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Omics Approaches to Identify Potential Biomarkers of Inflammatory Diseases in the Focal Adhesion Complex

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1	Omics Approaches to Identify Potential Biomarkers of Inflammatory
2	Diseases in the Focal Adhesion Complex
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27 Abstract

28 Inflammatory diseases such as inflammatory bowel disease (IBD) require recurrent invasive 29 tests, including blood tests, radiology, and endoscopic evaluation both to diagnose and assess 30 disease activity, and to determine optimal therapeutic strategies. Simple 'bedside' biomarkers 31 could be used in all phases of patient management to avoid unnecessary investigation and 32 guide further management. The focal adhesion complex (FAC) has been implicated in the 33 pathogenesis of multiple inflammatory diseases, including IBD, rheumatoid arthritis, and 34 multiple sclerosis. Utilizing omics technologies has proven to be an efficient approach to 35 identify biomarkers from within the FAC in the field of cancer medicine. Predictive 36 biomarkers are paving the way for the success of precision medicine for cancer patients, but 37 inflammatory diseases have lagged behind in this respect. This review explores the current 38 status of biomarker prediction for inflammatory diseases from within the FAC using omics 39 technologies and highlights the benefits of future potential biomarker identification 40 approaches.

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42 KEYWORDS: Focal adhesion complex; Biomarkers; Inflammation; Omics; Systems biology

43 Introduction

Disease biomarkers have the potential to be medically valuable at all stages of the disease process from diagnosis, identification of disease subtypes, and prognosis to therapeutic adjustment. Inflammatory bowel disease (IBD) is an exemplar of a chronic, complex inflammatory disease. IBD has two major subtypes, ulcerative colitis (UC) and Crohn's disease, which have different clinical courses and management strategies with a wide phenotypic variability among patients. **Figure 1** highlights the points at which biomarkers have potential use in IBD.

51 Biomarkers need to be specific, stable, and consistent across multiple platforms of testing 52 in order to be used as a clinical application. This raises challenges associated with biomarker 53 identification in IBD, as with any complex inflammatory condition, partly due to our limited 54 understanding of the pathogenesis of these diseases and poor appreciation of the difference 55 between what is healthy and what is a disease process. Hypothesis-driven biomarker discovery via traditional one protein-one metabolite or one cell analysis from cellular disease 56 57 models or tissues compared between control and disease samples is laborious. Such an 58 approach is also limited by the fact that gene expression and signalling of tissues depends on 59 the context and their native environments [1]. For this reason, very few biomarkers make it to 60 clinical practice [2]. Further challenges posed by complex diseases are that they often need to 61 be stratified into sub-phenotypes via patients' genetic features, which need to be taken into account, making identification of a broad generalizable biomarker difficult [3]. High 62 63 throughput, hypothesis-free techniques are required for biomarker discovery. With the advent of high-throughput omics technologies and advances in computational biology, researchers 64 65 are now able to generate, analyze, and interpret a variety of datasets and apply them on 66 biomarker discovery at a scale, which were previously impossible (Figure 2). One of the 67 cellular signal transduction pathways supplying candidate biomarkers that have become 68 prominent through the use of omics technologies and computational biology, certainly for the 69 cancer field, is the focal adhesion complex (FAC).

FACs are dynamic, large protein assemblies that mechanically link and transduce signals from the extracellular matrix to the intracellular milieu via integrins [4] or other receptor modules such as cluster of differentiation 47 (CD47). The complex consists of core structural proteins such as paxillin, talin, actinin, and vinculin, with dynamic signalling proteins including protein kinases, phosphatases, small guanosine triphosphatases (GTPases) with regulatory molecules, and adapter molecules that mediate core protein–protein interactions

(Table 1). The 'adhesome' network contains 156 components with 690 interactions between
them [26], highlighting the complexity of the focal adhesion function.

78 The focal adhesion function is both mechanical and responsive. It is mechanical in terms 79 of anchoring the cell to the extracellular matrix via binding of integrins to their extracellular ligands and to the actin cytoskeleton to modify the physical and topographical characteristics 80 81 of the cell. This has direct implications for wound healing as well as invasion and the 82 metastatic nature of the cancer cell. The responsive function of the FAC is diverse and multi-83 layered. Depending on the initiating signal, FAC can be involved in regulating inflammatory 84 gene expression via signal transduction pathways such as interleukin 1 (IL-1) signalling [27,28] or regulating calcium fluxes via phosphatidyl inositol signalling [29], which impact 85 on inflammatory cascades. Many molecules in the FAC are involved in downstream 86 87 signalling pathways, for instance, the MAPK/ERK pathway [30], AKT1 [22], and Wnt signalling [31,32]. In this way, pathways impacted by the FAC are as varied as apoptosis 88 [21], production of cellular protrusions [33], cell cycle progression [34], and cell proliferation 89 [35]. 90

The number of publications listed in PubMed involving FAC ('focal adhesion complex') 91 92 has had a 5-fold increase from 141 published in 1996 to 709 published in 2015. The role of 93 FAC in cancer has been a consistent focus of approximately 44% of publications over the 94 past 20 years (Figure 3). Given the critical roles that focal adhesions play in regulating cell 95 structure, proliferation, survival, migration, and invasion, it is not surprising that this makes 96 the complex a prime target for biomarker candidacy and drug targeting in cancer, which is 97 reflected in the overrepresentation of papers with the terms 'cancer', 'focal adhesion', and 98 'biomarker' from a cohort of 'focal adhesion' and 'biomarker' publication subset.

99 Of the publications identified using the Medical Subject Headings (MeSH) terms 100 'cancer', 'focal adhesion', and also adding 'biomarker', 39 out of 745 used bioinformatics 101 approaches for biomarker identification. It is of note that all these 39 studies were published 102 after 2007.

The role of FAC in the pathobiology of inflammatory diseases such as IBD or rheumatoid arthritis (RA) has been less well exploited for biomarker discovery. However, the role of FAC in inflammatory diseases can be well illustrated in UC. UC is a relapsingremitting disease which causes ulceration of the lining of the large bowel and is thought to be a disease of the epithelial barrier [36]. The epithelial barrier is an immuno-mechanical barrier consisting of mucous layers, intestinal epithelial cells, and closely-residing immune cell populations. The mechanical barrier is provided in part by the enterocytes joined by

110 intercellular junctions, of which the tight junction is a major component. May et al. [37] 111 identified that activation of focal adhesion kinase (FAK) is necessary for maintaining and 112 repairing the epithelial barrier in cell culture via tight junctions. This was further examined 113 by Khan et al. [38] in both T84 cell lines and surgical specimens from IBD patients. They 114 demonstrated that activation of M1 muscarinic acetylcholine receptor augmented the 115 recovery of epithelial barrier function via phosphorylation of FAK. Further evidence for the 116 role of FAK in maintaining intestinal epithelial barrier function in the presence of pathogenic 117 factors was highlighted by Guo and colleagues [39]. Utilizing intestinal epithelial cell 118 cultures, they identified that gut-derived bacterial lipopolysaccharide induced tight junction 119 permeability via the FAK/myeloid differentiation primary response gene 88 (MyD88)/IL1 120 receptor pathway. GTPases such as Rac1 [40] and tyrosine phosphatase members of FAC 121 have a role in regulation of the NACHT, LRR and PYD domains-containing protein 3 122 (NLRP3; also known as cryopyrin) inflammasome [41], which mediates the release of IL-1 123 and IL-18 from cells. IL-18 signalling drives the breakdown of barrier integrity in murine 124 models of UC [42]. Further evidence of FAC involvement in inflammasome activation was 125 provided by Thinwa et al. [43] who demonstrated that the initial signal for intestinal cell 126 inflammasome activation in pathogen recognition is via integrins. It is interesting to note that 127 *NLRP3* was identified as a candidate gene for susceptibility of Crohn's disease [44], whereas 128 IL-1 has been put forward as a faecal marker of inflammation in UC [45].

The evidence described above has been hypothesis-driven, utilizing mainly cellular models to describe a pathogenic system. In this review we will consider the literature field of FAC in inflammatory diseases focusing on those utilizing a systems medicine approach, where omics data and computational biology are combined for potential biomarker identification.

134 In the last two decades, omics technologies have made a great impact on medical 135 research, turning biological research into a data-intensive science [46]. These high-136 throughput methodologies are now routinely used to provide a top-down approach in 137 understanding biological systems. The power of omics approaches in systems medicine is due 138 to their ability to detect context (e.g., cell, disease, or treatment) specific data for a signaling 139 system. The challenge of these approaches is that it often requires either a computational 140 biology expert or familiarity with sophisticated computational software solutions to extract 141 biological insights from the datasets [47]. A further complication is that genomic or 142 transcriptomic data are often best interpreted in the context of the heterogeneous large-scale datasets that have already been deposited in publicly-available databases [47]. 143

144

145 Genomics

146 Genomic approaches provide the highest number and variety of datasets on human diseases. 147 These approaches include (1) whole-genome or whole-exome sequencing that identify 148 genetic mutations or copy number variations; (2) genome-wide association studies (GWAS) 149 used to identify genetic variants associated with a disease; (3) microarray or RNA-seq 150 techniques for measuring the mRNA or microRNA (miRNA) expression of cells and 151 comparing the levels between states (transcriptomics); and (4) epigenomics analyses focusing 152 on, for example, DNA methylation and its change during differentiation, ageing, and cancer 153 progression. To analyze the genomic datasets of complex diseases, the systems medicine 154 approach is a highly-effective framework to understand the complexity. Disease-related 155 genes may differ among affected individuals, but the affected pathway or network region is 156 likely to be shared [47]. The identified disease-related genes can be used to list potential biomarkers by filtering those specifically relevant to a given disease or disease stage. 157

In particular, the advent of GWAS identifying candidate susceptibility genes has opened the door to the pathobiology of chronic inflammatory disease. With this, the prospect of a genetic marker for disease diagnosis, prognosis, and therapeutic efficacy in what can otherwise be very heterogeneous diseases is very appealing. GWAS in large populations of patients with chronic inflammatory diseases such as RA can identify common genetic variants that are associated with having that disease [48].

164 Zhang et al. [49] undertook analysis of the KEGG pathways [50] affected by 11,922 165 differentially-expressed genes (DEGs), which had been identified by genome-wide 166 association scans in RA patients. The focal adhesion and extracellular matrix receptor 167 interaction pathways were considered high risk RA pathways. Core members of FAC with 168 genetic variants included integrin subunits A and B, actinin, dedicator of cytokinesis 1 169 (DOCK1), and B cell lymphoma 2 (BCL2). Their data correlate well with the DNA 170 methylome signature in RA, comprising genome-wide DNA methylation loci from fibroblast-171 like synoviocytes removed at the time of joint replacement from five patients with 172 osteoarthritis and six patients with RA [49]. Nakano et al. [51] undertook global methylation 173 status analysis and identified differential methylation between osteoarthritis and RA in 1206 174 different genes. Differentially-methylated genes were mapped to KEGG pathways for gene 175 ontology, which highlighted hypomethylation enrichment in the RA sample in loci including 176 genes encoding integrin subunits A and B, actinin, receptor tyrosine kinases, parvin, DOCK1,

and BCL2. Hypomethylation of inflammatory genes has been associated with an increased
inflammatory response, as hypomethylation in promoter regions of a gene makes it
transcriptionally active [52,53].

Utilizing GWAS-mapped genes or methylome signatures alone for biomarker prediction has its limitations. Firstly, the differential expression of said genes is not assessed. Secondly, the presence or absence of a single polymorphism within a gene may not have a strong enough phenotype to be a useful biomarker [54]. Moreover, the use of methylation status as a biomarker is currently plagued by inaccuracy and poor replication, as there is a need for standardized methods and controls [55].

To overcome the potential limitation of not taking into account differential gene 186 187 expression, He et al. [56] examined the Gene Expression Omnibus (GEO) microarray data to 188 assess mRNA expression in the specific cell type involved in RA, synovial fibroblasts, to 189 identify DEGs by comparing six RA patients to osteoarthritis patients (an age related, non-190 autoimmune arthritis) using the linear models for microarray analysis (LIMMA) [57]. The 191 authors undertook functional enrichment of the DEGs using KEGG pathways, with the 192 analysis performed using the database annotation visualization and integrated discovery 193 (DAVID) [58]. Using STRING [59], they created a larger protein-protein interaction (PPI) 194 network for a further functional enrichment, looking for functional complexes using the 195 MCODE plugin for Cytoscape [60]. This multi-layered approach comparing the two types of 196 arthritis identified DEGs for collagen (a predominant member of the extracellular matrix) that 197 were enriched in focal adhesion pathways and extracellular matrix receptor interactions for 198 osteoarthritis, but not RA. The difficulty of biomarker identification based on gene 199 expression studies only is that the studies are often small, thereby not taking into account the 200 rich genetic variability of these complex diseases, and neither gene regulation nor protein levels of DEGs. 201

202

203 **Transcriptomics**

204 Combinatorial approaches utilizing DEGs and their regulation have been more successful for 205 biomarker discovery. One mechanism of gene regulation is via small non-coding RNAs 206 (ncRNAs) such as miRNAs. miRNAs function in RNA silencing, by base pairing binding of 207 complementary sequences in mRNAs, thus targeting them for cleavage [61]. In the field of 208 oncology, integrating miRNA, gene expression, and transcription factor signatures has been 209 used to identify biomarkers for papillary thyroid cancer by using pathway enrichment to

210 identify dysregulated pathways including in focal adhesion [62]. Such approach of integrating 211 miRNA data and differential gene expression for identification of molecular prognostic 212 biomarkers was taken further by Cai and colleagues [63], who identified three potential 213 biomarkers, CALM2, miR-19b, and miR181b, for gastric cancer that were related to the FAC 214 and the extracellular matrix receptor. This integrative approach has been, however, less 215 widely used in inflammatory models. For IBD [64] and many other autoimmune diseases 216 including Sjogren's disease [65], we are still at the stage of documenting differential 217 expression levels of miRNAs between disease and control cohorts.

Therefore, despite the central role FAC plays in inflammatory diseases, the number of ncRNAs that could be used as potential biomarkers are still scarce. In the case of UC and Crohn's disease, miRNAs are the most explored ncRNAs in the literature. There is experimental evidence showing elevated levels of specific miRNAs in active UC tissues and in serum [66].

In recent years, many computational methods emerged that allow the analysis of specific 223 224 ncRNA-disease associations, predict such connections and select the ones most suitable for 225 experimental validation. For example, heterogeneous graph inference for miRNA-disease 226 association prediction (HGIMDA) [67] and improved random walk with restart for lncRNA-227 disease association prediction (IRWRLDA) [68] are two viable, novel methods that could be 228 potentially used to describe new targets. HGIMDA constructs a heterogeneous graph out of 229 separate networks: a functional similarity network of miRNAs and a semantic similarity 230 network of diseases, which in combination allowed predicting potential disease-miRNA 231 associations. IRWRLDA uses an improved random walk with restart algorithm on a lncRNA 232 similarity network to rank potentially useful candidate lncRNAs.

233

234 **Proteomics**

235 Protein biomarker identification is driven by better understanding of the disease processes 236 and signalling pathways involved in perpetuation of pathogenic states. Combining large-scale 237 mass spectrometry (MS)-based proteomics and biological network analysis has been 238 fundamental in the understanding of signalling networks [69], so it stands to reason that using similar techniques may drive biomarker identification for the large datasets that have been 239 240 proved by proteomic platforms. Like genomics and transcriptomics, biomarker discovery 241 using proteomics has often involved proteome analysis with pathway enrichment. A good 242 example of this is reported by Rukmangadachar and colleagues [70]. They differentiated

243 intestinal tuberculosis (TB) and ileal Crohn's disease, utilizing MS-based proteome analysis 244 on ileal biopsies of 15 patients, in combination with pathway enrichment using KEGG 245 pathways and the PANTHER annotation resource, and identified biomarkers of both 246 intestinal TB and Crohn's disease. They were able to identify overexpressed proteins in 247 Crohn's disease patients compared to intestinal TB patients. These proteins were annotated to 248 pathways such as the integrin signalling pathway, including a core FAC member, vinculin. 249 However, the proteins they identified were unable to be validated as differential biomarkers 250 in their 52-patient validation cohort using immunohistochemistry. This emphasises the point 251 that a one-step, single-omics approach on a small cohort of patients, whilst identifying 252 potential pathways, lacks the finesse to complete the biomarker discovery.

253

254 Systems biology and focal adhesion – the promise for novel biomarker

255 discovery

256 Looking again at the cancer model, we can see that integrative approaches using both omics 257 data and computational biology have been successful in producing panel biomarkers for 258 cancer subtypes. A good example of this is reported by Zhang and colleagues [71]. They took 259 a systems biology approach to discover, characterize, and validate a panel of breast cancer 260 biomarkers from breast cancer proteomics data. Using liquid chromatography (LC)-coupled 261 MS data from 40 women with breast cancer and 40 women without breast cancer, they 262 identified statistically significant differentially-expressed proteins. They further identified 263 PPI networks and performed pathway analysis with significant literature curation 264 (hypothesis-driven). As a result, they identified a panel of 25 breast cancer biomarkers, which 265 were able to be validated against other proteomic datasets. The top three pathways they 266 identified for the biomarker panel were focal adhesion, regulation of the actin cytoskeleton, 267 as well as complement and coagulation cascades. Combining gene expression data with PPI 268 networks and analysis by a computation network method that utilizes PPI affinity has been 269 equally successful in another breast cancer biomarker discovery study. Protein interactors 270 specific for metastatic breast cancer were identified, which unsurprisingly are part of FAC 271 [72]. Like in cancer, FAC has clearly been implicated in the pathogenesis of complex 272 inflammatory diseases including RA [73] and IBD, leading to the tantalizing possibility of 273 clinical biomarkers identified from within the ranks of FAC.

Utilizing single omics technologies with computation biology has provided potential markers, but these have often failed to stand up to rigorous validation due to small sample

276 sizes, differences in tissues sampled, or methodological differences. Perhaps a more holistic, 277 integrated approach is needed to meet the needs of modern medicine. This approach towards 278 a more systemic view necessitates obtaining significant insights by adopting a variety of 279 complementary approaches, such as (1) genomics and transcriptional profiling (including 280 miRNA and lncRNA analysis); and (2) functional and phospho-proteomics (affinity 281 purification and MS), as well as other types of large-scale studies, including lipidomics 282 (isolation and MS analysis of lipid content and protein-lipid interactions), chemical proteomics, and compound screening. With the combined and integrated use of these omics 283 284 approaches, we can identify potential novel biomarkers and drug targets. All biomarkers to be 285 used in clinical practice need independent validation with clinical samples. One such way as 286 used by Szasz et al. [74] is to merge transcriptomic data from multiple independent datasets 287 to cross validate gene expression biomarkers using univariate and multivariate analyses in 288 1065 patients. Where such samples are not available or not appropriate, clinical trials with 289 patient cohorts need to be undertaken comparing the biomarker candidates identified against 290 a gold standard. An example of this can be seen in Brandse et al. [75] comparing an 291 inflammatory marker, fecal calprotectin, against the gold standard of leukocyte scintigraphy 292 for denoting inflammatory burden in UC.

293

294 **Conclusions**

The FAC is a large, dynamic, multimeric structural and signalling opportunity for biomarker 295 296 identification. Cancer research has led the way with FAC members being implicated as 297 biomarkers of invasion [76], differentiation between normal and cancer cells [77], prognosis [78], and diagnosis [63]. It is clear that the FAC has a role to play in many inflammatory 298 299 diseases. However, which member, by which mechanism (be it genomic, transcriptomic, 300 proteomic, or a combinatorial approach with a panel of biomarkers [79]) and in which cell 301 type, remains to be formally validated. Here we presented a few examples of how omics 302 approaches could be exploited, separately or in combination, to provide valuable novel 303 biomarkers for inflammatory diseases from members of the FAC that can undergo further 304 validation in a clinical trial.

305

306 Competing interests

307 The authors certify that they have no conflicts of interest.

308

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531 **Figure legends**

- 532 Figure 1 Potential sites of biomarker used in IBD
- 533 IBD-U refers to IBD-undefined, for which the patient's endoscopy and histology cannot give
- a clear distinction between UC and CD. IBD, inflammatory bowel disease; UC, ulcerative
- 535 colitis; CD, Crohn's disease.
- 536

537 Figure 2 Omics approaches with complementary potential to be integrated

- 538 Genomics, transcriptomics, and proteomics approaches can be used to identify and discover
- the detailed component, mechanisms, and regulation of the FAC members in normal and in
- 540 diseased states. The differential analysis is capable to point out novel biomarkers. FAC, focal
- adhesion complex; GWAS, genome-wide association studies; MS, mass spectrometry; WES,
- 542 whole-exome sequencing; WGS, whole-genome sequencing.
- 543

544 Figure 3 Biomarker related publications about focal adhesion complex

We compared the total publications in PubMed identified with MeSH terms 'focal adhesion and biomarker' with 'cancer, focal adhesion and biomarker' from the last 20 years. The figure highlights the unchanged and low number of biomarker-related studies involving the FAC and non-cancer diseases compared to cancer related studies. FAC, focal adhesion complex.

550

551 **Tables**

552 Table 1 Component examples of the focal adhesion complex

553







Category	Example	Function	Refs.
Actin binding	Actinin1, filamin A,	Crosslink actin; remodel	[5,6]
	cortactin, zyxin	cytoskeleton	
Adapter	SORBS1, ABI1	Link proximal signal	[7,8]
		pathways; facilitate signal	
		transduction	
Cytoskeletal	Actin, vinculin, plectin,	Facilitate and stabilize	[9,10]
	ezrin, paxillin	signalling platforms; remodel	
	-	cell shape and movement	
GAP/GEF	DOCK1, ELMO1	Activate small GTPases	[11]
GTPase	Rac1, RhoA	Signal cytoskeletal	[12]
		remodelling, cell growth,	
		phagocytosis, and ruffled	
		borders	
Metalloproteinase	ADAM12	Disintegrin	[13]
PIK/phosphatase	PI3K, INPPL1, PTEN	Regulate AKT/PKB	[14,15]
		signalling pathway; regulate	
		signalling via IRS proteins	
Receptor	Integrins, IL1R, CD47	Bind to ligands for	[16,17]
	-	extracellular matrix	
	1	constituents including	
		fibronectin and	
		thrombospondin	
Serine/threonine	PAK1, AKT, PRKCA	Effectors linking Rho	[15,18]
kinase		GTPases to cytoskeletal	
		reorganization;	
		phosphorylate BCL2	
Transcription factor	ITGB3BP	Transcriptional co-regulator	[19]
Tyrosine kinase	FAK, SYK, SRC	Regulate FAC assembly and	[20–22
		disassembly	
Tyrosine	PTPN1, 2, 6, 11, 12, 22,	Regulate maturation of focal	[23–25
phosphatase	PTP-PEST	adhesion; recruit signalling	
		molecules	

563 Table 1 Component examples of the focal adhesion complex

Note: GTPase, guanosine triphosphatase; GAP, GTPase activating protein; GEF, 564 guanine nucleotide exchange factor; PIK, phosphoinositide kinase; SORBS1, sorbin and 565 SH3 domain-containing 1; ABI1, Abelson interactor 1; DOCK1, dedicator of cytokinesis 566 protein 1; ELMO1, engulfment and cell motility protein 1; Rac1, Ras-related C3 botulinum 567 568 toxin substrate 1; RhoA, Ras homolog gene family, member A; ADAM12, disintegrin and metalloproteinase domain-containing protein 12; PI3K, phosphatidyl-inositol-3-kinase; 569 INPPL1, inositol polyphosphate phosphatase like 1; PTEN, phosphatase and tensin homolog; 570 IL1R, interleukin-1 receptor type 1; CD47, Cluster of Differentiation 47; PAK1, 571 572 serine/threonine-protein kinase 1; AKT, RAC-alpha serine/threonine-protein kinase; PRKCA, protein kinase C alpha type; ITGB3BP, integrin subunit beta 3 binding protein; FAC, focal 573 574 adhesion complex; FAK, focal adhesion kinase; SYK, spleen tyrosine kinase; SRC, protooncogene tyrosine-protein kinase; PTPN, tyrosine-protein phosphatase non-receptor type; 575 576 PTP, protein tyrosine phosphatase; PKB, protein kinase B; Bcl2, B-cell lymphoma 2. 577