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Hairpin DNA Functionalized Gold Nanorods for mRNA Detection 1 in Homogenous Solution 2

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Guoke Wei,^{a, b} Jun Yu,^c Jinliang Wang,^b <u>Peng Gu,^a</u> David J. S. Birch,^a and Yu Chen^{a,*}

^a Photophysics Group, Centre for Molecular Nanometrology, Department of Physics, SUPA, University of

Strathclyde, John Anderson Building, 107 Rottenrow, Glasgow G4 0NG, UK

^b Department of Physics, Beihang University, Beijing 100191, China

4 5 6 7 8 9 10 ^c Strathclyde Institute of Pharmacy and Biomedical Sciences, Royal College, University of Strathclyde, 204 George Street, Glasgow G1 1XW, UK

11 ABSTRACT: We report a novel fluorescent probe for mRNA detection. It consists of a gold nanorod (GNR) 12 functionalized with fluorophore labeled hairpin oligonucleotides (hpDNA) that are complementary to the mRNA of 13 a target gene. This nanoprobe was found to be sensitive to a complementary oligonucleotide, as indicated by 14 significant changes in both fluorescence intensity and lifetime. The influence of the surface density of hpDNA on 15 the performance of this nanoprobe was investigated, suggesting that high hybridization efficiency could be achieved 16 at a relatively low surface loading density of hpDNA. However, steady-state fluorescence spectroscopy revealed 17 better overall performance, in terms of sensitivity and detection range, for nanoprobes with higher hairpin coverage. 18 Time-resolved fluorescence lifetime spectroscopy revealed significant lifetime changes of the fluorophore upon 19 hybridization of hpDNA with targets, providing further insight on the hybridization kinetics of the probe as well as 20 the quenching efficiency of GNRs.

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22 23 KEYWORDS: gold nanorods, hairpin DNA, mRNA detections, fluorescence lifetime

- 24 *Corresponding author, Email: y.chen@strath.ac.uk
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26 **1. Introduction**

27 Messenger RNA (mRNA) plays a key role in the cellular production of protein. Detection of 28 mRNA biomarkers with good specificity and sensitivity will enable an early-stage diagnosis of 29 disease such as cancer and assist in monitoring and evaluating the efficacy of treatment. 30 Moreover, detection of mRNA provides valuable information for understanding the fundamental metabolism of cells.^{1,2} For this purpose, a number of techniques have been developed.³ Among 31 32 them, nucleic acids-based detection and quantification methods have attracted substantial interest 33 since nucleic acids possess the inherent property to selectively bind to the complementary targets 34 through Watson-Crick base-pairing. One of the promising approach to detect mRNA, often denoted as molecular beacon (MB), is a hairpin-shaped oligonucleotide with a fluorophore-35 36 quencher pair that undergoes a spontaneous fluorogenic conformational change upon hybridization with the complementary nucleic acid target.^{4,5} It offers great opportunities in
homogeneous assay of mRNA and also the capability of real-time monitoring of the expression
of mRNAs in living cells, even down to single-cell level, resulting from its high sensitivity and
enhanced specificity.^{6–9}

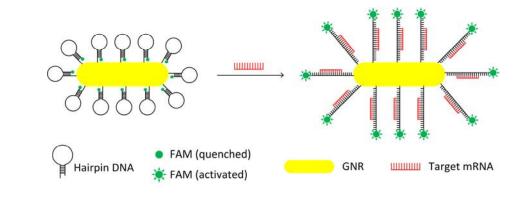
However, the traditional MB suffers from problems of lacking universal organic quenchers¹⁰ 41 and requiring transfection reagents for cellular internalization.⁸ Recent studies show that these 42 43 limitations can be elegantly addressed by gold nanoparticles (AuNP). It has been proven that AuNP are highly efficient quenchers for a range of organic fluorophores^{10–13} and exhibit long-44 range fluorescence quenching capability.¹⁴ Moreover, Au nanospheres (AuNS) functionalized by 45 46 oligonucleotides display several fascinating features. For example, highly efficient cellular 47 uptake without the need of transfection reagents, extraordinary intracellular stability against enzymatic degradation and enhanced binding capability of complementary nucleic acids.^{15–17} 48 49 Additionally, AuNP are biocompatible and have versatile surface modifications especially 50 through the well-established gold-thiol chemistry. By taking the advantages of AuNP and MB, a 51 novel nanoprobe has been developed recently, in which AuNS were covalently functionalized by hairpin oligonucleotides dually labeled with fluorophore and thiol.¹⁸ This nanoprobe shows 52 promising applications in simultaneous multianalysis of nucleic acid with high sensitivity and 53 specificity.¹⁸⁻²⁰ More importantly, spatial-temporal information about nucleic acid targets in 54 55 living cells can be acquired by using this AuNP-MB conjugate as intracellular probe since the 56 fluorophores are still anchored to the AuNP rather than being released into the cytoplasm when binding to the targets.^{21,22} 57

58 Compared to AuNS, gold nanorods (GNR) exhibit excellent shape-dependent optical 59 properties. By varying the aspect ratio, the longitudinal plasmon band of GNR can be finely

tuned from visible to near-infrared regions.^{23,24} This is of particular interest for biological 60 applications due to the high transmission of tissues in the near-infrared window (650-900 nm).²⁵ 61 62 In addition to the large absorption and scattering cross section, GNR have strong two-photon luminescence arising from the localized surface plasmon resonance.^{26–28} Two-photon excitation 63 64 holds promise for intracellular studies as it has higher spatial resolution, deeper penetration and 65 less photo-damage compared to single-photon excitation. Interestingly, two-photon luminescence 66 from GNR shows a characteristic short lifetime (<100 ps) distinguishable from many organic 67 dyes and autofluorescence, offering benefit in fluorescence lifetime imaging microscopy (FLIM).²⁹ These unique features make GNR promising candidates for numerous biological and 68 biomedical applications, including biological imaging,³⁰ gene/drug delivery,³¹ and photothermal 69 therapy.³² 70

71 Considering the unique properties of GNR, it is expected that GNR coupled with hairpin 72 oligonucleotides will offer great opportunity in mRNA detection and imaging. Recently we have 73 reported a new RNA nanoprobe based on functionalized GNR and the influence of hairpin structure on the quenching efficiency of the energy transfer pair of GNR and Cv5.³³ Due to the 74 75 steric structure of hpDNA and difficulty in completely replacing CTAB bilayer surrounding 76 GNRs with biomolecules, the functionalization of GNRs with hpDNA have been found 77 challenging and less reported. Here, to the best of our knowledge, we report for the first time the 78 functionalization of GNR with 6-carboxyfluorescein (FAM) labeled hairpin DNA (hpDNA) 79 (Scheme 1), and influence of the synthesis condition on the performance of this nanoprobe in 80 target mRNA detection using both steady-state and time-resolved fluorescence spectroscopies. 81 FAM was chosen as it can be attached to the 3' end and allows reliable attachment of oligonucleotide with thiol molecule modified in the 5' end. Our results show that the GNR-82

83 hpDNA conjugates are highly sensitive probes for mRNA detection with high signal-to-84 background ratio. Moreover, we investigated the influence of the surface density of hpDNA on 85 GNR on the performance of this nanoprobe and found that high hybridization efficiency could be 86 achieved at relatively low surface loading density of hpDNA. The fluorescence lifetime 87 measurements revealed the recovery of fluorescence lifetime in the hybridization events, 88 indicating the conformational change of hpDNA when binding to target mRNA complement. 89 Significantly, fluorescence lifetime spectroscopy is demonstrated as a powerful tool for 90 fluorescence-based mRNA detection.



91 92

93 Scheme 1. Schematic illustration of hairpin DNA functionalized GNR for mRNA detection.

94 **3. Experimental section**

95 3.1 Materials

96 All chemicals were purchased from Sigma-Aldrich and used as received. All buffers were

97 prepared using nuclease-free water obtained from Sigma-Aldrich. Thiolated oligonucleotides and

- 98 the corresponding complementary oligonucleotides were purchased from Eurofins MWG Operon
- 99 and Integrated DNA Technologies, respectively.
- 100 *3.2 Synthesis of Gold Nanorods*

101 Gold nanorods were synthesized according to the silver-assisted seed-mediated growth method.34,35 Briefly, 2.5 mL of 0.001 M HAuCl₄ was mixed with 7.5 mL of 0.2 M 102 103 Hexadecyltrimethylammonium bromid (CTAB) solution. Next, 0.6 mL of freshly prepared ice-104 cold 0.01 M NaBH₄ was quickly added to the solution under vigorous stirring, forming a 105 brownish-yellow seed solution. The seed solution was vigorously stirred for another 2 min and 106 then kept undisturbed at room temperature for 3 h before used. To make growth solution, 200 107 mL of 0.2 M CTAB solution was gently mixed with the following solutions in the following 108 order: 200 mL of 0.001 M HauCl₄, 8 mL of 0.004 M AgNO₃, 2.8 mL of 0.0778 M ascorbic acid. 109 Then, 0.4 mL of the colloidal gold seeds was added to the growth solution and the reaction 110 mixture was left on the bench undisturbed overnight. The obtained nanorods were spun down by 111 centrifugation (14500 rpm, 12 min) and finally re-suspended in 2 mL of distilled water. This 112 process produced gold nanorods of diameter 12.7 ± 1.8 nm and length 51.6 ± 8.2 nm as derived 113 from TEM analysis (Figure 1(a)), and longitudinal surface plasmon resonance peak centered at 114 800 nm. Experimentally, reproducibility of further functionalization was ensured by producing 115 nanorods of similar surface plasmon resonance property.

116 3.3 Ligand Exchange of Nanorods

The CTAB surfactant on the GNR surface was replaced with mercaptohexanoic acid (MHA) using a round-trip phase transfer ligand exchange approach.³⁶ Firstly, the CTAB coated GNRs (NR-CTAB) were extracted from the aqueous phase to the organic phase by dodecanethiol (DDT) upon the addition of acetone following a few second swirling. During this process, the CTAB was displaced by DDT, resulting in DDT coated GNR (NR-DDT). The volume ratio of the concentrated NR-CTAB solution, DDT and acetone was 1:1:4. The excess DDT was then diluted by adding an aliquot of toluene and five aliquots of methanol and washed away by

124 centrifugation (5000 rpm, 8 min). The NR-DDT were re-suspended in 1 mL toluene by brief 125 sonication. Next, the GNR were extracted back to the aqueous phase using MHA as the exchanged ligand. The NR-DDT were added to 9 mL of 0.01 M MHA in toluene at ~90 °C and 126 127 vigorously stirred. Reflux and stirring continued until visible aggregation was observed (within 128 ~15 min), indicating that MHA has replaced the DDT. The MHA coated GNR (NR-MHA) were 129 then left to sediment, washed twice with aliquots of toluene via decantation and once with an 130 aliquot of isopropanol to remove all reaction byproducts and excess MHA. Finally, the NR-131 MHA were re-suspended in 1×Tris-borate-EDTA (TBE) buffer (pH 8.3) with a high 132 concentration of ~ 100 nM. The GNR concentrations were determined by optical absorption using the reported extinction coefficients.³⁷ 133

134 3.4 Hairpin DNA Functionalization of Nanorods

135 A thiolated hairpin DNA (hpDNA) with a 6-carboxyfluorescein (FAM) label in the 3' end (5'-136 HS-(CH₂)₆-TTTTT GCGAG TTG GTG AAG CTA ACG TTG AGG CTCGC-FAM-3'; the 137 underlined bases represent the stem sequence) was designed to recognize a 21-nucleotide region of c-myc mRNA. A 5-base polythymine spacer was inserted following the 5' thiol in order to 138 reduce self-adsorption of DNA to the surface of GNR.^{38,39} The disulfide bonds of thiolated 139 140 hpDNA were reduced by tris(2-carboxyethyl)phosphine hydrochloride (TCEP) in 1×TE buffer 141 (pH 8.0) with TCEP/DNA molar ratio of 100:1. After 60-min incubation at room temperature 142 while shaking, the activated DNA was precipitated from the mixture by sodium acetate and 143 ethanol. Specifically, to the reduced DNA solution, appropriate quantities of 3 M sodium acetate 144 and 100% ethanol were added so that the final salt concentration was 0.3 M and the final ethanol concentration was 70%. The mixture was incubated 20 min at -20 °C and then spun for 5 min at 145

146 13000 rpm. The supernatant was discarded and the pellet was re-suspended in 1×TE buffer (pH147 8.0).

The NR-MHA were conjugated with hpDNA by a salt aging process.^{40,41} The hpDNA of 148 149 different concentrations (5.0, 3.5, 2.0, 1.0, 0.5 µM) were incubated with 5 nM NR-MHA, 150 respectively, in 10 mM phosphate buffer (pH 7.0) with 0.02 (wt/vol) % SDS at room 151 temperature. After 3-hour incubation, 10 µL of salting solution containing 500 mM NaCl, and 152 0.02% sodium dodecyl sulfate (SDS) in 10 mM phosphate buffer (pH 7.0) was added to the 153 mixture every 60 min. This step was repeated for a total of five times to reach a final NaCl 154 concentration of 100 mM. The salted sample was further incubated at room temperature for 16 155 hours. The NR-hpDNA conjugates were purified of excess reagents via centrifugation at 13000 156 rpm for 15 min at 4 °C. The precipitate was washed four times with washing buffer (10 mM 157 Phosphate buffer + 0.02% SDS, pH 7.5), and an additional three times with 10 mM phosphate 158 buffer (pH 7.5) by repetitive centrifugation and dispersion, which was finally re-suspended in 10 159 mM phosphate buffer (pH 7.5) and stored at 4 °C.

160 3.5 Quantitation of Hairpin DNA Loading on Nanorods

The hpDNA loaded on GNR was quantified by chemical displacement and fluorescence spectroscopy.³⁸ The purified NR-hpDNA conjugates were incubated in 20 mM mercaptoethanol (ME) overnight with shaking at room temperature, displacing the hpDNA from GNR. The released hpDNAs were then separated from GNR via centrifugation (13500 rpm, 15 min). The fluorescence of the displaced hpDNA was measured and converted to molar concentration of hpDNA by interpolation from a standard linear calibration curve, which was prepared with known concentrations of fluorophore-labeled hpDNA with identical buffer pH, ionic strength, and ME concentration. The average number of hpDNA per GNR was obtained by dividing themolar concentration of hpDNA by the original GNR concentration.

170 3.6 Hybridization Efficiency of NR-hpDNA probes

Hybridization efficiency was quantified according to the published protocol.³⁸ TAMRA-labeled 171 172 complementary DNA (TMR-cDNA) were incubated with NR-hpDNA under hybridization 173 conditions (3 µM TMR-cDNA, 10 mM phosphate buffer with 100 mM NaCl, pH 7.5, 24 h). 174 Nonhybridized cDNA-TMR were removed and rinsed three times by 10 mM phosphate buffer 175 (pH 7.5) through centrifugation (13500 rpm, 15 min). After that, the TMR-cDNAs were 176 dehybridized by addition of NaOH (final concentration 50 mM, pH 11-12, 2 h). The 177 dehybridized TMR-cDNAs were then separated from the mixture by centrifugation, and 178 neutralized by addition of 1 M HCl. The concentration of dehybridized TMR-cDNA and the 179 corresponding hybridization efficiency were determined by fluorescence spectroscopy analysis.

180 3.7 Hybridization Kinetics

181 The complementary DNA (cDNA) was used to investigate the hybridization kinetics of the

182 nanoprobes. The hybridization experiments were carried out in the hybridization buffer (10 mM

183 phosphate buffer of pH 7.5, 100 mM NaCl) containing 0.22 nM nanoprobes and 880 nM cDNA.

184 The excitation and emission wavelengths were 490 nm and 517 nm for fluorescein, respectively.

185 *3.8 Sensitivity Experiment*

186 The nanoprobes (0.22 nM) were incubated with varying concentrations of cDNA (0, 1, 5, 10, 20,

187 30, 40, 50, 80, 100, 200, and 300 nM) in the hybridization buffer for 2 hours at 37 °C before

188 measuring the fluorescence recovery.

189 3.9 Fluorescence Lifetime Measurements

190 Time-resolved fluorescence measurements were performed using the time-correlated single-191 photon counting (TCSPC) technique on an IBH Fluorocube fluorescence lifetime system (Horiba Jobin Yvon IBH Ltd., Glasgow, UK) equipped with both excitation and emission 192 193 monochromators. A pulsed light-emitting diode (LED) of 474 nm operating at 1 MHz repetition 194 rate was used as the excitation source. A longpass filter of 505 nm was used to minimize the 195 detection of excitation light. Fluorescence decays were measured at the magic angle (54.7°) to 196 eliminate polarization artifacts. Data analysis was performed using nonlinear least squares with 197 the IBH iterative reconvolution software (DAS6 data analysis package). The fluorescence 198 intensity decays were analyzed in terms of the multi-exponential model as the sum of individual 199 single exponential decays:

200
$$I(t) = \sum_{i} \alpha_{i} \exp\left(-\frac{t}{\tau_{i}}\right), \qquad (1)$$

where τ_i are the decay times and α_i the associated amplitudes. The fractional contribution of each lifetime component to the steady-state intensity is represented by

 $f_i = \alpha_i \tau_i / \sum_k \alpha_k \tau_k$.

(2)

203

204 The average lifetime ($\overline{\tau}$) is calculated as

 $\overline{\tau} = \sum_{i} f_{i} \tau_{i} .$ (3)

As noted, a very short lifetime component (less than 100 ps) was found in both cases before and after hybridization. This lifetime is below the system response time limit and is attributed to the scattering of GNR. This was excluded in the multi-exponential fittings by deliberately fixing one of the lifetime components at a value of 0.5 channels.

To retrieve the lifetime distributions, a model-free maximum entropy method (MEM) was used, using the software Pulse 5 (MaxEnt Ltd, Cambridge, UK).⁴² It provides an unique solution to fluorescence lifetime data using a broad window of decay terms fit by simultaneous minimization of the χ^2 and maximization of a statistical entropy function. The lifetime distribution $h(\tau)$ is related to the fluorescence intensity decay I(t) by

215
$$I(t) = \int_0^\infty h(\tau) \exp\left(-\frac{t}{\tau}\right) d\tau.$$
 (4)

216 **3. Results and Discussion**

217 The bilayer CTAB on the surface of as-made GNR may not only cause cytotoxic effect to living cells,^{43,44} but also can be problematic for further surface modification with bioconjugates.²³ Thus, 218 219 the CTAB layers were replaced with MHA prior to conjugation with hpDNA, using a round-trip phase transfer ligand exchange approach.³⁶ As shown in Figure 1(b), the longitudinal surface 220 221 plasmon resonance (LSPR) of the CTAB-coated GNR was centered at 807 nm. This LSPR band 222 was blue-shifted to 780 nm without significant broadening after the ligand exchange process, 223 indicating a successful ligand exchange without apparent aggregation. The hpDNA were conjugated with GNR via a salt-aging process,^{40,41} in which different molar ratios of hpDNA to 224 225 GNR, namely 100:1, 200:1, 400:1, 700:1 and 1000:1, were used. The UV-vis spectra showed 226 that the LSPR bands of all hpDNA-functionalized GNRs did not exhibit significant change 227 compared to that of MHA modified GNR (NR-MHA), regardless of different molar ratios of 228 hpDNA to GNR used in the synthesis process (Figure 1(b)). This was possibly due to 229 centrifugation processes where a fraction of GNRs with high aspect ratios were inevitably left in 230 the supernatant after each round of centrifugation as the sedimentation velocity was dictated by the hydrodynamic behavior of nanoparticles,⁴⁵ making it difficult to observe the slight changes of 231 232 LSPR peaks among GNR-MHA with different hpDNA coverages experimentally.

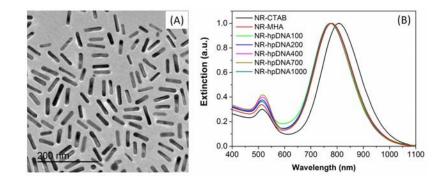
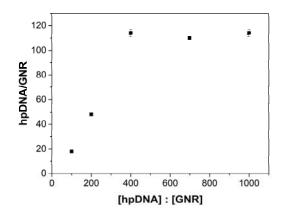


Figure 1. (a) TEM image of the gold nanorods. The scale bar is 200 nm; (b) Extinction spectra
of GNR made with CTAB and GNRs with surface modifications of MHA and hpDNA-FAM.
The NR-CTAB was suspended in distilled water, while NR-MHA and NR-hpDNA-FAM were
suspended in 1×TBE (pH 8.3) and 10 mM phosphate buffer (pH 7.5), respectively.

233

238 To quantify the average number of hpDNA assembled on a GNR, hpDNA on GNRs were 239 released by mercaptoethanol (ME) and the concentration of hpDNA was determined by 240 fluorescence intensity against a standard correlation curve between fluorescence intensity and hpDNA-FAM concentration.³⁸ The surface packing density of hpDNA on single GNR was 241 242 obtained with known GNR particle density. As depicted in Figure 2, the surface loading of 243 hpDNA on GNR varies with the molar ratio of hpDNA to GNR in the mixture. It is interesting to 244 note that the surface loading of hpDNA reached a maximum of ~ 114 at a molar ratio of 400:1, 245 and maintained at this value even with higher molar ratios. This is well below the value of maximum loading, ~168 oligonucleotides per GNR, as predicted by Hill's model.⁴⁶ In the 246 247 successive study, samples made from molar ratios of 100:1, 200:1 and 400:1 were investigated, denoted as NR-hpDNA100, NR-hpDNA200 and NR-hpDNA400, respectively. The footprints of 248 hpDNA loading on GNR were calculated to be approximately 114.4, 42.9 and 18.1 nm² for NR-249 250 hpDNA100, NR-hpDNA200 and NR-hpDNA400, respectively.



251

Figure 2. A correlation between surface loading of hpDNA on each GNR and molar ratio of hpDNA to GNR in the synthesis process. Error bars are one standard deviation from three measurements.

255

256 To test the performance of the nanoprobes, we first examined their fluorogenic responses to 257 the addition of targets. The nanoprobes were exposed to an excess amount of perfectly matched complementary DNA (cDNA) (880 nM). As demonstrated by the kinetic measurements in 258 259 Figure 3, all of the nanoprobes showed an instant fluorescence recovery upon adding cDNA, and 260 the fluorescence intensities reached saturation levels in short time periods. This is consistent with the previous studies using MB and AuNS-MB conjugates,^{5,18,47} indicating that the GNR-based 261 262 nanoprobes retained the advantage of MB. In addition, it is noted that the surface packing density 263 of hpDNA had a great impact on the hybridization kinetics of nanoprobes. It is apparent from 264 Figure 3 that nanoprobes with higher surface coverage of hpDNA displayed higher target-capture 265 rate. The hybridization rates could be quantitatively obtained as the first-ordered differentiation 266 of the curve in Figure 3. It was found that, in the initial rapid hybridization period upon target 267 addition, the response of NR-hpDNA400 to cDNA was about 1.4 and 5.3 times faster than that of NR-hpDNA200 and NR-hpDNA100, respectively. The fluorescence intensities of NR-268

269 hpDNA400 and NR-hpDNA200 reached saturation levels in a similar time period, while a longer
270 time was needed for NR-hpDNA100.

271 As also shown in Figure 3, the fluorescence intensity of FAM in the absence of target strands, 272 i.e. background signal, was low but measureable for all three nanoprobes. The background signal 273 of NR-hpDNA400 was relatively higher than that of NR-hpDNA200, while the latter was just 274 slightly higher than that of NR-hpDNA100. The saturation fluorescence signal in the presence of 275 targets, on the other hand, was found to have a positive relationship with hpDNA loading. In 276 addition to fluorescence intensity, which is primarily related to the number of open hpDNA, 277 another factor usually used to determine the sensor performance of molecular beacon is the 278 quenching efficiency, defined as $(1-F_{closed}/F_{open}) \times 100\%$, where F_{closed} and F_{open} are the 279 fluorescence intensity of nanoprobe in the absence of target and its stable level in the presence of 280 excess target, respectively. For NR-hpDNA400, NR-hpDNA200 and NR-hpDNA100, the 281 quenching efficiencies were calculated to be 90.8%, 93.3% and 88.1%, respectively, indicating a 282 similar and good quenching effect of all three nanoprobes.

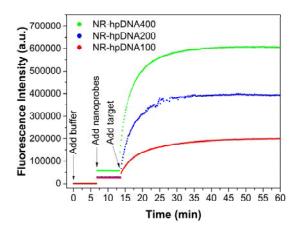


Figure 3. Kinetic fluorescence measurements of the nanoprobes upon hybridization. The concentrations of NR-hpDNA and cDNA were 0.22 and 880 nM, respectively. Excitation wavelength: 490 nm; fluorescence wavelength: 517 nm.

G 1	Surface coverage	Hybridized coverage	Hybridization efficiency	
Samples	(hpDNA/cm ²)	(hpDNA/cm ²)		
NR-hpDNA400	$(5.54 \pm 0.13) \times 10^{12}$	$(1.51 \pm 0.01) \times 10^{12}$	27.19 %	
NR-hpDNA200	$(2.33 \pm 0.05) \times 10^{12}$	$(1.21 \pm 0.05) \times 10^{12}$	52.08 %	
NR-hpDNA100	$(0.87 \pm 0.07) \times 10^{12}$	$(0.83 \pm 0.07) \times 10^{12}$	94.44 %	

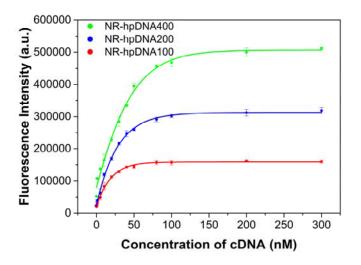
Table 1. Hybridization efficiency of NR-hpDNA nanoprobes with different probe surfacepacking densities.

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291 To further evaluate the effectiveness of the NR-hpDNA nanoprobes for nucleic acid detection, 292 the average number of target strands hybridized with hpDNA on each nanoprobe was quantified using the protocol reported by Demers et al.³⁸ An excess of TMR-cDNA (3 μ M as final 293 294 concentration) was incubated with NR-hpDNA nanoprobes in the hybridization buffer for 24 h to 295 maximize the hybridizations. The influence of TMR labels on the hybridization (duplex formation) is negligible.⁴⁸ Following a centrifuge process to remove unbound excess TMR-296 297 cDNA, the hybridized TMR-cDNA were released by denaturing the duplex DNA and separated 298 from the NR-hpDNA nanoprobes. The concentration of dehybridized TMR-cDNA was deduced 299 from its fluorescence intensity according to a concentration-intensity correlation curve. Table 1 300 lists the surface coverage of hairpins, surface coverage of hybridized hairpins (with TMR-301 cDNA) and hybridization efficiency of three NR-hpDNA nanoprobes. Interestingly, the number 302 of captured target strands increased with increasing surface coverage of hpDNA on GNR, which 303 is consistent with the saturate fluorescence intensity observed in the kinetic studies (Figure 3). 304 However, the hybridization ratio decreased from 94.44 % to 27.19 % as the hpDNA packing density increased from 0.87×10^{12} to 5.54×10^{12} hpDNA/cm². This indicates that a higher hairpin 305 14

306 density results in an increased total target binding, but a relatively lower efficiency in hybridizing hpDNA available on GNR.^{49,50} This is not surprising as previous studies have found 307 308 that, for both DNA on thin films and nanoparticles, the efficiency of DNA hybridization is 309 governed by both the electrostatic repulsion between neighboring DNA strands and the steric hindrance between tethered DNA probes.^{38,49,51} An upright conformation of oligonucleotide, that 310 311 is preferred for hpDNA of relatively high surface coverage due to the repulsive force between 312 neighboring oligonucleotides, is favorable for hybridizations. On the other hand, densely packed 313 oligonucleotide monolayers would reduce accessibility of incoming target strands.

314 Figure 4 depicts the correlation between fluorescence intensity of nanoprobes and the target 315 concentration. As expected, for all nanoprobes, the recovery of the fluorescence signal was 316 positively correlated to the target concentration. Apparent changes in the fluorescence intensities 317 were observed at a target concentration of 1 nM. As the concentration of cDNA increased, the 318 fluorescence intensity increased monotonically until saturated at a stable plateau at relatively 319 high target concentration. These again indicated the opening of hairpin structure upon 320 hybridization. Significantly, nanoprobes of higher hairpin coverage not only showed stronger 321 fluorescence intensity at the same target concentration, but also a higher saturation signal at a 322 larger target saturation concentration. This means that the nanoprobes of higher hairpin coverage 323 had better sensitivity and larger detection range. The limit of detection of probe NR-hpDNA400 324 (LOD=3.3×standard deviation of the response/the slop of the calibration curve up to 50nM) was 325 found to be 0.68 nM.



326

Figure 4. Dose response of the nanoprobes (0.22 nM) with different surface packing densities of hpDNA. The concentrations of perfectly complementary DNA were 0, 1, 5, 10, 20, 30, 40, 50, 80, 100, 200 and 300 nM. Excitation wavelength: 485 nm; emission peak: 517 nm.

330

331 Furthermore, time-resolved fluorescence spectroscopy was employed to evaluate the lifetime 332 change of FAM on the nanoprobes before and after hybridized with targets. For comparison, the 333 fluorescence intensity decay of free hpDNA-FAM was analysed prior to conjugation to GNR. 334 Two lifetime components were found to present in the free hpDNA-FAM sample, where the long 335 lifetime of 3.92 ns was the dominant one, accounting for a fractional contribution of 98%. It is 336 worth noting that the fluorescence decay of free hpDNA-FAM in "closed" state (without binding 337 to cDNA) was slightly different from that in "open" state (hybridized with cDNA) with both 338 long and short lifetimes of free open-state hpDNA-FAM slightly greater than those of hpDNA-339 FAM in "closed" state, as determined from multi-exponential analysis (Table 2). This is 340 probably due to the close proximity of FAM to the guanine in the hairpin conformation. Previous 341 studies found that the fluorescence of FAM could be quenched by guanosine nucleotide due to

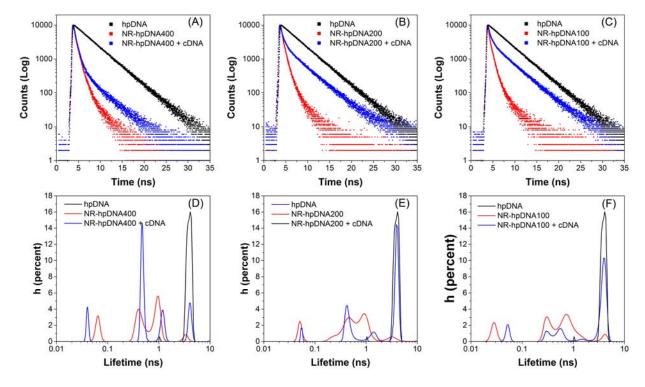
the photoinduced electron transfer.^{52–54} Indeed, the hpDNA-FAM hybridized with cDNA showed
a 1.7-fold increase in fluorescence intensity with respect to the hpDNA-FAM in "closed" state.

344 After being assembled on GNRs, the FAM molecules were held to the close proximity of 345 GNR surfaces by the hairpin DNA structure in the absence of targets. Consequently, the 346 fluorescence lifetime of FAM was dramatically shortened, due to the fluorescence quenching 347 effect induced by GNR. Multi-exponential fitting shows that the FAM in NR-hpDNA400 has 348 three lifetime components of 2.87 ns (8%), 0.85 ns (59%) and 0.35 ns (33%) (Table 2). As noted, 349 the shorter lifetime components of less than 1 ns were dominant in the fluorescence decay. The 350 total average lifetime was calculated to be 0.85 ns, about 4.5-fold smaller than that of the free 351 closed-state hpDNA-FAM, confirming the quenching effect introduced by GNR. Upon target 352 binding, the fluorescence lifetime of FAM recovered as expected, with three lifetime components 353 of 3.93 ns (23%), 1.05 ns (24%) and 0.45 ns (53%) (Table 2). The average lifetime was 1.40 ns, 354 about 1.6-fold increase compared to that of the closed-state nanoprobe. All of the lifetime 355 components increased with the longest one approaching that of free open-state hpDNA-FAM. 356 The fractional contribution of the longest lifetime component significantly increased, whereas 357 the fractional contribution of shorter components decreased. The existence of short lifetime 358 components indicated that not all hpDNA opened, in line with previous finding that about 27% 359 of hpDNA were in open states. The fluorescence lifetime distributions retrieved from maximum 360 entropy method (MEM) are shown in Figure 5 (D). By summing the area under the peaks, the 361 fractional contributions for a continuous lifetime distribution can be determined. The MEM 362 analysis reveals that the lifetime spectrum of NR-hpDNA400 in the absence of cDNA consists of 363 three peaks located at 3.37 ns (22%), 0.93 ns (52%) and 0.44 ns (22%) and one extremely small distribution centred at 0.06 ns (1%). Significantly, upon hybridization to cDNA, the 3.37-ns 364

lifetime component shifted towards a greater value (4.06 ns) with the fractional contribution 365 366 increasing to 63%, whereas the 0.93-ns band shifted to 1.18 ns with the fractional contribution 367 falling to 17%. Meanwhile, the second shortest lifetime peak became relatively sharp and narrow 368 with barycentre at 0.48 ns and fractional contribution of 20%. In contrast, the contribution from 369 the shortest lifetime peak (0.04 ns) almost vanished in the fluorescence decay, only accounting 370 for a fractional contribution of 0.37%. The average lifetimes of NR-hpDNA400 before and after 371 hybridizations were calculated to be 1.6 ns and 2.9 ns, respectively. Due to the complexity of 372 fluorescence decay in the NR-hpDNA-FAM system, it is not surprising that there are 373 discrepancies between the fitting results obtained from MEM and multi-exponential models. 374 Nevertheless, the MEM analysis is qualitatively consistent with the multi-exponential analysis. 375 The kinetics revealed by the fluorescence lifetime measurements are in accordance with the 376 observations obtained by steady-state fluorescence spectroscopy (Figure 3).

377 Comparing the closed-state nanoprobes with different hpDNA densities, multi-exponential 378 analysis showed similar average lifetimes of ~0.8 ns (Table 2), suggesting a similar hairpin 379 configuration for all three nanoprobes. However, the change of average lifetime upon 380 hybridization was found to be dependent on the hpDNA density. After binding to targets, three 381 lifetime components were found similar for all three nanoprobes (Table 2). However, the 382 corresponding fractional contributions of similar lifetime component were different. As the 383 surface density of hpDNA decreased, the fractional contribution of the longest lifetime 384 component (~3.95 ns) increased and became dominant in the fluorescence decay, while the 385 fractional contributions of the shorter lifetime components decreased. The average lifetimes of 386 NR-hpDNA400, NR-hpDNA200 and NR-hpDNA100 after binding to targets were 1.40, 2.71 387 and 3.02 ns, respectively. As shown in Figure 5 (E and F), the lifetime spectra retrieved from

388 MEM clearly demonstrate that the longest lifetime distribution centered at ~4 ns played an 389 essential role in the decay of the hybridized nanoprobes, while the relatively broad lifetime 390 distribution ranging from 0.2 - 1.5 ns was predominant in the non-hybridized samples. Moreover, 391 the MEM analysis shows that the average lifetimes of NR-hpDNA-400, NR-hpDNA200 and 392 NR-hpDNA100 were ~1.6 ns before hybridization, but increased to 2.9, 3.7 and 3.8 ns, 393 respectively, after exposure to an excess of target strands. These were again in agreement with 394 the multi-exponential analysis results. The changes in average lifetime revealed by both fitting 395 methods were in line with hybridization efficiency found in Table 1. However, the average 396 lifetime for NR-hpDNA100 after hybridization was still smaller than that of free hybridized 397 DNAs, although 94% of hpDNA were hybridized as revealed above. This is possibly because 398 that not all hybridized hpDNAs fully stretched out from the GNR surface, due to low hpDNA 399 packing density and lacking of electrostatic repulsions from the neighbors. This indicates that 400 time-resolved fluorescence spectroscopy is a powerful technique not only for providing information related to hairpin conformational changes, as demonstrated recently,⁵⁵ but also to 401 402 hybridization ratio of assembled hpDNAs.



404 Figure 5. (Upper panel) Fluorescence intensity decay curves of (A) NR-hpDNA400, (B) NR-405 hpDNA200, and (C) NR-hpDNA100 before and after hybridization ([cDNA]=880 nM). The 406 fluorescence intensity decay curve of hpDNA was also presented for comparison. Samples were 407 measured in 10 mM phosphate buffer (pH 7.5). (Lower panel) Fluorescence lifetime distributions 408 of (D) NR-hpDNA400, (E) NR-hpDNA200, and (F) NR-hpDNA100 before and after 409 hybridization obtained from MEM analysis. The fluorescence lifetime distribution of hpDNA 410 was also included for comparison. Note the logarithmic lifetime axis.

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- 414

3.87	3.92 ± 0.01	0.98	
	0.47 ± 0.11	0.02	1.14
3.93	4.05 ± 0.02	0.94	
	2.03 ± 0.07	0.06	1.08
0.85	2.87 ± 0.15	0.08	
	0.85 ± 0.03	0.59	
	0.35 ± 0.05	0.33	1.11
1.40	3.93 ± 0.10	0.23	
	1.05 ± 0.10	0.24	
	0.45 ± 0.03	0.53	1.20
0.83	2.64 ± 0.18	0.11	
	0.79 ± 0.04	0.57	
	0.31 ± 0.06	0.33	1.18
2.71	3.96 ± 0.03	0.62	
	1.15 ± 0.16	0.13	
	0.41 ± 0.05	0.25	1.09
0.84	2.10 ± 0.21	0.10	
	0.81 ± 0.05	0.51	
	0.33 ± 0.05	0.40	1.13
3.02	3.95 ± 0.03	0.72	
	1.25 ± 0.25	0.09	
	0.39 ± 0.05	0.20	1.03
	0.85 1.40 0.83 2.71 0.84	$\begin{array}{ccc} 2.03 \pm 0.07 \\ 0.85 & 2.87 \pm 0.15 \\ 0.85 \pm 0.03 \\ 0.35 \pm 0.05 \\ 1.40 & 3.93 \pm 0.10 \\ 1.05 \pm 0.10 \\ 0.45 \pm 0.03 \\ 0.83 & 2.64 \pm 0.18 \\ 0.79 \pm 0.04 \\ 0.31 \pm 0.06 \\ 2.71 & 3.96 \pm 0.03 \\ 1.15 \pm 0.16 \\ 0.41 \pm 0.05 \\ 0.84 & 2.10 \pm 0.21 \\ 0.81 \pm 0.05 \\ 0.33 \pm 0.05 \\ 3.02 & 3.95 \pm 0.03 \\ 1.25 \pm 0.25 \\ \end{array}$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

415 **Table 2.** Multi-exponential analysis of fluorescence intensity decays.

^a The fluorescence decay was fitted to three exponentials plus scatter to take into account the scatter effect caused by GNR. And the amplitude of scatter was excluded from the data analysis.

418 ^b The retrieved lifetimes are presented with three standard deviations as error.

420 **4.** Conclusions

421 In summary, a new GNR-based nanoprobe with potential for mRNA detection was developed by 422 functionalizing GNR with fluorophore labelled hairpin oligonucleotides. This nanoprobe was 423 found to be sensitive to a complementary oligonucleotide as indicated by significant changes in 424 fluorescence intensity and lifetime. Tuneable loading of hpDNA on GNR was achieved by 425 varying the molar ratio of hpDNA to GNR during the functionalization process. It was found that 426 the nanoprobe of higher hairpin coverage showed better performance in terms of sensitivity and 427 detection range from the steady-state fluorescence spectroscopy measurement. It was also found 428 that nanoprobes of the highest hairpin density captured the largest number of target strands, but 429 had relatively low hybridization ratio. Analysis by time-resolved fluorescence lifetime 430 spectroscopy revealed significant lifetime changes of the fluorophore after hpDNAs hybridized 431 with targets. It demonstrated that time-resolved fluorescence spectroscopy can be a powerful tool 432 in providing insight on the hybridization kinetics of the probe as well as the quenching effect of 433 GNR. We expect that this kind of GNR-based nanoprobes holds promise for mRNA detection 434 and subcellular imaging with the concomitant potential for a wide range of disease related 435 biomarker RNA analyses, including cancer diagnosis and prognosis.

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442 **6. References**

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584 Caption List

585 Scheme 1. Schematic illustration of hairpin DNA functionalized GNR for mRNA detection.

586 Figure 1. (a) TEM image of the gold nanorods. The scale bar is 200 nm; (b) Extinction spectra

587 of GNR made with CTAB and GNRs with surface modifications of MHA and hpDNA-FAM.

588 The NR-CTAB was suspended in distilled water, while NR-MHA and NR-hpDNA-FAM were

suspended in 1×TBE (pH 8.3) and 10 mM phosphate buffer (pH 7.5), respectively.

590 **Figure 2.** A correlation between surface loading of hpDNA on each GNR and molar ratio of 591 hpDNA to GNR in the synthesis process. Error bars are one standard deviation from three 592 measurements.

Figure 3. Kinetic fluorescence measurements of the nanoprobes upon hybridization. The concentrations of NR-hpDNA and cDNA were 0.22 and 880 nM, respectively. Excitation wavelength: 490 nm; fluorescence wavelength: 517 nm.

Figure 4. Dose response of the nanoprobes (0.22 nM) with different surface packing densities of
hpDNA. The concentrations of perfectly complementary DNA were 0, 1, 5, 10, 20, 30, 40, 50,
80, 100, 200 and 300 nM. Excitation wavelength: 485 nm; emission peak: 517 nm.

Figure 5. (Upper panel) Fluorescence intensity decay curves of (A) NR-hpDNA400, (B) NRhpDNA200, and (C) NR-hpDNA100 before and after hybridization ([cDNA]=880 nM). The fluorescence intensity decay curve of hpDNA was also presented for comparison. Samples were measured in 10 mM phosphate buffer (pH 7.5). (Lower panel) Fluorescence lifetime distributions of (D) NR-hpDNA400, (E) NR-hpDNA200, and (F) NR-hpDNA100 before and after

604	hybridization obtained from MEM analysis. The fluorescence lifetime distribution of hpDNA
605	was also included for comparison. Note the logarithmic lifetime axis.
606	Table 1. Hybridization efficiency of NR-hpDNA nanoprobes with different probe surface
607	packing densities.
608	Table 2. Multi-exponential analysis of fluorescence intensity decays.
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