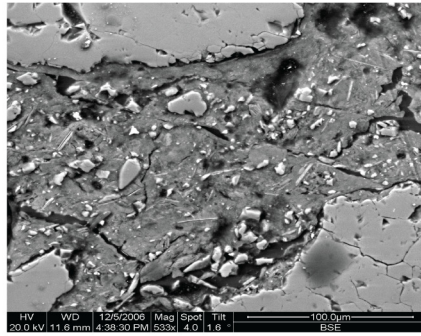
643



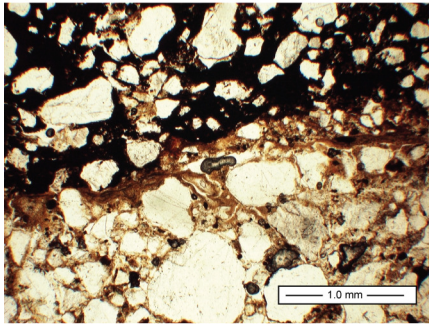
a)



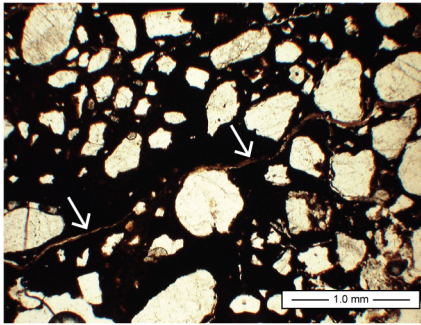
b)



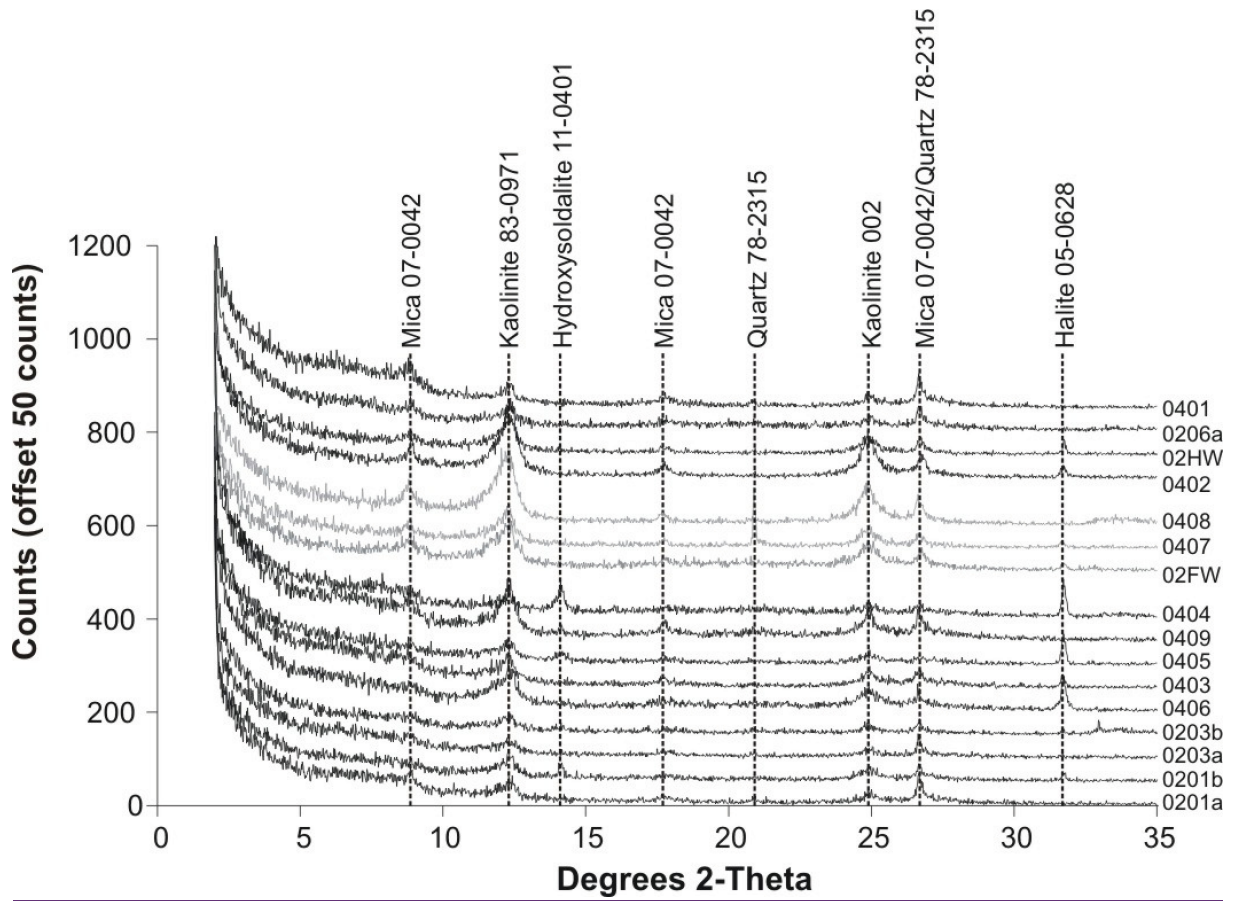
c)



d)

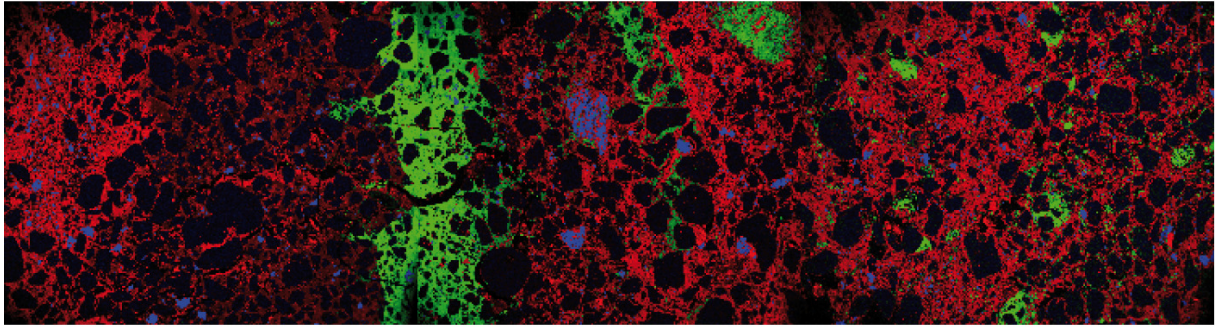


644

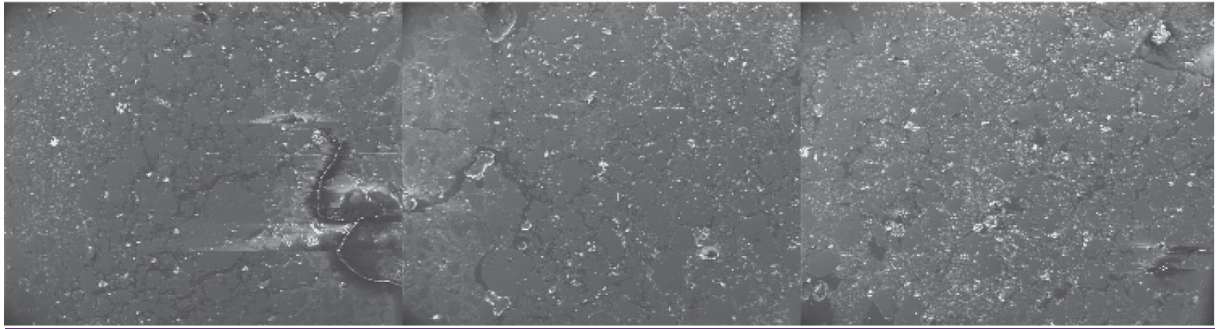


645

a)



b)



646

