1	Exposure of Tropical Ecosystems to Artificial Light at Night:
2	Brazil as a Case Study
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### 14 Abstract

15 Artificial nightime lighting from streetlights and other sources has a broad range of biological effects. Understanding the spatial and temporal levels and patterns of this lighting is a key step in determining 16 the severity of adverse effects on different ecosystems, vegetation, and habitat types. Few such 17 analyses have been conducted, particularly for regions with high biodiversity, including the tropics. 18 We used an intercalibrated version of the Defense Meteorological Satellite Program's Operational 19 Linescan System (DMSP/OLS) images of stable nightime lights to determine what proportion of 20 original and current Brazilian vegetation types are experiencing measurable levels of artificial light 21 and how this has changed in recent years. The percentage area affected by both detectable light and 22 23 increases in brightness ranged between 0 and 35% for native vegetation types, and between 0 and 25% for current vegetation (i.e. including agriculture). The most heavily affected areas encompassed 24 terrestrial coastal vegetation types (restingas and mangroves), Semideciduous Seasonal Forest, and 25 Mixed Ombrophilous Forest. The existing small remnants of Lowland Deciduous and Semideciduous 26 Seasonal Forests and of Campinarana had the lowest exposure levels to artificial light. Light pollution 27 has not often been investigated in developing countries but our data show that it is an environmental 28 concern. 29

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### 31 Introduction

The nighttime environment is undergoing a dramatic transformation across the Earth's surface. The cycles of natural light (daily, lunar and seasonal) that have been major forms of environmental variation since the first emergence of life are being disrupted through the introduction of artificial lighting. A diversity of sources (including street lighting, advertising lighting, architectural lighting, security lighting, domestic lighting and vehicle lighting) are causing direct illumination as well as via skyglow, the scattering by atmospheric molecules or aerosols of artificial light at night that is emitted or reflected upwards [1–5].

Because natural cycles of light have previously provided rather consistent resources and 39 sources of information for organisms, artificial nighttime lighting has a broad range of biological 40 effects [5–7]. These span from gene to ecosystem levels [8,9]. They include effects on the physiology, 41 42 behaviour, reproductive success and mortality of species (e.g. 10-13), on their abundance and distribution [14], and in turn on community structures and functioning (e.g. 2,15). Moreover, it seems 43 likely that the impacts of artificial nighttime lighting interact with those of other pressures on 44 biodiversity, including habitat loss, climate change, other forms of pollution, and invasive species 45 [16]. 46

Determining the severity of these biological impacts rests, in part, on understanding of the 47 spatial and temporal levels and patterns of artificial nighttime lighting, and particularly how these 48 interact with those of different ecosystem, vegetation and habitat types [16]. At a global scale, 49 virtually all natural terrestrial ecosystem types experience some level of exposure to artificial 50 nighttime lighting or skyglow, and those that have been most and least affected have been identified 51 [4]. However, more detailed regional analyses have largely been wanting. A few evaluations exist of 52 regional patterns of artificial nighttime lighting, but these have not tended to determine the interaction 53 with ecosystem, vegetation, or habitat types (e.g. 15,17). Of particular concern is that work on spatial 54 patterns of artificial nighttime lighting has focussed predominantly on China, Europe and North 55 America [1,3,17,18] with almost no attention to global biodiversity hotspots. In particular, the 56 potential environmental impacts of artificial nighttime lighting in tropical regions have been 57 surprisingly little considered. 58

Aside from the often much greater levels of biodiversity that could be influenced, it remains unknown whether artificial nightttime lighting has different impacts in tropical regions compared with temperate ones. Obvious differences between tropical and non-tropical regions that might be significant are the short and rather invariant tropical periods of twilight, relatively low proportions of crepuscular and cathemeral species in tropical regions [19], the greater specialisation in tropical regions of some interspecific interactions that are known to be susceptible to influences from artificial

nighttime light (e.g. plant-pollinator; [20,21]), and the prevalence of terrestrial species using
bioluminescence, which are known to be vulnerable to light pollution [22–24].

In this paper we determine the spatial and temporal patterns of artificial nighttime lighting across Brazil in relation to the distribution of vegetation types. Brazil makes a particularly valuable case study. As well as being the largest country in South America, it has the largest number of species of any country in the world for many major taxonomic groups [25], has high levels of species endemism, and two recognised global biodiversity hotspots [26]. Brazil also has the richest biodiversity of bioluminescent beetles in the world [27].

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### 74 Methods

### 75 Light Data

Following Bennie et al. [3], we used nighttime stable lights annual composite images, created 76 with data from the Defense Meteorological Satellite Program's Operational Linescan System 77 (DMSP/OLS), downloaded from the National Oceanic and Atmospheric Administration archives 78 (1992-2012, n = 21). These images capture upwardly reflected and directed nighttime light. The 79 images are nominally at 1 km resolution, but are re-sampled from data at an equal angle of 80 approximately 2.7 km resolution at the equator. These images cover spectral responses from 440 to 81 82 940 nm with the highest sensitivity in the 500 to 650 nm region. The spectral range encompasses the primary emissions from the most widely used sources for external lighting in Brazil: low pressure 83 84 sodium (589 nm), high pressure sodium (from 540 nm to 630 nm) and mercury vapour (545 and 575 nm) [1,28]. 85

Each pixel is represented by a digital number (DN) of between 0 and 63. Zero represents no detectable upward radiance, while brightly lit areas saturate at values of 63. Images were intercalibrated and drift-corrected following the method of Bennie *et al.* [3]. An average calibrated image for both the first (1992–1996) and the last (2008–2012) five years was created and the difference was calculated. To assess the changes over the full period time, we considered pixels increasing or

decreasing by more than a threshold of 3 DN units of difference between the averages of the first and 91 last years. It was previously observed that over 94% of observed increases in DN of more than 3 units 92 and over 93% of observed decreases of the same magnitude were consistently related to the directions 93 of changes on the ground (e.g., expansion or contraction of urban and industrial areas) [3]. Following 94 Gaston et al. [29] and Duffy et al. [30], we considered pixels as exposed to artificial light when they 95 had values higher than 5.5 DN units. By using a threshold effectively twice the detection limit for 96 change, we defined a conservative estimate of lit area and limited the extent to which dark sites may 97 98 be classified as lit due to noise in the data set or calibration errors [29,30].

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## 100 Vegetation type data

We used the vegetation map produced by the Brazilian Institute for Geography and Statistics 101 [31], which is recommended as a good basis to compare with data obtained from remote sensing 102 images [32]. This map presents both original native vegetation and current vegetation and land cover. 103 104 The former portrays the original vegetation classes in Brazil likely found at the time of Portuguese colonisation [31], and the latter describes the vegetation now present [31]. Original vegetation 105 includes 24 wider classes while the current is more detailed, including 52 classes (Table 1). The 106 shapefile was produced by IBGE - Brazilian Institute of Geography and Statistics and accessed 107 through REDD-PAC website (http://www.redd-pac.org/new page.php?contents=data.csv) in WFS 108 (web feature service) format. 109

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#### 111 **Table 1. Vegetation classification for Brazil according to IBGE (2012).**

Forest Ombrog Forest	philous Dense Ombrophilous Forest	Alluvial Dense Ombrophilous Forest
		Lowland Dense Ombrophilous Forest
		Sub-Montane Dense Ombrophilous Forest
		Montane Dense Ombrophilous Forest
	Open Ombrophilous Forest	Alluvial Open Ombrophilous Forest

			Leader d. Or an Orcharchilana Ferret
			Lowland Open Ombrophilous Forest
			Sub-Montane Open Ombrophilous Forest
		Mixed Ombrophilous Forest	Montane Mixed Ombrophilous Forest
			High-montane Mixed Ombrophilous Forest
	Seasonal Forest	Semi-deciduous Seasonal Forest	Alluvial Semi deciduous Seasonal Forest
			Lowland Semi deciduous Seasonal Forest
			Sub-Montana Semi-deciduous Seasonal Forest
			Montane Semi-deciduous Seasonal Forest
		Deciduous Seasonal Forest	Lowland Deciduous Seasonal Forest
			Sub-Montane Deciduous Seasonal Forest
			Montane Deciduous Seasonal Forest
Non Forest		Campinarana	Forest Campinarana
			Woody Campinarana
			Shurbland Campinarana
			Grassland Campinarana
-		Savanna	Forest Savanna
			Woody Savanna
			Parkland Savanna
			Grassland Savanna
		Steppe-savanna	Forest Steppe-savanna
		11	Woody Steppe-savanna
			Parkland Steppe-savanna
			Grassland Steppe-savanna
-		Steppe	Woody Steppe
			Parkland Steppe
			Grassland Steppe
•		Pioneer formation	Alluvial Areas
			Restinga
			Mangrove
Other	Ecotone	Campinarana/Ombrophilous Forest	Campinarana/Ombrophilous Forest
		Steppe/seasonal Forest	Steppe/seasonal Forest
		Seasonal Forest /Primary Formations	Seasonal Forest /Primary Formations
		Dense Ombrophilous Forest/Mixed Ombrophilous Forest	Dense Ombrophilous Forest/Mixed Ombrophilous Forest
		Ombrophilous Forest/Seasonal Forest	Ombrophilous Forest/Seasonal Forest
		-	Steppe savanna /Seasonal Forest
		Steppe savanna / Seasonal Forest	
		Steppe savanna /Seasonal Forest Savanna/Seasonal Forest	
		Savanna/Seasonal Forest	Savanna/Seasonal Forest
		Savanna/Seasonal Forest Savanna/Ombrophilous Forest	Savanna/Seasonal Forest Savanna/Ombrophilous Forest
		Savanna/Seasonal Forest	Savanna/Seasonal Forest

	Forest	
Relict Vegetation	Relict Vegetation	High-montane Relict Vegetation
		Montane Relict Vegetation
Water	Water	Coastal Water Mass
		Continental Water Mass
Rocky Outcrops	Rocky Outcrops	Rocky Outcrops
		Agriculture
		Secondary Vegetation
hird column co	rresponds to original vege	etation and the fourth column to current vegetati

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The IBGE map divides vegetation into two broad classes: forests and non-forests [33]. Forests 115 are divided into Ombrophilous Forest and Seasonal Forest. The former is further divided into three 116 physiognomies (Dense, Open and Mixed) and the last into two (Deciduous and Semi-deciduous). All 117 of these can be classified by up to five formations: Alluvial, Lowland, Sub montane, Montane and 118 119 High-montane (Table 1). Non-forests are divided into four formations: Campinarana, Savanna, Steppe-savanna, and Steppe, which in turn can be divided into up to four formations: Forest, Woody, 120 Shrubland, and Grassland. The map also classifies pioneer formations - that encompass vegetation 121 influenced by rivers (Alluvial Areas), by the sea (Restingas), and by both (Mangroves) - Ecotones, 122 Relict Vegetation and Water. When considering the current vegetation, it also includes Agriculture 123 124 and Secondary Vegetation classes (Table 1).

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#### 126 **Processing**

To define the proportional area of each vegetation type that has been exposed to artificial nighttime light, we overlaid both original and current vegetation shapefiles on the DMSP data for the most recent five years (2008-2012). We extracted both the number of lit pixels and the total number of pixels inside each vegetation type and divided the first by the second. To assess changes, we overlaid the two vegetation shapefiles on the difference between the first (1992–1996) and the last (2008–2012) five years of DMSP data. We extracted the number of increasing pixels, decreasing pixels and the total number of pixels inside each vegetation type. We divided the number of increasing

- 134 and decreasing pixels by the total in each vegetation type, achieving the proportional area where
- 135 artificial light has been increasing and decreasing respectively.
- 136

# 137 **Results**

- Overall, the percentage of area of each vegetation type affected by increases in artificial light
- 139 was higher than the percentages affected by 'detectable' light (Figs 1 and 2). Less than 0.00001% of
- 140 the areas of vegetation types experienced decreases in brightness so we considered only the increases
- 141 in the results.
- 142

Figure 1. Percentage of area of original vegetation types affected by artificial light. Horizontal bars show the percentage of total land surface area occupied by each original vegetation type that had more than 5.5 Digital Number (DN) units in 2008-2012 (red) or an increase of more than 3 DN units between 1992-2012 and 2008-2012 (blue).

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Figure 2. Percentage of area of current vegetation types affected by artificial light. Horizontal bars show the percentage of total land surface area occupied by each current vegetation type that had more than 5.5 Digital Number (DN) units in 2008-2012 (red) or an increase of more than 3 DN units between 1992-2012 and 2008-2012 (blue).

- 153
- 154 Spatial distribution of detectable light and increases in brightness followed similar patterns.
- 155 The most affected areas were strongly concentrated along the coast, in the east, particularly in the
- southeast, while less affected areas were located in the west and in the central region (Fig. 3 A-B).

157 Figure 3. Spatial distribution of artificial light and vegetation types in Brazil. Distribution of: (A) pixels with detectable light (DN > 5.5) in the most recent five years (2008-2012); (B) pixels with 158 increases in brightness (differences higher than 3 DN) between the first (1992-1998) and the last 159 (2008-2012) five years; (C) original vegetation types; and (D) current vegetation types. The figure 160 was created using QGIS 2.12.3. Nighttime light images were created with data from the Defense 161 Meteorological Satellite Program's Operational Linescan System (DMSP/OLS), freely available at 162 the website of National Oceanic and Atmospheric Administration/National Geophysical Data Center 163 (NOAA/NGDC) Earth Observation Group (http://ngdc.noaa.gov/eog/). The shapefile of Brazilian 164 vegetation types was produced by IBGE (Brazilian Institute of Geography and Statistics) and is freely 165 166 available at REDD-PAC website (http://www.redd-pac.org/new page.php?contents=data.csv) in WFS (web feature service) format. 167

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## 169 **Pre-colonization native vegetation**

The area of original vegetation types affected by both detectable light and increases in brightness ranged between 0% and approximately 35%. Types affected by detectable light in more than 10% of their areas include pioneer formations (which encompass Mangroves, Restingas, and Alluvial Areas - Table 1), Semideciduous Seasonal Forest, Mixed Ombrophilous Forest, and six ecotones containing these ones and also Savanna, Steppe-savanna, Dense Ombrophilous Forest, and Steppe (Fig 1).

Less than 1% of the areas of three original vegetation types were affected by both detectable light and increases in exposure: Campinarana/Ombrophilous Forest, Savanna/ Pioneer Formations, and Ombrophilous Forest/Seasonal Forest (Fig 1). Two out of 24 original vegetation types had levels of detectable artificial light at night below the threshold: Rocky Outcrops and Campinarana (Fig 1). The less affected original vegetation types were concentrated in the west and in the central area while the most affected were in the southeast and northeast (Fig 3 A, C).

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### 184 **Current vegetation**

The area of current vegetation types affected by detectable light ranged between less than 1% and approximately 25%. Restingas, Mangroves, Secondary Vegetation, and Steppe/Seasonal Forest had more than 10% of their areas affected by detectable light (Fig 2). The first three were also the most affected by changes in brightness as well as Seasonal Forest/ Pioneer Formations (Fig 2).

Vegetation types with less than 1% of their areas affected by both detectable light and increases in exposure were the three formations of Open and Dense Ombrophilous Forest (Alluvial, Lowland and Sub-montane - Table 1), Alluvial Semideciduous Seasonal Forest, Sub-Montane Deciduous Seasonal Forest and four ecotones involving Savanna, Ombrophilous Forest, Pioneer Formations (mainly Mangroves and Restingas), Campinarana and Seasonal Forest (Fig 2).

100% of the areas of seven of the 52 current vegetation types had levels of detectable artificial
light lower than the threshold: Rocky Outcrops, the four formations of Campinarana (i.e. Woody,
Shrubland, Forest and Grassland - Table 1), Lowland Deciduous Seasonal Forest and Lowland
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197 Semideciduous Seasonal Forest (Fig 2).

The most affected current vegetation types were strongly concentrated along the coast, in the east. The less affected ones occurred in the west (where Amazonia rainforest is located) and in the central area (Fig 3 B, D).

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# 202 **Discussion**

In this paper we provide the first assessment of the broad level of exposure of tropical and subtropical ecosystems to artificial light at night at a regional extent. Because the percentage of areas of the different vegetation types affected by increases in brightness was higher than those affected by detectable light in most of the cases (Figs 1, 2 and 3 A-B), it seems inevitable that the extent of artificial lighting will continue to increase.

The highest aggregations of artificial lights in Brazil are in the coastal regions (Fig 3 A-B) 208 from where occupancy of Brazilian territory by Europeans started and where the larger urban 209 agglomerations are now located [34]. The three most widely lit vegetation types when considering 210 original vegetation are ecotones and all of them involve Seasonal Forest or Mixed Ombrophilous 211 212 Forest (Fig 1). Semideciduous Seasonal Forest and Mixed Ombrophilous Forest themselves are also widely lit by detectable light (16.7% and 13.6% respectively - Fig 1). These levels of coverage by 213 artificial lighting are lower for current vegetation of the same types (6.18% for Montane 214 Semideciduous Forest, 1.2% for Sub-montane Semideciduous Forest, 7.25% for Montane Mixed 215 Ombrophilous Forest, and 3.5% for High-montane Mixed Ombrophilous Forest) because they have 216 been highly converted and the current remnants are small [35]. Of the current vegetation types, 217 Restingas, Mangroves and Coastal water mass are among the five with the greatest percentage 218 coverage by artificial nighttime lighting (Fig 2). 219

Imagery of emissions of upward radiance are the best available data to assess both the presence and trends in artificial light at a regional scale (other artificial nighttime lighting data sets do not yet capture trends). However, as pointed by Bennie *et al.* [4], trends established using these data must be

interpreted with caution because the relationships between the images captured by the satellites and 223 biologically relevant levels of light experienced by species are not straightforward. First, the spectral 224 response of the OLS instrument covers the ranges of the most commonly used sources for external 225 226 light, which differs from the action spectra of biological processes depending on the species. Second, because DMSP/OLS images are approximately at 2.7 km resolution, the correspondence between the 227 illuminated areas in the images and the areas at the ground surface where biologically significant 228 levels of lights are present is not precise. And finally, upwards radiance measures do not encompass 229 horizontal emissions or skyglow – although it is important to observe that empirical data on temporal 230 trends in the spatial occurrence of skyglow at continental scales are not presently available, and 231 232 modelled surface data have large uncertainties [36.37].

Whilst an impressively wide array of ecological impacts of artificial nighttime lighting have 233 been documented (see Introduction), the most important effects on given vegetation types and their 234 associated communities remain unknown. Nonetheless, Semideciduous Seasonal Forest may 235 potentially be differentially impacted because the trees lose from 20% to 50% of their leaves during 236 the unfavourable season (i.e. dry and cold season in tropical and subtropical zones respectively [30]) 237 and street lighting has previously been shown in other contexts to affect leaf fall timing as well as the 238 speed of leaf growth [38,39]. Mixed Ombrophilous Forest, also known as araucaria forest due to the 239 dominance of Brazilian pine (Araucaria angustifolia) [33], has a notably high richness and diversity 240 of dung beetles [40]. It is known that dung beetles exploit moonlight, the celestial polarization pattern 241 and the starry sky for orientation [41–44]. Given the important role of dung beetles in decomposition 242 and nutrient cycling in tropical ecosystems, it seems likely that the high levels of artificial light and 243 increase in brightness found in Ombrophilous Mixed Forest will affect its functioning. 244

Both Restinga and Mangrove are heavily overlapped by artificial light. Restinga is the terrestrial pioneer vegetation that occurs on sandy shore environments, especially on dunes, and is directly influenced by the sea [33]. Restinga harbours a high diversity of bats [45–47], which are known to be important for the maintenance of forests and to be disturbed by artificial light [48–50].

Around the world, mangroves are threatened by deforestation, illegal shrimp culture, expansion of 249 urban areas, tourism, fishing and pollution [51]. Nine percent of the global area of natural or semi 250 natural mangroves has seen an increase in exposure to artificial light [4]. In Brazil this percentage is 251 252 17% in the same period (Fig 2), with more than 15% of the mangrove area experiencing detectable light (Fig 2). Given that Brazil accounts for approximately 50% of mangroves in South America and 253 7% of the world's mangroves [51], light pollution in these areas should be of particular concern. Both 254 Restinga and Mangrove are coastal ecosystems and the coastal water mass itself is also highly affected 255 by light (Fig 2). Five out of seven extant species of marine turtles in the world nest on the Brazilian 256 coast (Chelonia mydas, Caretta caretta, Dermochelys coriacea, Eretmochelys imbricata, and 257 Lepidochelvs olivacea) - all of them are listed as threatened on the IUCN Red List 258 (http://www.iucnredlist.org/search). Artificial lighting disrupts sea turtle hatchling orientation from 259 the nest to the sea [52]. To protect Brazilian coastal ecosystems, the law forbids illumination within 260 50 m of the beach strip between Rio de Janeiro and Rio Grande do Norte States - which corresponds 261 to approximately 2 500 km out of the 7 367 km of Brazilian coast [53]. Due to the scarcity of studies 262 on the consequence of light pollution in these ecosystems, it is not possible to assess if the law is 263 effective. 264

In most developing countries artificial nighttime lighting is relatively recent and concentrated 265 in dense populated urban areas [37]. In contrast, in highly industrialised countries it is much more 266 widespread [1,4], and often considered thus to be a much greater concern. However, our results here 267 highlight that lighting is extensive in some developing countries, including ones with exceptionally 268 high levels of biodiversity. These results also suggest that it is still possible to find vegetation types 269 with natural sky background brightness. Countries in which this is the case have the opportunity to 270 271 base policies, regulations, and guidelines on minimising rather than mitigating the ecological impacts of artificial nighttime lighting. 272

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