

Dynamic in-situ sensing of fluid-dispersed 2D materials integrated on microfluidic Si chip

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In this work, we propose a novel approach for wafer-scale integration of 2D materials on CMOS photonics chip utilising methods of synthetic chemistry and microfluidics technology. We have successfully demonstrated that this approach can be used for integration of any fluid-dispersed 2D nano-objects on silicon-on-insulator photonics platform. We demonstrate for the first time that the design of an optofluidic waveguide system can be optimised to enable simultaneous *in-situ* Raman spectroscopy monitoring of 2D dispersed flakes during the device operation. Moreover, for the first time, we have successfully demonstrated the possibility of label-free 2D flake detection via selective enhancement of the Stokes Raman signal at specific wavelengths. We discovered an ultra-high signal sensitivity to the xyz alignment of 2D flakes within the optofluidic waveguide, which in turn enables precise *in-situ* alignment detection for the first practicable realisation of 3D photonic microstructure shaping based on 2D-fluid composites and CMOS photonics platform while also representing a useful technological tool for the control of liquid phase deposition of 2D materials.

The recent development of nanocomposites consisting of fluid-dispersed atomically thin two-dimensional (2D) materials has sparked a great level of interest as a highly promising *in-situ* tailored meta-material device platform for the next generation of multi-functional optoelectronic systems¹⁻¹³. Dynamically controlled three-dimensional (3D) self-assembly of fluid-suspended 2D materials not only provides a new breakthrough route for technological applications of 2D material based 3D device architectures¹⁴⁻¹⁶, but also their fluidic nature allows CMOS-compatible wafer-scale back-end integration on chip using microfluidic technology¹⁷⁻¹⁹. The practical realisation of dynamically reconfigurable 3D material fluid metastructures integrated into a microfluidic system and coupled with a CMOS photonic circuit (as illustrated in Fig. 1)

opens up limitless possibilities in the fabrication of compact and low-power systems for 40
commercially viable, miniaturised, multi-functional light-management devices such as light 41
emitting sources²⁰, tuneable optical filters²¹ and nanoantenna phased arrays²². For example, 1D 42
photonic crystals of graphene which could act as optical filters have been theoretically explored²³ 43
but as yet no method exists for the precise reconfigurable control of such structures. 44
Additionally, self-assembly of fluid dispersed 2D materials represents a remarkable precursor 45
state for liquid phase deposition of ordered lamellae, stacks and other structures in the solid 46
phase²⁴⁻²⁶. Reconfigurability of the metastructures can be achieved by exploiting liquid crystal 47
technology^{6,15} with in-situ monitoring used to characterise the metastructure formation. 48

However, the primary challenge for the first realisation of on-chip controlled assembly of 2D 49
flakes into functional metastructures is the lack of a reliable and sensitive method for *in-situ* 50
characterisation. Such a method is pivotal to the confirmation of the integration of composites in 51
the device and to enable determination of parameters, such as spatial alignment of incorporated 52
2D materials, dynamically during device operation. Conventional characterisation methods such 53
as Raman spectroscopy^{5,27,28}, coherent anti-Stokes Raman spectroscopy (CARS)²⁹ and Fourier 54
Transform Infrared Spectroscopy (FTIR)³⁰ are not suitable for studies of fluid nanocomposites 55
with relatively low concentrations of nanoparticles dispersed. In this case, the significantly 56
greater scattering volume of the host fluid compared to that of the dispersed nanoparticles always 57
dominates the vibrational signal intensity, rendering the monitoring of the considerably weaker 58
bands of dispersed nanoparticles impossible. 59

Here we demonstrate, for the first time, the wafer-scale integration of fluid-dispersed 2D 60
materials on Si photonics chip utilising microfluidic technology, and their subsequent electrical 61
and optical manipulation. We also propose and subsequently demonstrate a novel approach for 62

ultra-sensitive, label-free, *in-situ* detection and monitoring of integrated 2D-fluid composite materials on-chip. Specifically, an *in-situ* micro-Raman characterisation approach, whereby the Raman signal of 2D dispersed nanoparticles is selectively enhanced through the design of optofluidic waveguide geometry on silicon-on-insulator (SOI) platform. It has previously been shown that the Raman signal from micro-structured silicon cavities can be enhanced due to Fabry-Pérot type resonances³¹⁻³³. Here, the structure is designed to simultaneously enhance different resonant modes relating to different parameters of the optofluidic waveguides, balancing the required enhancement of the signal from multiple vibrational bands with the desire for the greatest achievable intensity for the individual bands. The developed approach demonstrates ultra-high sensitivity to the xyz alignment of 2D nanoparticles within optofluidic waveguides. Hence, for the first time, our findings demonstrate the possibility of monitoring the dynamics of fluid-dispersed 2D nanoparticles on chip. Our work paves the way for the practical realisation of dynamically reconfigurable photonic metastructures based on 2D-fluid composites integrated on CMOS photonics platform with a range of important applications, such as renewable energy, optical communications, bio-chemical sensing, and security and defence technologies^{4,8,34} or as a precursor to controlled deposition of solid state structures²⁴⁻²⁶.

Typically, large-scale CMOS photonics builds on a SOI platform; a high index-contrast waveguide platform which prevents interference between the photonic integrated circuit components and the substrate. Therefore, we use an SOI based Fabry-Pérot type optofluidic waveguide channel, with an open top cladding (Fig. 1 inset) to allow *in-situ* micro-Raman detection and monitoring of the integrated 2D fluid nanocomposite system during device operation. To optimise the optofluidic waveguide design for facilitating strong confinement of

light on chip and to significantly enhance the Raman back-scattered signal of the individual 86
incorporated 2D nanoplatelets, we model the variation in the intensity of the Raman bands of 87
dispersed nanoparticles while varying parameters that can be experimentally controlled, such as: 88
the waveguide width, w , and the buffer oxide (BOX) layer thickness, h_{BOX} . We consider the 89
specific case of the D and G bands of 2D carbon-based materials (Fig. 2)- such as graphene and 90
graphene oxide (GO)- dispersed in a nematic liquid crystal (LC) host, however the proposed 91
methodology can be utilised for any fluid-dispersed material. The backscattered Raman signal 92
intensity is numerically determined for wavelengths corresponding to the Raman active bands 93
using the scattering matrix method [See Supplementary Methods]. The complex variation of the 94
Raman signal as a result of modifying the optofluidic waveguide parameters can be rationalised 95
as the superposition of Fabry-Pérot resonance effects in different parts of the geometry. 96
Enhancement of up to 100x can be observed between maximising and minimising combinations 97
of the optofluidic waveguide parameters. 98

In order to experimentally demonstrate the enhancement of the Raman intensity, 2D material- 99
fluid nanocomposites- consisting of graphene and GO nanoplatelets dispersed in LCs- were 100
prepared by a liquid phase dispersion method [See Supplementary Methods]. The optimal 101
parameters for the microfluidic structures were determined from the calculated Raman signal 102
intensity maps shown in Figure 2. Resonator devices consisting of optofluidic waveguide 103
channels of different widths were fabricated on SOI wafer with a thick buffer oxide ($h_{BOX}=2 \mu m$) 104
layer and with a silicon device layer of $15 \mu m$. The prepared nanocomposites were integrated 105
into optofluidic waveguide channels via infiltration reservoirs on the chip (Fig. 3). The selected 106
microfluidic channels had widths in the ranges $3.7\pm 0.2 \mu m$ (narrow channels, strong 107
enhancement) and $10.5\pm 1.5 \mu m$ (wide channels, weaker enhancement). Optical microscopy (Fig. 108

3b) and scanning electron microscopy (Fig. 3c-e) both confirmed the successful integration of the nanocomposite into all channels.

Raman spectra are presented for the GO-LC nanocomposites- with MLC-6608 (Fig. 4a) and with E7 (Fig. 4b) as the fluid host- at three points *in-situ* on the chip; more specifically: in a wide channel (Fig. 4c), in a narrow channel (Fig. 4d) and in an infiltration reservoir (Fig. 4e). Liquid crystal MLC-6608 exhibits weak Raman bands (see Supplementary Results) that have no strong overlap with the D and G bands, allowing for clear determination of the GO bands in gathered spectra. Raman spectra were recorded for individual monolayer flakes of area $1.0 \pm 0.1 \mu\text{m}^2$ in all cases. For GO dispersed in MLC-6608, the D band Raman intensities were observed in the approximate ratio 5:8:16 for an infiltration reservoir, 11.6 μm channel and 3.6 μm channel respectively. For the G band, intensities were observed in the ratio 5:7:16. E7, however, has a strong Raman active vibrational band at around 1605 cm^{-1} (see Supplementary Results), overlapping with the G band. Nevertheless, utilising the proposed signal enhancing design, the observation of the G band as a broad shoulder on this band is feasible (Fig. 4b). In addition, similar results were obtained for nanocomposites with graphene instead of GO.

The close agreement, in Figure 4f, between the relative intensities of the D and G bands found experimentally for all nanocomposites (points) and those determined numerically (solid lines) verifies the method for predicting the Raman signal enhancement. For both the D and G bands, the numerically determined enhancement ratio was within the error of the experimental measurements; slight differences occur due to the flake not being positioned precisely at the centre of the channel. Therefore, this technique presents an effective tool for maximising the enhancement of the Raman signal.

Understanding the dynamics of 2D nanoplatelet spatial alignment is essential for the 131
realisation of three-dimensional metastructure formation. External stimuli, such as applied 132
electric field and light coupling^{6,15} [See Supplementary Results and Supplementary Videos 1-4], 133
induce dynamic re-ordering of suspended 2D nanoparticles. Here, a Raman laser was exploited 134
to move a GO flake within a channel (Fig. 5a), while simultaneously being used to monitor the 135
xyz alignment over time. The variation in the experimental Raman spectra for different flake 136
positions within the channel is illustrated in Fig 5b. 137

The effect of the flake position on the Raman signal intensity was modelled by varying the 138
position of the oscillating dipoles within the optofluidic waveguide channel both laterally and 139
vertically. The ratio of the D and G band intensities is not constant as the position is varied (Fig. 140
5c-d). For lateral displacements (Fig. 5c), there are ratios of 11 and 32 between the minimal and 141
maximal intensities determined numerically for the D and G bands respectively. For vertical 142
displacements (Fig. 5d), the maximum and minimum values of the average intensity differ by 143
factors of around four and five times for the D and G bands respectively. 144

For the lateral displacement of the flake, the ratios of the intensities of the GO D and G bands 145
were extracted from experimental spectra when a flake was next to the wall and when moved 146
further towards the centre of the channel. These ratios were then used as a multiplier on the 147
numerically determined intensity for the flake next to the wall (position 2 in Fig. 5a) to 148
determine an approximate displacement. Positions approximated using experimental data in 149
tandem with the numerically determined results are closely matched to the values observed using 150
optical microscopy techniques (See Supplementary Results), falling within the experimental 151
error. The lateral position can therefore be determined with similar precision to optical 152

microscopy (approx. $\pm 5\%$) currently, but with scope for the error to be reduced significantly by
improving the signal-to-noise ratio.

For the vertical displacement of the flake, again the ratios of the intensities of the GO D and G
bands were extracted, this time from data with the flake at the bottom of the channel and further
towards the surface. The ratios were then used to multiply the numerically determined intensity
with the flake at the bottom of the channel to determine an approximate displacement. The
numerical analysis covering the effect of vertical flake position shows close agreement with the
experimental data. The vertical position of the flake cannot be determined from optical
microscopy but the close agreement of the positions determined separately from the D and G
bands confirms that the method is accurate. Therefore, the predictions made from the Raman
spectra are the most accurate method of determining the vertical position currently available.
While we propose this technique as a method for monitoring self-assembly of 3D metastructures
comprised of 2D materials, this approach may also find applications in a wide range of other
areas such as controlling flake alignment for liquid phase deposition of 2D materials^{24,26} or for
spatial monitoring of nanoparticle distributions³⁵.

In summary, we propose a novel approach for integration of 2D materials on CMOS photonics
chip utilising microfluidics technology. We have successfully demonstrated that this approach
can be used for integration of any fluid-dispersed 2D nanoparticles on SOI photonics platform.
The optofluidic system design can be optimised to enable *in-situ* Raman spectroscopy
monitoring of 2D dispersed flakes during device operation. *In-situ* label-free 2D flake sensing
via selective enhancement of the Stokes Raman signal at given wavelengths has been determined
numerically and confirmed experimentally. This approach has been applied to monitor the
individual 2D nanoplatelet dynamic within an optofluidic waveguide with high sensitivity,

enabling precise <i>in-situ</i> alignment monitoring for controlling liquid phase deposition of 2D materials as well as the first practical realisation of 3D photonic metastructure shaping based on 2D-fluid composites and CMOS photonics platform.	176
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ASSOCIATED CONTENT	179
Supporting Information	180
Supporting Information Available: Supplementary Methods- Numerical Calculations, Synthesis of GO-LC nanocomposites, Instrumentation. (PDF). Supplementary Results- Raman Intensity Maps, Liquid crystal spectra, Nanoparticle alignment by external stimuli, <i>In-situ</i> determination of nanoparticle positions (PDF). Video- Orientation switching of a graphene flake dispersed in E7, Electrically induced motion of GO flakes, Electrically induced motion of a single GO flake, Optically induced motion of GO flakes (AVI).	181
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ACKNOWLEDGMENTS	187
We acknowledge financial support from: The Engineering and Physical Sciences Research Council (EPSRC) of the United Kingdom via the EPSRC Centre for Doctoral Training in Electromagnetic Metamaterials (Grant No. EP/L015331/1) and also via Grant Nos. EP/N035569/1, EP/G036101/1, EP/M002438/1, and EP/M001024/1, Science Foundation Ireland Grant No. 12/IA/1300, the Ministry of Education and Science of the Russian Federation (Grant No. 14.B25.31.0002) and the Royal Society International Exchange Grant 2015/R3. The microfluidic structures were fabricated at Tyndall National Institute under the Science Foundation Ireland NAP368 and NAP94 programs.	188
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the code used for numerical analysis; L.B. synthesised the nanocomposites; A.B. and M.C.	202
supervised and directed the research. All authors read and approved the final manuscript.	203
 ADDITIONAL INFORMATION	 204
Competing Financial Interests	205
The authors declare no competing financial interests.	206
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Figure 1. A CMOS photonic circuit coupled to a microfluidic layer integrating dynamically reconfigurable 2D material metastructures by exploiting liquid crystal technology. *In-situ* micro-spectroscopy detection and monitoring gives information on the formation of the metastructures.

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Figure 2. **a)** Map showing regions where both the D and G bands of 2D carbon-based materials are determined numerically to be strongly enhanced in Raman spectroscopy measurements. **b)** Expanded view of the region of interest highlighted in a. The range of parameters for which strong enhancement is observed are shown with the white lines including fabrication deviation Δh_{BOX} and Δw .

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Figure 3. **a)** SEM image of the chip used for Raman measurements, before infiltration with the nanocomposite. **b)** Polarised microscopy image of the structure infiltrated with a composite of MLC 6608 and graphene oxide. Integration of the composite, including GO flakes, into all microfluidic structures on the chip can be seen. **c)** SEM of GO flakes infiltrated with a host LC into a $3.6 \mu m$ channel. **d)** SEM of GO flakes infiltrated with a host LC into an $11.6 \mu m$ channel. **e)** SEM of an $11.6 \mu m$ channel, with the LC removed, showing the integration of large numbers of GO flakes.

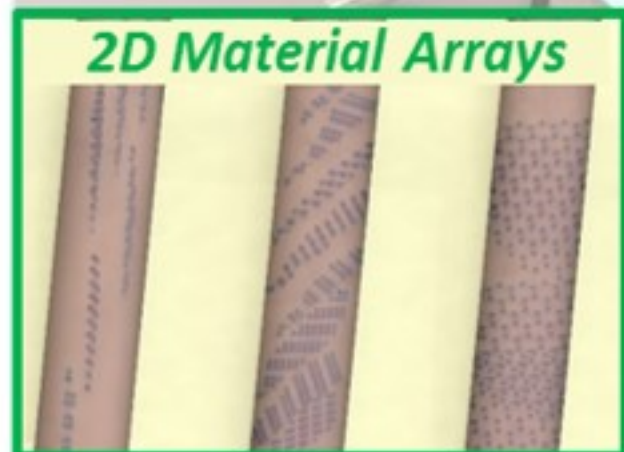
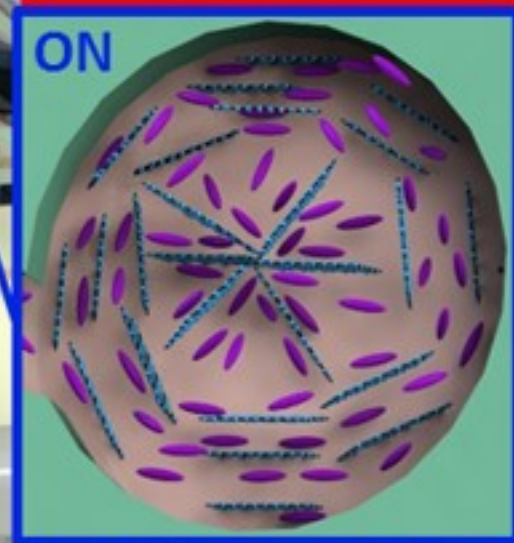
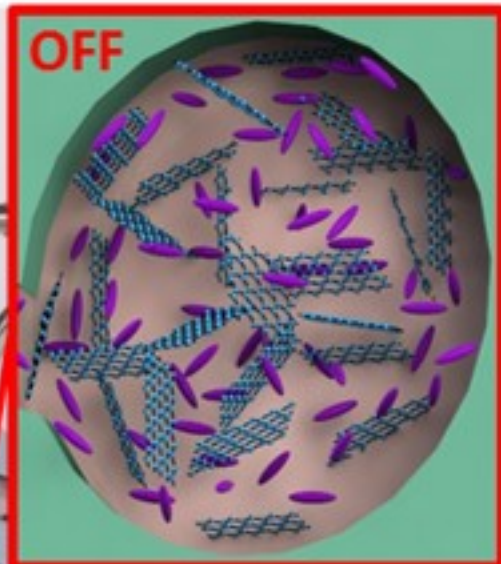
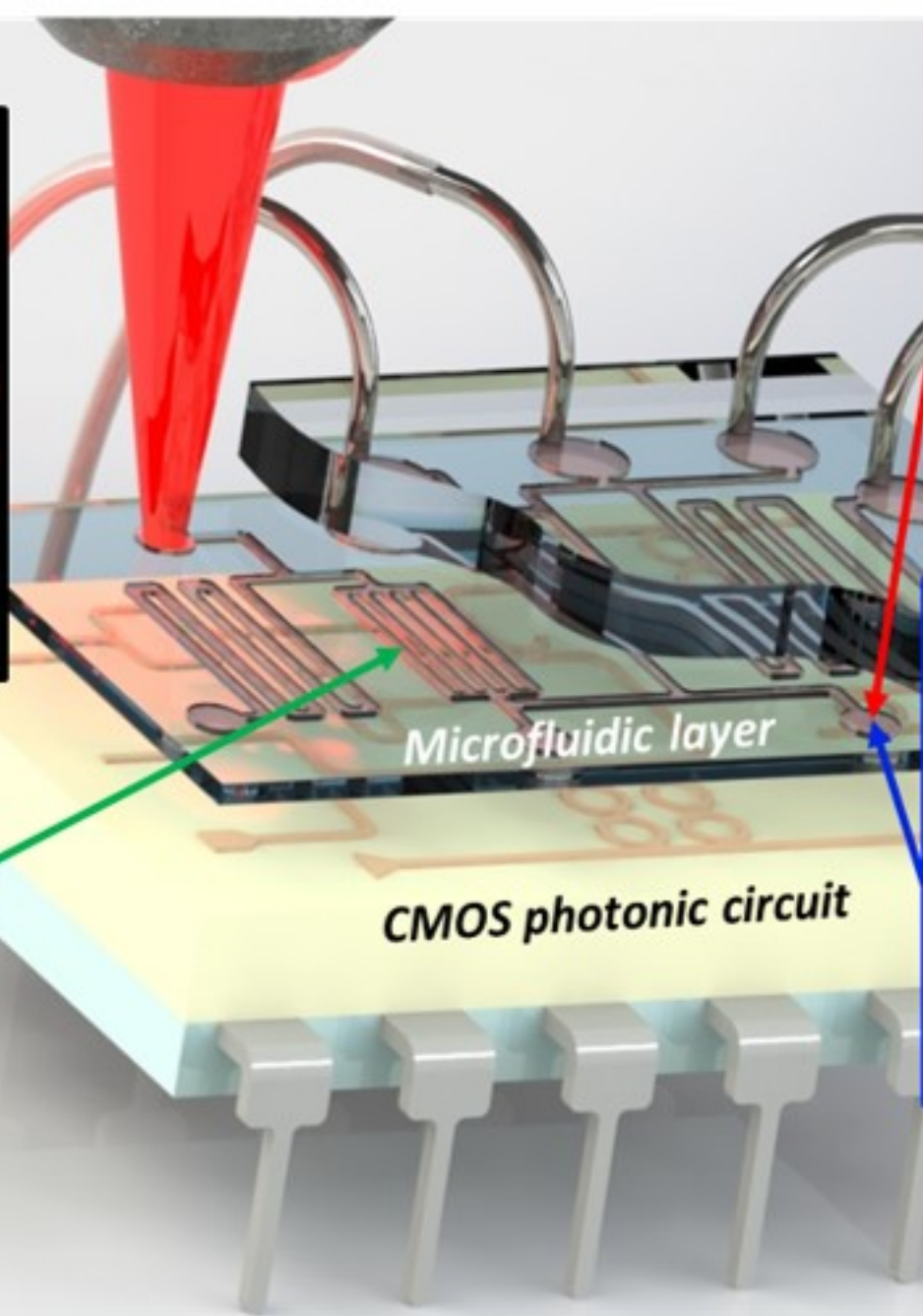
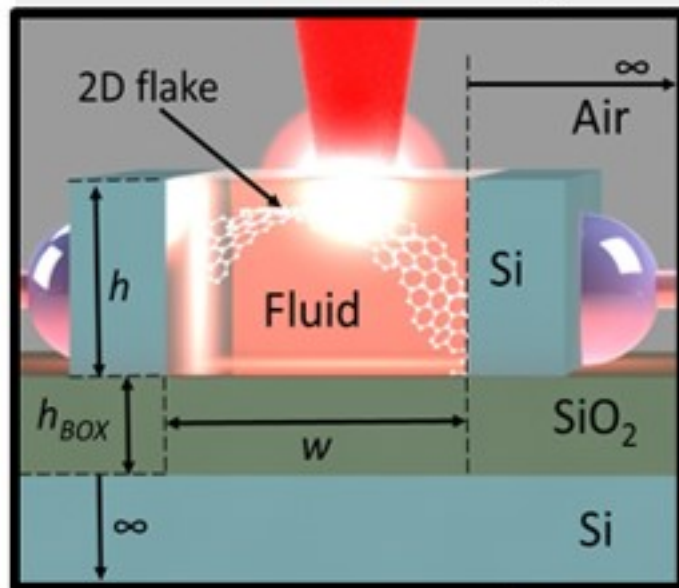
Figure 4. a-b) Normalized Raman spectra showing the enhancement of the D and G bands for graphene oxide dispersed in (a) liquid crystal MLC 6608 and (b) liquid crystal E7. Spectra are shown for three microfluidic geometries: in (c) an infiltration reservoir of width 100 μm (magenta), in (d) a microfluidic cavity of width 11.6 μm (green) and in (e) a microfluidic cavity of width 3.6 μm (black). Approximate laser spot sizes are shown in (c-e). **f)** Comparison of numerically determined (solid lines) and experimentally measured (points) Raman intensities of the graphene oxide D (blue) and G (red) bands. All data is normalized to the case where the walls are separated by a distance great enough for Fabry-Pérot resonances to have no effect.

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Figure 5. a) GO flake movement induced by the Raman laser. Each image represents the change after 10 s exposure time in the order in which they were observed. **b)** Raman spectra of a GO flake dispersed in liquid crystal E7 within a narrow channel (approx. 3.6 μm) at positions 1 (cyan), 2 (violet) and 5 (grey) as seen in a. **c-d)** The variation of the Raman intensity of the GO D (blue) and G (red) bands for lateral (c) and vertical (d) displacements of a GO flake within the microfluidic channel. Solid lines give the numerically determined Raman intensities. Flake positions determined from normalized experimental spectra are shown as points. For lateral displacements, the error in the experimental measurement is given by the size of the symbols.

In-situ monitoring



2D Material
Metastructures

