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## **OPEN** In situ imaging of microstructure formation in electronic interconnections

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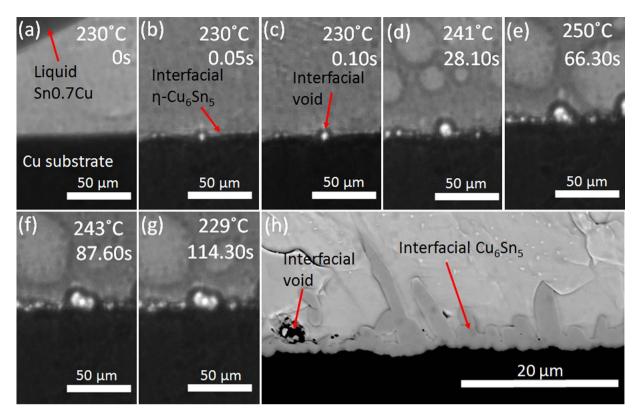
The development of microstructure during melting, reactive wetting and solidification of solder pastes on Cu-plated printed circuit boards has been studied by synchrotron radiography. Using Sn-3.0Ag-0.5Cu/Cu and Sn-0.7Cu/Cu as examples, we show that the interfacial Cu<sub>6</sub>Sn<sub>5</sub> layer is present within 0.05 s of wetting, and explore the kinetics of flux void formation at the interface between the liquid and the Cu<sub>6</sub>Sn<sub>5</sub> layer. Quantification of the nucleation locations and anisotropic growth kinetics of primary Cu<sub>6</sub>Sn<sub>5</sub> crystals reveals a competition between the nucleation of Cu<sub>6</sub>Sn<sub>5</sub> in the liquid versus growth of  $Cu_6Sn_5$  from the existing  $Cu_6Sn_5$  layer. Direct imaging confirms that the  $\beta$ -Sn nucleates at/near the Cu<sub>6</sub>Sn<sub>5</sub> layer in Sn-3.0Aq-0.5Cu/Cu joints.

Microstructures are an important link between materials processing and performance, and microstructure control is essential for any materials processing route where the microstructure plays a major role in determining the properties<sup>1</sup>. In the application of electrical and electronic devices, Sn alloys are used widely as solder materials, joining one electrical connection to another. The interconnection microstructure can strongly affect the performance of a joint including the fatigue life during thermal cycling<sup>2</sup>, the tolerance to drop impacts<sup>3</sup> and the resistance to electromigration<sup>4</sup>. Hence, microstructure formation in solder joints is an important area of research<sup>5,6</sup> particularly considering the need to develop more advanced electronic joining technologies while meeting the global environmental demands required of Pb-free solder joints<sup>7,8</sup>.

In the last decades, characterization techniques for understanding microstructure development in a solidification process have advanced significantly with the development of 3rd generation synchrotron X-ray imaging techniques. This has enabled studies of Sn alloy solidification related to columnar and equiaxed dendritic growth, eutectic solidification, intermetallic growth behaviour and stresses and strain induced by phase transformations<sup>9-16</sup>. Furthermore, several studies have focussed on X-ray imaging of solder solidification during a solder reaction with a substrate such as Cu. In our previous study<sup>17,18</sup>, we reported on the formation of the Cu<sub>6</sub>Sn<sub>5</sub> interfacial layer at the liquid/Cu interface during the early stages of soldering and the effect of Ni on the growth of primary (Cu,Ni)<sub>6</sub>Sn<sub>5</sub> in Sn-0.7Cu/Cu joints. In synchrotron studies of soldering solidification (with a substrate), Ma et al.<sup>19</sup> and Huang et al.<sup>20</sup> reported the observation of a morphology change of large Ag<sub>3</sub>Sn plates in Sn-3.5Ag/ Cu joints. In addition, Qu et  $al.^{21}$  investigated thick interfacial Cu<sub>6</sub>Sn<sub>5</sub> layer growth at a late stage of soldering at 350 °C. Qu et al.<sup>22</sup> in another study investigated interfacial flux void formation in pure Sn and Sn-3.5Ag foils soldered on Cu. However, from existing synchrotron studies of solder reactions, the solder joint experiments were conducted at low frame rates (above 0.5 s per frame) and fast reactions during the soldering process, especially in the early stages of reactive wetting and subsequent solidification, were unable to be investigated. Previous synchrotron soldering experiments also used large volumes of solder and Cu foils as substrates, and small volumes of solder paste and substrates with a surface finish similar to industrial soldering have not been reported.

Although there have been many studies of microstructure formation in Pb-free solder joints by post mortem methods<sup>23-26</sup>, outstanding questions remain on how solder joint microstructures develop in the soldering process

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**Figure 1.** In situ real time imaging observations of interfacial  $Cu_6Sn_5$  formation during early wetting reactions between liquid Sn-0.7Cu and the Cu substrate interface at (**a**) 0 s, (**b**) 0.05 s, (**c**) 0.10 s, (**d**) 28.10 s, (**e**) 66.30 s, (**f**) 87.60 s and (**g**) 114.30 s times after wetting: (**h**) a post mortem backscattered electron scanning electron microscopy (SEM) image of the solidified sample.

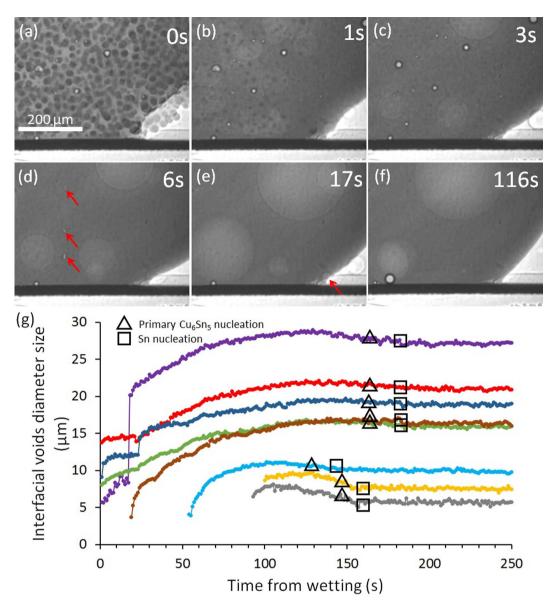
that are difficult to conclusively answer using these techniques. For example, the nucleation and growth of flux voids in the solder paste during activation and early wetting and their interaction with solidification reactions during soldering are not well understood. There is limited information on the nucleation time of the interfacial  $Cu_6Sn_5$  intermetallic layer and interfacial voids, of the kinetics of primary  $Cu_6Sn_5$  solidification in solder joints, and of the  $\beta$ -Sn dendrite nucleation location in joints.

Here we conduct a synchrotron radiography investigation on the reflow of commercial solder pastes on FR-4 type printed circuit boards (PCBs), with Cu-plating and organic soldering preservative (OSP) surface finish, that mimics the solder reaction and solidification processes that occur in electronics manufacturing. This approach enables the direct observation and quantification of flux activation, solder paste melting, reactive wetting and flux void formation during heating to the peak temperature and then the nucleation and growth of primary intermetallic compounds (IMCs) and the point of  $\beta$ -Sn nucleation during solidification. We use Sn-3.0Ag-0.5Cu and Sn-0.7Cu (wt.%) solder pastes as case studies with a particular focus on quantifying the nucleation and growth kinetics of flux voids and primary Cu<sub>6</sub>Sn<sub>5</sub> crystals, understanding their formation mechanisms, and identifying the location of  $\beta$ -Sn nucleation.

#### Results

**Reactive wetting and flux void development.** A typical example of the first moments of solder wetting and spreading is shown in Fig. 1a–g for molten Sn-0.7Cu on Cu. Initially, in Fig. 1a, the liquid is not in contact with the Cu substrate and, between the frames in Fig. 1a and b, the liquid spreads from left to right over the Cu. Within 0.05 s of solder wetting, the dissolution of Cu from the substrate caused the formation of a  $\eta$ -Cu<sub>6</sub>Sn<sub>5</sub> layer. Together with the formation of interfacial layer, interfacial voids were also present (Fig. 1b). Subsequently, the layer develops a scalloped interface and the growth of interfacial solder voids is observed. Figure 1h is a postmortem scanning electron microscopy image of the same sample which shows the interfacial Cu<sub>6</sub>Sn<sub>5</sub> layer and interfacial voids which formed during reactive wetting.

Figure 2a shows the solder paste on the Cu-plated PCB at the first moment of solder melting. The individual  $\sim$ 35 µm grains of solder powder can be seen suspended in flux. Figure 2a shows the first moment of melting. There are a number of voids/bubbles in the paste and at the paste-Cu interface which exist from the first moments of melting. These are mostly caused by flux outgassing<sup>17,24</sup>. At the stage shown in Fig. 2a, the voids have an irregular shape and are present between the solid solder grains. As the solder paste fully melts in Fig. 2a,b and c, the voids develop into a spherical shape to minimise their interfacial area with the molten solder. Figure 2b is a snapshot during solder paste melting where both liquid solder and unmelted solder powder coexist. In the first few seconds after melting (Fig. 2b–d), many flux voids first become more spherical and then float up and out of the solder ball



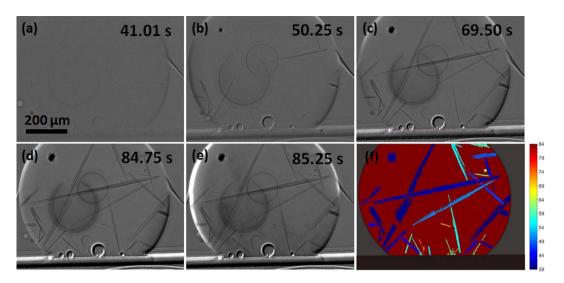
**Figure 2.** (**a**–**f**) Synchrotron image sequence of the flux void formation during early wetting of Sn-0.7Cu paste on a Cu substrate and (**g**) quantification of interfacial voids size (diameter) formation and growth in Sn-0.7Cu paste during soldering from synchrotron image sequences (compilation from several experiments where different colours indicates different flux voids).

due to buoyancy. However, comparing Fig. 2b–f, it can be seen that the flux voids at the solder-substrate interface do not float upwards despite being significantly less dense than the liquid solder.

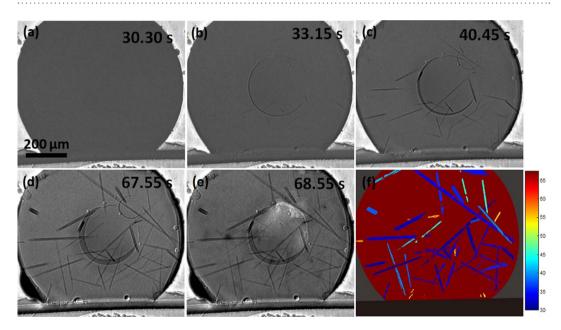
A bubble is expected to remain attached to the  $Cu_6Sn_5$  layer if the balance of interfacial energies satisfies the following inequality (assuming for simplicity that there is only a small contact area between the bubble and interfacial intermetallic compound (IMC) so that the bubble shape is unchanged):

$$\gamma_{IMC-g} < \gamma_{L-g} + \gamma_{IMC-L} \tag{1}$$

where  $\gamma$  is the interfacial Gibbs energy per unit area (IMC-g refers to intermetallic compound layer and flux void interface, L-g refers to liquid solder and flux void interface and IMC-L refers to intermetallic compound layer and liquid solder interface). This inequality is likely to be met given the relatively high interfacial energy between liquid Sn and Cu<sub>6</sub>Sn<sub>5</sub>. The total interfacial energy would be even lower if bubbles were located in the grooves between the Cu<sub>6</sub>Sn<sub>5</sub> scallops. Therefore, they would be partially stabilised against a buoyancy force in these locations. This appears to be the origin of the difficulty of removing flux voids from the solder-substrate interface. It can also be seen in Fig. 2e,f, that the size of the interfacial flux voids increases with time and that new voids nucleate and grow at the Cu<sub>6</sub>Sn<sub>5</sub>-L interface between 17 and 116 s after the onset of melting. Figure 2g is a compilation plot showing the diameter of interfacial flux voids as a function of the time from wetting. Note that the measurements were gathered from several Sn-0.7Cu/Cu synchrotron imaging experiments. From this plot, it can be seen that some interfacial flux voids of 5–15  $\mu$ m were observed from the moment of wetting and that further



**Figure 3.** Synchrotron image sequence of the nucleation and growth of primary  $Cu_6Sn_5$  in a Sn-3.0Ag-0.5Cu/ Cu joint. Images have been normalised against a frame shortly before that in (a).  $Cu_6Sn_5$  are dark. The two central round features are bubbles. The round features at the  $Cu_6Sn_5$  interfacial layer are flux voids. (f) a processed image with each  $Cu_6Sn_5$  segmented and coloured by its nucleation time in s. t=0 is the onset of cooling from the peak temperature of 250 °C.



**Figure 4.** Synchrotron image sequence of the nucleation and growth of primary  $Cu_6Sn_5$  in a Sn-0.7Cu/Cu joint. Images have been normalised against a frame shortly before that in (**a**).  $Cu_6Sn_5$  are dark. The central round feature is a bubble. The round features at the  $Cu_6Sn_5$  interfacial layer are flux voids. (**f**) is a processed image with each  $Cu_6Sn_5$  segmented and coloured by its nucleation time in s. t=0 is the onset of cooling from the peak temperature of 250 °C.

flux voids nucleate later as the joint is heated towards the peak reflow temperature. All observable interfacial voids grow rapidly to a size of at least  $4\mu m$  in diameter and then continue to expand (maximum expansion near peak temperature ~250 °C) and then contract during cooling. Towards the end of contracting, a sudden slight increase (approximately 1%) in their size was observed coinciding with the  $\beta$ -Sn nucleation event, which is associated with solidification shrinkage as the undercooled liquid solidifies within a few frames. Subsequently, it can be seen that the flux void sizes remain constant after  $\beta$ -Sn nucleation when they are surrounded by solid.

Similar interfacial flux voids formed in all experiments, as can be seen in Figs 1, 3 and 4. In some experiments, bubbles of evaporated flux continuously formed near the air-solder-substrate triple points, were transported upwards along the sides of the solder ball and then floated away. Examples of this are highlighted with arrows in Fig. 2e. Note that the large round features near the centre of the solder balls in Figs 1–4 are shallow bubbles

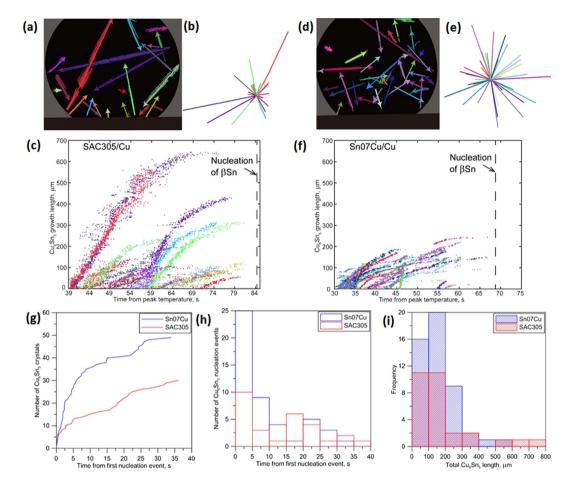


Figure 5. Quantification of the solidification kinetics of primary  $Cu_6Sn_5$  crystals from the synchrotron image sequences in Figs 3 and 4. (a–c) Sn-3.0Ag-0.5Cu/Cu. (d–f) Sn-0.7Cu/Cu. (a) and (d):  $Cu_6Sn_5$  growth vectors. (b) and (e): the same vectors with a common origin showing the growth orientation distribution. (c) and (f): the growth tip position versus time for most  $Cu_6Sn_5$  crystals in each sample. (g) total number of  $Cu_6Sn_5$  crystals versus time for each joint. (h) histogram of the number of  $Cu_6Sn_5$  nucleation events with time. (i) size distribution of the primary  $Cu_6Sn_5$  crystals at the end of solidification in both joints.

between the sample and the quartz confining sheets. These are artifacts of the experiment and form even without flux and will not be discussed further.

**Nucleation and growth of primary Cu<sub>6</sub>Sn<sub>5</sub> crystals.** Figs 3a-e and 4a-e, show the development of primary Cu<sub>6</sub>Sn<sub>5</sub> during continuous cooling from the peak temperature of 250 °C in Sn-3.0Ag-0.5Cu/Cu and Sn-0.7Cu/Cu respectively. On both figures, the central round features are shallow bubbles (artifacts) while the round features at the Sn/Cu<sub>6</sub>Sn<sub>5</sub>/Cu interface are flux voids. The dark rods in the solder ball are primary Cu<sub>6</sub>Sn<sub>5</sub> and the tin liquid is slightly brighter. Figure 3f and 4f are processed images where each Cu<sub>6</sub>Sn<sub>5</sub> crystal has been segmented and colored by its nucleation time to visualise the sequence of nucleation events. In Sn-3.0Ag-0.5Cu/ Cu, many Cu<sub>6</sub>Sn<sub>5</sub> grew from the edge (the side surface) of the solder ball into the liquid as observed in Fig. 3a-cand in Fig. 3f (dark blue). Other  $Cu_{s}Sn_{s}$  crystals appear to nucleate in the bulk liquid but note that there are also solder surfaces perpendicular to the x-ray beam. The Cu<sub>6</sub>Sn<sub>5</sub> nucleation location can be inferred from the observation that the crystals did not move under gravity despite being significantly denser than liquid Sn (8,082 vs 6,967 kg/m<sup>3</sup> at 250 °C<sup>27,28</sup>). This suggests that the Cu<sub>6</sub>Sn<sub>5</sub> crystals nucleated on the surface or on particles attached to the surface (possibly on the oxide where the SnO-L interfacial energy is relatively high) in both Sn-3.0Ag-0.5Cu/Cu and Sn-0.7Cu/Cu joints. Also it can be observed from the colour maps in Figs 3f and 4f that the nucleation sequence of primary  $Cu_6Sn_5$  in both materials bears no detectable relationship to the small temperature gradient of ~1 K (from the top to bottom of the field of view) and nucleation events do not follow an isotherm sweeping through the sample.

The solidification kinetics of all primary  $Cu_6Sn_5$  crystals in a joint of Sn-3.0Ag-0.5Cu/Cu and Sn-0.7Cu/Cu are quantified in Fig. 5. Since the primary  $Cu_6Sn_5$  crystals grew as faceted rods without branching, their growth could be quantified by a single vector. Fig. 5a and 5d are plots of  $Cu_6Sn_5$  growth vectors and Fig. 5b and 5e are similar plots using a single origin. They show that there is no preferred  $Cu_6Sn_5$  growth direction which is consistent with growth from randomly oriented nucleation sites.

Figure 5c and 5f are plots of the growth length of primary  $Cu_6Sn_5$  crystals as a function of time, where Fig. 5a,b and c have a common  $Cu_6Sn_5$  colour scale as do Fig. 5d,e and f. Here, 'growth length' is the distance from

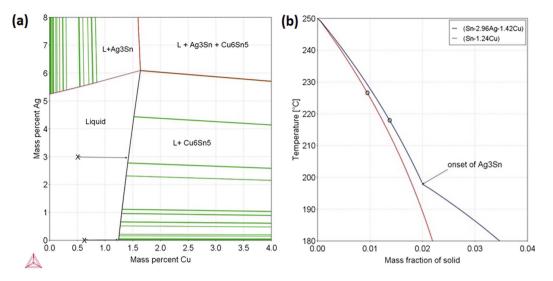


Figure 6. (a) Sn-Ag-Cu 250 °C isothermal section based on Thermocalc<sup>29</sup>. Crosses mark the initial solder compositions and the arrows show the change in liquid composition due to Cu substrate dissolution (up to the solubility limit). (b) Predicted development of primary IMC during cooling from 250 °C in Sn-3.0Ag-0.5Cu/Cu and Sn-0.7Cu/Cu joints, assuming the liquid was saturated in Cu at 250 °C. The circles show the equilibrium eutectic onset temperatures and, below these temperatures, primary Cu<sub>6</sub>Sn<sub>5</sub> only continues to grow if  $\beta$ -Sn fails to nucleate. Note that, in Sn-3.0Ag-0.5Cu/Cu, primary Ag<sub>3</sub>Sn crystals are not predicted to form until ~198 °C ( $\Delta$ T<sub>nuc</sub>~19 K).

the nucleation point to the growth tip along the main [0001] growth direction. In the Sn-3.0Ag-0.5Cu/Cu joint, the first two  $Cu_6Sn_5$  crystals grew with a near-constant tip velocity of  $9.8 \,\mu$ m/s for ~ $600 \,\mu$ m before slowing down due to solute field interaction with surrounding growing  $Cu_6Sn_5$  crystals. Most other  $Cu_6Sn_5$  crystals in Fig. 5c exhibited nonlinear growth from immediately after nucleation because the existing  $Cu_6Sn_5$  led to overlapping solute fields reducing the tip undercooling. In the Sn-0.7Cu/Cu joint, there was little linear growth (Fig. 5f) because crystals nucleated in close proximity and solute fields overlapped early during growth.

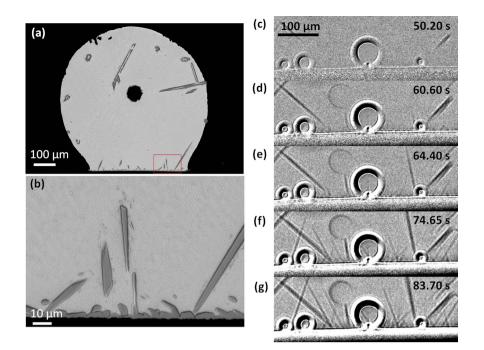
Fig. 5g shows the number of  $Cu_6Sn_5$  crystals versus time, Fig. 5h is a plot of the number of  $Cu_6Sn_5$  nucleation events versus time, and Fig. 5i shows the distribution of final lengths of  $Cu_6Sn_5$  crystals for both joints. Combining Fig. 5g–i, it is clear that  $Cu_6Sn_5$  rods are more numerous and, generally, shorter in Sn-0.7Cu/Cu joints than in Sn-3.0Ag-0.5Cu/Cu joints. Some understanding of the origin of more primary  $Cu_6Sn_5$  nucleation events in Sn-0.7Cu/Cu can be gained from the predicted solidification path in Fig. 6, which assumes that dissolution of the substrate occurs until the liquid solder is uniformly saturated in Cu. It can be seen that a slightly higher fraction of primary  $Cu_6Sn_5$  is predicted to form in Sn-3.0Ag-0.5Cu/Cu than in Sn-0.7Cu/Cu for the same  $\beta$ -Sn nucleation undercooling. The growth restriction factor (GRF)<sup>29</sup> can be deduced directly from the T vs  $f_s$  plots because it can be expressed as

$$-\left(\frac{\partial T}{\partial f_s}\right)_{f_s \to 0} \tag{2}$$

Since the T vs  $f_s$  slope is slightly steeper near  $f_s=0$  in Sn-0.7Cu/Cu, it can be seen that the GRF is higher for this joint. Thus, a given level of constitutional supercooling develops in a shorter Cu<sub>6</sub>Sn<sub>5</sub> growth distance in Sn-0.7Cu/Cu compared with Sn-3.0Ag-0.5Cu/Cu which would enable nucleation events to occur closer together in Sn-0.7Cu/Cu.

Note that the only primary intermetallic phase observed in this work was  $Cu_6Sn_5$  (i.e.  $Ag_3Sn$  primary crystals were never observed in Sn-3.0Ag-0.5Cu/Cu joints). This can be understood from the predicted solidification path in Fig. 6: primary  $Ag_3Sn$  are not predicted to form until below ~198 °C ( $\Delta T_{nuc}$ ~19 K). For joints of the size studied in this work soldered to Cu, it is common for the nucleation undercooling for  $\beta$ -Sn to be less than 19K<sup>30</sup>. This interpretation was confirmed in our laboratory studies in which primary  $Ag_3Sn$  only formed in Sn-3.0Ag-0.5Cu/Cu joints at undercoolings higher than 20 K (refer to supplementary data).

In Fig. 5h, the highest nucleation rate occurs at the beginning of primary  $Cu_6Sn_5$  solidification. However, in both joints, the number of  $Cu_6Sn_5$  crystals continuously increases during cooling from the peak temperature almost until the nucleation of  $\beta$ -Sn. That is to say, nucleation occurred continuously during cooling and did not only occur in the first stages of cooling as commonly occurs in the solidification of alloys<sup>31</sup>. One reason for this appears to be the highly anisotropic faceted growth mechanism of  $Cu_6Sn_5$ , where this crystal only grows along [0001] and does not branch (under the conditions of the paper). Thus,  $Cu_6Sn_5$  cannot grow into liquid regions that are not in the [0001] growth path and constitutional supercooling builds up in these liquid regions until it exceeds the required nucleation undercooling for  $Cu_6Sn_5$ , when a new nucleation event is triggered. This effect is



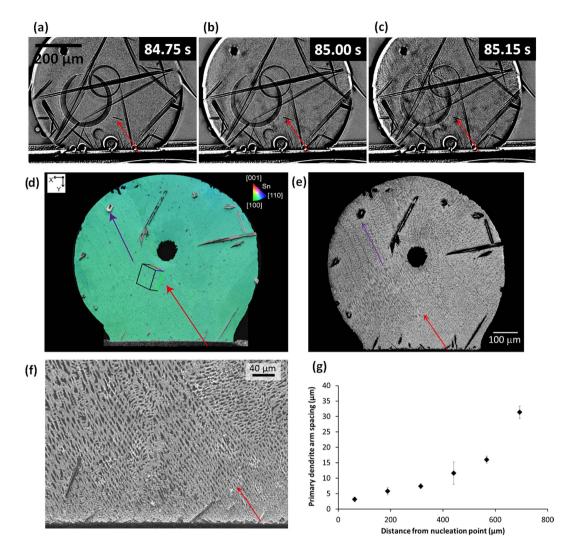
**Figure 7.** Growth of primary  $Cu_6Sn_5$  on Sn-3.0Ag-0.5Cu/Cu near the interfacial intermetallic compound (IMC) layer later during solidification (**a–b**) post-mortem SEM images. (**c–g**) *in-situ* synchrotron images at five different times.

compounded by the high GRF of  $Cu_6Sn_5$  in Sn-rich compositions which causes a large constitutional supercooling to develop in a relatively short growth distance. Thus, due to the combined high growth anisotropy and GRF, it is easier for new  $Cu_6Sn_5$  crystals to nucleate in the liquid than it is for existing  $Cu_6Sn_5$  to branch during growth, which causes continuous nucleation during cooling in a near-uniform thermal field.

In some samples, some primary  $Cu_6Sn_5$  also grew from the pre-existing  $Cu_6Sn_5$  reaction layer as shown in Fig. 7c-g for Sn-3.0Ag-0.5Cu/Cu. This shows that there is competition between primary  $Cu_6Sn_5$  nucleation in the liquid versus  $Cu_6Sn_5$  growth from the  $Cu_6Sn_5$  reaction layer. For example, as shown in Fig. 3, late during solidification of the Sn-3.0Ag-0.5Cu/Cu between 50.25 s-84.75 s of cooling time, some  $Cu_6Sn_5$  crystals grew upwards from the pre-existing  $Cu_6Sn_5$  layer (turquoise and orange crystals in Fig. 5(c) and (f)). Note also that other  $Cu_6Sn_5$  crystals grew from elsewhere down into the layer in the same time period and, from the post mortem SEM images in Fig. 7a and b, it is often not possible to deduce whether primary  $Cu_6Sn_5$  grew into the layer or grew out from the layer, which highlights the importance of *in-situ* imaging.

The growth of  $Cu_6Sn_5$  out from the layer produces long IMC protrusions that are undesirable and potentially harmful to reliability. In Sn-Ag-Cu/Cu-OSP solder joints after drop impact testing, Pang<sup>32</sup> reported that the crack path could occur either near the Cu-Cu<sub>6</sub>Sn<sub>5</sub> interface, the Sn-Ag-Cu-Cu<sub>6</sub>Sn<sub>5</sub> interface, or in the middle of the Cu<sub>6</sub>Sn<sub>5</sub> After 500 cycles of thermal cyclic testing, drop impact tests indicated that the dominant cracking was observed in the Cu<sub>6</sub>Sn<sub>5</sub> interfacial layer<sup>32</sup>. In addition, Tian et al.<sup>33</sup> have proven that long primary Cu<sub>6</sub>Sn<sub>5</sub> crystals in the bulk solder joint were the crack sources during *in-situ* tensile tests of Sn-3Ag-0.5Cu/Cu after multiple reflows. Thus, it is important to understand the conditions under which Cu<sub>6</sub>Sn<sub>5</sub> grows out from the layer and methods to prevent it. It was found that samples where Cu<sub>6</sub>Sn<sub>5</sub> grew out from the layer usually had a region of open liquid ahead of the layer relatively late during primary Cu<sub>6</sub>Sn<sub>5</sub> solidification. Open liquid regions remain when no or few primary  $Cu_6Sn_5$  rods have their [0001] growth direction oriented towards the IMC layer (e.g. Fig. 3). In this situation, new  $Cu_6Sn_5$  can only nucleate in the liquid ahead of the layer if the constitutional supercooling in this region exceeds the required nucleation undercooling. This is less likely in the solute field ahead of the growing Cu<sub>6</sub>Sn<sub>5</sub> layer than in the liquid far from the layer, and becomes even less likely late during cooling when the solute fields of surrounding primary  $Cu_6Sn_5$  have impinged on the solute field of the  $Cu_6Sn_5$  layer. When the nucleation of new primary  $Cu_6Sn_5$  crystals are suppressed in this way, the conditions exist for  $Cu_6Sn_5$  to grow out from the existing  $Cu_6Sn_5$  layer. The development of open liquid regions ahead of the  $Cu_6Sn_5$  layer is more likely when there are few, large  $Cu_6Sn_5$  rods (such as in Fig. 3) because this increases the chance that a few  $Cu_6Sn_5$ rods will have a [0001] direction towards the layer. On the other hand,  $Cu_6Sn_5$  can be prevented from growing out from the layer by encouraging numerous small Cu<sub>6</sub>Sn<sub>5</sub> to nucleate throughout the liquid (with numerous growth directions) so that no large liquid region develops ahead of the interfacial IMC layer into which layer crystals need to grow (e.g. for Sn-0.7Cu/Cu in Fig. 4a-f).

 $\beta$ -Sn nucleation and growth. Although the *in-situ* imaging technique used here was not optimized for the high interface velocities after  $\beta$ -Sn nucleates, useful information could still be extracted. The nucleation location of  $\beta$ -Sn in Sn3.0Ag0.5Cu/Cu joints was observed to be at/near the interfacial Cu<sub>6</sub>Sn<sub>5</sub> layer as indicated by the red



**Figure 8.** (**a**–**c**) Synchrotron images of the nucleation and growth of  $\beta$ -Sn in the Sn-3.0Ag-0.5Cu/Cu joint. (**d**) EBSD inverse pole figure (IPF)-y map of the Sn-3.0Ag-0.5Cu/Cu joint with the unit cell orientation superimposed. (**e**) BSE-SEM image in which the  $\beta$ -Sn dendrite growth direction can be deduced. Arrows show the growth direction in two regions projected onto the imaging plane. (**f**) BSE-SEM image of dendrites near the nucleation site. (**g**) plot of primary dendrite arm spacing versus distance from the observed nucleation point.

arrow towards the top (Fig. 8a–c). This has been inferred in previous 'post mortem' work<sup>30,34</sup> but here we directly prove that  $\beta$ -Sn nucleates on or near the Cu<sub>6</sub>Sn<sub>5</sub> layer in Sn-3.0Ag-0.5Cu/Cu joints. The subsequent growth of the  $\beta$ -Sn dendrite can be seen by comparing Fig. 8a,b and c where the dendrite growth velocity was measured to be around 800 µm/s early during growth. Post-mortem EBSD mapping in Fig. 8d shows that there is a single  $\beta$ -Sn crystal in the joint. Comparing the EBSD inverse pole figure (IPF) map with the BSE-SEM image in Fig. 8e shows that the dendrite growth direction is close to <110>. With the knowledge of the nucleation location (the start of the red arrow in Fig. 8a–f), the  $\beta$ -Sn primary dendrite arm spacing was measured as a function of growth distance. The primary dendrite arm spacing increases from around 10 µm at the nucleation location to 300 µm at the maximum distance from nucleation (Fig. 8g), which is consistent with the dendrite tip velocity decreasing during growth into an undercooled melt due to the release of latent heat and consequent decrease in tip growth undercooling<sup>24,35,36</sup>. Previous research has shown that Sn-3.0Ag-0.5Cu/Cu joints usually solidify with one  $\beta$ -Sn orientation or two or three  $\beta$ -Sn orientations that are all related by a twin orientation relationship<sup>30,34,37,38</sup>. The presence of a single  $\beta$ -Sn orientation in Fig. 8 is consistent with this.

For the Sn-0.7Cu/Cu joint, EBSD mapping showed multiple  $\beta$ -Sn crystal orientations and no evidence of solidification twinning (Fig. 9). A dendrite near the upper surface, highlighted by the insert, is growing almost in the sectioning plane and has a dendrite growth direction close to <110>, similar to the Sn-3.0Ag-0.5Cu/Cu joint in Fig. 8. The other insert confirms that the Cu<sub>6</sub>Sn<sub>5</sub> rods are oriented along [0001]. The larger number of  $\beta$ -Sn orientations and wider range of misorientation angles in Fig. 9 than Fig. 8 is a significant difference between Sn-0.7Cu/Cu and Sn-3.0Ag-0.5Cu/Cu joints, that occurs both in these *in-situ* experiments and other BGA studies<sup>30,37</sup>.

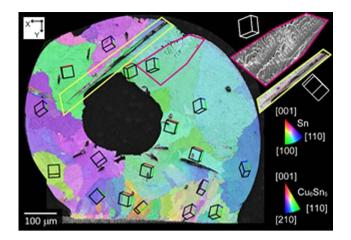


Figure 9. EBSD inverse pole figure (IPF)-y map of the Sn-0.7Cu/Cu joint with unit cell orientations superimposed. The inserts show the  $\beta$ -Sn dendrite morphology relative to its unit cell orientation, and a primary Cu<sub>6</sub>Sn<sub>5</sub> rod relative to its unit cell orientation.

In summary, using time-resolved synchrotron X-ray radiography adapted to mimic the paste reflow soldering process, nucleation events and microstructure evolution which cannot be deduced from post-mortem methods have been revealed and quantified during soldering solidification. The elucidation of solder joint microstructure development revealed in this study could be used as a basis for the design of an optimized and controlled microstructure in solder joints for future electronic interconnects technology.

### Methods

**Sample preparation.** Sn-0.7Cu and Sn-3.0Ag-0.5Cu (wt.%) solder pastes with 35  $\mu$ m average solder sphere diameter were used. A Cu plated printed circuit board (Fire retardant-FR4 type) with 600  $\mu$ m ball pitch size was cross sectioned to produce a Cu-OSP substrate suitable for radiography with 100  $\mu$ m thickness and 600  $\mu$ m wide pitch. A small amount of solder paste (approximately 0.0002 g) was placed on the Cu pad in a cavity within a 100  $\mu$ m thick polytetrafluoroethylene (PTFE) spacer sheet with an observation window of 10  $\times$  10 mm<sup>2</sup> and a vent for flux outgassing. Finally, the paste, substrate and PTFE were secured between two quartz plates. Further details, including a figure of the materials and sample preparation are given in the Supplementary Figure 2.

**Synchrotron X-ray Imaging.** Experiments were conducted at BL20XU in the SPring-8 synchrotron using the solidification observation setup developed in previous research<sup>14,39</sup> and an X-ray energy of 21 keV. Transmitted images were converted into visible light and recorded in a digital format with  $2,000 \times 2,000$  pixels representing a 1 mm X 1 mm field of view giving a resolution of  $0.477 \,\mu$ m per pixel. An exposure time of 120 ms per frame with 20 frames per second was used. A radiation furnace with graphite heating elements applied a reflow profile that heated from room temperature to approximately 250 °C at 0.33 °C/s, held at this peak temperature for 30 s before cooling down at approximately 0.33 °C/s.

**X-ray image processing and analysis.** Image sequences were flatfield corrected and normalized against 10 frames shortly before the nucleation of primary intermetallic and flux voids for the primary intermetallic study and void study respectively using Image-Pro Plus v.7.0. For the study of primary intermetallics, a  $3 \times 3 \times 5$  (x,y,t) median filter was applied and for the study of tin dendrites, a  $3 \times 3 \times 3$  (x,y) median filter was applied. To quantify the growth of flux voids, Image-Pro Plus v.7.0 was used for object tracking. To quantify the primary Cu<sub>6</sub>Sn<sub>5</sub> solidification kinetics, a routine was written in MATLAB 7.1 to identify the time (frame) at which each pixel becomes a solid pixel. First, the transmitted X-ray intensity was smoothed in time to reduce noise using a locally weighted linear regression. The sudden decrease in the intensity associated with a liquid pixel becoming a solid pixel was defined as the intersection of a linear-fit line to the flat region prior to solidification with a linear-fit line through the point with steepest decreasing slope. Crystal growth kinetics were then extracted from the solidification time of each pixel within each Cu<sub>6</sub>Sn<sub>5</sub> crystal.

**Analytical Scanning Electron Microscopy (SEM).** Beamline samples were polished for scanning electron microscopy (SEM) and electron backscatter diffraction (EBSD) analysis. For measuring the dendrite arm spacing, polished samples were lightly etched (93% distilled water +5% sodium hydroxide +2% 2–nitrophenol). A Zeiss Auriga field emission gun SEM was used, with an Oxford Instruments INCA 80 mm<sup>2</sup> x-sight energy dispersive X-ray (EDX) detector and a Bruker EBSD detector. EBSD mapping was conducted at 20 kV, scanning at 1  $\mu$ m step per pixel and 50 ms exposure time. Kikuchi patterns were analysed using Bruker Espirit 2.0 software.

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#### **Author Contributions**

Dr. Mohd Salleh is the first author of this article and contributed to the planning of all experiments, data analysis, conducting SEM and EBSD experiments and writing the article. Dr. Gourlay is the second author of this article and contributed to the interpretation including Thermocalc and EBSD analysis, discussions, and writing the article. Mr. Xian is the third author of this article and contributed to the discussion and conducted EBSD experiments and synchrotron imaging data analysis. Dr. Belyakov is the fourth author of this article and contributed to the discussion, conducted SEM sample preparation and analysis. Prof. Yasuda is the fifth author of this article and contributed to the planning and the setup of synchrotron imaging experiment. Dr. McDonald is the sixth author of this article and contributed to the planning of all experiments, discussion, and writing the article. Dr. Nogita is the last author of this article and contributed to the planning of all experiments, discussion, and writing the article.

### **Additional Information**

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